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| Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015 |
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|---|---|
| 1 | Name of Listed Entity: BALASORE ALLOYS LIMITED |
| 2 | Scrip Code/Name of Scrip/Class of Security:-BSE-513142, CSE-10019059 |
| 3 | Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c) |
| | a. If under 31(1)(b) then indicate the report for Quarter ending :31st December 2020 |
| | b. If under 31(1)(c) then indicate date of allotment/extinguishment |
| 4 | Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- |

| | Particulars | Yes* | No* |
|---|--|------|-----|
| 1 | Whether the Listed Entity has issued any partly paid up shares? | | No |
| 2 | Whether the Listed Entity has issued any Convertible Securities or Warrants? | | NO |
| 3 | Whether the Listed Entity has any shares against which depository receipts are issued? | | No |
| 4 | Whether the Listed Entity has any shares in locked-in? | Yes* | |
| 5 | Whether any shares held by promoters are pledge or otherwise encumbered? | Yes* | |

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

BALASORE ALLOYS LIMITED
Table I - Summary Statement holding of specified securities

| Category | Category of shareholder | Number of shareholders | No. of fully paid up equity shares held | No. of Partly paid-up equity shares held | No. of shares underlying Depository Receipts | Total nos. shares held | Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) | Number of Voting Rights held in each class of securities | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialised form | |
|----------|-------------------------------|------------------------|---|--|--|------------------------|---|--|-------------|----------|--|--|----------------------------|----------|--|----------|---|--------------------------------|
| | | | | | | | | No of Voting Rights | | | | | Total as a % of (A+B+C) | No. (a) | As a % of total Shares held(b) | No. (a) | | As a % of total Shares held(b) |
| | | | | | | | | Class eg: X | Class eg: Y | Total | | | | | | | | |
| (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) = (IV)+(V)+ (VI) | (VIII)As a % of (A+B+C2) | (IX) | | | (X) | (XI)= (VII)+(X) As a % of (A+B+C2) | (XII) | | (XIII) | | (XIV) | |
| (A) | Promoter & Promoter Group | 20 | 57338640 | 0 | 0 | 57338640 | 61.4395 | 57338640 | 0 | 57338640 | 61.4395 | 0 | 61.4395 | 16935896 | 29.5366 | 23667464 | 41.2766 | 57333980 |
| (B) | Public | 116073 | 35986771 | 0 | 0 | 35986771 | 38.5605 | 35986771 | 0 | 35986771 | 38.5605 | 0 | 38.5605 | 0 | 0.0000 | 0 | 0.0000 | 27418274 |
| (C) | Non Promoter - Non Public | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (C1) | Shares Underlying DRs | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (C2) | Shares Held By Employee Trust | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Total | 116093 | 93325411 | 0 | 0 | 93325411 | 100.00 | 93325411 | 0 | 93325411 | 100.0000 | 0 | 100.0000 | 16935896 | 18.1471 | 23667464 | 25.3601 | 84752254 |

