

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015				
1	Name of Listed Entity: BALASORE ALLOYS LIMITED			
2	Scrip Code/Name of Scrip/Class of Security:-BSE-513142, CSE-10019059			
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)			
a.	If under 31(1)(b) then indicate the report for Quarter ending :30th September,2021			
b.	If under 31(1)(c) then indicate date of allotment/extinguishment			
Declaration: The Listed entity is required to submit the following declaration to the extent of submission of				
4	information:-			
	Particulars	Yes*	No*	
1	Whether the Listed Entity has issued any partly paid up shares?		No	
2	Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO	
3	Whether the Listed Entity has any shares against which depository receipts are issued?		No	
4	Whether the Listed Entity has any shares in locked-in?	Yes*		
5	Whether any shares held by promoters are pledge or otherwise encumbered?	Yes*		
<p>* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.</p>				

BALASORE ALLOYS LIMITED														
Table I - Summary Statement holding of specified securities														

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (As a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights					No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
								Class eg: X	Class eg: y	Total							
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)
(A)	Promoter & Promoter Group	20	57338640	0	0	57338640	61.4395	57338640	0	57338640	61.4395	0	16935896	29.5366	23667464	41.2766	57333980
(B)	Public	114581	35986771	0	0	35986771	38.5605	35986771	0	35986771	38.5605	0	0	0.0000	0	0.0000	27428022
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
	Total	114601	93325411	0	0	93325411	100.00	93325411	0	93325411	100.0000	0	16935896	18.1471	23667464	25.3601	84762002

BALASORE ALLOYS LIMITED														
Table II - Statement showing shareholding pattern of the Promoter and Promoter Group														

	Category & Name of shareholders	PAN	No. of shareholders	No. of fully paid up equity	No. of Partly paid-up	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding	Shareholding, as a % assuming full conversion of	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in	
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	Class X	Class y	Total	Total as a % of total voting	(X)	(XI)= (VII)+(X) As a % of (A+B+C2)	No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	(XIV)
1	Indian																		
(a)	Individuals / Hindu Undivided Family																		
	PRAMOD KUMAR MITTAL	AELPM0092F	1	5710	0	0	5710	0.0061	5710	0	5710	0.0061	0	0.0000	3380	59.1944	2330	40.8056	3380
	VINOD KUMAR MITTAL	AELPM0093E	1	2330	0	0	2330	0.0025	2330	0	2330	0.0025	0	0.0000	0	0.0000	2330	100.0000	0
	SANTOSH KUMAR RATERIA	ADAPR2447K	1	560	0	0	560	0.0006	560	0	560	0.0006	0	0.0000	0	0.0000	0	0.0000	560
	SAROJ RATERIA	ADKPR8202L	1	154300	0	0	154300	0.1653	154300	0	154300	0.1653	0	0.0000	0	0.0000	0	0.0000	154300
	ARCHANA MITTAL	AFDPM2144M	1	420	0	0	420	0.0005	420	0	420	0.0005	0	0.0000	0	0.0000	0	0.0000	420
