Apropos Replacement : Development of a full text search tool for man pages

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Abstract

Manual pages are a key component of Unix like operating systems, they have played a significant role in the success of Unix over the years. Man pages are the most authentic source of reference for of a system administrator or a programmer. However, so far, there has been a lack of good search tool to make man pages more accessible.

Traditionally, *apropos(1)* has been there as a search interface but it was developed in the early days of Unix when computing resources were scarce and that is the primary reason for its simple design and limited search capabilities.

This paper discusses a new implementation of *apropos* done by Abhinav Upadhyay as part of Google Summer of Code 2011. The goal of this project was to replace the conventional apropos in NetBSD with a modern version which supports full text searches.

1 Introduction

The classical version of *apropos* has been implemented by simply indexing the keywords in the NAME section of the man pages in a plain text file (whatis.db) [2] and performing searches on it. The reason for this simple design was most probably lack of computing resources in the early days. The plain text file consisting of keywords hardly takes few hundreds of kilo bytes of disk space and performing search on a plain text file is quite easy.

This simplified design of *apropos* resulted in limited utility and usability, as the searches are limited to the keywords defined in the NAME section of the man pages. Only if the users know the exact keywords do they get the appropriate results; otherwise most of the times the searches are full of irrelevant results or possibly a dead end. In the modern computing world where hard problems like searching the World Wide Web have been solved [3] to a sufficient degree, it makes perfect sense to leverage the advancement in technology in order to solve the problem. This problem is made even simpler considering that the man pages consist of structured data.

In present times, the machines are powerful and capable of running the search algorithms efficiently. Disk space is also sufficiently cheap that any extra space incurred by indexing of additional metadata from man pages can be easily afforded. These are necessary prerequisites for building an effective search tool.

In this paper, the limitations of the traditional implementation of apropos are discussed along with brief details of how these were overcome as part of this project. Additionally, a comparison of other modern implementations of apropos has been done.

2 Limitations of Conventional *apropos*

In this section results of some sample query searches from the classical version of *apropos* are shown and their shortcomings are analyzed.

2.1 Lack of support for free form queries

As noted earlier the conventional *apropos* is limited to the keywords used in the NAME section of the man pages. This means that the users do not have a whole lot of choice for specifying keywords in their queries. Besides they cannot search for keywords which are not usually specified in the title of a man page, for example

a query like “EINVAL” would return no results.

```
$ apropos ``add new user''
add new user: nothing appropriate

$ apropos ``get process status''
get process status: nothing appropriate

$ apropos ``termcap database''
termcap database: nothing appropriate
```

Listing 1: No results for free form queries

2.2 Lack of basic language support

Another major limitation of the classical version of *apropos* is that it is not smart enough to provide basic natural language processing constructs, like stemming or spelling corrections. For example in the following listing it can be seen that while *apropos* returns the correct result for the query *“make directories”*, it fails when the keyword *“directory”* is used in place of *“directories”*, even though the two words are based on same root word.

```
$ apropos ``make directories''
mkdir (1) - make directories
$ apropos ``make directory''
make directory: nothing appropriate

$ apropos ``upgrading package''
pkg_add (1) - a utility for installing and
    upgrading software package
distributions

$ apropos ``upgrading packages''
upgrading packages: nothing appropriate
```

Listing 2: No support for stems or words with same root

Similarly, it is very common for users to misspell keywords in a query and *apropos* has no support for it either.

```
$ apropos ``copy strings''
stpcpy, stpncpy, strcpy, strncpy (3) - copy
strings
$ apropos ``coppy strings''
coppy strings: nothing appropriate
```

