Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

| 1 Name of Listed Entity: BALASORE ALLOYS LIMITED  |
|---|
| 2 Scrip Code/Name of Scrip/Class of Security:-BSE-513142 CSE-1กการกรจ   |
| 3 Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg. 31(1)(c)  |
| a. If under 31(1)(b) then indicate the report for Quarter ending : 30th line 2016   |
| b. If under 31(1)(c) then indicate date of allotment/extingnichment   |
|   |
| 4 Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:- |

| Particulars  |  | **** | * 14 |
|--------------|--|------|------|
| 1 14/1 - 41  |  | ובא  | ON   |
| 1 Whether th | Whether the Listed Entity has issued any partly paid up shares?  |      | Ž    |
| 2 Whether th | Whether the Listed Entity has issued any Convertible Commission on Warman  |      | 2    |
|              | and the second of the second o | Yes* |      |
| 3 Whether th | 3 Whether the Listed Entity has any shares against which depository receipts are issued?   |      | SIA. |
| A MATERIAL   |  |      | 2    |
| 4 wnetner tr | 4 Whether the Listed Entity has any shares in locked-in?   | *30A |      |
| C 14/L-41-   |  | 531  |      |
| o wnerner ar | of whether any shares held by promoters are pledge or otherwise encumbered?  | *20/ | _    |
|              |  | 2    |      |

promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of \* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by holding of specified securities.



BALASORE ALLOYS LIMITED
Table I - Summary Statement holding of specified securities

| 1090 | Category of shareholder       | ımber            | No. of fully                     | No. of   | No. of                   | Total nos.    | Shareholding                                       | Number              | f Voting Big                | her hald in         |   |  |  |                       |                         |  |                    |                            |
|------|-------------------------------|------------------|----------------------------------|----------|--------------------------|---------------|--|---------------------|-----------------------------|---------------------|---|--|--|-----------------------|-------------------------|--|--------------------|----------------------------|
|      |                               | sharehold<br>ers | sharehold shares held paid-uners | <b>≥</b> | shares<br>underlyin<br>g | shares held   | as a % of total<br>no. of shares<br>(calculated as |                     | es es                       | a management        | ach class N   | No. of Shares<br>Underlying<br>Outstanding | of securities Underlying as a % shares Outstanding assuming full | Number of L<br>shares | ocked in                | Number of Shares<br>pledged or otherwise<br>encumbered | nares<br>herwise   | Number of<br>equity shares |
|      |                               |                  |                                  | shares   | Depositor<br>y Receipts  |               | per SCRR, 1957)                                    | No of Voting Rights | ng Rights                   | - 63                | Total as a convertible % of securities (A+B+C) (including | convertible<br>securities<br>(including    | conversion of<br>convertible<br>securities ( as a                | No. (a)               | As a % of No. (a) total | No. (a)  | As a % of<br>total | dematerialise<br>d form    |
|      |                               |                  |                                  |          |                          |               |  | Class eg:           | Class eg: Class eg: y Total |                     |   | Warrants)                                  | percentage of<br>diluted share<br>capital)                       |                       | Shares<br>held(b)       |  | Shares<br>held(b)  |                            |
| Ξ    | \$                            |                  |                                  | 1        |                          | the state of  |  |                     |                             |                     | 1   |  |  |                       |                         |  |                    |                            |
| :    |                               |                  | 2                                | ε        | Ē                        | (IV)+(V)+(VI) | (VIII)As a % of<br>(A+B+C2)                        |                     | (xi)                        | 0                   |   | 8  | (XI)= (VIII)+(X)<br>As a % of                                    | (IX)                  |                         |  |                    |                            |
|      | Promoter & Promoter Group     | 18               | 44535816                         |          | •                        |               |  |                     |                             |                     |   |  | (A+B+C2)   |                       | ,                       | (Alli)   |                    | (XIX)                      |
|      | Public                        | 125560           | 34354595                         | 0        |                          | 44535816      |  | 56.4528 44535816    |                             | 0 44535816          | 56.4528   | 0  | 56.4570  | 14500000              | L                       |  | L                  |                            |
|      | Non Promoter - Non Public     | 0                |                                  |          | 0                        | 34324292      | 43.5472  | 43.5472 34354595    | 0                           | 34354595            | 43.5472   | 0  | 43 5477  | 7490000               | "                       | 14604790   | 32.7900            | 44531156                   |
|      | Shares Underlying DRs         | c                |                                  |          |                          | 0             | 0.0000   | 0                   | 0                           | 0                   | 0.0000  | 0  | 2/16/64  |                       |                         | 0  | 0.0000             | 24312395                   |
|      |                               |                  |                                  |          | D                        | 0             | 0.0000   | 0                   | 0                           | 0                   | 0.0000  | 0  | 0.000  |                       |                         | 0  | 0.0000             |                            |
|      | Shares Held By Employee Trust | 0                | C                                | •        |                          |               | 000000000000000000000000000000000000000            |                     |                             |                     |   |  | 0.000  |                       | 0.0000                  | 0  | 0.0000             |                            |
|      | Total                         | 125578           | 78890411                         |          | 0 0                      | 0             | 0.0000   | 0                   | 0                           | 0                   | 0.0000  | 0  | 0.0000   |                       | 0                       |  |                    |                            |
|      |                               |                  |                                  |          | 5                        | 11406007      | 100.0000   | 100.0000 78890411   | 0 7                         | 0 78890411 100.0000 | 0000000   | C  | 00000  | 14500000              | 1                       | 0  | 0.0000             |                            |



