# CNN

#### November 13, 2021

```
[1]: import numpy as np
     from matplotlib import pyplot as plt
     import csv
     import tensorflow as tf
     import time
[2]: tf.keras.backend.clear_session()
[3]: Image = np.loadtxt('Q1_Train_Data.csv', delimiter = ',', dtype='str')
[4]: Image = Image[1:]
[5]: X = [] #All Images
     Y = [] #All Emotions corresponding to the images
     for i in range(28709):
         H = np.reshape(np.asarray(Image[i][1].split(' '), dtype="float"), (48,48))
         #Image normalization
         \max ele = H.max()
         min_ele = H.min()
         H = (H-min_ele)/(max_ele - min_ele + 0.000000000001)
         X.append(H)
         Y.append(int(Image[i][0]))
[6]: Image_valid = np.loadtxt('Q1_Test_Data.csv', delimiter = ',', dtype='str')
     Image_valid = Image_valid[1:]
     Emotions_valid = Image_valid[:,0]
[7]: X_valid = []
     Y_valid = []
     for i in range (3588):
         H = np.reshape(np.asarray(Image_valid[i][1].split(' '), dtype="float"),
     (48,48)
         max_ele = H.max()
         min_ele = H.min()
         H = (H-min_ele)/(max_ele - min_ele + 0.000000000001)
         X_valid.append(H)
         Y_valid.append(Image_valid[i][0])
```

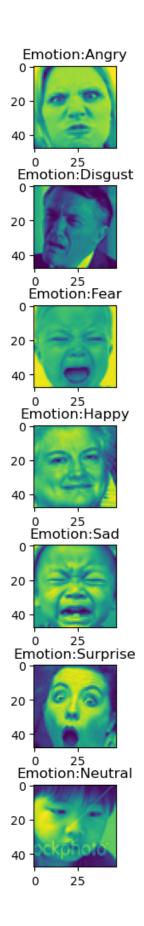
```
X_valid = np.array(X_valid)
X_valid = X_valid.reshape(X_valid.shape[0], 48, 48, 1)
Y_valid = tf.keras.utils.to_categorical(Y_valid, 7)
```

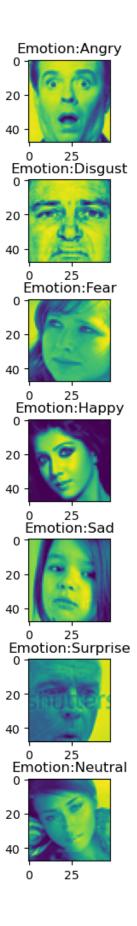
```
[8]: Image_test = np.loadtxt('Q1_Validation_Data.csv', delimiter = ',', dtype='str')
Image_test = Image_test[1:]
Emotions_test = Image_test[:,0]
```

## 0.0.1 (a) (1 points) Visualization:

Randomly select and visualize 1-2 images per emotion.

<Figure size 640x480 with 0 Axes>





### 0.0.2 (b) (1 points) Data exploration:

Count the number of samples per emotion in the training data.

```
[11]: import collections
   C = collections.Counter(Y)
   print(C)
```

Counter({3: 7215, 6: 4965, 4: 4830, 2: 4097, 0: 3995, 5: 3171, 1: 436})

```
[12]: X = np.array(X)
X = X.reshape(X.shape[0], 48, 48, 1)
Y = tf.keras.utils.to_categorical(Y, 7)
```

### 0.0.3 (c) (4 points) Image classification with FNNs:

In this part, you will use a feedforward neural network (FNN) (also called "multilayer perceptron") to perform the emotion classification task. The input of the FNN comprises of all the pixels of the image.

### 0.0.4 (c.i) (3 points)

Experiment on the validation set with dierent FNN hyper-parameters, e.g. #layers, #nodes per layer, activation function, dropout, weight regularization, etc. For each hyper-parameter combination that you have used, please report the following: (1) emotion classification accuracy on the training and validation sets; (2) running time for training the FNN; (3) # parameters for each FNN. For 2-3 hyper-parameter combinations, please also plot the cross-entropy loss over the number of iterations during training.

Note: If running the FNN takes a long time, you can subsample the input images to a smaller size (e.g., 24 X 24).