Listing 3: No spelling correction

2.3 Unintelligible Output

Because of the way *apropos* works, sometimes its output can be unintelligible and it can be very hard for the user to identify relevant results.

```
$ apropos power
PCI, pci_activate, pci_bus_devorder,
    pci_chipset_tag_create,
pci_chipset_tag_destroy, pci_conf_read,
    pci_conf_write,
pci_conf_print, pci_conf_capture,
    pci_conf_restore, pci_find_device,
pci_get_capability, pci_mapreg_type,
    pci_mapreg_map, pci_mapreg_info,
pci_intr_map, pci_intr_string, pci_intr_evcnt,
    pci_intr_establish,
pci_intr_disestablish, pci_get_powerstate,
    pci_set_powerstate,
pci_vpd_read, pci_vpd_write, pci_make_tag,
    pci_decompose_tag,
pci_findvendor, pci_devinfo, PCI_VENDOR,
    PCI_PRODUCT, PCI_REVISION (9)
- Peripheral Component Interconnect
PMF, pmf_device_register, pmf_device_register1,
     pmf_device_deregister,
pmf_device_suspend, pmf_device_resume,
    pmf_device_recursive_suspend,
pmf_device_recursive_resume,
    pmf_device_resume_subtree,
pmf_class_network_register,
    pmf_class_input_register,
pmf_event_inject, pmf_set_platform,
    pmf_get_platform (9) - power
management and inter-driver messaging framework
acpi (4) - Advanced Configuration and Power
    Interface
acpipmtr (4) - ACPI Power Meter
amdpm (4) - AMD768 Power Management Controller
    and AMD8111 System
Management Controller
...
.
.
.
```

Listing 4: Unintelligible output of *apropos(1)*

2.4 Other Problems

Apart from the search related problems there are a few issues related to the way man pages are handled in NetBSD. The different aliases of the man pages are stored on the file system in the from of hard (or symbolic) links and these have to be specified in the makefiles explicitly using the MLINKS mechanism. This approach works fine but it is a mess from maintenance point of view. It should be possible to fix this by utilizing the index already built and maintained by *apropos*, but yet again, the simplistic implementation of *apropos* does not leave any room for improvement.

3 Solution: A new apropos

This project proposes a very simple and straightforward solution to solve these problems and make man pages more usable. The idea is to exploit the inherent semantics and structure of the man pages. The *mdoc(7)* macros are semantically quite rich, those macros combined with the context of the surrounding section or context in

which they have been used, can be used as an indicator of the relevancy of the content. For example, the `.Nm` macro when used inside of the `NAME` section holds more significance than if used inside of any other section. Similarly the description of various *errno* values in the *intro(2)* man page has more important information than the mention of those *errno* values in the `RETURN VALUES` section of various man pages from section 3. In essence, it should be possible to parse and extract the structurally meaningful data out of man pages and index them in the form of an inverted index [4]. An inverted index is a specialized data structure for building search engines. The index combined with a ranking algorithm which exploits the structured nature of the data to give back more relevant results to the user, can be used to build a high quality search interface for manual pages. Such a search tool will solve many of the problems associated with the conventional *apropos(1)* as noted before and discussed as follows:

Free Form Queries
: The users can express their queries in more natural language form as the searches are no longer limited to the the NAME section. For example, queries like *"installing new software package"* may now produce relevant results.

Basic Natural Language Processing Support
: When parsing the man pages and building the index, it is also possible to pre-process the tokens extracted from the man pages to support some of the very basic natural language processing functionalities. In this implementation, the Porter stemming algorithm [5] has been used to reduce the individual tokens extracted from the man pages to their root words. This enables support for more flexible searches. For example both *"Installing new packages"* and *"install new package"* will return same results.

: Similarly along with the inverted index, a dictionary of the keywords frequently occurring in the corpus of man pages is also built and used to support spelling suggestion.

Bookkeeping of man page metadata
: In this implementation, additional metadata related to the man pages, for example an md5 hash, the device id, inode number and modification time of the man page files are indexed and stored. This allows for very fast and hassle free update of the index as new man pages are installed or the old ones are updated.

: Similarly a separate index of all the man page aliases is stored and maintained. This provides an option to get rid of all the hard or symbolic links of the man pages scattered throughout the filesystem, and also for clean up of the MLINKS mess in the makefiles.