BALASORE ALLOYS LIMITED
Table III - Statement showing shareholding pattern of the Public shareholder

|     | Siapporate   |  | shareh | sharehold paid up equity paid-up<br>ers shares held equity<br>shares | quity paid-up<br>Id equity<br>shares | up shares<br>Y underlyin<br>s & | shares held               | 2000             | ing % of se        | orienticola Number of Voting Rights held in each class ing % of securities calculated calculated | ng Rights he | ld in each cla                     |                         | Total Shareholding, as a % assuming full conversion of convertible securities (as a | Number of Locked in shares |            | Number of Shares<br>pledged or otherwise | Number of equity shares |
|-----|--|--|--------|--|--------------------------------------|---------------------------------|---------------------------|------------------|--------------------|--|--------------|------------------------------------|-------------------------|---|----------------------------|------------|--|-------------------------|
|     |  | -  |        |  | held                                 |                                 | h 2                       | SCRR,<br>1957 as |                    | No of Voting Rights  | hts          | Total as a<br>% of total<br>voting | s a la                  |   | No. (a)                    | 5          | no.N.A (a) As a % of total               | 1000                    |
|     |  |  |        |  | _                                    |                                 |                           | (A+B             | (A+B+C2) Class X   | s X Class  | y Total      | Rights                             | (including<br>Warrants) |   | Shares<br>held(b)          | . <u> </u> | Shares<br>heid(N.A(<br>b)                | NA(                     |
|     | (I) Institutions   | Ξ  | (E)    | (F)  | 3                                    | (3)                             | (M)+(N)+(M)<br>= (IM)+(M) | -                | % of % of (A+B+C2) |  | 8            | -                                  | 8                       | (x)   | (xi)                       | +          |  | +                       |
| П   | Mutual Fund  |  | -      |  | -                                    |                                 |                           |                  |                    | -  | -            | -                                  |                         |   |                            | _          | (my)                                     | (XIX)                   |
|     | Venture Capital Funds  |  | -      |  | 13600                                | 0                               | 0                         |                  |                    | 13600  | 0 13         | 13600 0.0177                       |                         |   |                            |            |  | -                       |
| 1   | Alternate Investment Funds                                     |  |        | 0 0  | 0                                    |                                 |                           |                  |                    | 0  |              | 1                                  | 00                      | 0.0000  | 0                          | 0.0000     | 0 0.0                                    | 0.0000                  |
|     | Foreign Venture Capital  |  |        |  | -                                    |                                 |                           | 0 000            | 0.0000             | 0  | 0            |                                    |                         |   | 0                          | 0.0000     | 0 0.0                                    | 0.0000                  |
|     | Foreign Portfolio Investor                                     |  |        | 0  | 0                                    | 0                               |                           | 0                | 00000              | -  |              |                                    |                         | 0,000   |                            | 0000       | 0 0.0                                    | 0.0000                  |
|     |  |  | +      |  | 1                                    |                                 |                           |                  |                    |  | 0            | 0.0000                             | 0                       | 0.0000  | 0                          | 0.0000     | 0.0                                      | 0.0000                  |
|     | Financial Institutions / Banks                                 | AABCI9518D   |        |  | 113                                  | 0                               |                           | 1697013          | 7 1511             | 1607043  |              |                                    |                         |   |                            | +          | 1  | 4                       |
|     | Insurance Companies  |  | 7      | 28 596073  | 13                                   | 0 0                             |                           |                  | 1                  | 596073   | 0 596073     | 73 0.7556                          | 0 0                     | 0.0000  |                            | 0.0000     | 0 0.0                                    | 0.0000                  |
