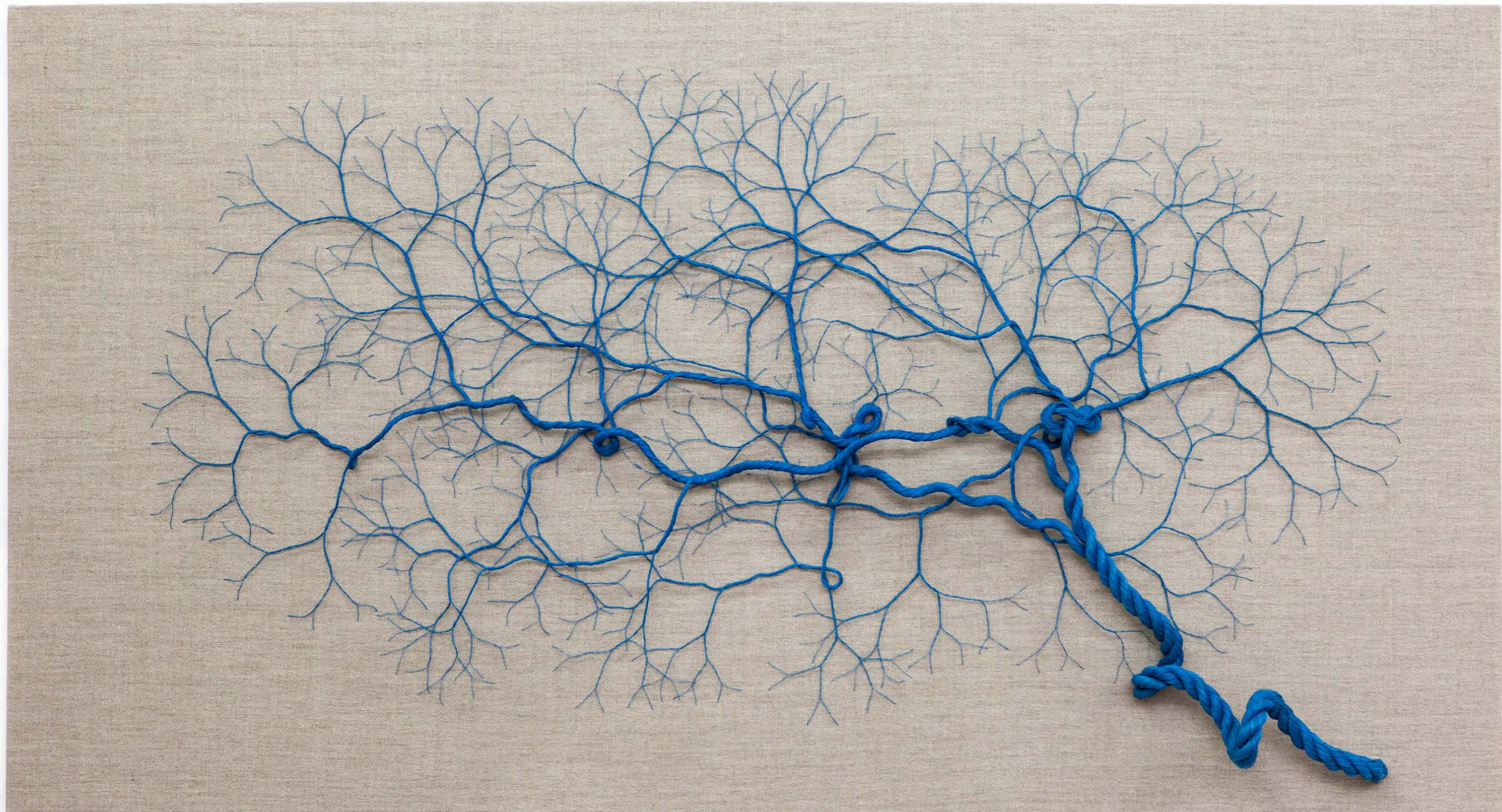
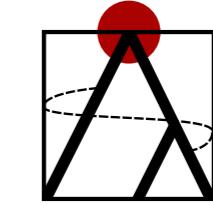


The Inference of Gene Trees with Species Trees with an Emphasis on Horizontal Gene Transfer



Gergely Szöllősi
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Evolutionary Genomics Research Group
Budapest, Hungary
ssolo@elte.hu



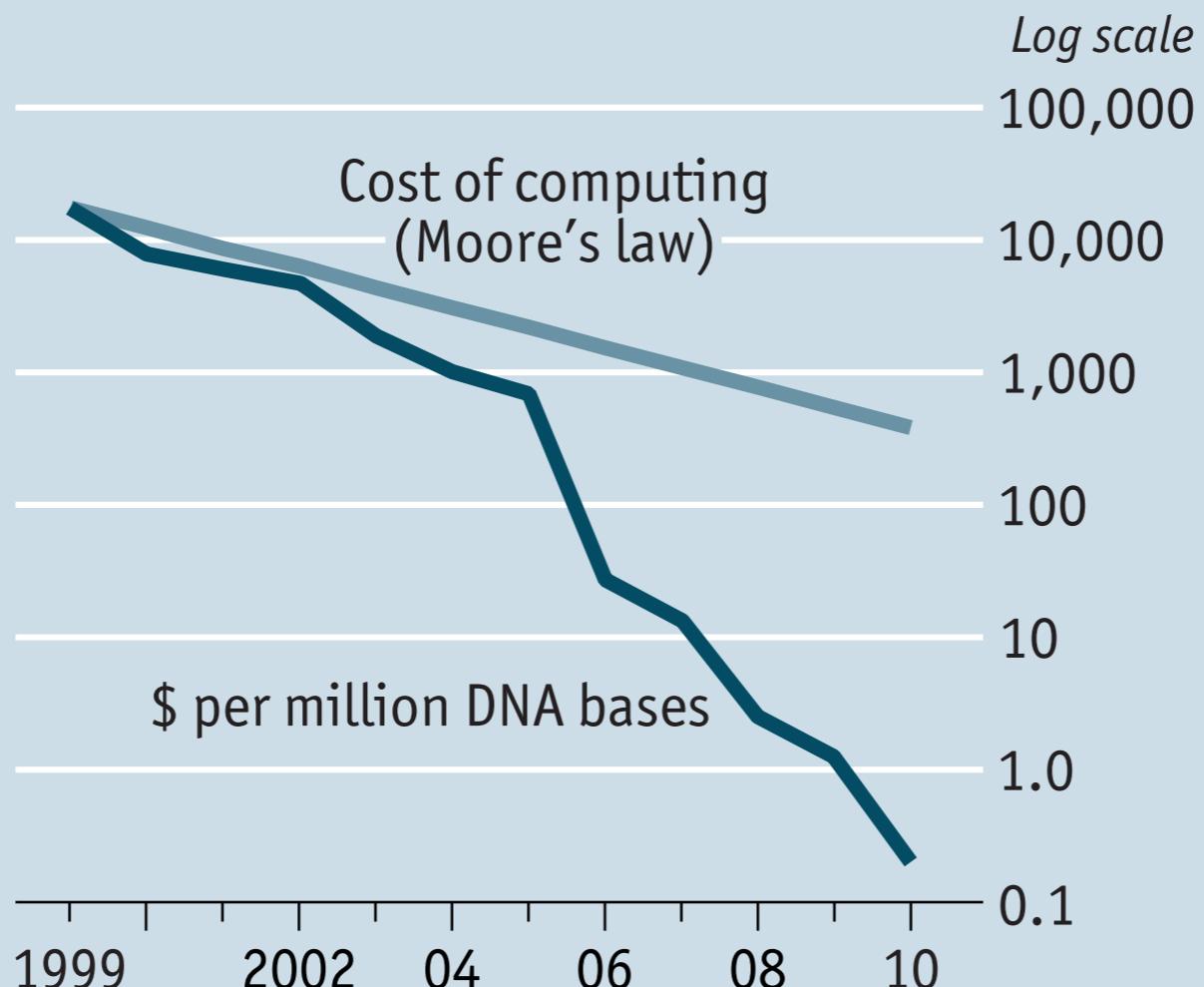
1996

Biology 2.0

1

Baseline information

Cost of genome sequencing compared with
Moore's law for computers



Source: Broad Institute



12 Giga FLOPS

(Floating Point Operations Per Second)

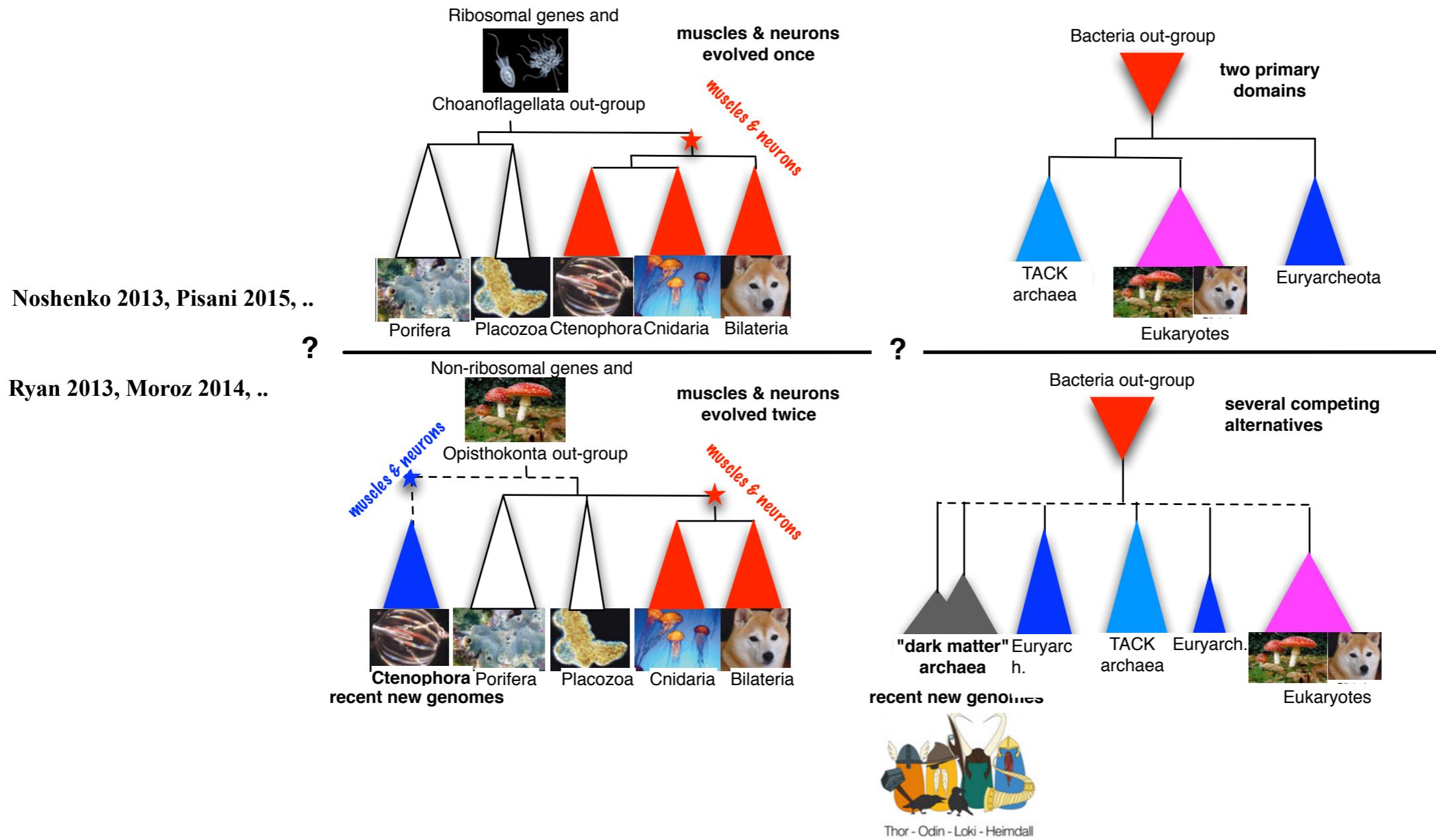
2016

200-300 Giga FLOPS



New genomes, old questions

New genomes instead of bringing into sharper focus major evolutionary events such as the origin of eukaryotes or the diversification of major animal lineages have instead reignited old debates.



The Inference of Gene Trees with Species Trees with an Emphasis on Horizontal Gene Transfer

Species tree aware & unaware methods
“phylogenomics — why we are doing it all wrong”

models of gene family evolution with D&L
joint reconstruction with DL of the mammalian ToL

HGT in the context of species tree-aware methods

just how much HGT?

HGT as information

models of gene family evolution with D,T&L

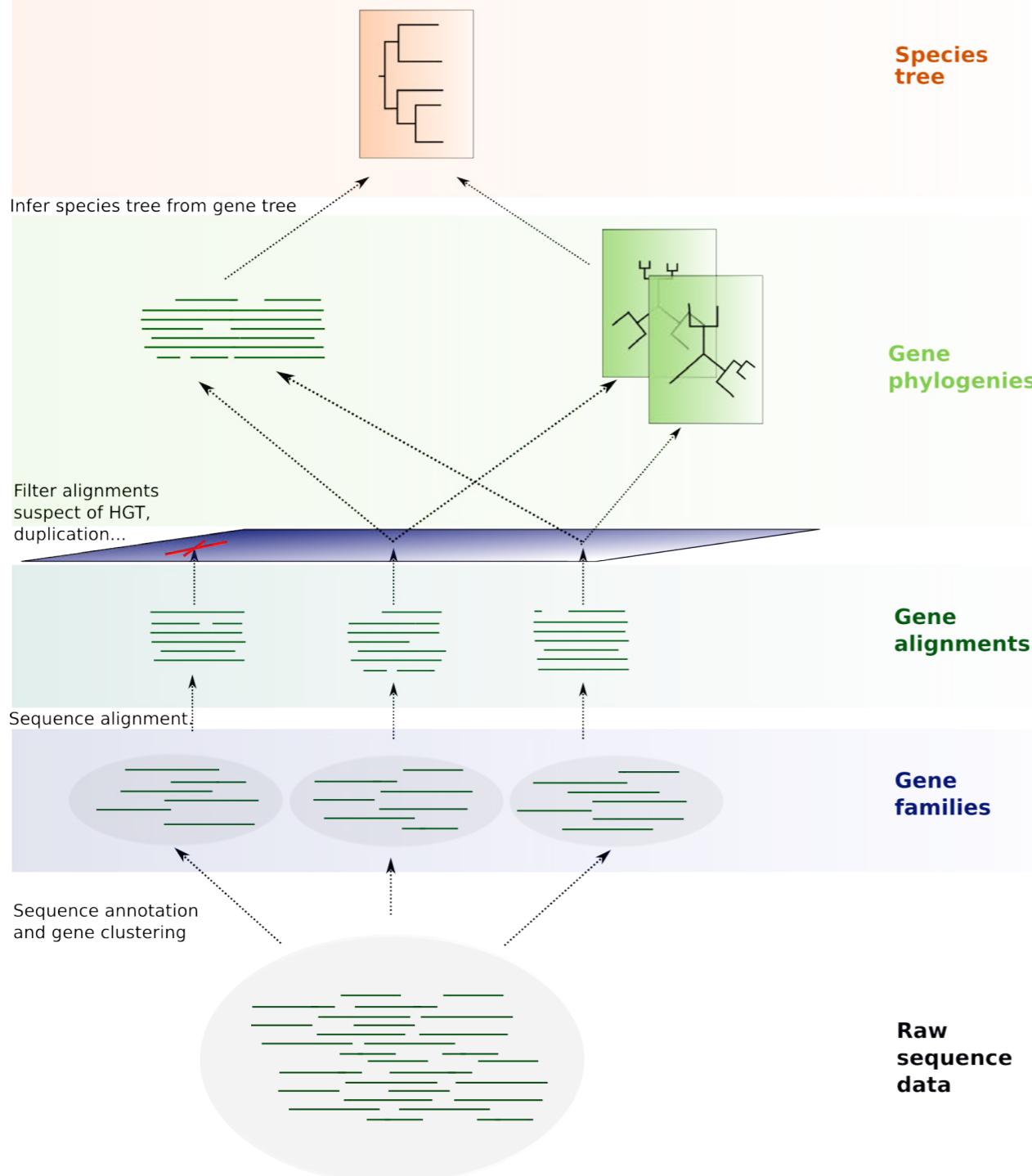
HGT from the dead

Amalgamated Likelihood Estimation

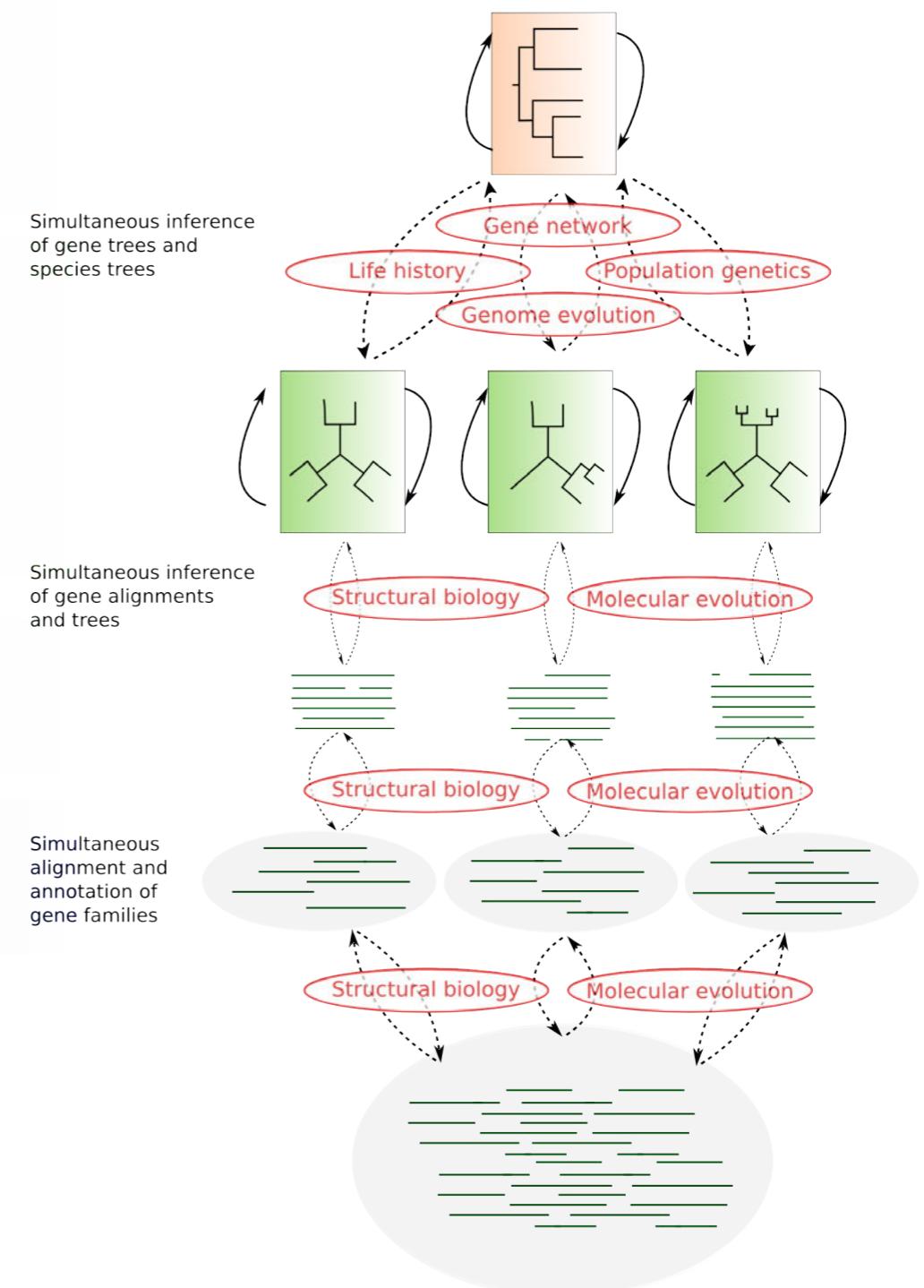
Phylogenetic awareness

“phylogenomics — why we are doing it all wrong”

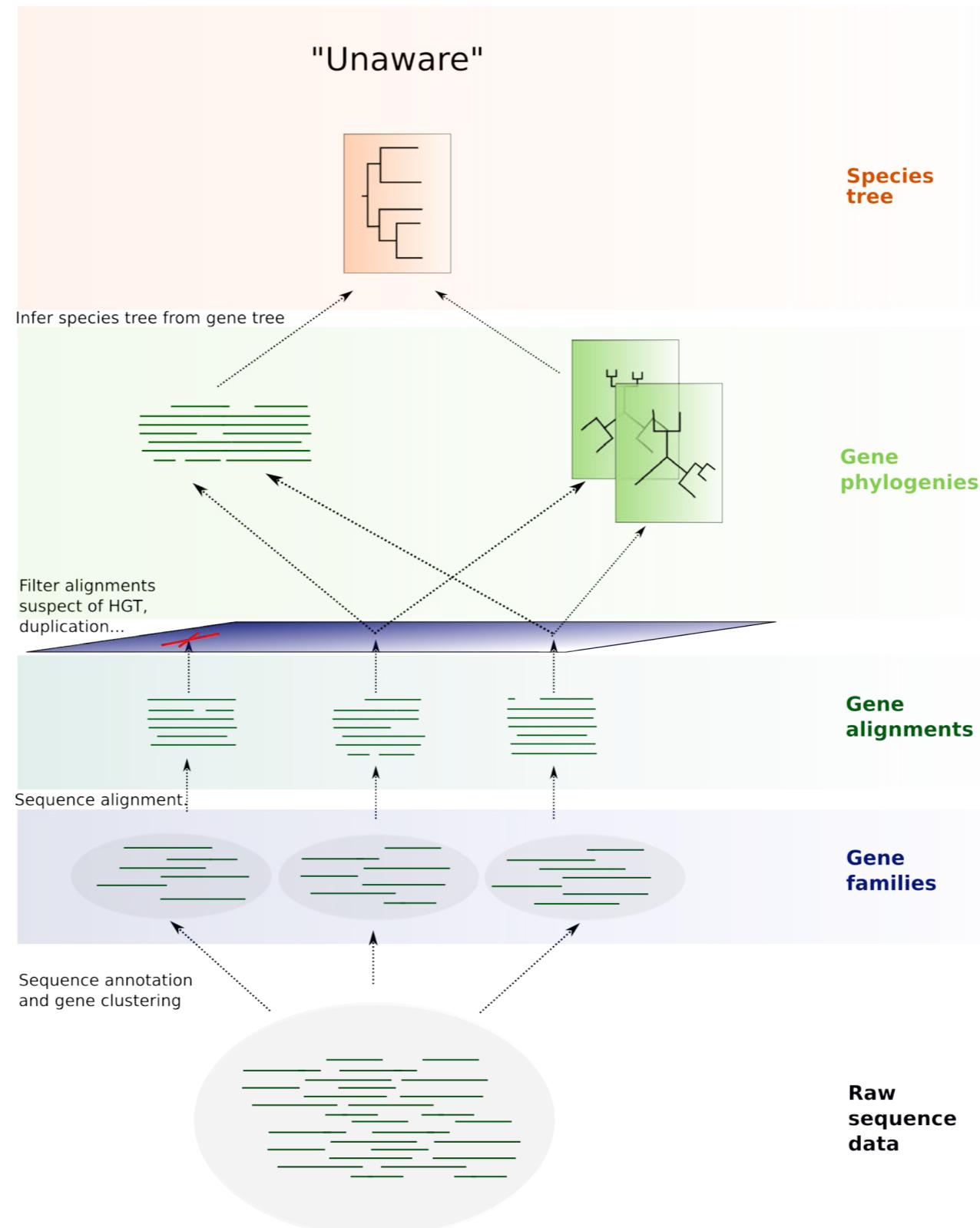
"Unaware"



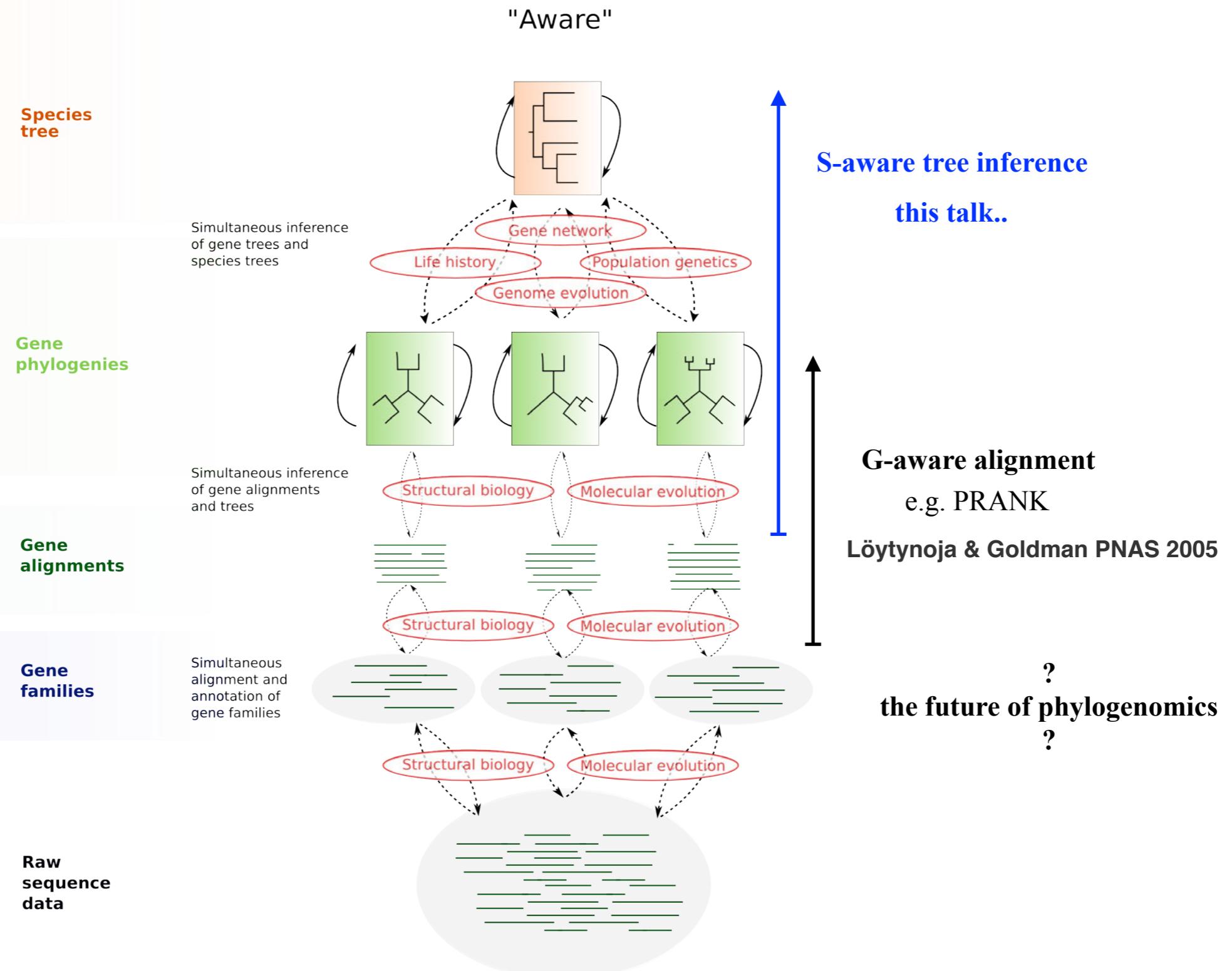
"Aware"



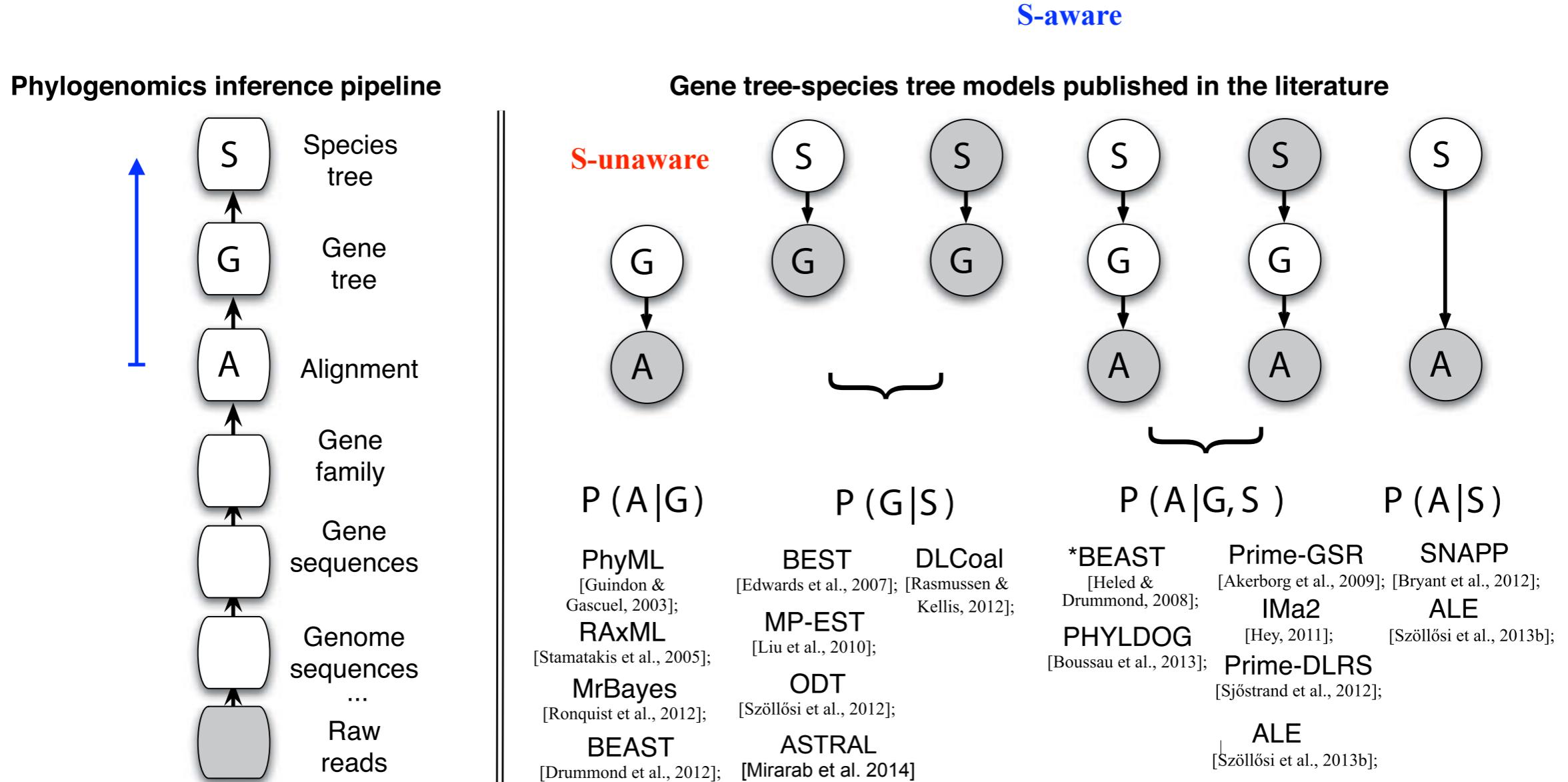
In the unaware path (the traditional way of inferring the species tree) each stage of the phylogenetic inference is independent from the steps up- and downstream.



In contrast, the aware path models the dependency between each step using knowledge from different fields of biology..



Species tree-awareness

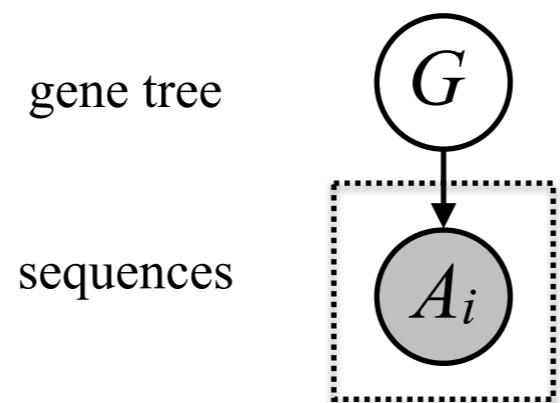


Szöllősi,.., Boussau 2015 Syst. Biol.

Molecular phylogenetics infers gene trees based on sequence..

“sequence only” inference

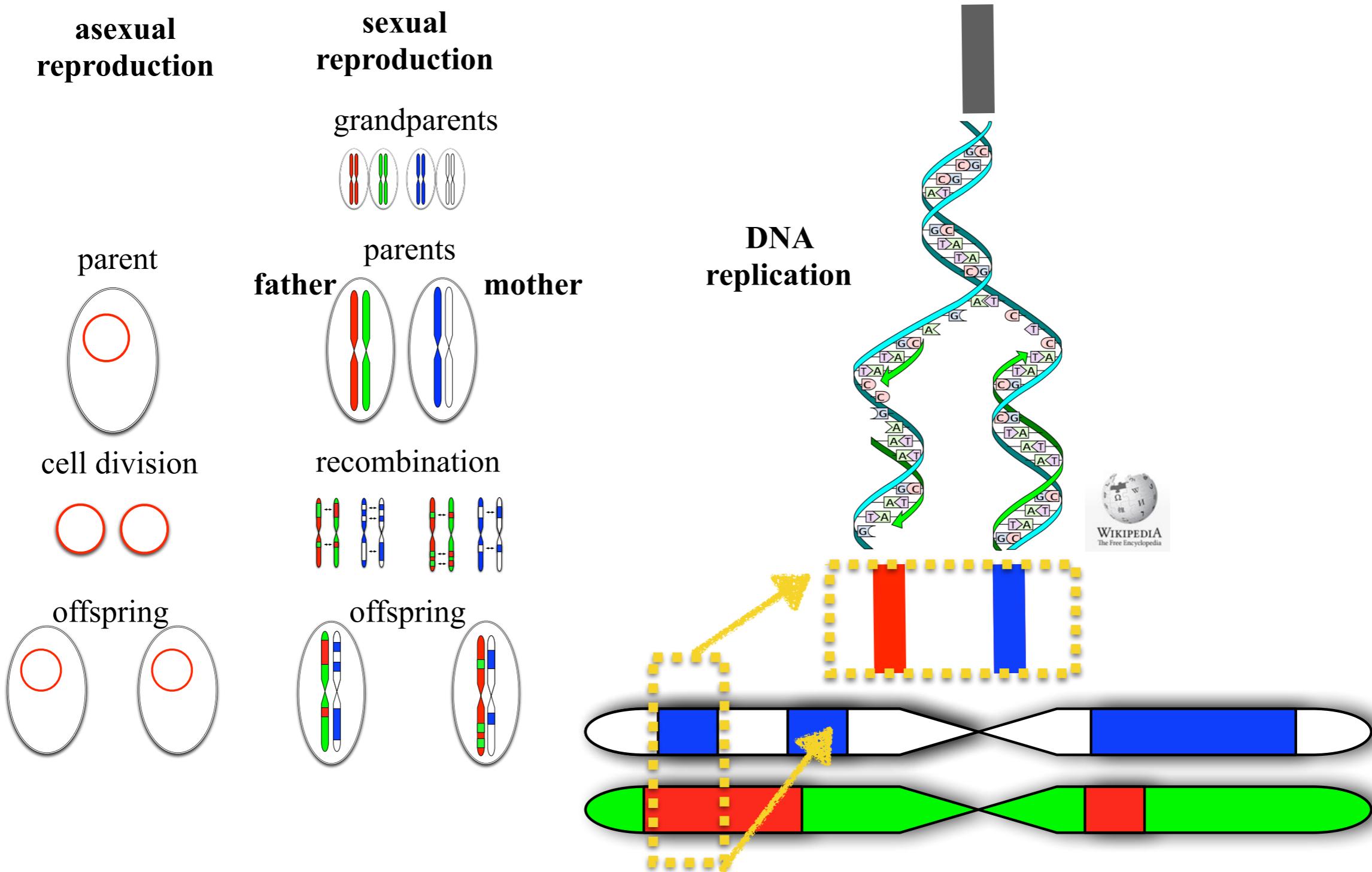
species tree-unaware



TATCAAGTC..
TATCAAGAC..
TATCACGAC..
TACCAGGAC..
TACCAGGTT..
TACCAGGAT..

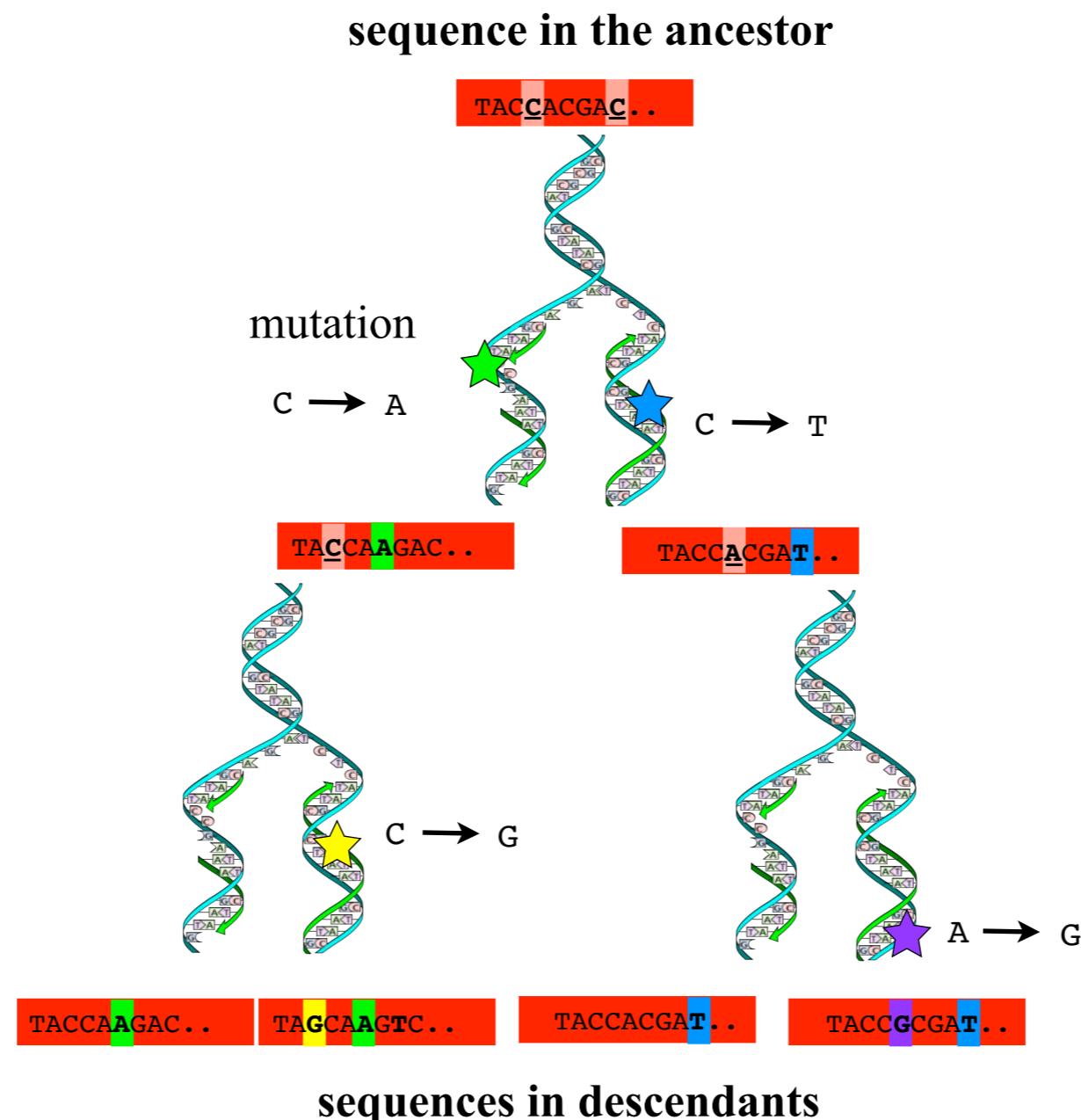
Today's sequences are a results of a series of replication events

Independent of the details of reproduction the story of two homologous pieces of DNA can (locally) always be traced back to a single replication event.



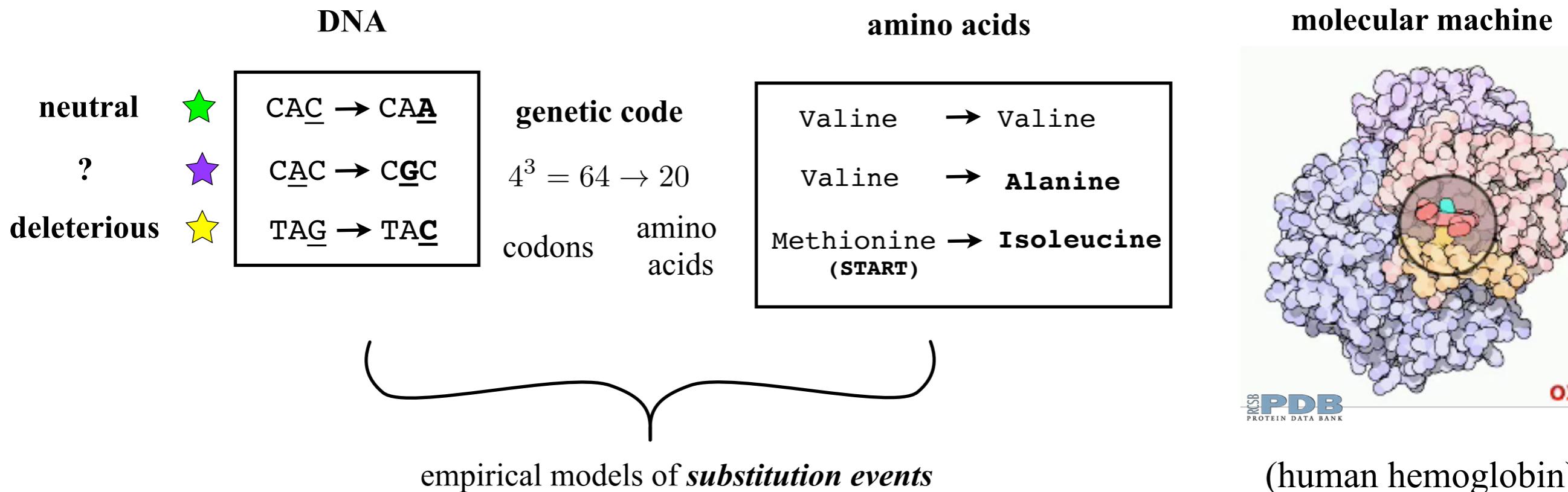
Errors that occur during replication are inherited

Errors introduced into sequences during replication (e.g. point mutation) are inherited. The fate of each error depends on its effect on phenotype and a variety of other factors.



Errors that occur during replication are inherited

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TN92, TN93, F81, HKY85, GTR, TKF91, TKF92, WAG, BLOSUM, PAM, JTT92, LG08, REV, MTREV, GY94, MG95, NY98, M0, M1, . . . M13, CAT (and CAT again), MKv, Dayhoff, JC69, K2P, K3P, ECM, DEC, BM, OU, EB, CATBP, GG98, TS98, G01, UCLN, UCG, RLC, ACLN, CIR, and WN

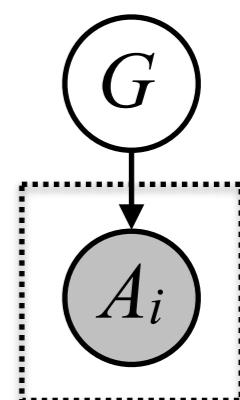
CAT+GTR

Lartillot & Philippe 2004



LG

Le & Gascuel 2008



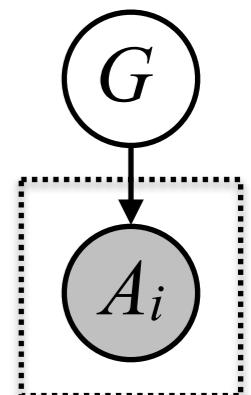
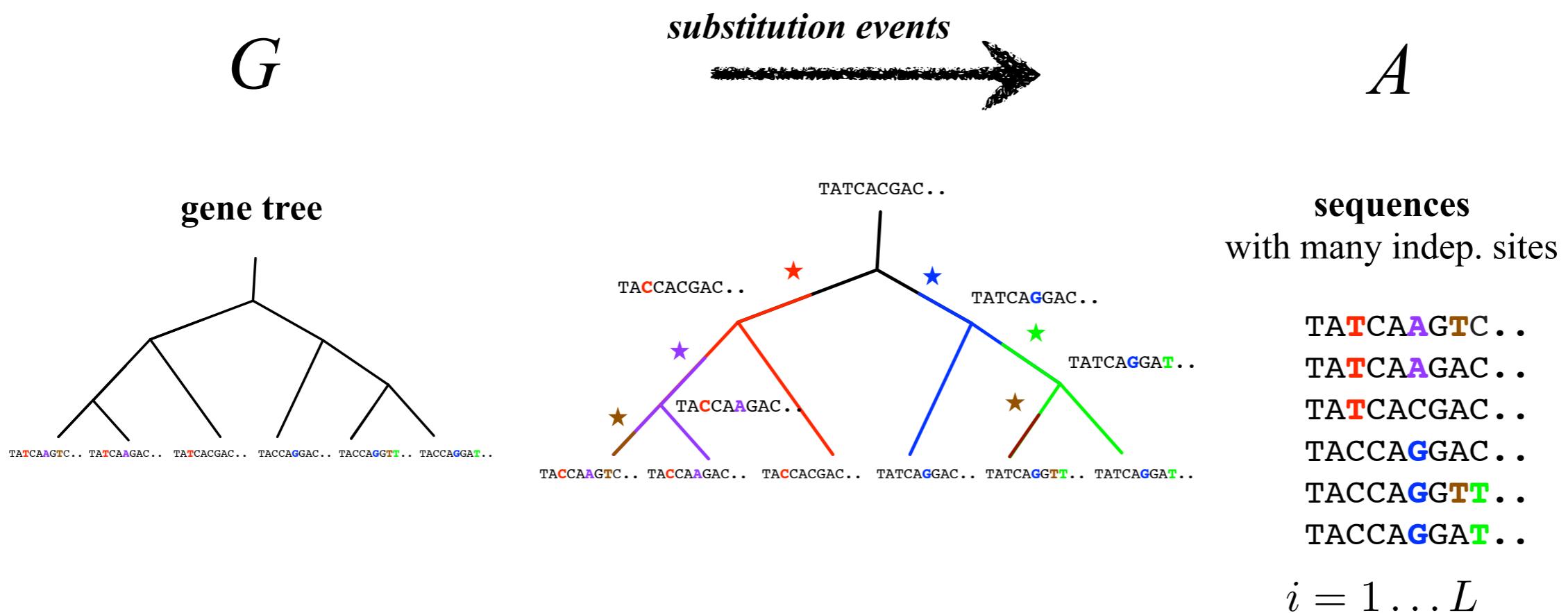
The story of homologous genes can be reconstructed

Given a model of sequence evolution the gene tree can be reconstructed, because it induces a probability distribution over site patterns (some site patterns are more likely than others given a particular gene tree), and in return, the site patterns we observe inform us about the gene tree along which they were generated.

Likelihood of the sequences A given the gene tree G :

$$p(A|G)$$

Felsenstein 1981



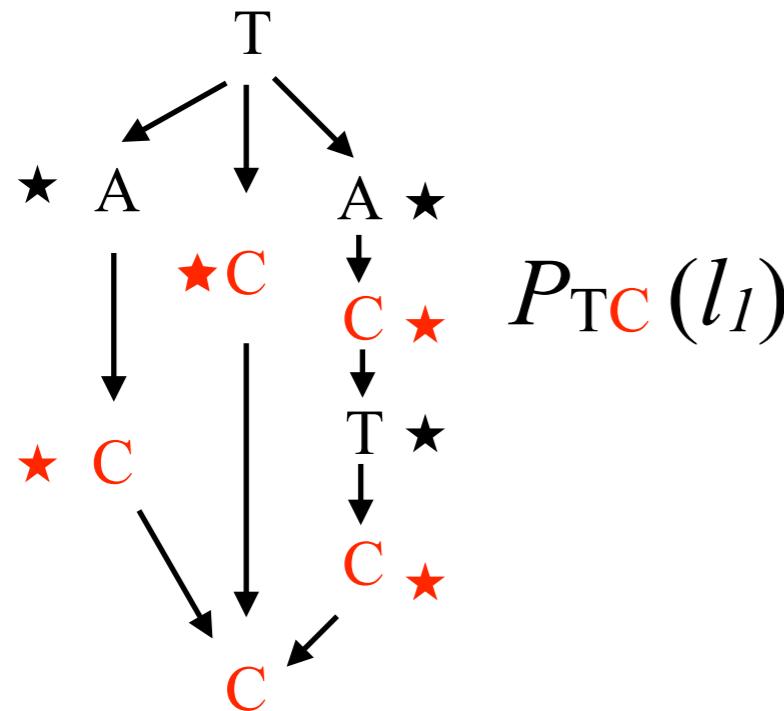
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Calculating the likelihood of the sequences A given the gene tree G

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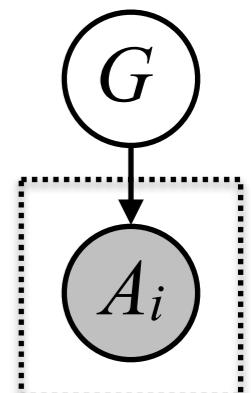
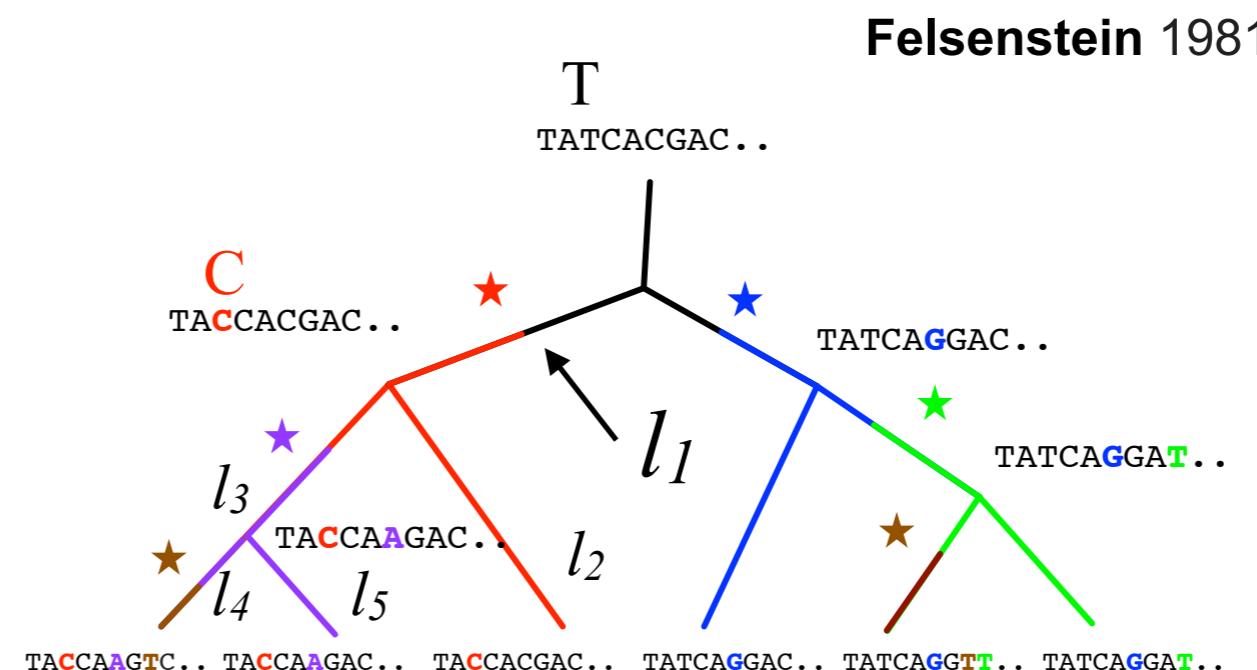
requires summing over all possible substitution paths.

**sum over subs. along branch
conditional on states on top and bottom**



(for $l=0.2$ subs./site
1.6% will have 2 subs.)

sum over ancestral states



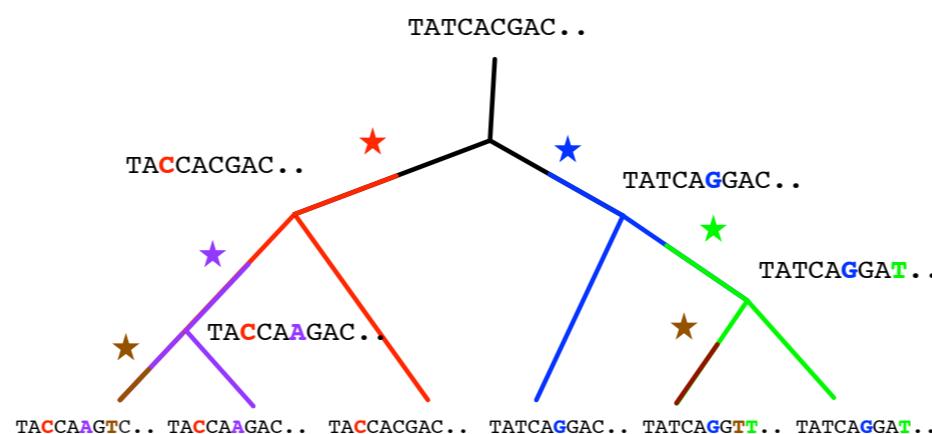
$$= \dots \times P_{TC}(l_1) \times P_{CC}(l_2) \times P_{CC}(l_3) \times P_{CC}(l_4) \times P_{CC}(l_5)$$

The story of individual gene families is often blurred

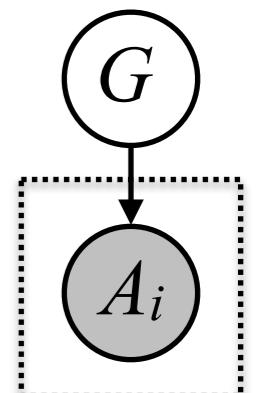
Individual genes alone contain limited signal, and as a result phylogenetic reconstruction almost always involves choosing between statistically equivalent or weakly distinguishable relationships.

In the vicinity of the G -s that optimises the likelihood

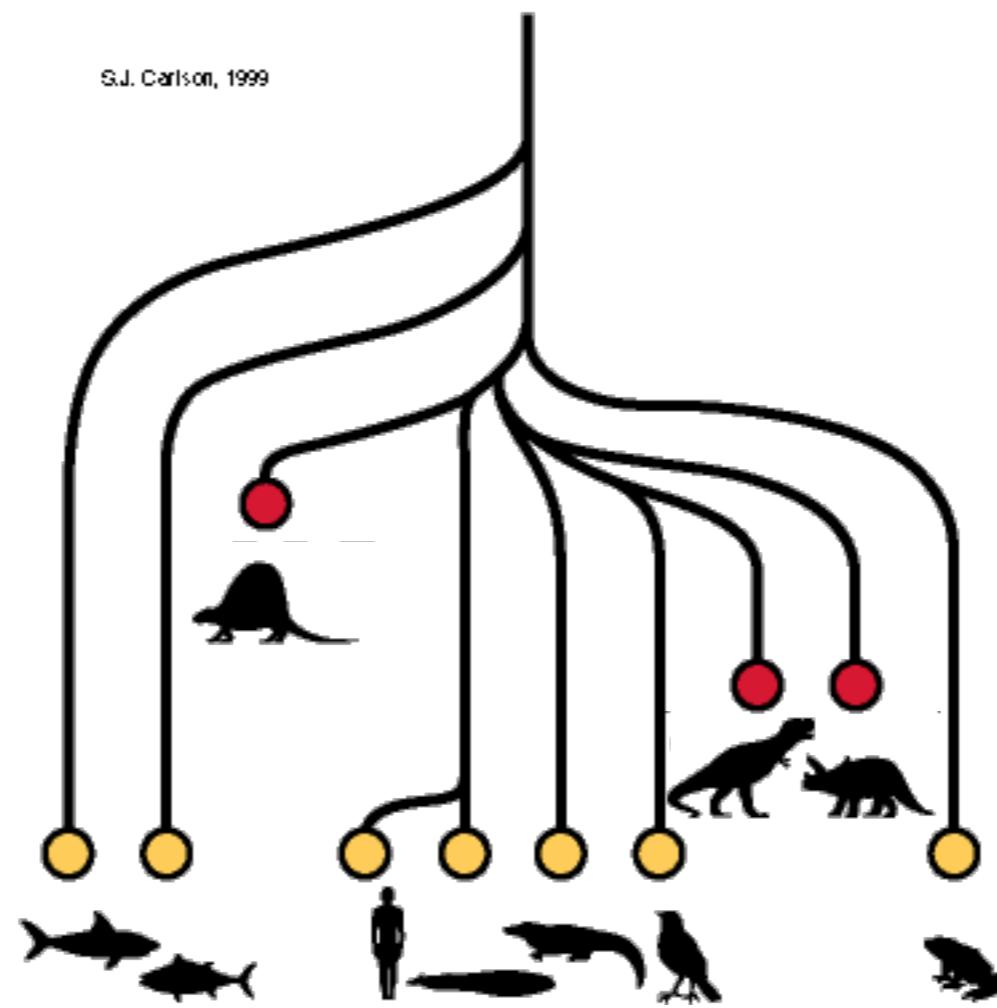
$$p(A|G)$$



there are often many statistically equivalent gene trees:



Anyway, we really care about the species tree..

