

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: BALASORE ALLOYS LIMITED
2	Scrip Code/Name of Scrip/Class of Security:-BSE-513142, CSE-10019059
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
a.	If under 31(1)(b) then indicate the report for Quarter ending :30th June,2019
b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?	Yes*	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes*	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.


S. B. S. Rathod

BALASORE ALLOYS LIMITED

Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights	Class eg: X	Class eg: Y	Total		No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi) = (vii)+(x)	(xii)	(xiii)	(xiv)	(xv)	(xvi)
(A)	Promoter & Promoter Group	20	57338640	0	0	57338640	61.4395	0	57338640	0	57338640	61.4395	24935896	43.4888	25647464	44.7298	57333980
(B)	Public	120056	35986771	0	0	35986771	38.5605	0	35986771	0	35986771	38.5605	0	0.0000	0	0.0000	27222268
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0.0000	0	0.0000	0
	Total	120076	93325411	0	0	93325411	100.00	0	93325411	0	93325411	100.0000	24935896	26.7193	25647464	27.4818	84556248

Sudhansu Kumar Choudhary

MCS KOLKATA SHARE TRANSFER

BALASORE ALLOYS LIMITED

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	No. of Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII) = (IV) + (V) + (VI)	Shareholding % as per SCRR, 1957 as % of (A+B+C2)	Number of Voting Rights held in each class of securities			Total as a % of total voting Rights		No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding as a % of total shares held (XIV) = (VII) + (X) + (XI) + (XII) + (XIII)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialized form
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii)	(viii)	Class X	Class Y	Total	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)
1 Indian																	
Individuals / Hindu Undivided Family																	
(a)																	
PRAMOD KUMAR MITTAL	AELPM0092E	1	5710	0	0	5710	0.0051	5710	0	5710	0.0051	0	0.0000	59.1944	2330	40.8056	3380
VINOD KUMAR MITTAL	AELPM0093E	1	2330	0	0	2330	0.0023	2330	0	2330	0.0023	0	0.0000	0	2330	100.0000	0
SANTOSH KUMAR BATERIA	ADAPR2447K	1	560	0	0	560	0.0008	560	0	560	0.0008	0	0.0000	0	0	0.0000	560
SAROJ BATERIA	ADNPR8202L	1	154300	0	0	154300	0.1633	154300	0	154300	0.1633	0	0.0000	0	0	0.0000	154300
ARCHANA MITTAL	ATDPM2144M	1	420	0	0	420	0.0005	420	0	420	0.0005	0	0.0000	0	0	0.0000	420
Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0.0000	0
Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0.0000	0
Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0.0000	0
Bodies Corporate																	
GODLINE TRACOM PVT LTD	AAACG8896R	1	12402346	0	0	12402346	13.2694	12402346	0	12402346	13.2694	0	0.0000	102216	12300130	99.1758	12402346
