

Shareholding Pattern under Regulation 31 of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015

1	Name of Listed Entity: BALASORE ALLOYS LIMITED
2	Scrip Code/Name of Scrip/Class of Security:-BSE-513142, CSE-10019059
3	Share Holding Pattern Filed under: Reg. 31(1)(a)/Reg. 31(1)(b)/Reg.31(1)(c)
a.	If under 31(1)(b) then indicate the report for Quarter ending :31st December,2019
b.	If under 31(1)(c) then indicate date of allotment/extinguishment
4	Declaration: The Listed entity is required to submit the following declaration to the extent of submission of information:-

Particulars	Yes*	No*
1 Whether the Listed Entity has issued any partly paid up shares?		No
2 Whether the Listed Entity has issued any Convertible Securities or Warrants?		NO
3 Whether the Listed Entity has any shares against which depository receipts are issued?		No
4 Whether the Listed Entity has any shares in locked-in?	Yes*	
5 Whether any shares held by promoters are pledge or otherwise encumbered?	Yes*	

* If the Listed Entity selects the option 'No' for the questions above, the columns for the partly paid up shares, Outstanding Convertible Securities/Warrants, depository receipts, locked-in shares, No of shares pledged or otherwise encumbered by promoters, as applicable, shall not be displayed at the time of dissemination on the Stock Exchange website. Also wherever there is 'No' declared by Listed Entity in above table the values will be considered as 'Zero' by default on submission of the format of holding of specified securities.



BALASORE ALLOYS LIMITED
Table I - Summary Statement holding of specified securities

Category	Category of shareholder	Number of sharehold ers	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities				No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialise d form
								No of Voting Rights		Total as a % of (A+B+C)	No. (a)			As a % of total Shares held(b)	No. (a)	As a % of total Shares held(b)		
								Class eg: X	Class eg: Y								Total	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)				(x)	(xi) = (vii)-(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)
(A)	Promoter & Promoter Group	20	57338640	0	0	57338640	61.4395	0	57338640	0	61.4395	0	16935896	29.5366	25647464	44.7298	57333980	
(B)	Public	118634	35986771	0	0	35986771	38.5605	0	35986771	0	38.5605	0	0	0.0000	0	0.0000	27405288	
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	
	Total	118654	93325411	0	0	93325411	100.00	93325411	0	93325411	100.0000	0	16935896	18.1471	25647464	27.4818	84739268	



BALASORE ALLOYS LIMITED

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Category	Category of shareholder	Number of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialised form	
								No of Voting Rights					Total as a % of (A+B+C)	No. (a)	As a % of total Shares held(b)	No. (a)		As a % of total Shares held(b)
								Class eg: X	Class eg: y	Total								
(f)	(i)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii)As a % of (A+B+C2)	(ix)			(x)	(xi)= (vii)+(x) As a % of (A+B+C2)	(xii)		(xiii)		(xiv)	
(A)	Promoter & Promoter Group	20	57338640	0	0	57338640	61.4395	57338640	0	57338640	61.4395	0	61.4395	16935896	29.5366	25647464	44.7298	57333980
(B)	Public	118634	35986771	0	0	35986771	38.5605	35986771	0	35986771	38.5605	0	38.5605	0	0.0000	0	0.0000	27405288
(C)	Non Promoter - Non Public	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0
(C1)	Shares Underlying DRs	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0
(C2)	Shares Held By Employee Trust	0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0	0.0000	0	0.0000	0
	Total	118654	93325411	0	0	93325411	100.00	93325411	0	93325411	100.0000	0	100.0000	16935896	18.1471	25647464	27.4818	84739768



BALASORE ALLOYS LIMITED

Table II - Statement showing Shareholding pattern of the Promoter and Promoter Group