3.1 Tools Used

The two main operations that are critical for this project are parsing of the man pages and building of an index of the data obtained from the parsing process. These are highly specific problems, and solving them from scratch would be a separate project in its own right, therefore this project tried to avoid reinventing the wheel and used existing tools and libraries which are battle tested and very successful. *libmandoc* [6] from *mdocml* [18] project has been used for parsing the man pages and *sqlite* [7] for indexing and storing the parsed data on the file system.

libmandoc
: *libmandoc* is a library interface to a validating compiler for man pages. It provides interface to parse and build an AST (Abstract Syntax Tree) of the man page. It also provides an interface for traversing that tree in order to extract the data from its nodes.

sqlite
: *sqlite* is an embedded relational database management system providing a relatively small and easy to use C library interface. One of the main reasons for choosing it over the myriad of other possible options is that it provides built in support for full text search through its FTS virtual table module [8]. The FTS module can be accessed using pretty much standard SQL syntax, and it is still flexible enough to accept user supplied ranking function to suit the needs of the application. Besides that, another advantage of *sqlite* is that, being an RDBMS, it makes it very easy to store additional metadata in the form of normal database tables without any hassles.

4 Implementation Details

Due to space constraints it is not possible to go into enough implementation details, the most important components of this project are described in brief in this section.

4.1 makemandb

makemandb(8) [9] is the key component of this implementation. It is a command line tool which traverses the filesystem, reads the raw man page source files, extracts

the interesting data from them using the *libmandoc* parser and then stores that data in an FTS table using *sqlite*.

Operation of makemandb

makemandb uses a two pass algorithm to index the man pages. In the first pass it obtains the list of directories containing man page files from *man.conf* and starts traversing those directories. It obtains the $\{dev\_t, ino\_t, mtime\}$ of all the files using *stat(2)*. A similar set of metadata is stored in the database (referring to the last successful indexing operation), *makemandb* takes a difference (the set difference operation) of the two sets of metadata to obtain the list of man page files on the file system which are either newly installed (new $\{dev\_t, ino\_t\}$ pairs) or they have been updated (changed *mtime*).

In the second pass *makemandb* generates md5 checksum of the files obtained from the previous stage and for each of these checksums, it checks in the db, whether this md5 checksum already exists in the db or not. If the md5 checksum is already present in the database, then this was just a false alarm and *makemandb* does not need to parse the file, it simply updates the metadata of that file in the database. In case the md5 checksum of a file does not already exist in the database, then that means the file is either a newly installed man page or an older copy of a man page was updated, *makemandb* feeds such man pages to the parser and extracts interesting data out of them, later on storing the data in the FTS table in the database.

Database Schema

During the initial stage of the project, the structure of the database was kept simple. The FTS table consisted of 3 columns: `name, name_desc, desc` The `name` and `name_desc` columns stored the name of the man page and the one line description from the `NAME` section, while the `desc` column stored the content from the rest of the sections. This approach worked quite well for a small set of man pages (for example the 4000 or so man pages in the base set of NetBSD). However, as the number of documents were increased (for example including man pages from pkgsrc), the quality of search started deteriorating. This was mainly because bulk of the parsed data was being stored in the same column in the database and the ranking algorithm had no way of identifying more relevant results apart from the number of matches in any page.

To rectify this, first there was an attempt to try out better ranking schemes (the various ranking schemes that were tried out during the development of the project are discussed later). Better ranking schemes required storing precomputed term weights [19] on the file system, this almost doubled the storage requirements, therefore such ranking schemes were discarded later on, and the database schema was decomposed further into more columns. The decomposition into more columns was done to represent the most commonly occurring sections in usual Unix man pages, for example `NAME, DESCRIPTION, LIBRARY, RETURN VALUES, EXIT STATUS, ERRORS` etc. Such a decomposition allowed for an elaborate ranking algorithm, which could assign different weights to each of these columns, based on their relevancy. The final schema of the database is shown in **Listing 5**

4.2 apropos

apropos was written from scratch to use the FTS index created by *makemandb*. Since, unlike the traditional *apropos*, this version of *apropos* is not limited to searching within only the `NAME` section, the resulting number of search results is usually quite high, therefore new *apropos* employs a sophisticated ranking algorithm to filter out the most relevant results and rank them up. To avoid cluttering the output and make the user interface clean, the new *apropos* displays only the top 10 results by default with options to display more results if required. During the development and testing of the project it has been observed that, for a reasonable query, the top 10 results are most often sufficient.