	Central Government / State Governments etc.		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Bodies Corporate																		
	GOLDLINE TRACOM PVT LTD	AAACG9896R	1	12402346	0	0	12402346	13.2894	12402346	0	12402346	13.2894	0	0.0000	102216	8.242	12300130	99.1758	12402346
	NAVODAY HIGHRISE PRIVATE LIMITED	AADCG3130R	1	6942674	0	0	6942674	7.4392	6942674	0	6942674	7.4392	0	0.0000	0	0.0000	6942674	100.0000	6942674
	NAVODAY NIKETAN PRIVATE LIMITED	AACCG1033D	1	4100000	0	0	4100000	4.3932	4100000	0	4100000	4.3932	0	0.0000	0	0.0000	2120000	51.7073	4100000
	NAVODAY CONSULTANTS LIMITED	AABCM9988R	1	3300000	0	0	3300000	3.5360	3300000	0	3300000	3.5360	0	0.0000	0	0.0000	0	0.0000	3300000
	DANKUNI INVESTMENTS LTD	AABCD1603J	1	3300000	0	0	3300000	3.5360	3300000	0	3300000	3.5360	0	0.0000	0	0.0000	0	0.0000	3300000
	NAVADISHA REAL ESTATE PRIVATE LIMITED	AABCK1376P	1	1801880	0	0	1801880	1.9307	1801880	0	1801880	1.9307	0	0.0000	1880	0.1043	1800000	99.8957	1801880
	NAVODAY EXIM PRIVATE LIMITED	AAACG5254R	1	500000	0	0	500000	0.5358	500000	0	500000	0.5358	0	0.0000	0	0.0000	500000	100.0000	500000
	JALTARANG VANUJYA PRIVATE LIMITED	AACCG3512F	1	8000000	0	0	8000000	8.5722	8000000	0	8000000	8.5722	0	0.0000	0	0.0000	0	0.0000	8000000
	TRUST		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (A)(1)		13	40510220	0	0	40510220	43.4075	40510220	0	40510220	43.4075	0	0.0000	107476	0.2653	23667464	58.4234	40505560
2	Foreign																		
(a)	Individuals (Non-Resident Individuals / Foreign Individuals)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(b)	Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d)	Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(f)	Any Other (Specify)																		
	INDETHAL HOLDING LIMITED	AABCG1466J	1	1304100	0	0	1304100	1.3974	1304100	0	1304100	1.3974	0	0.0000	1304100	100.0000	0	0.0000	1304100
	ISPAT STEEL HOLDINGS LTD	AABCG1467K	1	689400	0	0	689400	0.7387	689400	0	689400	0.7387	0	0.0000	689400	100.0000	0	0.0000	689400
	SECUREX HOLDING LIMITED	AABCG5399B	1	365900	0	0	365900	0.3921	365900	0	365900	0.3921	0	0.0000	365900	100.0000	0	0.0000	365900
	GLOBAL STEEL HOLDING LIMITED	AACCG7800K	1	34020	0	0	34020	0.0365	34020	0	34020	0.0365	0	0.0000	34020	100.0000	0	0.0000	34020
	PRASAN GLOBAL VENTURES SINGAPORE PTE. LTD	AAICP6658E	1	4895017	0	0	4895017	5.2451	4895017	0	4895017	5.2451	0	0.0000	4895017	100.0000	0	0.0000	4895017
	DIRECT TRADING & INVESTMENTS SINGAPORE PTE. LTD	AAFCO7511F	1	2900000	0	0	2900000	3.1074	2900000	0	2900000	3.1074	0	0.0000	2900000	100.0000	0	0.0000	2900000
	GLOBAL STEEL HOLDINGS ASIA PTE. LTD	AAGCG4286K	1	6639983	0	0	6639983	7.1149	6639983	0	6639983	7.1149	0	0.0000	6639983	100.0000	0	0.0000	6639983
	Sub Total (A)(2)		7	16828420	0	0	16828420	18.0320	16828420	0	16828420	18.0320	0	0.0000	16828420	100.0000	0	0.0000	16828420
	Total Shareholding Of Promoter And Promoter Group (A)= (A)(1)+(A)(2)		20	57338640	0	0	57338640	61.4395	57338640	0	57338640	61.4395	0	0.0000	16935896	18.1471	23667464	41.2766	57333980