Category & Name of shareholders	PAN	No. of shareholders	No. of fully paid up equity shares held	No. of Partly paid-up equity shares held	No. of Depository shares held	Shareholding % as per SCRR, 1957 as a % of (A+B+C2)	Total nos. shares held	No. of Shares Underlying convertible securities (including Warrants)	No. of Shares Underlying convertible securities (as a percentage of diluted share capital)	Number of Locked in shares	Number of Shares pledged or otherwise encumbered	Number of equity shares held in dematerialised form				
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) = (vii) as a % of (A+B+C2)	(ix)	(x)	(xi)	(xii)	(xiii)				
1. Indian Individuals / Hindu Undivided Family																
PRAKASH KUMAR MITTAL	AELPM0092F	1	5710	0	0	5710	5710	0	5710	0.0061	0.0000	3380	58.1544	2130	40.8556	3380
VINOD KUMAR MITTAL	AELPM0093E	1	2330	0	0	2330	2330	0	2330	0.0025	0.0000	0	0.0000	2330	100.0000	3380
SANTOSH KUMAR RATERIA	ADAPR0447K	1	560	0	0	560	560	0	560	0.0006	0.0000	0	0.0000	560	560	560
SARCO BATERIA	ADNPR0302L	1	154300	0	0	154300	154300	0	154300	0.1653	0.0000	0	0.0000	0	154300	154300
ARCHANA MITTAL	AFOPM0244AM	1	420	0	0	420	420	0	420	0.0005	0.0000	0	0.0000	0	420	420
Central Government / State Government(s)		0	0	0	0	0	0	0	0	0.0000	0.0000	0	0.0000	0	0.0000	0
Financial Institutions / Banks		0	0	0	0	0	0	0	0	0.0000	0.0000	0	0.0000	0	0.0000	0
Any Other (Specify)		0	0	0	0	0	0	0	0	0.0000	0.0000	0	0.0000	0	0.0000	0
Bodies Corporate		0	0	0	0	0	0	0	0	0.0000	0.0000	0	0.0000	0	0.0000	0
GOLDLINE TRACOM PVT. LTD	AAACG9896U	1	12402346	0	0	12402346	12402346	0	12402346	13.3894	0.0000	102216	0.8342	12300130	99.1758	12402346
NAVODAY HIGHRISE PRIVATE LIMITED	AADCG3190R	1	6942674	0	0	6942674	6942674	0	6942674	7.4892	0.0000	0	0.0000	6942674	100.0000	6942674
NAVODAY NIKETAN PRIVATE LIMITED	AACD1038D	1	4100000	0	0	4100000	4100000	0	4100000	4.3932	0.0000	0	0.0000	4100000	100.0000	4100000
NAVODAY CONSULTANTS LIMITED	AABCM9898R	1	3900000	0	0	3900000	3900000	0	3900000	3.5360	0.0000	0	0.0000	3900000	100.0000	3900000
DANUJ INVESTMENTS LTD	AABCD1603J	1	3300000	0	0	3300000	3300000	0	3300000	3.5360	0.0000	0	0.0000	3300000	100.0000	3300000
NAVODHA REAL ESTATE PRIVATE LIMITED	AABCK1376P	1	1801860	0	0	1801860	1801860	0	1801860	1.9307	0.0000	1880	0.1045	1800080	99.8957	1801860
NAVODAY EXIM PRIVATE LIMITED	AAACT524R	1	500000	0	0	500000	500000	0	500000	0.5358	0.0000	0	0.0000	500000	100.0000	500000
JALTA BANG VANUVA PRIVATE LIMITED	AACCS152F	1	8000000	0	0	8000000	8000000	0	8000000	8.5722	0.0000	0	0.0000	8000000	100.0000	8000000
TRUST		13	40510220	0	0	40510220	40510220	0	40510220	43.4075	0.0000	107476	0.2653	39435444	63.3111	40510220
2. Foreign																
Individuals (Non-Resident Individuals / Foreign Individuals)																
Government		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Institutions		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Foreign Portfolio Investor		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
Any Other (Specify)		0	0	0	0	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0	0.0000	0
INDENTRAL HOLDINGS LIMITED	AABCH466J	1	1304100	0	0	1304100	1304100	0	1304100	1.3974	0.0000	1304100	100.0000	0	0.0000	1304100
SPAT STEEL HOLDINGS LTD	AABCH467K	1	693400	0	0	693400	693400	0	693400	0.7387	0.0000	685400	100.0000	0	0.0000	693400
SECUREX HOLDINGS LIMITED	AABCS499B	1	365900	0	0	365900	365900	0	365900	0.3921	0.0000	365900	100.0000	0	0.0000	365900
GLOBAL STEEL HOLDING LIMITED	AACCG780K	1	34020	0	0	34020	34020	0	34020	0.0365	0.0000	34020	100.0000	0	0.0000	34020
PRASANT GLOBAL VENTURES SINGAPORE PTE. LTD	AACPK658E	1	485017	0	0	485017	485017	0	485017	5.2451	0.0000	485017	100.0000	0	0.0000	485017
DIRECT TRADING & INVESTMENTS SINGAPORE PTE. LTD.	AACPD751F	1	2900000	0	0	2900000	2900000	0	2900000	3.1074	0.0000	2900000	100.0000	0	0.0000	2900000
GLOBAL STEEL HOLDINGS ASIA PTE. LTD	AAGCG286K	1	663983	0	0	663983	663983	0	663983	7.1146	0.0000	663983	100.0000	0	0.0000	663983
Sub Total (A1)		7	16828420	0	0	16828420	16828420	0	16828420	18.0720	0.0000	16828420	100.0000	0	0.0000	16828420
Total Shareholding of Promoter and Promoter Group (A1)		20	5738640			5738640	61.4395	0	5738640	61.4395	0	16835896	18.1471	2564764	44.7264	16828420
Details of Shares which remain undivided may be given along with details such as number of Shareholders, outstanding shares held in demat/undivided suspense account, voting rights which are frozen etc.																