Another key aspect of any search application is to filter out or avoid stop-words . Words like *"the", "a", "an", "'this"* etc. come under the category of stop-words, words which are *very* commonly used in the language. Stop-words usually skew the search results because of their sheer frequency in the corpus. The usual practice in the information retrieval world is to filter such stop-words while building the index, however, in case of this project, it proved to be non-trivial within the given time constraints. Therefore it was decided that, rather than eliminating stop-words from the index, a reasonable trade off can be made by filtering out any stop-words from the user's search query and querying the database for only the keywords which do not come under the category of stop-words.

```
The Database has three tables at present:

(1) mandb:
    The main FTS table which contains all
    the content parsed from man pages

  COLUMN NAME       DESCRIPTION
  1. section        Section number
  2. name           The name of the page
  3. name_desc      Short description from
                    NAME section
  4. desc           Contains the content
                    from DESCRIPTION
                    section and any other
                    section which is not
                    stored in a separate
                    column.
  5. lib            The LIBRARY section
  6. return_vals    RETURN VALUES section
  7. env            ENVIRONMENT section
  8. files          FILES section
  9. exit_status    EXIT STATUS section
  10. diagnostics   DIAGNOSTICS section
  11. errors        ERRORS section
  12. md5_hash      An md5 hash of the
                    contents of the man
                    page (UNIQUE).
(2) mandb_meta:
    This table maintains essential
    metadata of all the indexed
    man page files.

  COLUMN NAME       DESCRIPTION
  1. device         (dev_t)Logical device
                    number from stat(2)
  2. inode          (ino_t)Inode number
                    from stat(2)
  3. mtime          Last modification time
                    from stat(2)
  4. file           Absolute path name
                    (UNIQUE constraint)
  5. md5_hash       MD5 Hash of the man
                    page
  6. id             A unique integer ID
                    referring to a page
                    in mandb (PRIMARY KEY)

(3) mandb_links:
    This is an index of all the hard/soft
    links of the man pages. The list of
    the linked pages is usually obtained
    from the multiple .Nm entries in the
    page.

  COLUMN NAME       DESCRIPTION
  1. link           The name of the hard/
                    soft link (UNIQUE index)
  2. target         Name of the target
                    page to which it
                    points
  3. section        The section number
  4. machine        The machine
                    architecture (if any)
                    for which the page is
                    relevant.
```

Listing 5: Database Schema

4.3 apropos-utils

apropos-utils [10] is a small library interface provided with this implementation. It provides functions for querying the FTS index and for processing the results in a user supplied callback function. Its main purpose is to develop different interfaces on top of it for different use cases. For instance a CGI front end has been built using it for doing the searches from a web browser, similarly an IRC bot was also developed utilizing this interface.

4.4 Ranking Algorithm

The ranking algorithm is the most interesting and most crucial component of any search application, it is the deciding factor of the usefulness of the application. This project took several stabs at coming up with a suitable ranking algorithm for *apropos*.

1.

Initially the database schema was quite simple, it consisted of only three columns `name`, `name_desc`, `desc` (as described previously). At this point, there weren't too many documents in the corpus, therefore nothing sophisticated was required. The basic intuition was that, a match found in the `NAME` section of a man page has more weight as compared to a match found in any other section. This ranking scheme worked well for a small set of man pages in the corpus.

2.

As more and more man pages were being added to the corpus for testing purposes, the quality of search results started to dilute. At this point of time, a completely new approach was adopted for ranking the results. In the literature related to information retrieval, *tf-idf* [19] weights based ranking models are very common. The *tf* in *tf-idf* stands for *Term Frequency* and *idf* stands for *Inverse Document Frequency*.

Term Frequency: Term frequency refers to the count of the number of times a given term `t` appears in a given document `d`

Inverse Document Frequency: The *idf* of a term `t` is the number of documents in which the term appears *at least once*.