BALASORE ALLOYS LIMITED
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

| | Category & Name of shareholders | PAN | No. of shareholders | No. of fully paid up equity shares held | No. of Partly paid-up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C2) | Number of Voting Rights held in each class of securities | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialised form |
|-----|--|------------|---------------------|---|--|---|------------------------|--|--|---------|----------|-------------------------------------|--|---|----------------------------|--------------------------------|--|--------------------------------|---|
| | | | | | | | | | No of Voting Rights | | | Total as a % of total voting Rights | | | No. (a) | As a % of total Shares held(b) | No. (a) | As a % of total Shares held(b) | |
| | | | | | | | | | Class X | Class y | Total | | | | | | | | |
| | (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) = (IV)+(V)+ (VI) | (VIII) As a % of (A+B+C2) | (IX) | | | | (X) | (XI)= (VII)+(X) As a % of (A+B+C2) | (XII) | | (XIII) | | (XIV) |
| 1 | Indian | | | | | | | | | | | | | | | | | | |
| (a) | Individuals / Hindu Undivided Family | | | | | | | | | | | | | | | | | | |
| | PRAMOD KUMAR MITTAL | AELPM0092F | 1 | 5710 | 0 | 0 | 5710 | 0.0061 | 5710 | 0 | 5710 | 0.0061 | 0 | 0.0000 | 3380 | 59.1944 | 2330 | 40.8056 | 3380 |
| | VINOD KUMAR MITTAL | AELPM0093E | 1 | 2330 | 0 | 0 | 2330 | 0.0025 | 2330 | 0 | 2330 | 0.0025 | 0 | 0.0000 | 0 | 0.0000 | 2330 | 100.0000 | 0 |
| | SANTOSH KUMAR RATERIA | ADAPR2447K | 1 | 560 | 0 | 0 | 560 | 0.0006 | 560 | 0 | 560 | 0.0006 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 560 |
| | SAROJ RATERIA | ADKPR8202L | 1 | 154300 | 0 | 0 | 154300 | 0.1653 | 154300 | 0 | 154300 | 0.1653 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 154300 |
| | ARCHANA MITTAL | AFDPM2144M | 1 | 420 | 0 | 0 | 420 | 0.0005 | 420 | 0 | 420 | 0.0005 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 420 |
| (b) | Central Government / State Government(s) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (c) | Financial Institutions / Banks | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (d) | Any Other (Specify) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Bodies Corporate | | | | | | | | | | | | | | | | | | |
| | GOLDLINE TRACOM PVT LTD | AAACG9896R | 1 | 12402346 | 0 | 0 | 12402346 | 13.2894 | 12402346 | 0 | 12402346 | 13.2894 | 0 | 0.0000 | 102216 | 0.8242 | 12300130 | 99.1758 | 12402346 |
| | NAVODAY HIGHRISE PRIVATE LIMITED | AADCG3190R | 1 | 6942674 | 0 | 0 | 6942674 | 7.4392 | 6942674 | 0 | 6942674 | 7.4392 | 0 | 0.0000 | 0 | 0.0000 | 6942674 | 100.0000 | 6942674 |
| | NAVODAY NIKETAN PRIVATE LIMITED | AACJ1033D | 1 | 4100000 | 0 | 0 | 4100000 | 4.3932 | 4100000 | 0 | 4100000 | 4.3932 | 0 | 0.0000 | 0 | 0.0000 | 2120000 | 51.7073 | 4100000 |
| | NAVODAY CONSULTANTS LIMITED | AABCM9988R | 1 | 3300000 | 0 | 0 | 3300000 | 3.5360 | 3300000 | 0 | 3300000 | 3.5360 | 0 | 0.0000 | 0.00 | 0 | 0.0000 | 3300000 | 3300000 |