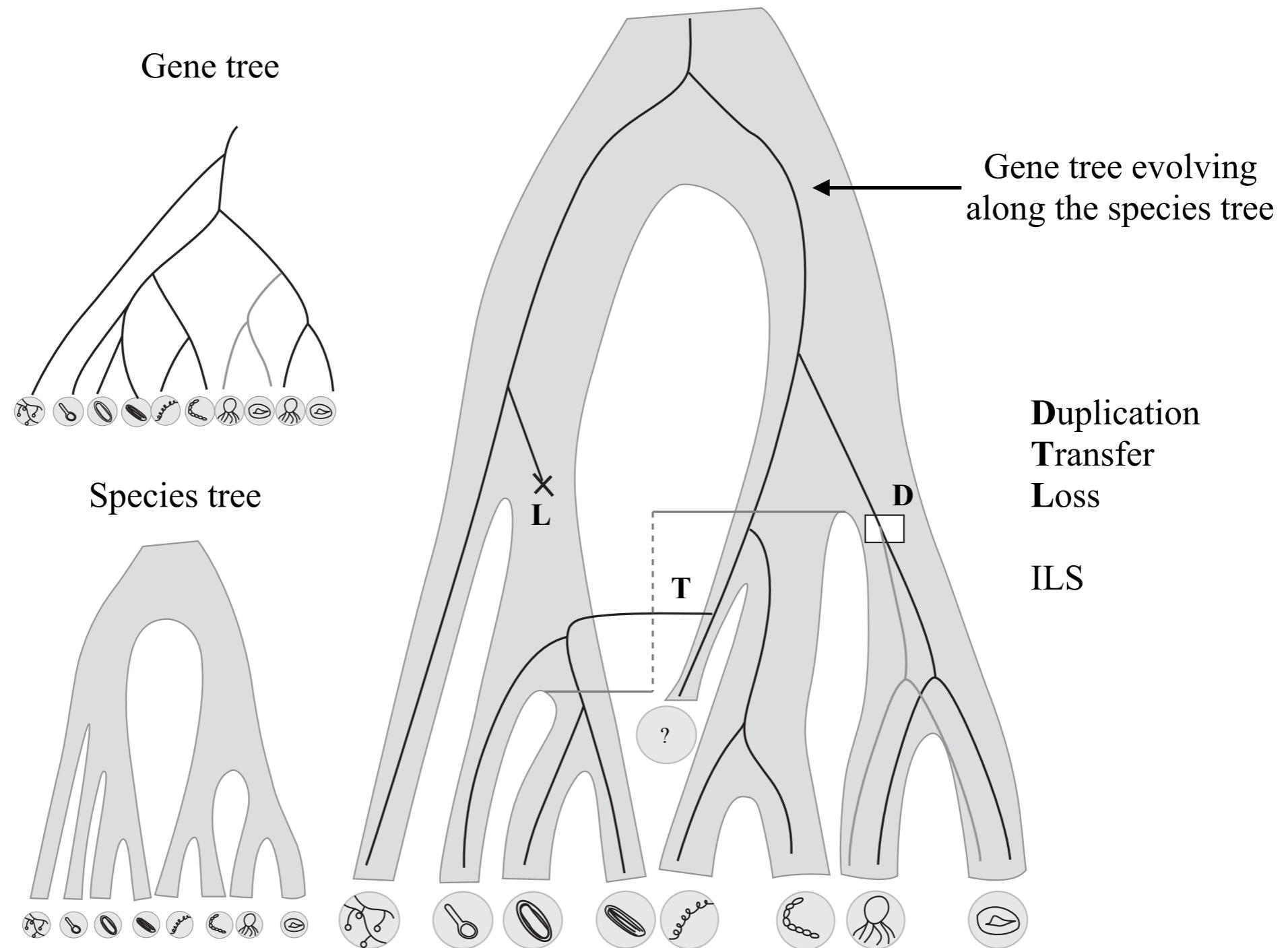


today's vertebrates

(S)

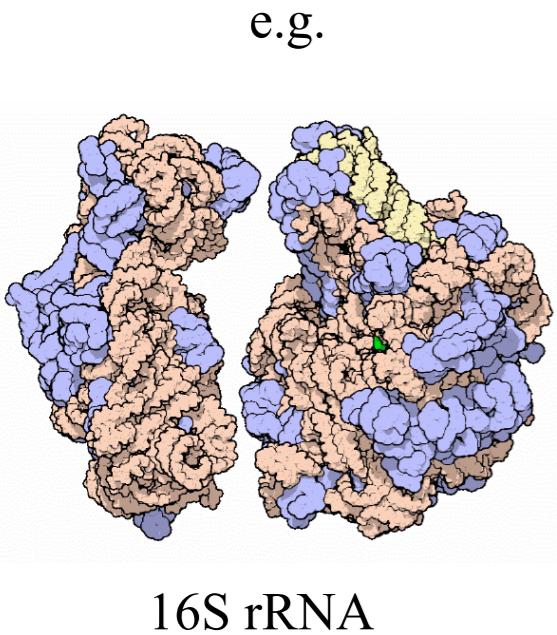
The problem is gene trees are not species trees

A gene tree is a deformation of the species tree through the prism of genome evolution and population genetics processes.



Phylogenomics — the history of the 1%

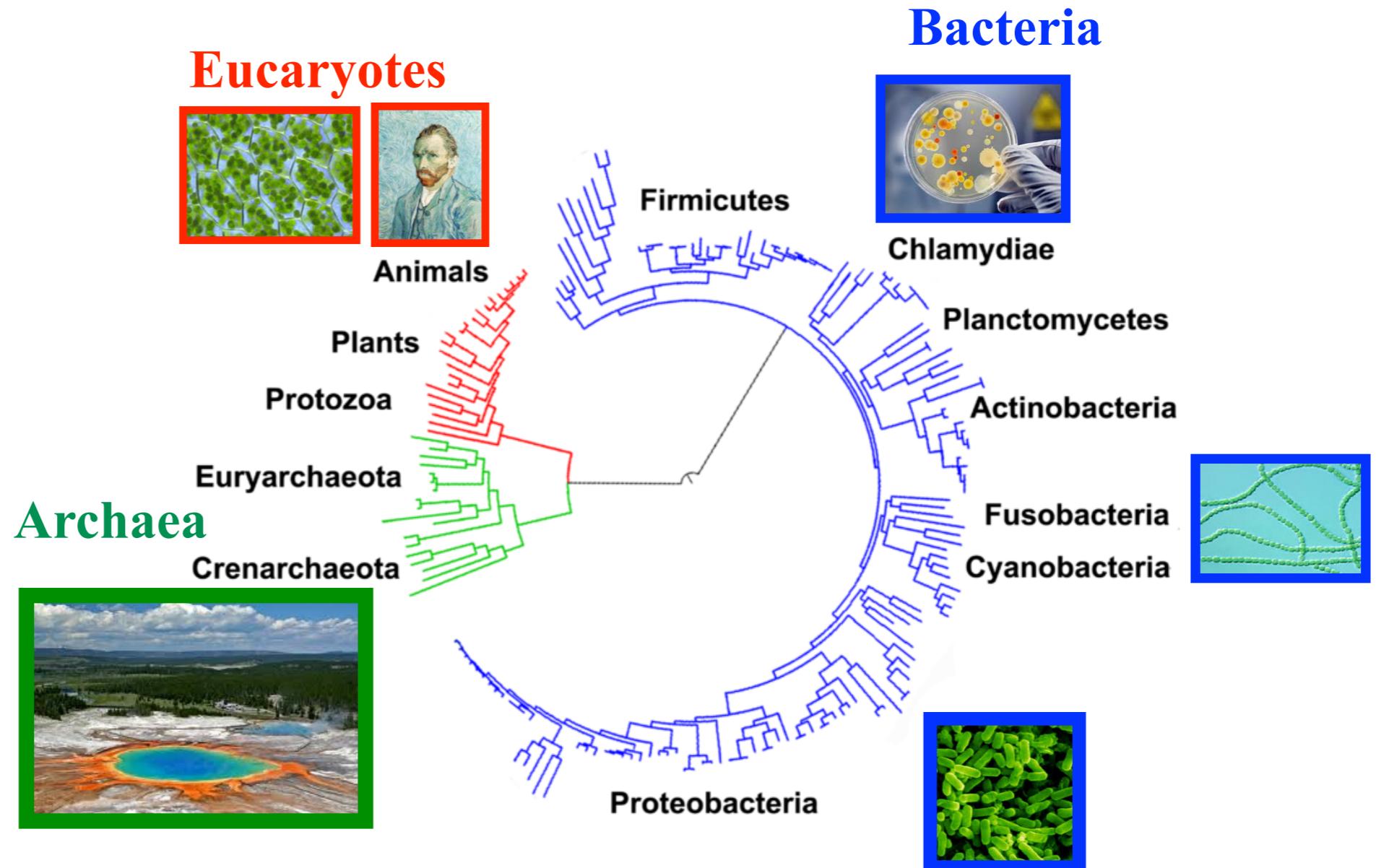
Carefully choosing gene present in a single copy in each organism we can equate gene trees with the species tree ..



Carl Woese, 1977

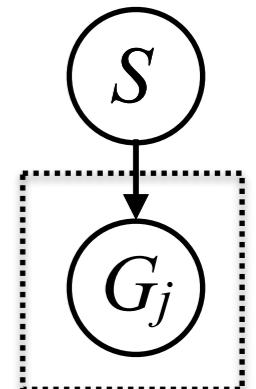
at most a few dozen

Ciccarelli, 2006



.. but gene trees are generated along the species tree

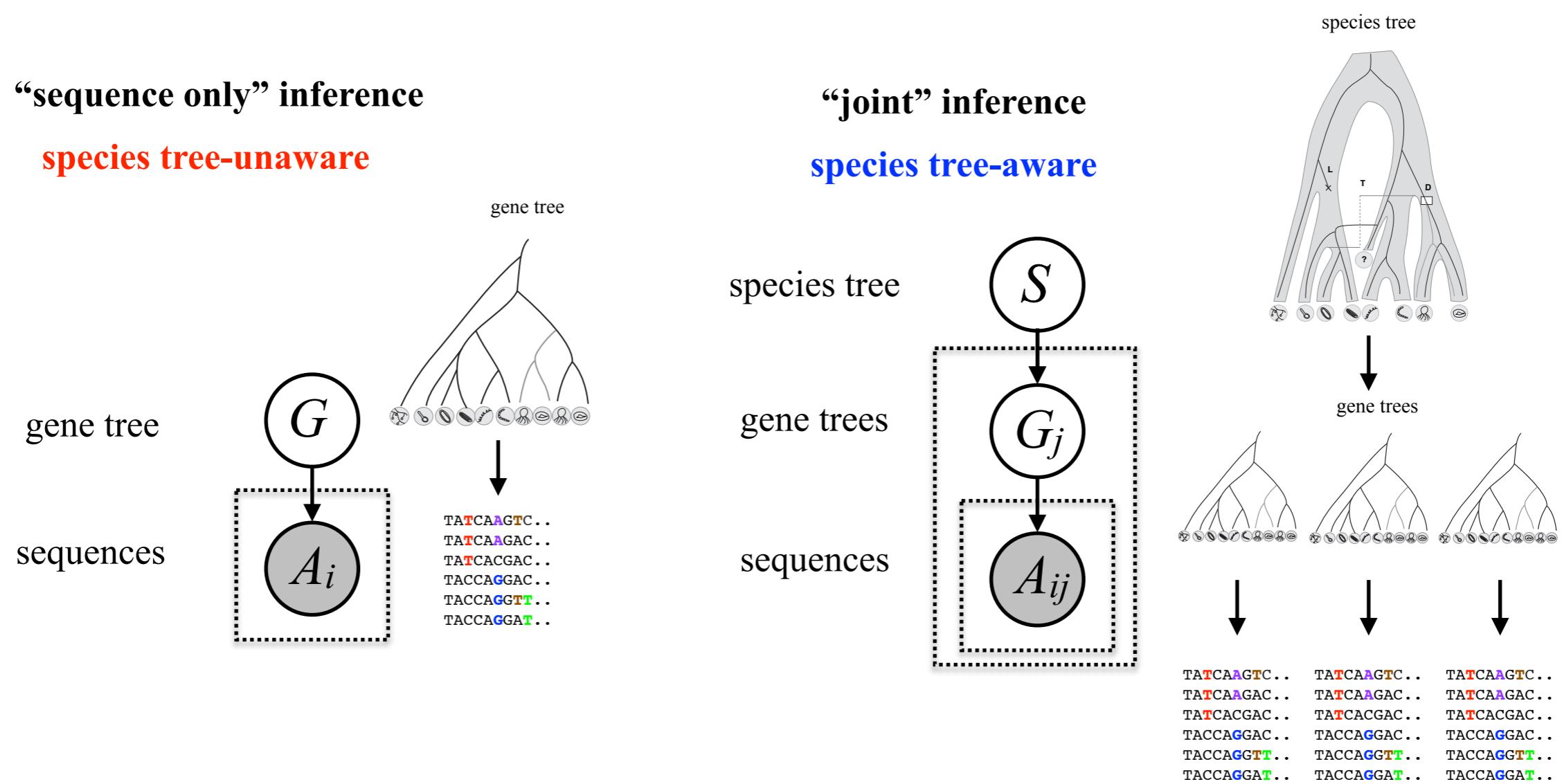
A gene tree is a deformation of the species tree through the prism of genome evolution and population genetics processes. A species tree induces a probability distribution over gene trees (some gene trees are more likely than others given a particular species tree), and in return, the inferred distribution of gene trees informs about the species tree along which they were generated.



Daubin & Boussau 2011 Trends Ecol. Evol.

The solution is to model how gene trees are generated along the species tree

A species tree induces a probability distribution over gene trees (some gene trees are more likely than others given a particular species tree), and in return, the inferred distribution of gene trees informs about the species tree along which they were generated.



The stories gene families can be complicated

The story of each gene family consist of a unique series of evolutionary events that often results in a change of copy number and shifts in function.

Human hemoglobin is composed of

$2\alpha +$

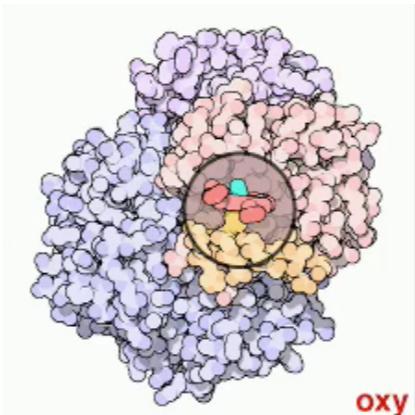


adult



fetus

$+2\beta$ (97%)
 $+2\delta$ (3%)



molecular machine

Human

Cow

Horse



adult

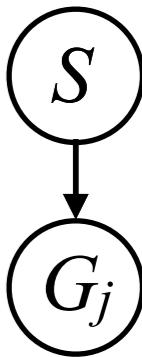


fetus

$+2\gamma$

$2\alpha + 2\beta$ chains.

DL



$+2\{\beta\delta\}$

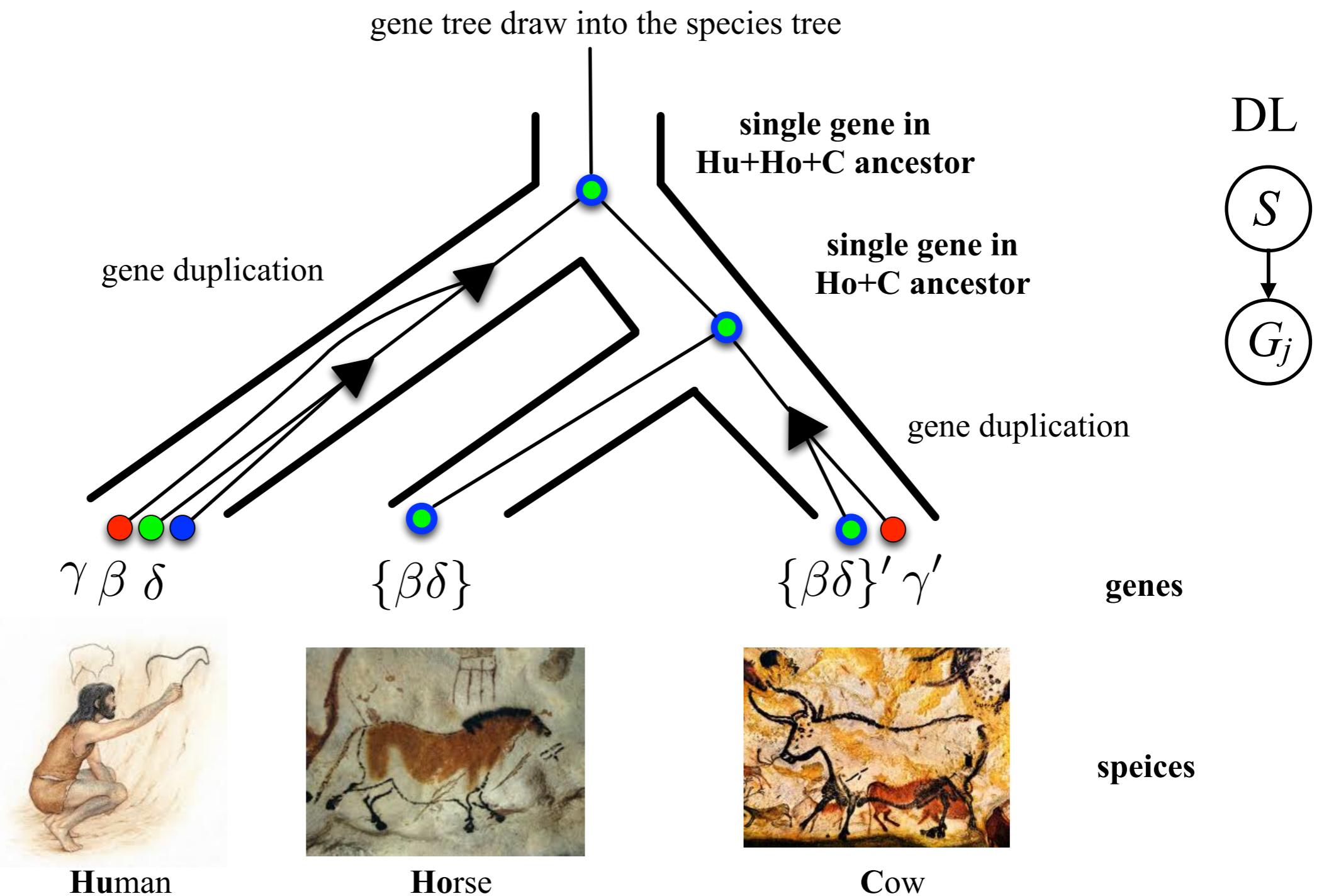


adult and fetus

$+2\{\beta\delta\}$

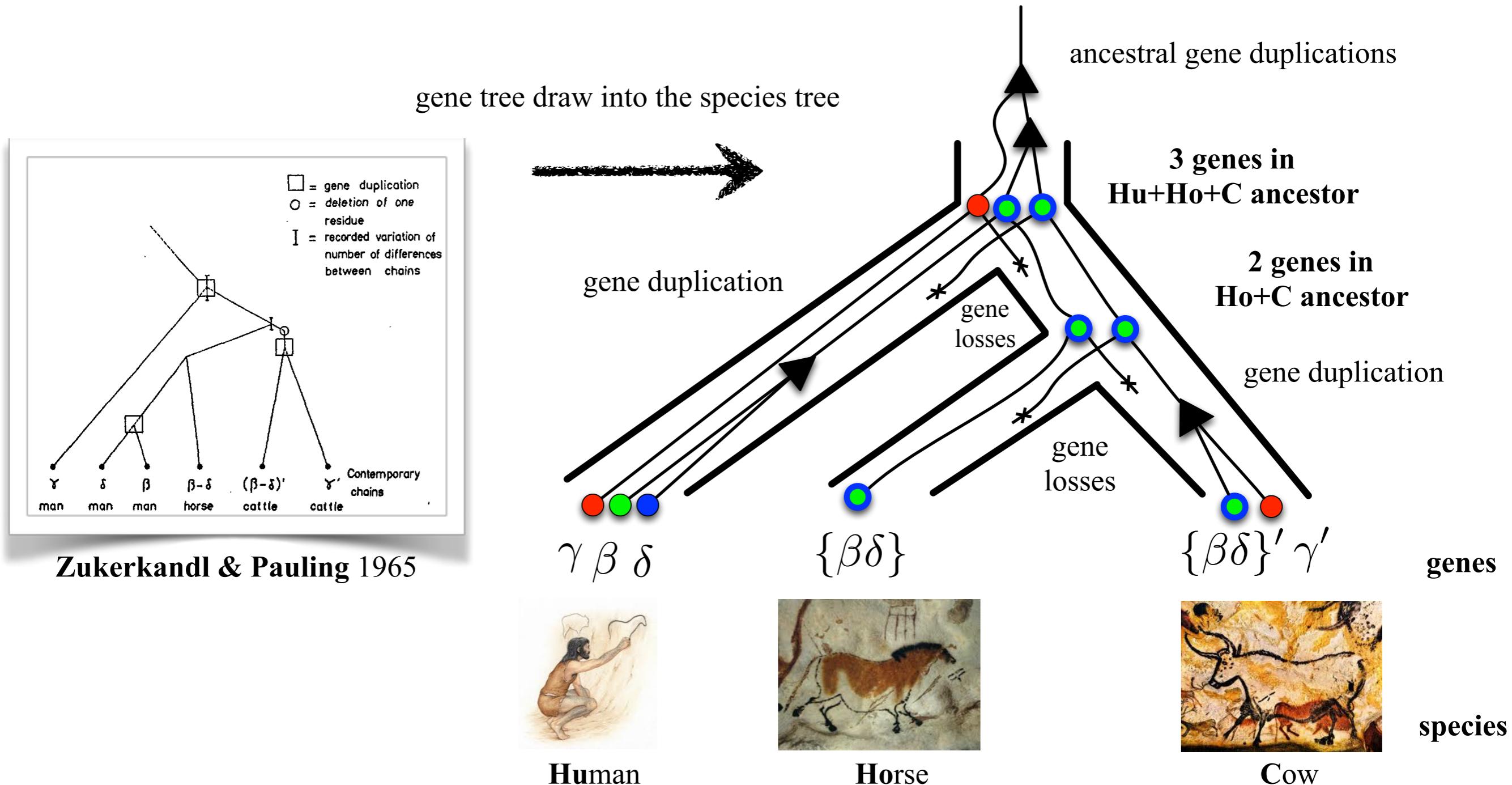
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The story of individual gene families is often blurred

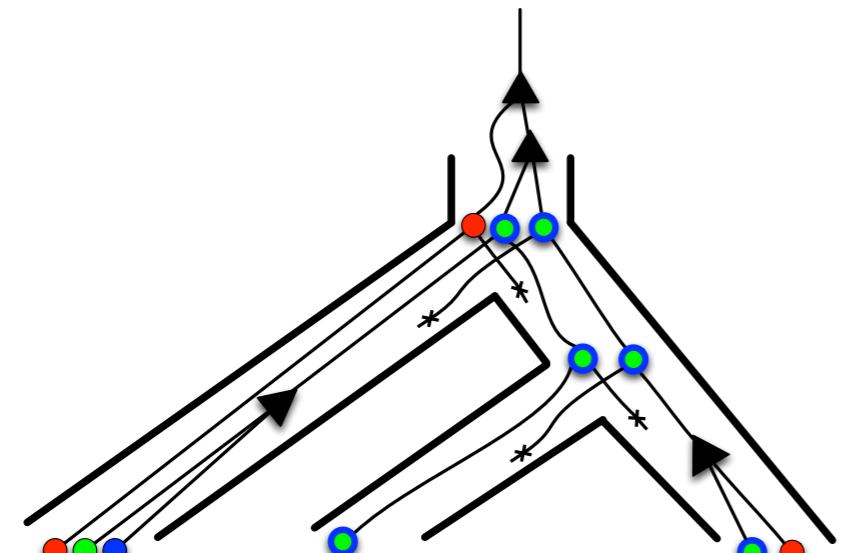
Errors in gene trees will result in conflicts with the species tree that imply spurious evolutionary events.



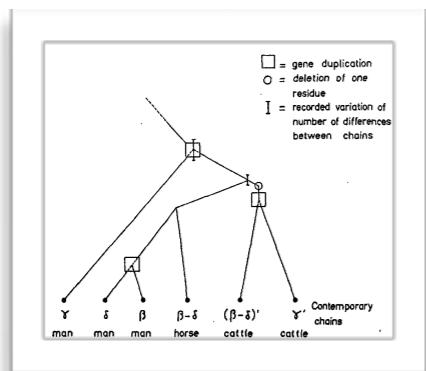
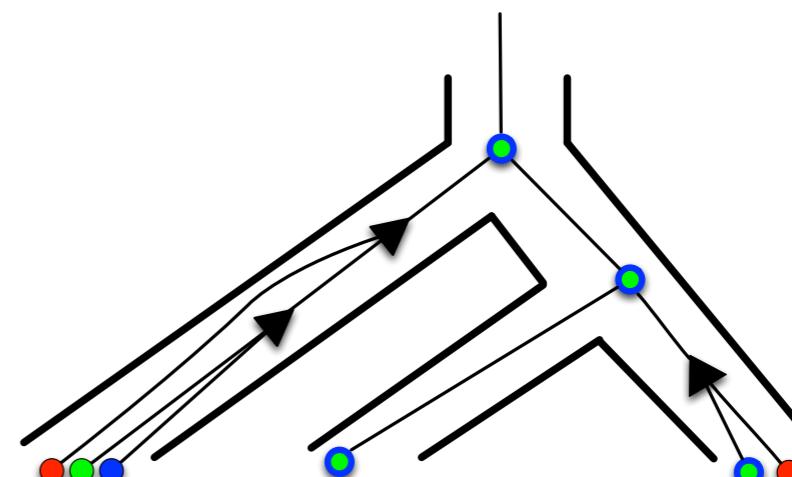
.. but gene trees are generated along the species tree

Given a model of gene family evolution a species tree induces a probability distribution over gene trees, thus **some gene trees are more likely than others given a particular species tree**.

less likely gene tree

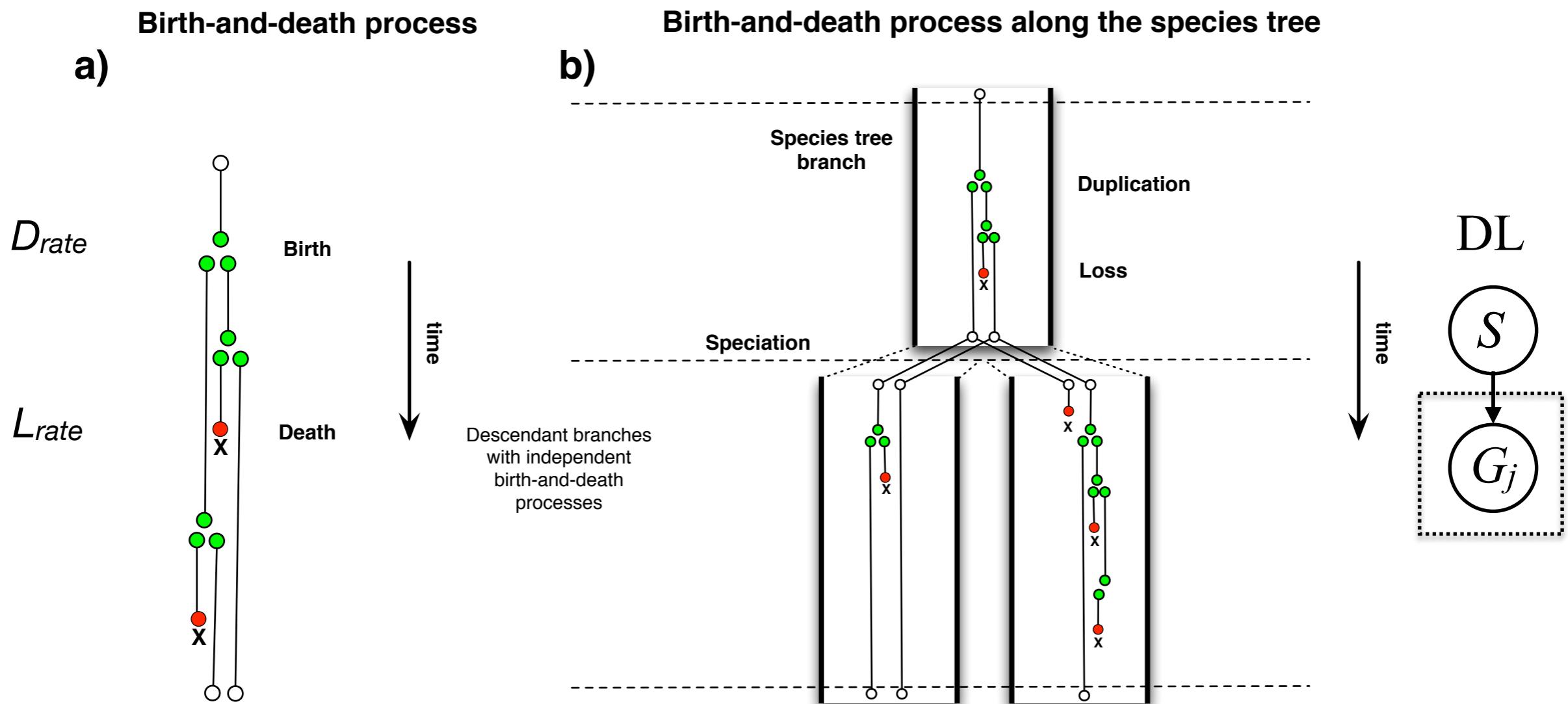


more likely gene tree



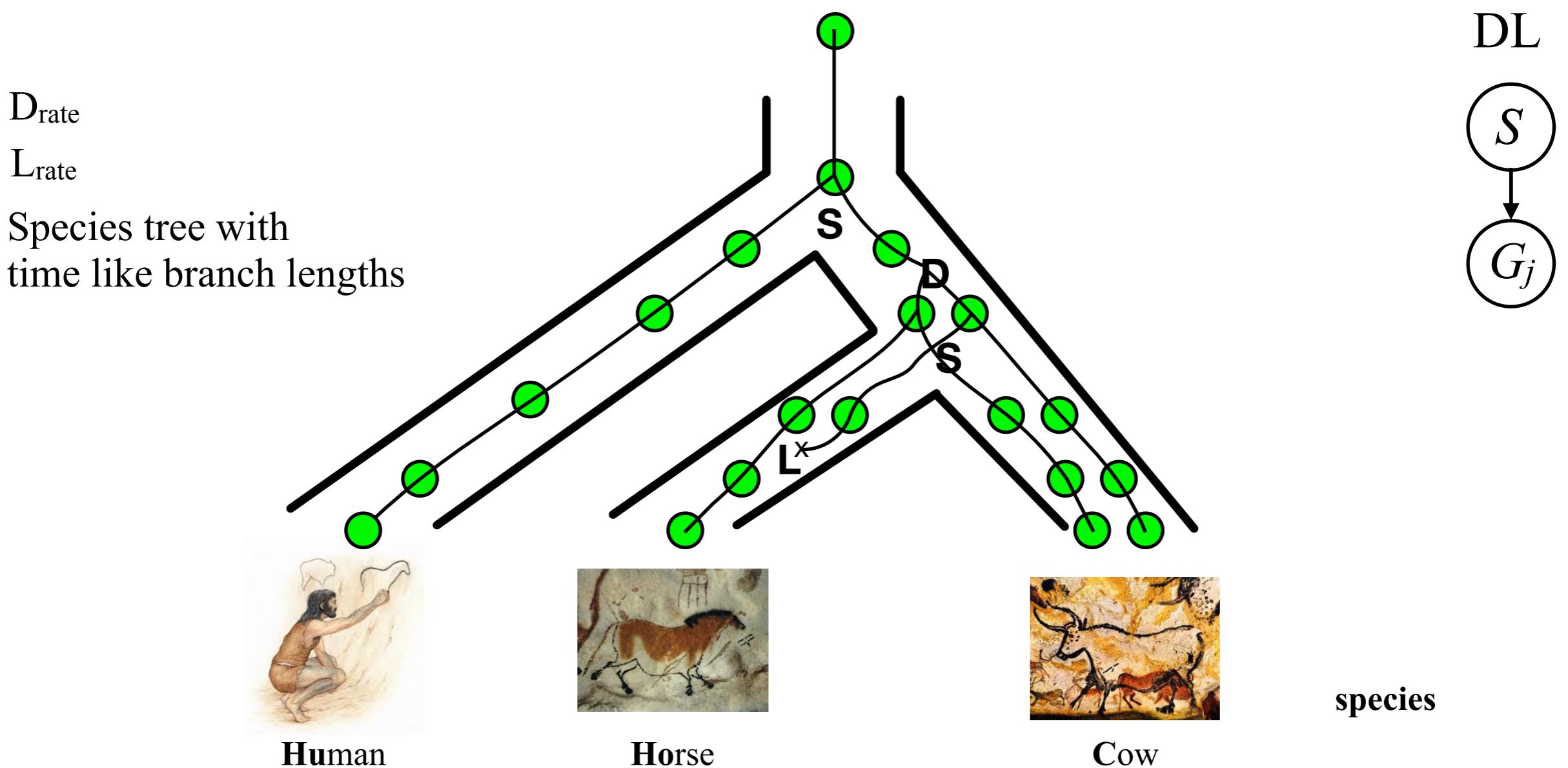
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implemented in ALE:

<http://github.com/ssolo/ALE>

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D_{rate}

L_{rate}

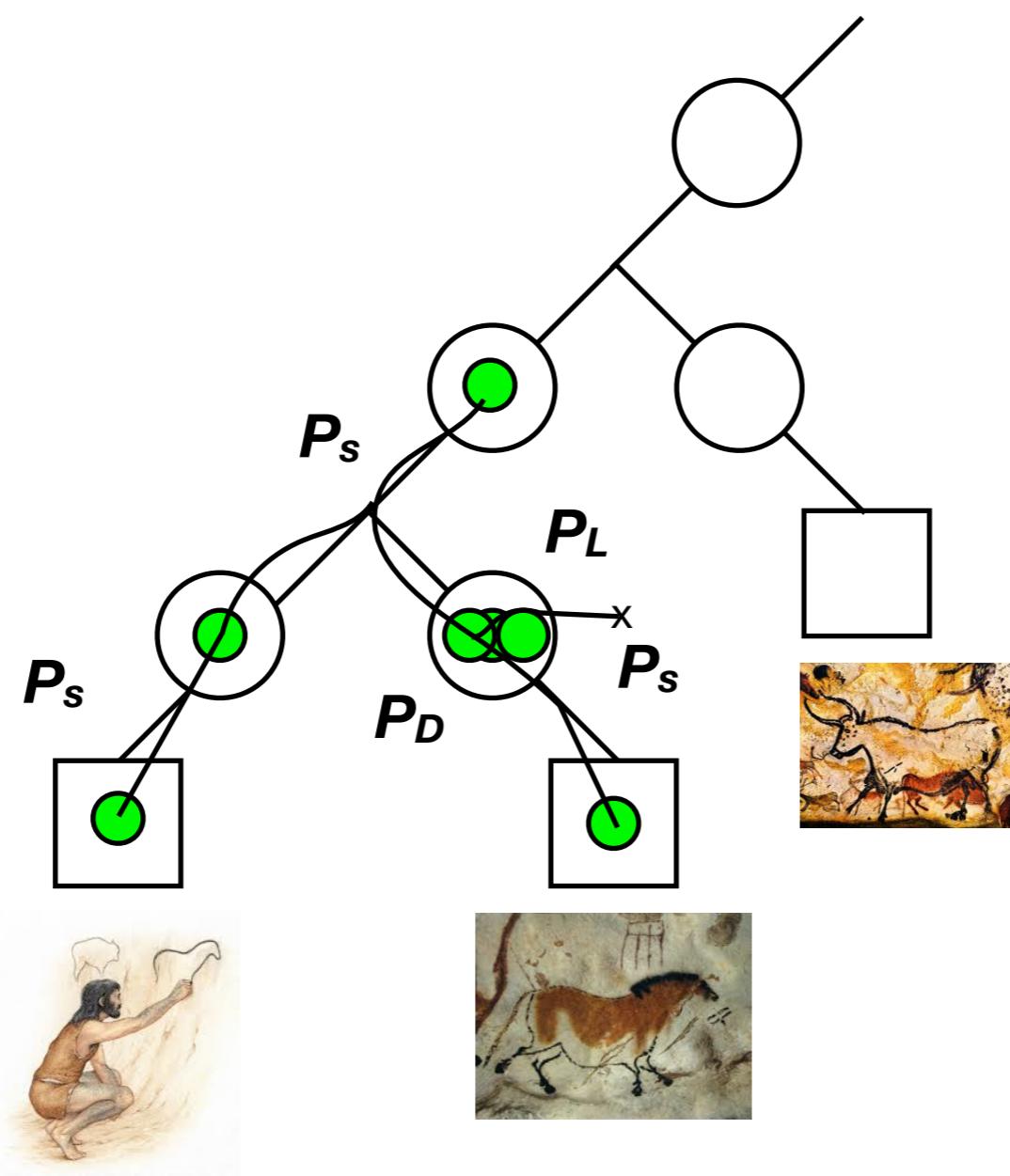
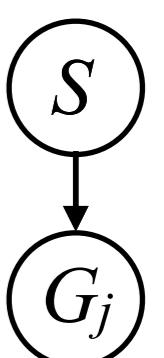
Rooted species tree

$$P_S + P_D + P_L = 1$$

$$P_D = D_{\text{rate}} / (D_{\text{rate}} + L_{\text{rate}})$$

$$P_L = L_{\text{rate}} / (D_{\text{rate}} + L_{\text{rate}})$$

DL



implemented in ALE:

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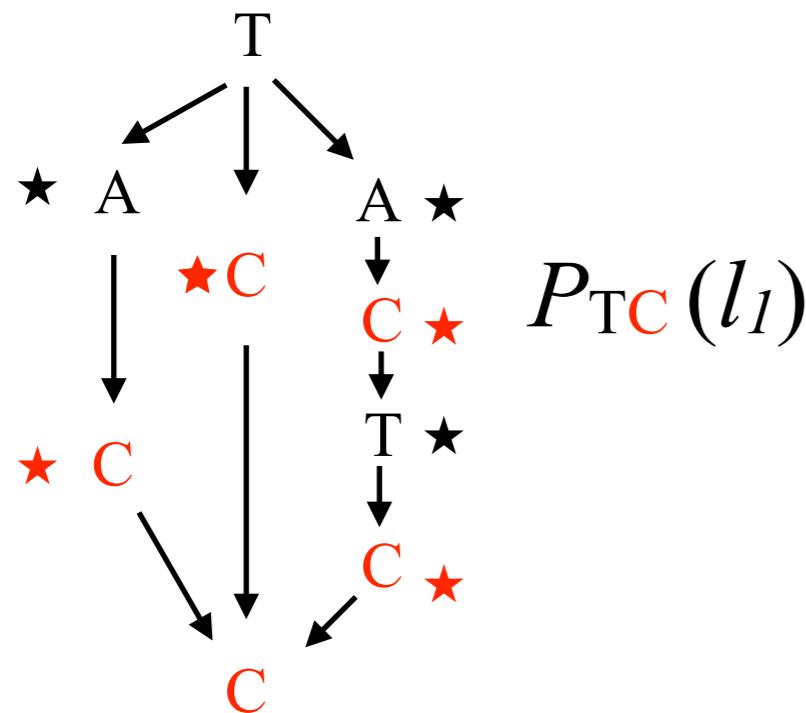
The story of homologous genes can be reconstructed

Calculating the likelihood of the sequences A given the gene tree G

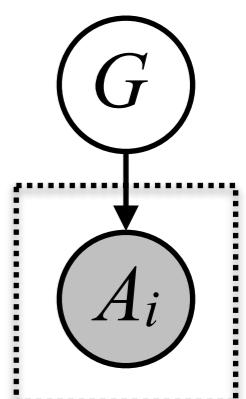
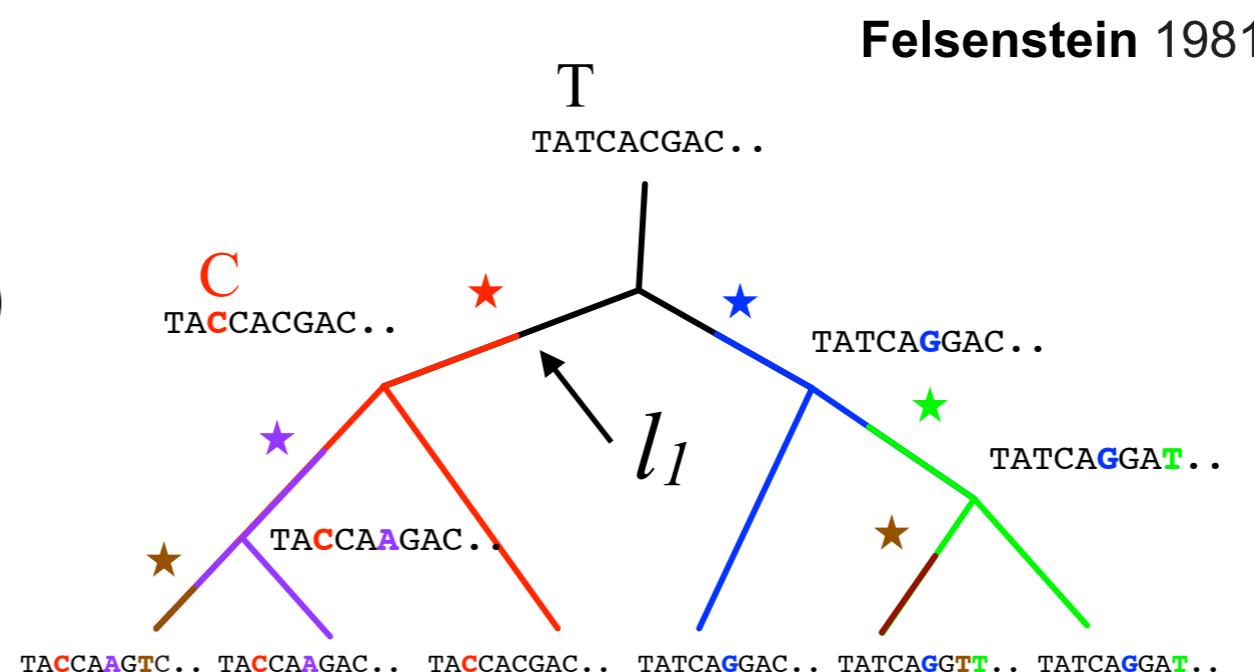
$$p(A|G)$$

requires summing over all possible substitution paths.

**sum over subs. along branch
conditional on states on top and bottom**



sum over ancestral states



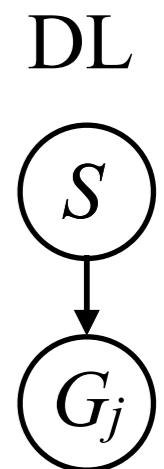
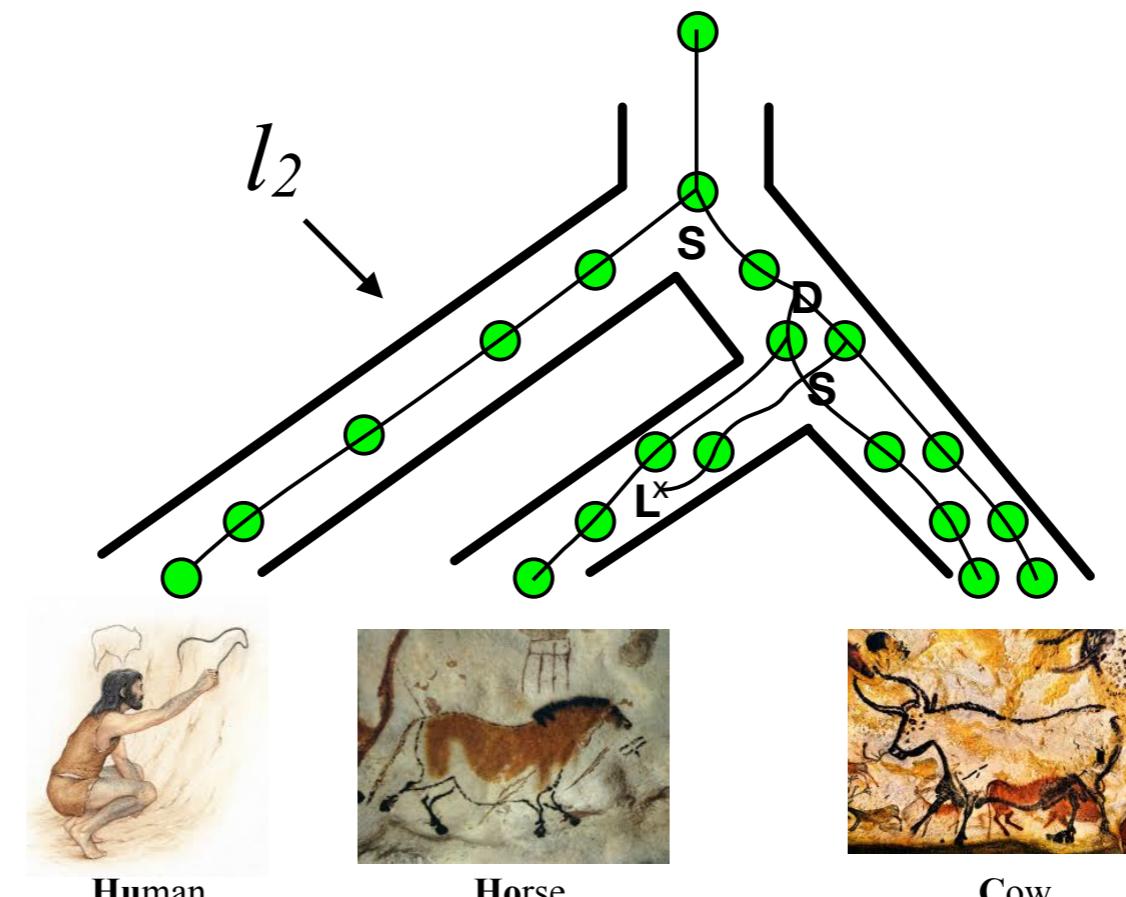
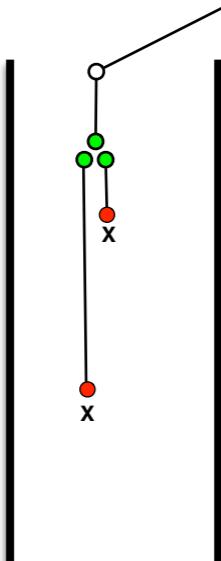
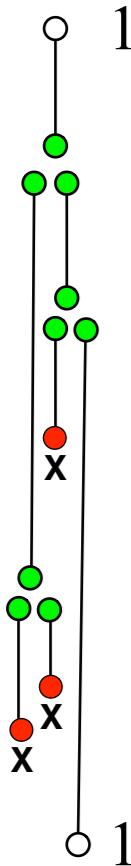
$$= \dots \times P_{TC}(l_1) \times P_{CC}(l_2) \times P_{CC}(l_3) \times P_{CC}(l_4) \times \dots$$

.. but gene trees are generated along the species tree

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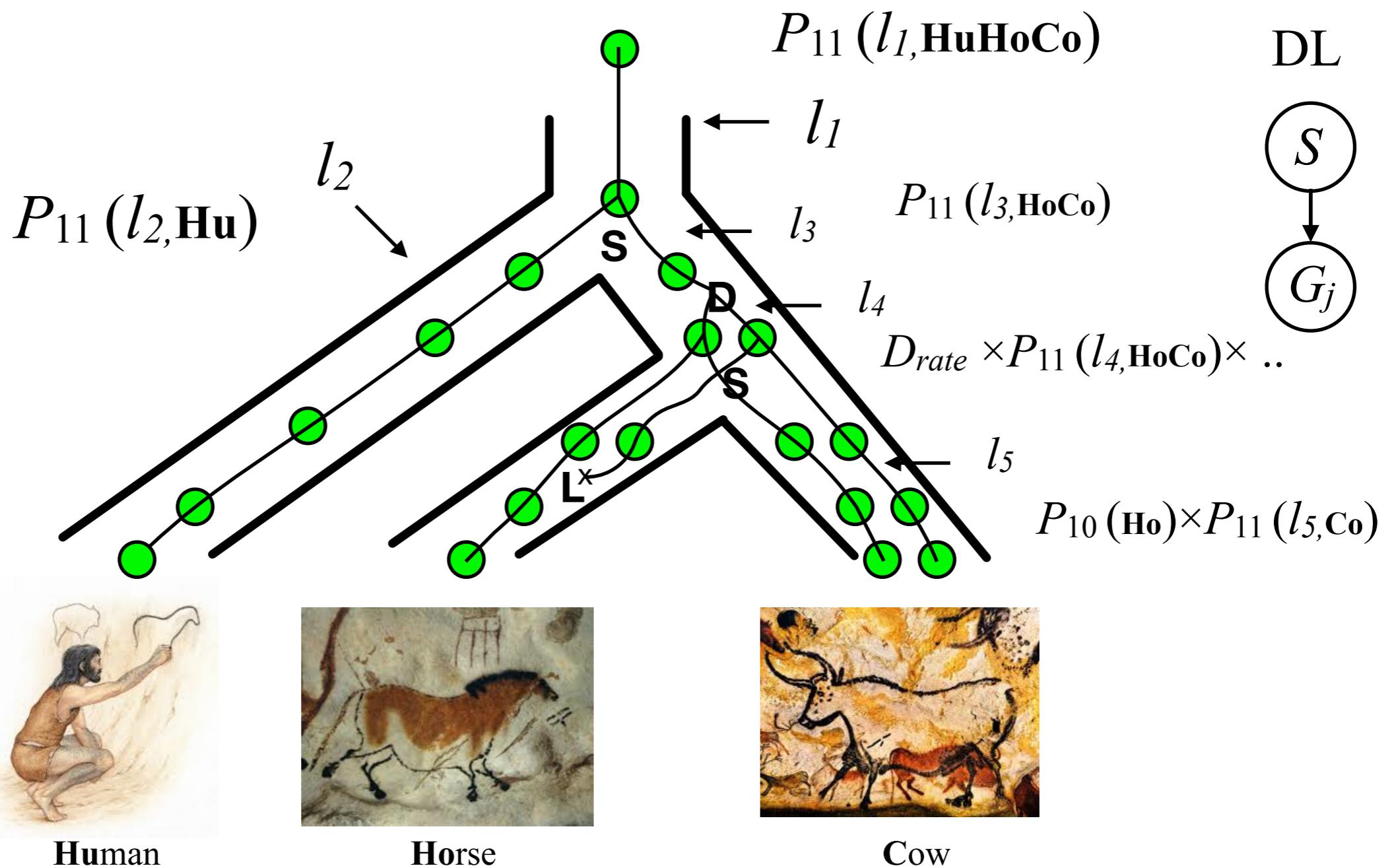
sum over gene birth and death events
along a branch conditional on reconciliation

$$P_{11}(l_2, \text{Hu}) \quad P_{10}(\text{Ho})$$



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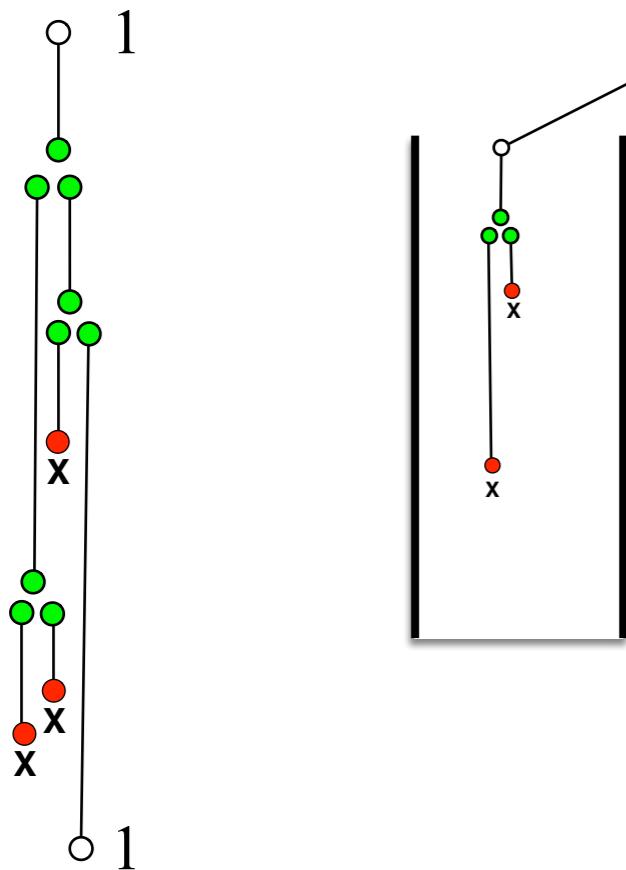


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sum over gene birth and death events
along a branch conditional on reconciliation

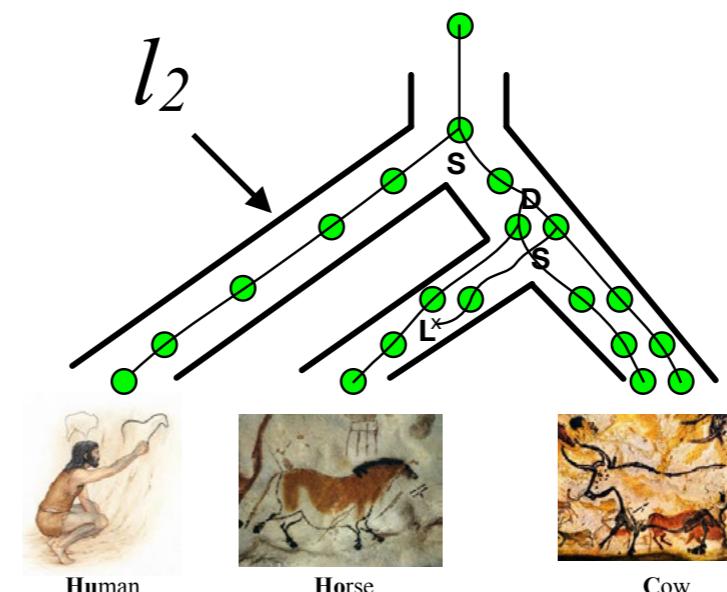
$$P_{11} (l_2, \text{Hu})$$



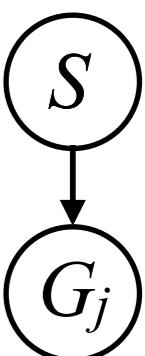
$$E(\text{Ho})$$

sum over all reconciliations with
species tree conditional on gene tree

Arvestad 2010

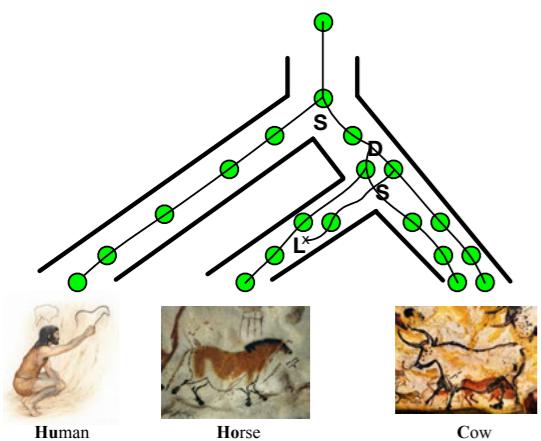


DL



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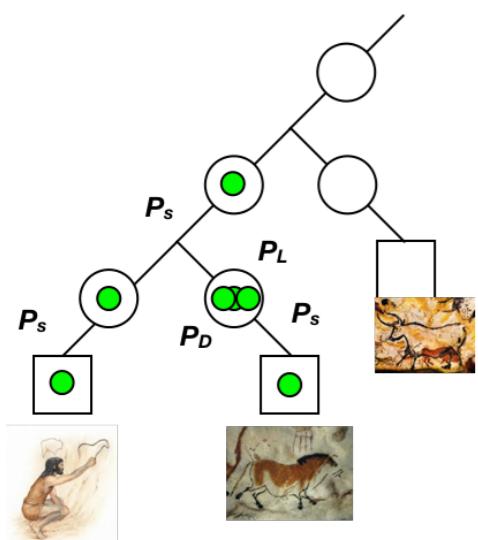
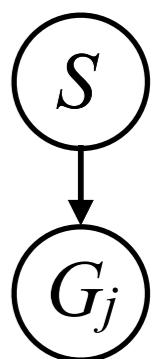
calculation complexity

$$2 \text{ to } 10 \times \log(\#\text{species}) \times \#\text{genes}$$

parameters (ML or Bayes)

D&L rates
branch lengths, root

DL



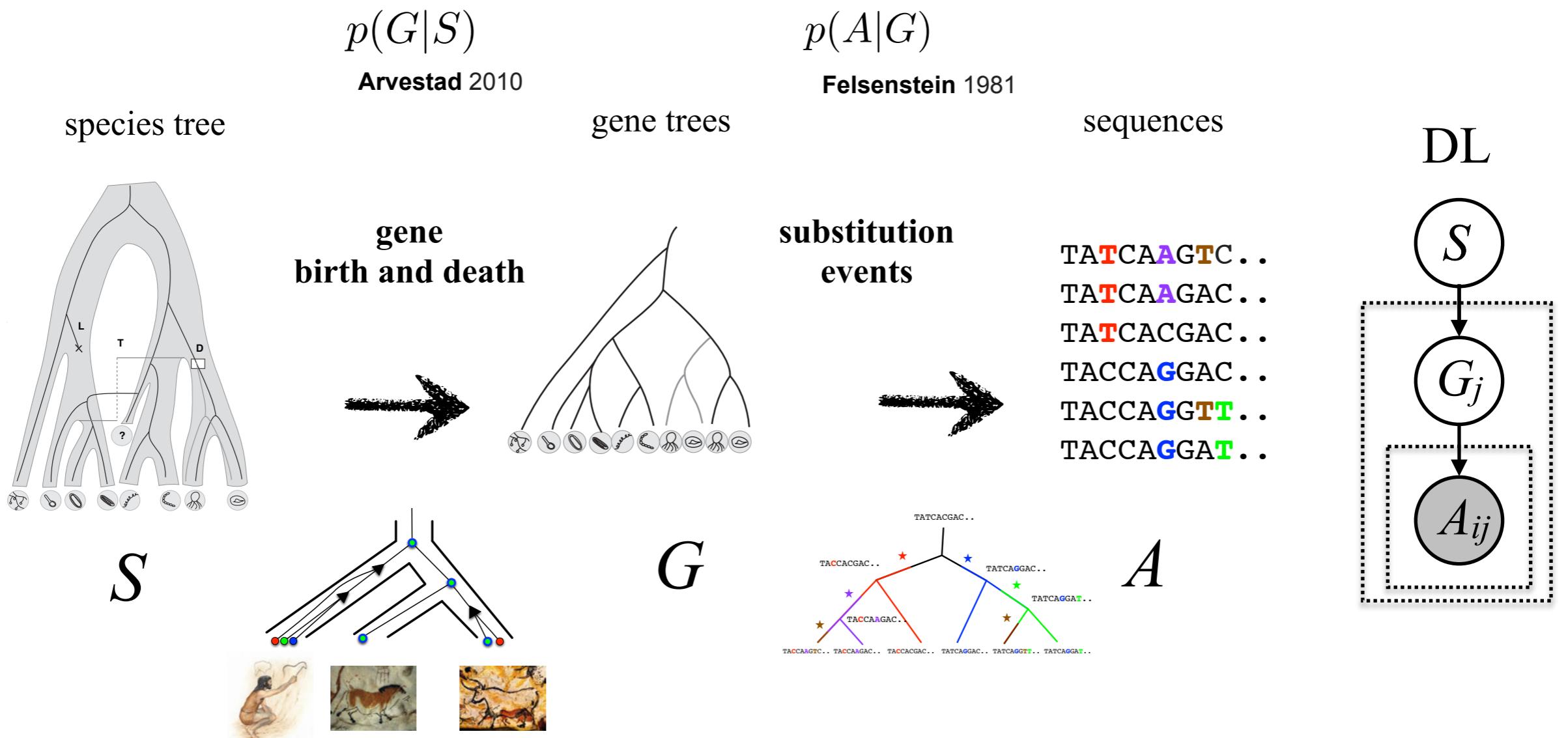
$$\log(\#\text{species}) \times \#\text{genes}$$

D&L rates
root

Gene trees and species trees can be jointly reconstructed

Estimating genes and species history can be achieved through a hierarchical structure, on top of which a species tree is inferred from gene trees through models of gene family evolution, themselves inferred from sequence alignments through models of sequence evolution.