Term frequency is a *local* factor, it is concerned only with the number of occurrences of the search terms in one particular document at a time. While inverse document frequency is a *global* factor, in the sense

that, it indicates the discriminating power of a term. If a term appears in only a selected set of documents, then that term separates those set of documents from the rest. Therefore, ranking obtained by combining these two factors brings up more relevant documents.

The weight of a term `t` in document `d` is calculated by the following formula:

$$weight = tf \times idf$$

Where *tf* = Term frequency of term `t` in document `d`

Inverse document frequency is calculated using the following formula:

$$idf = log(\frac{N}{N_t})$$

Where N = Total number of documents in the corpus
N_t = Number of documents in which term t occurs (at least once).

So for a term which appears in only one document it will have $idf = log(n)$, while a term which appears in all the documents, it will have $idf = log(1) = 0$

For example a term like *"the"* will have a high *term frequency* in any document, but at the same time it will have a lower *inverse document frequency* (almost close to 0), which will nullify its effect on the quality of search results.

A lot of research has been done on *tf-idf* weights based ranking algorithms and various techniques have been proposed over the years. According to a survey done by *Salton* and *Buckley* in 1988 [21], the following ranking scheme was found to be most effective:

$$\frac{tf \cdot log(\frac{N}{N_t})}{\sqrt{\sum (tf \cdot log(\frac{N}{N_t}))^2}}$$

The implementation of the above scheme proved to be *very* slow. It required too many computations and all of these were required to be done for each search result separately to compute its relevance weight. In an state of the art search application, the index would usually store precomputed *tf-idf* weights on the file system, in which case the overhead of computing weights of each individual search result would require very less work, leading to better performance. An attempt was made to compute the *tf-idf* weights while indexing the man pages and store them in a separate table. This lead to high quality search results but it also brought up a serious issue. Storing precomputed weights on the file system, more than doubled the storage requirements of the database index. As a concrete example, it took close to $90MB$ to index about 8000 documents. Without the precomputed weights, the database size requirement was close to $45MB$ for the same number of pages.

3.

Since the conventional *apropos* required only a few hundred kilobytes of disk space, the disk space requirements of new *apropos* seemed hyperbolic, therefore it was decided later on to look for alternative ranking schemes. At this point the database schema was decomposed into several columns representing some of the most common sections found in man pages (see **Listing 5**).

At this point a fast yet sophisticated ranking algorithm was adopted. *OKAPI BM25F* [15] is considered one of the most successful probabilistic models for information retrieval. *Okapi BM25F* is based on *tf-idf* scheme but it takes into account for the structure of the data. It allows for separate weights to be assigned for different parts of a structured document to come up with better relevance rankings. This scheme works very well for man pages because of their highly structured content. The algorithm is described in pseudo-code below. *apropos* calls this function for each of the document obtained as part of the result set for the query and computes its relevancy weight. The documents are ranked in decreasing order or the weights returned from this function.

In the following algorithm:

```
nhitcount =  Number of occurrences of
             phrase p in column c in
             current document

nglobalhitcount = Number of occurrences of
                  phrase p in column c in
                  all the documents.

ndocshitcount = Number of documents in
                which phrase p occurs
                in column c, at least
                once.
```

```
tf ← 0.0
idf ← 0.0
k ← 3.5        ▷ k is an experimentally determined
parameter
```

doclen ← length of the current document
ndoc ← Total number of documents in the corpus
for each phrase p in the user query **do**
  for each column c in the FTS table **do**
    $w \leftarrow$ weight for column c
    $idf \leftarrow idf + log(\frac{ndoc}{ndocshitcount}) \times w$
    $tf \leftarrow tf + \frac{(nhitcount \times w)}{(nglobalhitcount \times doclen)}$
  end for
end for
$score \leftarrow \frac{(tf \times idf)}{(k+tf)}$
return *score*