| | DANKUNI INVESTMENTS LTD | AABCD1603J | 1 | 3300000 | 0 | 0 | 3300000 | 3.5360 | 3300000 | 0 | 3300000 | 3.5360 | 0 | 0.0000 | 0.00 | 0 | 0.0000 | 3300000 | 3300000 |
| | NAVDISHA REAL ESTATE PRIVATE LIMITED | AABCK1376P | 1 | 1801880 | 0 | 0 | 1801880 | 1.9307 | 1801880 | 0 | 1801880 | 1.9307 | 0 | 0.0000 | 1880 | 0.1043 | 1800000 | 99.8957 | 1801880 |
| | NAVODAY EXIM PRIVATE LIMITED | AAACI5254R | 1 | 500000 | 0 | 0 | 500000 | 0.5358 | 500000 | 0 | 500000 | 0.5358 | 0 | 0.0000 | 0 | 0.0000 | 500000 | 100.0000 | 500000 |
| | JALTARANG VANUJA PRIVATE LIMITED | AACJ3512F | 1 | 8000000 | 0 | 0 | 8000000 | 8.5722 | 8000000 | 0 | 8000000 | 8.5722 | 0 | 0.0000 | 0.00 | 0 | 0.0000 | 8000000 | 8000000 |
| | TRUST | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Sub Total [A](1) | | 13 | 40510220 | 0 | 0 | 40510220 | 43.4075 | 40510220 | 0 | 40510220 | 43.4075 | 0 | 0.0000 | 107476 | 0.2653 | 23667464 | 58.4234 | 40505560 |
| 2 | Foreign | | | | | | | | | | | | | | | | | | |
| (a) | Individuals (Non-Resident Individuals / Foreign Individuals) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (b) | Government | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (c) | Institutions | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (d) | Foreign Portfolio Investor | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (f) | Any Other (Specify) | | | | | | | | | | | | | | | | | | |
| | INDETHAL HOLDING LIMITED | AABCI6466J | 1 | 1304100 | 0 | 0 | 1304100 | 1.3974 | 1304100 | 0 | 1304100 | 1.3974 | 0 | 0.0000 | 1304100 | 100.0000 | 0 | 0.0000 | 1304100 |
| | ISPAT STEEL HOLDINGS LTD | AABCI6467K | 1 | 689400 | 0 | 0 | 689400 | 0.7387 | 689400 | 0 | 689400 | 0.7387 | 0 | 0.0000 | 689400 | 100.0000 | 0 | 0.0000 | 689400 |
| | SECUREX HOLDING LIMITED | AAKCS6399B | 1 | 365900 | 0 | 0 | 365900 | 0.3921 | 365900 | 0 | 365900 | 0.3921 | 0 | 0.0000 | 365900 | 100.0000 | 0 | 0.0000 | 365900 |
| | GLOBAL STEEL HOLDING LIMITED | AACG7800K | 1 | 34020 | 0 | 0 | 34020 | 0.0365 | 34020 | 0 | 34020 | 0.0365 | 0 | 0.0000 | 34020 | 100.0000 | 0 | 0.0000 | 34020 |
| | PRASAN GLOBAL VENTURES SINGAPORE PTE. LTD | AAICP6658E | 1 | 4895017 | 0 | 0 | 4895017 | 5.2451 | 4895017 | 0 | 4895017 | 5.2451 | 0 | 0.0000 | 4895017 | 100.0000 | 0 | 0.0000 | 4895017 |
| | DIRECT TRANDING & INVESTMENTS SINGAPORE PTE. LTD | AAFC07511F | 1 | 2900000 | 0 | 0 | 2900000 | 3.1074 | 2900000 | 0 | 2900000 | 3.1074 | 0 | 0.0000 | 2900000 | 100.0000 | 0 | 0.0000 | 2900000 |
| | GLOBAL STEEL HOLDINGS ASIA PTE. LTD | AAGCG4286K | 1 | 6639983 | 0 | 0 | 6639983 | 7.1149 | 6639983 | 0 | 6639983 | 7.1149 | 0 | 0.0000 | 6639983 | 100.0000 | 0 | 0.0000 | 6639983 |
| | Sub Total [A](2) | | 7 | 16828420 | | | 16828420 | 18.0320 | 16828420 | | 16828420 | 18.0320 | | 0.0000 | 16828420 | 100.0000 | | 0.0000 | 16828420 |
| | Total Shareholding Of Promoter And Promoter Group [A]= [A](1)+[A](2) | | 20 | 57338640 | | | 57338640 | 61.4395 | 57338640 | 0 | 57338640 | 61.4395 | 0 | 0.0000 | 16935896 | 18.1471 | 23667464 | 41.2766 | 57333980 |