Hierarchical generative model:



Gene trees and species trees can be jointly reconstructed

Estimating genes and species history can be achieved through a hierarchical structure, on top of which a species tree is inferred from gene trees through models of gene family evolution, themselves inferred from sequence alignments through models of sequence evolution.

parallel computation scheme

$$\mathcal{L}(\{G_j\}, S, \text{rates} | \{A_{ij}\}) :$$

server:
calculate

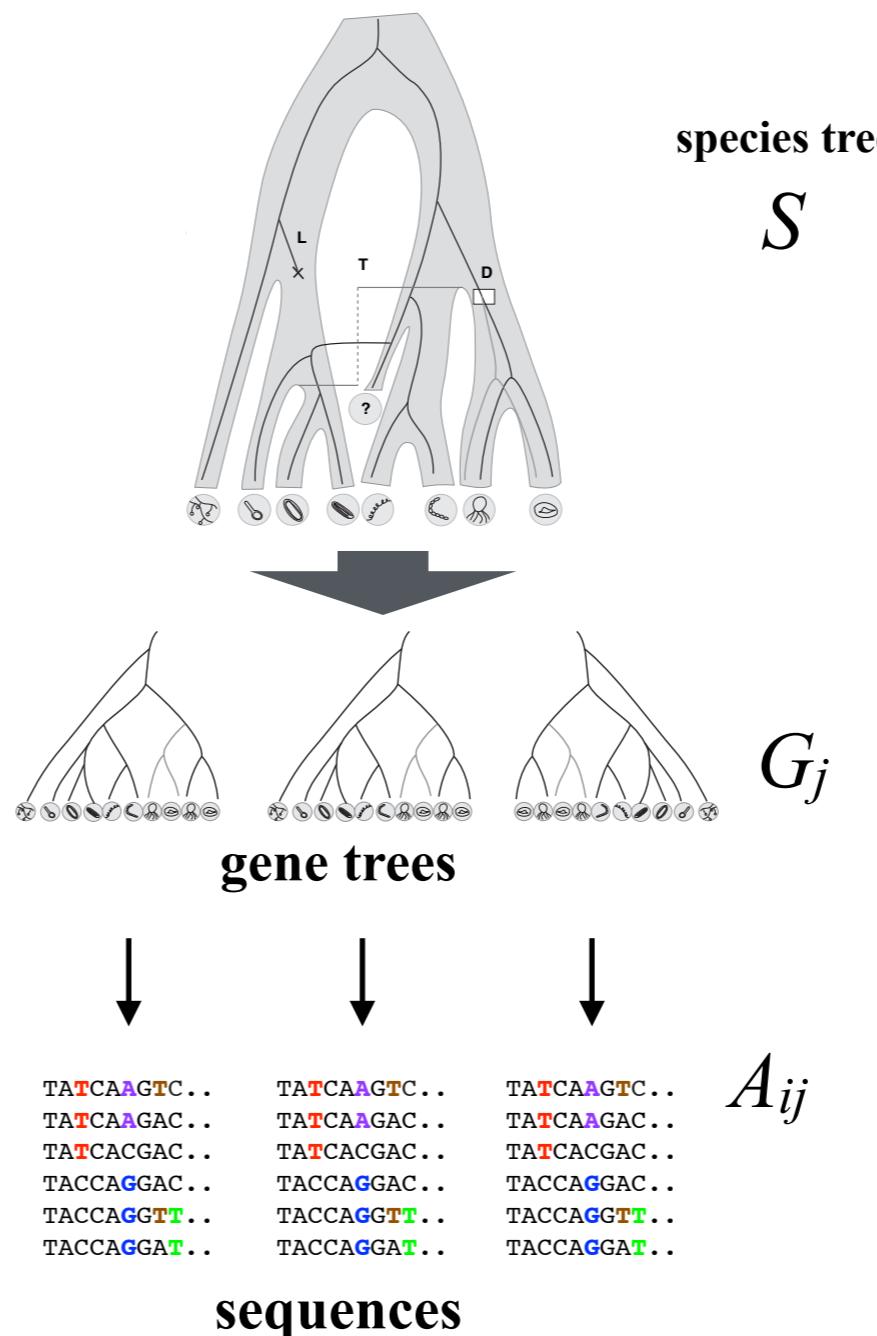
$$\prod_j$$

optimise S
and estimate rates

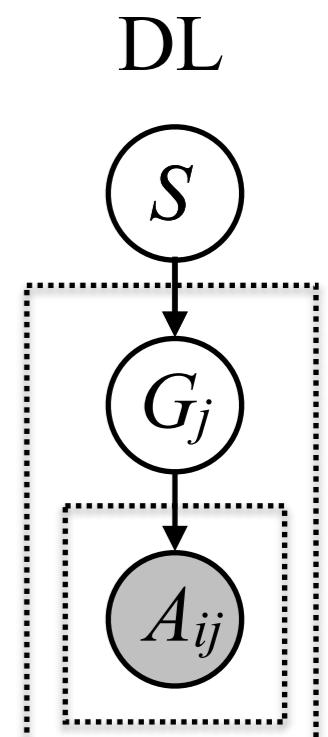
clients:
calculate

$$\prod_i p(A_{ij} | G_j) \times p(G_j | S, \text{rates})$$

optimise (or integrate over) G_j

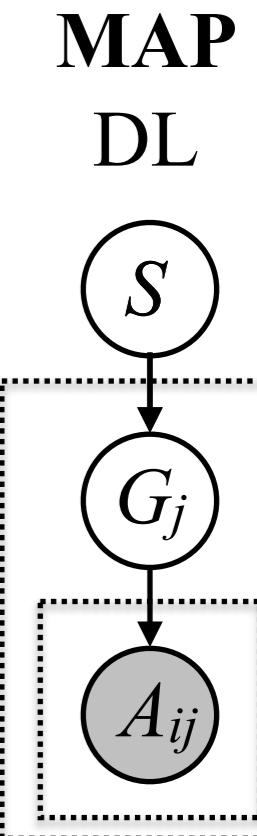
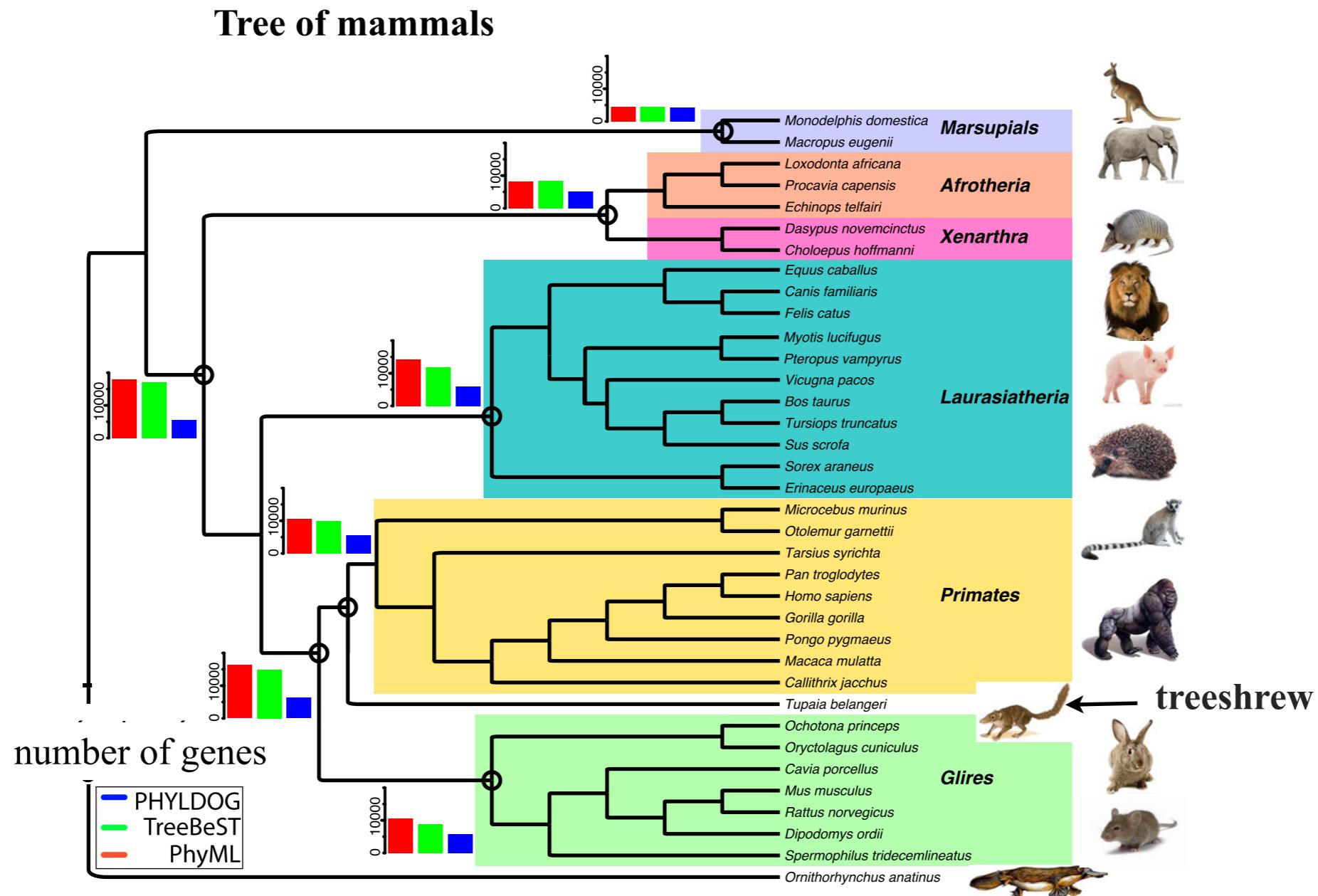


Daubin & Boussau 2011



Genome-scale reconstruction of the tree of mammals

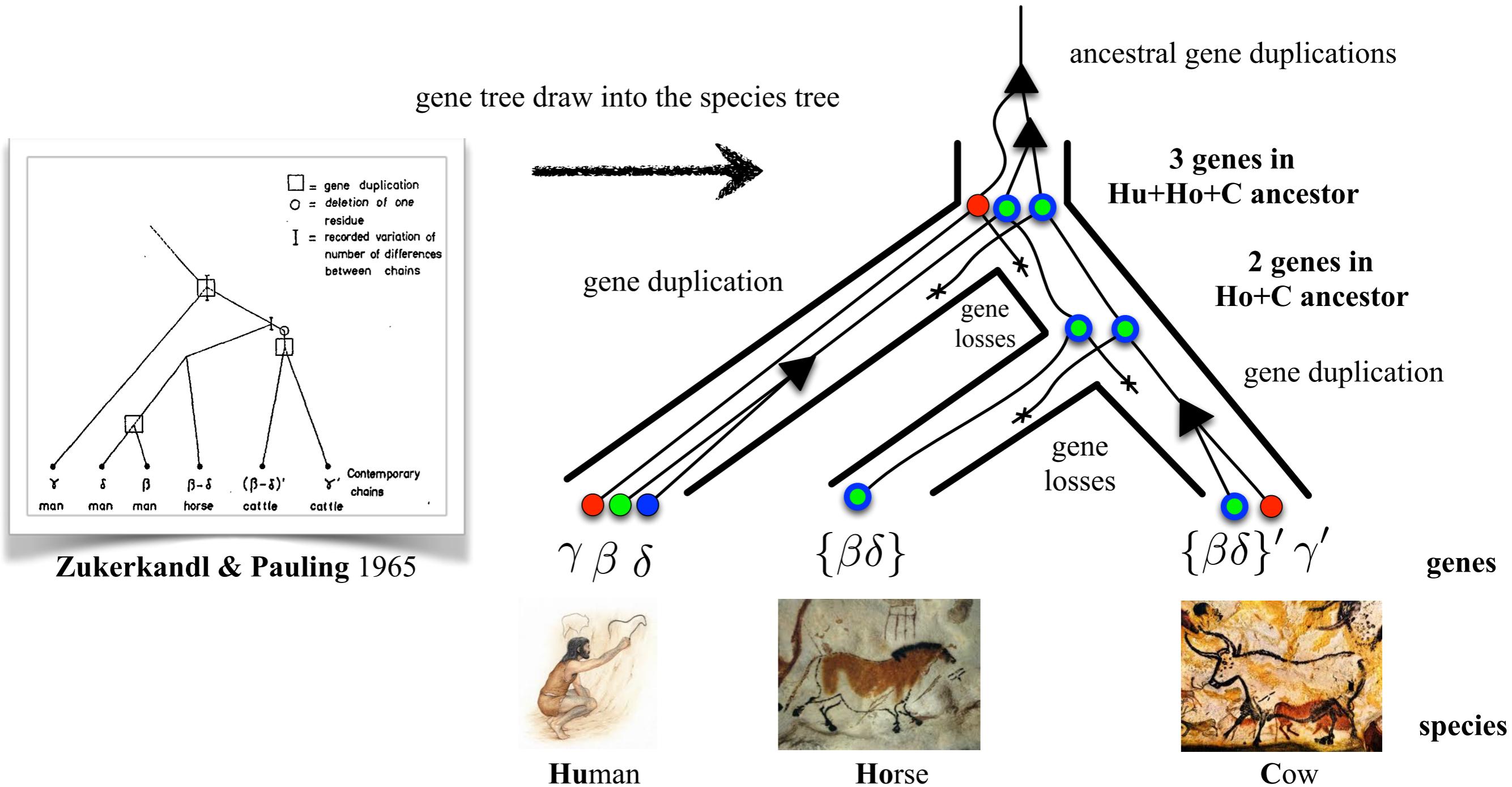
Using 6966 gene families from 36 mammals we jointly reconstructed the species tree and gene trees.



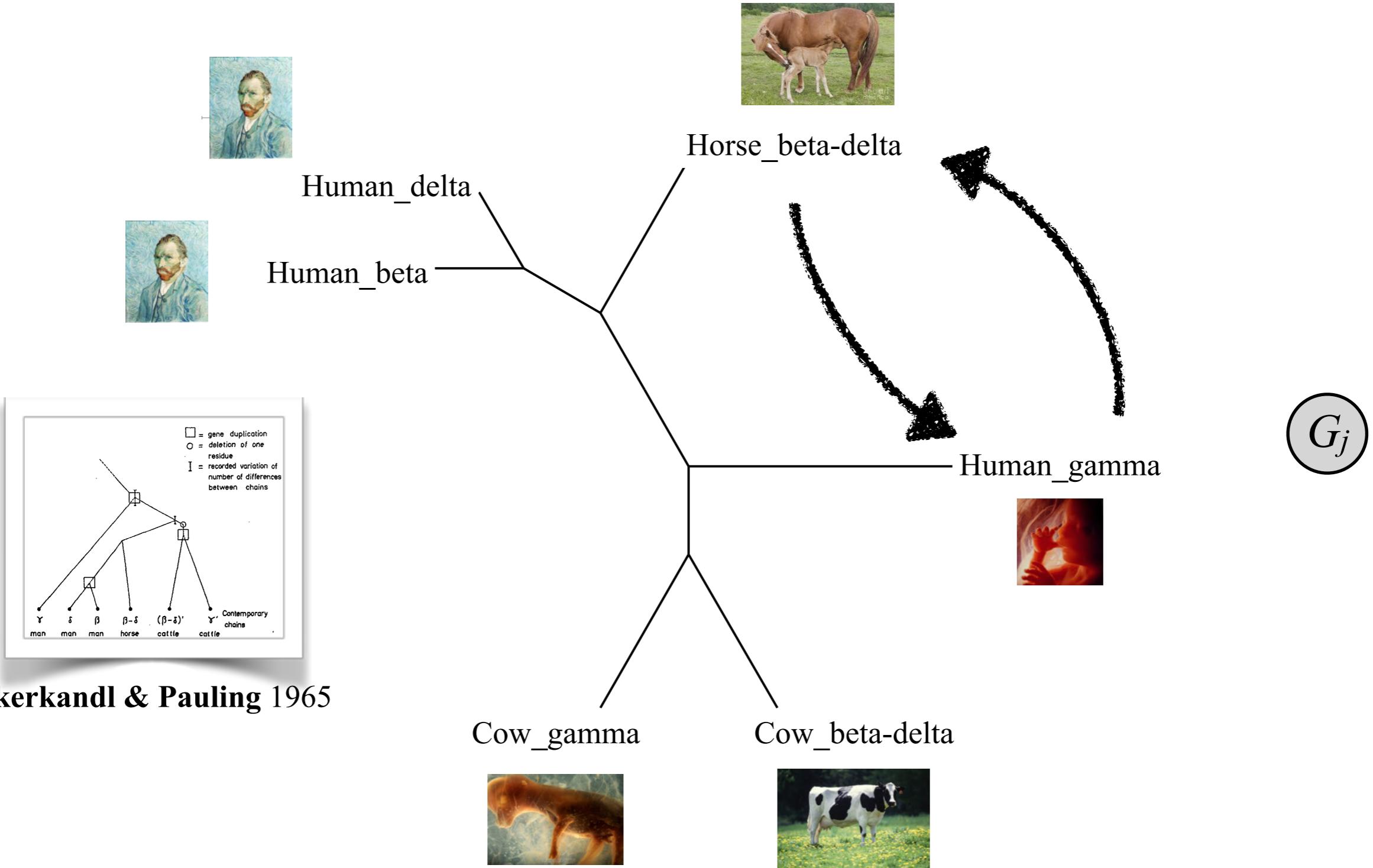
Bastien
Boussau
LBBE

The story of individual gene families is often blurred

Errors in gene trees will result in conflicts with the species tree that imply spurious evolutionary events.



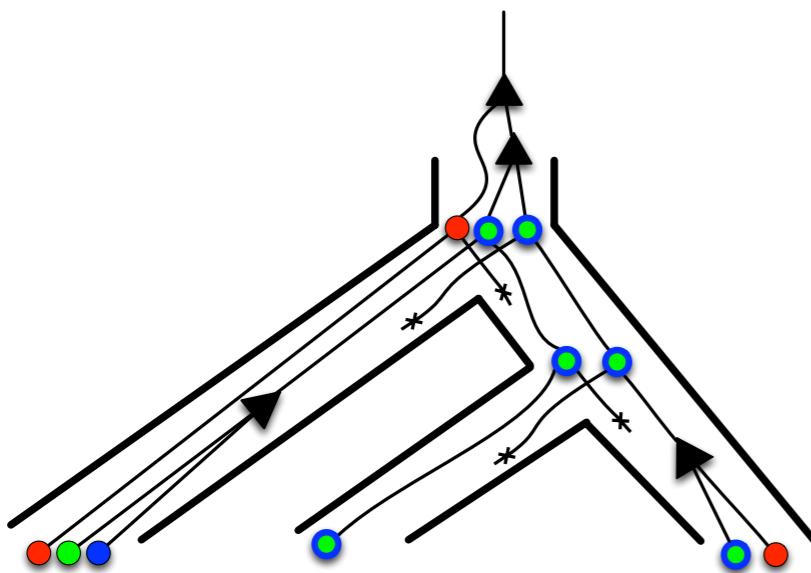
The first ever gene tree



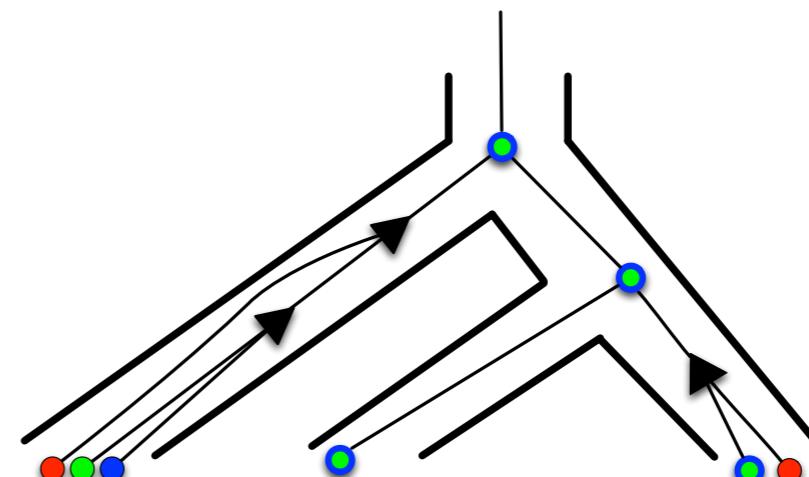
The story of individual gene families is often blurred

Errors in gene trees will result in conflicts with the species tree that imply spurious evolutionary events.

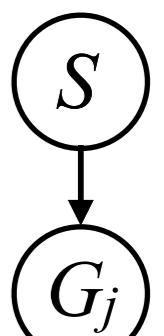
gene tree with errors



correct gene tree

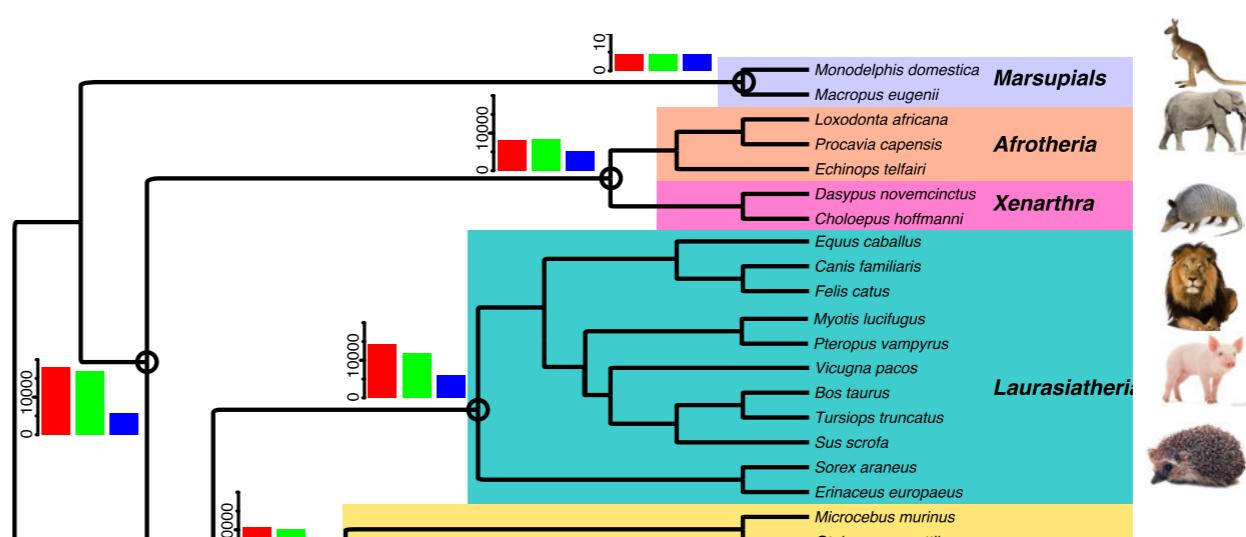


DL



S-unaware trees

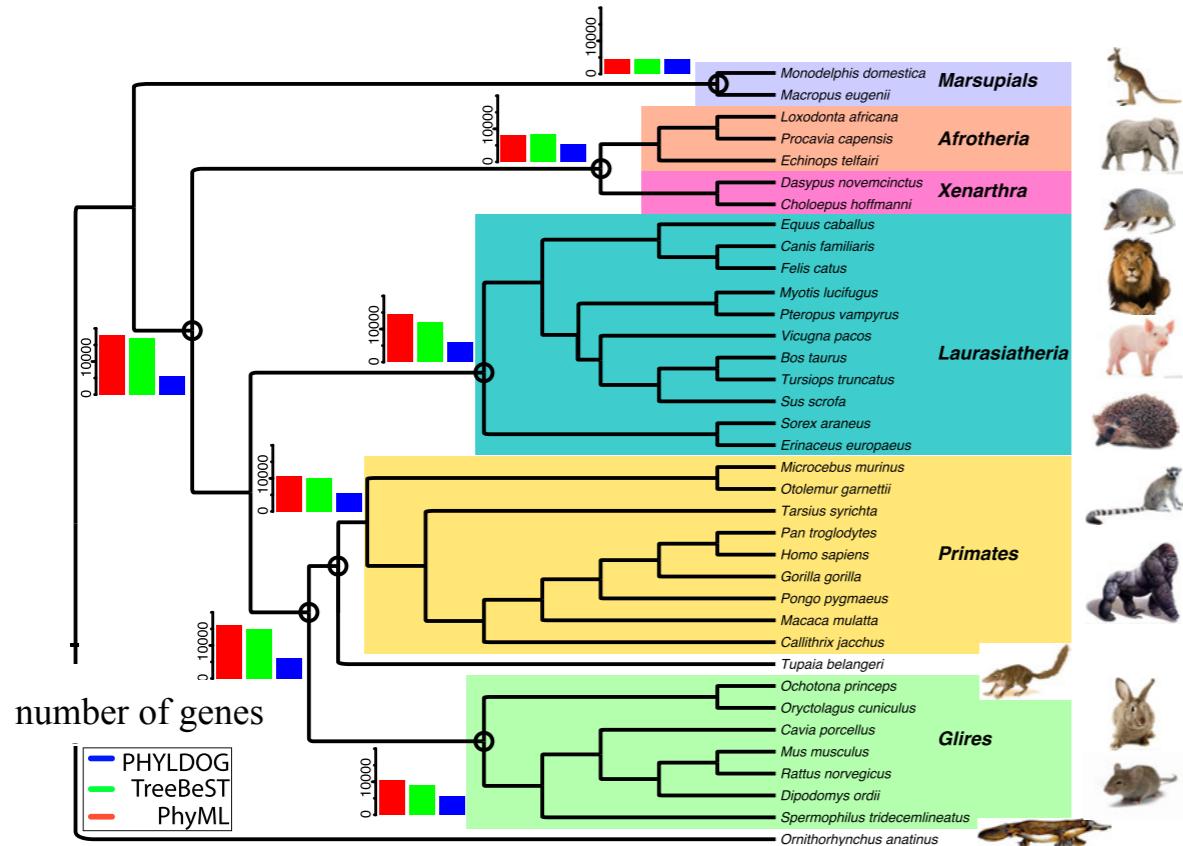
S-aware trees



Genome-scale reconstruction of the tree of mammals

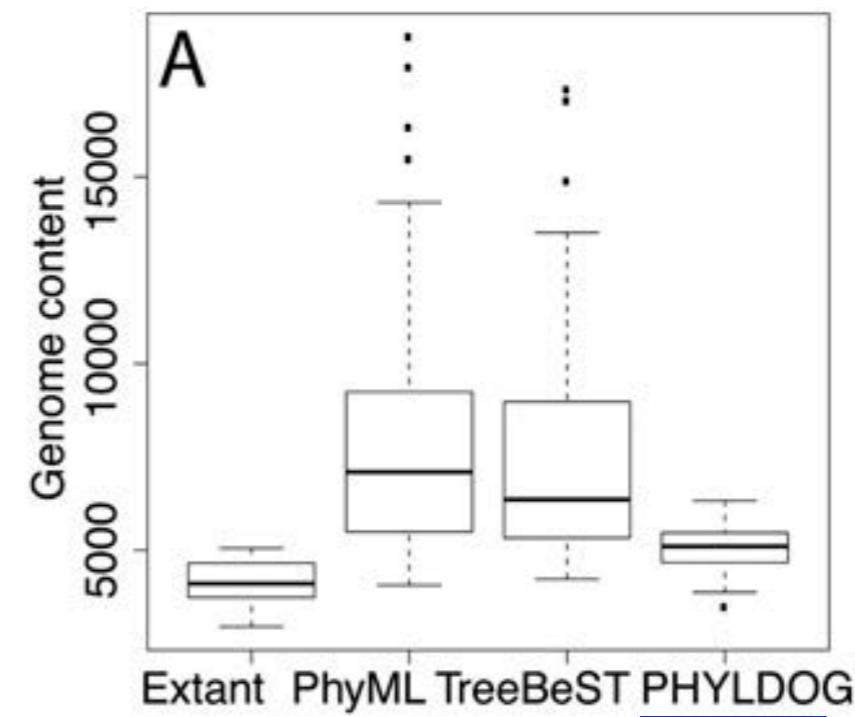
Using 6966 gene families from 36 mammals we jointly reconstructed the species tree and gene trees.

Tree of mammals



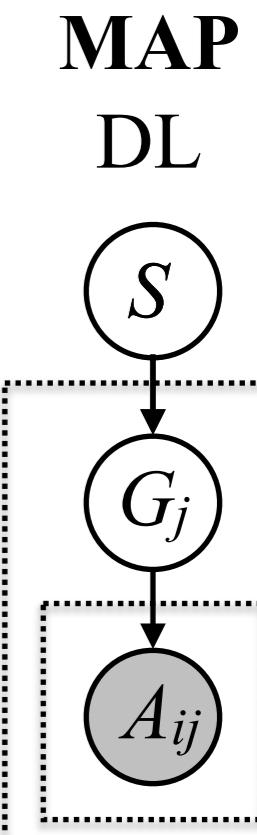
Bastien
Boussau
LBBE

Joint reconstruction gives more realistic gene content



S-unaware
trees

S-aware
trees



Genome-scale reconstruction of the tree of mammals

Using 6966 gene families from 36 mammals we jointly reconstructed the species tree and gene trees.

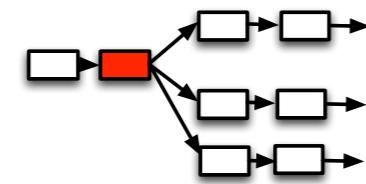
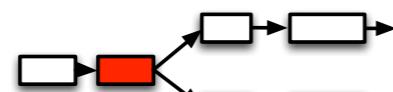
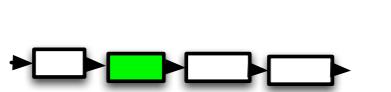
ancestral gene order can be reconstructed using gene trees drawn into the species tree

correct gene trees

gene tree errors

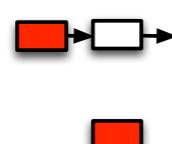
two neighbours

three or more neighbours



•

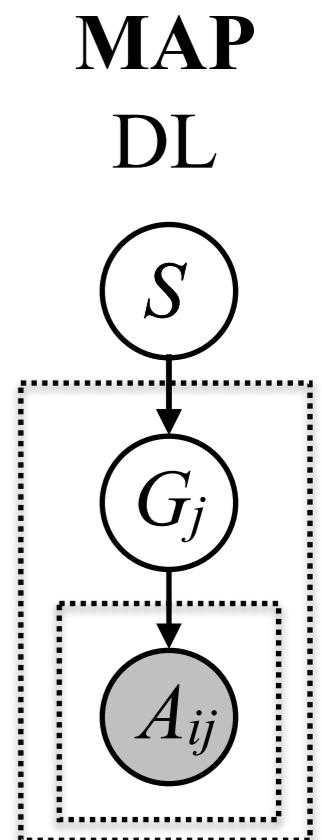
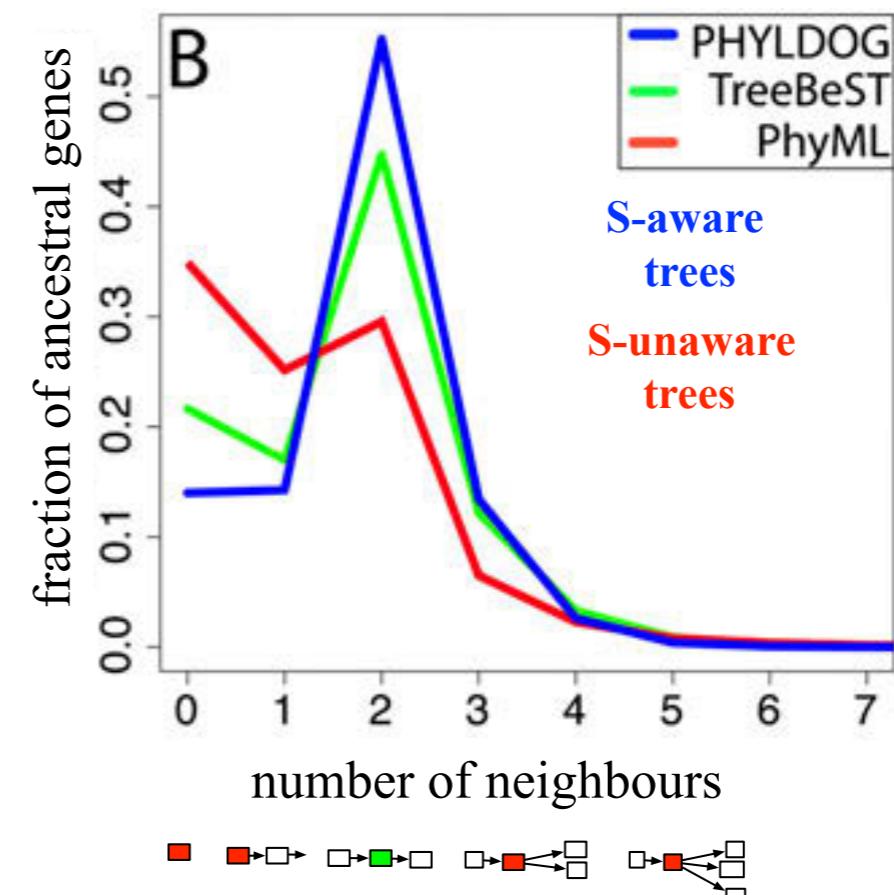
one or zero neighbours



Eric
Tannier

LBBE

Joint reconstruction imply more realistic ancestral gene order

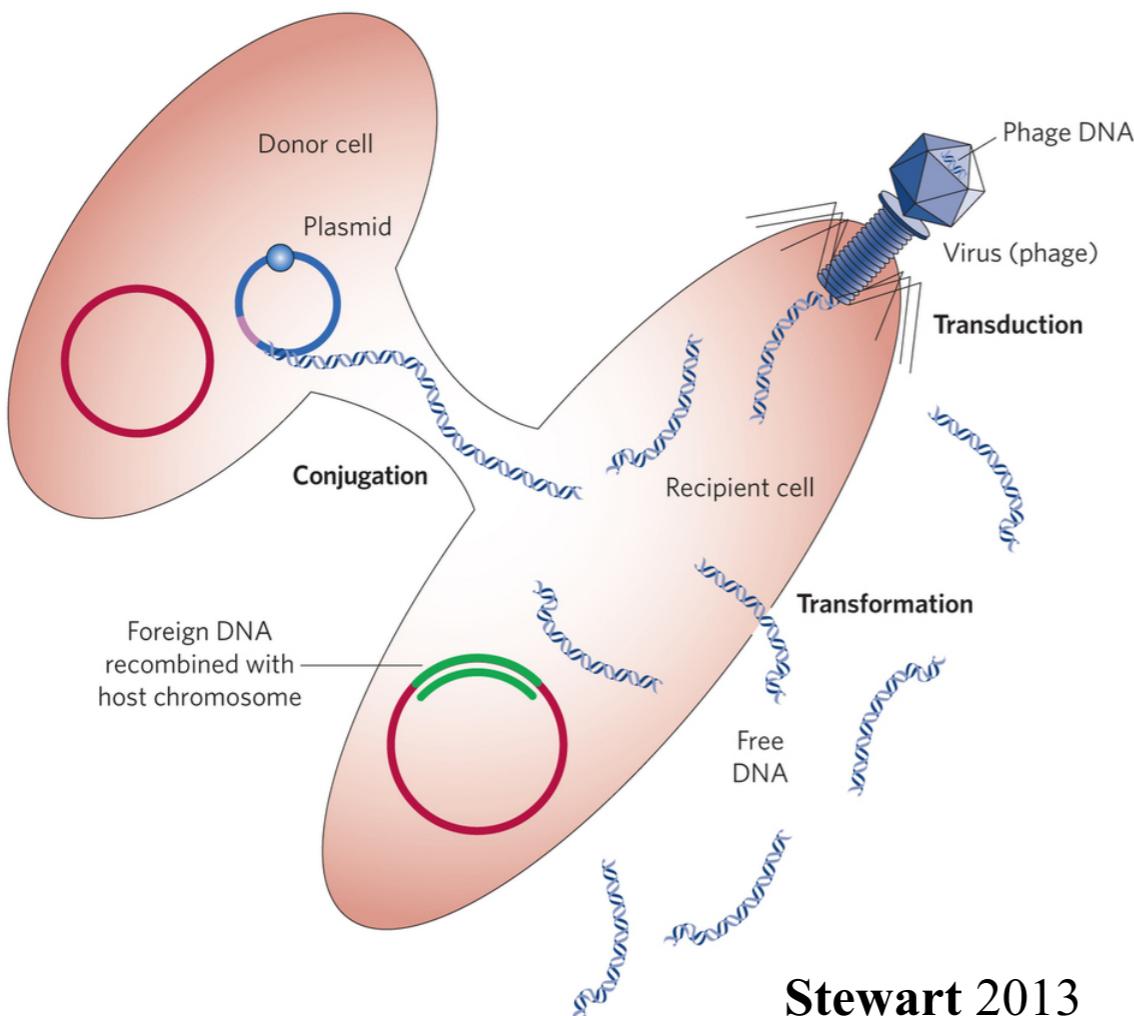


Boussau, **Szöllősi**, Duret, Gouy, Tannier & Daubin *Genome Res.* (2013)
Genome-scale coestimation of species and gene trees
Bérard, Gallien, Boussau, **Szöllősi**, Daubin, Tannier *Bioinformatics* (2013)
Evolution of gene neighborhoods within reconciled phylogenies

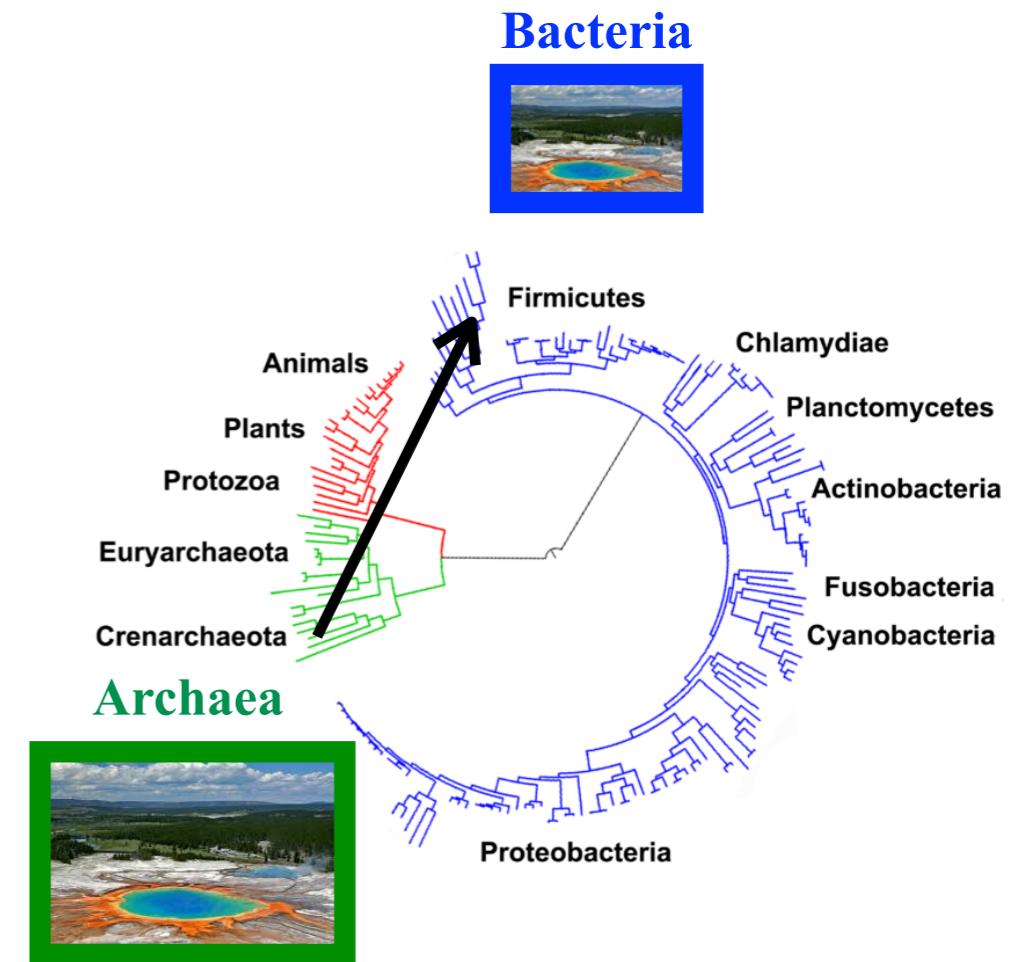
Horizontal gene transfer

DNA from outside the cell can be incorporated in to the genome and passed on vertically.

Horizontal Gene Transfer



classic examples:
antibiotic resistance
thermophilic enzymes



Horizontal gene transfer

Horizontal gene transfer is common among unicellular organisms, but examples are known even among animals.

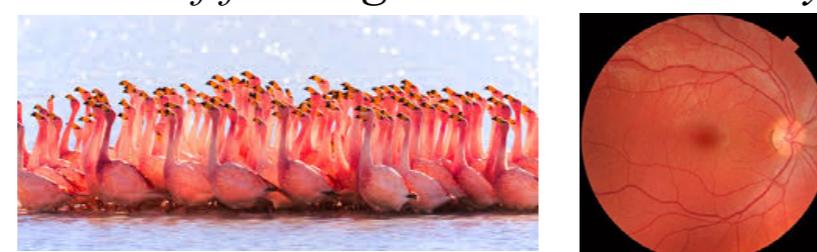
Carotenoids

plants, alga and fungi; bacteria and archaea;

they produce it



they eat it



except!



a species of aphid living on peas

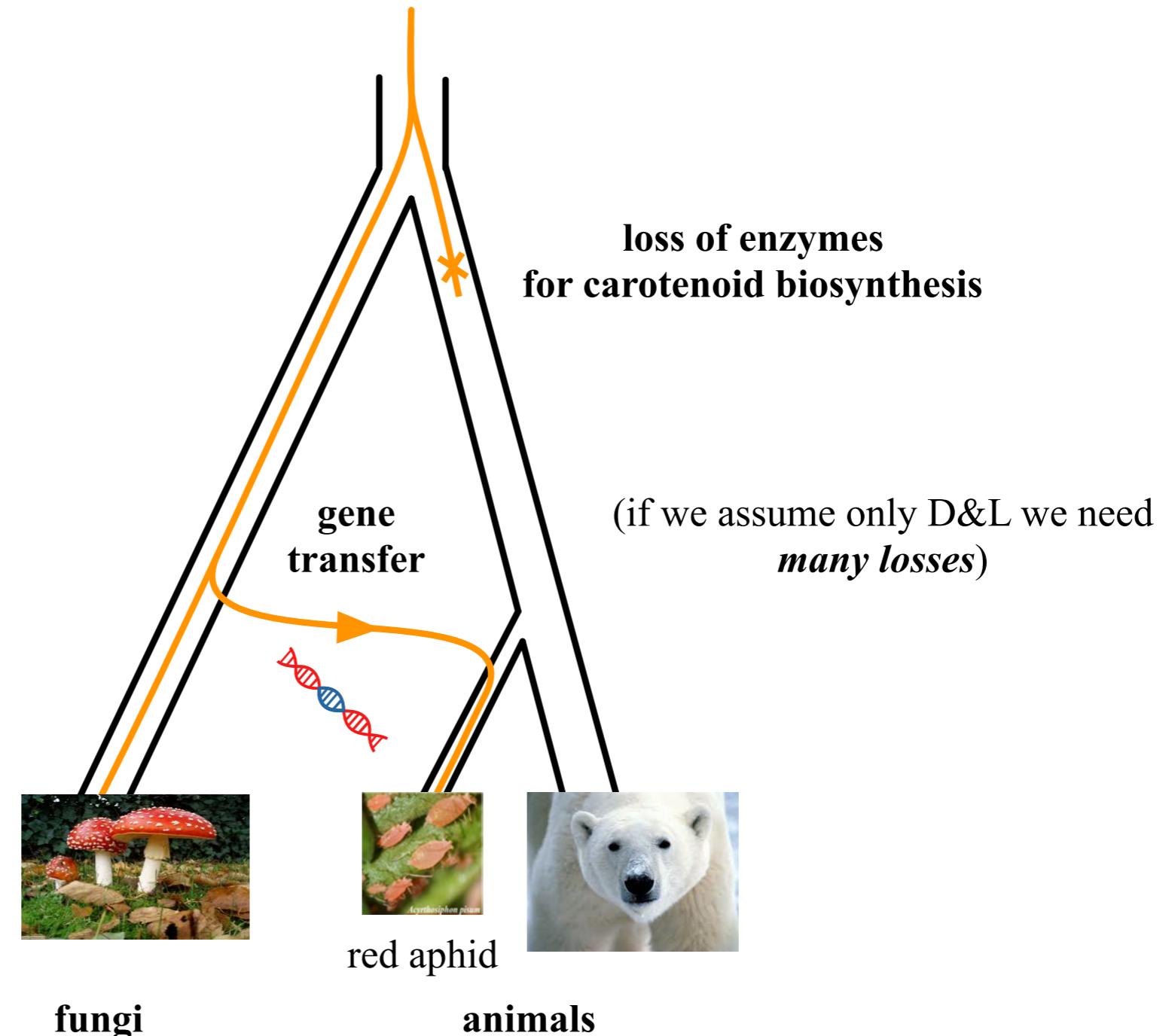
Horizontal gene transfer

Horizontal gene transfer is common among unicellular organisms, but examples are known even among animals.

pea aphids



Moran & Jarvik 2010 Science

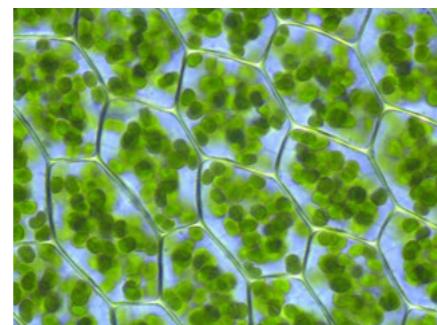


Horizontal gene transfer

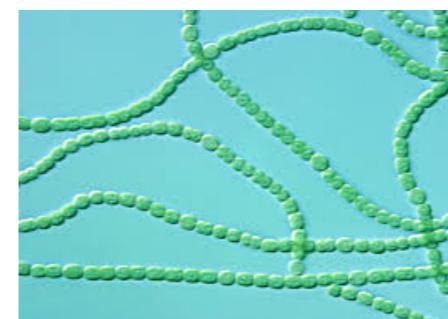
Horizontal gene transfer is common among unicellular organisms, but examples are known even among animals.

photosynthesis

chloroplasts of
algae and green plants



cyanobacteria



Eastern emerald elysia
(US East Coast)

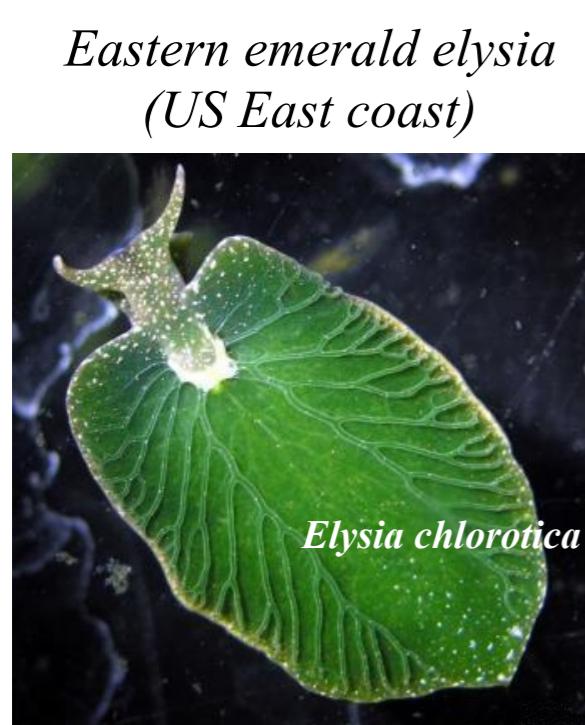


except!

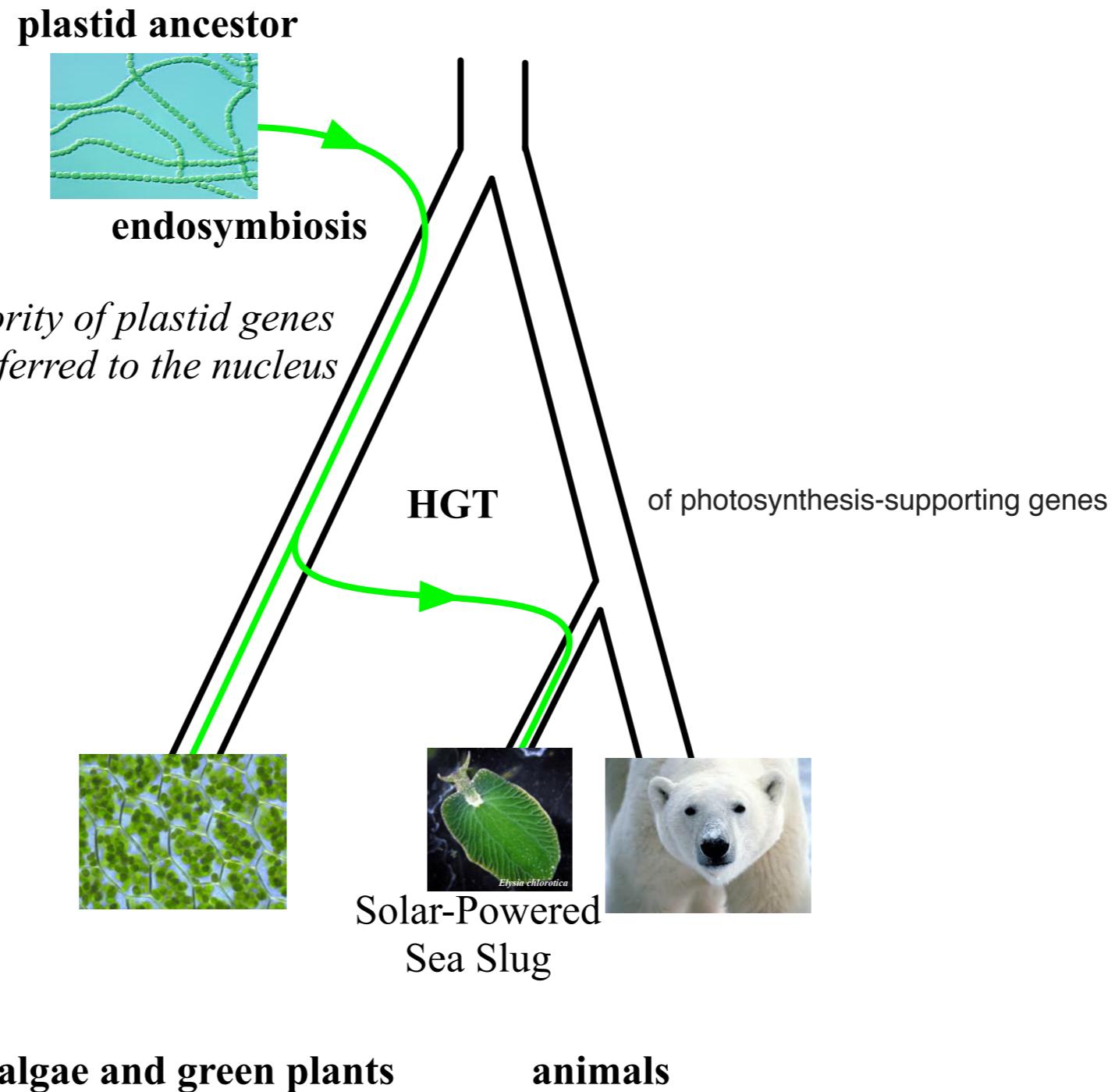
Rumpho *et al.* 2008 PNAS

Horizontal gene transfer

Egysejtűek között gyakori a géntranszfer, de többsejtű élőlényeknél, köztük az állatok között is ismertek példák.

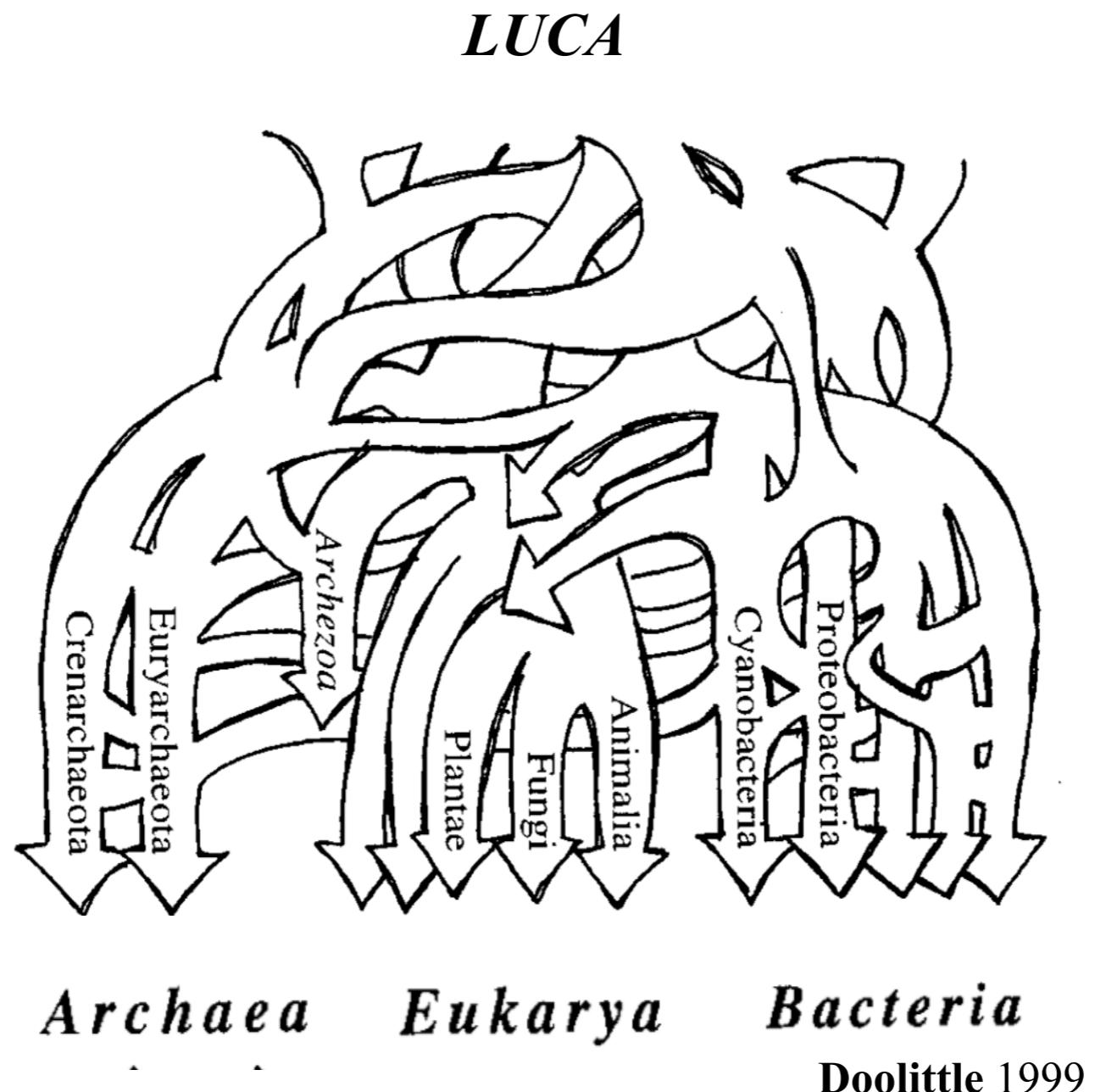


Rumpho et al. 2008



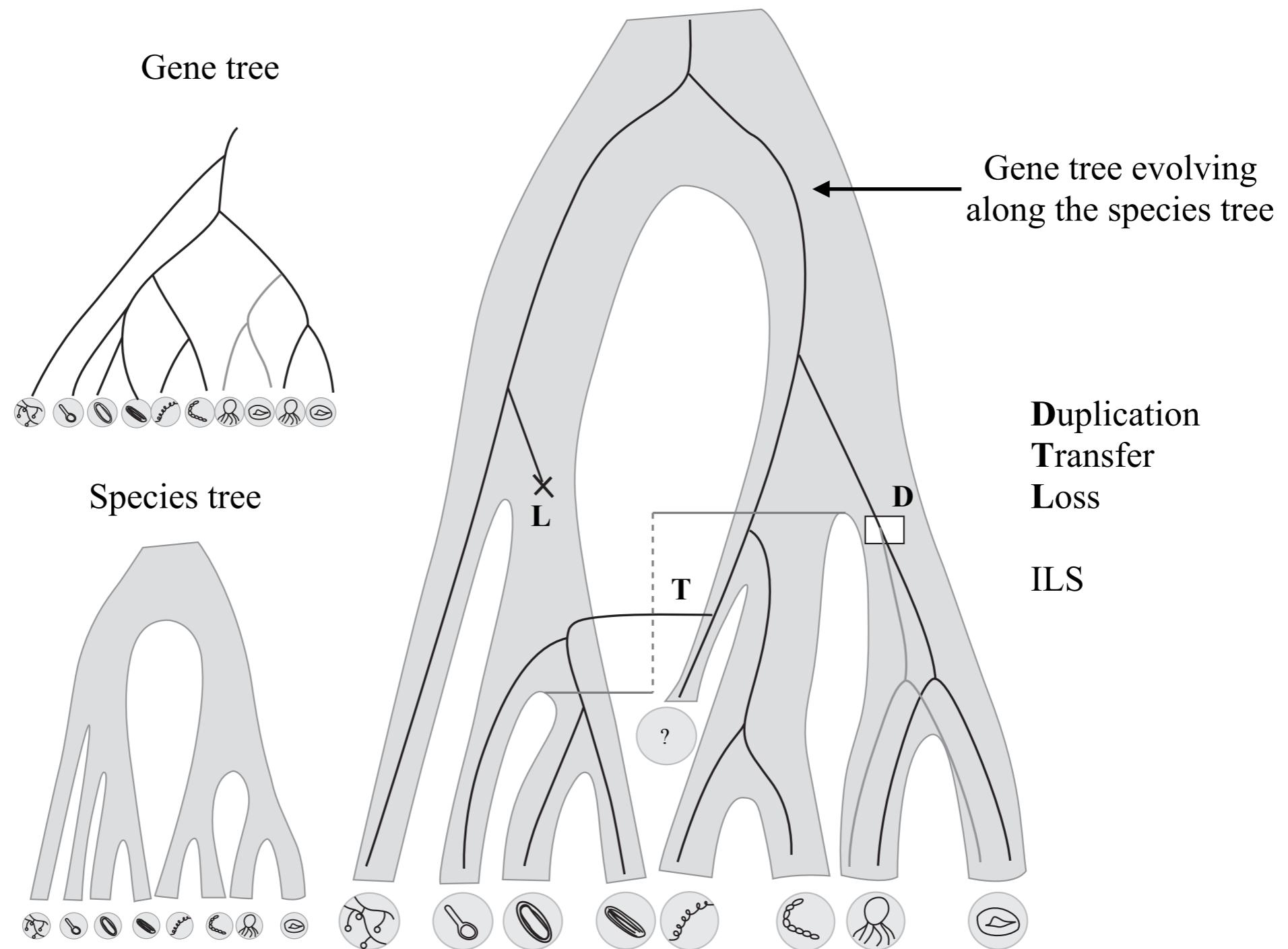
Horizontal gene transfer as noise

Gene transfers result in apparently contradicting gene phylogenies, fungi can seem closely related to aphids. A potentially high rate of transfer esp. early in the evolution of life, suggests that the vertical signal may be drowned in noise.



