

## Problem Set #4

- Rules of the game remain the same. Submissions must be single file in L<sup>A</sup>T<sub>E</sub>X format at the upload link set up in cse moodle page of the course.

1. (15 points) Argue whether the following functions qualify to be called as a *resource* according to Blum's resource axioms.

$$(a) \text{ (3 points) } \text{VALUE}(M, x) = \begin{cases} 0 & \text{if } M \text{ rejects } x, \\ 1 & \text{if } M \text{ accepts } x, \\ \text{undefined} & \text{if } M \text{ does not halt on } x \end{cases}$$

**Answer**

The above resource function satisfies Blum's first axiom i.e. it is defined only when  $M$  halts on  $x$ . However, given a  $M, x, k$ , it is not decidable to check if  $\text{VALUE}(M, x) = k$ . Hence it is not a resource.

Consider  $R = \{(M, x, k) : \text{VALUE}(M, x) = k\}$

Suppose  $R$  was decidable via a total TM  $N$ , then  $MP$  can be decided by giving  $(M, x, 1)$  as input to  $N$ . But,  $MP$  is not decidable and hence  $R$  is not decidable.

- (b) (5 points) A *turn* of tape head is defined as movement of tape head from  $L \rightarrow R$  or  $R \rightarrow L$ .

$$\text{TURN}(M, x) = \begin{cases} \text{No. of turns that tape head makes when } M \text{ runs on } x & \text{if } M \text{ halts on } x \\ \text{undefined} & \text{otherwise} \end{cases}$$

**Answer**

The above resource function satisfies Blum's first axiom i.e. it is defined only when  $M$  halts on  $x$ . The above resource also satisfies Blum's second axiom, i.e.  $R = \{(M, x, k) : \text{TURN}(M, x) = k\}$  is a decidable set.

Consider a total TM  $N$  corresponding to  $R$ . Let the description of this machine be as follows:

- Run  $M$  on  $x$ .
- If the machine makes more than  $k$  turns, reject and halt.
- Else, machine  $M$  will halt on  $x$  and hence if the number of turns made by the machine is  $k$ , accept. Else, reject.

**Claim**

Language accepted by a machine which makes finite number of turns is regular.

This is because, the crossing sequence at every index for this machine will be bounded by a fixed constant  $s$ , and from class we saw such machines accept only

regular languages.

From the claim above, the machine  $N$  will always halt and hence is total.

(c) (5 points)  $\text{COUNT}(M, x) = \begin{cases} \text{No. of times } M \text{ visits a state } q & \text{if } M \text{ halts on } x \\ \text{undefined} & \text{otherwise} \end{cases}$

**Answer**

The above resource function satisfies Blums first axiom i.e. it is defined only when  $M$  halts on  $x$ . However, given a  $M, x, k$ , it is not decidable to check if  $\text{COUNT}(M, x) = k$ . Hence it is not a resource.

Consider  $R = \{(M, x, k) : \text{COUNT}(M, x) = k\}$

Suppose  $R$  was decidable, then we can use it as a sub routine to decide the  $HP$  as follows :

Construct a machine  $N$  as follows:

- Simulate  $M$  on  $x$ .
- If  $M$  halts on  $x$ , then have a transition to a special accept state.

This gives the reduction from  $R$  to  $HP$ .

2. (5 points) Show that if  $\text{NTIME}(n) = \text{DTIME}(n)$  then  $P = NP$ . (Padding !!)

**Answer**

Let  $\text{NTIME}(n) = \text{DTIME}(n)$ .

Let  $L \in NP$  via a non-deterministic machine  $M$ .

Consider  $L_{pad} = \{x\#1^{|x|^c} : x \in L\}$

Consider a  $N$  which does the following on input  $y$ :

- Check if  $y = x\#1^{|x|^c}$ .
- Extract  $x$
- Run machine  $M$  on  $x$ , to check if  $x \in L$ . If yes, accept. Else, reject.

The running time of the above machine is linear in its input size. Therefore,  $L_{pad} \in \text{NTIME}(n)$ .

From assumption,  $L_{pad} \in \text{DTIME}(n)$ . Let the deterministic machine accepting this be  $N'$ .

Consider a machine  $M'$  which does the following:

- Construct  $y = x\#1^{|x|^c}$
- Check if  $y \in L_{pad}$ . If yes, accept. Else, reject.

$L(M') = L$ . The time taken by this deterministic machine is polynomial in its input length. Hence,  $L \in P$ .

3. (10 points) Space Hierarchy theorem implies the following: For any  $k > 0$ , There is a language in  $\text{DSpace}(n^{k+1})$  that is not in  $\text{DSpace}(n^k)$ . Use this and a padding argument to show that:  $P \neq \text{DSpace}(n)$ . (6pts)  
(Note that we do not know the containment in either direction.)  
You can do this in two steps:

(a) For every language  $L$  define,  $L_{pad} = \{x01^{|x|^2} : x \in L\}$ .  
Argue that  $L_{pad}$  is in  $P \implies L \in P$ .

