# <u>Distributed Representations of Words and Phrases and their Compositionality</u> (Negative Sampling)

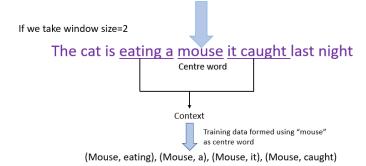
**Authors :** Tomas Mikolov, Ilya Sutskever, Kai Chen, Greg Corrado, Jeffrey Dean Published in : 2013

Divya Solanki (MCA, 18)

Vandana Yadav (MCA,61)

## Question 1 : (Total marks = 14)

- a) What do you understand by the Skip Gram Model, explain with an example? Explain its architecture with formulations involved. (2+2=4)
- The training objective of the Skip-gram model is **to find word representations** that are useful for **predicting** the **surrounding words** in a sentence or a document.
- The network is going to learn the statistics from the number of times each pairing shows up.



Architecture of Skip Gram model is :-

For Window Size:- 2

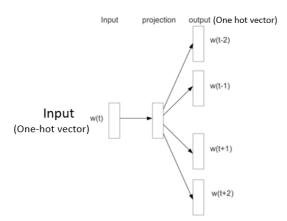


Figure 1: The Skip-gram model architecture. The training objective is to learn word vector representations that are good at predicting the nearby words.

• More formally, given a sequence of training words  $w_1, w_2, w_3, \ldots, w_T$ ,, the objective of the Skip-gram model is to **maximize the average log probability** 

$$\frac{1}{T} \sum_{t=1}^{T} \sum_{-c \le j \le c, j \ne 0} \log p(w_{t+j}|w_t)$$

- where c is the size of the training context (which can be a function of the center word  $w_t$ ). Larger c results in more training examples and thus can lead to a higher accuracy, at the expense of the training time.
- The basic Skip-gram formulation defines  $p(w_{t+j}|w_t)$  using the softmax function:

Given Input Word, what is output word probability? 
$$p(w_O|w_I) = \frac{\exp\left(v_{w_O}^{\prime} \top v_{w_I}\right)}{\sum_{w=1}^{W} \exp\left(v_w^{\prime} \top v_{w_I}\right)}$$

Where,  $v_w$  and  $v_w'$  are the "input" and "output" vector representations of w, and W is the number of words in the vocabulary. This formulation is impractical because the cost of computing  $\nabla \log p(w_0 \mid w_I)$  is proportional to W, which is often large  $(10^{-5}-10^{-7} \text{ terms})$ .

- b) What is the major disadvantage of Skip Gram Model, which makes it impractical? (1)
  - Summation over the entire vocabulary in the formula of the Skip Gram model is computationally expensive, due to which training time increases.
- c) Briefly explain three methods to overcome disadvantage of Skip Gram Model. (3X2=6)

#### 1. Hierarchical Softmax

The hierarchical softmax uses a binary tree representation of the output layer with the **W words as its leaves** and, **for each node**, explicitly represents the **relative probabilities of its child nodes**. These define a **random walk** that assigns probabilities to words.

Each word w can be reached by an appropriate path from the root of the tree. Let n(w, j) be the j-th node on the path from the root to w and L(w) be the length of this path, so n(w, 1) = root and n(w, L(w)) = w. In addition, for any inner node n, let ch(n) be an arbitrary fixed child of n and let [x] be 1 if x is true and -1 otherwise.

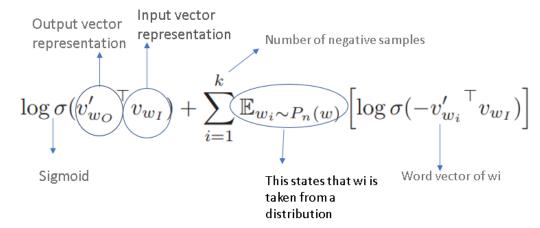
$$p(w|w_I) = \prod_{j=1}^{L(w)-1} \sigma\left( [n(w, j+1) = \operatorname{ch}(n(w, j))] \cdot v'_{n(w, j)}^{\mathsf{T}} v_{w_I} \right)$$

where  $\sigma(x) = 1/(1 + \exp(-x))$ . It can be verified that  $\sum_{w=1}^{W} \rho(w \mid w_I) = 1$ .

This implies that the cost of computing  $p(w_O \mid w_I)$  and  $\nabla \log p(w_O \mid w_I)$  is proportional to  $L(w_O)$ , which on average is no greater than  $\log W$ .

## 2. Negative Sampling

We define Negative sampling (NEG) by the objective :-



Above equation is used to replace every log  $P(w_0 \mid w_I)$ ) term in the Skipgram objective. Thus the task is to distinguish the target word  $w_0$  from draws from the noise distribution  $P_n(w)$  using logistic regression, where there are k negative samples for each data sample.

# 3. Frequent word subsampling

In very large corpora, the most **frequent words** can easily occur hundreds of millions of times (e.g., "in", "the", and "a").

Such words usually **provide less information value than the rare words**. For example: while the Skip-gram model benefits from observing the co-occurrences of "France" and "Paris", it benefits much less from observing the frequent co-occurrences of "France" and "the", as nearly every word co-occurs frequently within a sentence with "the".

The vector representations of frequent words do not change significantly after training on several million examples.

To counter the imbalance between the rare and frequent words, Use a simple subsampling approach :- each word  $w_i$  in the training set is discarded with probability computed by the formula :

Probability to discard word 
$$\longrightarrow P(w_i) = 1 - \sqrt{\frac{t}{f(w_i)}}$$
 where  $f(w_i)$  is the frequency of word  $w_i$ 

- d) Does structure of the tree used by the Hierarchical softmax has a any effect on performance. Comment. (1)
  - The structure of the tree used by the hierarchical softmax has a **considerable effect** on the performance.
  - Hierarchical softmax uses Binary Huffman Trees, as they assigns short codes to the frequent words which results in fast training time.
- e) How hierarchical softmax is different from standard softmax? (1)
  - Standard softmax evaluates W output nodes in the neural network to obtain the probability distribution, while hierarchical softmax evaluate only about log2(W) nodes.
  - Standard softmax assigns two representations  $v_w$  (input vector representation) and  $v_w'$  (output word representation) to each word w, while the hierarchical softmax formulation has one representation  $v_w$  for each word w and one representation  $v_n'$  for every inner node  $v_w$  not the binary tree.
- f) What is the main difference between Noise Constructive Estimation and Negative Sampling? (1)
  - The main difference between the Negative sampling and NCE is that NCE needs both samples and the numerical probabilities of the noise distribution, while Negative sampling uses only samples.