

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: BALASORE ALLOYS LIMITED
2	Scrip Code/Name of Scrip/Class of Security:-BSE-513142, CSE-10019059
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
	a. If under 31(1)(b) then indicate the report for Quarter ending :30th June 2020
	b. If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

	Particulars	Yes*	No*
1	Whether the Listed Entity has issued any partly paid up shares?		No
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No
4	Whether the Listed Entity has any shares in locked-in?	Yes*	
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes*	

*** If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.**

BALASORE ALLOYS LIMITED
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights		Total as a % of (A+B+C)			No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: y									Total
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	20	57338640	0	0	57338640	61.4395	57338640	0	57338640	61.4395	0	61.4395	16935896	29.5366	25647464	44.7298	57333980
(B)	Public	117507	35986771	0	0	35986771	38.5605	35986771	0	35986771	38.5605	0	38.5605	0	0.0000	0	0.0000	27415928
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total	117527	93325411	0	0	93325411	100.00	93325411	0	93325411	100.0000	0	100.0000	16935896	18.1471	25647464	27.4818	84749908

BALASORE ALLOYS LIMITED
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

	Category & Name of shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
									No of Voting Rights					Total as a % of total voting Rights	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
									Class X	Class y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
1	Indian																		
(a)	Individuals / Hindu Undivided Family																		
	PRAMOD KUMAR MITTAL	AELPM0092F	1	5710	0	0	5710	0.0061	5710	0	5710	0.0061	0	0.0000	3380	59.1944	2330	40.8056	3380
	VINOD KUMAR MITTAL	AELPM0093E	1	2330	0	0	2330	0.0025	2330	0	2330	0.0025	0	0.0000	0	0.0000	2330	100.0000	0
	SANTOSH KUMAR RATERIA	ADAPR2447K	1	560	0	0	560	0.0006	560	0	560	0.0006	0	0.0000	0	0.0000	0	0.0000	560
	SAROJ RATERIA	ADKPR8202L	1	154300	0	0	154300	0.1653	154300	0	154300	0.1653	0	0.0000	0	0.0000	0	0.0000	154300
	ARCHANA MITTAL	AFDPM2144M	1	420	0	0	420	0.0005	420	0	420	0.0005	0	0.0000	0	0.0000	0	0.0000	420
(b)	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Bodies Corporate																		
	GOLDLINE TRACOM PVT LTD	AAACG9896R	1	12402346	0	0	12402346	13.2894	12402346	0	12402346	13.2894	0	0.0000	102216	0.8242	12300130	99.1758	12402346