|     | Provident Funds/ Pension Funds                                 |  |        |  | L                                    |                                 |                           | +                | +                  | +  | -            |                                    |                         | 0.0000  | 0 00                       | 0.0000     |  | 0.0000                  |
|     | Any Other (Specify)  |  |        | 0  | 0                                    | 0 0                             |                           | 0.0              | 0.0000             | 0  | -            |                                    |                         |   |                            | -          | <u> </u>                                 | -                       |
|     | Sub Total (8)(1)   |  | 22     | 3305565  | 20                                   |                                 |                           | Ш                | _                  |  | 1            | 0,0000                             | 0                       | 0.0000  | 0.0                        | 0.0000     | 0 0.0000                                 | 000                     |
|     | Government(s) President  |  |        |  | 0                                    | 0                               | 2306686                   |                  | 2.9239 2306686     | 989  | 0 2306686    | 86 2.9239                          | 0                       | 0   | 0                          | -          |  | Ш                       |
|     | 2 India  |  | _      | _  | -                                    |                                 |                           |                  |                    | _  |              |                                    |                         |   |                            | -          |  | 1705264                 |
|     | Central Government / State                                     |  |        |  |                                      | 0                               |                           | 0.0000           | 000                | 0  | 0            | 0 00000                            | 0                       | 00000   | _                          |            |  |                         |
|     | Sub Total (B)(2)   |  | 0      |  | 0                                    | 0                               |                           | 0.0000           | 000                | c  | _            |                                    |                         |   | 0.0                        | 0.0000     | 0 0.0000                                 | 8                       |
| 3   | Non-Institutions   |  | 0      |  | 0                                    | 0                               |                           | 0 0.0000         | 000                | 0  | 0            | 0.0000                             |                         | 0.0000  | 0 0.0000                   | 000        | 0 00000                                  | 00                      |
|     | Individuals  |  |        |  | 1                                    |                                 |                           |                  |                    | _  |              |                                    | 0                       | 0.0000  | 0 0.00                     | 0.0000     | 0 0.0000                                 | 00                      |
|     | i. Individual shareholders<br>holding nominal share capital up |  |        |  |                                      |                                 |                           | -                | 4                  |  | $\parallel$  |                                    |                         |   |                            |            |  | $\parallel$             |
|     | to Rs. 2 lakhs.  |  | 123516 | 19444700   |                                      | 0                               | 19444700                  | _                |                    | _  |              |                                    |                         |   |                            |            |  |                         |
|     | holding nominal share capital in excess of Rs. 2 lakhs.        |  |        |  |                                      |                                 |                           | 74.647           | 119444700          | 8  | 0 19444700   | 24.6477                            | 0                       | 0.0000  | 0 0.0000                   | 000        | 0 0,0000                                 | 10660306                |
|     |  | Alibeatage   |        |  |                                      |                                 |                           |                  |                    | _  |              |                                    |                         |   |                            |            |  |                         |
|     | A MEHROTRA   | AKBPM3652M   |        | 871270   | 0 0                                  |                                 | 871270                    |                  | L                  | 70   | 0 871270     | 1.1044                             | C                       | 0000  | _                          | -          | -  |                         |