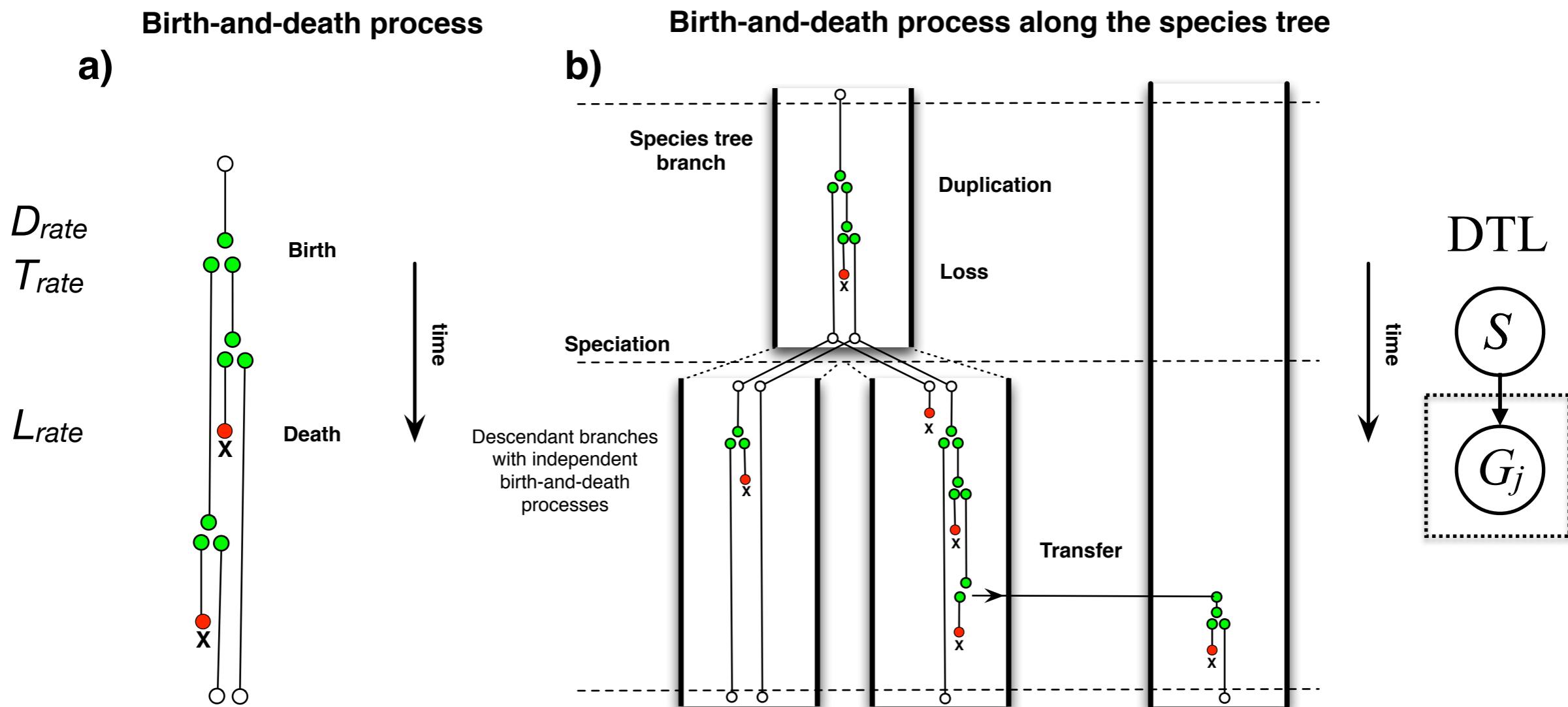
The problem is gene trees are not species trees

A gene tree is a deformation of the species tree through the prism of genome evolution and population genetics processes.



.. gene trees are generated along the species tree

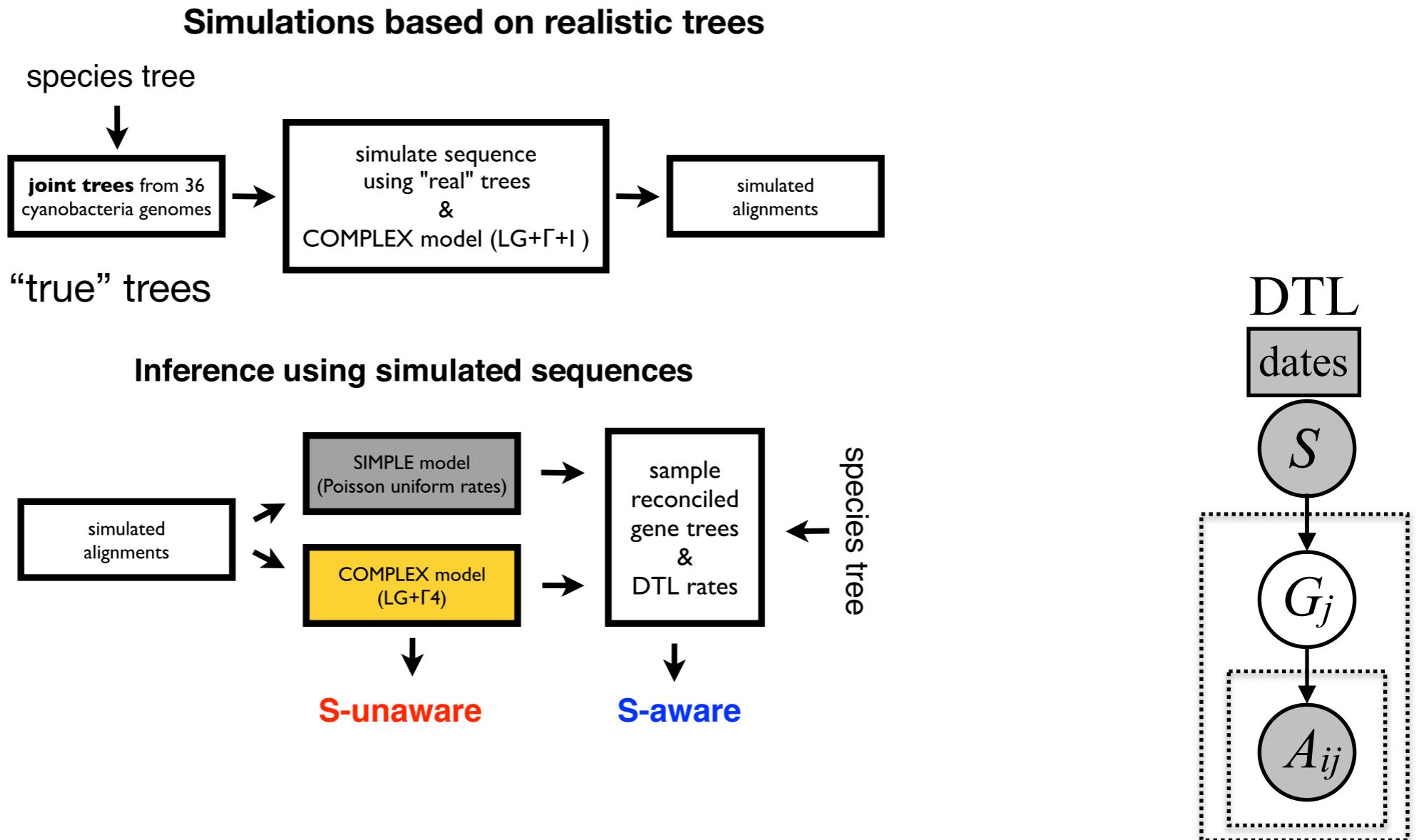
Given a model of gene family evolution a species tree induces a probability distribution over gene trees. For the DTL process to calculate the likelihood of a *gene tree* we sum over all possible *gene birth and death events* along a given *species tree*.



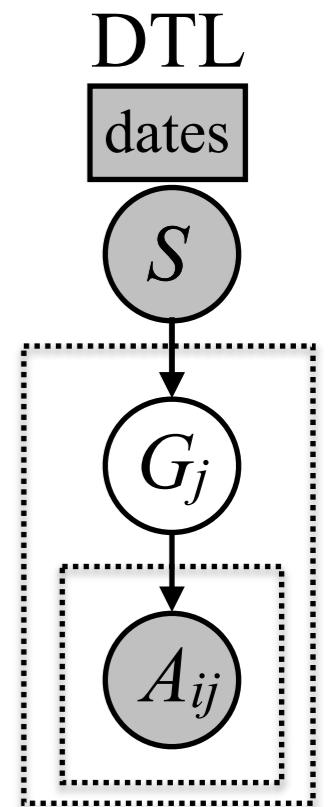
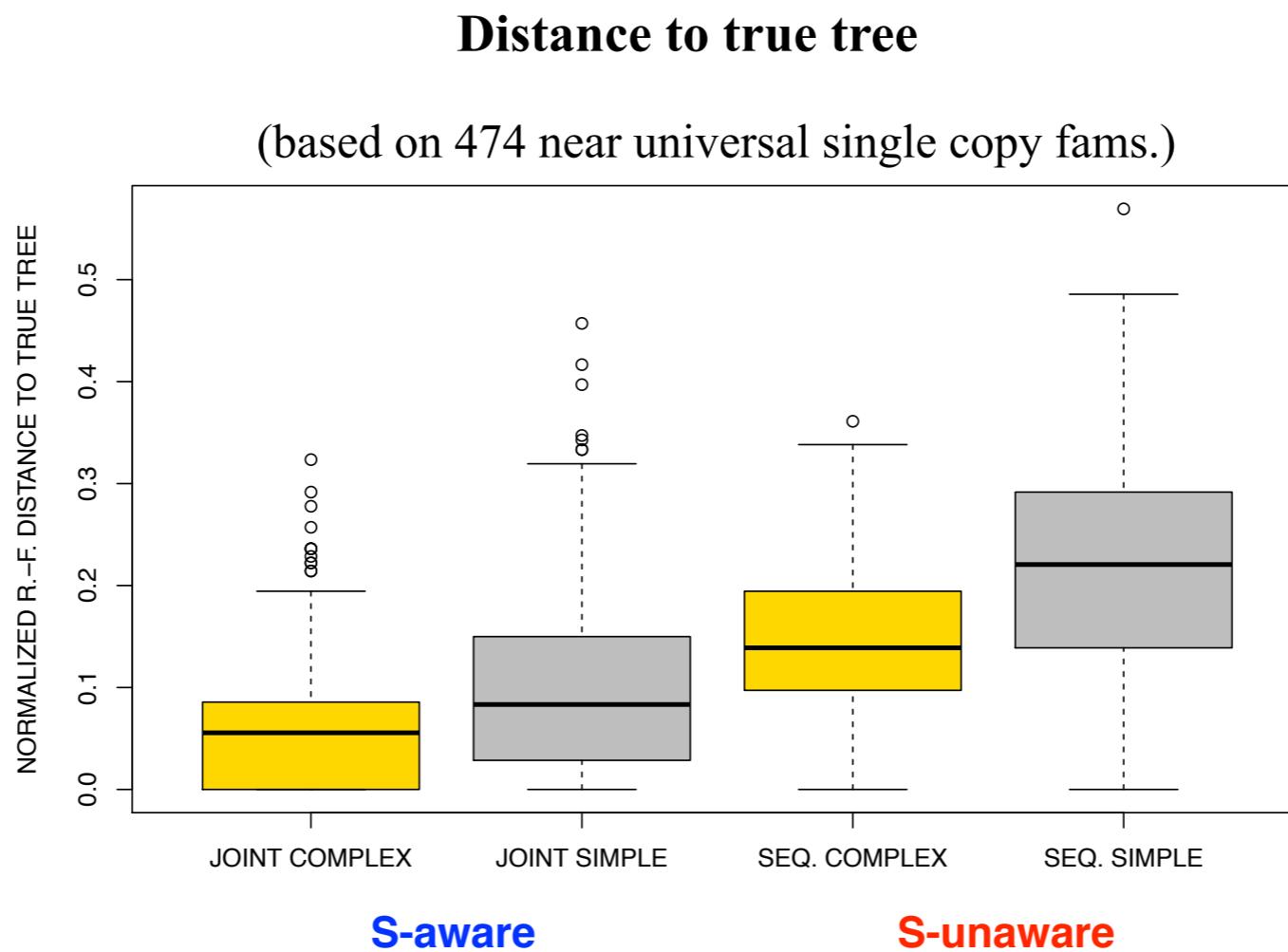
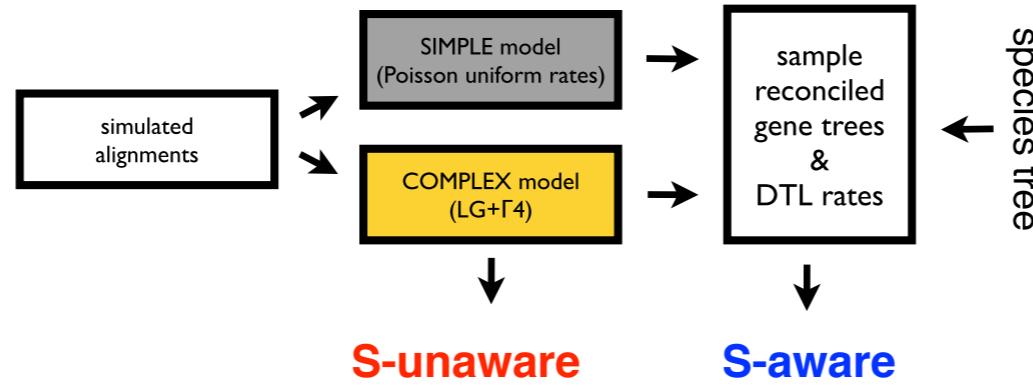
Tofiq Ph.D thesis 2009

Szöllősi, Boussau, Abby, Tannier & Daubin *PNAS* (2012)
Phylogenetic modeling of lateral gene transfer
 reconstructs the pattern and relative timing of speciations

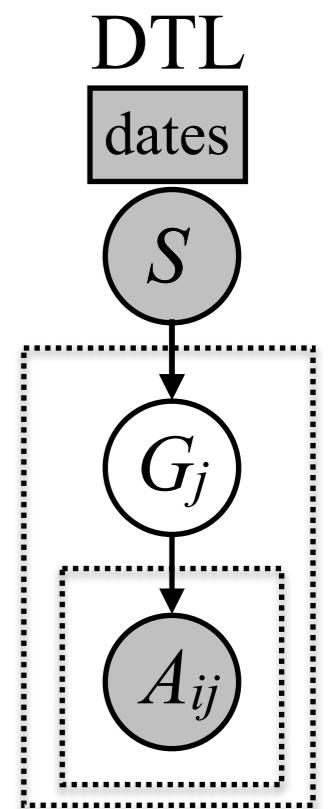
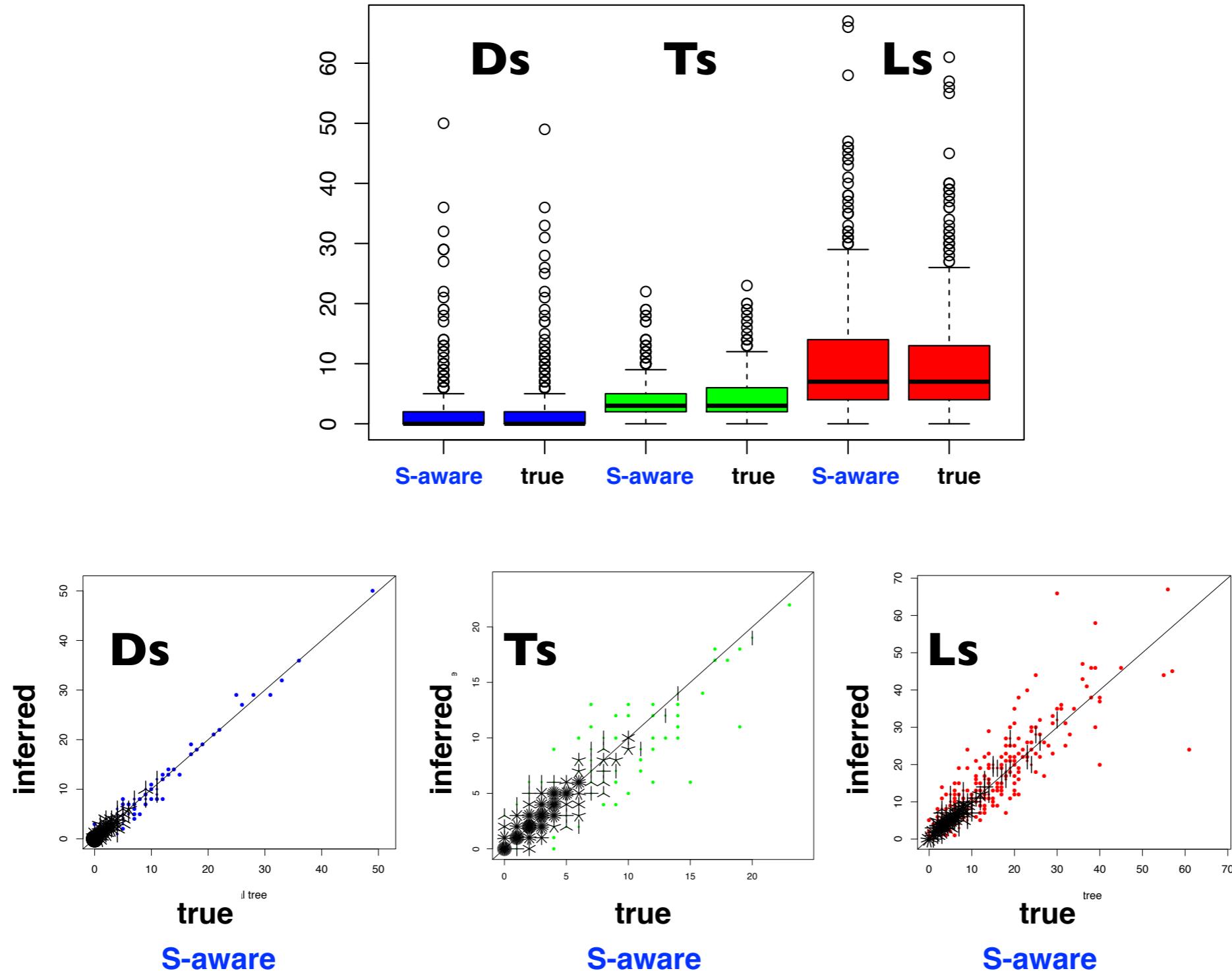
“Realistic simulations” suggest S-aware methods are important



“Realistic simulations” suggest S-aware methods are important

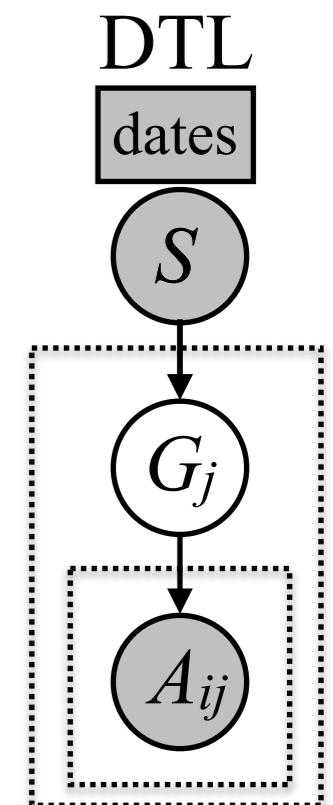
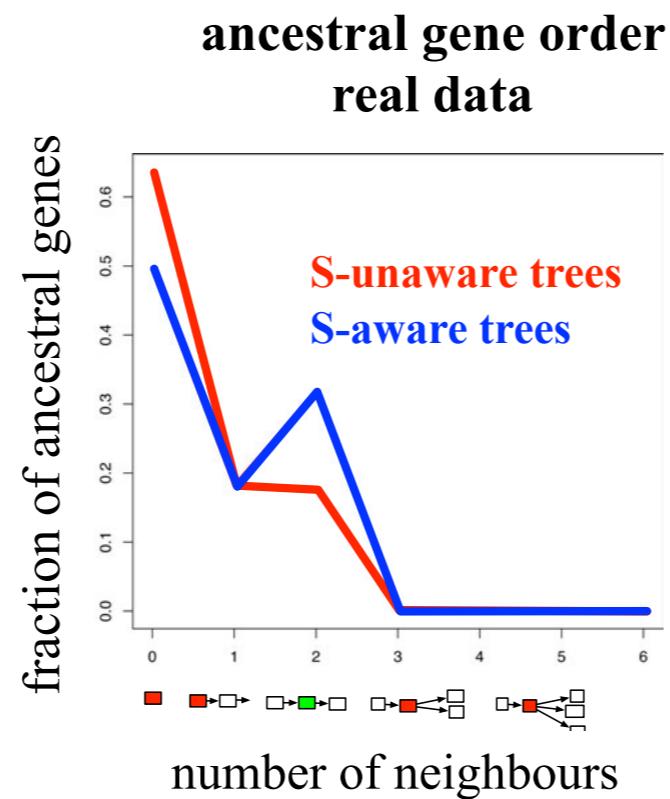


S-aware methods accurately recover number of DTL events



Real data and experiments suggest S-aware methods are important

More accurate gene trees, ancestral sequences (simulations & experiment) and chromosomes (synteny):



implemented in ALE:
<http://github.com/ssolo/ALE>

Groussin, Hobbs, Szöllősi, Gribaldo, Arcus & Gouy *Mol. Biol. Evol.* (2015)
Toward More Accurate Ancestral Protein Genotype–Phenotype Reconstructions with the Use of Species Tree-Aware Gene Trees
Szöllősi, Tannier, Lartillot & Daubin *Systematic Biology* (2013)
Lateral Gene Transfer from the Dead
Szöllősi, Rosikiewicz, Boussau, Tannier & Daubin *Systematic Biology* (2013)
Efficient exploration of the space of reconciled gene trees

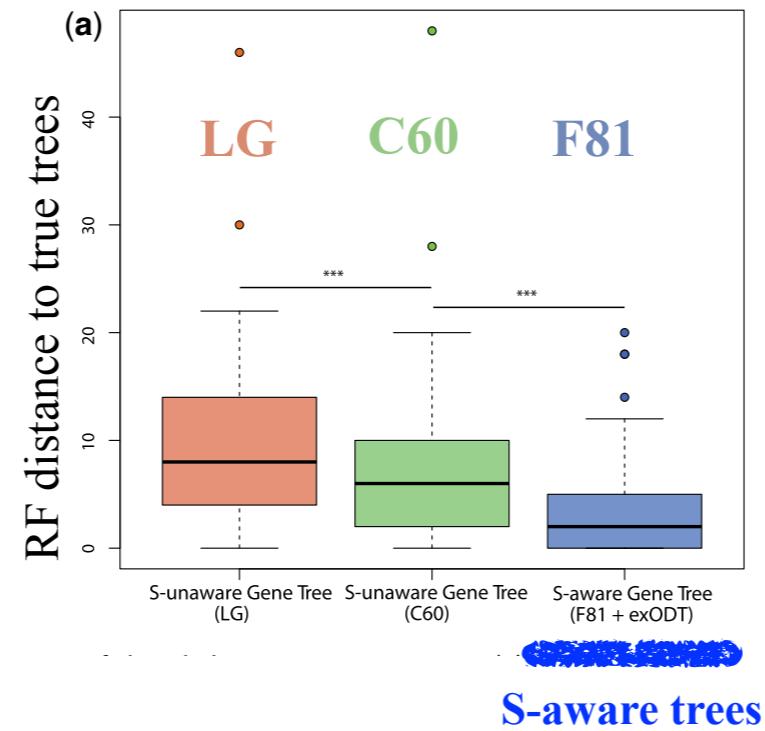
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More accurate gene trees, ancestral sequences (simulations & experiment) and chromosomes (synteny):

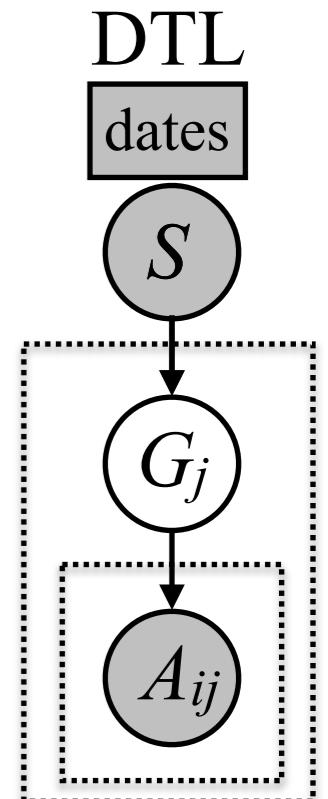
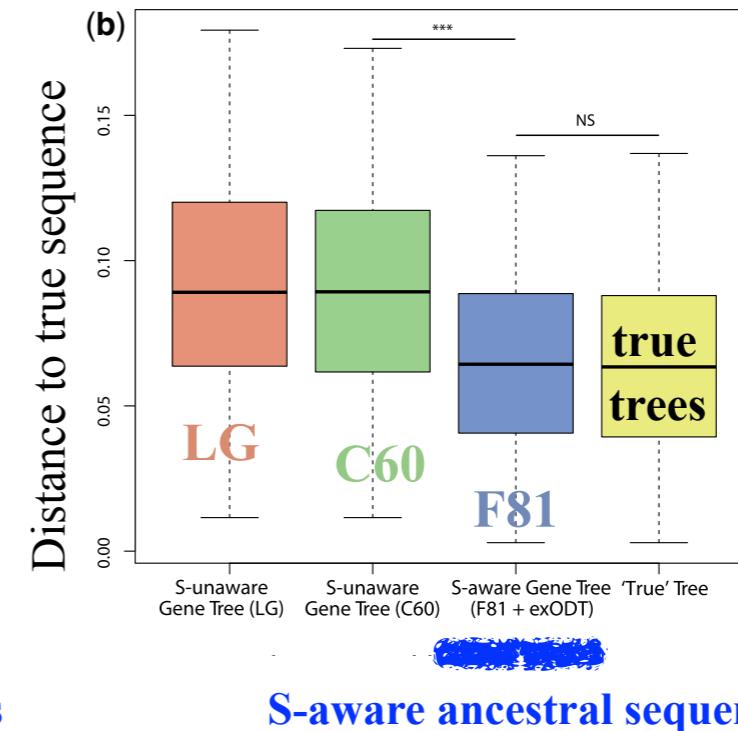
site heterogenous
empirical exchangeabilities
uniform exchangeabilities

C60
LG
F81
model complexity

gene tree accuracy
simulation



ancestral sequence accuracy
simulation



implemented in ALE:

<http://github.com/ssolo/ALE>



Mathieu Groussin

- Groussin, Hobbs, Szöllősi, Gribaldo, Arcus & Gouy *Mol. Biol. Evol.* (2015)
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Efficient exploration of the space of reconciled gene trees

Real data and experiments suggest S-aware methods are important

in vitro biochemical essay

extant
LeuB
3-isopropylmalate
dehydrogenase
E. C. 1.1.1.85

resurrected
LeuB

Enzyme	$K_M^{(TPM)}$ (mM)	T_{opt} (°C)	ΔG_{N-U}^\ddagger (kJmol ⁻¹)
BPSYC	0.2	47	94.9
BSUB	0.7	53	95.9
BCVX	1.1	69	100.7
S-aware Tree			
+ LG	1.5	85	114.4
S-aware Tree			
+ EX_EHO	1.6	85	110.9
S-unaware Tree			
+ EX_EHO	6.8	78	91.4

Why and how?

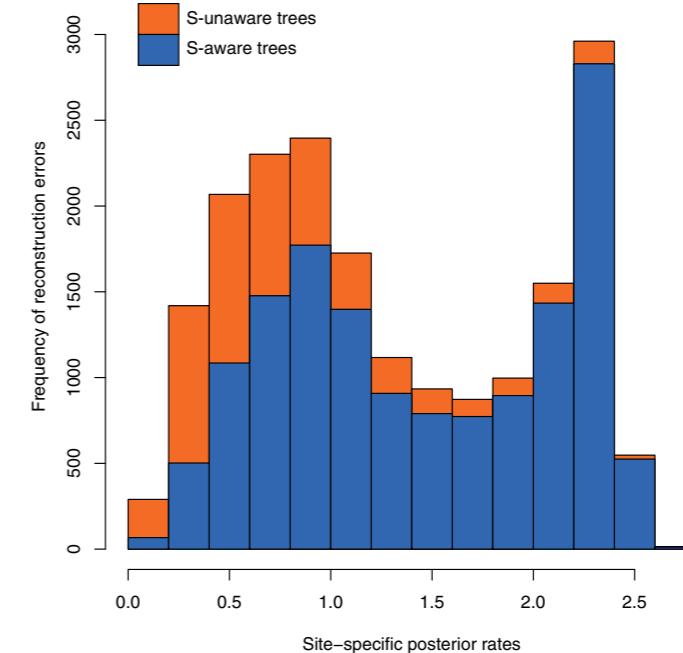
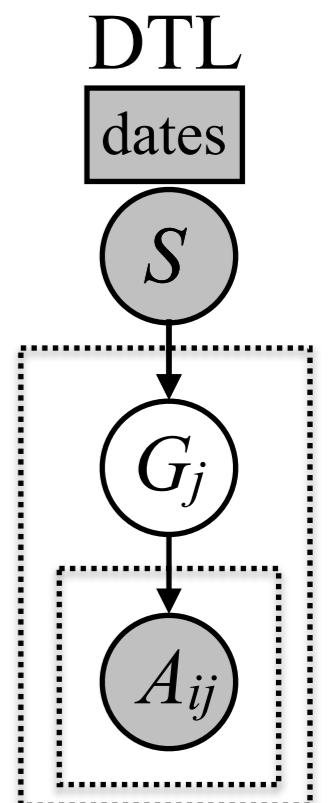


Table 1: Biophysical parameters for the ancestral LeuB enzyme of the Firmicutes ancestor. Values obtained in this study for the ancestor of Firmicutes (bold characters) were inferred using either the LeuB sequence tree or the LeuB reconciled tree and either with the site-homogeneous LG model or with the site-heterogeneous EX_EHO model. Data for contemporary (first three lines) are shown for comparison



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Real data and experiments suggest S-aware methods are important

in vitro biochemical essay

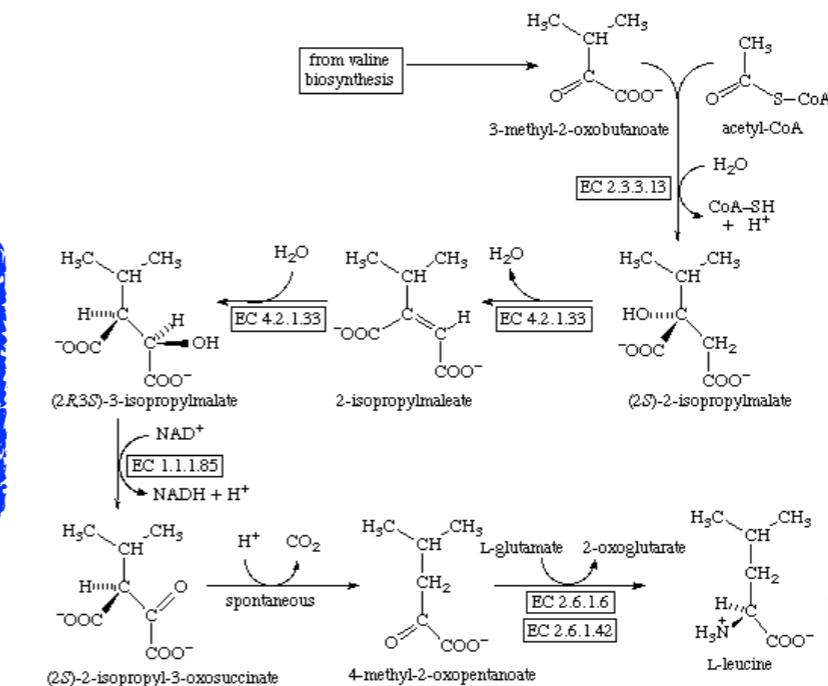
extant
LeuB
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resurrected
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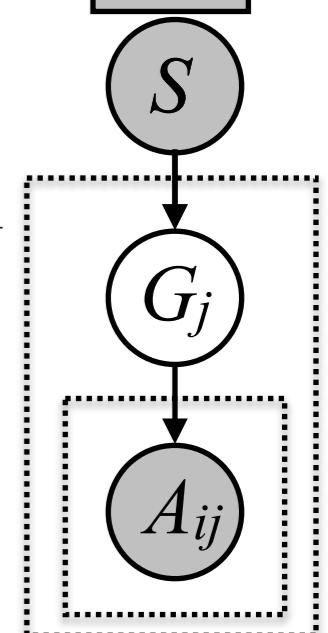
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Leucine Biosynthesis



DTL
dates

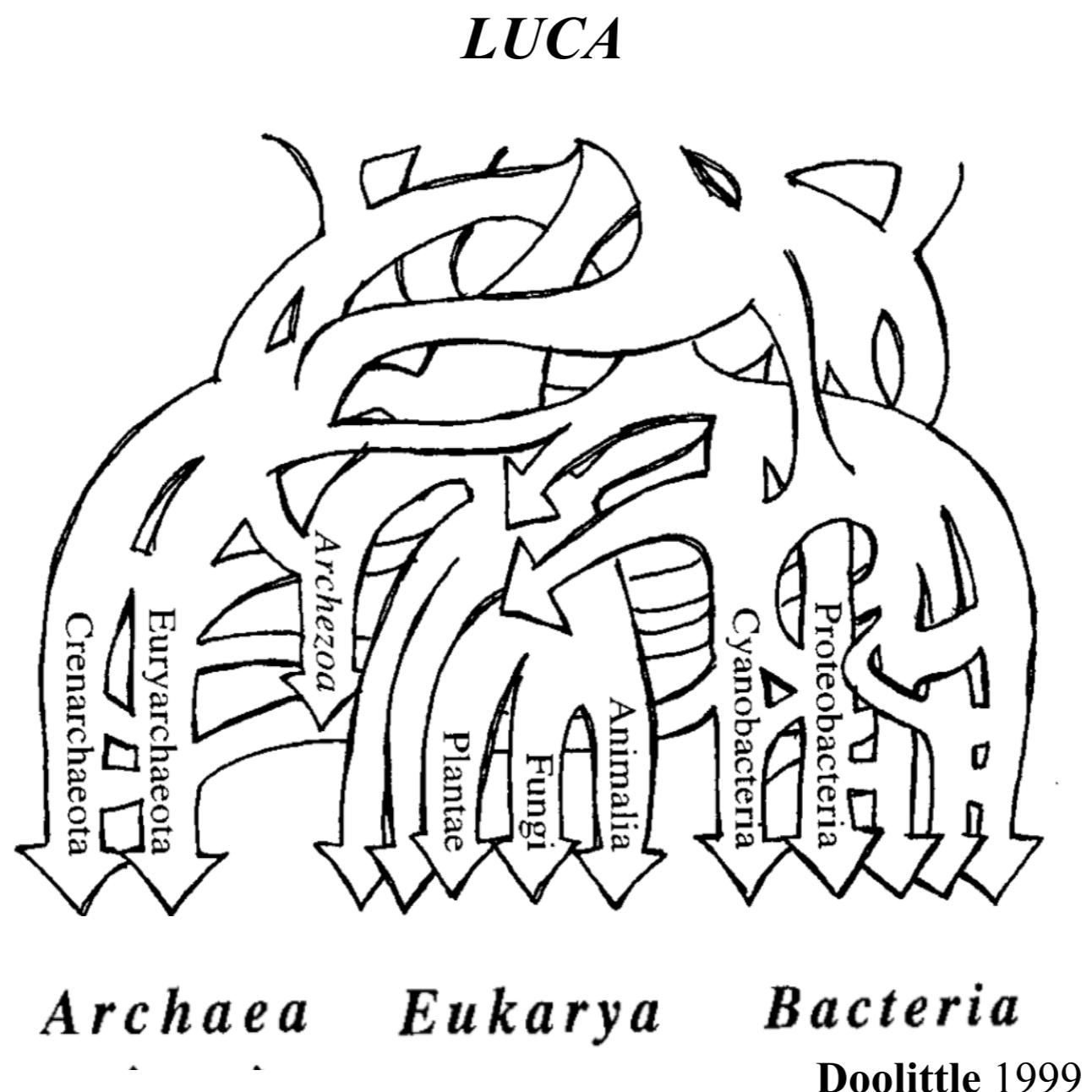


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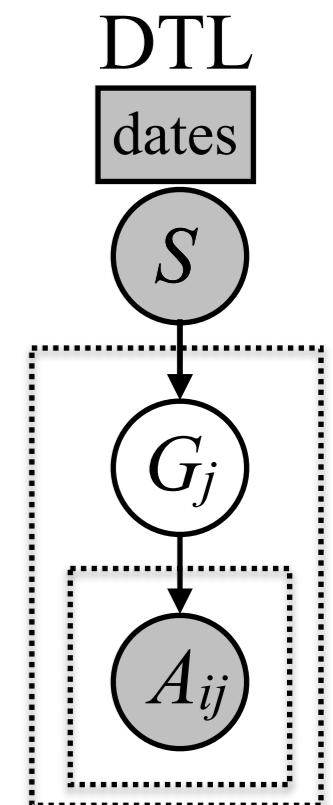
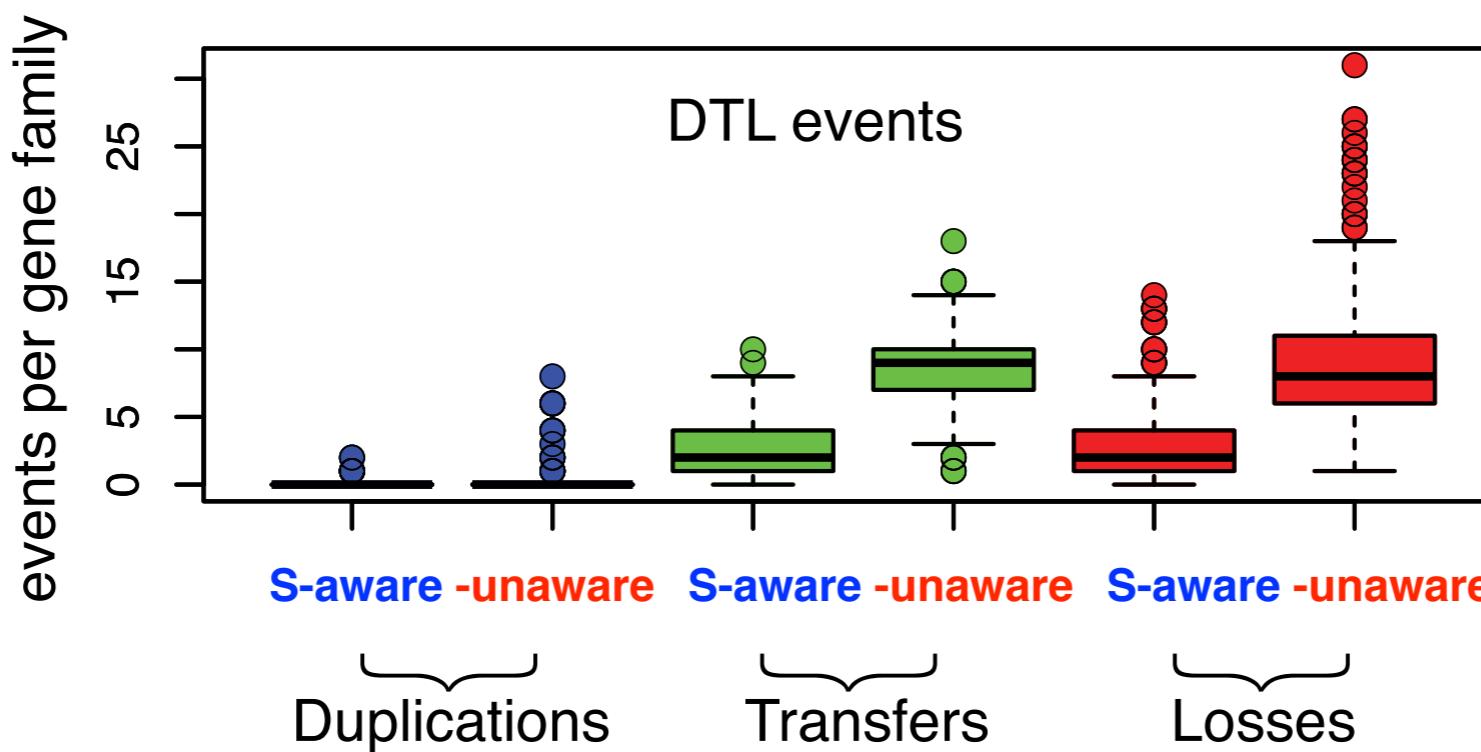
just how much HGT is there?



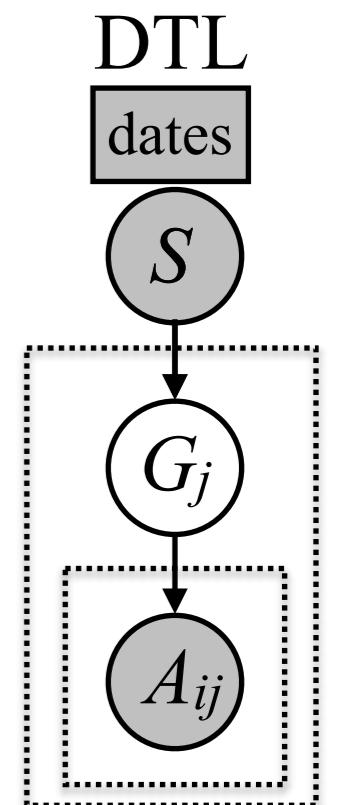
just how much HGT is there?

Two out of three transfers inferred based S-unaware gene trees are the result phylogenetic errors.

Two out of three losses inferred based S-unaware gene trees are the result phylogenetic errors.



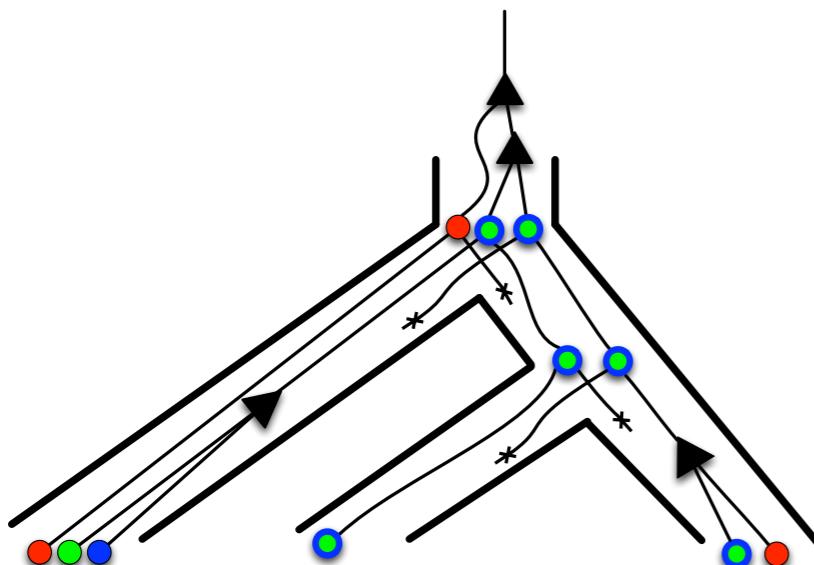
what about ancestral gene content?



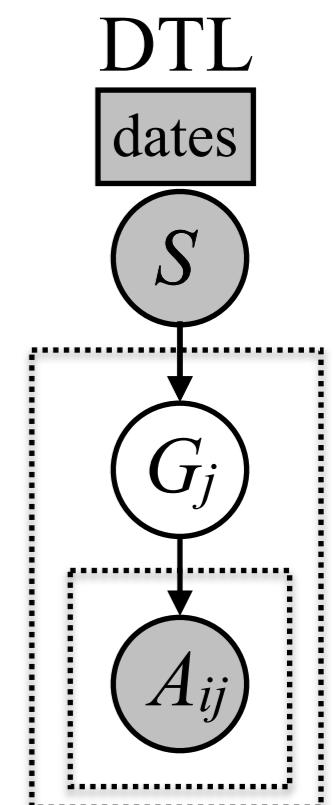
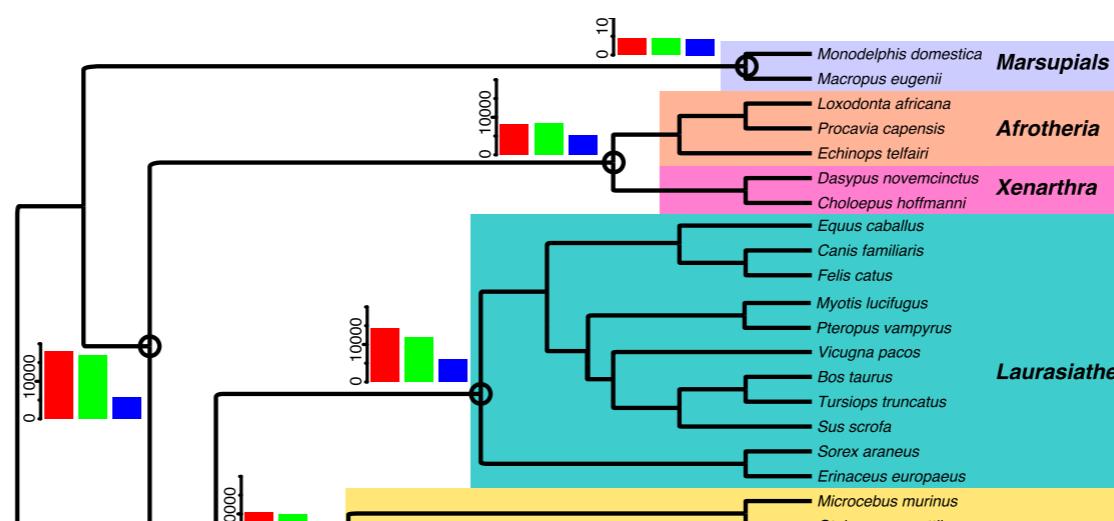
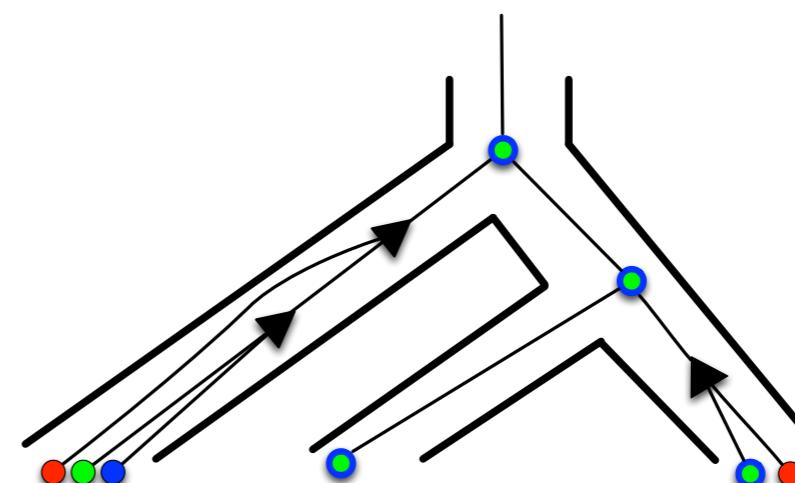
what about ancestral gene content?

Spurious (**false positives**) Ds lead to an **overestimation** of ancestral genome contents, missing Ds (**false negatives**) lead to an **underestimation**.

gene tree with errors

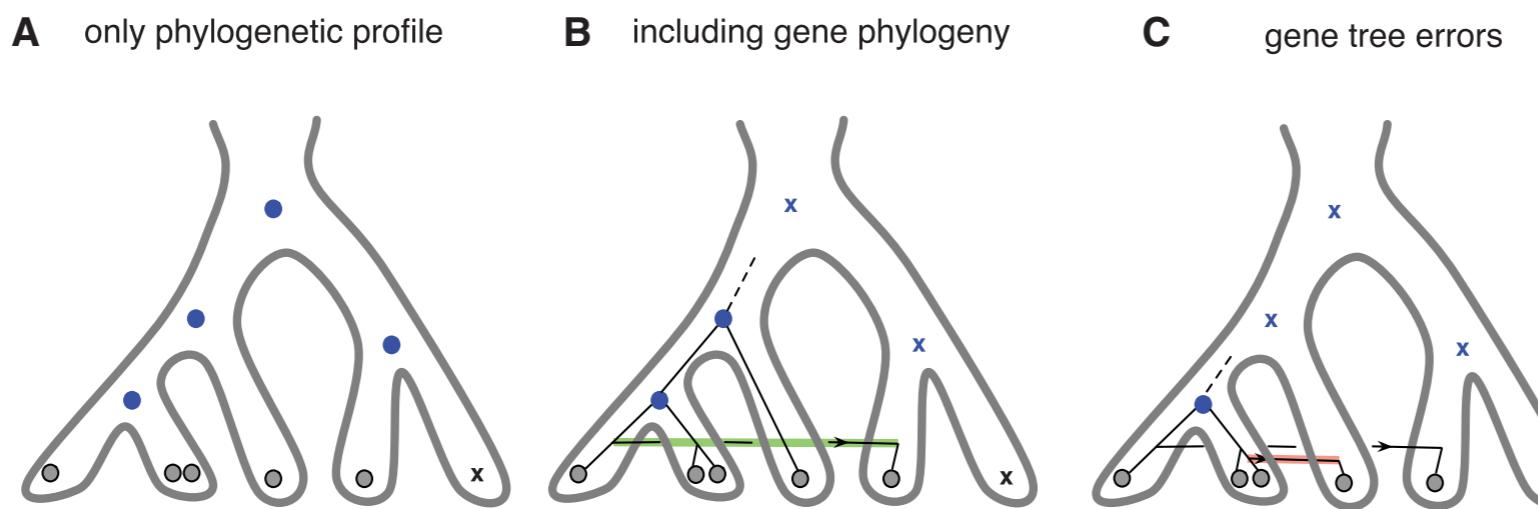


correct gene tree

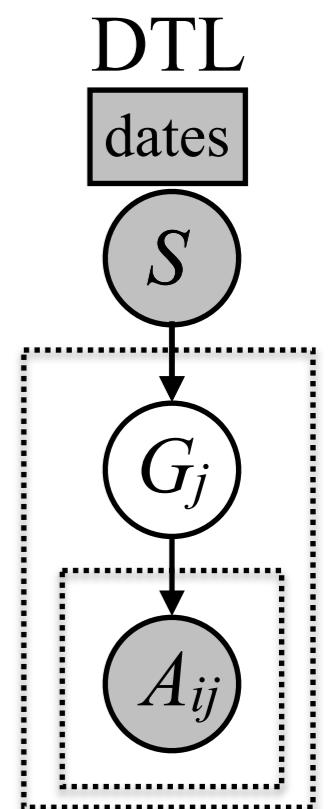
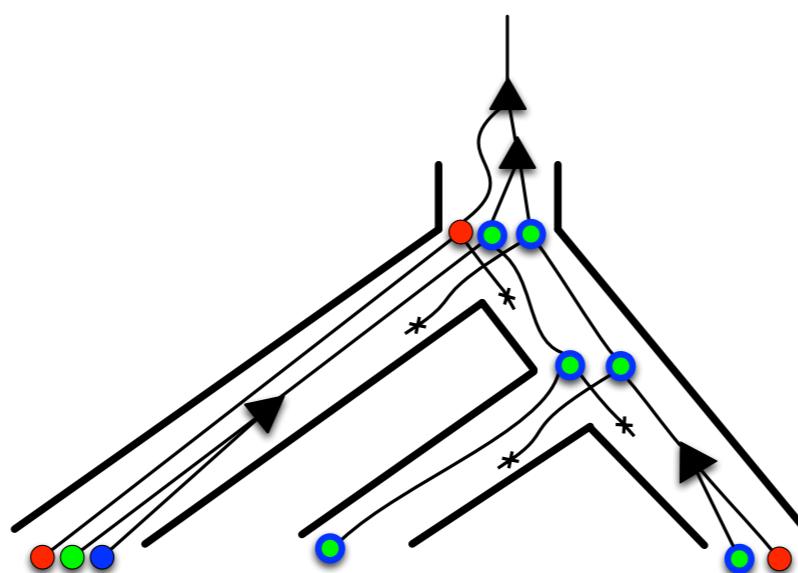


what about ancestral gene content?

Spurious (**false positives**) Ts lead to an **underestimation** of ancestral genome contents, missing Ts (**false negatives**) lead to an **overestimation** at deep nodes.



Ignoring transfer, i.e. considering only DL also leads to an overestimate.

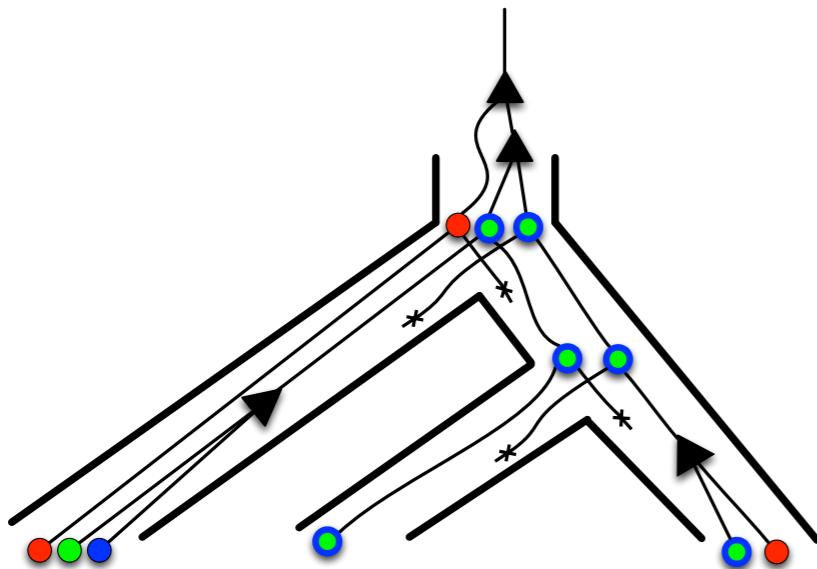


what is the effect of spurious HGTs on ancestral gene content?

Spurious (**false positives**) Ds lead to an **overestimation** of ancestral genome contents, missing Ds (**false negatives**) lead to an **underestimation**.

