# NanoLib user guide

ETH Zurich
Laboratory of Solid State Physics
Microstructure Research

Danilo A. Zanin - dzanin@phys.ethz.ch
Lorenzo G. De Pietro - depietro@phys.ethz.ch
Quentin Peter - qpeter@stud.phys.ethz.ch

September 25, 2016

NanoLib library allows to open and analyze data generated by the Nanonis SPM Control System<sup>TM</sup> in MATLAB<sup>TM</sup>. The first version was developed in 2015 by Quentin Peter during its master thesis *Spin Polarized Field Emission STM and Image Processing* in the Solid State Physics Laboratory for Microstruture Research at the ETH Zurich under the supervision of Dr. U. Ramsperger and L.G. De Pietro. Some of the features of this library are still oriented to solve problems related to the master thesis, e.g., *scan\_type* field in the header structure (see 3.1). In future versions some of these features may be changed and generalized. Every comment, idea and contribution is welcome.

NanoLib library is divided in the package folders: +sxm, +dat and +utility. A function in a folder called +folder can be called as folder. function.

# Contents

1	Insta 1.1	allation and Use												
		1.1.1 Git												
		1.1.2 Manual Download												
2	The	+sxm package folder 5												
	2.1	sxmFile: header and channels structures												
	2.2	+load												
		2.2.1 loadsxm												
		2.2.2 processChannel												
		2.2.3 loadProcessedSxM												
	2.3	+plot												
		2.3.1 folder2png												
		2.3.2 plotData												
		2.3.3 plotChannel												
		2.3.4 plotFile												
		2.3.5 plotHistogram												
	2.4	+op												
	2.1	2.4.1 filterData												
		2.4.2 getOffset												
		2.4.3 getRadialFFT												
		2.4.4 getRadialNoise												
		2.4.5 getRange												
		2.4.6 interpHighStd												
		2.4.7 interpPeaks												
		2.4.8 nanHighStd												
		2.4.9 nanonisMap												
	2.5	+mask												
	2.5	2.5.1 applyMask												
		2.5.2 getMask												
	2.6													
	2.0	+convolve2												
3	The +dat package folder 1													
	3.1 datFile: header and channels tructures													
	3.2	+load												
		3.2.1 loaddat												
		3.2.2 experiment_*												
		3.2.3 getAllExperiments												
		3.2.4 loadProcessedDat												

	3.3	+plot[	Oat															14
		3.3.1	plotData															14
		3.3.2	plotChan	nel .														14
		3.3.3	plotFile .															15
	3.4	+op																15
4	+utility												16					
		4.0.1	combineC	Chann	iel													16
		4.0.2	getAlpha	Color														16
		4.0.3	getChann	iel														16
		4.0.4	getColor															16
5	Exa	mples																17
	5.1	SxM	Example .															17
	5.2	dat E	xample															17

# 1 Installation and Use

### 1.1 Installation

The preferred way to access the NanoLib is to make use of the free version control system Git.

#### 1.1.1 Git

If you are familiar to git, you can directly clone the repository to your workstation. With the command:

git clone https://github.com/ethz-micro/matlab\_nanonis /mynanolib you will clone the repository to a subdirectory called mynanonlib in your actual working directory.

### 1.1.2 Manual Download

If you do not know git probably the best is to simply download the ZIP file from the homepage. You will find the file here: https://github.com/ethz-micro/matlab\_nanonis and click on the green field called "Clone or download", then "Download ZIP".

You can clone the repository to your computer or download the ZIP file and unzip it to a directory of your choice, for example: "' /myFunctions":

# 2 The +sxm package folder

Images generated via the scanning interface of the Nanonis SPM Control System<sup>TM</sup> have extension *file.sxm*. Once loaded, variable, from now on *sxmFile*, is a structure divided in: *i*) **header**, a structure containing all information present in the header of the *file.sxm*, and *ii*) **channels**, an array of channel structures containing data and information about every channel. Both, **header** and **channels** can be called by

```
sxmFile.header and sxmfile.channels{#}
```

# being the number of the channel. When only one channel is loaded one refers to the channel simply by *sxmfile.channels*. More information about the substructure of header and channels is presented below.