#### 0.0.5 Note:

Thoughout the next few FNN models, the total number of parameters have been kept constant ~1.3 million so that it fits in my laptop memory and is trained within a reasonable amount of time.

#### 0.0.6 Model 1:

2 Hidden layers with 500 and 400 neurons and Relu as activation function in each hidden layer. Loss function is cross-entropy and last layers uses softmax for activation and classification. A callback function for early stopping is added (it would stop the execution once accuracy doesnt change for more than 0.002 for atmost 5 iterations)

```
[13]: callback = tf.keras.callbacks.EarlyStopping(monitor='accuracy', patience=5, 

→min_delta=0.002)
```

Model: "sequential"

Layer (type)	Output	Shape	Param #
flatten (Flatten)	(None,	2304)	0
batch_normalization (BatchNo	(None,	2304)	9216
dropout (Dropout)		2304)	0
	(None,	500)	1152500
batch_normalization_1 (Batch	(None,	500)	2000
dropout_1 (Dropout)			0
dense_1 (Dense)			200400
batch_normalization_2 (Batch	(None,	400)	1600
dropout_2 (Dropout)	(None,	400)	0
dense_2 (Dense)			2807
Total params: 1,368,523 Trainable params: 1,362,115 Non-trainable params: 6,408			
None			<b>_</b>

```
[14]: | startTime = time.time()
   history1 = model1.fit(X, Y, epochs = 250, verbose=1, callbacks = [callback])
   endTime = time.time()
  Epoch 1/250
  898/898 [================ ] - 5s 5ms/step - loss: 1.8384 -
  accuracy: 0.3130
  Epoch 2/250
  898/898 [============ ] - 4s 5ms/step - loss: 1.8021 -
  accuracy: 0.3526
  Epoch 3/250
  accuracy: 0.3709
  Epoch 4/250
  accuracy: 0.3801
  Epoch 5/250
  accuracy: 0.3901
  Epoch 6/250
  accuracy: 0.3987
  Epoch 7/250
  898/898 [============ ] - 4s 5ms/step - loss: 1.7465 -
  accuracy: 0.4091
  Epoch 8/250
  accuracy: 0.4214
  Epoch 9/250
  accuracy: 0.4278
  Epoch 10/250
  accuracy: 0.4368
  Epoch 11/250
  accuracy: 0.4446
  Epoch 12/250
  898/898 [============ ] - 4s 4ms/step - loss: 1.7070 -
  accuracy: 0.4511
  Epoch 13/250
  898/898 [=========== ] - 4s 5ms/step - loss: 1.7038 -
  accuracy: 0.4543
  Epoch 14/250
  accuracy: 0.4614
  Epoch 15/250
  898/898 [============ ] - 4s 4ms/step - loss: 1.6914 -
```

```
accuracy: 0.4672
Epoch 16/250
898/898 [============ ] - 4s 4ms/step - loss: 1.6896 -
accuracy: 0.4697
Epoch 17/250
accuracy: 0.4755
Epoch 18/250
accuracy: 0.4823
Epoch 19/250
898/898 [============ ] - 4s 5ms/step - loss: 1.6770 -
accuracy: 0.4809
Epoch 20/250
accuracy: 0.4872
Epoch 21/250
898/898 [============ ] - 4s 4ms/step - loss: 1.6669 -
accuracy: 0.4935
Epoch 22/250
accuracy: 0.4974
Epoch 23/250
accuracy: 0.5023
Epoch 24/250
accuracy: 0.5042
Epoch 25/250
accuracy: 0.5053
Epoch 26/250
898/898 [============ ] - 4s 4ms/step - loss: 1.6481 -
accuracy: 0.5126
Epoch 27/250
accuracy: 0.5140
Epoch 28/250
accuracy: 0.5134
Epoch 29/250
898/898 [============ ] - 4s 5ms/step - loss: 1.6417 -
accuracy: 0.5193
Epoch 30/250
accuracy: 0.5229
Epoch 31/250
898/898 [============= ] - 4s 4ms/step - loss: 1.6371 -
```