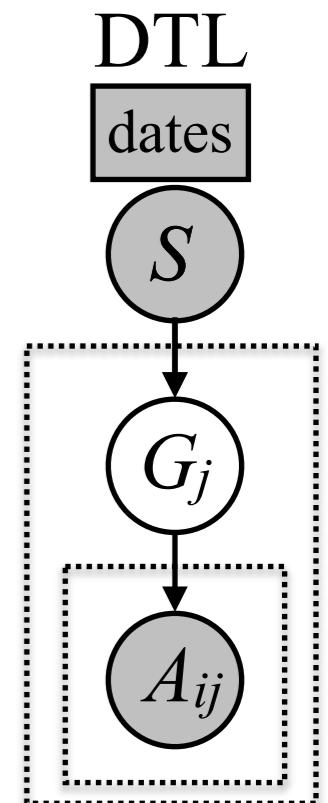
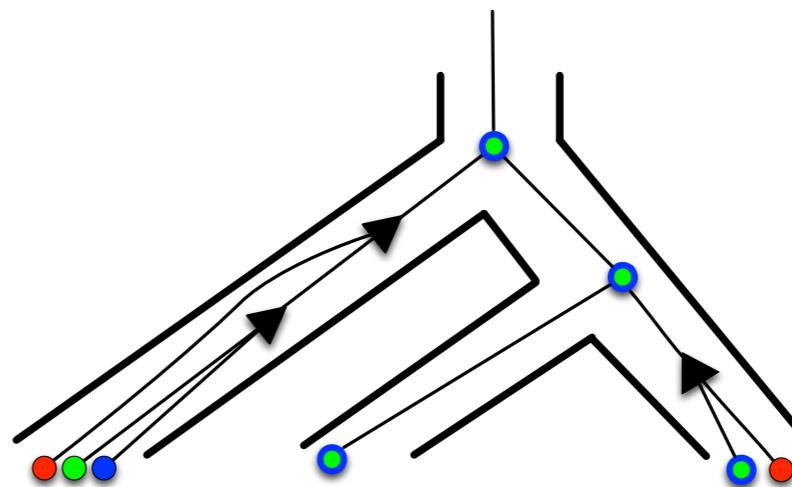
But, ignoring transfer, i.e. considering only DL also leads to an overestimate!

gene tree with errors

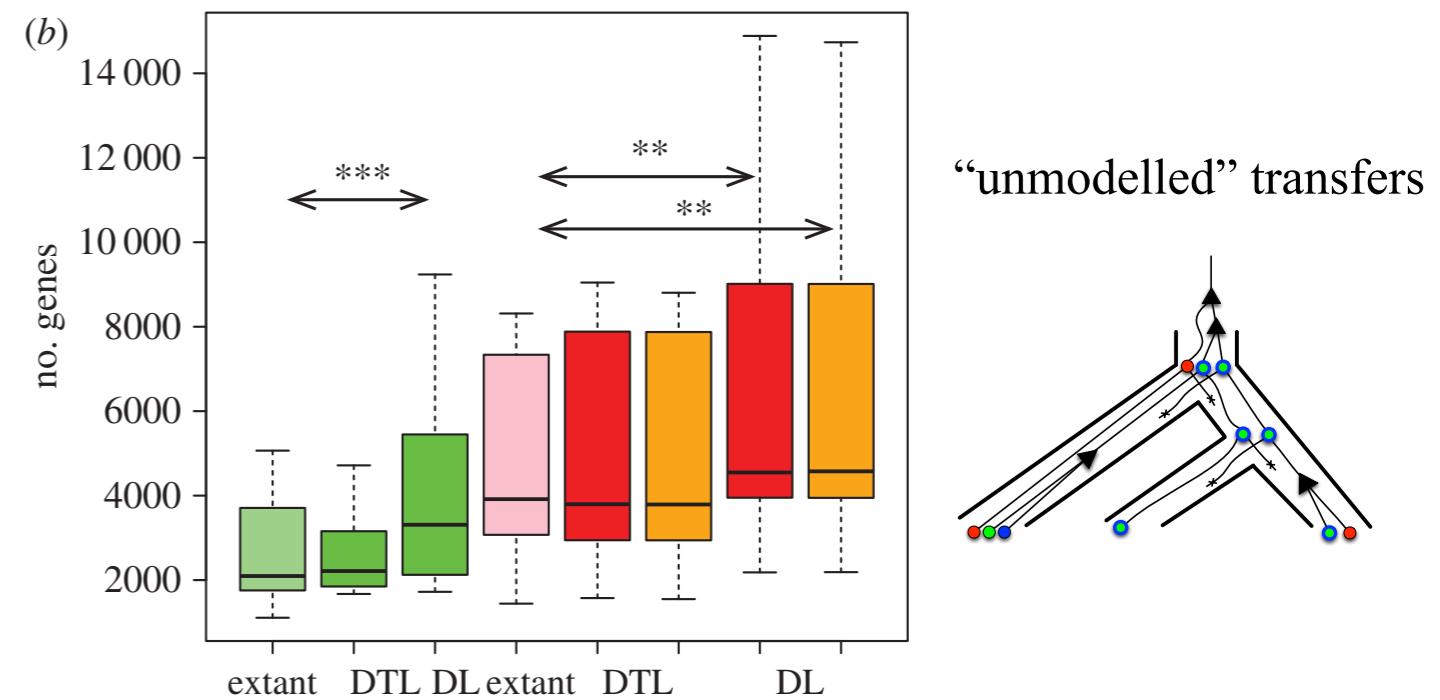
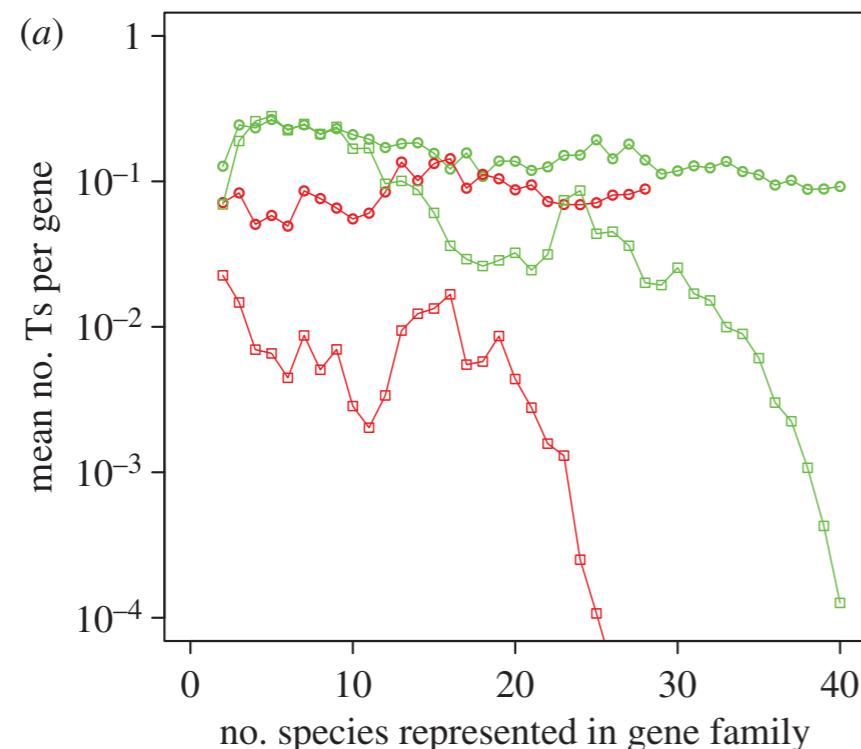
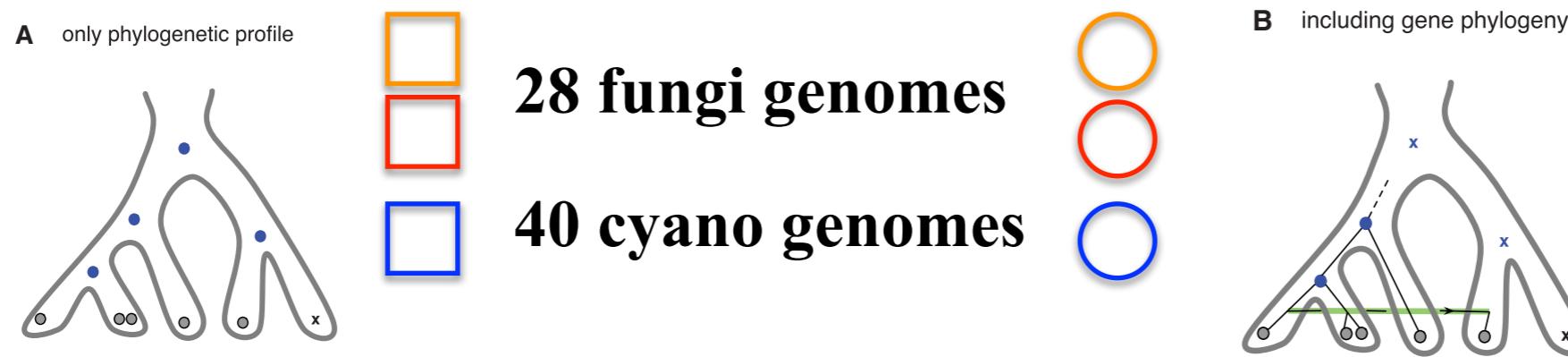


gene tree with “unmodelled” transfers

correct gene tree

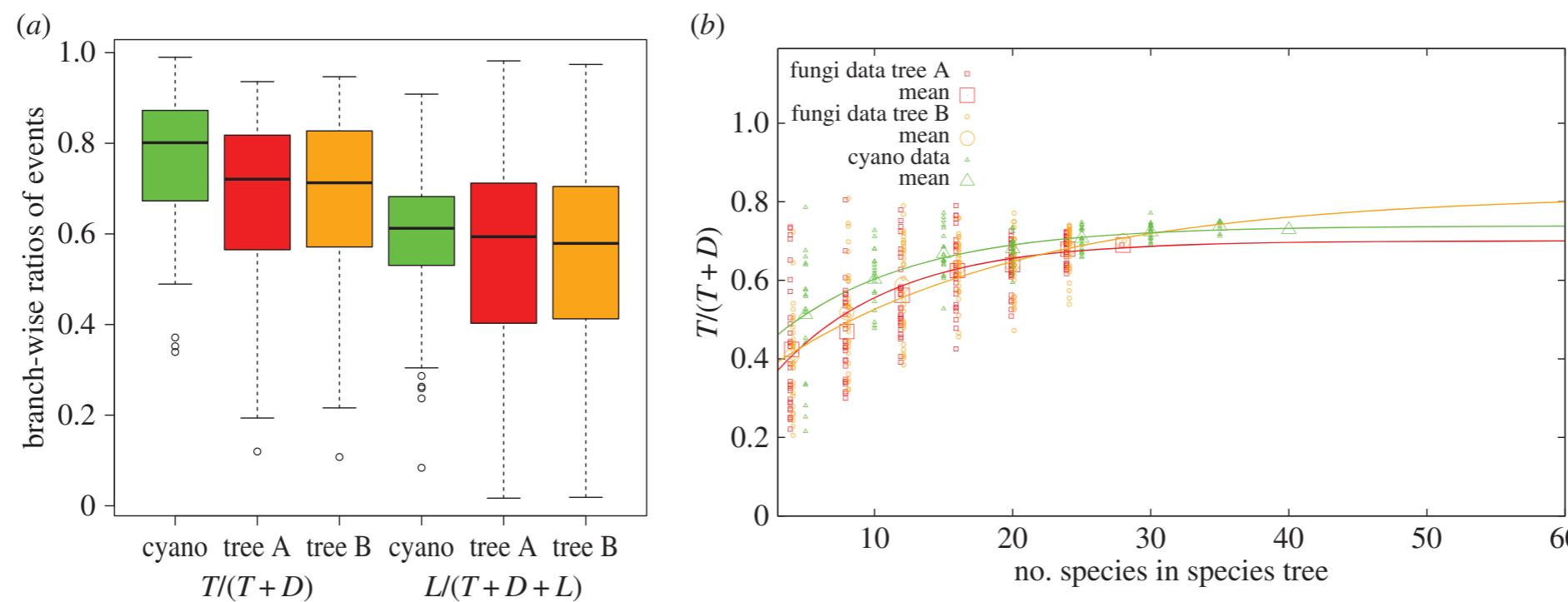
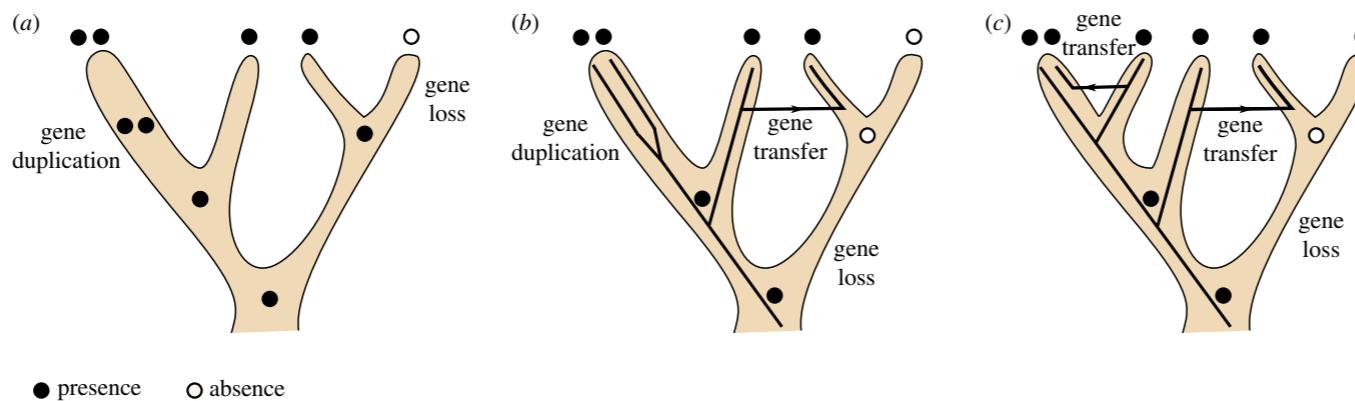


Is HGT frequent only in prokaryotes?



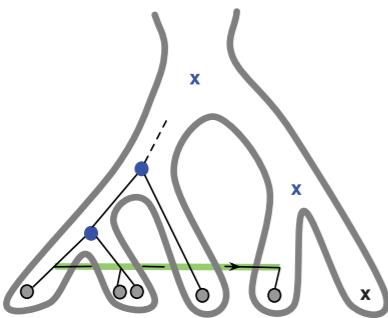
Ignoring transfer, i.e. considering only DL leads to an overestimate..

There is extensive gene transfer among fungi

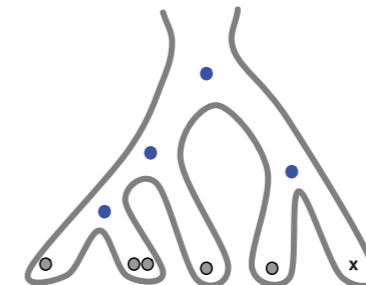


There is extensive gene transfer among fungi

B including gene phylogeny



A only phylogenetic profile



28 fungi genomes

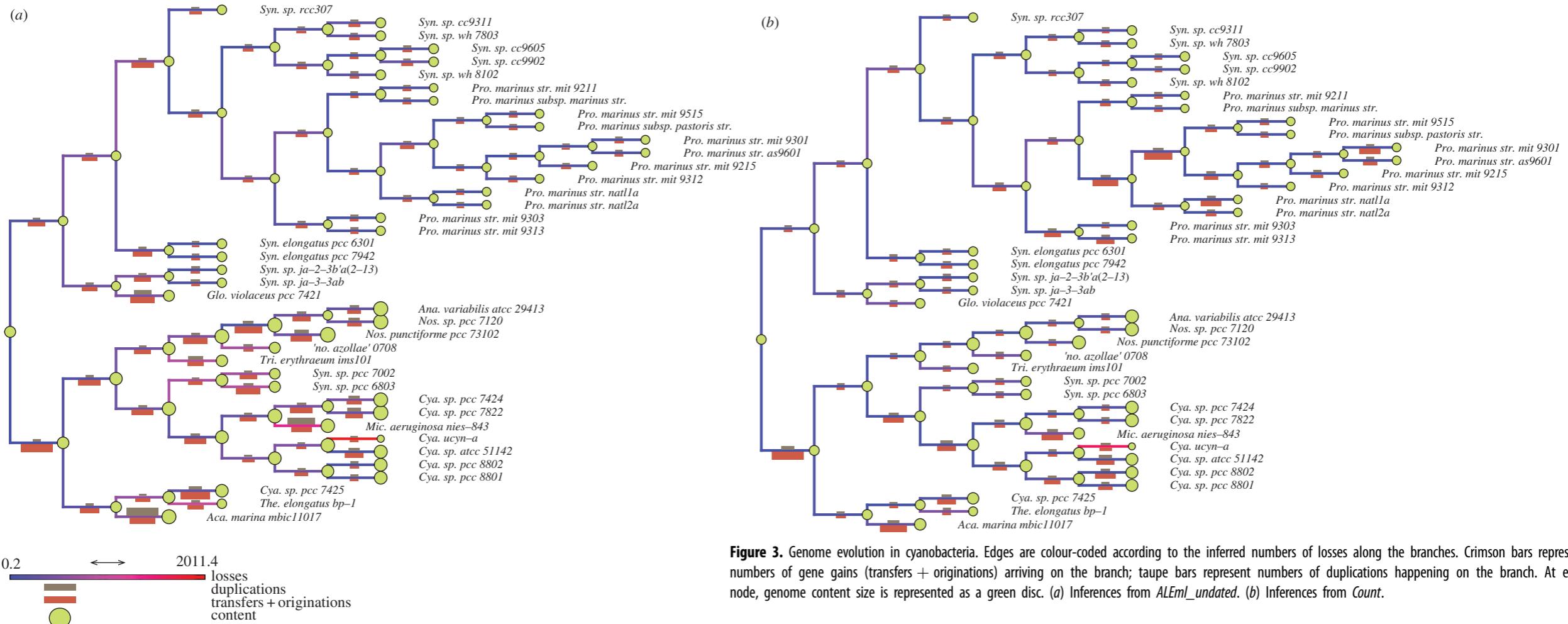


Figure 3. Genome evolution in cyanobacteria. Edges are colour-coded according to the inferred numbers of losses along the branches. Crimson bars represent numbers of gene gains (transfers + originations) arriving on the branch; taupe bars represent numbers of duplications happening on the branch. At each node, genome content size is represented as a green disc. (a) Inferences from *ALEml_undated*. (b) Inferences from *Count*.

Stronger transfer highways in fungi

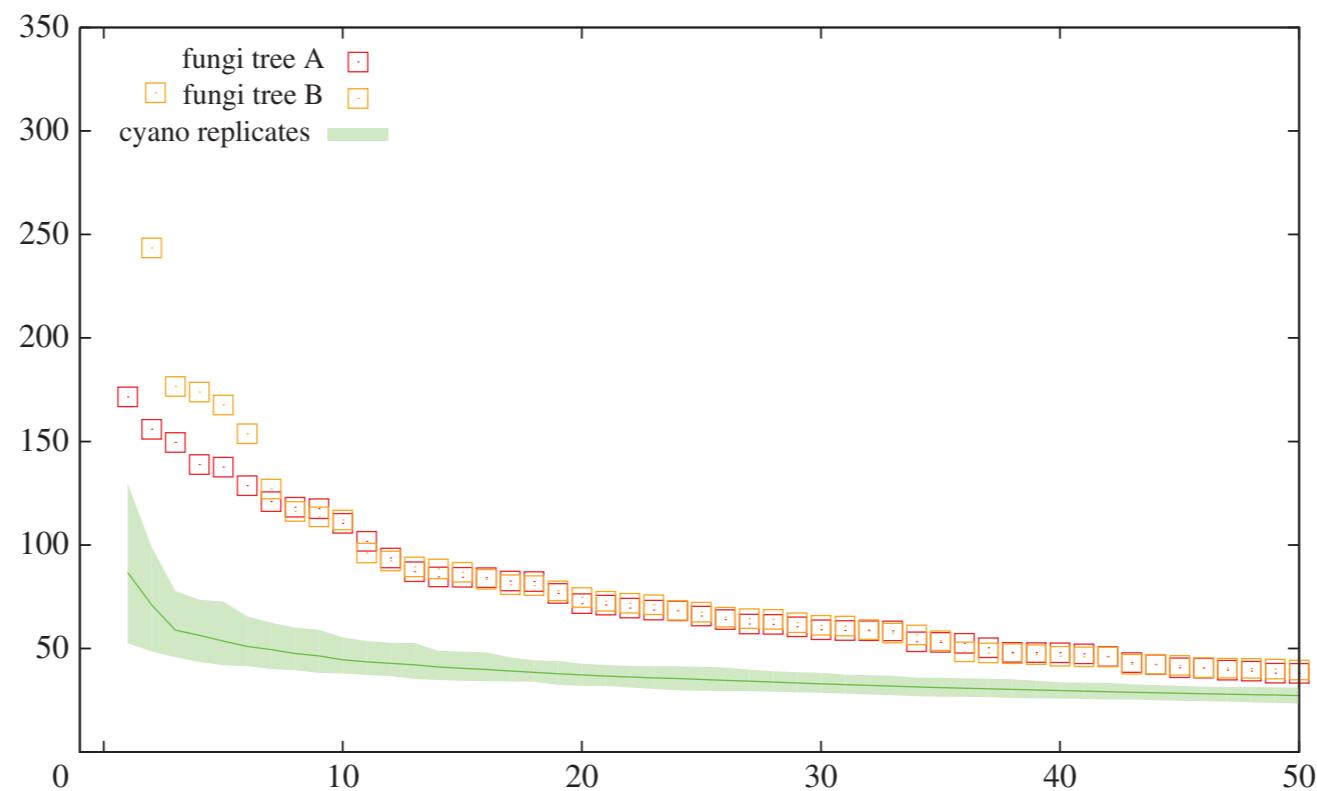
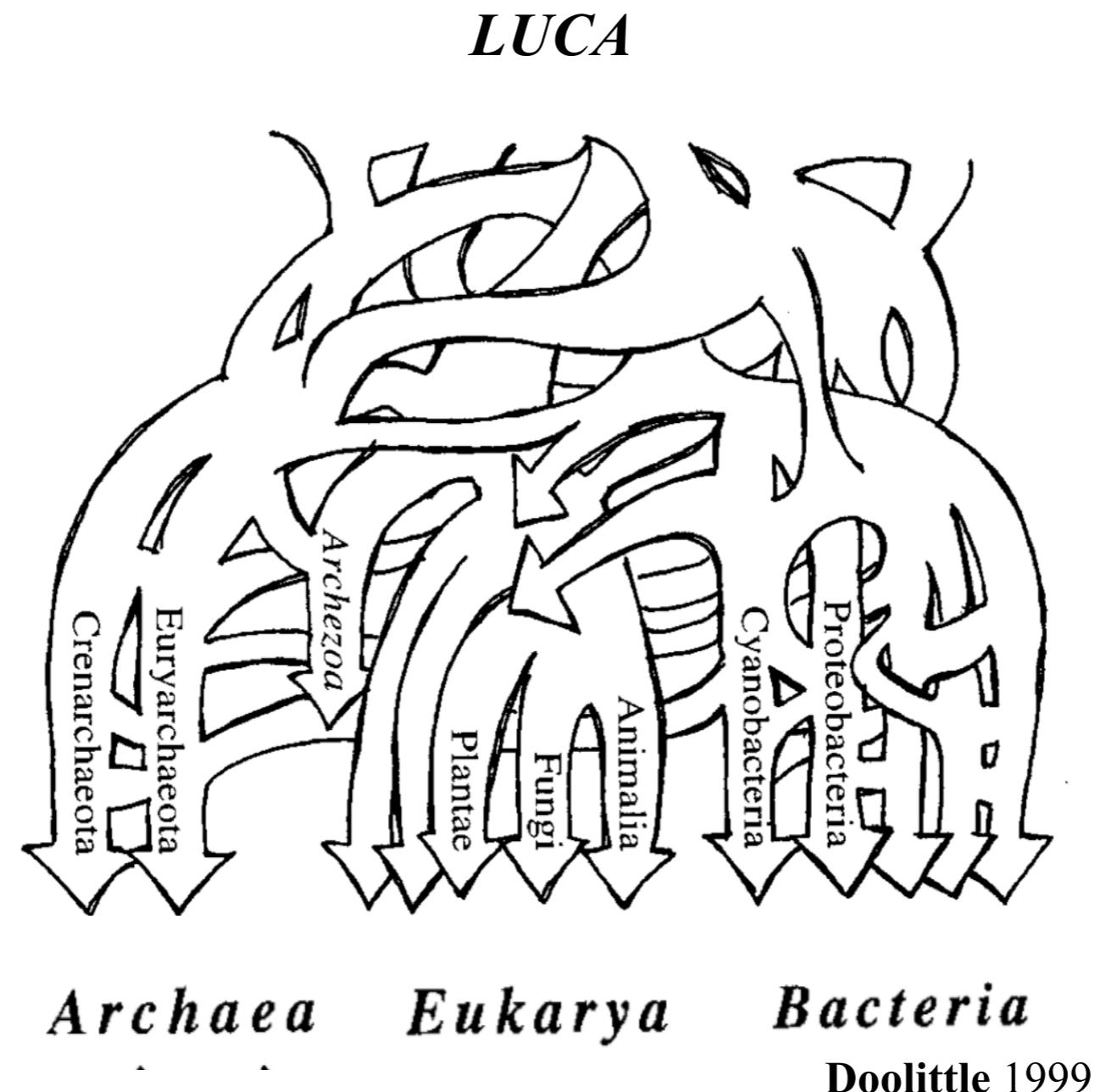


Figure 6. Stronger highways in fungi. Data points, red for tree A and orange for tree B, correspond to numbers of transfers between pairs of branches ('highways of transfers') in either fungi phylogeny plotted in decreasing order. The continuous line (green online) shows the mean number of transfers between pairs of branches among 25 replicates where a random set of 28 cyanobacterial genomes were chosen as in figure 5b. The shaded area shows the 95% CI. Fungi are in red and cyanobacteria in green throughout.

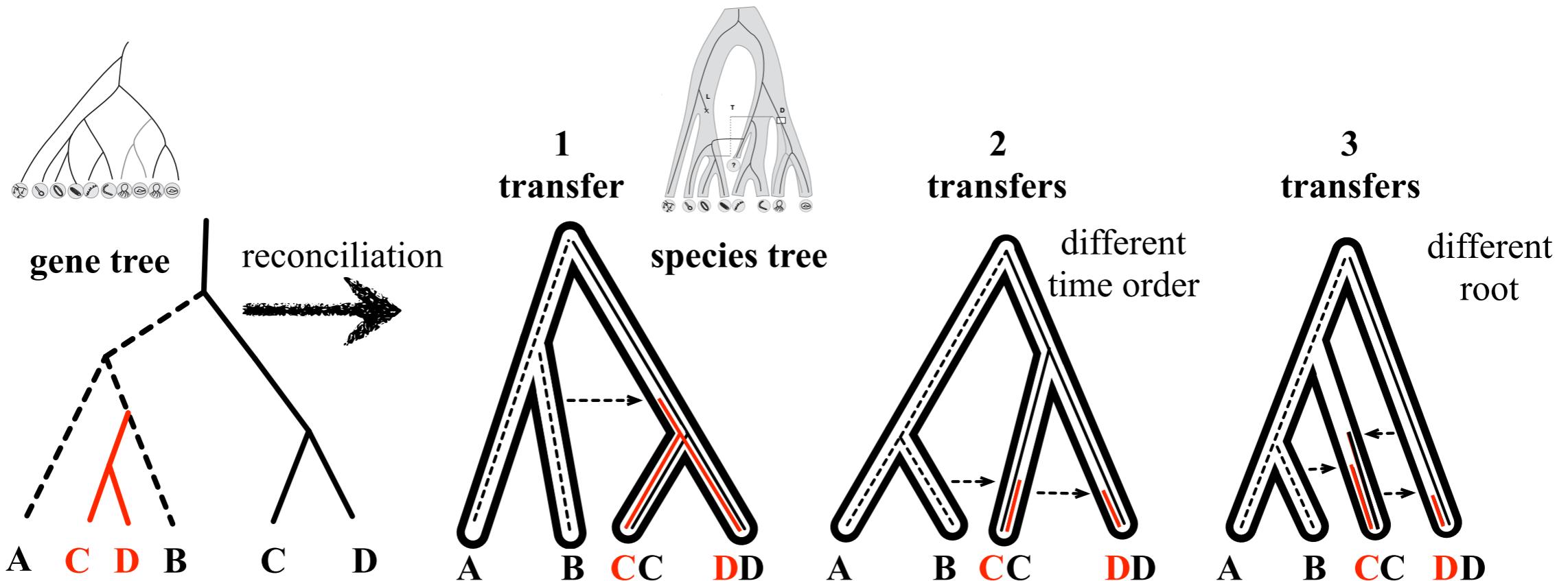
Horizontal gene transfer as noise

Gene transfers result in apparently contradicting gene phylogenies, fungi can seem closely related to aphids. A potentially high rate of transfer esp. early in the evolution of life, suggests that the vertical signal may be drowned in noise.



Horizontal gene transfer as information

Transfer events, encoded in the topologies of gene trees can be thought of as “*molecular fossils*” that record the order of speciation events.



Vincent
Daubin

LBBE

Szöllősi, Boussau, Abby, Tannier & Daubin *PNAS* (2012)
Phylogenetic modeling of lateral gene transfer
reconstructs the pattern and relative timing of speciations

Gene trees and species trees can be jointly reconstructed

Estimating genes and species history can be achieved through a hierarchical structure, on top of which a species tree is inferred from gene trees through models of gene family evolution, themselves inferred from sequence alignments through models of sequence evolution.

parallel computation scheme

$$\mathcal{L}(\{G_j\}, S, \text{rates} | \{A_{ij}\}) :$$

server:
calculate

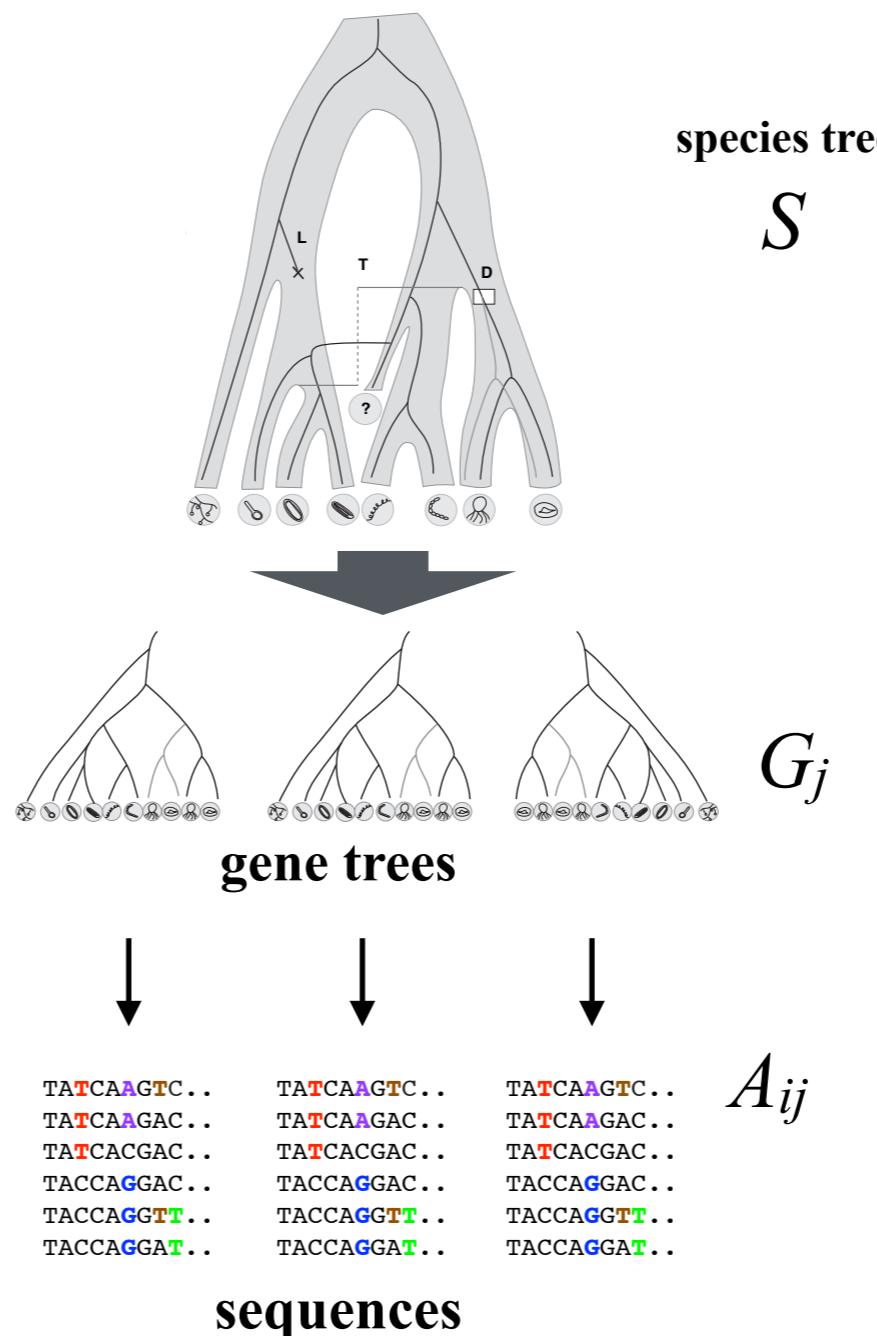
$$\prod_j$$

optimise S
and estimate rates

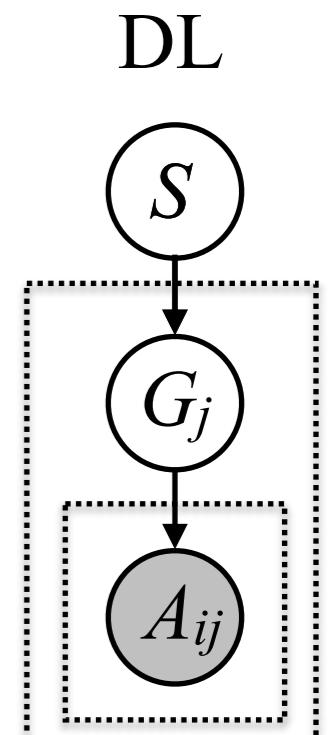
clients:
calculate

$$\prod_i p(A_{ij} | G_j) \times p(G_j | S, \text{rates})$$

optimise (or integrate over) G_j

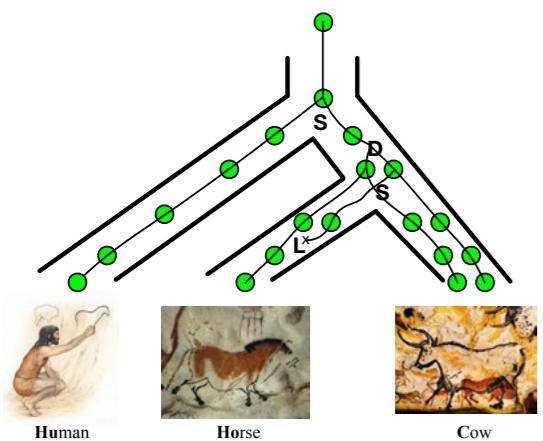


Daubin & Boussau 2011



.. but gene trees are generated along the species tree

If we model the process generating gene trees along the species tree we can hope to infer better gene trees and species trees. To calculate the likelihood of a *gene tree* we sum over all possible *gene birth and death events* along a given *species tree*.



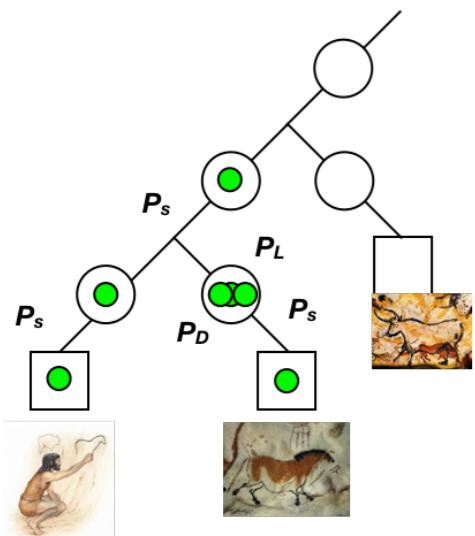
calculation complexity

DL

$$2 \text{ to } 10 \times \log(\#\text{species}) \times \#\text{genes}$$

DTL

$$2 \text{ to } 10 \times \#\text{species}^2 \times \#\text{genes}$$



DL

$$\log(\#\text{species}) \times \#\text{genes}$$

“undated”
DTL

$$\#\text{species} \times \log(\#\text{species}) \times \#\text{genes}$$

parameters (ML or Bayes)

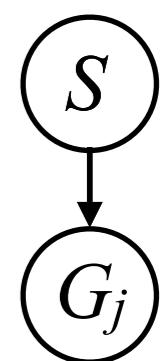
DL

D&L rates
branch lengths, root

DTL

D,T&L rates
dated tree

DL



DL

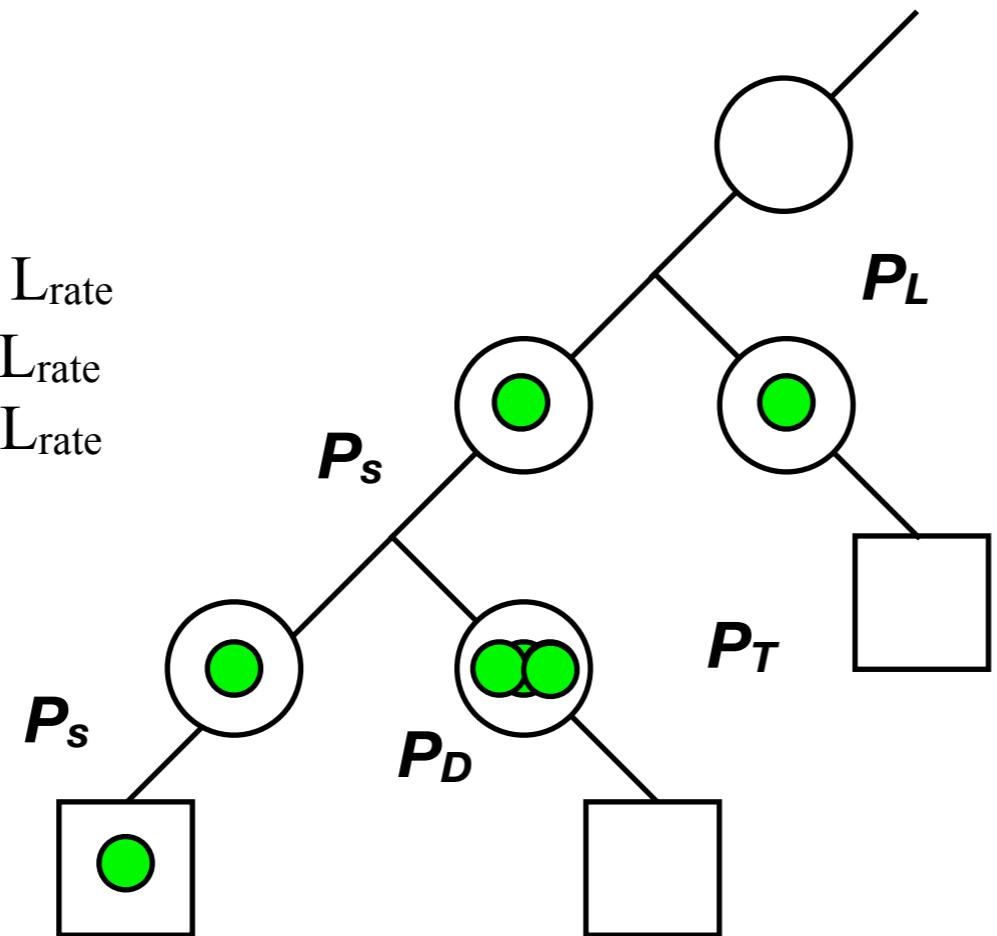
D&L rates, root

“undated”
DTL

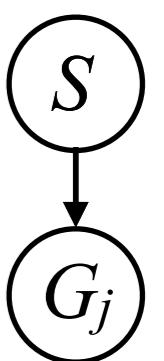
D,T&L rates, root

“undated”
DTL

$$\begin{aligned} P_S + P_D + P_T + P_L &= 1 \\ P_D &= D_{\text{rate}} / (D_{\text{rate}} + T_{\text{rate}} + L_{\text{rate}}) \\ P_T &= T_{\text{rate}} / (D_{\text{rate}} + T_{\text{rate}} + L_{\text{rate}}) \\ P_L &= L_{\text{rate}} / (D_{\text{rate}} + T_{\text{rate}} + L_{\text{rate}}) \end{aligned}$$



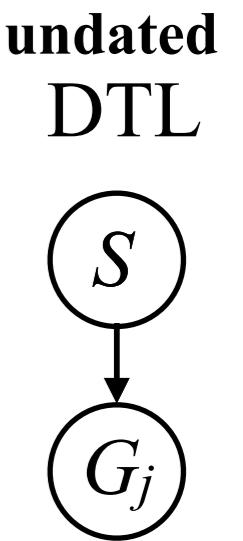
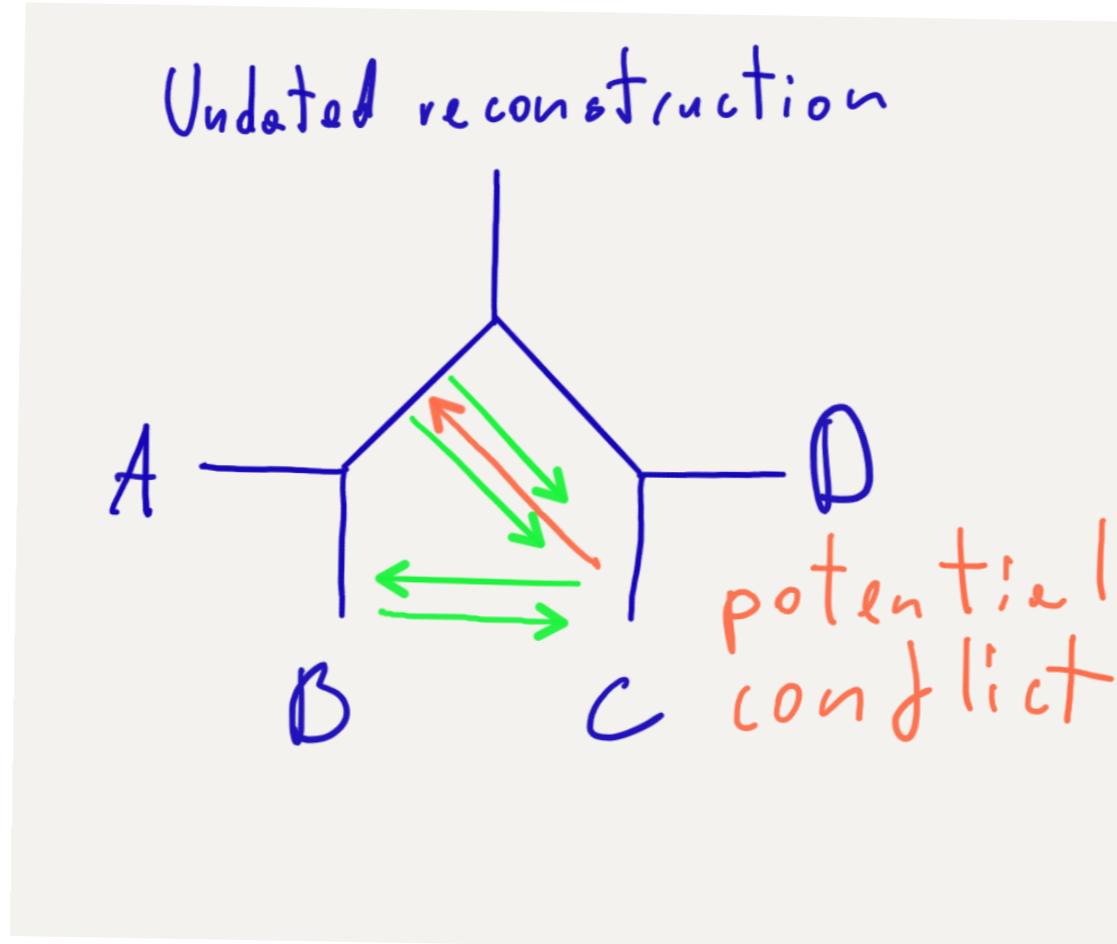
undated
DTL



implemented in ALE:

<http://github.com/ssolo/ALE>

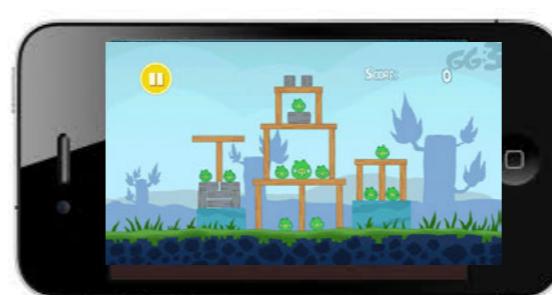
“undated” DTL



DTL



“undated” DTL



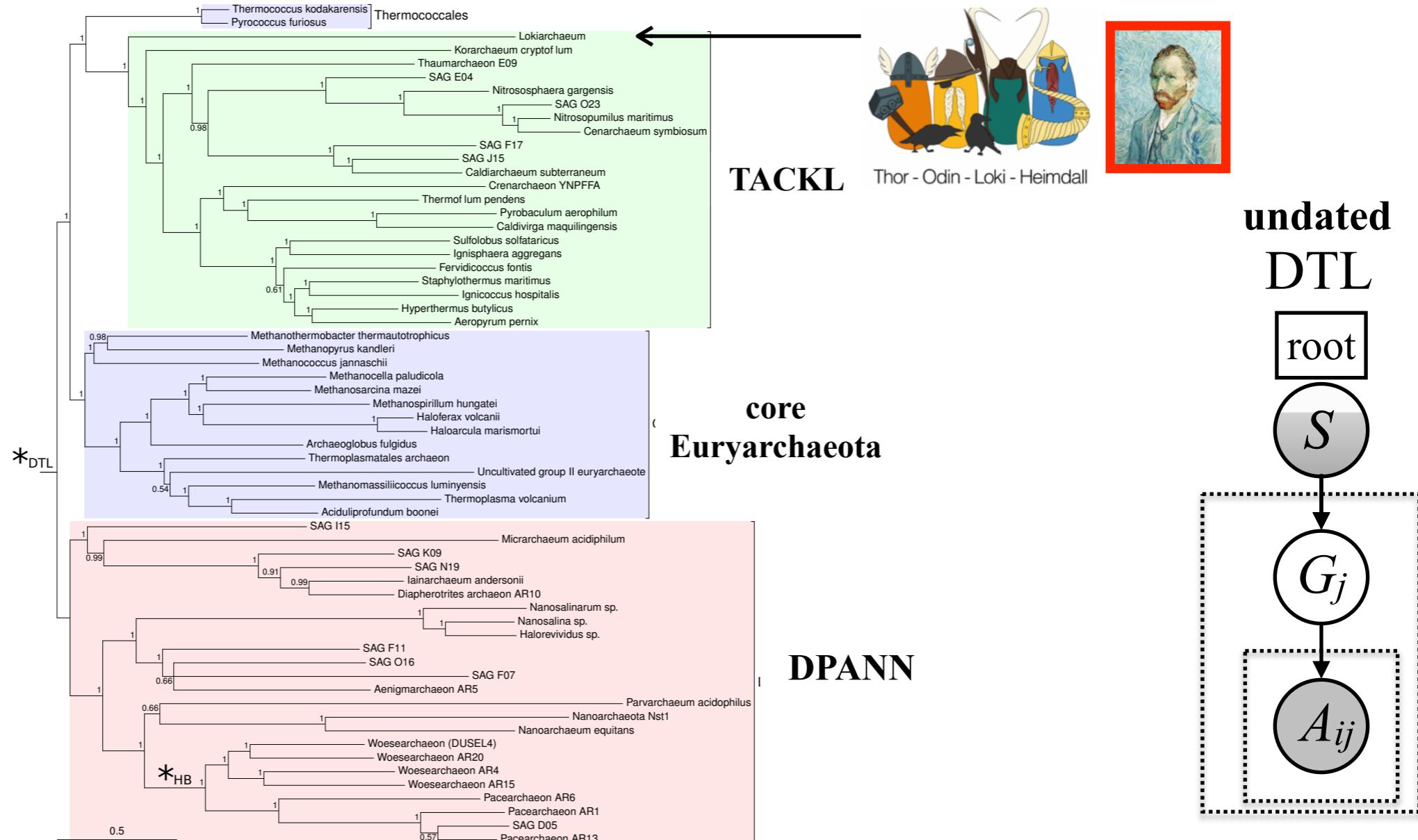
implemented in ALE:

<http://github.com/ssolo/ALE>

Transfers can root the archaeal tree of life

Using ALE on gene families from 60 genomes allowed us to **root the Archaeal tree without an out-group** while at the same time reconstructing ancestral gene contents.

Archaea (60 genomes, including recent DPANN and Lokiarchaeum)



The predominant mode of genome evolution is not gene loss, but ongoing lineage specific innovation.

Williams, Szöllősi, .. & Embley *PNAS* (2017)

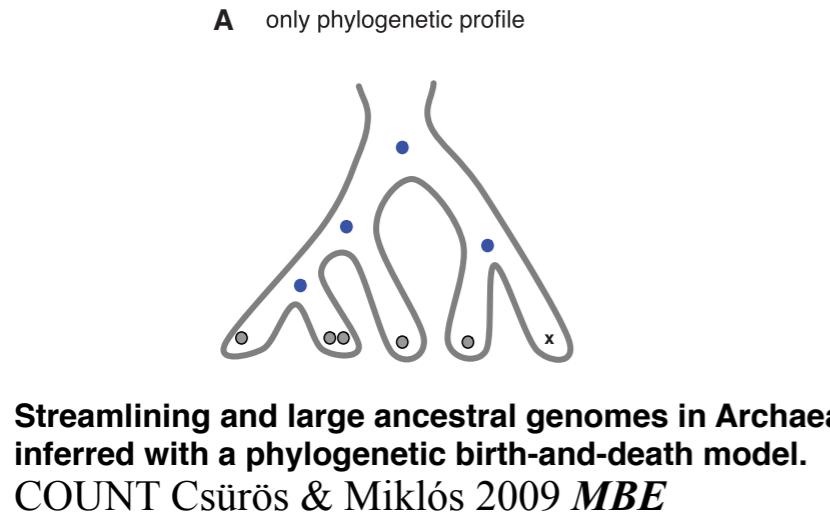
Integrative modelling of gene and genome evolution roots the archaeal tree of life



Tom
Williams
U.Bristol

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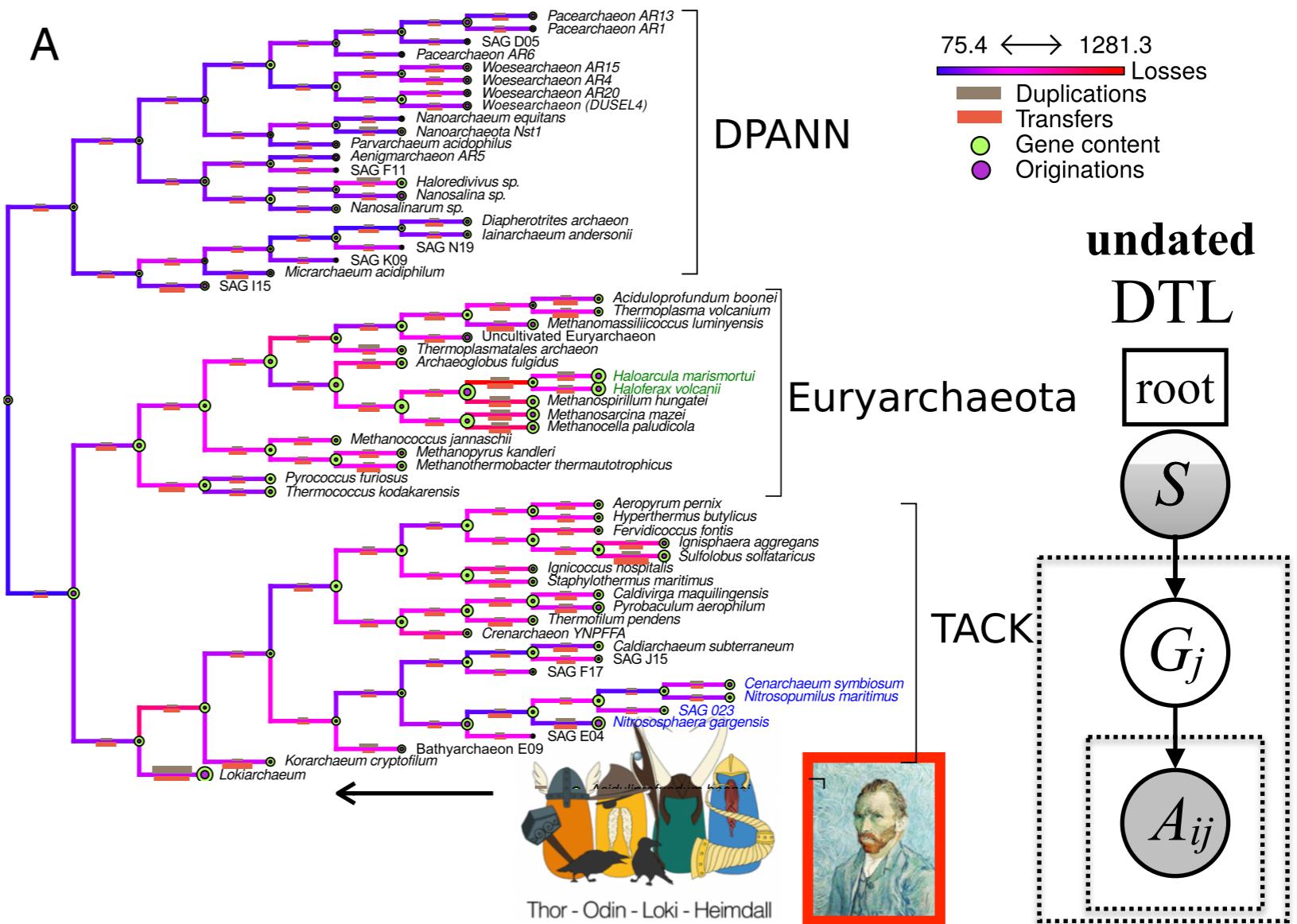
B including gene phylogeny



Tom
Williams
U.Bristol

implemented in ALE:

<http://github.com/ssolo/ALE>



The predominant mode of genome evolution is not gene loss, but ongoing lineage specific innovation.

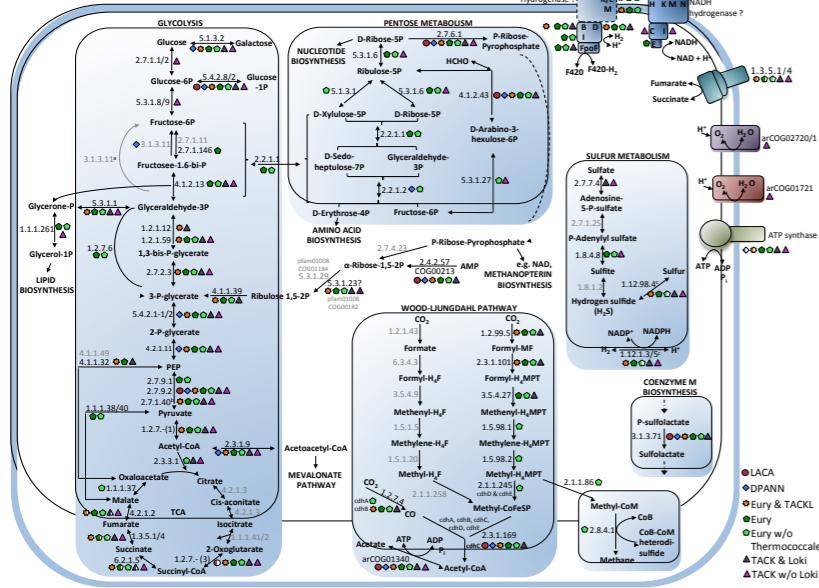
Williams, Szöllősi, .. & Embley PNAS (2017)

Integrative modelling of gene and genome evolution roots the archaeal tree of life

Transfers can root the archaeal tree of life

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LACA's metabolism

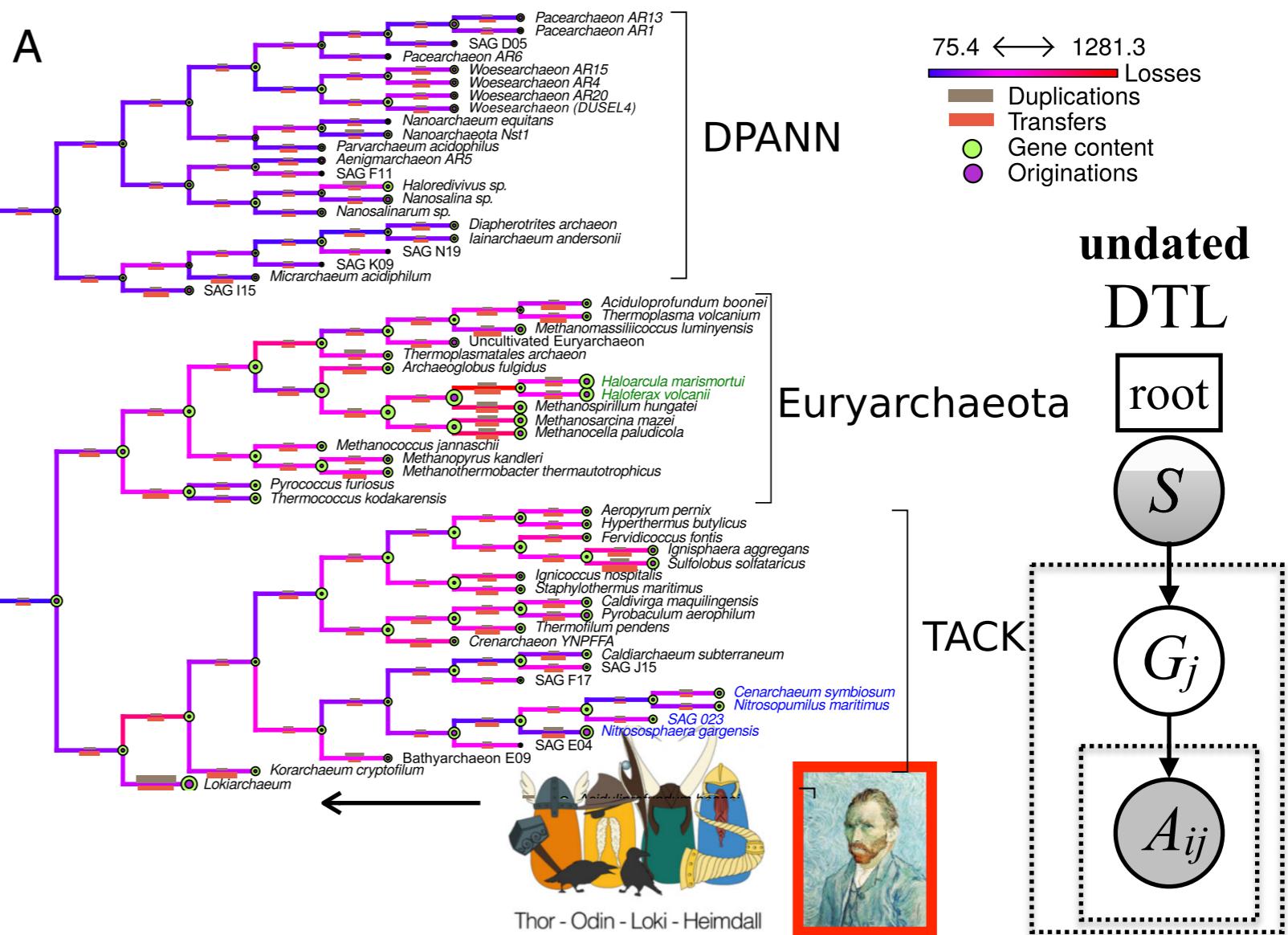


An **anaerobe** that could fix CO₂ to acetyl-CoA and generate acetate and ATP from it.



implemented in ALE:

<http://github.com/ssolo/ALE>



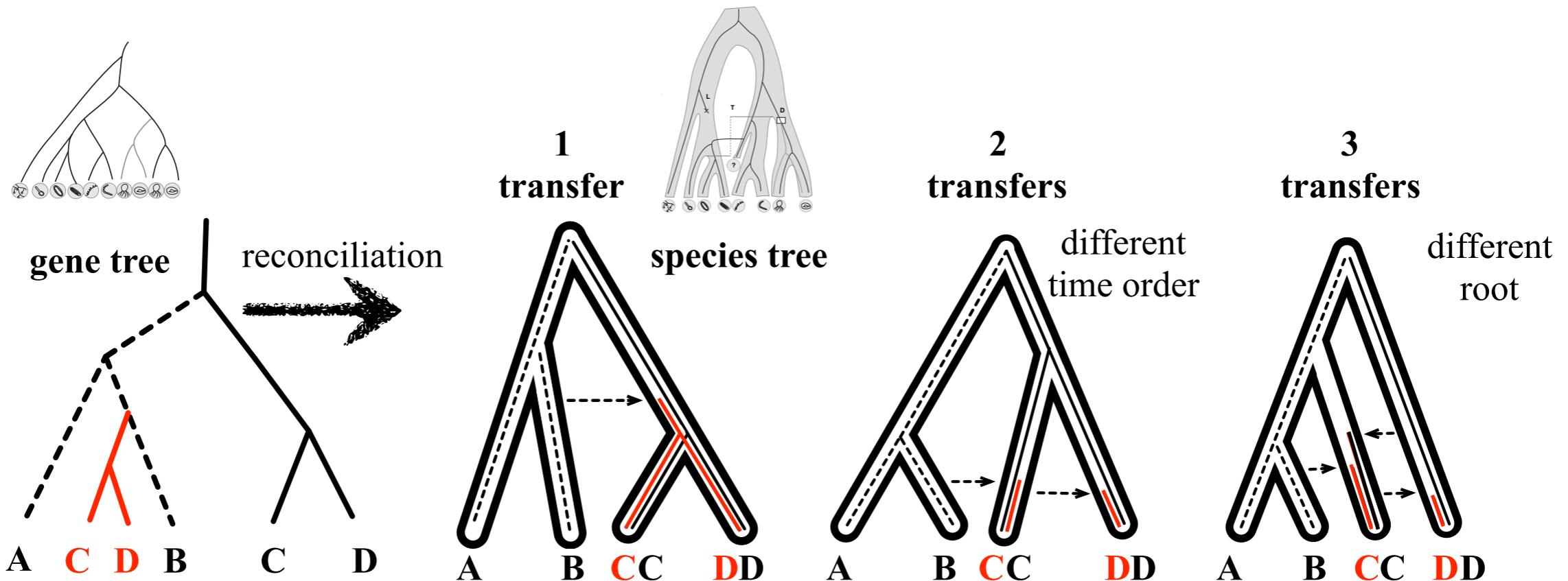
The predominant mode of genome evolution is not gene loss, but ongoing lineage specific innovation.

