

**Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015**

1	Name of Listed Entity: BALASORE ALLOYS LIMITED	
2	Scrip Code/Name of Scrip/Class of Security:-BSE-513142, CSE-10019059	
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)	
	a.	If under 31(1)(b) then indicate the report for Quarter ending :31st December,2018
	b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-	

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?	Yes*	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes*	

\* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



# **BALASORE ALLOYS LIMITED**

**Table I - Summary Statement holding of specified securities**

Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					No. (a)	As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: Y	Total								
(I)	(II)	(III)	(IV)	(V)	(VI)	(VII) = (IV)+(V)+(VI)	(VIII) As a % of (A+B+C2)	(IX)			(X)	(XI) = (VII)+(X) As a % of (A+B+C2)	(XII)		(XIII)		(XIV)	
(A)	Promoter & Promoter Group	20	57338640	0	0	57338640	61.4395	57338640	0	57338640	61.4395	0	61.4395	24935896	43.4888	25647464	44.7298	57333980
(B)	Public	121663	35986771	0	0	35986771	38.5605	35986771	0	35986771	38.5605	0	38.5605	0	0.0000	0	0.0000	27125016
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
	Total	121683	93325411	0	0	93325411	100.00	93325411	0	93325411	100.0000	0	100.0000	24935896	26.7193	25647464	27.4818	84458996

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# **BALASORE ALLOYS LIMITED**

**Table III - Statement showing shareholding pattern of the Public shareholder**

Category & Name of shareholders	PAN	No. of sharehold-ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underly-ing Depository Receipts	Total nos. shares held (v)+(vi)+(vii)	Sharehold-ing % calculated as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Total Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form
								No of Voting Rights	Class X	Class Y	Total		No. (a)	As a % of total Shares held(b)	No.N.A. (a)	As a % of total Shares held(b)	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (v)+(vi)+(vii)	(viii) = (viii)+(viii)	(ix)			(x)	(xi)	(xii)	(xiii)	(xiv)		
1 Institutions																	
(a) Mutual Fund		4	13600	0	0	13600	0.0146	0	13600	0	0.0146	0	0	0.0000	0	0.0000	100
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
(e) Foreign Portfolio Investor		1	1697013	0	0	1697013	1.8184	0	1697013	0	1.8184	0	0	0.0000	0	0.0000	0
INDIA FOCUS CARDINAL FUND	AABC09518D		1697013	0	0	1697013	1.8184	0	1697013	0	1.8184	0	0	0.0000	0	0.0000	1697013
Financial Institutions / Banks		27	591073	0	0	591073	0.6333	0	591073	0	0.6333	0	0	0.0000	0	0.0000	1697013
Insurance Companies							0.0000				0.0000			0.0000		0.0000	3151
Provident Funds / Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
Any Other (Specify)							0.0000				0.0000						
INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY																	
MINISTRY OF CORPORATE AFFAIRS		1	741653	0	0	741653	0.7947	0	741653	0	0.7947	0	0	0.0000	0	0.0000	0
Sub Total (B)(1)		33	3043339	0	0	3043339	3.2610	0	3043339	0	3.2610	0	0	0.0000	0	0.0000	741653
Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
3 Non-Institutions																	
(a) Individuals																	
i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.																	
ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		119623	23323784	0	0	23323784	24.9919	0	23323784	0	24.9919	0	0	0.0000	0	0.0000	15684832
Sub Total (B)(3)																	
Sub Total (B)(1)+(B)(2)+(B)(3)																	
OTHERS	AMBPS8931K	1	1453750	0	0	1453750	1.5577	0	1453750	0	1.5577	0	0	0.0000	0	0.0000	1453750
NBFCs registered with RBI		38	3460068	0	0	3460068	3.7075	0	3460068	0	3.7075	0	0	0.0000	0	0.0000	3460068
Employee Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
Overseas Depositories(holding DIs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0
Any Other (Specify)(TRUST)		3	982	0	0	982	0.0011	0	982	0	0.0011	0	0	0.0000	0	0.0000	982
Non Resident Indians		1376	858564	0	0	858564	0.9200	0	858564	0	0.9200	0	0	0.0000	0	0.0000	858564
Foreign Companies		3	376250	0	0	376250	0.3496	0	376250	0	0.3496	0	0	0.0000	0	0.0000	376250
Bodies Corporate		636	3520034	0	0	3520034	3.7718	0	3520034	0	3.7718	0	0	0.0000	0	0.0000	3520034
Sub Total (B)(3)		121630	32943432	0	0	32943432	35.2995	0	32943432	0	35.2995	0	0	0.0000	0	0.0000	32943432
Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		121663	35986771	0	0	35986771	38.5605	0	35986771	0	38.5605	0	0	0.0000	0	0.0000	35986771

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s).
- (2) The above format needs to be disclosed along with the name of following persons/institutions/Non Institutions holding more than 1% of total number of shares
- (3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.
- (4) 741653 Equity Shares have been transferred to IEPF Authority, Pursuant to Section 124(6) of Companies Act, 2013



**BALASORE ALLOYS LIMITED**

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as per SCRR, 1957 as a % (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying Outstanding convertible securities (including Warrants)	Shareholding, as a % assuming conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form N.A.
								No of Voting Rights		Total as a % of total voting Rights			No.	As a % of total Shares held	No. (N.A)	As a % of total Shares held N.A	
								Class X	Class y								
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi)	(xii)	(xiii)	(xiv)		
1 Custodian/DR Holder																	
Employee Benefit Trust (under SEBI (Share based Employee Benefit) Regulations, 2014)																	
2		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
Total Non-Promoter- Non Public Shareholding (C)= (C1)+(C2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0	0.0000	0	
Grand Total (A+B+C)		121683	93325411	0	0	0	93325411	100.0000	93325411	0	93325411	100.0000	24933896	26.7193	25647464	27.4818	84458996

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available

*Sabana Banerjee*