### 2.1 sxmFile: header and channels structures

The functions works with a structure that holds every relevant informations. Header and channels structure have following fields:

header is a structure composed of:

```
scan_file name of the file
rec_date date of the scan
rec_time time of the scan
scan_pixels [nx;ny], number of pixels
scan_range [rx;ry], range [m]
scan_offset [ox;oy], offset [m]
scan_angle tilt angle of the scan
scan_dir 'up' or 'down'
bias bias voltage [V]
scan_type 'STM', 'SEMPA', 'NFESEM', etc.
... Others informations extracted from the file
```

channels is an array of channel structures composed of:

```
Direction 'forward' or 'backward' 
Unit 'Z' or whatever the unit is 
Name The name of the channel
```

data A  $n \times m$  matrix of processed data

**lineMedian** A  $n \times 1$  matrix of raw line median

**lineMean** A  $n \times 1$  matrix of raw line mean

**linePlane** A  $n \times 1$  matrix of raw line mean linear fit

lineResidualSlope a  $1 \times m$  matrix of processed column mean linear fit

**lineStd** A  $n \times 1$  matrix of processed line standard deviation

To access the scan data on a structure named *sxmFile*, one should type, e.g., *sxmFile.header.rec* date.

# 2.2 +load

This folder contains everything needed to load and process .sxm files.

### 2.2.1 loadsxm

This file is provided by Nanonis SPM Control System<sup>TM</sup> and loads a specified channel from a .sxm file.

header = loadsxm(fn) loads a file named fn.sxm and returns the Header.

This function is called by load.loadProcessedSxM and should not be called directly.

[header, data] = loadsxm(fn, i) reads the channel i and returns its data.

.sxm files are composed of an ascii header and of single precision binary data. They are separated by 0x1A 0x04 (SUB EOT).

#### 2.2.2 processChannel

**channel** = **processChannel(channel, header)** Process the *channel* as described below using the informations form *header*. This function is called by *load.loadProcessedXXX* and should not be called directly.

**channel** = processChannel(channel,header,corrType) If *corrType* is set to 'Median', the median is used instead of the mean for lines corrections. If it is set to 'PlaneLineCorrection' a linear fit is used.

The processing orientate and rotate the data so that all the images are comparable. Everything that is removed is saved in the output structure to avoid loosing informations.

The mean value of the measurement under the conditions of each pixel must be extracted from the data. As there is drift and other instabilities, the mean value of the data is generally not a good value. The mean of each line is used instead, as the measurement conditions doesn't change too much during one line. Others possibility include the median or the mean plane. The mean plane along the line is also removed.

For STM, This offset is subtracted. For NFESEM and SEMPA, it is divided, as justified in the thesis.

#### 2.2.3 loadProcessedSxM

- file=loadProcessedSxM(fn) loads and process all the channels of .sxm file named fn. The structure file contains all the informations and is used in a large number of other functions.
- file=loadProcessedSxM(fn, chn) only loads the channels whose numbers are in the array *chn*
- file=loadProcessedSxM(fn, corrType) If corrType is set to 'MedianCorrection', the median is used instead of the mean for lines corrections. If it set to 'PlaneLineCorrection' a linear fit is used.

The loading is done with *load.loadsxm* and processing with *load.processChannel*.

# 2.3 + plot

This package contains everything needed to plot the data.

# 2.3.1 folder2png

**folder2png(folderName)** finds every *.par* and *.sxm* files in *folderName*, plot all relevant channels and saves the images in a *image* folder.

#### 2.3.2 plotData

- [h, range] = plotData(data, name, unit, header) plots the *data* using informations from the *header*. The figure title is deduced from *name* and *unit*. It returns the plot handle *h* and the chosen range *range*.
- [h, range] = plotData(data, name, unit, header, xoffset, yoffset) adds an offset to the plot.

The range is 2 STD. If the data is STM, only the lines with low std are considered for the range.