Williams, Szöllősi, .. & Embley *PNAS* (2017)

Integrative modelling of gene and genome evolution roots the archaeal tree of life

Horizontal gene transfer as information

Transfer events, encoded in the topologies of gene trees can be thought of as “*molecular fossils*” that record the order of speciation events.



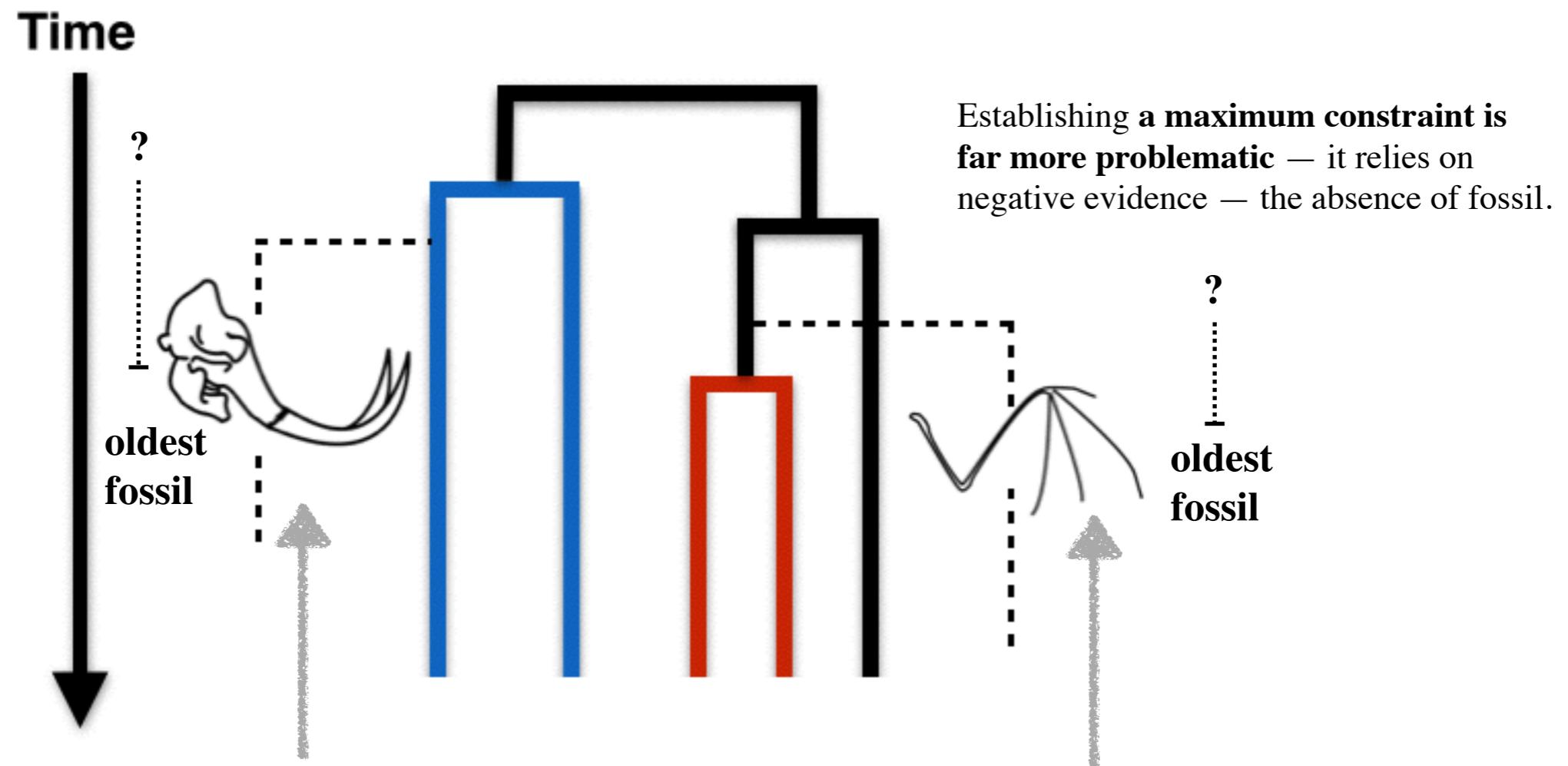
Vincent
Daubin

LBBE

Szöllősi, Boussau, Abby, Tannier & Daubin *PNAS* (2012)
Phylogenetic modeling of lateral gene transfer
reconstructs the pattern and relative timing of speciations

Rocks

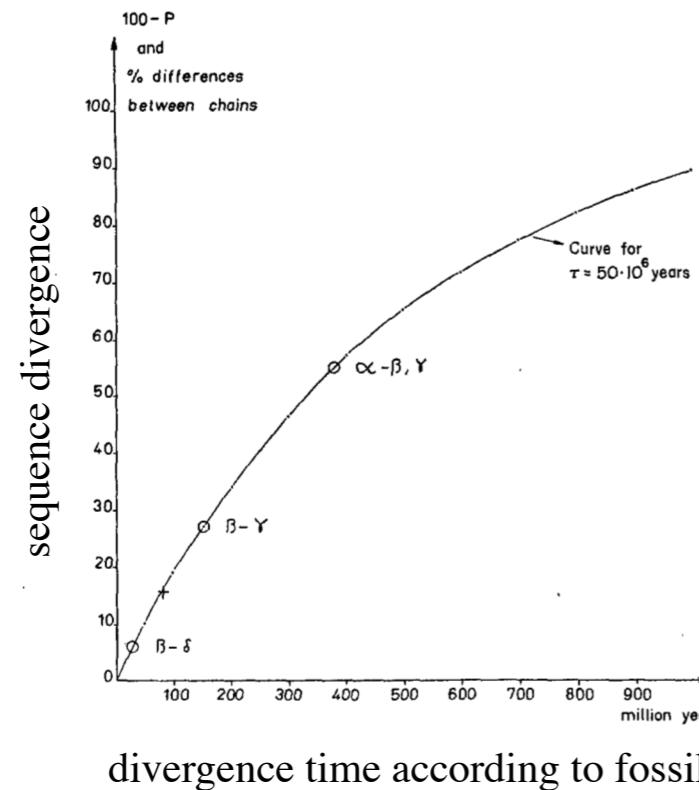
The geological record is the only source of information concerning absolute time



The fossil record is **directly informative on the minimum ages** of clades based on the age of their oldest fossil representative

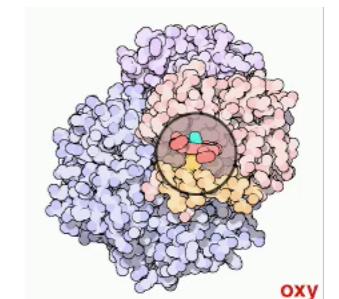
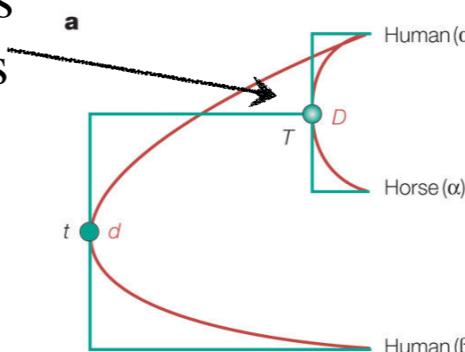
Molecular Clocks

The molecular clock hypothesis reflects the observation that the **differences between homologous amino acid sequences from different mammals are roughly proportional to their time of divergence.**

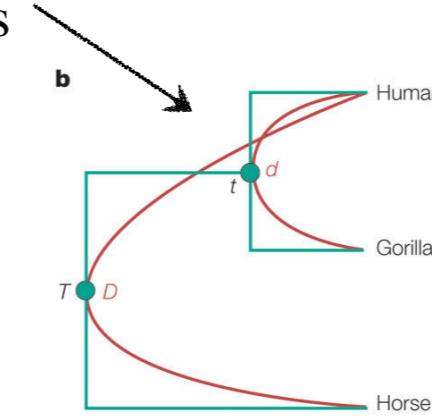


Zukerkandl and Pauling 1965

~130 million years
18 aa substitutions



1 & 2 substitutions
~11 million years

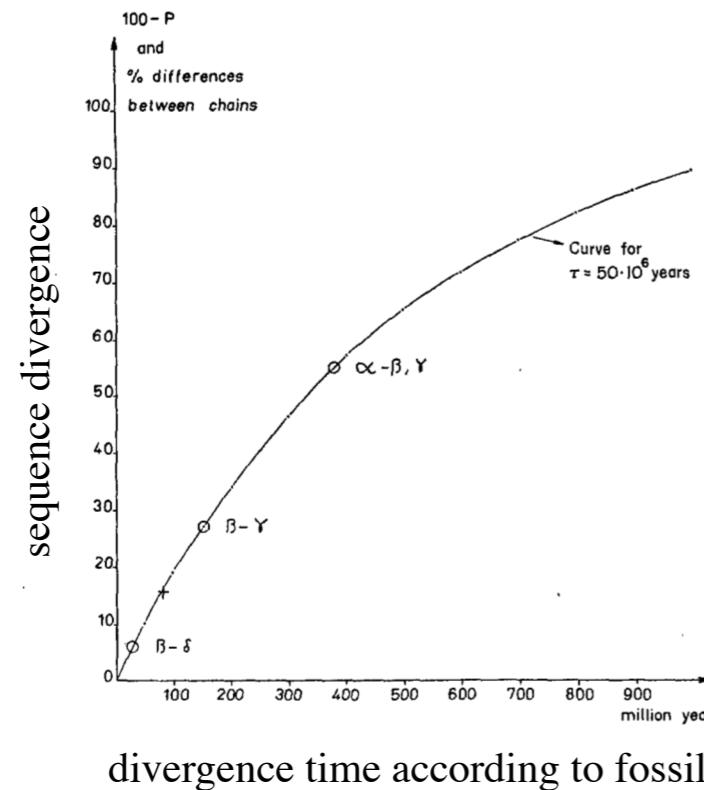


$2\alpha + 2\beta$

Nature Reviews | Genetics

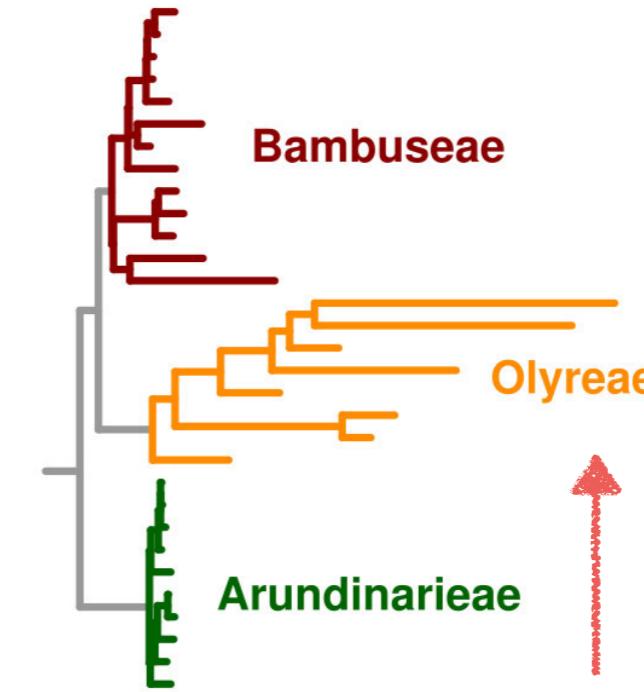
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Zukerkandl and Pauling 1965

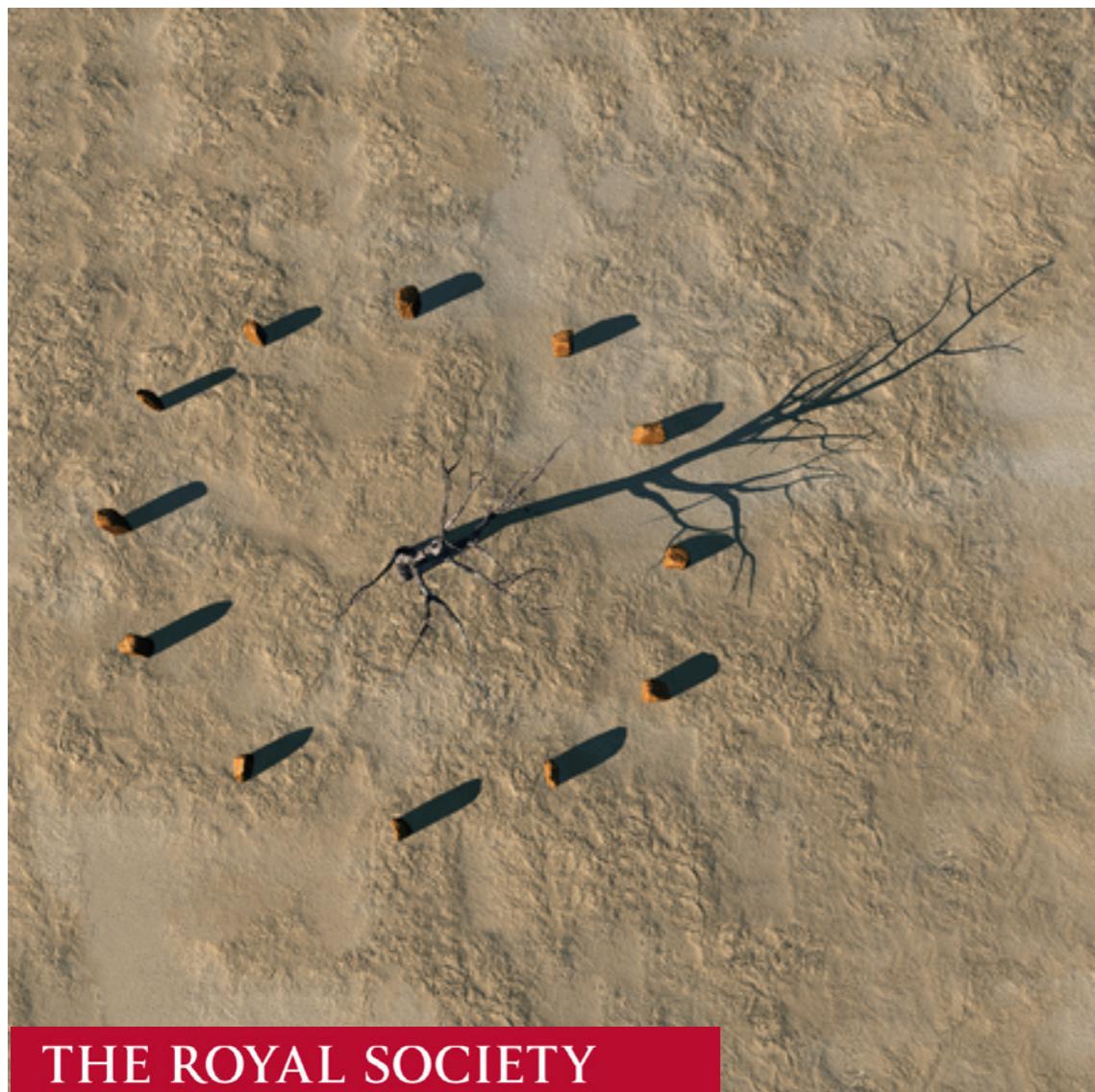
**evolutionary rates vary
molecular clocks are local**



Wysocki et al. 2014 & Wikipedia

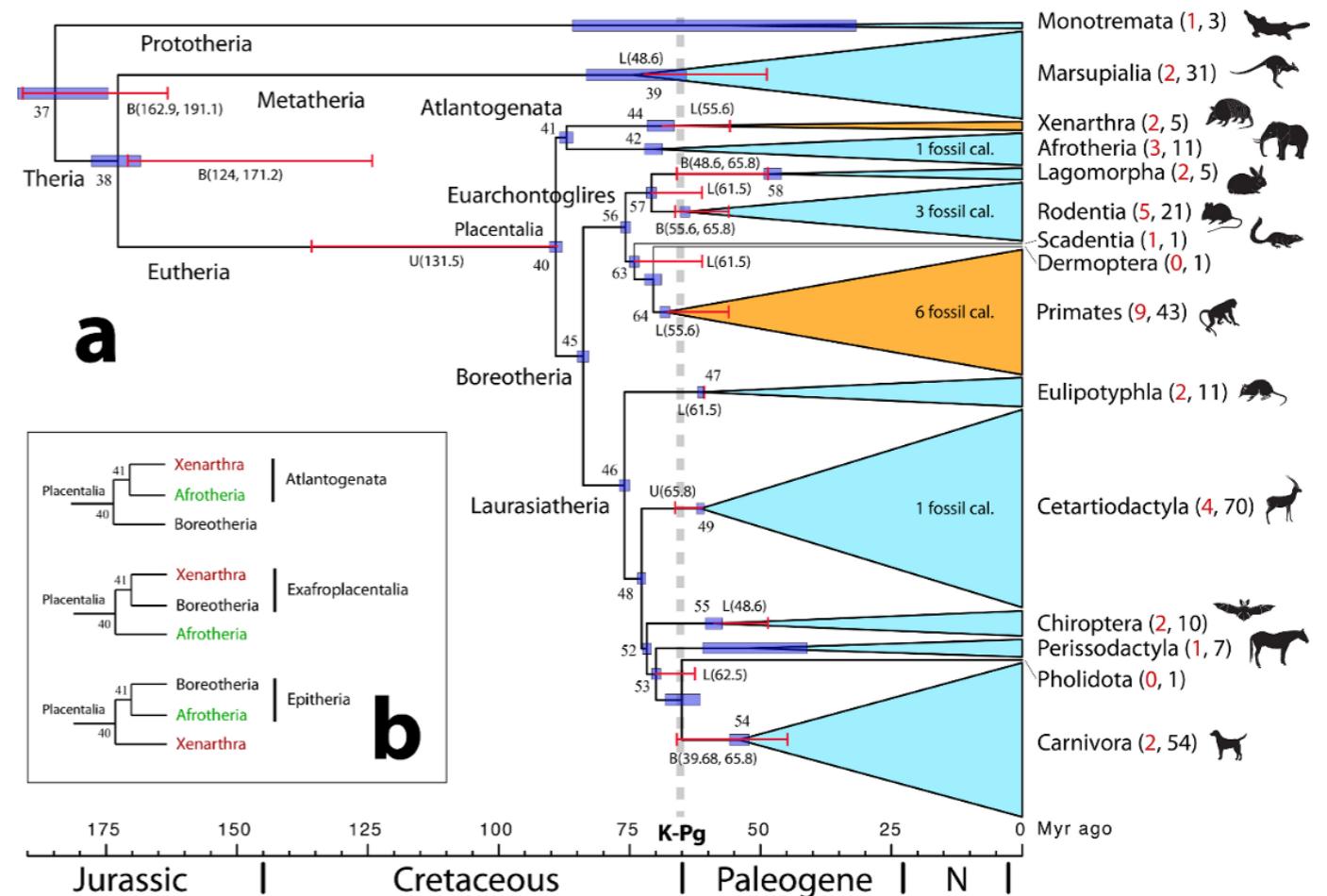
Rocks & Clocks

Inadequate modelling of the global violation of the molecular clock historically lead to great controversies..



Donoghue and Yang 2015

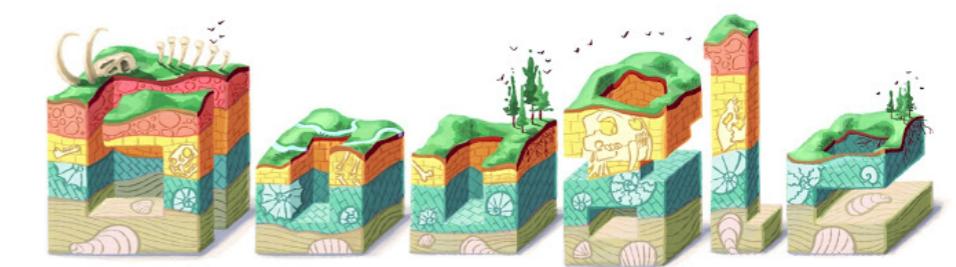
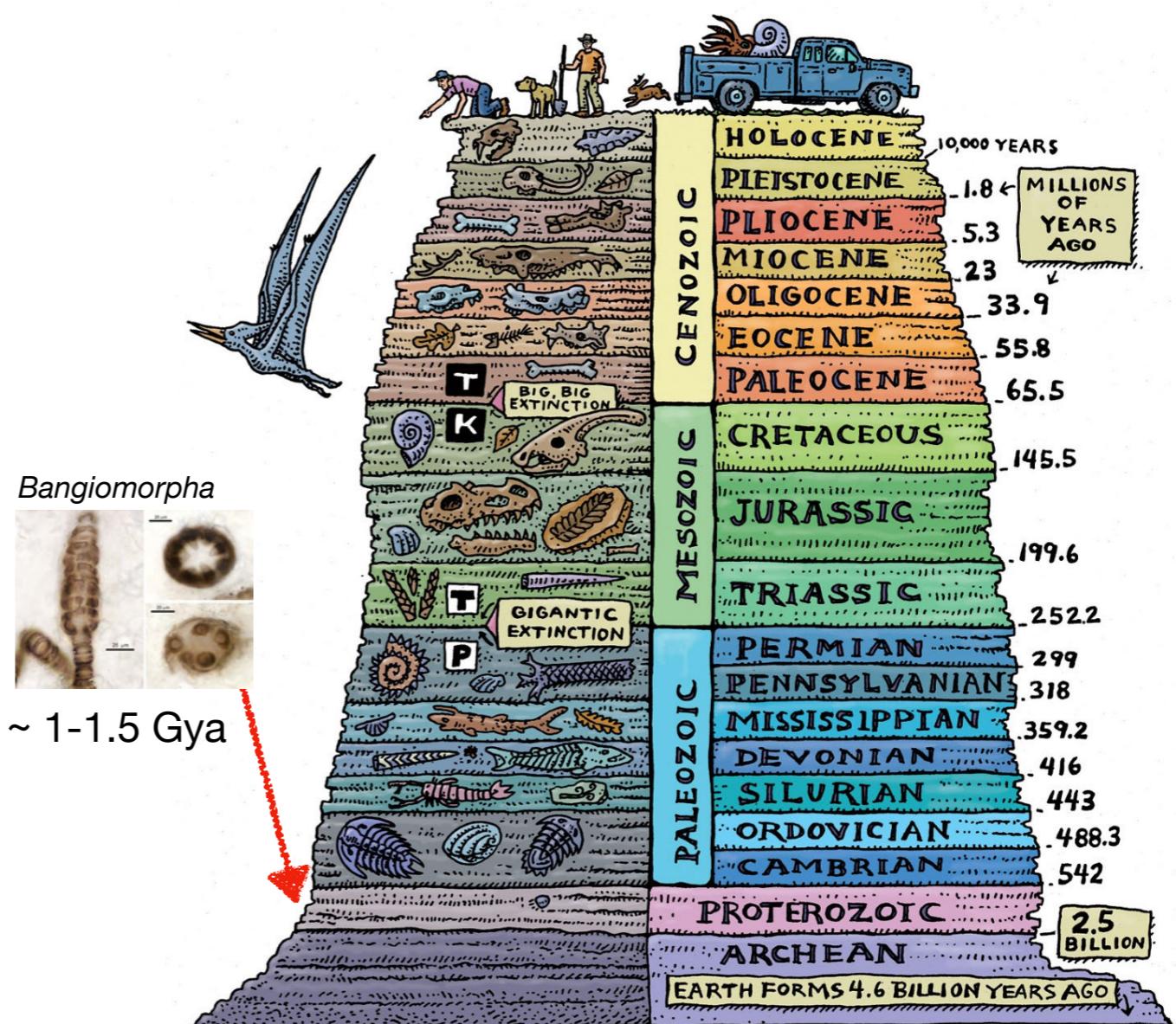
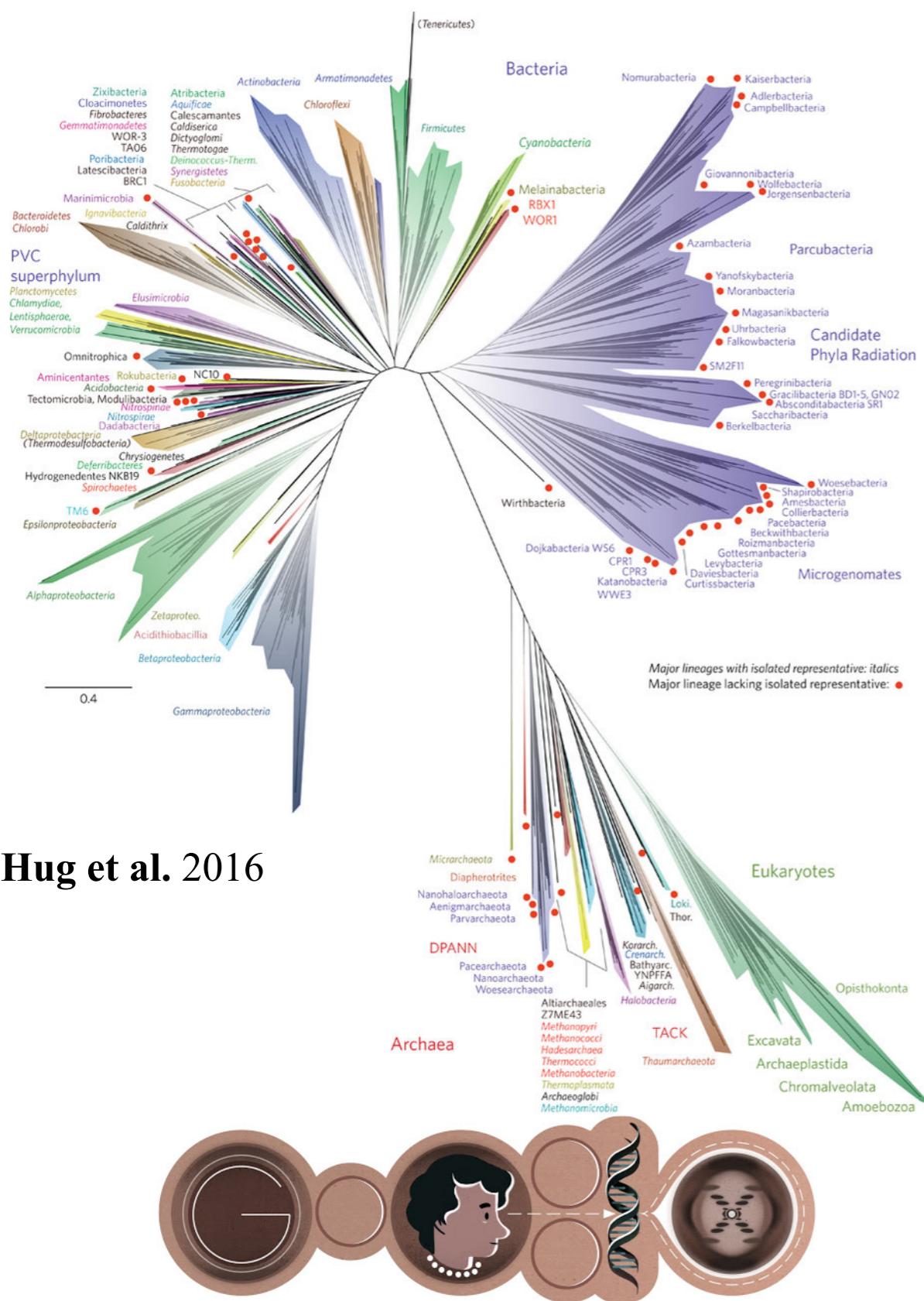
... today, Bayesian RMC methods have resolved most, but not all controversies, using **sequence based local molecular clocks anchored by multiple fossil calibrations.**



dos Reis et al. 2012



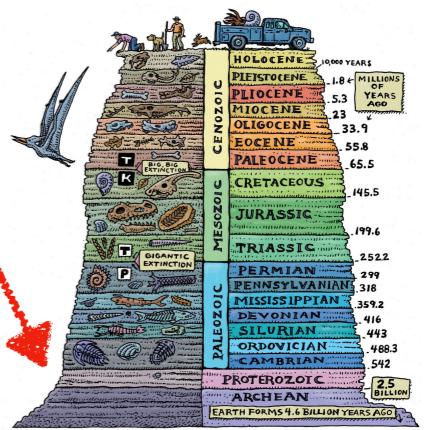
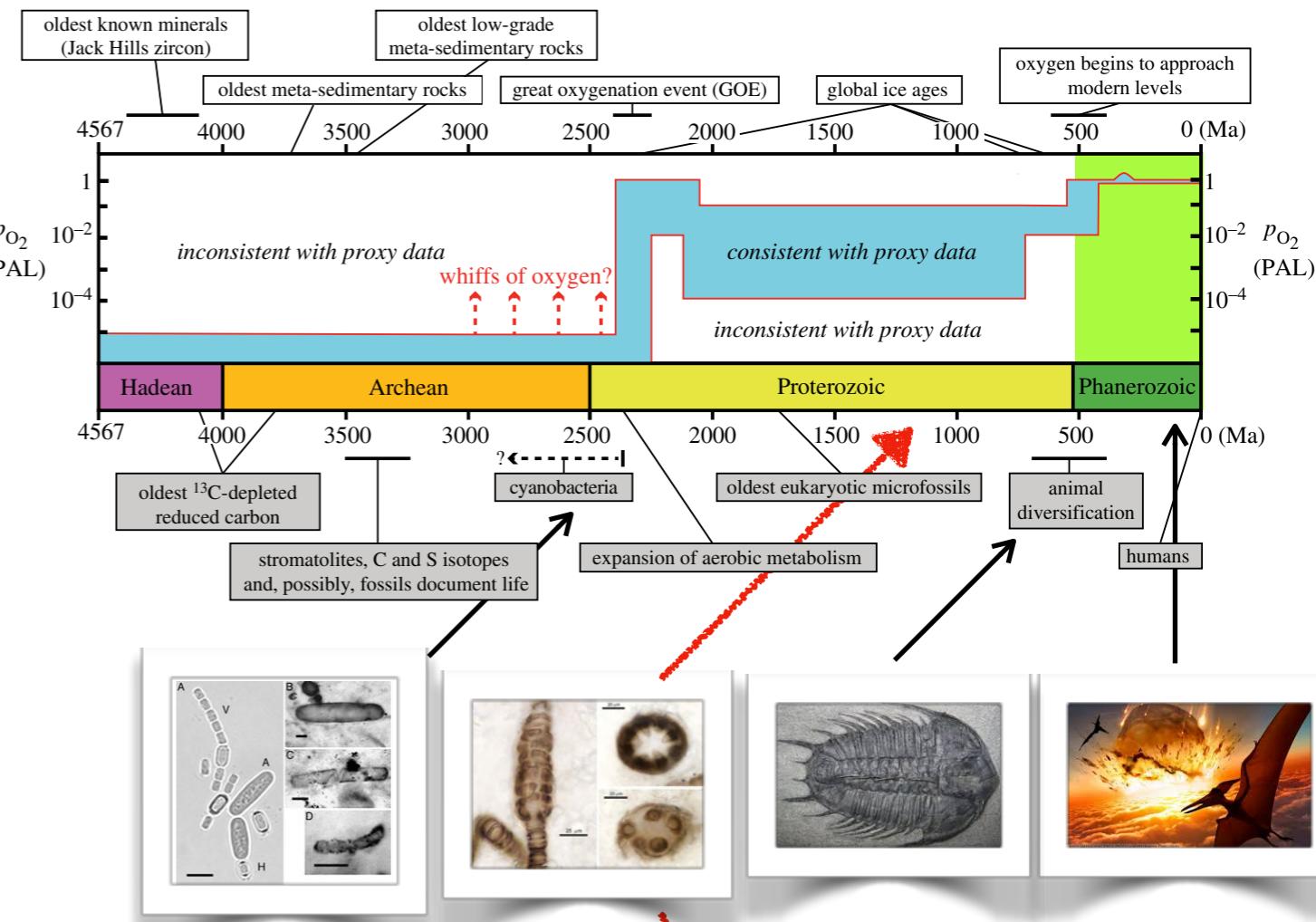
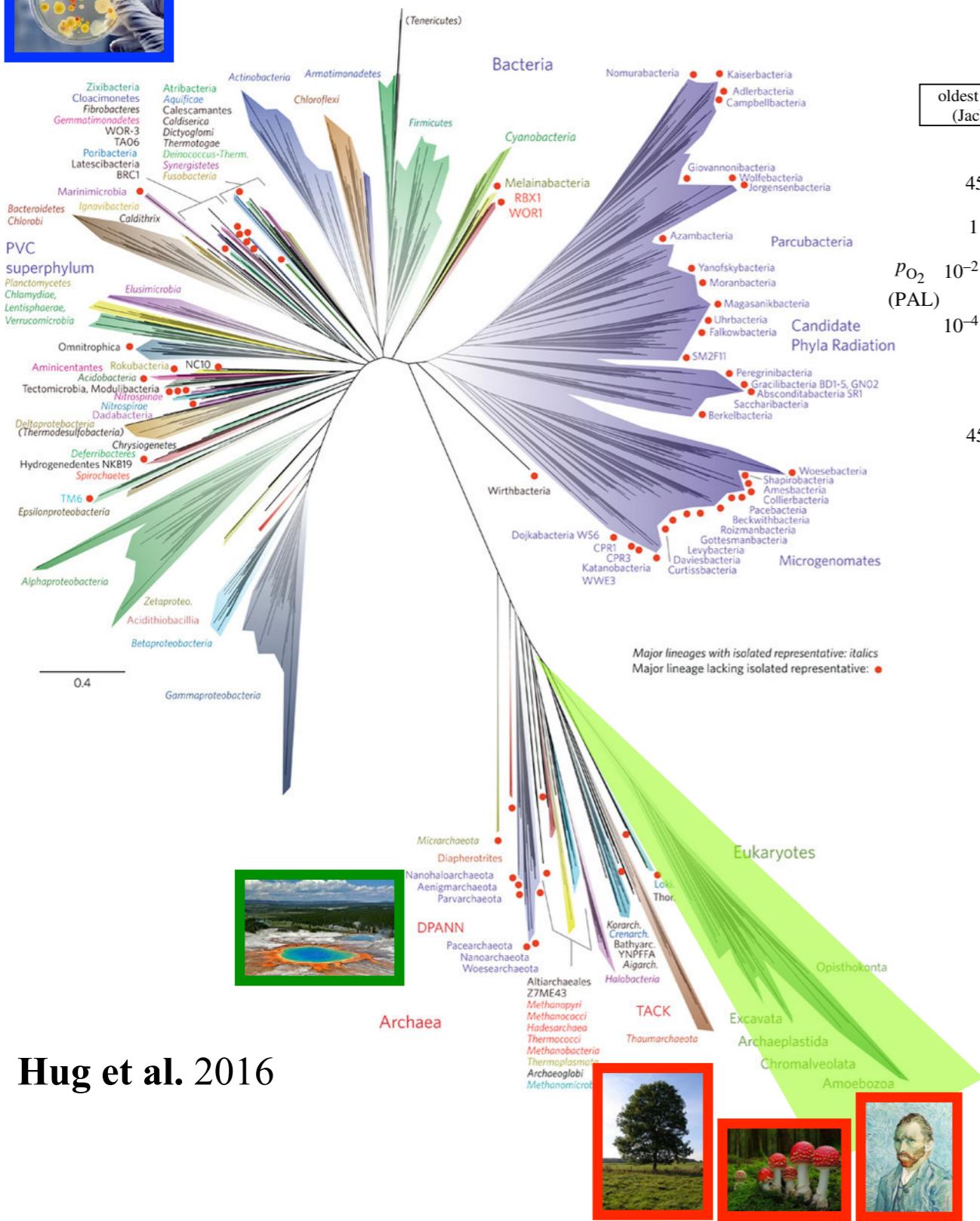
Rocks & Clocks



Rocks & Clocks



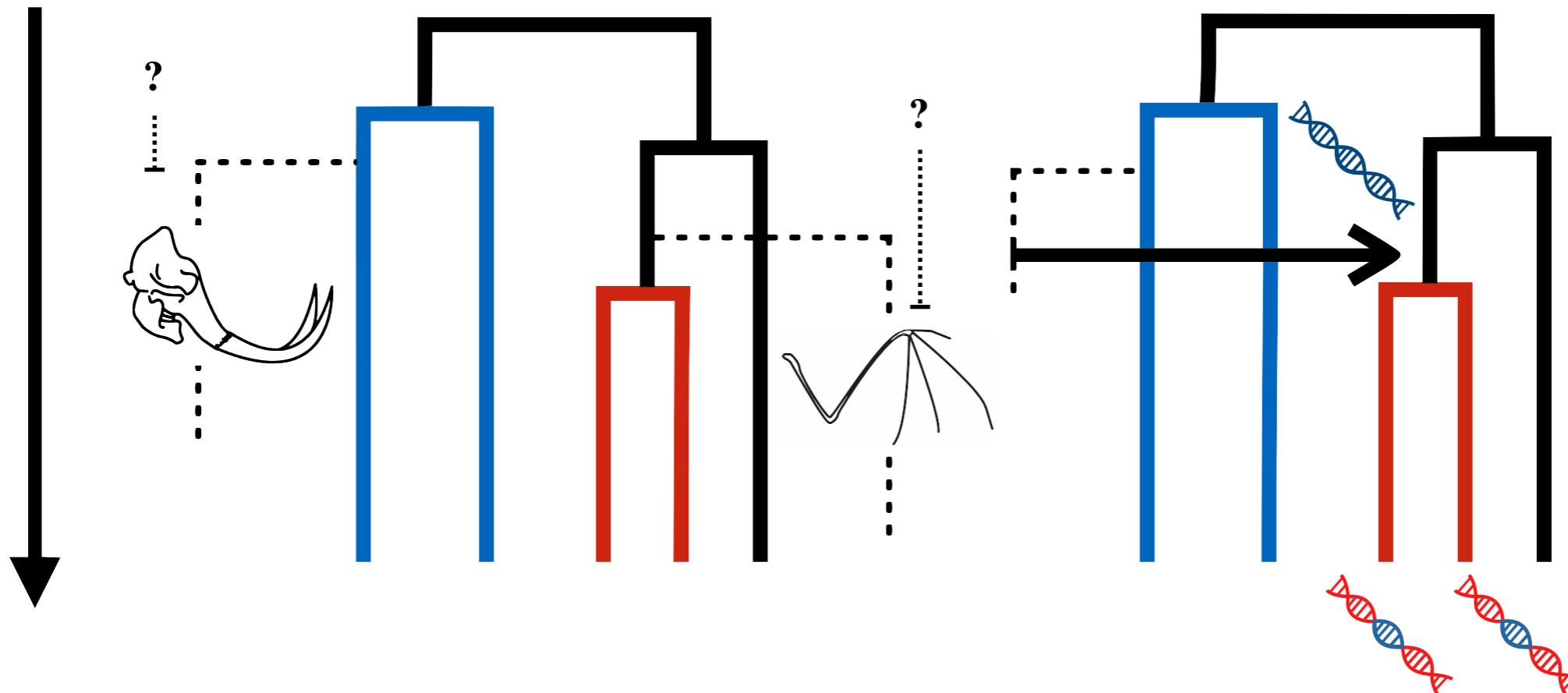
For majority of life and most it's history we lack sufficient fossils to anchor local clocks.



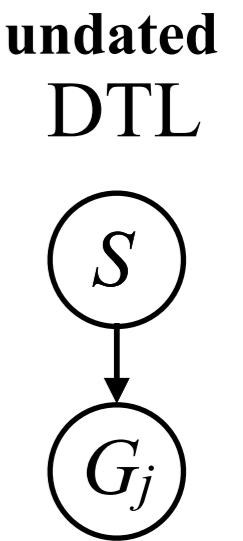
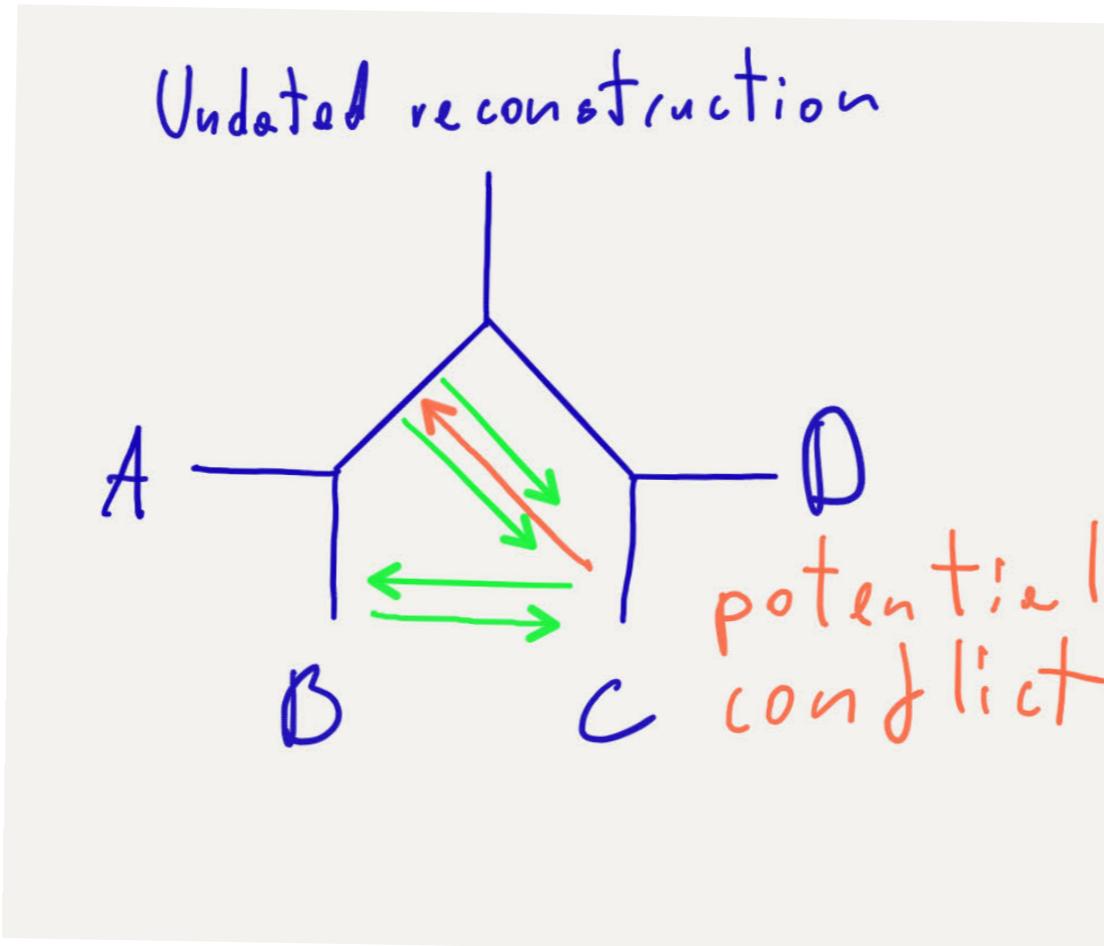
... and genes from other species!

Fossils provide **direct evidence on minimum age**, but only **indirect evidence on maximum and relative ages**.

Transfers are not informative on absolute age, but do provide **direct evidence on relative ages**.



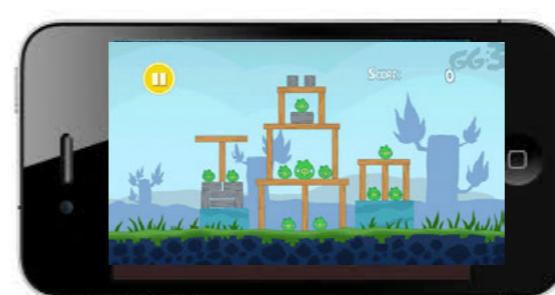
“undated” DTL



DTL



“undated” DTL

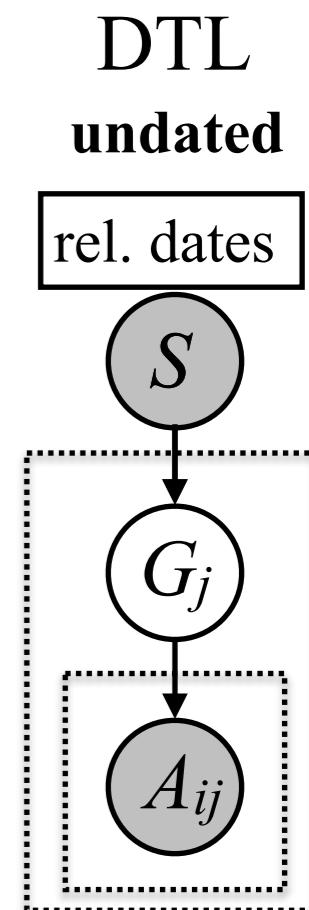
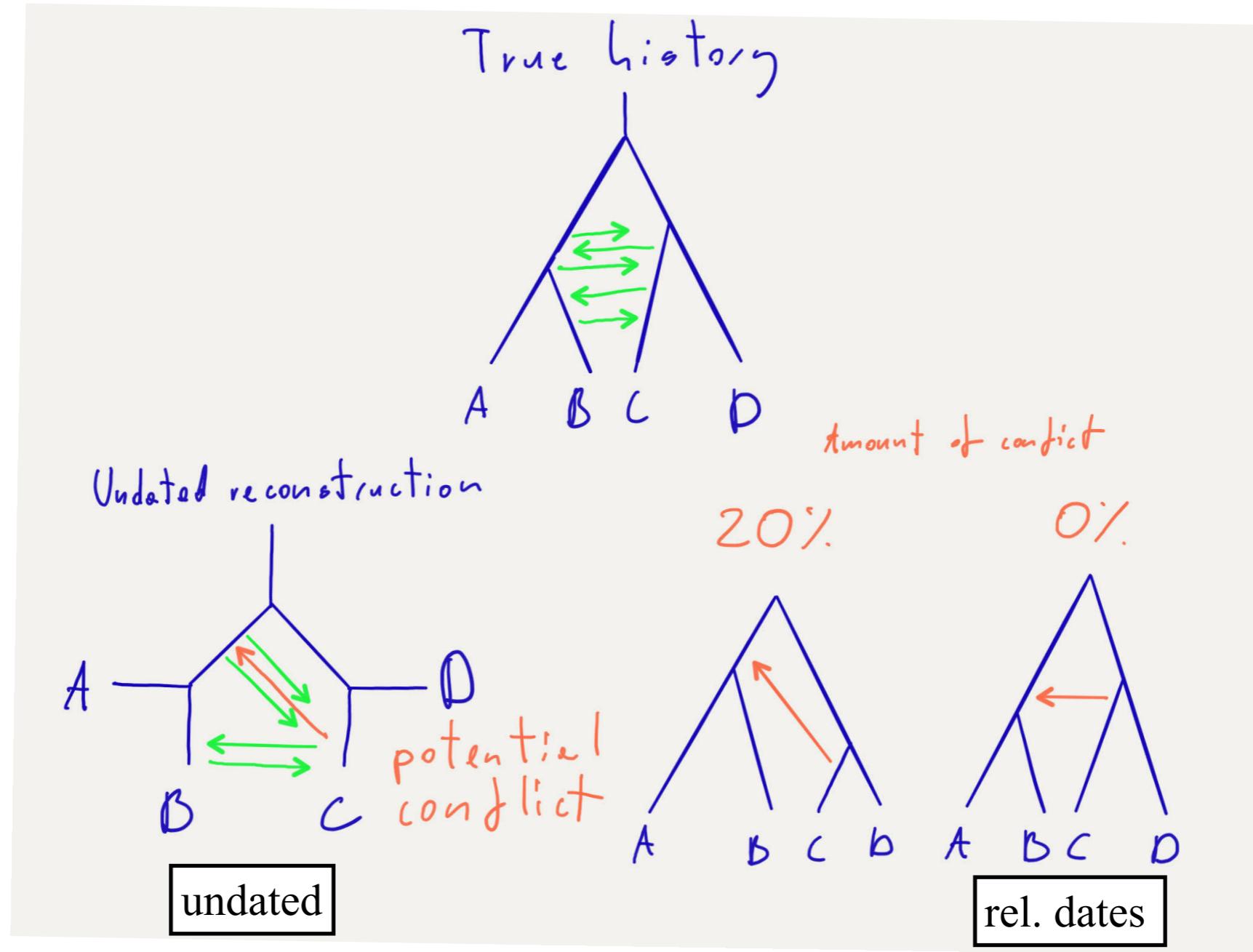


implemented in ALE:

<http://github.com/ssolo/ALE>

Relative age constrains from transfers

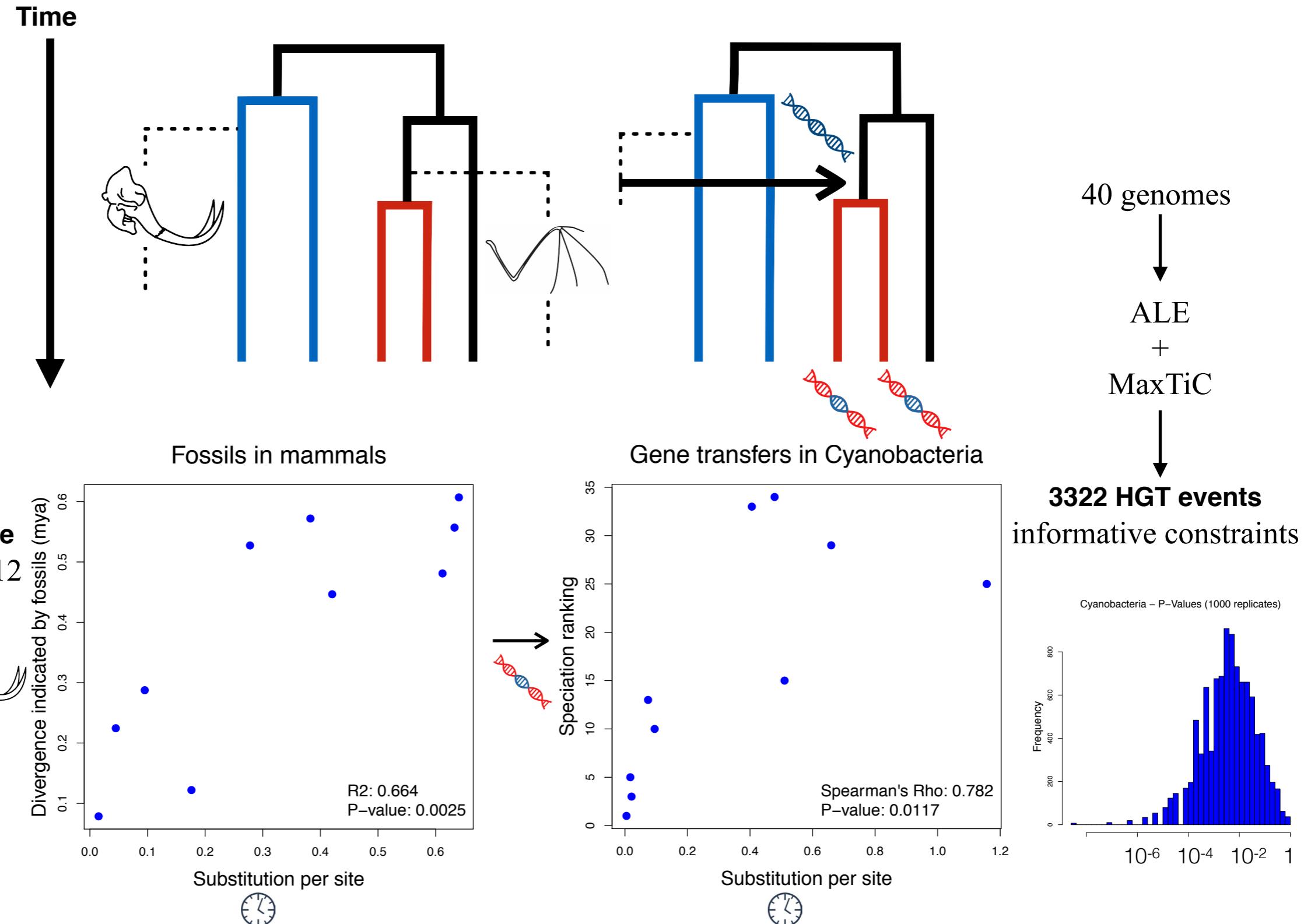
HGT events inferred by an “undated” version of the species tree-aware method ALE were input into the MaxTiC (maximal time consistency) optimisation method to obtain relative age constraints.



Eric
Tannier
LBBE

Rocks, clocks and genes from other species

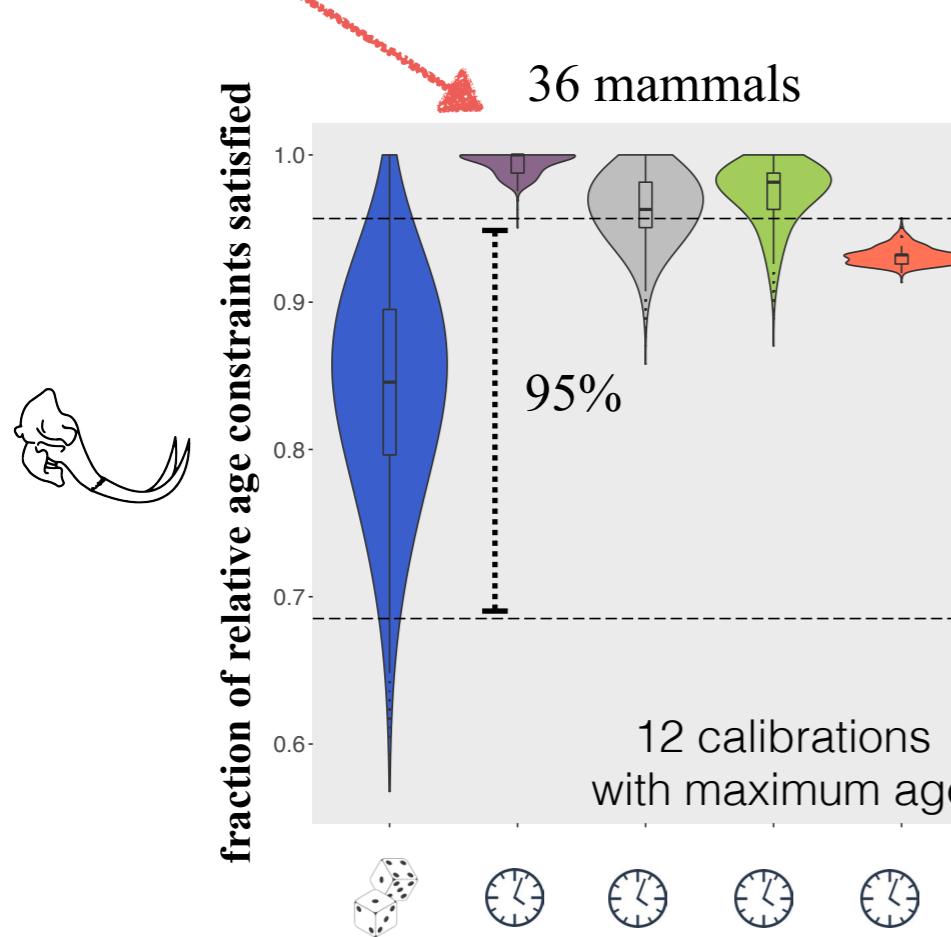
A direct comparison between fossils and transfers is not possible. Following Zuckerkandl and Pauling, we correlated both fossil and transfer based age estimates with sequence divergence:



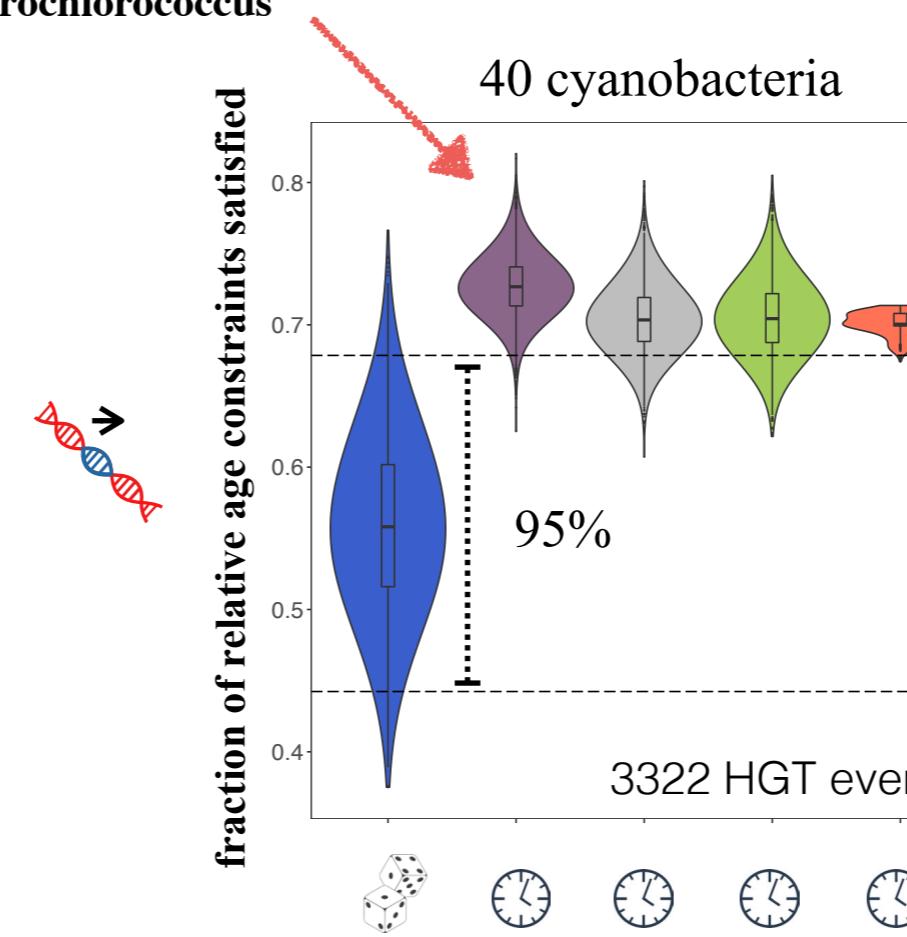
Rocks, clocks and genes from other species

To directly compare relative age constraints, we measured how different relaxed molecular clock models, without fossil calibrations, are able to predict the relative timing of speciations implied by fossils and by transfers.