Note:

- (1) PAN would not be displayed on website of Stock Exchange(s)
- (2) The term "Encumbrance" has the same meaning as assigned under regulation 28(3) of SEBI (Substantial Acquisition of Shares and Takeovers) Regulations, 2011.
- (3) The Directorate of Enforcement (ED) vide its letter F. No. 16/09/2017 dated 30th October 2017 has directed the Depository Participant of the Promoter Companies and MCS Share Transfer Agent Ltd., the Registrar and Share Transfer Agent of the Company not to allow disposal of any equity shares of the Company held by the Promoter Companies. Accordingly, the entire Shareholding of the Promoter Companies in the Company is subject to Locked-in-However, the Hon'ble Supreme Court of India vide its Order dated 12.05.2023 has quashed the proceedings initiated by ED in all respect. Accordingly, the respective Promoter Companies are taking necessary steps to unlock the said shares.
- (4) Corporate Action for Credit Confirmation of 44,35,000 shares are still pending.
- (5) Out of the entire Shareholding of the Promoters 44,35,000 equity shares (10.05% of the total share capital of the Company) are not held in dematerialised form as required under Regulation 31(2) of Listing Regulations, 2015. 44,35,000 equity shares of the Promoters are lying as collateral securities with Bank. The same shall be dematerialized in due course in consultation with the Bank.



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Table III - Statement showing shareholding pattern of the public shareholder

Category & Name of shareholders	PAN	No. of sharehold-ers	No. of fully paid up equity shares held	Partly paid up equity shares held	No. of shares underlying Depository Receipts	Total nos. shares held	Sharehold- ing % as per SCRR, 1957 as a % of (A+B+C2)	Number of Voting Rights			No. of Shares Underlying Outstanding convertible securities (as a percentage of diluted share capital)		Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialise d form	
(i)	(ii)	(iii)	(iv)	(v)	(vi)	(vii) = (iv)+(v)+(vi)	(viii) As a % of (A+B+C2)	(ix)			(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)	(xvii)
								Total as a % of voting Rights	Class X	Class Y	Total		No. (a)	As a % of total Shares held(b)	No.NA (a)	As a % of Shares held(NA) b)		
1 Institutions																		
(a) Mutual Fund		4	13600	0	0	13600	0.0146	13600	0	13600	0.0146	0	0	0.0000	0	0.0000	0	100
(b) Venture Capital Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(c) Alternate Investment Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(d) Foreign Venture Capital Investors		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(e) Foreign Portfolio Investor		1	1697013	0	0	1697013	1.8184	1697013	0	1697013	1.8184	0	0	0.0000	0	0.0000	0	1697013
(f) INDIA FOCUS CARDINAL FUND	AABOC9518D		1697013	0	0	1697013	1.8184	1697013	0	1697013	1.8184	0	0	0.0000	0	0.0000	0	1697013
(g) Financial Institutions / Banks		27	625199	0	0	625199	0.6699	625199	0	625199	0.6699	0	0	0.0000	0	0.0000	0	37277
(h) Insurance Companies							0.0000				0.0000							
(i) President Funds/ Pension Funds		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(j) Any Other (Specify)							0.0000				0.0000							0
(k) Sub Total (B)(1)		32	2335812	0	0	2335812	2.5029	2335812	0	2335812	2.5029	0	0	0.0000	0	0.0000	0	1734390
2 Central Government/ State Government(s)/ President of India		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(a) Central Government / State Government(s)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(b) Sub Total (B)(2)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
3 Non-institutions																		
(a) Individuals																		
(i) Individual shareholders holding nominal share capital up to Rs. 2 lakhs.																		
(ii) Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.		116798	23961984	0	0	23961984	25.6757	23961984	0	23961984	25.6757	0	0	0.0000	0	0.0000	0	16597594
(b) SANGETHA S	AUPSI3735F	1	1532050	0	0	1532050	1.6416	1532050	0	1532050	1.6416	0	0	0.0000	0	0.0000	0	1532050
(c) OTHERS		41	3701941	0	0	3701941	3.9667	3701941	0	3701941	3.9667	0	0	0.0000	0	0.0000	0	3701941
(d) NBFCs registered with RBI		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(e) Employees Trusts		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(f) Overseas Depositories(holding DRs) (balancing figure)		0	0	0	0	0	0.0000	0	0	0	0.0000	0	0	0.0000	0	0.0000	0	0
(g) Any Other (Specify)(TRUST)		2	226	0	0	226	0.0002	226	0	226	0.0002	0	0	0.0000	0	0.0000	0	226
(h) INVESTOR EDUCATION AND PROTECTION FUND AUTHORITY																		
(i) MINISTRY OF CORPORATE AFFAIRS		1	741653	0	0	741653	0.7947	741653	0	741653	0.7947	0	0	0.0000	0	0.0000	0	741653
(j) Non Resident Indians		1273	845097	0	0	845097	0.9055	845097	0	845097	0.9055	0	0	0.0000	0	0.0000	0	845097
(k) Foreign Companies		3	376250	0	0	376250	0.3496	376250	0	376250	0.3496	0	0	0.0000	0	0.0000	0	376250
(l) Bodies Corporate		483	3541758	0	0	3541758	2.7235	3541758	0	3541758	2.7235	0	0	0.0000	0	0.0000	0	3541758
(m) Sub Total (B)(3)		118602	33650959	0	0	33650959	36.0577	33650959	0	33650959	36.0577	0	0	0.0000	0	0.0000	0	2495385
(n) Total Public Shareholding (B)= (B)(1)+(B)(2)+(B)(3)		118634	35986771	0	0	35986771	38.5605	35986771	0	35986771	38.5605	0	0	0.0000	0	0.0000	0	25670898