# 2.3.3 plotChannel

- [h, range] = plotChannel(channel, header) plots the *channel* using informations from the *header*. It returns the plot handle h and the chosen range *range*.
- [h, range] = plotChannel(channel, header, xoffset, yoffset) adds an offset to the plot.

It calls plot.plotData on the channel data.

### 2.3.4 plotFile

[h, range] = plotFile(file, n) plots the  $n^{th}$  channel of file. It returns the plot handle h and the chosen range range.

[h, range] = plotFile(file, n, xoffset, yoffset) adds an offset to the plot.

It calls *plot.plotChannel*.

# 2.3.5 plotHistogram

plotHistogram(data, range) plots an histogram of *data* and draw lines on the limit of *range*. It removes the .1% most extreme values.

# 2.4 + op

This package contains various useful functions.

### 2.4.1 filterData

- [filtered, removed] = filterData(data, pixSize) filters the *data* with Fourier transform. The filtering keeps structures of approximatively *pixSize* pixels. It returns the filtered data *filtered* and the removed noise *removed*.
- [filtered, removed] = filterData(data, pixSize, 'plotFFT', zoom) additionally plots the Fourier plane. The optional variable zoom has default value 8 and is used to zoom in the Fourier plane.

# 2.4.2 getOffset

- [offset, XC, centerOffset] = getOffset(img1, header1, img2, header2) compares the images matrices img1 and img2 using informations from the two headeri to find the most probable offset. The units of offset are from header.scan\_range. It correspond to the maximum of the cross correlation matrix XC. The corresponding offset relative to the centre of the two images is returned in centerOffset.
- [offset, XC, centerOffset] = getOffset(img1, header1, img2, header2,
   'mask') compares masks instead of images.

The offset is from the origin of the image, which is in a corner. The offset of the center is the centerOffset, but is less convenient to work with.

# 2.4.3 getRadialFFT

- [wavelength, radial\_spectrum] =getRadialFFT(data) Computes the radial spectrum of the image saved in data and the corresponding wavelength. The wavelength unit is pixel.
- [wavelength, radial\_spectrum] = getRadialFFT(data,pixPerUnit) Changes the wavelength unit with the number of pixels per units, pixPerUnit.

This function is used to study the radial spectrum of an image computed from the FFT.

### 2.4.4 getRadialNoise

- [noise\_fit, signal\_start, signal\_error, noise\_coeff] = getRadialNoise( wavelength, radial\_average) tries to fit a noise from the data of getRadialFFT. noise\_fit is the detected noise. signal\_start is the first position where the signal is detected. signal\_error is the error caused by the discrete nature of the signal on signal\_start. noise\_coeff gives the power law coefficients for the first detected noise.
- [noise\_fit, signal\_start, signal\_error, noise\_coeff] = getRadialNoise( wavelength, radial\_average, maxNbrNoise) Limits the number of noises to maxNbrNoise. The default value is 10.

#### 2.4.5 getRange

[xrange, yrange] = getRange(header) extract the ranges xrange, yrange from header.

## 2.4.6 interpHighStd

data = interpHighStd(data) Removes the lines with high STD values and interpolates the missing values.

### 2.4.7 interpPeaks

data = interpPeaks(data) Removes the data witch are too far from the mean and interpolates the missing values.

# 2.4.8 nanHighStd

data = nanHighStd(data) is useful for STM measurements. Usually the lines with very high std don't carry informations, and thus if a line has std > 3median, it is set to nan.

### 2.4.9 nanonisMap

**colorMap** = nanonisMap(nPti) is a color map function that generates a Nanonis color like mapping of nPti number of colors. nPti is an optional value. If not provided nPti = 64 per default.

# 2.5 + mask

Theses functions are useful to compute threshold mask and apply them.

#### 2.5.1 applyMask

applyMask(mask) apply the boolean mask mask to the current figure.

applyMask(mask, color, alpha, xrange, yrange) apply the boolean mask mask in the range xrange, yrange with color color and transparency alpha.

The ranges are vectors containing a start point and an end point. See MATLAB's image documentation.