5 Results

This section shows results of some of the sample queries on new *apropos* to demonstrate how it performs as compared to the traditional version. [1]

```
$ apropos ''add new user''
ssh-add(1)      adds private key identities to
the authentication agent...on the command line.
If any file requires a passphrase, ssh-add asks
for the passphrase from the user. The
passphrase is read from the user's tty. ssh-add
retries the last passphrase if multiple
identity files are given...

chpass(1)       add or change user database
information
add or change user database information

useradd(8)      add a user to the system
The useradd utility adds a user to the system,
creating and populating a home directory if
necessary. Any skeleton files will be provided
for the new user if they exist in the skel-dir
directory (see the k option). Default...
.
.
.
```

Listing 6: Add new user

```
$ apropos ''make directory''
make(1) maintain program dependencies
...CURDIR A path to the directory where make
was executed. Refer to the description of PWD
for more details. MAKE The name that make was
executed with argv[0].
For compatibility make also sets .MAKE with the
same value. The...

mkdir(1)        make directories
make directories

ln(1)   make links
...a directory in which to place the link;
otherwise it is placed in the current directory
If only the directory is specified, the link
will be made to the last component of
source_file . Given more than two arguments,
ln makes...

mkfifo(1)       make fifos
make fifos...of a=rw mkfifo requires write
permission in the parent directory. mkfifo
exits 0 if successful, and >0 if an...

mkdir(2)        make a directory file
...will contain the directory has been
exhausted. EDQUOT The user's quota of
inodes on the file system on which the
directory is being created has been
exhausted. EIO An I/O error occurred
while making the directory entry or...
```

Listing 7: make directory

```
$ apropos ''signal number to string''
psignal(3)      system signal messages
...the signal number is not recognized
sigaction(2) , the string Unknown signal
is produced. The psiginfo function produces
the same output as the psignal function,
only it uses the signal number information
from the si argument. The message strings can
    ...

intro(2)        introduction to system calls
and error numbers
...undefined signal to a signal(3) or kill(2)
function). 23 ENFILE Too many open files in
system . Maximum number...shell. Pathname A
path name is a NUL -terminated character
string starting with an optional slash,
followed by zero or...

dump_lfs(8)     filesystem backup
...low estimates of the number of blocks to
write, the number of tapes it...a string
containing embedded formatting commands for
strftime(3). The total formatted string
is...in. If dump_lfs rdump_lfs receives a
SIGINFO signal (see the status argument of
stty...
```

Listing 8: signal number to string

In **Figure 1** the spell corrector can be seen in action along with the web interface that was developed using *apropos-utils*.

6 Related Work

There are at least two projects which are related to this in some way.

man-db

man-db [11] is a complete implementation of the man page documentation system and it is used on a number of GNU/Linux distributions. *man-db* takes an interesting approach for indexing the man page data. Unlike the classical *apropos*, it uses a Berkley DB database but still its index is limited to the

