

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: BALASORE ALLOYS LIMITED
2	Scrip Code/Name of Scrip/Class of Security:-BSE-513142, CSE-10019059
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)
a.	If under 31(1)(b) then indicate the report for Quarter ending :31st March,2019
b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?	Yes*	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes*	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.

Subhas Bhattacharya



BALASORE ALLOYS LIMITED
Table 1 - Summary Statement holding of specified securities

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VII)=(IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII)As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)	(XIII)		(XIV)		
(A)	Promoter & Promoter Group	20	57338640	0	0	57338640	61.4395	57338640	0	57338640	61.4395	0	24935896	43.4888	25647464	44.7298	57333980	
(B)	Public	120851	35986771	0	0	35986771	38.5605	35986771	0	35986771	38.5605	0	0	0.0000	0	0.0000	27192307	
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	
	Total	120871	93325411	0	0	93325411	100.00	93325411	0	93325411	100.0000	0	24935896	26.7193	25647464	27.4818	84526287	

Sabhas Bhattacharya



BALASORE ALLOYS LIMITED

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholders	PAN	No. of sharehold-ers	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (VI) = (V) + (VI) + (VII) As a % of (A+B+C2)	Sharehold- ing % calculated as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)		Shareholding, as a % assuming conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) As a % of (A+B+C2)	(viii) As a % of (A+B+C2)	No. of Voting Rights	Total as a % of Total Voting Rights	(IX)	(X)	(XI) (A+B+C2)	(XII) (A+B+C2)	(XIII) (A+B+C2)	(XIV) (A+B+C2)	(XV) (A+B+C2)	(XVI) (A+B+C2)	(XVII) (A+B+C2)
1. Indian Individuals / Hindu Undivided Family																		
(a) PRANOD KUMAR MITTAL	AELPM0092E	1	5710	0	0	5710	0.0061	5710	0	5710	0	0.0000	0	3380	55,1544	2330	40,3056	3380
VINOD KUMAR MITTAL	AELPM0093E	1	2330	0	0	2330	0.0023	2330	0	2330	0	0.0000	0	0	0.0000	2330	100,0000	560
ADARSH KUMAR MITTAL	ADAPR2477K	1	560	0	0	560	0.0006	560	0	560	0	0.0000	0	0	0.0000	0	0.0000	560
SANTOSH KUMAR BATERIA	ADBPB2021L	1	154300	0	0	154300	0.1653	154300	0	154300	0	0.0000	0	0	0.0000	0	0.0000	154300
ABHIRAM MITTAL	AIDPH21448M	1	420	0	0	420	0.0005	420	0	420	0	0.0000	0	0	0.0000	0	0.0000	420
(b) Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
(c) Financial Institutions / Banks		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
(d) Any Other Entity(ies)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
2. Foreign																		
(a) GOLDMAN SACHS BANK NY LTD	AAACG0896R	1	12402346	0	0	12402346	13.2894	12402346	0	12402346	0	0.0000	0	102216	0.8242	12300130	99.1758	12402346