### 2.5.2 getMask

[maskUp, maskDown, flatData] = getMask(data, pixSize, prctUp, prctDown) flatten and filter the *data* before computing threshold masks. flatData is the flattened and filtered data. maskUp marks everithing above prctUp and maskDown below prctDown. The filtering is done using op.filterData, to which pixSize is passed to keep features of this approximate size.

[maskUp, maskDown, flatData] = getMask(data, pixSize, prctUp, prctDown, 'plotFFT', zoom) Additionally passes 'plotFFT', zoom to op.filterData to visualize the Fourier plane. zoom is optional.

The flattening is done using sliding mean.

# 2.6 +convolve2

This in an improved version of MATLAB's conv2 matrix. It allows a better gestion of boundaries. It was downloaded from MATLAB file exchange. See the license file.

# 3 The +dat package folder

Besides surface imaging Nanonis SPM Control System<sup>™</sup> allows to store data measured by the physical channels. Data from the so called experiments are stored in a *file.dat*. Once loaded, variable, from now on *datFile*, is a structure divided in: *i*) **header**, a structure containing all information present in the header of the *file.dat*, and *ii*) **channels**, an array of channel structures containing data and information about every channel. Both, **header** and **channels** can be called by

datFile.header and datfile.channels{#}

# being the number of the channel. When only one channel is loaded one refers to the channel simply as *datfile.channels*. More information about the substructure of header and channels is presented below.

### 3.1 datFile: header and channels tructures

The functions works with a structure that holds every relevant informations. To access the scan data on a structure named *expFile*, one should type *exp-File.header.rec* date. Header and channels structure have following fields:

header is a structure composed of:

file name of the file

path path of the file

experiment experiment name

rec\_date date of the scan

rec\_time time of the scan

points number of experiment points

grid\_points number of experiment repetition

channels list of registered channels

list is a  $2 \times n$  list of string, n being the number of lines in the text header. Lines in the *header.list* are of the form {'Key','data'}, e.g., {'rec\_date','22.08.2016'}

· · · Others informations extracted from the file depending on the specific experiment

channels is an array of channel structures composed of:

Direction 'forward' or 'backward'

Unit 'Z' or whatever the unit is

Name The name of the channel

data A  $n \times m$  matrix of processed data, where n is the number of points and m is the loop number (default m=1)

The first channel, i.e. channel (1), is reserved to the sweep signal.

# 3.2 + load

This folder contains everything needed to load and process .dat files.

#### 3.2.1 loaddat

This file is provided by Nanonis SPM Control System<sup>™</sup> and loads a specified channel from a *file.dat*.

[header,data,channels]=loadDat(fn) loads a file named fn.dat and returns the header, the data and the channels list. This function is called by load.loadProcessedDat and should not be called directly.

# 3.2.2 experiment XXX

files.dat are all characterized by a unique **experiment\_name**, that is saved in the first line of every .dat file. In the follow we refer to those files.dat simply as experiments. Different experiments have different headers and data characteristics. Every experiment have a specific function called experiment\_XXX, XXX being the name of the experiment. experiment\_XXX are called automatically by the loadProcessedDat function as listed below.

experiment\_name = experiment\_XXX('get experiment') returns the
 experiment\_name.

header = experiment \_XXX('process header',header) process the header of the experiment. The header variable is result of the function.

[header,channels] = experiment\_XXX('process data',header,data) stores data into the *channels* structure described above. Where needed some additional processing are applied to the data. Header's information are adjusted accordingly.

Further experiments can be implemented by simply defining a function called experiment\_newExperiment. New experiment functions must have the same structure described above and should be saved in the +load package folder.

# 3.2.3 getAllExperiments

experiment\_list = getAllExperiments() returns a  $2 \times n$  list, where n is the number of the function experiment\_XXX. In the first column is listed the unique name of the experiment saved in the +load package folder. In the second column compare the correspondent function, i.e., experiment XXX.

This function is used by the function *loadProcessedDat* when loading different *experiments*.

#### 3.2.4 loadProcessedDat

loadProcessedDat loads a file.dat calling the function loaddat. And process the header and the data according to the type of experiment by calling – automatically – the corresponding experiment\_XXX.

file=loadProcessedDat() ask for a fileName.dat and load it.

file=loadProcessedDat(fileName) load the file named fileName.dat.

file=loadProcessedDat(fileName,pathName) load the file named fileName.dat at a given pathName.