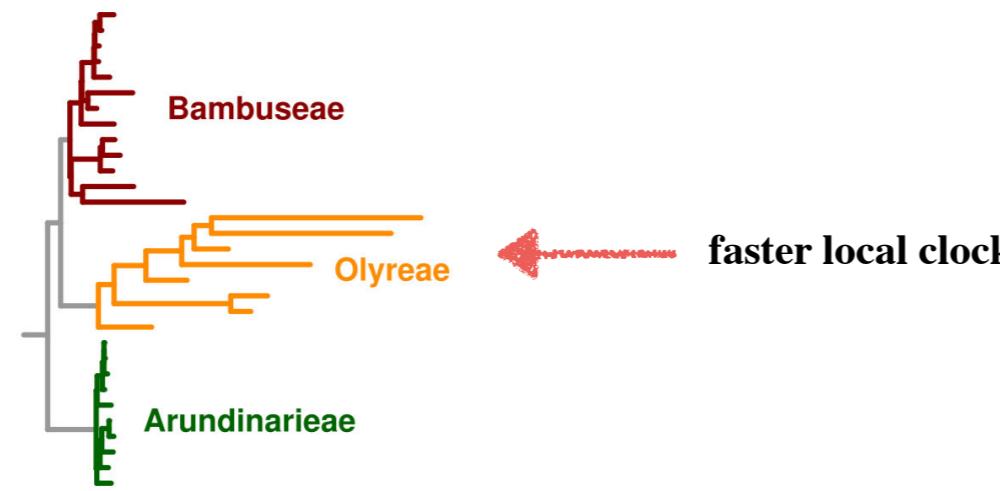
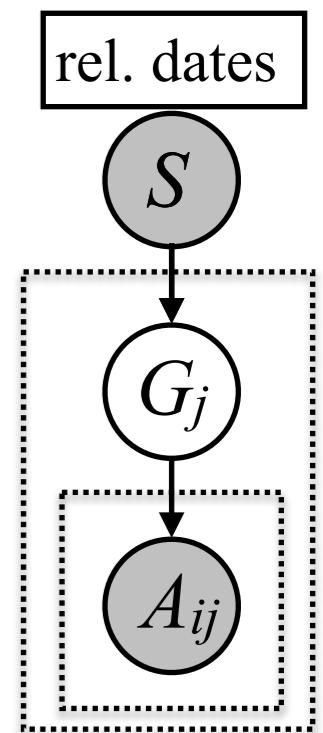
faster local clock
in rodents



faster local clock in
prochlorococcus

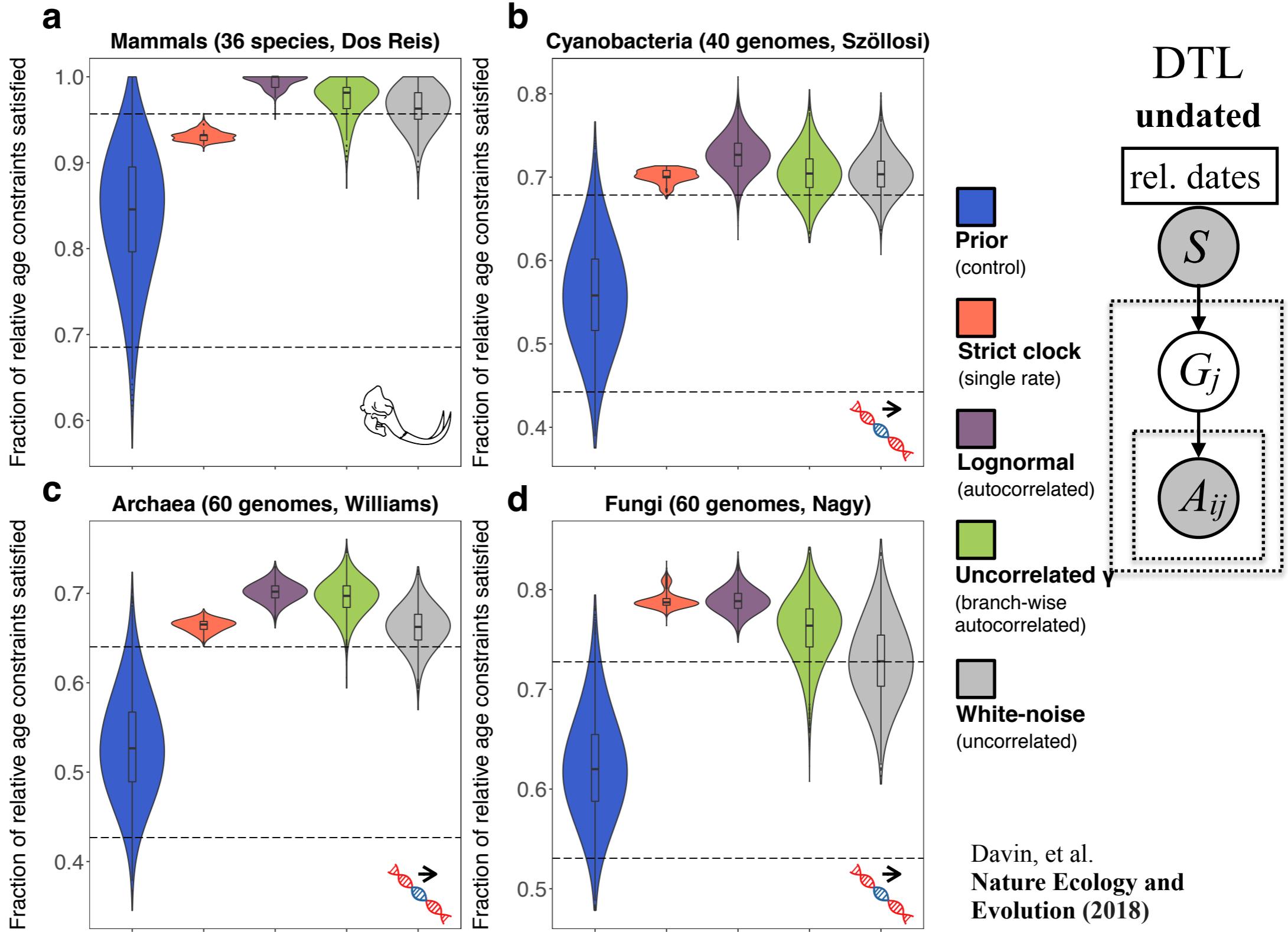


DTL
undated
rel. dates



Transfer based relative dates correlate with molecular clocks

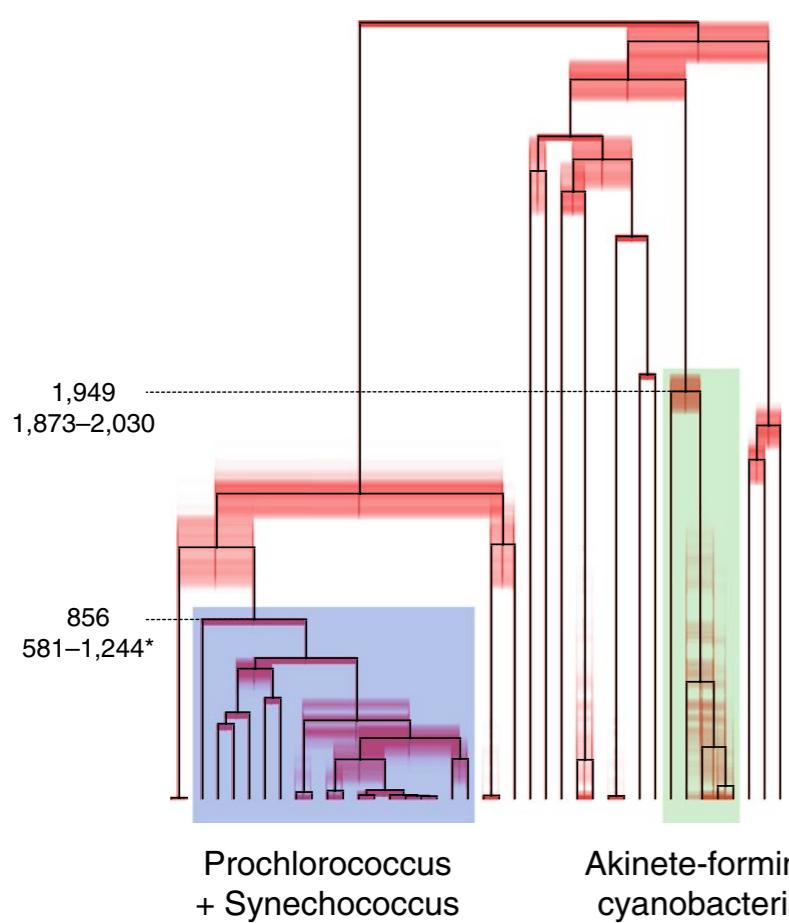
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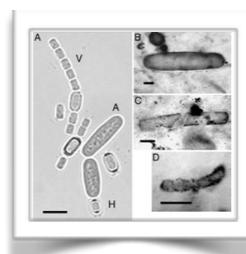
Rocks, clocks and genes from other species

The order of speciations according to LGT. 5000 chronograms with a speciation time order compatible with LGT-based constraints were sampled per data set.

a Cyanobacteria (40 genomes, Szöllösi)



Davin, et al.
Nature Ecology and
Evolution (2018)

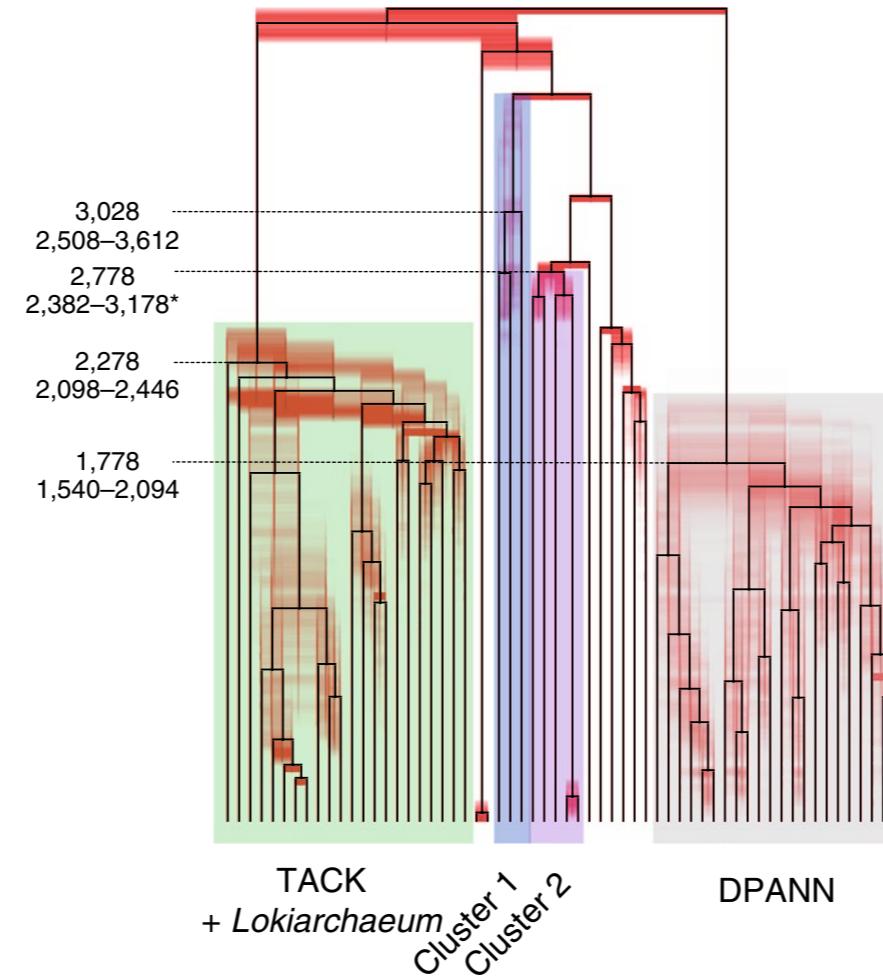


microfossils ~ 2.1 Gya

Tomitani et al. 2006

b

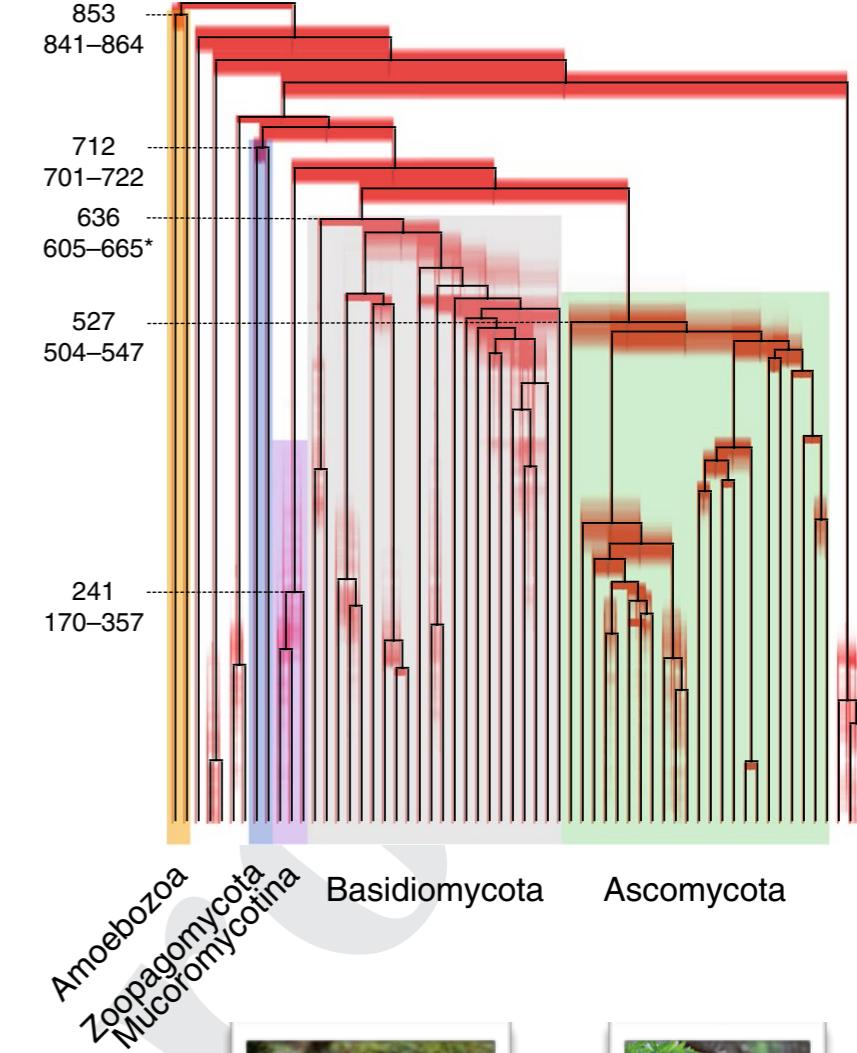
Archaea (60 genomes, Williams)



biogenic methane ~ 3.5 Gya

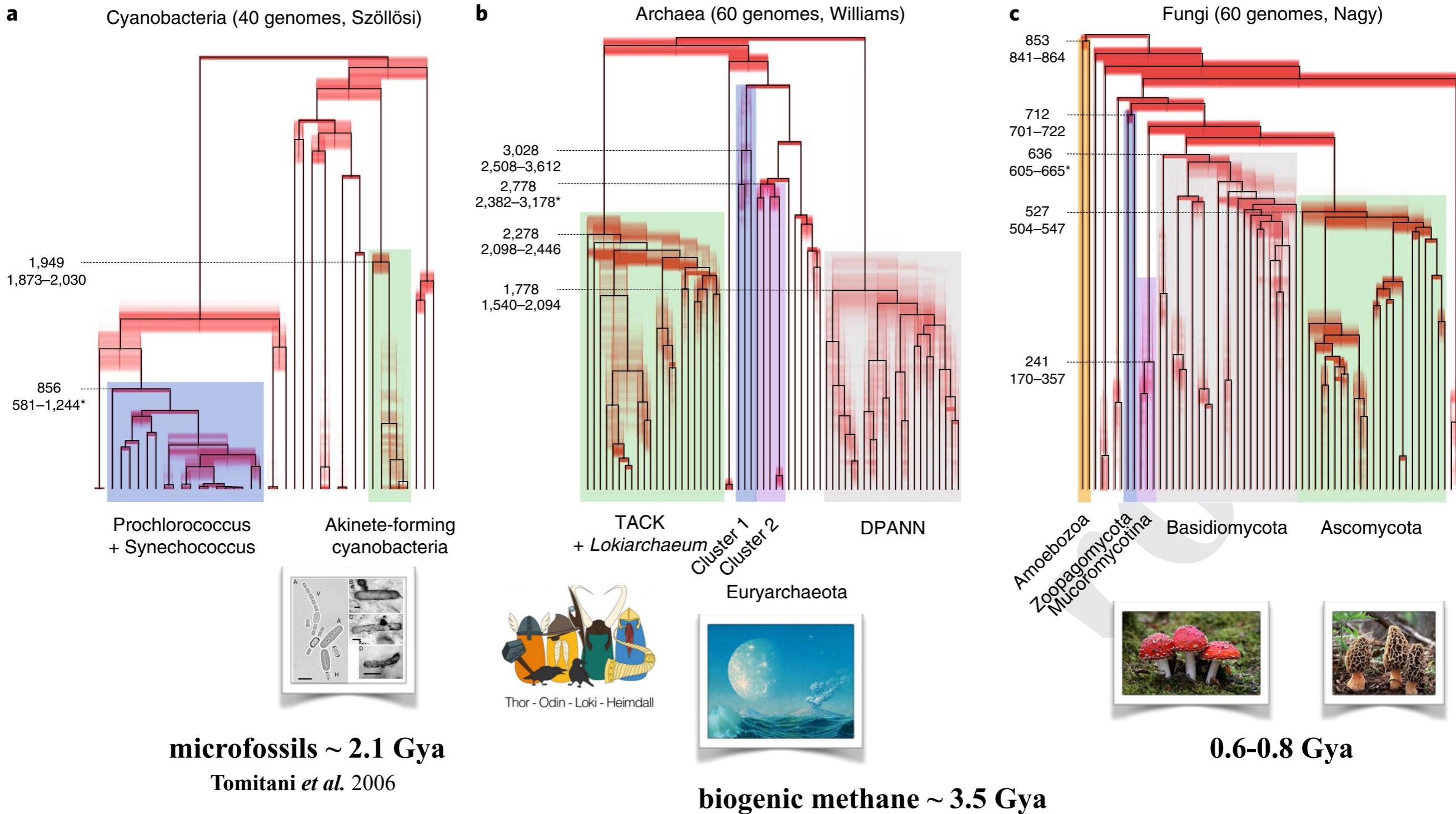
c

Fungi (60 genomes, Nagy)



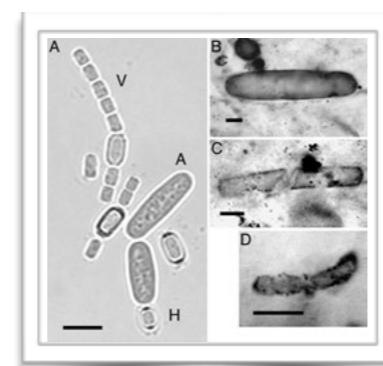
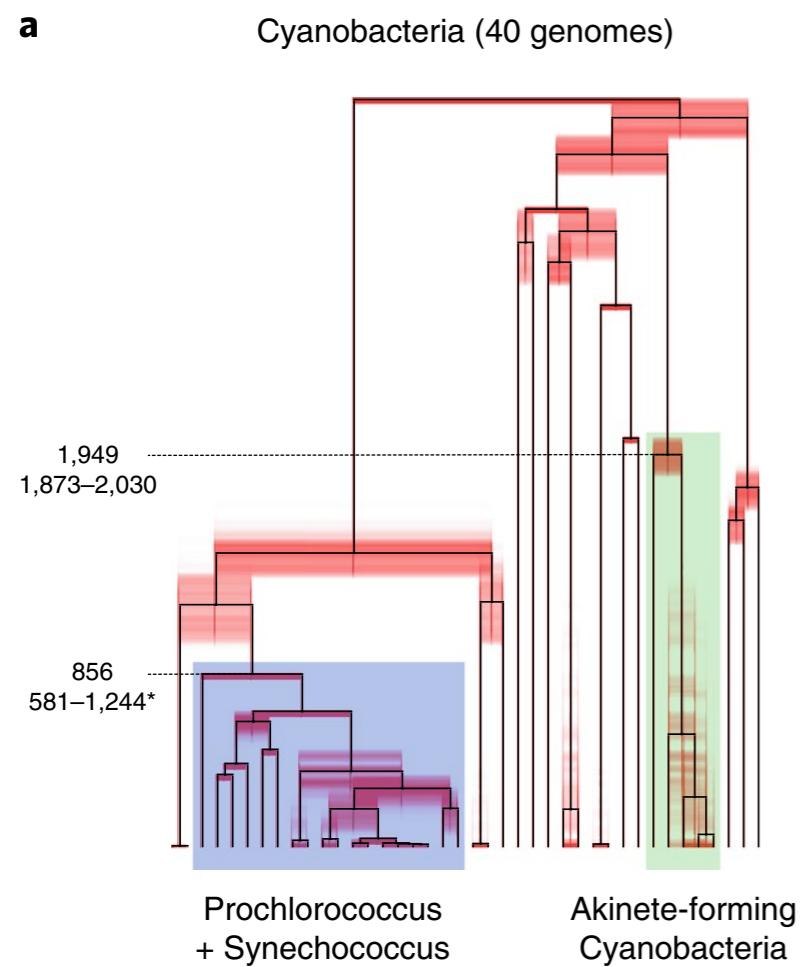
0.6-0.8 Gya

Gene transfers can date the tree of life

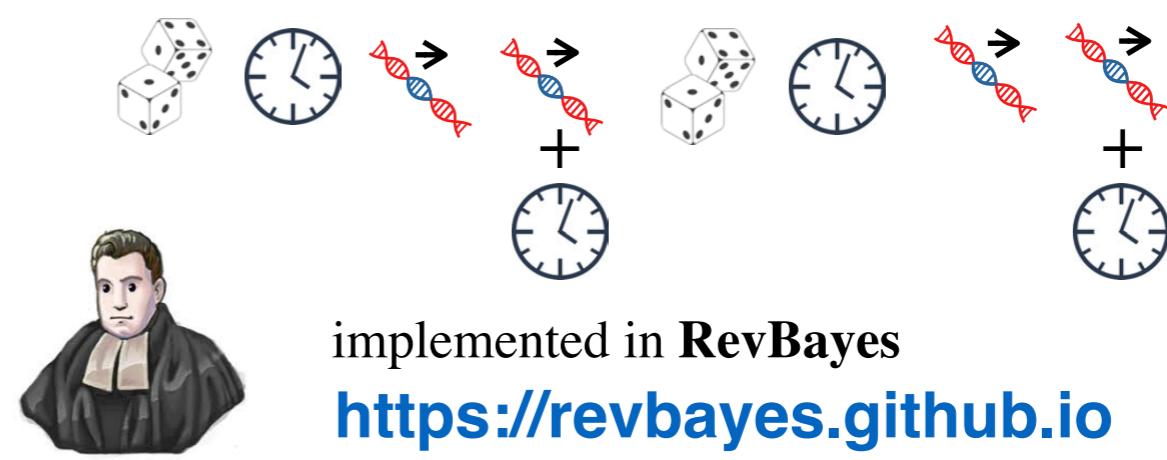
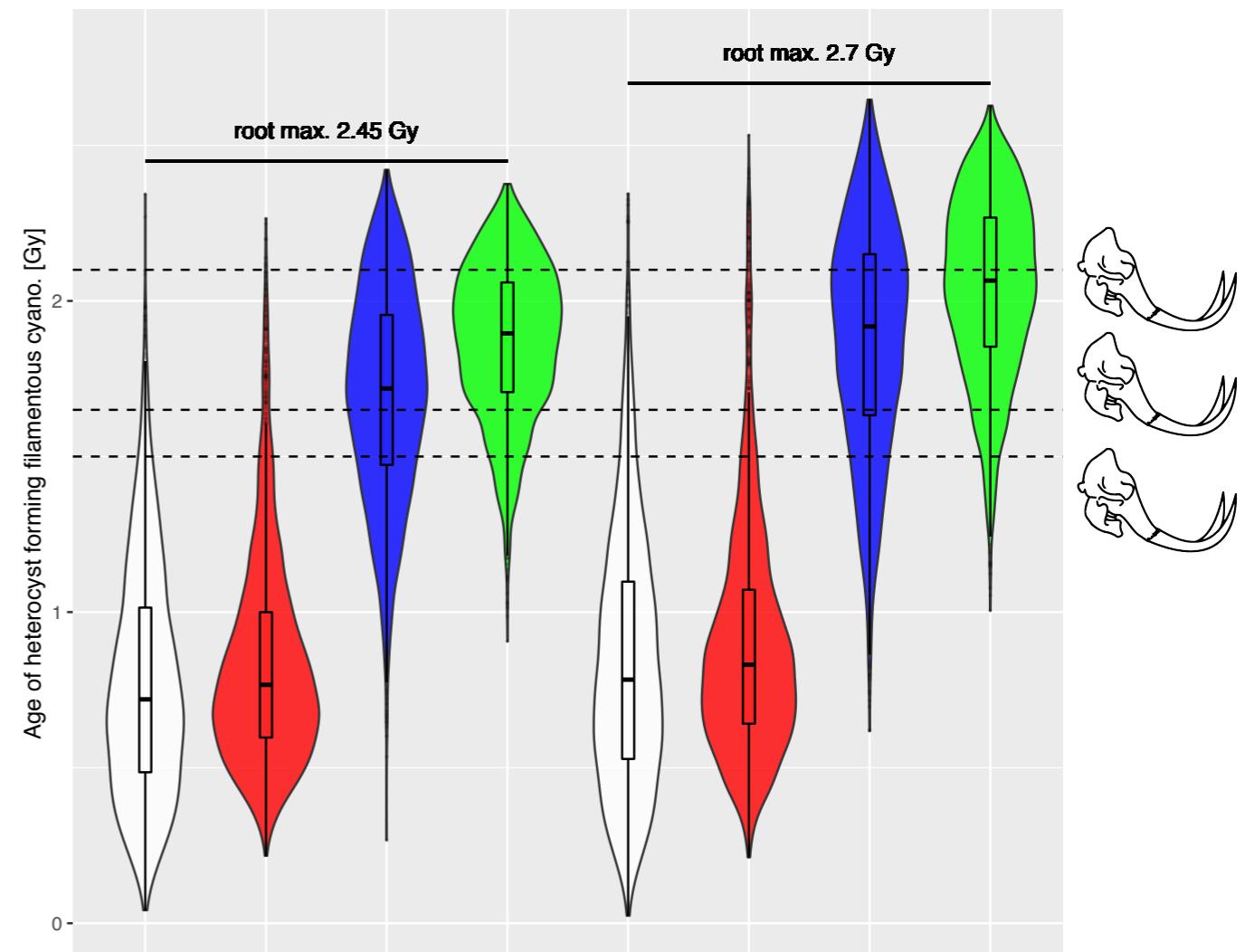
<http://rdcu.be/KrjA>Adrián A. Davín¹, Eric Tannier^{1,2}, Tom A. Williams³, Bastien Boussau¹, Vincent Daubin^{1*}
and Gergely J. Szöllősi^{1,4,5*}

Do clocks & transfers predict rocks?

Combing RMCs with relative constraints and fossil calibrations we can infer dated trees in RevBayes

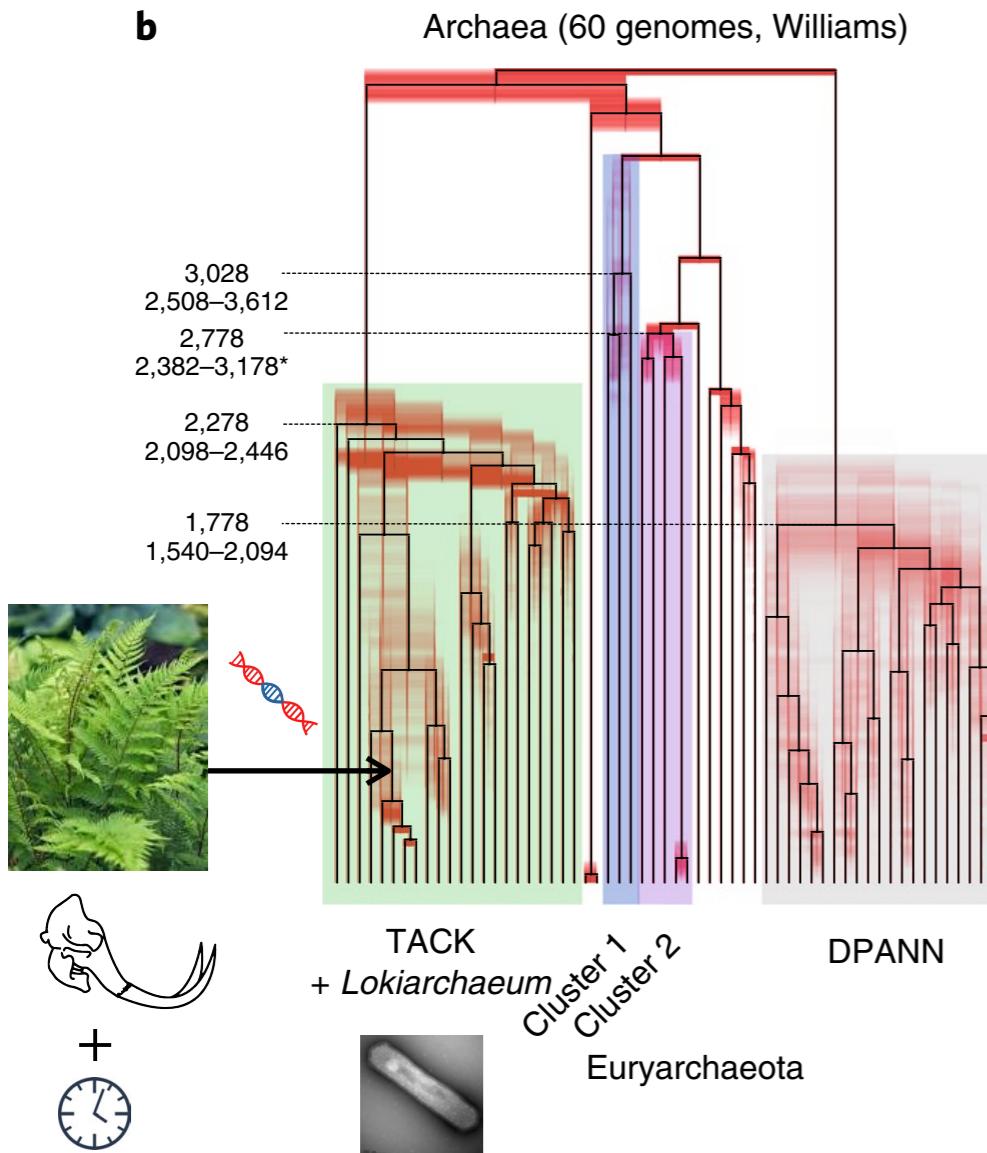
a

microfossils
Tomitani et al. 2006



Do clocks & transfers predict rocks?

Combing RMCs with relative constraints and fossil calibrations we can infer dated trees in RevBayes

b

Thaumarchaeota are the dominant archaea in most soil systems where they constitute up to 5% of all prokaryotes

HGT of DnaJ-Fer protein

Viridiplantae

C. reinhardtii: CDJ5 XP_001700843: 383 aa



C. reinhardtii: CDJ4 XP_001699768: 358 aa



C. reinhardtii: CDJ3 XP_001700257: 325 aa

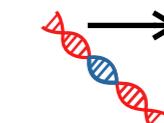


Thaumarchaeota

Group I.1a: *N. maritimus*: XP_001582358: 223 aa



Group I.1b: *N. gargensis*: (unpublished) 193 aa



— J-domain — Ferredoxin domain

— N-terminal chloroplast-targeting signal

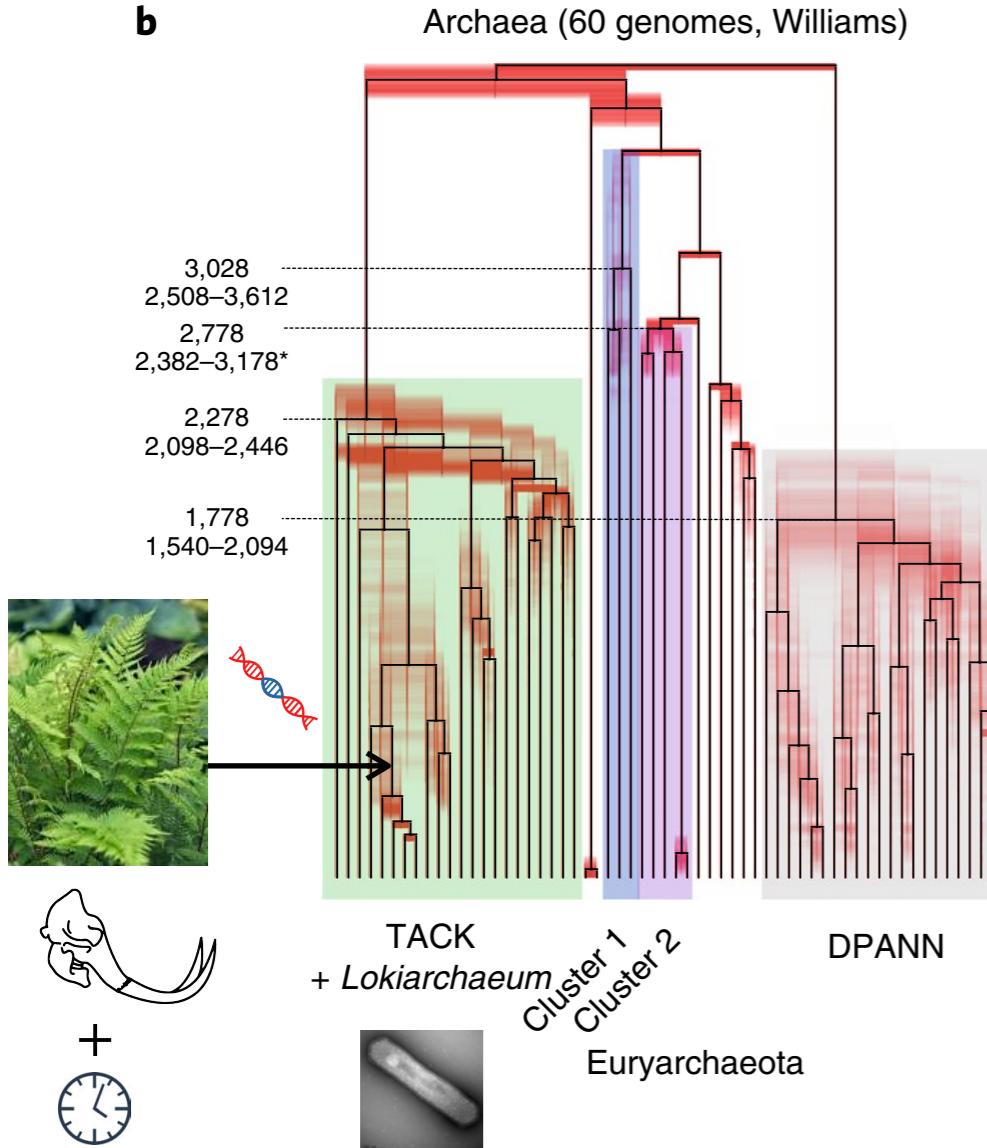
Petitjean et al. *BMC Evol Biol.* (2012)



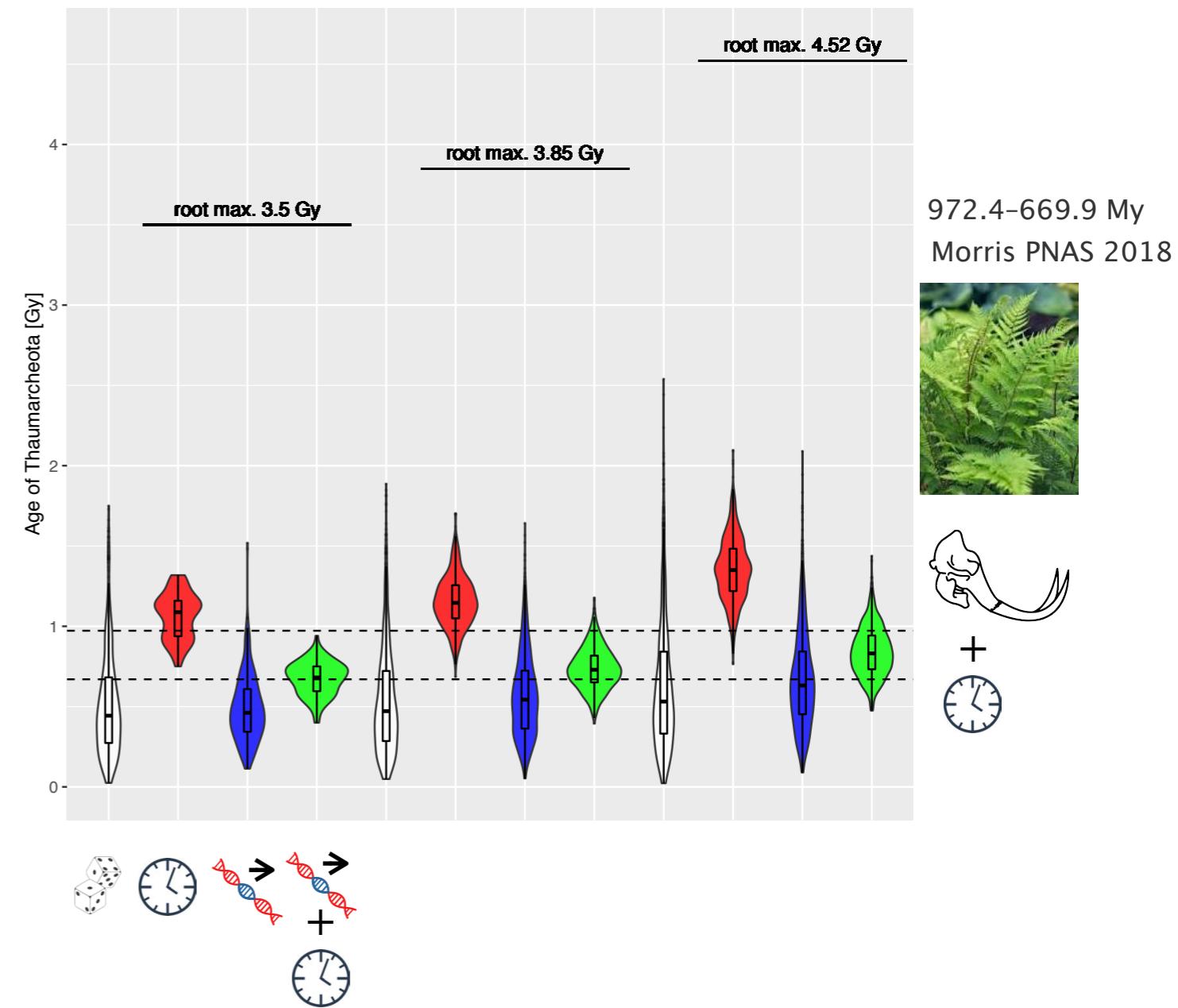
implemented in RevBayes
<https://revbayes.github.io>

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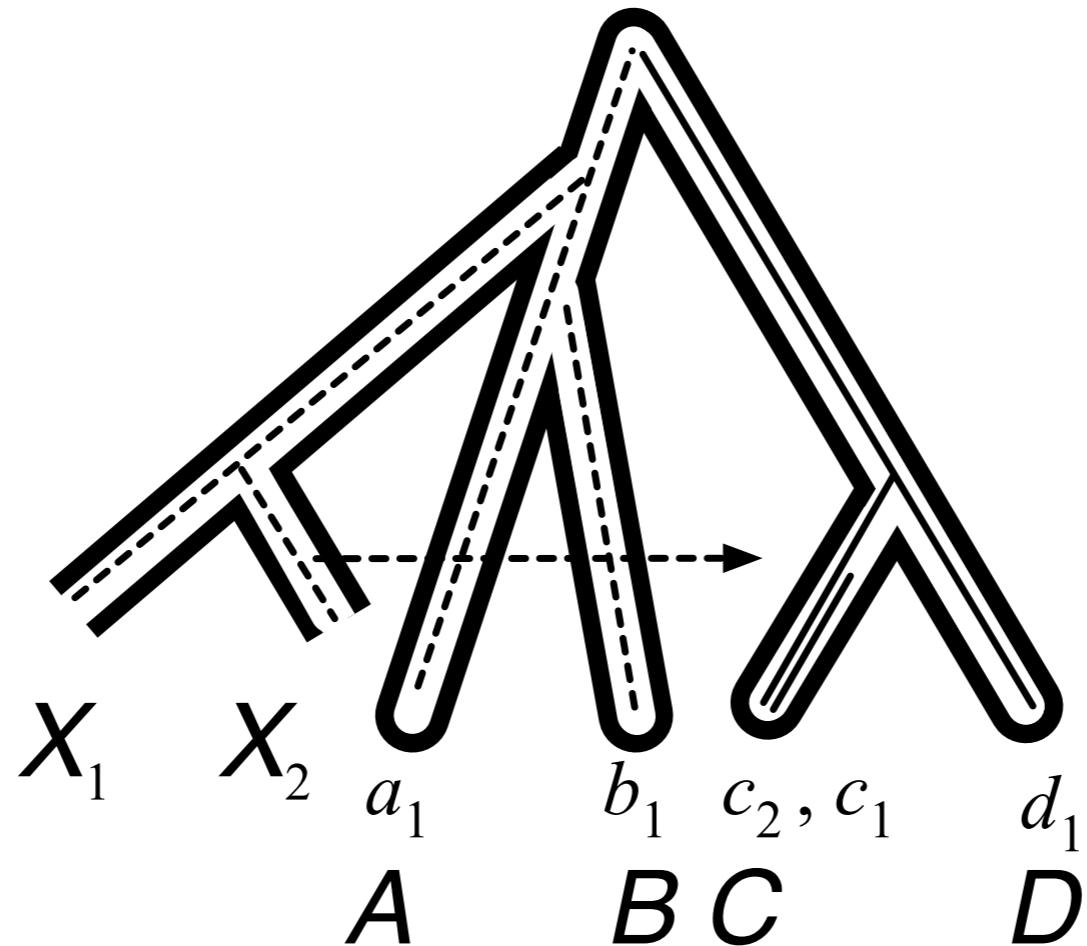
Thaumarchaeota are the dominant archaea in most soil systems where they constitute up to 5% of all prokaryotes



implemented in **RevBayes**
<https://revbayes.github.io>

Lateral gene transfer from the dead

.. but the species lineage from which a gene was transferred **may have gone extinct** or not have been sampled.



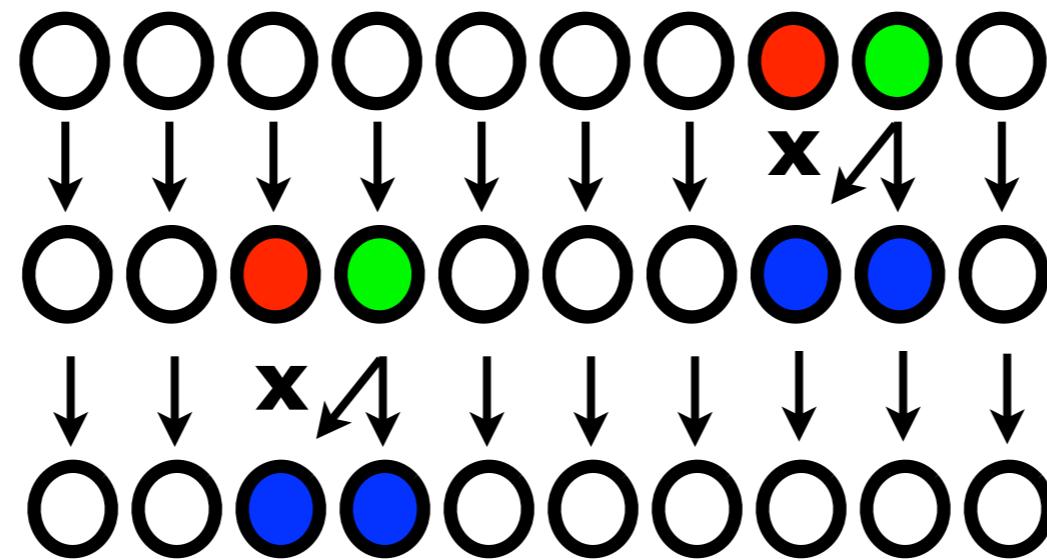
Nicolas
Lartillot

Szöllősi, Tannier, Lartillot & Daubin *Systematic Biology* (2013)
Lateral Gene Transfer from the Dead

A minimal model of speciation dynamics

the Moran process

N species

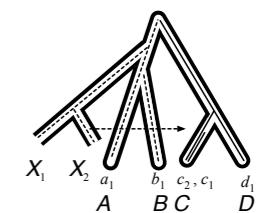


rate per species :

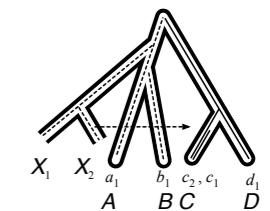
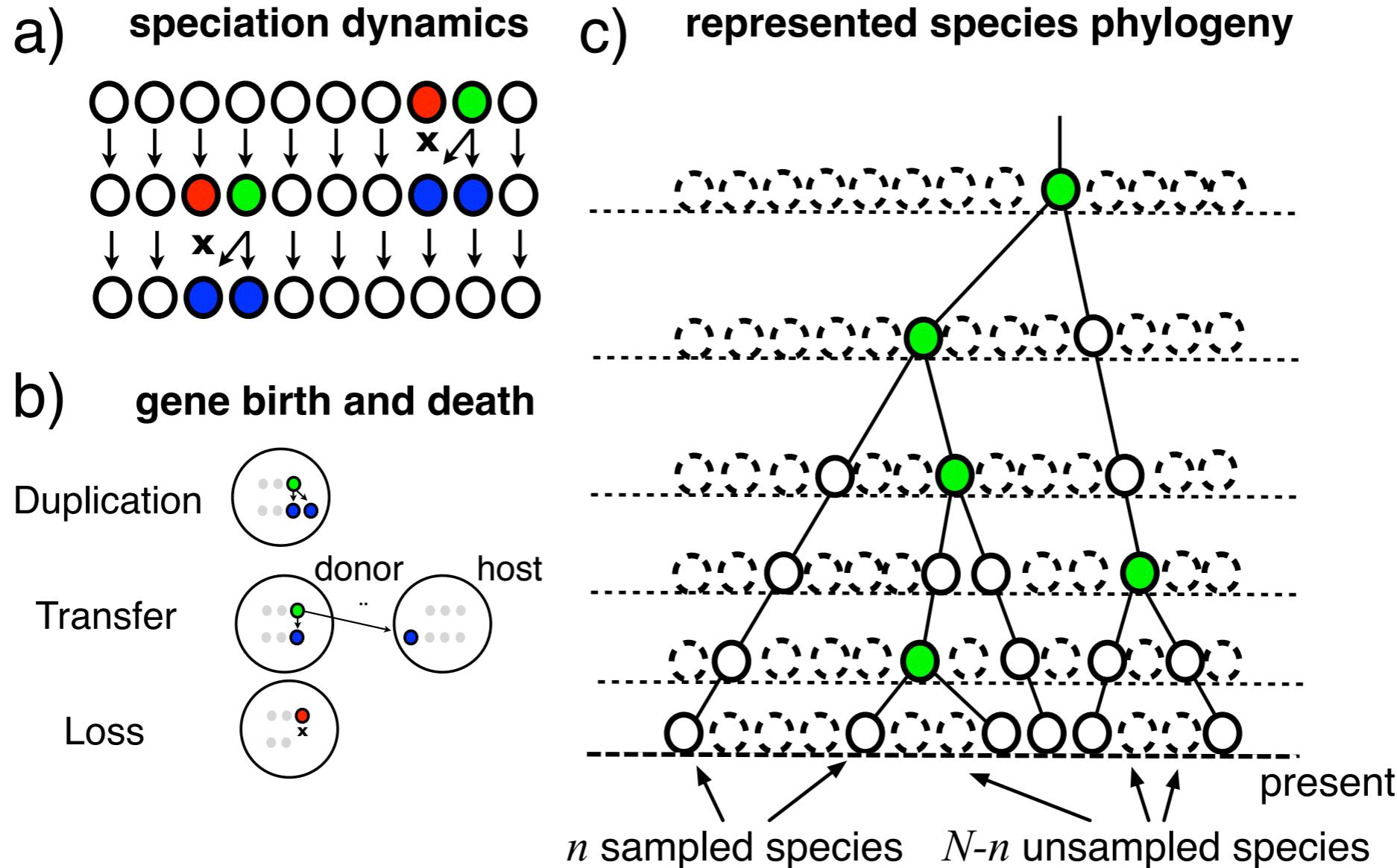
$$\sigma$$

total rate :

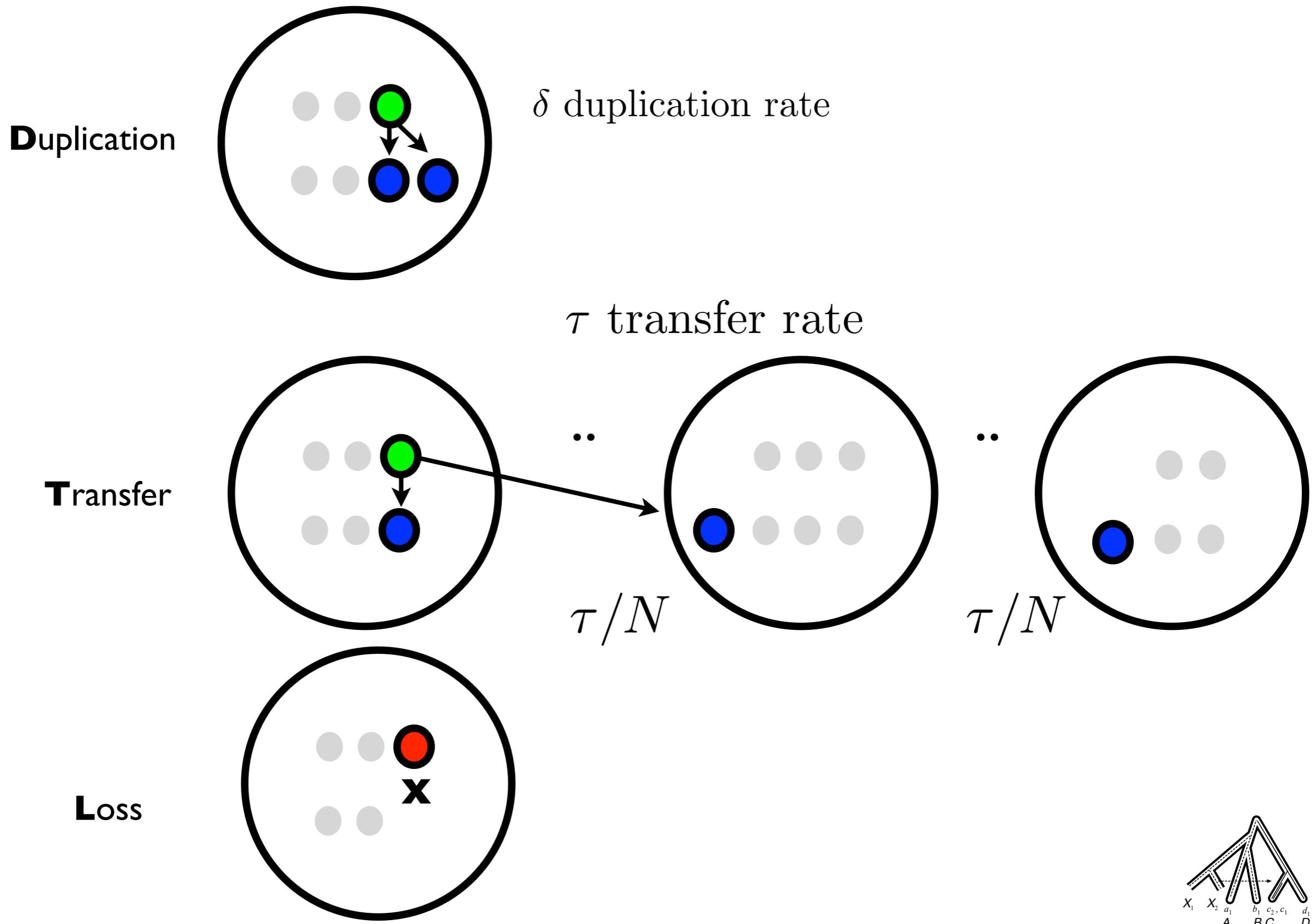
$$N\sigma$$



A minimal model of speciation dynamics



Gene birth-death by **D**uplication, **T**ransfer and **L**oss

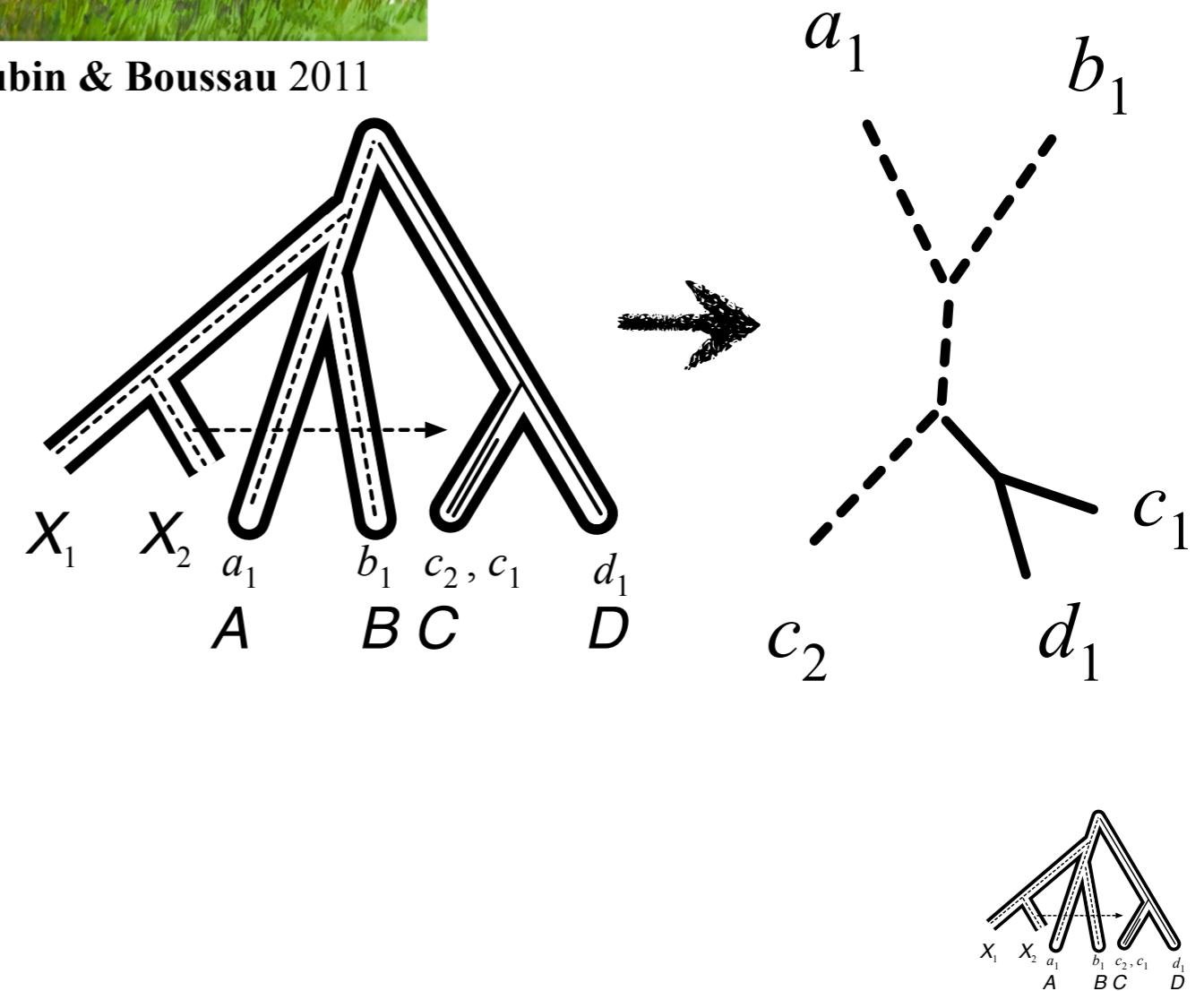
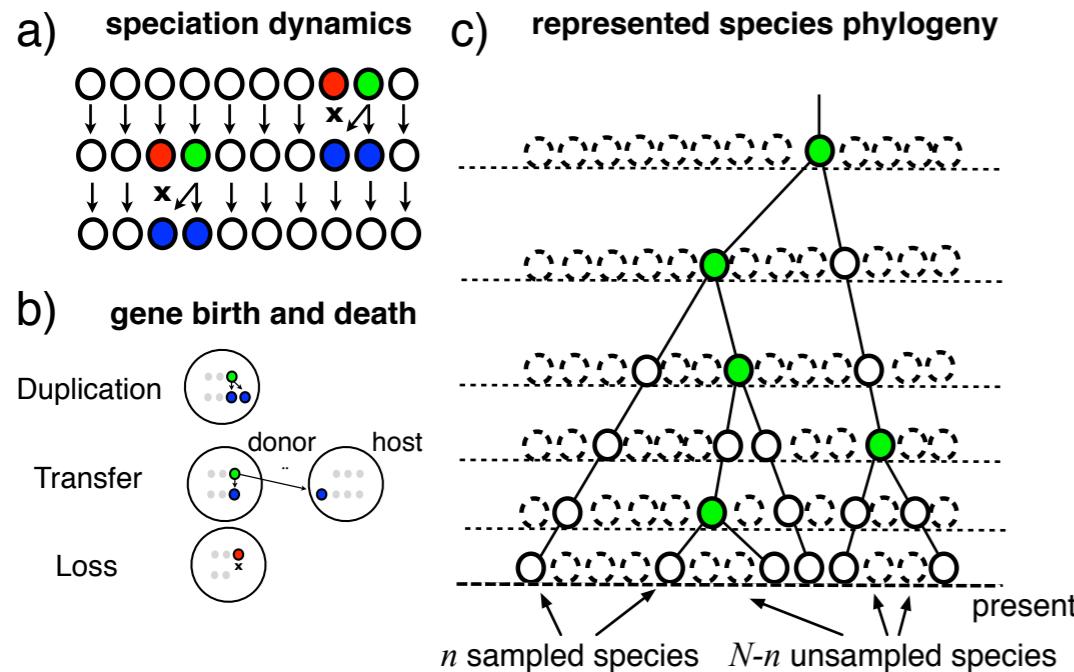


The combination of speciation dynamics and gene birth and death generates gene trees