NAVODAY PRIVATE	AAACG0896R	1	6942674	0	0	6942674	7.4392	6942674	0	6942674	0	0.0000	0	0	0.0000	6942674	100,0000	6942674
NAVODAY PRIVATE	AAACG0896R	1	4100000	0	0	4100000	4.3932	4100000	0	4100000	0	0.0000	0	0	0.0000	4100000	100,0000	4100000
NAVODAY PRIVATE	AAACG0896R	1	3000000	0	0	3000000	3.3360	3000000	0	3000000	0	0.0000	0	0	0.0000	3000000	100,0000	3000000
NAVODAY PRIVATE	AAACG0896R	1	3000000	0	0	3000000	3.3360	3000000	0	3000000	0	0.0000	0	0	0.0000	3000000	100,0000	3000000
NAVODAY PRIVATE	AAACG0896R	1	1801860	0	0	1801860	1.9307	1801860	0	1801860	0	0.0000	0	1880	0.1043	1800000	99.8957	1801860
NAVODAY PRIVATE	AAACG0896R	1	500000	0	0	500000	0.5358	500000	0	500000	0	0.0000	0	0	0.0000	500000	100,0000	500000
NAVODAY PRIVATE	AAACG0896R	1	8000000	0	0	8000000	8.5722	8000000	0	8000000	0	0.0000	0	2000000	100.00	0	0.0000	8000000
NAVODAY PRIVATE	AAACG0896R	1	40510220	0	0	40510220	43.4075	40510220	0	40510220	0	0.0000	0	8107476	20.0134	25647464	63.3111	40510220
Sub Total (A+B)		23	40510220	0	0	40510220	43.4075	40510220	0	40510220	0	0.0000	0	8107476	20.0134	25647464	63.3111	40510220
2. Foreign																		
(a) Individuals (Non-Resident)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
(b) Government		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
(c) Institutions		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
(d) Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
(e) Any Other Entity(ies)		0	0	0	0	0	0.0000	0	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
(f) NAVODAY PRIVATE	AAACG0896R	1	1304100	0	0	1304100	1.3974	1304100	0	1304100	0	0.0000	0	1304100	100.0000	0	0.0000	1304100
NAVODAY PRIVATE	AAACG0896R	1	689400	0	0	689400	0.7387	689400	0	689400	0	0.0000	0	689400	100.0000	0	0.0000	689400
NAVODAY PRIVATE	AAACG0896R	1	365900	0	0	365900	0.3921	365900	0	365900	0	0.0000	0	365900	100.0000	0	0.0000	365900
NAVODAY PRIVATE	AAACG0896R	1	34020	0	0	34020	0.3645	34020	0	34020	0	0.0000	0	34020	100.0000	0	0.0000	34020
NAVODAY PRIVATE	AAACG0896R	1	4895017	0	0	4895017	5.2451	4895017	0	4895017	0	0.0000	0	4895017	100.0000	0	0.0000	4895017
NAVODAY PRIVATE	AAACG0896R	1	2900000	0	0	2900000	3.1074	2900000	0	2900000	0	0.0000	0	2900000	100.0000	0	0.0000	2900000
NAVODAY PRIVATE	AAACG0896R	1	6639983	0	0	6639983	7.1149	6639983	0	6639983	0	0.0000	0	6639983	100.0000	0	0.0000	6639983
NAVODAY PRIVATE	AAACG0896R	1	1628420	0	0	1628420	1.7240	1628420	0	1628420	0	0.0000	0	1628420	100.0000	0	0.0000	1628420
Sub Total (A+B)		7	1628420	0	0	1628420	1.7240	1628420	0	1628420	0	0.0000	0	1628420	100.0000	0	0.0000	1628420
Total Shareholding of Promoter and Promoter Group (A+B)		20	5738040	0	0	5738040	6.1395	5738040	0	5738040	0	0.0000	0	24915896	43.4888	25647464	44.7298	5738040

