Richard Nguyen

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I am looking for a treasury manager position in a fast-growing company that will leverage my leadership, strengths and experience. Led meetings with Operation teams to improve business process and share best practices for overseeing cash transactions.

**Qualifications Profile**

* Experience in analyzing short term notes, debentures, equities and mortgage derivatives.
* Experience in Cash Management Flow, Debt/Equity Financing, and Financial Reporting
* Experience in Profit and Loss analysis, consolidation and financial statement analysis.
* Eight years of experience in the private mortgage insurance, negotiation, mortgage and asset securitization activities.
* Proficient in Windows-based environment and MS Office Applications, Bloomberg, Reuters, Murex, FMC, OSS.
* Bilingual, both written and oral.

**Professional Experience**

NATIONAL BANK OF CANADA

**Senior Analyst - Transactional Accounting, Treasury Financial Markets - (2011-Present)**

* Mainly responsible for the financial reporting of the Mortgage Derivative Business in IFRS. Follow up is performed at month end with Front Office, Mortgage Lender, Mortgage Insurer (CMHC, Genworth, Canada Guaranty) to ensure the integrity and accuracy of the financial data.
* Calculate and validate the month end, quarter end and year end P&L for various business lines including Global Equity Derivative, Interest Rate Derivative and Mortgage Derivative Business. Accountable for the monthly financial analysis results and validates fluctuations in the consolidated balance sheet and income statement portfolios.
* Analyze the financial results to explain the variances in the Profit and Loss reports via the Cash Management Flow, Debt/Equity Financing and Profitability Ratios.
* Overseeing the cash transactions reports for the daily nostro reconciliation between the internal financial records and the SWIFT.
* Support our various clients and other internal groups: Hedge Accounting, CVA Desk, Consolidation, and Securitization department to ensure resolution of any financial liquidity discrepancies at month end.

CDS CLEARING AND DEPOSITORY SERVICES INC.--Montreal, Quebec

**Intermediate Information Analyst - (2005 – 2011)**

* Analyze, create, update and validate event pertaining to Mandatory Corporate Action and Dividend with Options (DWO) events, and to report this information to our clients (CDS Participant and Subscribers) for their financial evaluation and appropriate action.
* Effectively manage and administer all ongoing Mandatory Corporate action/reorganization files, ensuring that all expiries, payment dates, letters of transmittal and letters of guaranteed delivery are identified and the pertinent dates for submission of securities and receipt of payments is established.
* Developed standard operating procedures to ensure business continuity, thus improving the accuracy of the financial data and promotes cost savings.
* Interact with Issuers, Transfer Agents and Paying Agents, Securities Regulators, Underwriters, Dealers, Investment Managers, the US Depository, Corporate Legal Counsel and other sources to confirm and / or clarify any legal or processing requirements and to verify the details of the information within the project files.
* Proactively interact with Supervisors to review terms, options, legal / tax information, compliance controls and any other issues that may need clarifications prior to confirmation of the event electronically and consequent completion of the CDS final bulletin.
* Accountable for the timely delivery of all pertinent information to CDS Participants, and Subscribers and internally to various support departments, ensuring that work is completed correctly, thoroughly and is of high quality.

STATE STREET CORPORATION -- Montreal, Quebec

**Investment Accountant - (2001-2005)**

* Establish, prepare and control Daily Cash Flow Reports.
* Reconcile cash and assets with investment managers and sub-custodians via the Swift Information Reporting.
* Calculate and validate daily and/or weekly and/or monthly Net Asset Value for assigned funds.
* Prepare consolidated trial balance, income statement and capital statements for complex fund structures and offshore funds with alternative asset strategies.
* Ensure that all trades (contribution and/or distribution of mutual fund units, securities, spot FX and forward FX transactions) are generated accurately and positions agree with that of the investment manager within standard of 100%.
* Understand and review corporate actions, dividends, tax reclaims.
* Led the fund team on documenting and testing internal control procedures.

**EDUCATION AND CREDENTIALS**

* Institution of Management Accountants (CMA Certification Candidate)

Expected Completion Date November 2021

* Canadian Securities Courses (2000)
* Bachelor of Science (Concordia University 1997)