	NAVODAY HIGHRISE PRIVATE LIMITED	AADCG3190R	1	6942674	0	0	6942674	7.4392	6942674	0	6942674	7.4392	0	0.0000	0	0.0000	6942674	100.0000	6942674
	NAVODAY NIKETAN PRIVATE LIMITED	AACJ1033D	1	4100000	0	0	4100000	4.3932	4100000	0	4100000	4.3932	0	0.0000	0	0.0000	4100000	100.0000	4100000
	NAVODAY CONSULTANTS LIMITED	AABCM9988R	1	3300000	0	0	3300000	3.5360	3300000	0	3300000	3.5360	0	0.0000	0.00	0	0.0000	3300000	3300000
	DANKUNI INVESTMENTS LTD	AABCD1603J	1	3300000	0	0	3300000	3.5360	3300000	0	3300000	3.5360	0	0.0000	0.00	0	0.0000	3300000	3300000
	NAVDISHA REAL ESTATE PRIVATE LIMITED	AABCK1376P	1	1801880	0	0	1801880	1.9307	1801880	0	1801880	1.9307	0	0.0000	1880	0.1043	1800000	99.8957	1801880
	NAVODAY EXIM PRIVATE LIMITED	AAACI5254R	1	500000	0	0	500000	0.5358	500000	0	500000	0.5358	0	0.0000	0	0.0000	500000	100.0000	500000
	JALTARANG VANUJA PRIVATE LIMITED	AACJ3512F	1	8000000	0	0	8000000	8.5722	8000000	0	8000000	8.5722	0	0.0000	0.00	0	0.0000	8000000	8000000
	TRUST		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total [A](1)		13	40510220	0	0	40510220	43.4075	40510220	0	40510220	43.4075	0	0.0000	107476	0.2653	25647464	63.3111	40505560
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(f)	Any Other (Specify)																		
	INDETHAL HOLDING LIMITED	AABCI6466J	1	1304100	0	0	1304100	1.3974	1304100	0	1304100	1.3974	0	0.0000	1304100	100.0000	0	0.0000	1304100
	ISPAT STEEL HOLDINGS LTD	AABCI6467K	1	689400	0	0	689400	0.7387	689400	0	689400	0.7387	0	0.0000	689400	100.0000	0	0.0000	689400
	SECUREX HOLDING LIMITED	AAKCS6399B	1	365900	0	0	365900	0.3921	365900	0	365900	0.3921	0	0.0000	365900	100.0000	0	0.0000	365900
	GLOBAL STEEL HOLDING LIMITED	AACG7800K	1	34020	0	0	34020	0.0365	34020	0	34020	0.0365	0	0.0000	34020	100.0000	0	0.0000	34020
	PRASAN GLOBAL VENTURES SINGAPORE PTE. LTD	AAICP6658E	1	4895017	0	0	4895017	5.2451	4895017	0	4895017	5.2451	0	0.0000	4895017	100.0000	0	0.0000	4895017
	DIRECT TRANDING & INVESTMENTS SINGAPORE PTE. LTD	AAFC07511F	1	2900000	0	0	2900000	3.1074	2900000	0	2900000	3.1074	0	0.0000	2900000	100.0000	0	0.0000	2900000
	GLOBAL STEEL HOLDINGS ASIA PTE. LTD	AAGCG4286K	1	6639983	0	0	6639983	7.1149	6639983	0	6639983	7.1149	0	0.0000	6639983	100.0000	0	0.0000	6639983
	Sub Total [A](2)		7	16828420	0	0	16828420	18.0320	16828420	0	16828420	18.0320	0	0.0000	16828420	100.0000	0	0.0000	16828420
	Total Shareholding Of Promoter And Promoter Group [A]= [A](1)+[A](2)		20	57338640			57338640	61.4395	57338640	0	57338640	61.4395	0	0.0000	16935896	18.1471	25647464	44.7298	57333980