Details of Shares which remain unclaimed may be given here along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- [1] PAN would not be displayed on website of Stock Exchange(s)
- [2] The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.
- [3] The Director of Enforcement (ED) vide its letter F. No. EOI/03/02-1/2017 dated 30th October, 2017 has directed the Depository Participant of the Promoter Companies and MCS Share Transfer Agent Ltd., the Registrar and Share Transfer Agent of the Company not to allow disposal of any equity Shares of the Company held by the Promoter Companies. Accordingly, the entire Shareholding of the Promoter Companies in the Company is subject to Locked-in-However, the Non-Bank Supreme Court of India vide its Order dated 12.03.2012, has quashed the proceedings initiated by ED in all respect. Accordingly, the respective Promoter Companies are taking necessary steps to unlock the said shares.
- [4] Corporate Action for Credit Conversion of 44,55,000 shares are still pending.
- [5] Out of the entire Shareholding of the Promoters 44,55,000 equity shares (0.005% of the total share capital of the Company) are not held in dematerialized form as required under Regulation 3(12) of Listing Regulations, 2015. 4660 equity shares of the Promoters are lying as collateral securities with Bank. The same shall be dematerialized in due course in consultation with the Bank.

S. K. Das
Shakti Chandra


BALASORE ALLOYS LIMITED

Table III - Statement showing shareholding pattern of the Public shareholder

Category & Name of shareholders	PAN	(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	Shareholding % calculated as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked In shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
										No of Voting Rights			Total as a % of total voting Rights			No. (a)	As a % of total Shares held(b)	No.N.A. (a)	As a % of total Shares held(N.A.)	
										Class X	Class Y	Total								
1 Institutions																				(xiv)
(a) Mutual Fund		4	13600	0	0	0	0	13600	0.0146	13600	0	13600	0.0146	0	0.0000	0	0.0000	0	0.0000	100
(b) Venture Capital Funds		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(c) Alternate Investment Funds		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(e) Foreign Portfolio Investor		1	1697013	0	0	0	0	1697013	1.8184	1697013	0	1697013	1.8184	0	0.0000	0	0.0000	0	0.0000	0
INDIA FOCUS CARDINAL FUND	AABCG9518D		1697013	0	0	0	0	1697013	1.8184	1697013	0	1697013	1.8184	0	0.0000	0	0.0000	0	0.0000	1697013
Financial Institutions / Banks		26	590983	0	0	0	0	590983	0.6332	590983	0	590983	0.6332	0	0.0000	0	0.0000	0	0.0000	3061
Insurance Companies								0.0000					0.0000							
Provident Funds/ Pension Funds		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Any Other (Specify)								0.0000					0.0000							
Sub Total (b)(1)		31	2301596	0	0	0	0	2301596	2.4662	2301596	0	2301596	2.4662	0	0	0	0	0	0	1700174
2 Central Government / State Governments(s)/ President of India		0	0	0	0	0	0	0	0.0000 =	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(Central Government / State Government(s))		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Sub Total (b)(2)		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
3 Non-Institutions																				
Individuals																				
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.		118875	23648850	0	0	0	0	23648850	25.3402	23648850	0	23648850	25.3402	0	0.0000	0	0.0000	0	0.0000	16075489
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.																				
SUBRAMANIAN P	AMBPS831K																			
OTHERS		1	1532050	0	0	0	0	1532050	1.6416	1532050	0	1532050	1.6416	0	0.0000	0	0.0000	0	0.0000	1532050
(c) NBFCs registered with RBI		38	3495754	0	0	0	0	3495754	3.7458	3495754	0	3495754	3.7458	0	0.0000	0	0.0000	0	0.0000	3495754
(d) Employee Trusts		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Any Other (Specify)(TRUST)		3	982	0	0	0	0	982	0.0011	982	0	982	0.0011	0	0.0000	0	0.0000	0	0.0000	982
INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY																				
MINISTRY OF CORPORATE AFFAIRS		1	741653	0	0	0	0	741653	0.7947	741653	0	741653	0.7947	0	0.0000	0	0.0000	0	0.0000	741653
Non Resident Indians		1292	802532	0	0	0	0	802532	0.8599	802532	0	802532	0.8599	0	0.0000	0	0.0000	0	0.0000	555634
Foreign Companies		3	326250	0	0	0	0	326250	0.3496	326250	0	326250	0.3496	0	0.0000	0	0.0000	0	0.0000	0
Bodies Corporate		607	3137104	0	0	0	0	3137104	3.3615	3137104	0	3137104	3.3615	0	0.0000	0	0.0000	0	0.0000	3090571
Sub Total (b)(3)		120820	33685175	0	0	0	0	33685175	36.0943	33685175	0	33685175	36.0943	0	0.0000	0	0.0000	0.0000	0.0000	25492133
Total Public Shareholding (b)= (b)(1)+(b)(2)+(b)(3)		120851	35986771	0	0	0	0	35986771	38.5605	35986771	0	35986771	38.5605	0	0	0	0	0	0	27192307

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons/institutions/Non Institutions holding more than 1% of total number of shares.

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(4) 741653 Equity Shares have been transferred to IEPF Authority, Pursuant to Section 124(6) of Companies Act, 2013

Subrata Banerjee



Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Grand Total (A+B+C)	120871	93325411	0	93325411	100.0000	93325411	0	100.0000	24935896	26.7193	25647464	27.4818	84526287
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2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective col-

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