# 3.3 + plotDat

This package contains everything needed to plot the data.

#### 3.3.1 plotData

- hObject = plotData(data, name, unit, sweep\_channel,varargin) plots the data using according to the sweep\_channel. The figure title is deduced from name and unit. It returns the plot handle hObject. varargin are the standard plot options. Additional options are provided.
  - varargin = {'xOffset', NUMBER } shifts the x axis by the given offset
  - varargin = {'hideLabels'} leaves all extra labels out.

#### 3.3.2 plotChannel

hObject = plotChannel(channel,sweep\_channel,varargin) plots the *channel* using informations from the *sweep\_channel*. It returns the plot handle *hObject*. It calls *plot.plotData* on the channel data, *varargin* are therefore the same as *plot.plotData*.

# 3.3.3 plotFile

 $\begin{array}{l} \mathbf{hObject} = \mathbf{plotFile(file,channel\_numbers)} \ \ \mathbf{plots} \ \ the \ n^{th} \ \ \mathbf{channel} \ \ \mathbf{of} \ \ \mathit{file.} \\ \mathit{channel\_numbers} \ \ \mathbf{may} \ \mathbf{be} \ \mathbf{a} \ \ n \times 1 \ \mathbf{array.} \ \ \mathbf{lt} \ \mathbf{returns} \ \mathbf{the} \ \mathbf{plot} \ \mathbf{handle} \ \ \mathit{h.} \\ \end{array}$ 

 $\label{eq:hobject} \begin{aligned} \textbf{hObject} &= \textbf{plotFile}(\textbf{file,channel\_numbers,run\_numbers}) \text{ plots the } n^{th} \\ &\text{repetition of the provided } channel\_numbers \ . \ Whenever an experiment \\ &\text{has more loops, repetitions are characterized by a } run \quad numbers. \end{aligned}$ 

It calls plot.plotData on the channel data.

# 3.4 + op

To be done

# 4 +utility

The package folder +utility contains generic functions, which can be used when analyzing both sxmFiles and datFiles

#### 4.0.1 combineChannel

**channel**=**combineChannel**(**file**, **name**, **chn**, **chw**) combined the channels *chn* of the *file* structure with weights *chw* and return a new *channel* with name *name*.

## 4.0.2 getAlphaColor

- outputRGB = getAlphaColor(inputRGB,alpha) returns alpha% lighter inputRGB color.
- outputRGB = getAlphaColor(inputRGB,alpha,'dark') returns alpha%
  darker inputRGB color.

### 4.0.3 getChannel

- channelNumber = getChannel(channels,channelNames) returns all channel numbers where *channels.Name* matches the *channelNames*. *channel-Names* can be either a single string or a list of strings.
- channelNumber = getChannel(channels,channelNames,direction) returns only the channel number where *channels.Direction* matches the *direction*, too.

#### 4.0.4 getColor

- [color,colorScale] = getColor(x,xRange) computes the ratio between a value x and the xRange (2 by 1 array). It returns the color and the colorScale according to a predefined color map. Jet is the default color map.
- [color,colorScale] = getColor(x,xRange,mapping) allows to provide e specified mapping other than the default, i.e. jet. mapping should be a color map function, e.g. hsv, parula, hot, summerm autumn. Note that, since mapping is an argument of functions getColor, it must be called by function handle "at" @.

# 5 Examples

NanoLib library comes with few example showing the basics usage of the library. Some files are also provided in the directory *Files*. Below a list of all examples with a short explanation.

# 5.1 SxM Example

example\_open\_SxM shows different ways to load a file.sxm.

**example\_process\_option** shows different ways to process a file while loading a *file.sxm*.

example get drift detect XY-offset between two different sxmFiles.

**example** mask generates a mask of a *sxmFile* and apply on the original image.

**example** \_ RadialFFT applies *op.getRadialFFT* and plots some interesting quantities.

# 5.2 dat Example

example open Dat shows different ways to load some experiment.dat.