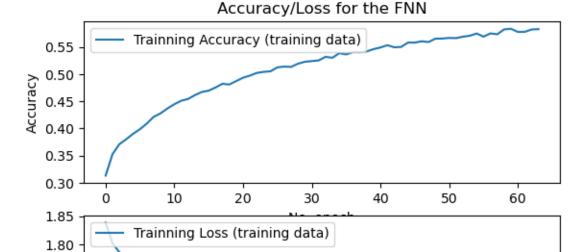
```
accuracy: 0.5241
Epoch 32/250
898/898 [============ ] - 4s 4ms/step - loss: 1.6353 -
accuracy: 0.5253
Epoch 33/250
accuracy: 0.5320
Epoch 34/250
accuracy: 0.5302
Epoch 35/250
898/898 [============ ] - 4s 4ms/step - loss: 1.6232 -
accuracy: 0.5386
Epoch 36/250
accuracy: 0.5365
Epoch 37/250
accuracy: 0.5407
Epoch 38/250
accuracy: 0.5404
Epoch 39/250
accuracy: 0.5419
Epoch 40/250
accuracy: 0.5462
Epoch 41/250
accuracy: 0.5492
Epoch 42/250
898/898 [============ ] - 4s 4ms/step - loss: 1.6085 -
accuracy: 0.5534
Epoch 43/250
accuracy: 0.5496
Epoch 44/250
accuracy: 0.5502
Epoch 45/250
898/898 [============ ] - 4s 4ms/step - loss: 1.6036 -
accuracy: 0.5582
Epoch 46/250
accuracy: 0.5582
Epoch 47/250
898/898 [============ ] - 4s 4ms/step - loss: 1.6008 -
```

```
accuracy: 0.5606
Epoch 48/250
898/898 [============ ] - 4s 4ms/step - loss: 1.6010 -
accuracy: 0.5593
Epoch 49/250
accuracy: 0.5654
Epoch 50/250
accuracy: 0.5657
Epoch 51/250
898/898 [============ ] - 4s 4ms/step - loss: 1.5947 -
accuracy: 0.5668
Epoch 52/250
accuracy: 0.5664
Epoch 53/250
898/898 [============ ] - 4s 4ms/step - loss: 1.5919 -
accuracy: 0.5691
Epoch 54/250
accuracy: 0.5708
Epoch 55/250
accuracy: 0.5749
Epoch 56/250
accuracy: 0.5692
Epoch 57/250
accuracy: 0.5751
Epoch 58/250
898/898 [============ ] - 4s 4ms/step - loss: 1.5875 -
accuracy: 0.5736
Epoch 59/250
accuracy: 0.5825
Epoch 60/250
accuracy: 0.5836
Epoch 61/250
898/898 [============ ] - 4s 5ms/step - loss: 1.5834 -
accuracy: 0.5778
Epoch 62/250
accuracy: 0.5780
Epoch 63/250
898/898 [============= ] - 4s 4ms/step - loss: 1.5797 -
```

```
accuracy: 0.5824
     Epoch 64/250
     898/898 [============ ] - 4s 4ms/step - loss: 1.5791 -
     accuracy: 0.5830
[15]: # Plot history
     plt.figure()
      fig, ax = plt.subplots(2,1)
      ax[0].plot(history1.history['accuracy'], label='Trainning Accuracy (training_

data)')
      ax[1].plot(history1.history['loss'], label='Trainning Loss (training data)')
      \# ax[0].plot(history1.history['val_accuracy'], label='Validation Accuracy_{\sqcup})
      \rightarrow (training data)')
      # ax[1].plot(history1.history['val_loss'], label='Validation Loss (training_
      ax[0].set_title('Accuracy/Loss for the FNN')
      ax[0].set_ylabel('Accuracy')
      ax[0].set_xlabel('No. epoch')
      ax[0].legend(loc="upper left")
      ax[1].set_ylabel('Loss value')
      ax[1].set_xlabel('No. epoch')
      ax[1].legend(loc="upper left")
      plt.show()
```

