## **Problem 1. [100 Points] Platooning**

In a single lane straight road, N vehicles are moving to the right with respective 1D position coordinates  $x_1, x_2, \ldots, x_N$ . See Fig. showing an example scenario for N=4.



Suppose that all vehicles have identical discrete time controlled dynamics  $x_i(k+1) = x_i(k) + h(u_i(k) - v)$ , i = 1, 2, ..., N, for time index k = 0, ..., T-1. The parameter h > 0 is given constant sampling time. The control  $u_i$  can be thought of as the speed of the ith vehicle, and v is a known speed limit.

Here is the high level question of interest: what should be the optimal controls such that all consecutive vehicles maintain a separation close to some (known) desired distance d at all times? A separation smaller than d may be unsafe, and thus undesirable. A separation more than d reduces traffic throughput, and therefore also undesirable. We also want all vehicles to move at a speed close to the known speed limit v.

## (a) [35 points] OCP formulation

Motivated by the aforesaid objective, consider minimizing

$$\frac{1}{2} \sum_{i=1}^{N-1} (x_{i+1}(T) - x_i(T) - d)^2 + \frac{1}{2} \sum_{k=0}^{T-1} \left\{ \sum_{i=1}^{N-1} (x_{i+1}(k) - x_i(k) - d)^2 + \sum_{i=1}^{N} (u_i(k) - v)^2 \right\}$$

subject to  $x_i(k+1) = x_i(k) + h(u_i(k) - v)$ , i = 1, 2, ..., N. Consider the final time T fixed.

Recast this problem as discrete time finite horizon LQ tracking by clearly defining the state vector x and its dimension, the control vector u and its dimension, the output vector y and its dimension, the desired output trajectory  $y_d$  to track, the system matrices A, B, C, and the weight matrices M, Q, R in the cost function.

**Hint:** Take a look at Lec. 10, p. 3 to see how LQ tracking problem was formulated in the continuous time case. See also part (b) to get a hint on the problem structure.

## (b) [35 points] Discrete time LQ tracking solution

**Extend the derivation** in Lec. 10, p. 15-23 for the tracking case:

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$$\underset{\{u_k\}_{k=0}^{T-1}}{\text{minimize}} \quad \frac{1}{2} \left\{ (y(T) - y_d(T))' M(y(T) - y_d(T)) + \sum_{k=0}^{T-1} (y(k) - y_d(k))' Q(y(k) - y_d(k)) + (u(k))' R u(k) \right\}$$

subject to 
$$x(k + 1) = Ax(k) + Bu(k)$$
,  $y(k) = Cx(k)$ ,  $k = 0, 1, ..., T - 1$ .

**Hint:** Just like the continuous time LQ tracking solution given in Lec. 10, here too you should get optimal control as a sum of a linear state feedback term, and a feedforward term. You need to derive a backward **vector** recursion for the feedforward control. Also derive how the Riccati backward recursion needs to be modified in this case, compared to the same for LQR.

## (c) [30 points] Optimal control for platooning

Apply your answer in part (b) to the formulation in part (a), to **compute and plot**  $y^{\text{opt}}(k)$  superimposed with  $y_d(k)$ . Also plot  $u^{\text{opt}}(k)$ . To make these plots, fix T = 200, h = 0.01, N = 4, and the initial conditions  $x_1(0) = 0$  ft,  $x_2(0) = 250$  ft,  $x_3(0) = 480$  ft,  $x_4(0) = 780$  ft.

Please submit your single MATLAB code generating these plots.