(b) Show an  $L_{pad}$  which is in  $\text{DSpace}(n)$  but whose corresponding  $L$  is not in  $\text{DSpace}(n)$ .

4. (5 points) Show that if  $\text{SAT} \in \text{NP} \cap \text{coNP}$  then  $\text{NP} = \text{coNP}$ . (Definitions !)  
**Answer**  $\text{SAT}$  is  $\text{NP-Complete}$ . Hence, for every language  $L$  in  $\text{NP}$ , there is a polynomial time reduction to  $\text{SAT}$ . And,  $\text{SAT} \in \text{NP} \cap \text{coNP} \implies L \in \text{NP} \cap \text{coNP} \implies$  Every Language in  $\text{NP}$  belongs to  $\text{NP} \cap \text{coNP} \implies$  Every Language in  $\text{NP}$  belongs to  $\text{coNP}$  ... (1)

Consider a language  $L'$  in  $\text{coNP} \implies \overline{L'} \in \text{NP} \implies \overline{L'} \in \text{NP} \cap \text{coNP} \implies \overline{L'} \in \text{coNP} \implies L' \in \text{NP}$  .. (2)

From (1) and (2),  $\text{NP} = \text{coNP}$ .

5. (5 points) If  $L, L'$  are in  $\text{NP}$ , then show that  $L \cup L', L \cap L'$  are in  $\text{NP}$ . (Definitions !)  
6. (5 points) If  $L, L'$  are in  $\text{NP} \cap \text{coNP}$ , then show that  $L \oplus L'$  defined as

$$L \oplus L' = \{x : x \text{ is in one of } L \text{ or } L' \text{ but not both.}\}$$

is in  $\text{NP} \cap \text{coNP}$ . (Definitions !)

7. (15 points) Consider the following language:  $\text{PRIMES} = \{n \mid n \text{ is a prime}\}$  where the input  $n$  is in binary. Without using the known result that  $\text{PRIMES}$  is in  $P$ , solve the following:

(a) (5 points) Show that  $\text{PRIMES}$  is in  $\text{coNP}$ .

(b) (10 points) Here is Lucas test for primality (you dont need prove it) :  $n$  is prime if and only if there is an integer  $a \in \{2, \dots, n-1\}$  with  $a^{n-1} \equiv 1 \pmod n$ , and for every prime factor  $q$  of  $n-1$  :  $a^{\frac{n-1}{q}} \not\equiv 1 \pmod n$ . Use this test to show that  $\text{PRIMES}$  is in  $\text{NP}$ .

Hence conclude that  $\text{PRIMES}$  is in  $\text{NP} \cap \text{coNP}$ .

8. (10 points) Prove that reachability in undirected forests (a possibly disconnected acyclic undirected graph) can be solved in log-space. That is, given  $(T, s, t)$  where  $T$  is an undirected forest, it can be tested in log-space whether  $s$  is connected to  $t$  by a path.

9. (18 points) Let  $E = \bigcup_{c>0} \text{DTIME}(2^{cn})$  and  $NE = \bigcup_{c>0} \text{NTIME}(2^{cn})$ . A set  $A$  is called *sparse* if there is a polynomial  $p$ , such that  $|\{x \in A : |x| = n\}| \leq p(n)$ . A set  $A$  is called *tally set* if  $A \subseteq \{1\}^*$ . Prove that following are equivalent.
1. Restricted to tally sets  $\text{NP} = \text{P}$ . That is all tally sets in  $\text{NP}$  are in  $\text{P}$ .
  2. Restricted to sparse sets  $\text{NP} = \text{P}$ . That is all sparse sets in  $\text{NP}$  are in  $\text{P}$ .
  3.  $E = NE$

Hence conclude that  $E \neq NE \implies \text{P} \neq \text{NP}$ .

*Hint : Try for  $(b) \implies (a) \implies (c) \implies (b)$ . For the second implication : consider the language  $L_{\text{tally}} = \{1^{2^{|x|}} : x \in L\}$ . This will not work, but a slight modification of this language which includes some more information about  $x$  will work !.*

*For the third implication, consider the language*

$$L_{\text{order}} = \{(k, i, c) : \text{the } i^{\text{th}} \text{ bit of the } k^{\text{th}} \text{ string (in lex order) in } L \text{ is } c\}$$

10. (7 points) Imagine a world in which  $\text{P} = \text{NP}$ . Now show that there is a polynomial time algorithm which given a Boolean formula  $\phi$  produces a satisfying assignment for  $\phi$  if  $\phi$  is satisfiable. (Hint : Use queries to SAT).