<Figure size 640x480 with 0 Axes>



20

30

No. epoch

40

50

60

## 0.0.7 Model 2 (FNN):

Loss value

1.75

1.70

1.65

1.60

0

10

Same layers were same as before but activation are now sigmoid functions. Same callback function is utilized from FNN model 1

```
[17]: model2 = tf.keras.Sequential([tf.keras.layers.InputLayer(input_shape=(48,48,1), ⊔ → batch_size=None),

tf.keras.layers.Flatten(),

tf.keras.layers.BatchNormalization(),

tf.keras.layers.Dropout(0.15),
```

```
tf.keras.layers.Dense(500, activation=tf.nn.
     ⇒sigmoid),
                           tf.keras.layers.BatchNormalization(),
                           tf.keras.layers.Dropout(0.15),
                           tf.keras.layers.Dense(400, activation=tf.nn.
     ⇒sigmoid),
                           tf.keras.layers.BatchNormalization(),
                           tf.keras.layers.Dropout(0.15)])
    model2.add(tf.keras.layers.Dense(len(C.keys()), activation='softmax'))
    model2.compile(loss=tf.keras.losses.CategoricalCrossentropy(from_logits=True)
               , optimizer='Adam', metrics=['accuracy'])
    print(model2.summary())
    Model: "sequential_1"
    Layer (type) Output Shape
                                             Param #
    _____
                          (None, 2304)
    flatten_1 (Flatten)
    batch_normalization_3 (Batch (None, 2304)
    dropout_3 (Dropout) (None, 2304)
    -----
    dense 3 (Dense)
                         (None, 500)
                                              1152500
    batch_normalization_4 (Batch (None, 500)
                                              2000
    dropout_4 (Dropout)
                         (None, 500)
    ______
    dense_4 (Dense)
                         (None, 400)
                                              200400
    batch_normalization_5 (Batch (None, 400)
                                              1600
                      (None, 400)
    dropout_5 (Dropout)
    dense 5 (Dense)
                  (None, 7)
                                               2807
    ______
    Total params: 1,368,523
    Trainable params: 1,362,115
    Non-trainable params: 6,408
    None
[18]: startTime = time.time()
    history2 = model2.fit(X, Y, epochs = 250, verbose=1, callbacks = [callback])
```

Epoch 1/250

endTime = time.time()

```
accuracy: 0.2847
Epoch 2/250
898/898 [============ ] - 4s 4ms/step - loss: 1.8231 -
accuracy: 0.3326
Epoch 3/250
accuracy: 0.3476
Epoch 4/250
898/898 [============ ] - 4s 4ms/step - loss: 1.7982 -
accuracy: 0.3577
Epoch 5/250
accuracy: 0.3641
Epoch 6/250
accuracy: 0.3672
Epoch 7/250
898/898 [============ ] - 4s 4ms/step - loss: 1.7883 -
accuracy: 0.3674
Epoch 8/250
accuracy: 0.3784
Epoch 9/250
898/898 [============ ] - 4s 5ms/step - loss: 1.7752 -
accuracy: 0.3796
Epoch 10/250
898/898 [=========== ] - 4s 4ms/step - loss: 1.7706 -
accuracy: 0.3855
Epoch 11/250
accuracy: 0.3869
Epoch 12/250
898/898 [============ ] - 4s 4ms/step - loss: 1.7653 -
accuracy: 0.3907
Epoch 13/250
accuracy: 0.3931
Epoch 14/250
898/898 [============ ] - 5s 6ms/step - loss: 1.7621 -
accuracy: 0.3953
Epoch 15/250
accuracy: 0.4004
Epoch 16/250
898/898 [=========== ] - 4s 5ms/step - loss: 1.7567 -
accuracy: 0.3998
Epoch 17/250
```