Details of the shareholders acting as persons in Concert including their shareholding (No. and %):

Details of Shares which remain unclaimed may be given hear along with details such as number of shareholders, outstanding shares held in demat/unclaimed suspense account, voting rights which are frozen etc.

Note:

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to be disclosed along with the name of following persons/institutions/Non institutions holding more than 1% of total number of shares

(3) Where the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available and the balance to be disclosed as held by custodian.

(4) 741653 Equity Shares have been transferred to IEPF Authority, Pursuant to Section 124(6) of Companies Act, 2013



BALASORE ALLOYS LIMITED

Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder

Category & Name of shareholders	PAN	No. of sharehold-ers	No. of fully paid up equity shares held	Partly paid-up equity shares held	Nos. of shares underlying Depository Receipts	Total nos. shares held	Sharehold-ing % as per SCRR, 1957 as a % (A+B+C2)	Number of Voting Rights held in each class of securities			No. of Shares Underlying convertible securities (including Warrants)	Shareholding, as a % assuming full conversion of convertible securities (as a percentage of diluted share capital)	Number of Locked in shares		Number of Shares pledged or otherwise encumbered		Number of equity shares held in dematerialise d form N.A.
								No of Voting Rights	Total as a % of total voting Rights	Total			No.	As a % of total Shares held	No.(N.A)	As a % of total Shares held N.A	
	(i)	(ii)	(iii)	(iv)	(v)	(vi) = (iv)+(v)+(vi)	(vii) As a % of (A+B+C2)		(ix)	(ix)	(x)	(xi)	(xii)	(xiii)	(xiv)	(xv)	(xvi)
1 Custodian/DR Holder																	
Employee Benefit Trust (under SEBI (Share based Employee 2 Benefit) Regulations, 2014)																	
Total Non-Promoter- Non Public Shareholding (C1= (C1)+(C2))																	
Grand Total (A+B+C)		118654	93325411	0	0	93325411	100.0000	93325411	0	93325411	100.0000	0	100.0000	16935896	25647464	27.4818	84739268

(1) PAN would not be displayed on website of Stock Exchange(s).

(2) The above format needs to disclose name of all holders holding more than 1% of total number of shares

(3) W.r.t. the information pertaining to Depository Receipts, the same may be disclosed in the respective columns to the extent information available



Annexure

Table V - Statement showing details of significant beneficial owners (SBOs)

*Incase the nature of the holding/exercise of the right of a SBO falls under multiple categories specified under (a) to (e) under Column IB, multiple rows for the same SBO shall be inserted accordingly for each of the categories.

* This column shall have the details as specified by the listed entity under Form No BEN-2 as submitted to the Registrar.