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

(3) The Directorate of Enforcement (ED) vide its letter F. No. ECIR/03/DZ-1/2017/dated 30th October,2017 has directed the Depository Participant of the Promoter Companies and MCS Share Transfer Agent Ltd., the Registrar and Share Transfer Agent of the Company not to allow disposal of any equity Shares of the Company held by the Promoter Companies. Accordingly, the entire Shareholding of the Promoter Companies in the Company is subject to Locked-in.However, the Hon'ble Supreme Court of India vide its Order dated 12.03.2019, has quashed the proceedings initiated by ED in all respect. Accordingly, the respective Promoter Companies are taking necessary steps to unlock the said shares.

(4) Corporate Action for Credit Confirmation of 44,35,000 shares are still pending.

out of the entire shareholding of the Promoters 4660 equity shares (0.005% of the total share capital of the Company) are not held in dematerialized form as required under Regulation 31(2) of Listing Regulations, 2015. 4660 equity shares of the Promoters are lying as collateral securities with Bank. The same shall be dematerialized in due course in consultation with the Bank.

BALASORE ALLOYS LIMITED
Table III - Statement showing shareholding pattern of the Public shareholder

| | Category & Name of shareholders | PAN | No. of shareholders | No. of fully paid up equity shares held | Partly paid-up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C2) | Number of Voting Rights held in each class of securities | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialised form |
|-----|---|------------|---------------------|---|-----------------------------------|---|------------------------|--|--|---------|----------|-------------------------------------|--|--|----------------------------|--------------------------------|--|------------------------------------|---|
| | | | | | | | | | No of Voting Rights | | | Total as a % of total voting Rights | | | No. (a) | As a % of total Shares held(b) | No.N.A (a) | As a % of total Shares held(N.A(b) | |
| | | | | | | | | | Class X | Class y | Total | | | | | | | | |
| | (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) = (IV)+(V)+ (VI) | (VIII) As a % of (A+B+C2) | (IX) | | | | (X) | (XI) | (XII) | | (XIII) | | (XIV) |
| 1 | Institutions | | | | | | | | | | | | | | | | | | |
| (a) | Mutual Fund | | 4 | 13600 | 0 | 0 | 13600 | 0.0146 | 13600 | 0 | 13600 | 0.0146 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 100 |
| (b) | Venture Capital Funds | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (c) | Alternate Investment Funds | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (e) | Foreign Venture Capital Investors | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Foreign Portfolio Investor | | 1 | 1697013 | 0 | 0 | 1697013 | 1.8184 | 1697013 | 0 | 1697013 | 1.8184 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 1697013 |
| | INDIA FOCUS CARDINAL FUND | AABCI9518D | | 1697013 | 0 | 0 | 1697013 | 1.8184 | 1697013 | 0 | 1697013 | 1.8184 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 1697013 |
| (g) | Financial Institutions / Banks | | 26 | 590983 | 0 | 0 | 590983 | 0.6332 | 590983 | 0 | 590983 | 0.6332 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 3061 |
| | Insurance Companies | | | | | | | 0.0000 | | | | 0.0000 | | | | | | | |
| | Provident Funds/ Pension Funds | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (i) | Any Other (Specify) | | | | | | | 0.0000 | | | | 0.0000 | | | | | | | |
| | Sub Total (B)(1) | | 31 | 2301596 | 0 | 0 | 2301596 | 2.4662 | 2301596 | 0 | 2301596 | 2.4662 | 0 | 0 | 0 | 0 | 0 | 0 | 1700174 |
| 2 | Central Government/ State Government(s)/ President of India | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Central Government / State Government(s) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Sub Total (B)(2) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| 3 | Non-Institutions | | | | | | | | | | | | | | | | | | |
| (a) | Individuals | | | | | | | | | | | | | | | | | | |
| | i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs. | | 114320 | 23595066 | 0 | 0 | 23595066 | 25.2826 | 23595066 | 0 | 23595066 | 25.2826 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 16239246 |
| | ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs. | | | | | | | | | | | | | | | | | | |
| | SANGEETHA S | AUJPS3739F | 1 | 1532050 | 0 | 0 | 1532050 | 1.6416 | 1532050 | 0 | 1532050 | 1.6416 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 1532050 |
| | OTHERS | | 44 | 3891656 | 0 | 0 | 3891656 | 4.1700 | 3891656 | 0 | 3891656 | 4.1700 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 3891656 |
| (c) | NBFCs registered with RBI | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (d) | Employee Trusts | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| (e) | Overseas Depositories(holding DRs) (balancing figure) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Any Other (Specify)(TRUST) | | 2 | 226 | 0 | 0 | 226 | 0.0002 | 226 | 0 | 226 | 0.0002 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 226 |
| | INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY OF CORPORATE AFFAIRS | | 1 | 741653 | 0 | 0 | 741653 | 0.7947 | 741653 | 0 | 741653 | 0.7947 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 741653 |
| | Non Resident Indians | | 1215 | 801727 | 0 | 0 | 801727 | 0.8591 | 801727 | 0 | 801727 | 0.8591 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 558679 |
| | Foreign Companies | | 3 | 326250 | 0 | 0 | 326250 | 0.3496 | 326250 | 0 | 326250 | 0.3496 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Bodies Corporate | | 456 | 2796547 | 0 | 0 | 2796547 | 2.9966 | 2796547 | 0 | 2796547 | 2.9966 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 2754590 |
| | Sub Total (B)(3) | | 116042 | 33685175 | 0 | 0 | 33685175 | 36.0943 | 33685175 | 0 | 33685175 | 36.0943 | 0 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 0.0000 | 25718100 |
| | Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3) | | 116073 | 35986771 | 0 | 0 | 35986771 | 38.5605 | 35986771 | 0 | 35986771 | 38.5605 | 0 | 0 | 0 | 0 | 0 | 0 | 27418274 |