NAVODAY HIGHRISE PRIVATE LIMITED	AADCG1190R	1	6942674	0	0	6942674	7.4392	6942674	0	6942674	7.4392	0	0.0000	0	6942674	100.0000	6942674
NAVODAY NIKETAN PRIVATE LIMITED	AACJ1033D	1	4100000	0	0	4100000	4.3932	4100000	0	4100000	4.3932	0	0.0000	0	4100000	100.0000	4100000
NAVODAY CONSULTANTS LIMITED	AABCM0948R	1	3300000	0	0	3300000	3.5360	3300000	0	3300000	3.5360	0	0.0000	0	0	0.0000	3300000
DANKUNI INVESTMENTS LTD	AABCD1603I	1	3300000	0	0	3300000	3.5360	3300000	0	3300000	3.5360	0	0.0000	0	0	0.0000	3300000
NAVODHA REAL ESTATE PRIVATE LIMITED	AABCK1379P	1	1801880	0	0	1801880	1.9307	1801880	0	1801880	1.9307	0	0.0000	1880	1800000	99.8957	1801880
NAVODAY EXIM PRIVATE LIMITED	AAACCS754R	1	500000	0	0	500000	0.5358	500000	0	500000	0.5358	0	0.0000	0	500000	100.0000	500000
JALABANG VANUVA PRIVATE LIMITED	AALCB3312F	1	8000000	0	0	8000000	8.5722	8000000	0	8000000	8.5722	0	0.0000	8000000	100.00	0	8000000
TRUST		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0.0000	0
Sub Total (A1)		13	40510720	0	0	40510720	43.4075	40510720	0	40510720	43.4075	0	0.0000	8107776	250114	23547464	40505560
2 Foreign																	
Individuals (Non-Resident)																	
(a)																	
Individuals / Foreign Individuals																	
(b)																	
Government		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0.0000	0
Institutions		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0.0000	0
Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0.0000	0
Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0	0.0000	0
(c)																	
NORTHAL HOLDING LIMITED	AALCB0464H	1	1304100	0	0	1304100	1.3974	1304100	0	1304100	1.3974	0	0.0000	1304100	100.0000	0	1304100
ISPAT STEEL HOLDINGS LTD	AALCB0467K	1	689400	0	0	689400	0.7387	689400	0	689400	0.7387	0	0.0000	689400	100.0000	0	689400
SECURE HOLDING LIMITED	AALCB0399B	1	365900	0	0	365900	0.3921	365900	0	365900	0.3921	0	0.0000	365900	100.0000	0	365900
GLOBAL STEEL HOLDING LIMITED	AALCB0780K	1	34020	0	0	34020	0.365	34020	0	34020	0.365	0	0.0000	34020	100.0000	0	34020
PRASANT GLOBAL VENTURES SINGAPORE PTE. LTD	AALCB0465E	1	4895017	0	0	4895017	5.2451	4895017	0	4895017	5.2451	0	0.0000	4895017	100.0000	0	4895017
DIRECT TRADING & INVESTMENTS SINGAPORE PTE. LTD	AALCB0711F	1	2900000	0	0	2900000	3.1074	2900000	0	2900000	3.1074	0	0.0000	2900000	100.0000	0	2900000
GLOBAL STEEL HOLDINGS ASIA PTE. LTD	AALCB0428K	1	6639983	0	0	6639983	7.1149	6639983	0	6639983	7.1149	0	0.0000	6639983	100.0000	0	6639983
Sum Total (A2)		7	18428420	0	0	18428420	18.0320	18428420	0	18428420	18.0320	0	0.0000	18428420	100.0000	0	18428420
Total Shareholding Of Promoter And Promoter Group (A1+A2)		20	57338640	0	0	57338640	61.4395	57338640	0	57338640	61.4395	0	0.0000	24935896	43.4488	25647464	44.7298