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s)

(2) The term 'Encumbrance' has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.

(3) The Directorate of Enforcement (ED) vide its letter F. No. ECI/03/D2-1/2017/dated 30th October, 2017 has directed the Depository Participant of the Promoter Companies and MCS Share Transfer Agent Ltd., the Registrar and Share Transfer Agent of the Company not to allow disposal of any equity Shares of the Company held by the Promoter Companies. Accordingly, the entire Shareholding of the Promoter Companies in the Company is subject to Locked-in-However, the Hon'ble Supreme Court of India vide its Order dated 12.03.2019, has quashed the proceedings initiated by ED in all respect. Accordingly, the respective Promoter Companies are taking necessary steps to unlock the said shares.

(4) Corporate Action for Credit Confirmation of 44,35,000 shares are still pending.

"out of the entire shareholding of the Promoter 4660 equity shares (0.005% of the total share capital of the Company) are not held in dematerialized form as required under Regulation 31(2) of Listing Regulations, 2015." 4660 equity shares of the Promoters are lying as collateral securities with Bank. The same shall be dematerialized in due course in consultation with the Bank.

BALASORE ALLOYS LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

	Category & Name of shareholders	PAN	No. of shareholders	No. of fully paid up equity	Partly paid-up equity	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding	Total Shareholding as a % assuming full conversion of	Number of Locked in shares		Number of Shares pledged or No./N.A. (a)		Number of equity shares held in	
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	No of Voting Rights Class X	Class Y	Total	Total as a % of total voting	(X)	(XI)	(XII) As a % of total Shares held(b)	(XIII) As a % of total Shares	(XIV)		
1	Institutions																		
(a)	Mutual Fund		4	13600	0	0	13600	0.0146	13600	0	13600	0.0146	0	0.0000	0	0.0000	0	0.0000	100
(b)	Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c)	Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Foreign Portfolio Investor		1	1697013	0	0	1697013	1.8184	1697013	0	1697013	1.8184	0	0.0000	0	0.0000	0	0.0000	1697013
	INDIA FOCUS CARDINAL FUND	AABC9518D		1697013	0	0	1697013	1.8184	1697013	0	1697013	1.8184	0	0.0000	0	0.0000	0	0.0000	1697013
(g)	Financial Institutions / Banks		26	590983	0	0	590983	0.6332	590983	0	590983	0.6332	0	0.0000	0	0.0000	0	0.0000	3061
	Insurance Companies							0.0000				0.0000							
	Provident Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(i)	Any Other (Specify)							0.0000				0.0000							0
	Sub Total (B)(1)		31	2301596	0	0	2301596	2.4662	2301596	0	2301596	2.4662	0	0	0	0	0	1700174	
	Central Government/ State Government(s)/ President of India			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
2	Central Government / State Government(s)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Sub Total (B)(2)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
3	Non-Institutions																		0
(a)	Individuals																		
	i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		112910	23404899	0	0	23404899	25.0788	23404899	0	23404899	25.0788	0	0.0000	0	0.0000	0	0.0000	16058383
	ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.																		
	SANGEETHA S	AJPP53739F	1	1511450	0	0	1511450	1.6195	1511450	0	1511450	1.6195	0	0.0000	0	0.0000	0	0.0000	1511450
	OTHERS		49	4091282	0	0	4091282	4.3839	4091282	0	4091282	4.3839	0	0.0000	0	0.0000	0	0.0000	4091282
(c)	NBFCs registered with RBI		1	210	0	0	210	0.0002	210	0	210	0.0002	0	0.0000	0	0.0000	0	0.0000	210
(d)	Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e)	Any Other (Specify)(TRUST)		2	226	0	0	226	0.0002	226	0	226	0.0002	0	0.0000	0	0.0000	0	0.0000	226
	INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY MINISTRY OF CORPORATE AFFAIRS		1	741653	0	0	741653	0.7947	741653	0	741653	0.7947	0	0.0000	0	0.0000	0	0.0000	741653
	Non Resident Indians		1181	789192	0	0	789192	0.8456	789192	0	789192	0.8456	0	0.0000	0	0.0000	0	0.0000	546588
	Foreign Companies		3	326250	0	0	326250	0.3496	326250	0	326250	0.3496	0	0.0000	0	0.0000	0	0.0000	0
	Bodies Corporate		402	2820013	0	0	2820013	3.0217	2820013	0	2820013	3.0217	0	0.0000	0	0.0000	0	0.0000	2778056
	Sub Total (B)(3)		114550	33685175	0	0	33685175	36.0943	33685175	0	33685175	36.0943	0	0.0000	0.0000	0.0000	0.0000	0.0000	25727848
	Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		114581	35986771	0	0	35986771	38.5605	35986771	0	35986771	38.5605	0	0	0	0	0	0	27428022

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons: Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(4) 741653 Equity Shares have been transferred to IEPF Authority, Pursuant to Section 124(e) of Companies Act, 2013

BALASORE ALLOYS LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

	Category & Name of shareholders	PAN	No. of shareholders	No. of fully paid up equity	Partly paid-up equity	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding % calculated as per SCRR, (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding	Shareholding, as a % assuming full conversion of	Number of Locked in shares		Number of Shares pledged or No.(N.A)		Number of equity shares held in	
	(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)		No of Voting Rights Class X	Class y	Total	Total as a % of total voting	(X)	(XI)	(XII)	(XIII)	(XIV)		
1	Custodian/DR Holder																		
2	Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0	
	Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C12)			0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0.0000	0	
	Grand Total (A+B+C)		114601	93325411	0	0	93325411	100.0000	93325411	0	93325411	100.0000	0	100.0000	16935896	18.1471	23667464	25.3601	84762002

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

BALASORE ALLOYS LIMITED

Annexure

Table V - Statement showing details of significant beneficial owners (SBOs)

Sr. No.	Details of the SBO (I)			Details of the registered owner (II)			Details of holding/exercises of right of the SBO in the reporting company, whether direct or indirect*, (III)	Date of creation / acquisition significant
	Name	PAN/Passport No. in case of a foreign national	Nationality	Name	PAN/Passport No. in case of a foreign national	Nationality		
							Whether by virtue of:	
							Shares	%
							Voting Rights	%
							Right on distributable dividend or any other distribution	%
							Exercise of control	
							Exercise of significant influence	

*Incase the nature of the holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column 18,multiple rows for the same SBO shall be inserted accordingly for each of the categories.

* This column shall have the details as specified by the listed entity under Form No BEN-2 as submitted to the Registrar.