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

(3) The Directorate of Enforcement (ED) vide its letter F. No. ECIR/03/DZ-1/2017/dated 30th October,2017 has directed the Depository Participant of the Promoter Companies and MCS Share Transfer Agent Ltd., the Registrar and Share Transfer Agent of the Company not to allow disposal of any equity Shares of the Company held by the Promoter Companies. Accordingly, the entire Shareholding of the Promoter Companies in the Company is subject to Locked-in. However, the Hon'ble Supreme Court of India vide its Order dated 12.03.2019, has quashed the proceedings initiated by ED in all respect. Accordingly, the respective Promoter Companies are taking necessary steps to unlock the said shares.

(4) Corporate Action for Credit Confirmation of 44,35,000 shares are still pending.

*out of the entire shareholding of the Promoters 4660 equity shares (0.005% of the total share capital of the Company) are not held in dematerialized form as required under Regulation 31(2) of Listing Regulations, 2015. 4660 equity shares of the Promoters are lying as collateral securities with Bank. The same shall be dematerialized in due course in consultation with the Bank.

BALASORE ALLOYS LIMITED
Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
									No of Voting Rights			Total as a % of total voting Rights			No. (a)	As a % of total Shares held(b)	No.N.A (a)	As a % of total Shares held(N.A(b))	
									Class X	Class y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)	(XII)		(XIII)		(XIV)
1	Institutions																		
(a)	Mutual Fund		4	13600	0	0	13600	0.0146	13600	0	13600	0.0146	0	0.0000	0	0.0000	0	0.0000	100
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Foreign Portfolio Investor		1	1697013	0	0	1697013	1.8184	1697013	0	1697013	1.8184	0	0.0000	0	0.0000	0	0.0000	1697013
	INDIA FOCUS CARDINAL FUND	AABCI9518D		1697013	0	0	1697013	1.8184	1697013	0	1697013	1.8184	0	0.0000	0	0.0000	0	0.0000	1697013
(g)	Financial Institutions / Banks		26	590983	0	0	590983	0.6332	590983	0	590983	0.6332	0	0.0000	0	0.0000	0	0.0000	3061
	Insurance Companies							0.0000				0.0000							
	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(i)	Any Other (Specify)							0.0000				0.0000							
	Sub Total (B)(1)		31	2301596	0	0	2301596	2.4662	2301596	0	2301596	2.4662	0	0	0	0	0	0	1700174
2	Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
3	Non-Institutions																		
(a)	Individuals																		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		115705	23624760	0	0	23624760	25.3144	23624760	0	23624760	25.3144	0	0.0000	0	0.0000	0	0.0000	16266594
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.																		
	SANGEETHA S	AUJPS3739F	1	1532050	0	0	1532050	1.6416	1532050	0	1532050	1.6416	0	0.0000	0	0.0000	0	0.0000	1532050
	OTHERS		43	3957060	0	0	3957060	4.2401	3957060	0	3957060	4.2401	0	0.0000	0	0.0000	0	0.0000	3957060
(c)	NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Any Other (Specify)(TRUST)		2	226	0	0	226	0.0002	226	0	226	0.0002	0	0.0000	0	0.0000	0	0.0000	226
	INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY OF CORPORATE AFFAIRS		1	741653	0	0	741653	0.7947	741653	0	741653	0.7947	0	0.0000	0	0.0000	0	0.0000	741653
	Non Resident Indians		1246	788066	0	0	788066	0.8444	788066	0	788066	0.8444	0	0.0000	0	0.0000	0	0.0000	545018
	Foreign Companies		3	326250	0	0	326250	0.3496	326250	0	326250	0.3496	0	0.0000	0	0.0000	0	0.0000	0
	Bodies Corporate		475	2715110	0	0	2715110	2.9093	2715110	0	2715110	2.9093	0	0.0000	0	0.0000	0	0.0000	2673153
	Sub Total (B)(3)		117476	33685175	0	0	33685175	36.0943	33685175	0	33685175	36.0943	0	0.0000	0.0000	0.0000	0.0000	0.0000	25715754
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		117507	35986771	0	0	35986771	38.5605	35986771	0	35986771	38.5605	0	0	0	0	0	0	27415928

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons:Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(4) 741653 Equity Shares have been transferred to IEPF Authority, Pursuant to Section 124(6) of Companies Act,2013

BALASORE ALLOYS LIMITED**Annexure****Table V - Statement showing details of significant beneficial owners (SBOs)**

Sr. No.	Details of the SBO (I)			Details of the registered owner (II)			Details of holding/exercises of right of the SBO in the reporting company, whether direct or			Date of creation / acquisition significant beneficial interest*(IV)	
	Name	PAN/Pass port No. in case of a foreign national	Nationality	Name	PAN/Pass port No. in case of a foreign national	Nationality	Whether by virtue of:				
							Shares			%	
							Voting Rights			%	
							Right on distributable dividend or any other distribution			%	
							Exercise of control				
							Exercise of significant influence				

*Incase the nature of the holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column IB, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

* This column shall have the details as specified by the listed entity under Form No **BEN-2** as submitted to the Registrar.

BALASORE ALLOYS LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, 1957 as a % (A+B+C2)	Number of Voting Rights held in each class of securities				No. of Shares Underlying Outstanding & convertible securities (including Warrants)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form N.A.
									No of Voting Rights			Total as a % of total voting Rights			No.	As a % of total Shares held	No.(N.A)	As a % of total Shares held N.A	
									Class X	Class y	Total								
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+ (VI)	(VIII) As a % of (A+B+C2)	(IX)				(X)	(XI)	(XII)		(XIII)		(XIV)
1	Custodian/DR Holder																		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Grand Total (A+B+C)		117527	93325411	0	0	93325411	100.0000	93325411	0	93325411	100.0000	0	100.0000	16935896	18.1471	25647464	27.4818	84749908

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available