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- PAN would not be displayed on website of Stock Exchange(s)
- The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.
- The Director of Enforcement (ED) vide its letter F. No. C/P/05/02-1/2017 dated 30th October, 2017 has directed the Depository Participant of the Promoter Companies and MCS Share Transfer Agent Ltd., the Registrar and Share Transfer Agent of the Company not to allow disposal of any equity Shares of the Company held by the Promoter Companies. Accordingly, the entire shareholding of the Promoter Companies in the Company is subject to locked-in. However, the Hon'ble Supreme Court of India vide its Order dated 12.03.2018 has struck the proceedings initiated by ED in all respect. Accordingly, the respective Promoter Companies are taking necessary steps to unlock the said shares.
- Corporate Action for Credit Confirmation of 44,35,000 shares are still pending.
- Out of the entire shareholding of the Promoter 4660 equity shares (0.008% of the total share capital of the Company) are not held in dematerialized form as required under Regulation 31(2) of Listing Regulations, 2015. 4660 equity shares of the Promoters are lying as collateral securities with Bank. The same shall be dematerialized in due course in consultation with the Bank.

Sakant Singh

KOBI SECURITIES PRIVATE LIMITED

CS SHARE TRANSFER AGENT

BALASORE ALLOYS LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of Shareholders	PAN	No. of sharehold-ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	No. of shares underly-ing Depository Receipts	Total nos. shares held	Sharehold-ing % calculated as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Underlying Securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	Class X	Class Y	Total	(ix)	(x)	(xi)	(xii)	(xiii) (a)	(xiii) (b)	(xiv)
1 Institutions																	
(a) Mutual Fund		4	13600	0	0	13600	0.0146	13600	0	13600	0.0146	0	0	0	0	0	100
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	0
(e) Foreign Portfolio Investor		1	1697013	0	0	1697013	1.8184	1697013	0	1697013	1.8184	0	0	0	0	0	1697013
INDIA FOCUS CARDINAL FUND																	
(f) Financial Institutions / Banks	AABC9518D	26	590983	0	0	590983	0.6332	590983	0	590983	0.6332	0	0	0	0	0	1697013
Insurance Companies							0.0000				0.0000						3061
Provident Funds/ Pension Funds																	
(i) Any Other (Specify)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	0
Sub Total (B)(1)		31	2301596	0	0	2301596	2.4662	2301596	0	2301596	2.4662	0	0	0	0	0	1700174
Central Government/ State Government(s)/ President of India																	
(j) Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	0
Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	0
Non-Institutions																	
(a) Individuals																	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		118109	23608730	0	0	23608730	25.2972	23608730	0	23608730	25.2972	0	0	0	0	0	16105956
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.																	
(b) SUBRAMANIAN P	AMBP28931K	1	1532050	0	0	1532050	1.6416	1532050	0	1532050	1.6416	0	0	0	0	0	1532050
(c) OTHERS		38	3518683	0	0	3518683	3.7703	3518683	0	3518683	3.7703	0	0	0	0	0	3474933
(d) NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	0
(e) Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	0
(f) Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0	0	0
(g) Any Other (Specify)(TRUST)		3	982	0	0	982	0.0011	982	0	982	0.0011	0	0	0	0	0	982
INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY																	
(h) MINISTRY OF CORPORATE AFFAIRS		1	741653	0	0	741653	0.7947	741653	0	741653	0.7947	0	0	0	0	0	741653
(i) Non Resident Indians		1284	855354	0	0	855354	0.9165	855354	0	855354	0.9165	0	0	0	0	0	611480
(j) Foreign Companies		3	326250	0	0	326250	0.3496	326250	0	326250	0.3496	0	0	0	0	0	0
(k) Bodies Corporate		586	3101473	0	0	3101473	3.3233	3101473	0	3101473	3.3233	0	0	0	0	0	3055040
Sub Total (B)(3)		120025	33685175	0	0	33685175	36.0943	33685175	0	33685175	36.0943	0	0	0	0	0	25522094
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		120056	35986771	0	0	35986771	38.5605	35986771	0	35986771	38.5605	0	0	0	0	0	27222268

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):
 Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.
 Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons/institutions/Non institutions holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
- (4) 741653 Equity Shares have been transferred to IEPF Authority, Pursuant to Section 124(6) of Companies Act, 2013

S. S. Bhatnagar
 SHARE TRANSFER
 KOLKATA

BALASORE ALLOYS LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of shareholders	PAN	No. of sharehold-ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Sharehold- ing % calculated as per SCRR, 1957 as a % (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialise d form N.A.
								No of Voting Rights	Total	% of total voting Rights			No.	As a % of total Shares held	No.(N.A)	As a % of total Shares held N.A	
	(i)	(ii)	(iii)	(iv)	(v)	(vi) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)				(ix)	(xii)			(xiii)		(xiv)
1 Custodian/DR Holder																	
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
2 Total Non-Promoter- Non Public Shareholding (C) = (C1)+(C2)			0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
			0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0

Grand Total (A+B+C)		120076	93325411	0	0	93325411	100.0000	93325411	0	93325411	100.0000	0	100.0000	24935896	26.7193	25647464	27.4818	84556248
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(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

Satish Chandra

Stamp: KOLKATA SHARE TRANSFER

BALASORE ALLOYS LIMITED

Annexure

Table V - Statement showing details of significant beneficial owners (SBOs)

[illegible]

¹In case the nature of the holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column IB, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

* This column shall have the details as specified by the listed entity under Form No BEN-2 as submitted to the Registrar.

Sub: Ratna Charya