| T   |  |  | 50     | 4327821  |                                      | 0                               | 4333031                   |                  |                    |  | _            |                                    | 0                       | 00000   | 0 0.0000                   | 8 8        | $\perp$                                  |                         |
| T   | Franchistered with RBI   |  | 0      |  |                                      |                                 | 27764                     |                  | 43278              |  | 0 4327821    | 5.4858                             |                         | 0.0000  |                            | 90 60      | 000000                                   |                         |
| 1   | STREET LINKS   |  | 0      | 0  |                                      |                                 |                           | 00000            |                    | 0 0  | 0            |                                    | 0                       | 0.0000  | 0 0.0000                   | 3 8        | 0000                                     | 4327821                 |
|     | Overseas Depositories(holding                                  |  |        |  |                                      |                                 |                           |                  |                    |  | 0            | 0.0000                             | 0                       | 0.0000  |                            | 8          | 0 0.0000                                 |                         |
| T   | Any Other (Specify)(TRUST)                                     |  | 0      | 0  |                                      |                                 |                           | 0.0000           |                    | _  |              |                                    |                         |   |                            |            |  |                         |
| Ī   | Non Resident Indians   |  | 9      | 1084   |                                      |                                 | 1084                      |                  | 108                |  | 1004         |                                    | 0                       | 0.0000  | 0 0.0000                   | 00         | 0 0000                                   | _                       |
| 7   | Foreign Companies  |  | 1234   | 236362   | 0 0                                  | 0                               | 589562                    |                  | SS                 |  | 58           | 0.7473                             | 0 0                     | 0.0000  |                            | 00         | 0 0.0000                                 | 1084                    |
| Ť   |  |  |        | 050000   | 2                                    | 1                               | 326350                    | 0.4137           | 7 326350           |  |              |                                    | 0                       | 00000   | $\perp$                    | 8          | 0 0.0000                                 | 31                      |
| 1   |  | AAACV4183G   | -      | 1000000  | 0                                    |                                 | 100001                    | 1                | _                  |  |              |                                    |                         | 0.0000  | 0 0,000                    | Q          | 000000                                   |                         |
| 1   | OTHERS BODY CORPORATES   |  | 694    | 4666122  | 0                                    |                                 | 1000000                   |                  | 2 1000000          | 0  | 1000000      | 1.2675                             | 0                       | 00000   |                            |            |  |                         |
| 1   | Total Dublic Short-Life 199                                    |  | 125527 | 32047909   | 0                                    | 0                               | 33047909                  | _                | 5.9146 4666122     |  |              |                                    | 0                       | 0.0000  | 0 0,000                    | 0 0        | 000000                                   |                         |
| - 2 | (8)(1)+(B)(2)+(B)(3)   |  |        |  |                                      |                                 | The state of              | -                | 3 3204790.         | 0  | 32047909     | 40.6233                            | 0                       | 0.0000  | 0.0000                     |            | 00000                                    |                         |
| 1   | 175550 347545  | The second secon | 125550 | 34354505   | •                                    | •                               |                           |                  |                    |  |              |                                    |                         |   | L                          |            |  | 75007131                |