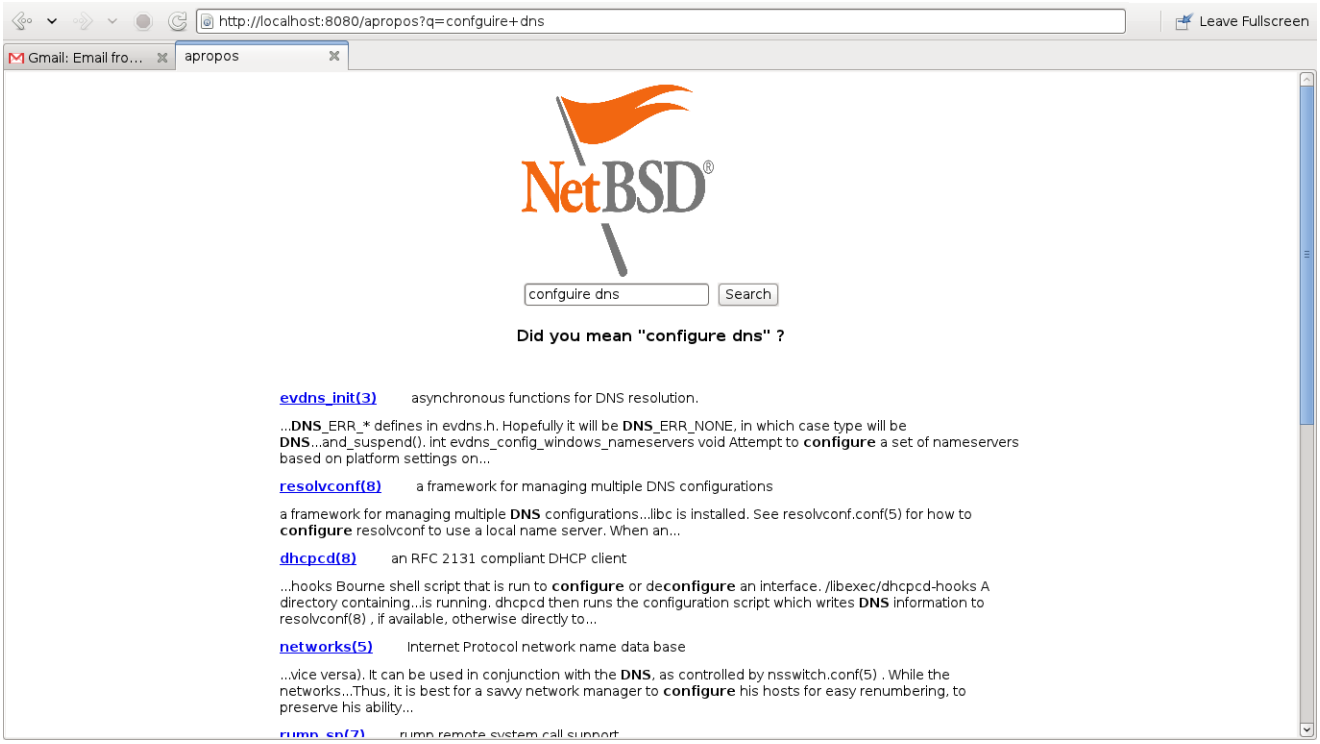

Figure 1: The spell corrector and the CGI frontend in action

NAME section only. It adds an option 'K' to *man(1)* to allow a crude full text search but it is not very efficient nor effective.

mandocdb

mandocdb [12] is more in line with the goals of this project but it takes a novel approach. It indexes the keywords extracted from the man pages in a key-value store using btree(3) [13]. It also comes with its own implementation of *apropos* which performs search using this key-value store. The main key point of this implementation is that it exploits the semantic structure but rather than indexing the complete content, it plucks out specific pieces of information from the man pages, for example Author names, function names, header file names, etc. from the man pages. It is more sophisticated and much more useful than classic *apropos*, but it is still essentially a keyword lookup based implementation.

7 Future Work

Work on Ranking Algorithm

The ranking algorithm is based on the probabilistic model of information retrieval [14]results. It uses certain parameters whose values are usually dependent on the corpus and the search application. For example, certain weight parameters have been assigned to different sections of man pages, signifying how important the information in a particular section is as compared to the rest of the sections. At the moment these values have been determined manually but one goal is to use some supervised machine learning techniques in order to automate this so that more better search results can be obtained.

Using external storage

A new feature is under development in *Sqlite*, to support external storage option in FTS databases. This option would allow building the FTS index without actually storing any content in the database itself (except for the data required to maintain the indexes). This will save bulk of the disk space currently required by *apropos* database. The disk space thus saved might also allow for experiments with ranking algorithms which require storing additional metadata on the disk.

8 Availability

The code for this project has been imported into the NetBSD source tree and being maintained there. Exper-

imental work is still being done in the *github* repository of the project. It can be obtained from:

https://github.com/abhinav-upadhyay/apropos_replacement

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http://netbsd-soc.sf.net/projects/apropos_replacement/apropos-utils.html3

[11] *man-db, the on-line manual database*
http://man-db.nongnu.org/

[12] *Online manual page for mandocdb(8)*
http://mdocml.bsd.lv/mandocdb.8.html

[13] *NetBSD online manual page for btree(3)*
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[17] *Google Summer of Code home page*
http://code.google.com/soc/

[18] *The mdocml project home page*
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http://mdocml.bsd.lv

Notes

[1] Although this implementation of *apropos* by default returns 10 results for a query but in the following listings the output has been snipped to save space