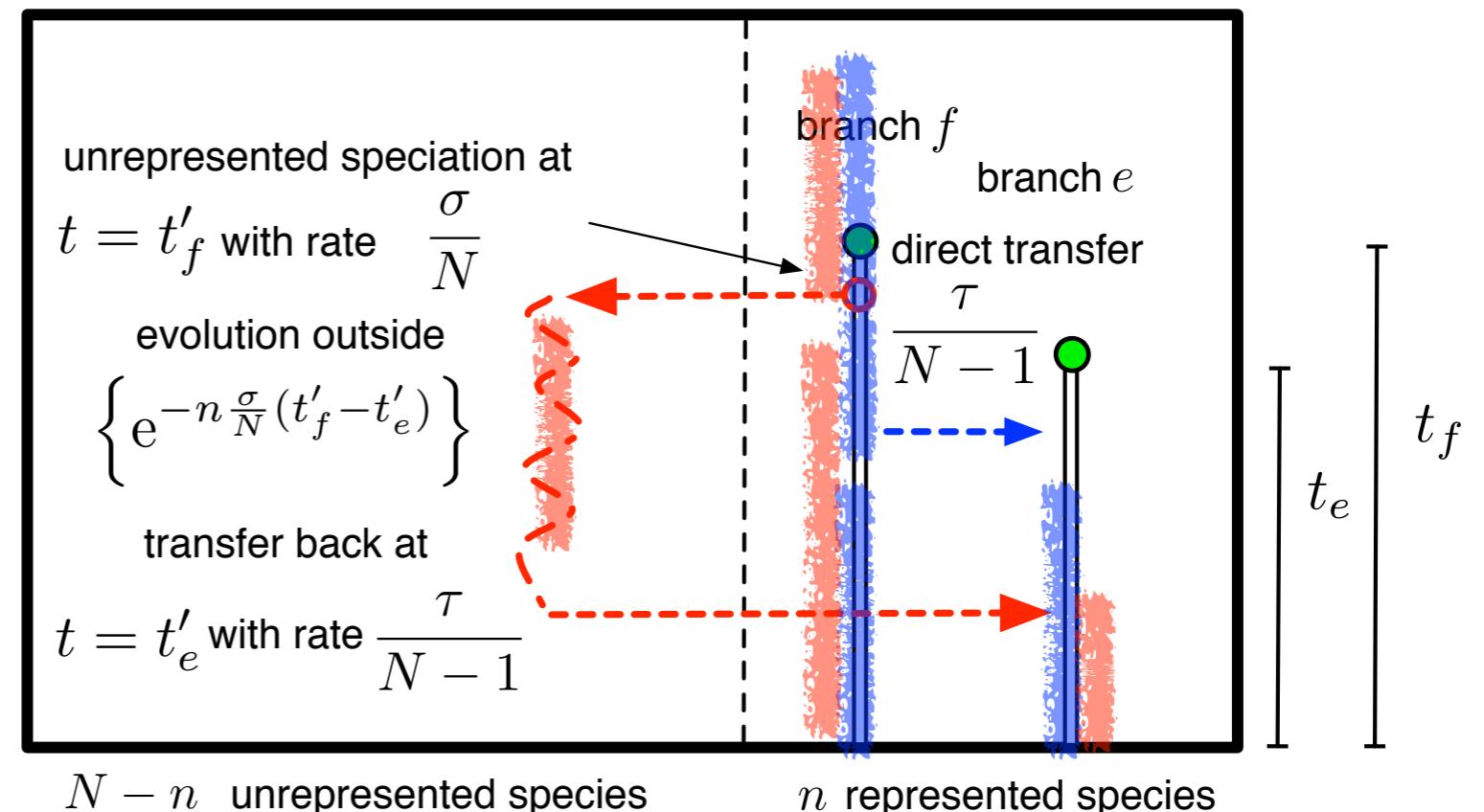
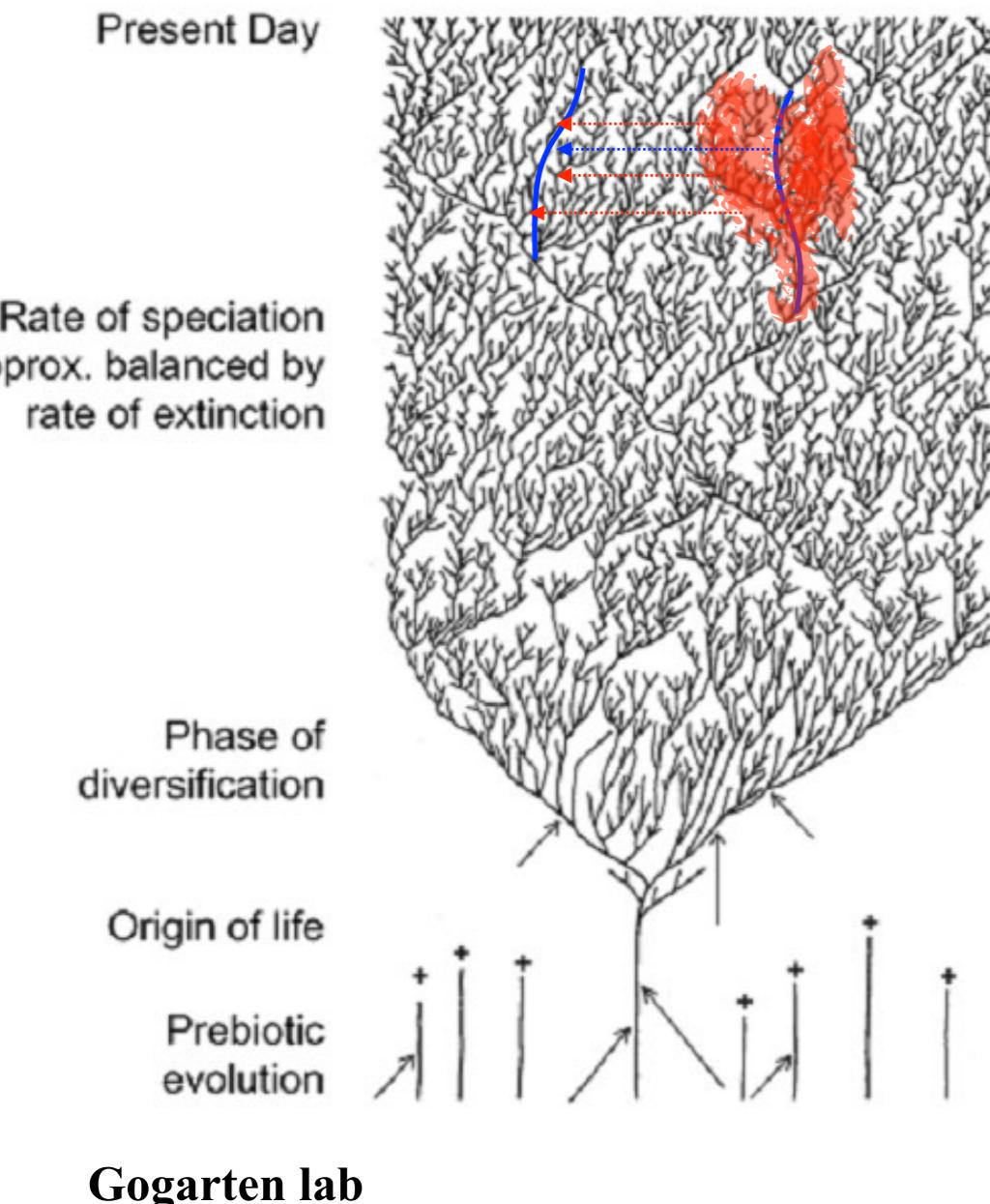
σ speciation/extinction rate
 δ gene duplication rate
 τ gene transfer rate
 λ gene loss rate



Daubin & Boussau 2011



..(almost) all transfers are from the dead..



$$T_{\text{direct}} \approx \int_0^{\frac{N}{n\sigma}} \frac{\tau}{N} dt'_e = \frac{1}{N} \frac{\tau}{2n} \left[\frac{2N}{\sigma} \right],$$

$$\begin{aligned} T_{\text{indirect}} &\approx \int_0^{\frac{N}{n\sigma}} \int_{t'_e}^{\frac{N}{n\sigma}} \tau \left\{ e^{-n\frac{\sigma}{N}(t'_f - t'_e)} \right\} \frac{\sigma}{N} dt'_f dt'_e \\ &= \frac{1}{en} \frac{\tau}{2n} \left[\frac{2N}{\sigma} \right], \end{aligned}$$

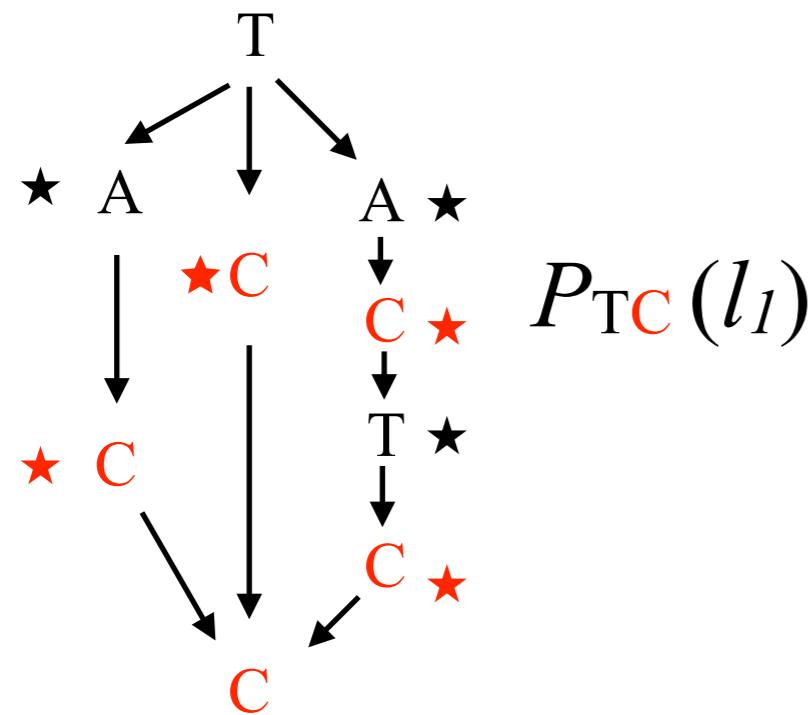
The story of homologous genes can be reconstructed

Calculating the likelihood of the sequences A given the gene tree G

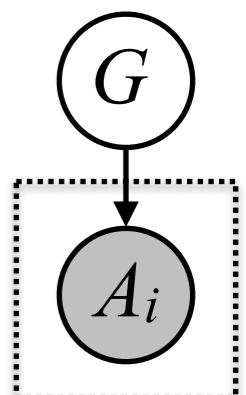
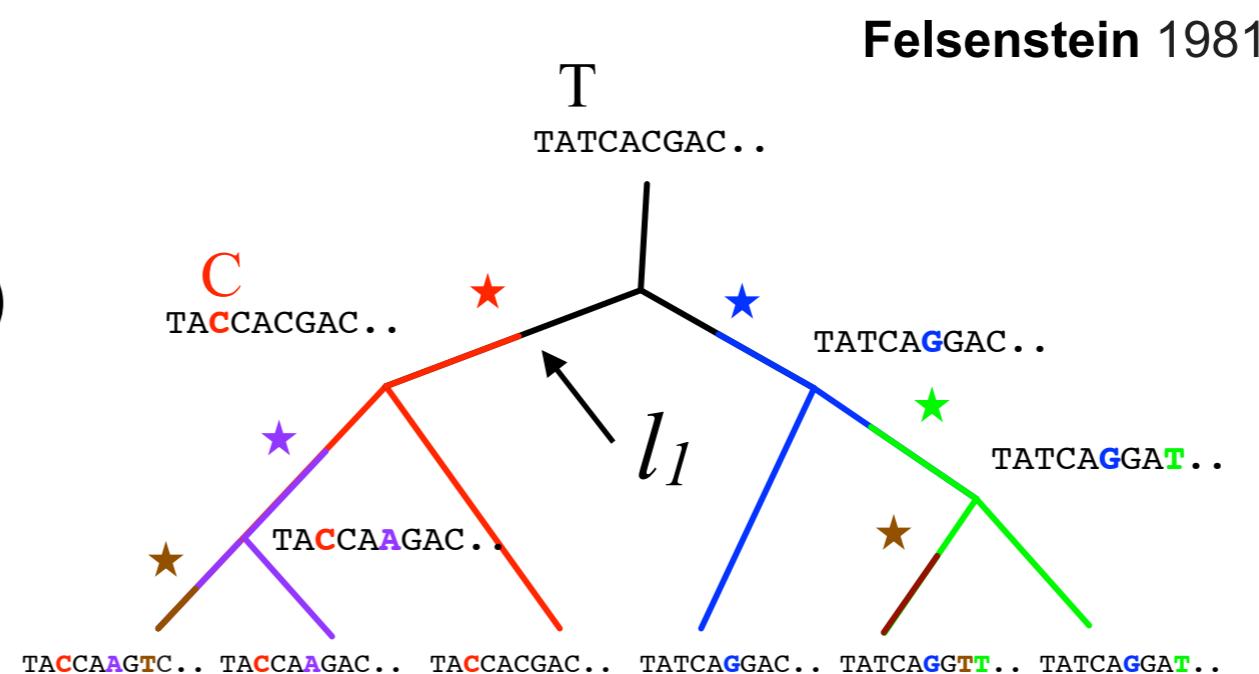
$$p(A|G)$$

requires summing over all possible substitution paths.

**sum over subs. along branch
conditional on states on top and bottom**



sum over ancestral states



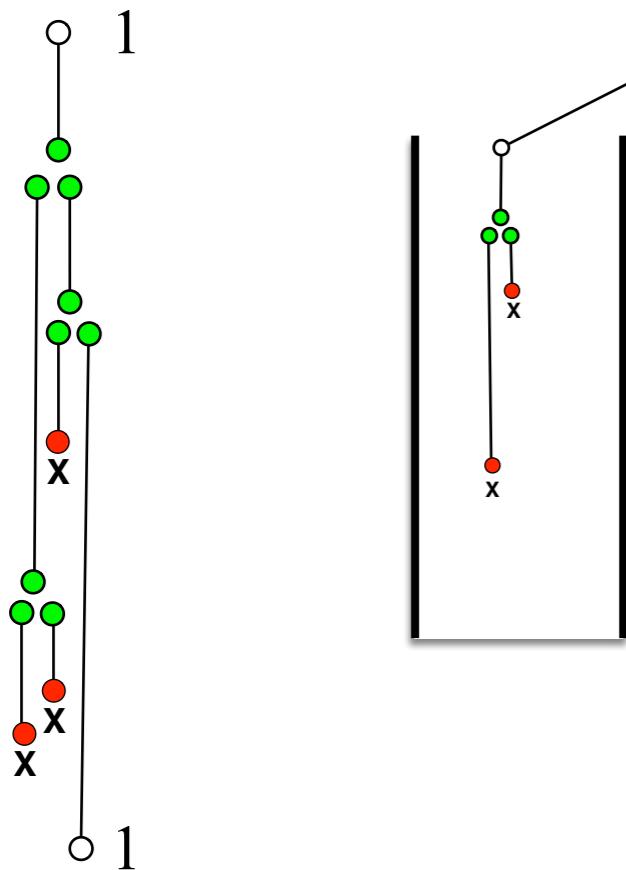
$$= \dots \times P_{TC}(l_1) \times P_{CC}(l_2) \times P_{CC}(l_3) \times P_{CC}(l_4) \times \dots$$

.. but gene trees are generated along the species tree

Given a model of gene family evolution a species tree induces a probability distribution over gene trees. For the DTL process to calculate the likelihood of a *gene tree* we sum over all possible *gene birth and death events* along a given *species tree*.

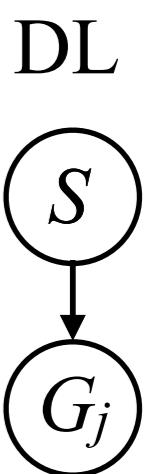
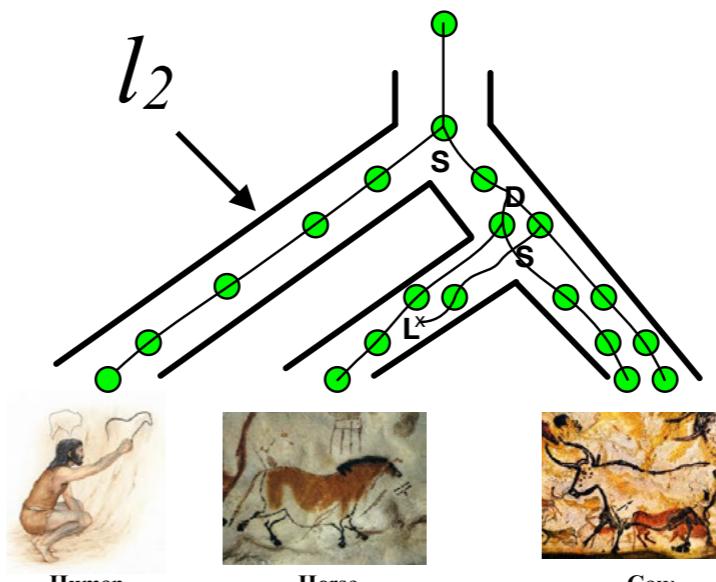
sum over gene birth and death events
along a branch conditional on reconciliation

$$P_{11}(l_2, \text{Hu}) \quad P_{10}(\text{Ho})$$



sum over all reconciliations with
species tree conditional on gene tree

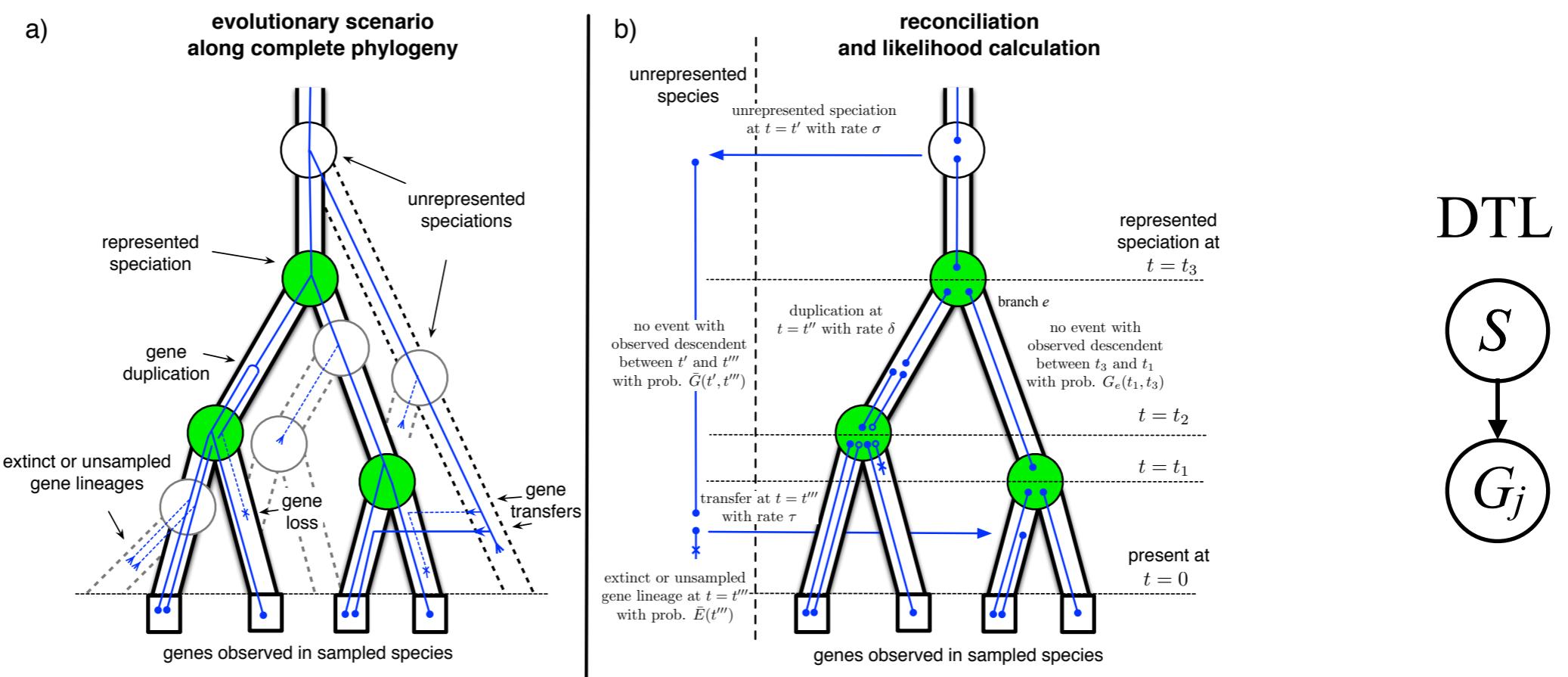
Arvestad 2010



.. but gene trees are generated along the species tree

Given a model of gene family evolution a species tree induces a probability distribution over gene trees. For the DTL process to calculate the likelihood of a *gene tree* we sum over all possible *gene birth and death events* along a given *species tree*.

calculating $p(G_i|S)$ is possible if $n \ll N$



implemented in ALE:

<http://github.com/ssolo/ALE>

Szöllősi, Tannier, Lartillot & Daubin *Systematic Biology* (2013)
Lateral Gene Transfer from the Dead

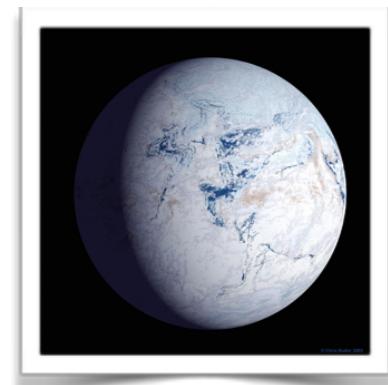
Szöllősi, Rosikiewicz, Boussau, Tannier & Daubin *Systematic Biology* (2013)
Efficient exploration of the space of reconciled gene trees

.. but gene trees are generated along the species tree

Modeling variation in N , the total number of species, over geological times, could be of particular interest. Indeed, a corollary of the observation that LGT events record evolutionary paths along the complete species tree is that the phylogenies of genes from a limited sample of extant species carry information about extinct lineages, and therefore about the size and dynamics of ancient biodiversity.

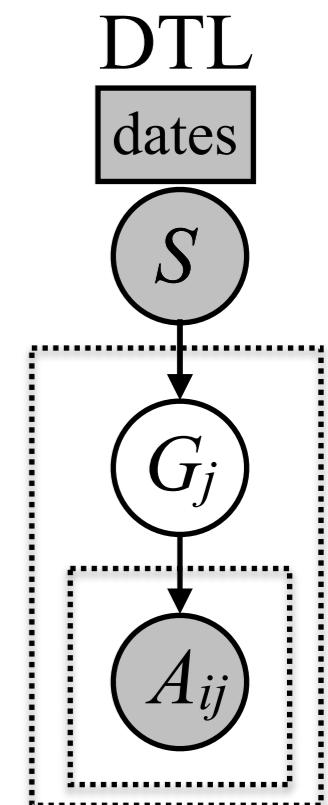
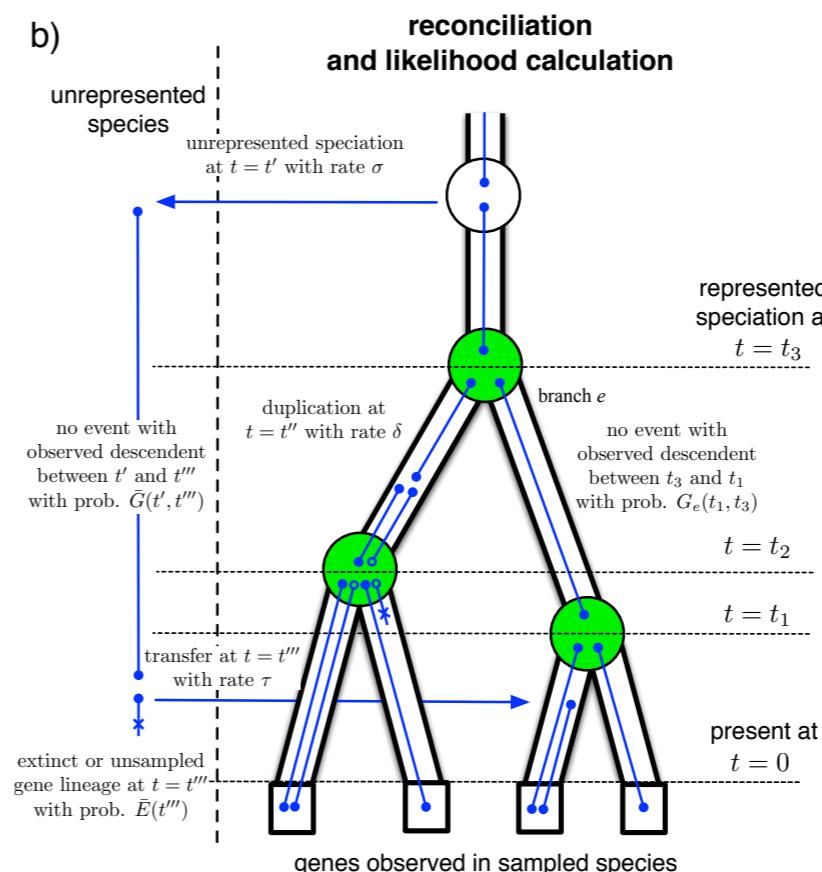
calculating $p(G_i|S)$ is possible if $n \ll N$

Can we detect mass extinction events?



implemented in ALE:

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**Szöllősi, Tannier, Lartillot & Daubin Systematic Biology (2013)
Lateral Gene Transfer from the Dead**

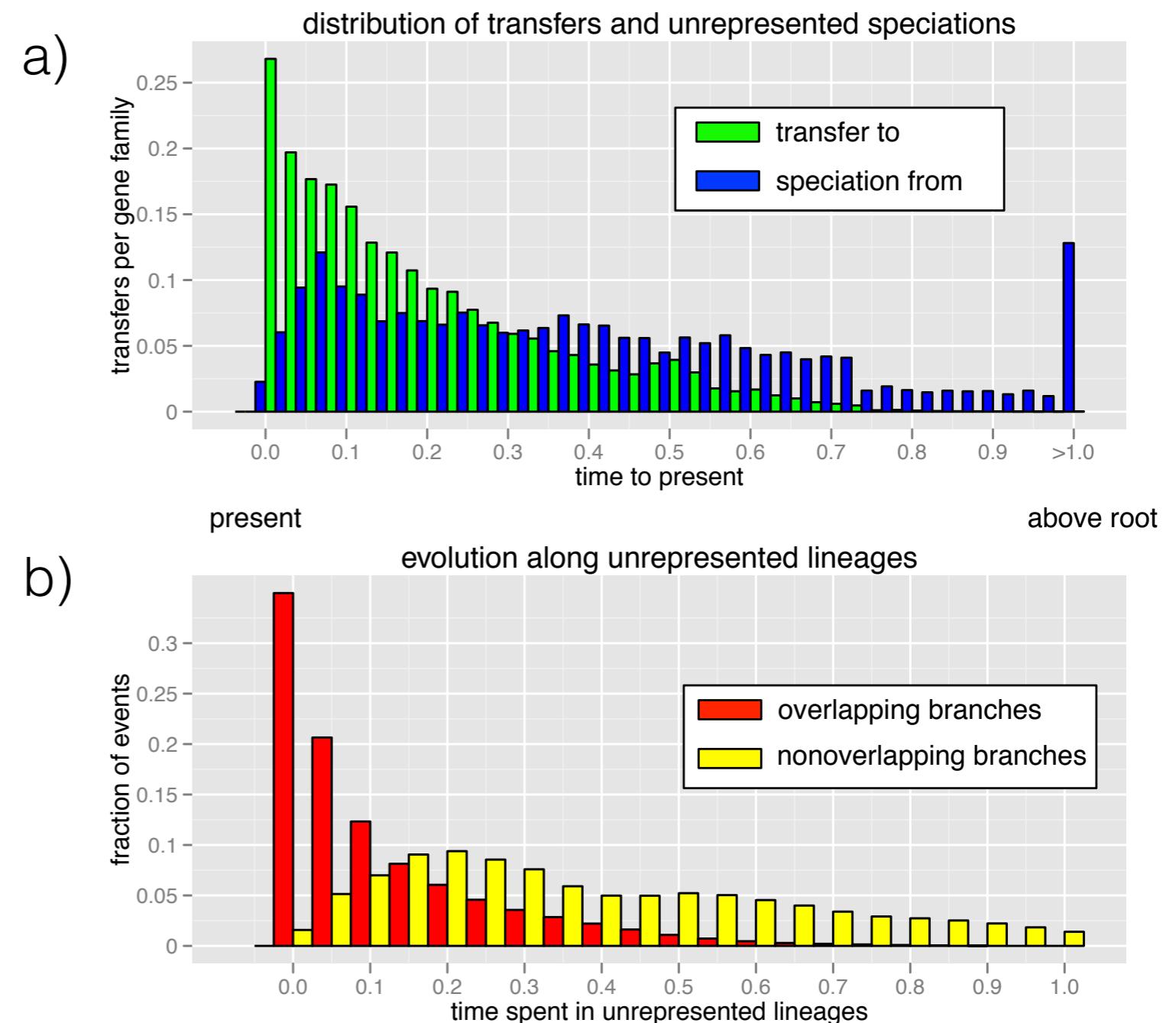
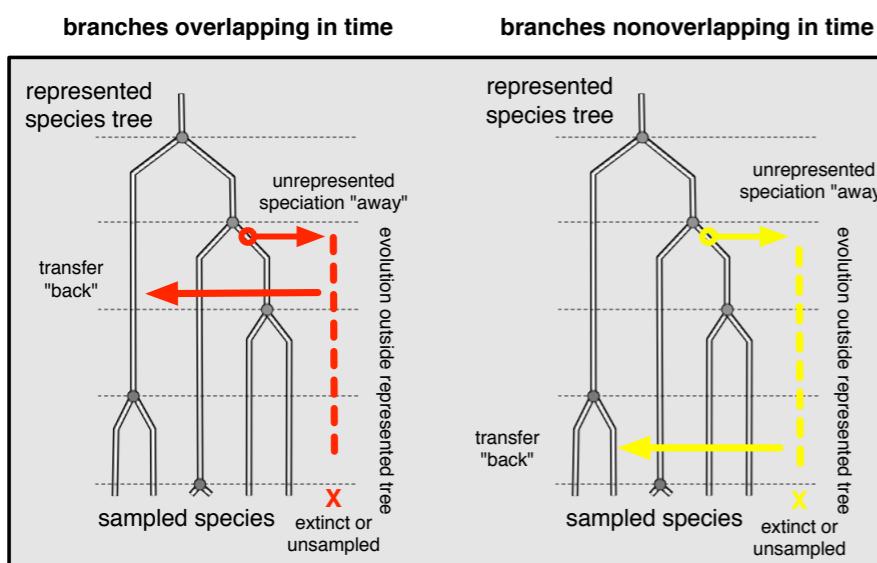
**Szöllősi, Rosikiewicz, Boussau, Tannier & Daubin Systematic Biology (2013)
Efficient exploration of the space of reconciled gene trees**

Routes to cyanobacterial genomes

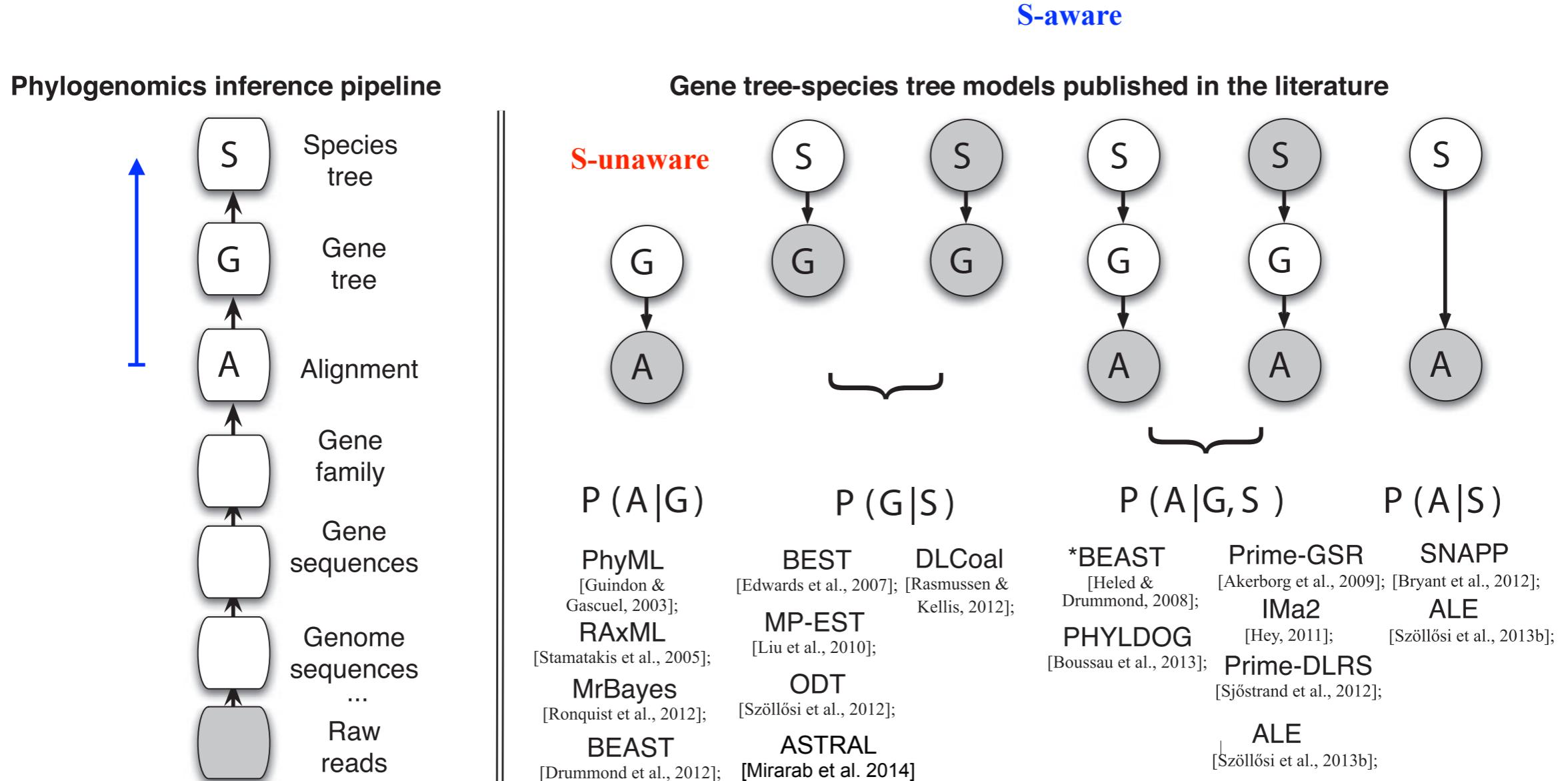
474 single copy families from 36 cyanobacteria

28% of Ts between non-overlapping branches

6% from above the root of Cyanobacteria



Species tree-awareness



Szöllősi,.., Boussau 2015 Syst. Biol.

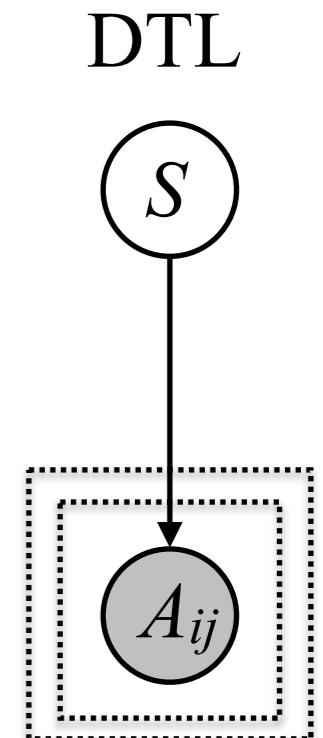
Efficiently integrating over the space of reconciled gene trees

using ALE we can approximate the integral in the DTL version of the Felsenstein equation:

$$P(A|S, \text{rates}) = \int_G p(A|G)p(G|S, \text{rates})$$

Felsenstein 1988

This opens the possibility for efficient MCMC or ML exploration of $\mathcal{L}(S, \text{rates}|A)$

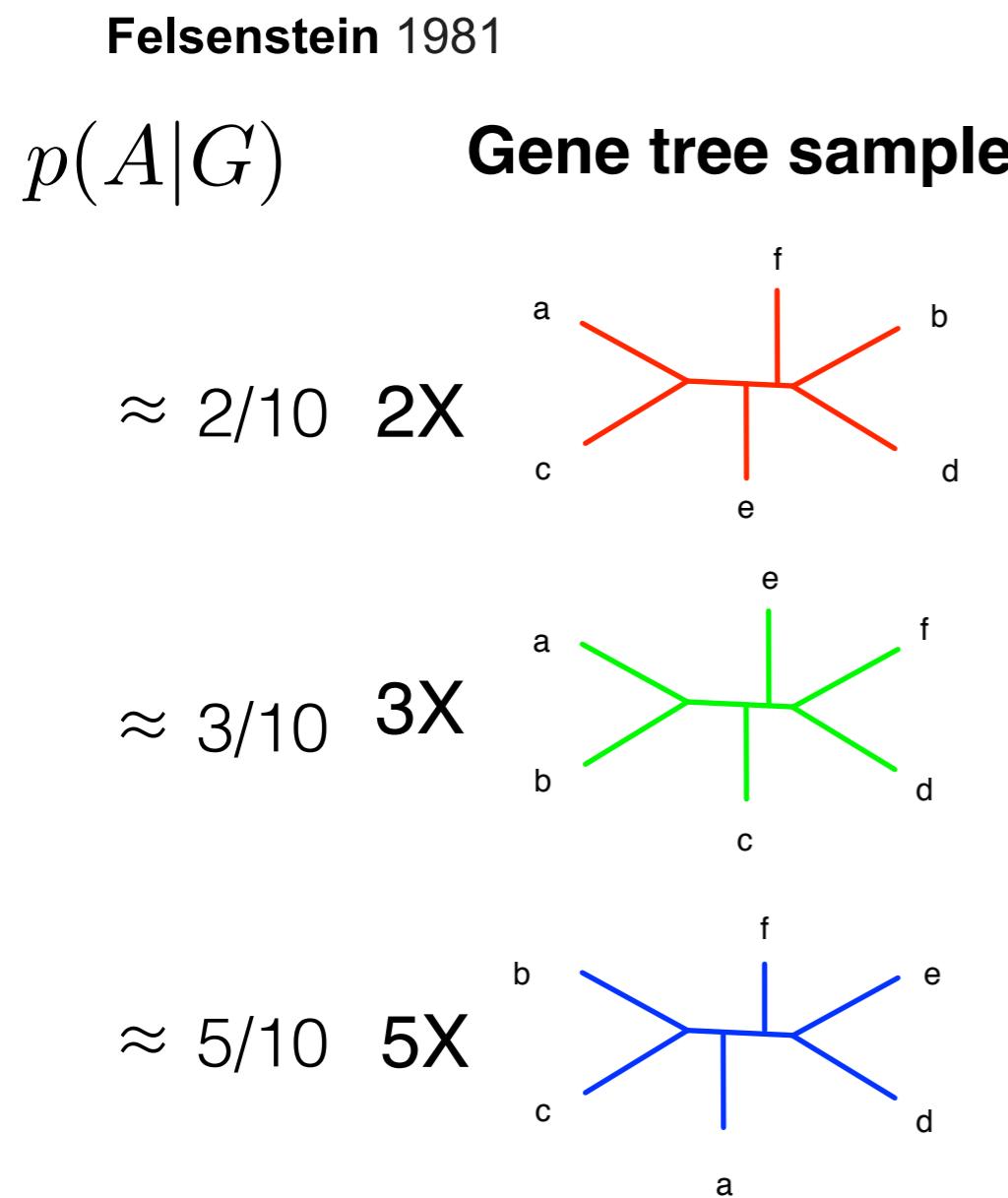


Szöllősi, Rosikiewicz, Boussau, Tannier & Daubin *Systematic Biology* (2013)
Efficient exploration of the space of reconciled gene trees

Szöllősi, Tannier, Daubin & Boussau *Systematic Biology* (2015)
The inference of gene trees with species trees

Efficiently exploring the space of reconciled gene trees

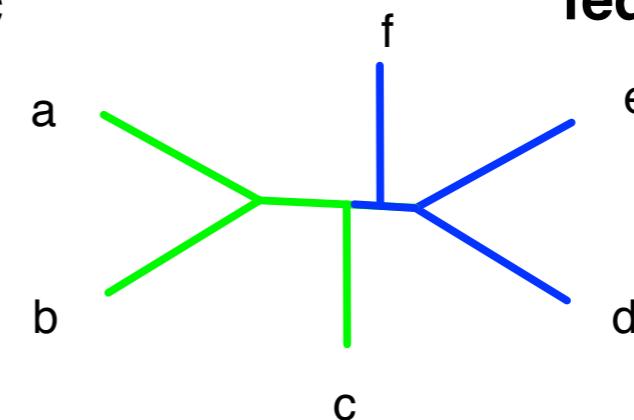
Based on a sample of trees conditional clade probabilities can be used to estimate posterior probability of any gene tree that can be amalgamated. This is usually a very large number of trees (e.g. for 10^4 samples 10^{12} trees, but up to 10^{40}).



$$p(A|G) \approx 3/8 \times 5/10$$

$$\frac{ab-c}{abc} = 3/8$$

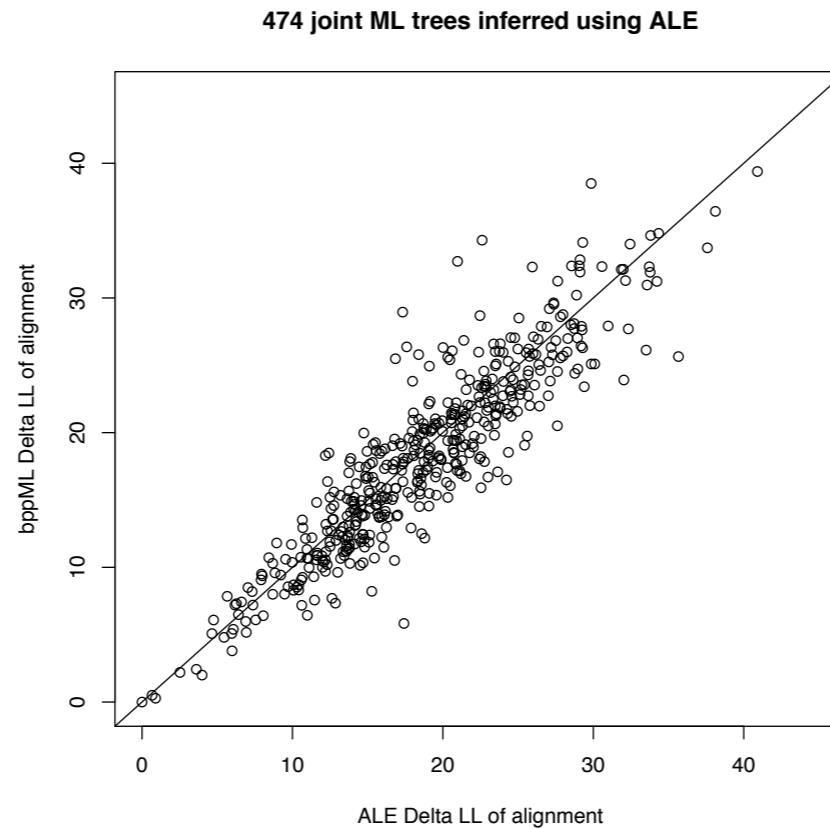
$$\frac{ed-f}{fed} = 5/10$$



Efficiently exploring the space of reconciled gene trees

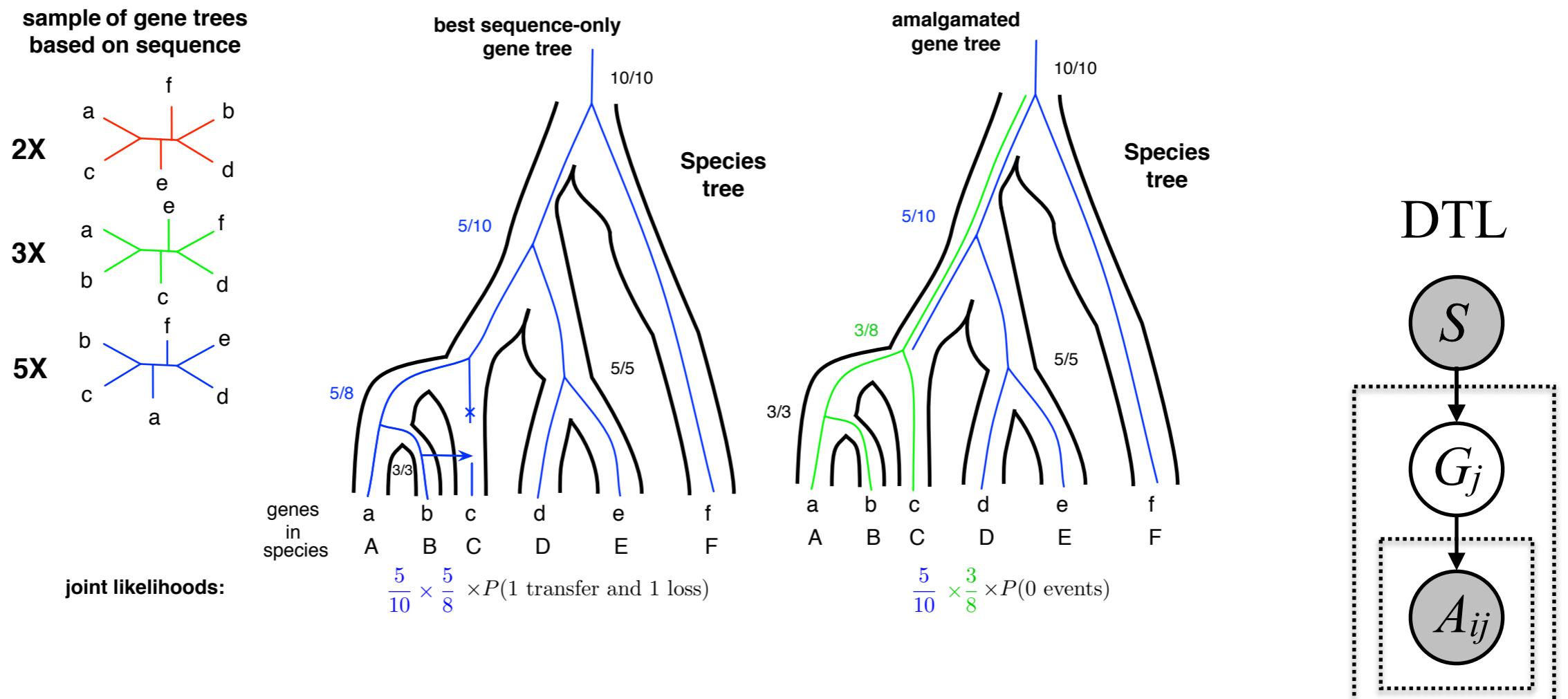
Based on a sample of trees conditional clade probabilities can be used to estimate posterior probability of any gene tree that can be amalgamated. This is usually a very large number of trees (e.g. for 10^4 samples 10^{12} trees, but up to 10^{40}).

explicit ML LL



Efficiently exploring the space of reconciled gene trees

Based on a sample of trees conditional clade probabilities can be used to estimate posterior probability of any gene tree that can be amalgamated. This is usually a very large number of trees (e.g. for 10^4 samples 10^{12} trees, but up to 10^{40}). *The dynamic programming used in gene tree-species tree reconciliation can be extended to approximate the joint likelihood efficiently for a very large set of gene trees.*



implemented in ALE:

<http://github.com/ssolo/ALE>

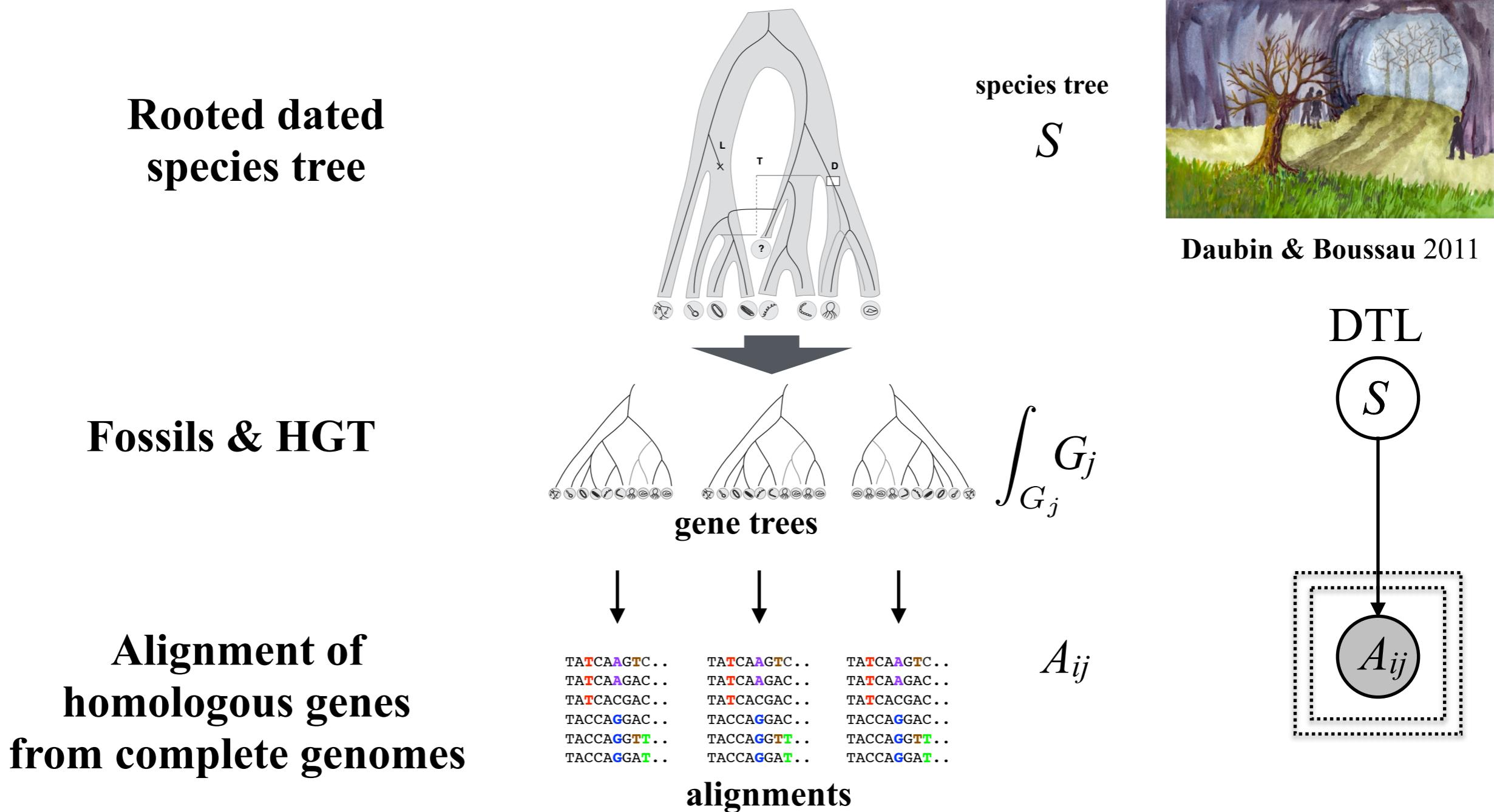
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The inference of gene trees with species trees

Using phylogenetic incongruence to reconstruct a dated ToL

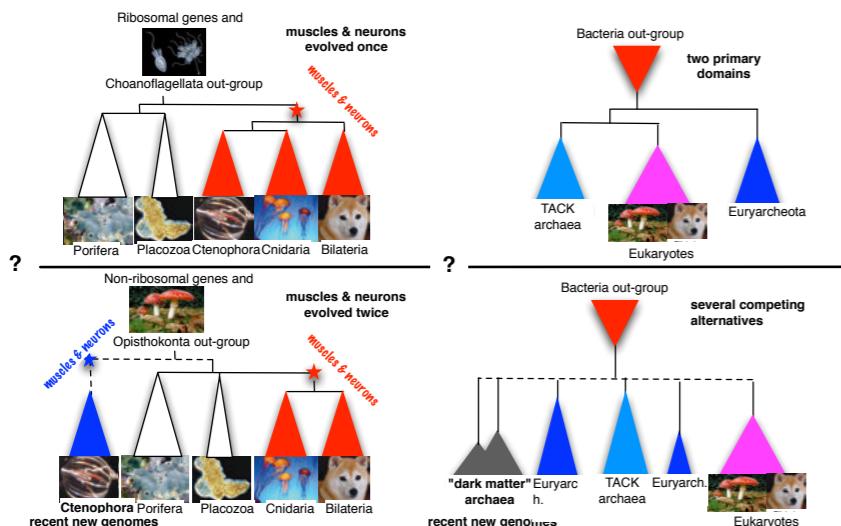
Estimating genes and species history can be achieved through a hierarchical structure, on top of which a species tree is inferred from gene trees through models of gene family evolution, themselves inferred from sequence alignments through models of sequence evolution.



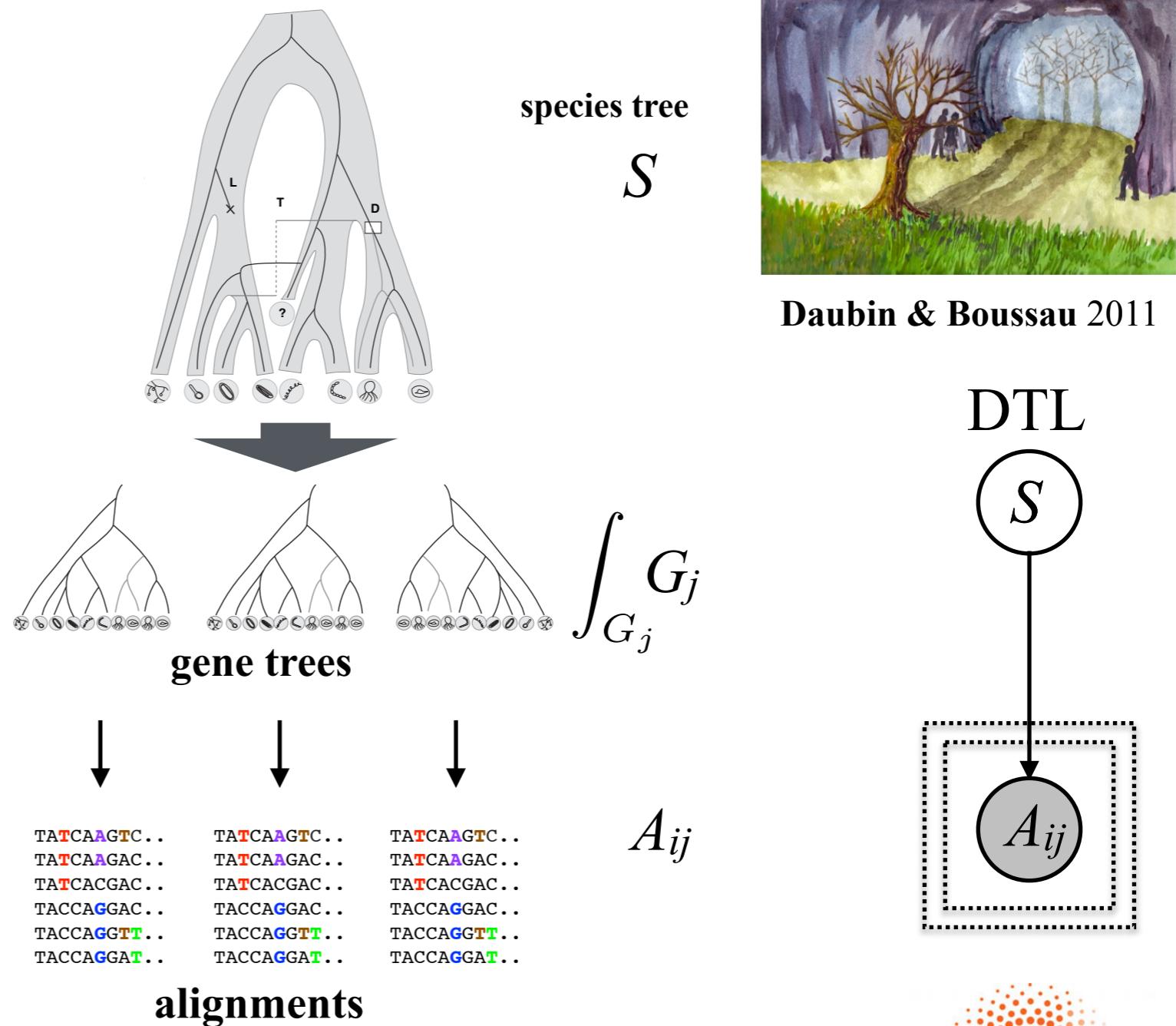
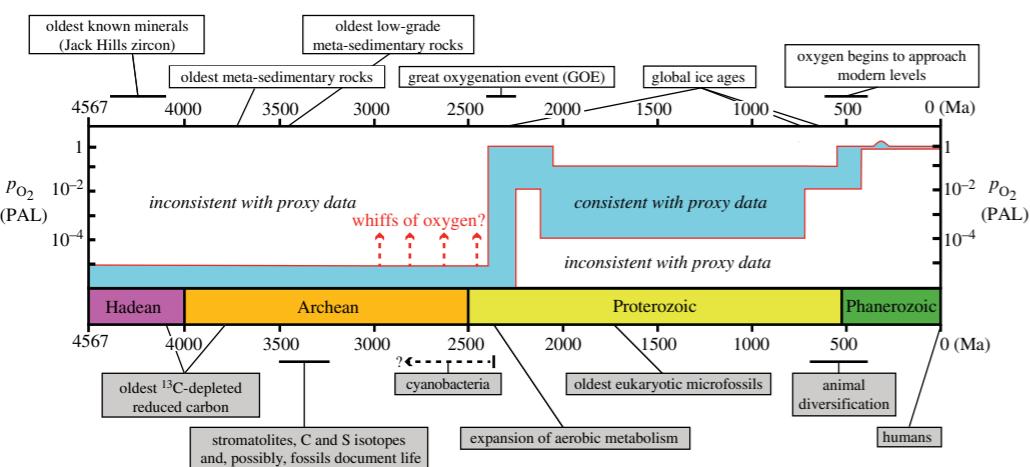
Using phylogenetic incongruence to reconstruct a dated ToL

Using a hierarchical model wherein gene trees are generated along the species tree and sequences are generated along gene trees we can *jointly* infer gene trees and species trees from sequences.

Rooted dated species tree



Fossils & HGT



GENECLOCKS