Details of the shareholders acting as persons in Concert including their Shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

(1) PAM would not be displayed on website of Stock Exchangels.

(2) The above format needs to be disclosed along with the name of following persons/Institutions/Non Institutions holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.



BALASORE ALLOYS LIMITED
Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

| . iš                                     |  | T   | T                     | -  |  |
|--|--|---|-----------------------|--|--|
| demateriali<br>d form N.A.               |  | (XIV)   |                       |  |  |
| As a % of<br>total<br>Shares             | held N.A   |   |                       | 0.0000   |  |
|  |  | (iiix)  |                       | 0  | 0  |
| As a % of Intotal                        | plad   |   |                       | 0.000  |  |
|  |  | (xxi)   |                       | 0  | 0  |
|  | percentage of<br>diluted share<br>capital)   | (b)   |                       | 0.0000   | 0.000  |
| Outstanding<br>convertible<br>securities | reserva o  | 8   |                       | 0  | 0  |
| Total as a<br>% of total<br>voting       | Rights   |   |                       | 0.0000   |  |
|  | Total  | í í   |                       |  | 0  |
| ing Rights                               | Classy   |   |                       |  | •  |
| No of Vot                                | Class X  |   |                       | 0  | 0  |
| SCRR,<br>1957 as a                       | (A+B+C2)   | (VIII) As a<br>% of<br>(A+B+C2)   |                       | 0.0000   | 0.0000   |
|  |  | (IV) +(V)+(VI)<br>= (IV)+(VI)   |                       | 0  | 0  |
| receipts                                 |  | (vi)  |                       | 0  | 0  |
| held                                     |  | 3   |                       | 0  | 0  |
|  |  | (v)   |                       | 0  | 0  |
|  |  | 1   |                       | 0  | 0  |
|  |  | <b>E</b>  |                       |  |  |
|  |  | 60  | 1 Custodian/DR Holder | Employee Benefit Trust (under<br>SEBI (Share based Employee<br>2 Benefit) Regulations, 2014)   | Total Non-Promoter- Non Public Shareholding (C)= (C)(1)+(C)(2)   |
|  | SCRR, No of Voting Rights Total as a Convertible convertible total total spars yeting securities (as a Shares Shares | SCRR, SCRR, SCRR, SCRR, SCRR, SCR SCRR, SCR SCRR, SCR SCRR, SCR SCRR, SCR | A                     | Figure   F | Single   S |

| Grand Total (A+B+C)  | 125578          | 125578 78890411       | 0              | -            | 78890411      | 100 00 78890411    | 110000011 | 100.00 | 0 | 00000 | ******  | 100000  | 00000000 | 1000000 |          |
|--|-----------------|-----------------------|----------------|--------------|---------------|--------------------|-----------|--------|---|-------|---------|---------|----------|---------|----------|
| Notes  |                 |                       | -              | ,            |               | Transport Contract | 11000001  | 20.00  | 0 | 0.000 | THEODOO | 18.5066 | 14604790 | 18.5127 | 68843551 |
| and the same of th |                 |                       |                |              |               |                    |           |        |   |       |         |         |          |         |          |
| All Dan transfer and the distance of the state of the sta |                 |                       |                |              |               |                    |           |        |   |       |         |         |          |         |          |
| (1) PAIN WOULD NOT be displayed on website of Stock Exchange(s).   |                 |                       |                |              |               |                    |           |        |   |       |         |         |          |         |          |
| (3) The about format monda to disclose and the contract of   |                 |                       |                |              |               |                    |           |        |   |       |         |         |          |         |          |
| (2) The above format needs to disclose name of all holders holding more than 1% of total number of s   | e than 1% of to | otal number of shar   | es             |              |               |                    |           |        |   |       |         |         |          |         |          |
| (1) (1) (1) (1) (1) (1) (1) (1) (1) (1)  |                 |                       |                |              |               |                    |           |        |   |       |         |         |          |         |          |
| (3) W.F.L. the information pertaining to Depository Receipts, the same may be disclosed in the recognition col   | may be disclose | of in the recognition | columns to the | avtont info  | lieve anitema | abla               |           |        |   |       |         |         |          |         |          |
| in the state of th |                 | and a second          | COLUMN STORY   | CALCILL HILD | manon avail   | anie               |           |        |   |       |         |         |          |         |          |
|  |                 |                       |                |              |               |                    |           |        |   |       |         |         |          |         |          |