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(4) 741653 Equity Shares have been transferred to IEPF Authority, Pursuant to Section 124(6) of Companies Act,2013

BALASORE ALLOYS LIMITED**Annexure****Table V - Statement showing details of significant beneficial owners (SBOs)**

| Sr. No. | Details of the SBO (I) | | | Details of the registered owner (II) | | | Details of holding/exercises of right of the SBO in the reporting company, whether direct or | | | Date of creation / acquisition significant beneficial interest*(IV) |
|---------|------------------------|---|-------------|--------------------------------------|---|-------------|--|--|---|---|
| | | PAN/Pass port No. in case of a foreign national | Nationality | | PAN/Pass port No. in case of a foreign national | Nationality | | | | |
| | Name | | | Name | | | Whether by virtue of: | | | |
| | | | | | | | Shares | | % | |
| | | | | | | | Voting Rights | | % | |
| | | | | | | | Right on distributable dividend or any other distribution | | % | |
| | | | | | | | Exercise of control | | | |
| | | | | | | | Exercise of significant influence | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |
| | | | | | | | | | | |

*Incase the nature of the holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column IB,multiple rows for the same SBO shall be inserted accordingly for each of the categories.

* Thiscolumn shall have the details as specified by the listed entity under Form No **BEN-2** as submitted to the Registrar.

BALASORE ALLOYS LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

| | Category & Name of shareholders | PAN | No. of shareholders | No. of fully paid up equity shares held | Partly paid-up equity shares held | Nos. of shares underlying Depository Receipts | Total nos. shares held | Shareholding % calculated as per SCRR, 1957 as a % (A+B+C2) | Number of Voting Rights held in each class of securities | | | | No. of Shares Underlying Outstanding convertible securities (including Warrants) | Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) | Number of Locked in shares | | Number of Shares pledged or otherwise encumbered | | Number of equity shares held in dematerialised form N.A. |
|---|--|------|---------------------|---|-----------------------------------|---|------------------------|---|--|---------|----------|-------------------------------------|--|--|----------------------------|-----------------------------|--|---------------------------------|--|
| | | | | | | | | | No of Voting Rights | | | Total as a % of total voting Rights | | | No. | As a % of total Shares held | No.(N.A) | As a % of total Shares held N.A | |
| | | | | | | | | | Class X | Class y | Total | | | | | | | | |
| | (I) | (II) | (III) | (IV) | (V) | (VI) | (VII) = (IV)+(V)+ (VI) | (VIII) As a % of (A+B+C2) | (IX) | | | | (X) | (XI) | (XII) | | (XIII) | | (XIV) |
| 1 | Custodian/DR Holder | | | | | | | | | | | | | | | | | | |
| 2 | Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2) | | 0 | 0 | 0 | 0 | 0 | 0.0000 | 0 | 0 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 | 0.0000 | 0 |
| | | | | | | | | | | | | | | | | | | | |
| | Grand Total (A+B+C) | | 116093 | 93325411 | 0 | 0 | 93325411 | 100.0000 | 93325411 | 0 | 93325411 | 100.0000 | 0 | 100.0000 | 16935896 | 18.1471 | 23667464 | 25.3601 | 84752254 |

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available