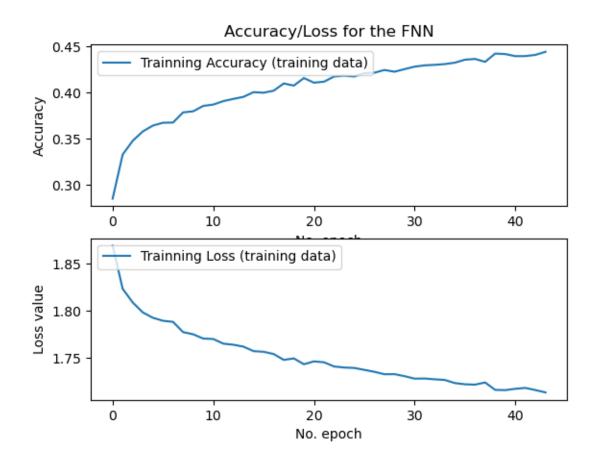
```
accuracy: 0.4020
Epoch 18/250
898/898 [============ ] - 4s 5ms/step - loss: 1.7481 -
accuracy: 0.4099
Epoch 19/250
accuracy: 0.4075
Epoch 20/250
898/898 [============ ] - 4s 5ms/step - loss: 1.7435 -
accuracy: 0.4157
Epoch 21/250
accuracy: 0.4107
Epoch 22/250
accuracy: 0.4118
Epoch 23/250
898/898 [============ ] - 4s 5ms/step - loss: 1.7412 -
accuracy: 0.4174
Epoch 24/250
accuracy: 0.4183
Epoch 25/250
accuracy: 0.4174
Epoch 26/250
898/898 [=========== ] - 4s 5ms/step - loss: 1.7376 -
accuracy: 0.4209
Epoch 27/250
accuracy: 0.4215
Epoch 28/250
898/898 [============ ] - 4s 5ms/step - loss: 1.7330 -
accuracy: 0.4245
Epoch 29/250
accuracy: 0.4226
Epoch 30/250
accuracy: 0.4254
Epoch 31/250
accuracy: 0.4282
Epoch 32/250
898/898 [=========== ] - 4s 4ms/step - loss: 1.7284 -
accuracy: 0.4296
Epoch 33/250
```

```
accuracy: 0.4301
   Epoch 34/250
   898/898 [============ ] - 4s 4ms/step - loss: 1.7269 -
   accuracy: 0.4309
   Epoch 35/250
   accuracy: 0.4325
   Epoch 36/250
   898/898 [============ ] - 4s 4ms/step - loss: 1.7224 -
   accuracy: 0.4357
   Epoch 37/250
   accuracy: 0.4365
   Epoch 38/250
   accuracy: 0.4334
   Epoch 39/250
   898/898 [============ ] - 4s 4ms/step - loss: 1.7165 -
   accuracy: 0.4423
   Epoch 40/250
   accuracy: 0.4418
   Epoch 41/250
   accuracy: 0.4395
   Epoch 42/250
   898/898 [============ ] - 4s 5ms/step - loss: 1.7186 -
   accuracy: 0.4396
   Epoch 43/250
   accuracy: 0.4409
   Epoch 44/250
   898/898 [========== ] - 4s 5ms/step - loss: 1.7138 -
   accuracy: 0.4443
[19]: # Plot history
    plt.figure()
    fig, ax = plt.subplots(2,1)
    ax[0].plot(history2.history['accuracy'], label='Trainning Accuracy (training_

data)')
    ax[1].plot(history2.history['loss'], label='Trainning Loss (training data)')
    # ax[0].plot(history1.history['val_accuracy'], label='Validation Accuracy_
    \hookrightarrow (training data)')
    # ax[1].plot(history1.history['val_loss'], label='Validation Loss (training_
    \rightarrow data)')
    ax[0].set_title('Accuracy/Loss for the FNN')
```

```
ax[0].set_ylabel('Accuracy')
ax[0].set_xlabel('No. epoch')
ax[0].legend(loc="upper left")
ax[1].set_ylabel('Loss value')
ax[1].set_xlabel('No. epoch')
ax[1].legend(loc="upper left")
plt.show()
```

<Figure size 640x480 with 0 Axes>



#### 0.0.8 Model 3 (FNN):

This time the model has only 1 hidden layer but has increased number of neurons in the hidden layer (keeping the total number of parameters approximately same  $\sim 1.3$  million). Again the same callback functions is utilized for early stopping

```
[21]: model3 = tf.keras.Sequential([tf.keras.layers.InputLayer(input shape=(48,48,1),
     ⇒batch_size=None),
                           tf.keras.layers.Flatten(),
                           tf.keras.layers.BatchNormalization(),
                           tf.keras.layers.Dropout(0.15),
                           tf.keras.layers.Dense(600, activation=tf.nn.relu),
                           tf.keras.layers.BatchNormalization(),
                           tf.keras.layers.Dropout(0.15)])
    model3.add(tf.keras.layers.Dense(len(C.keys()), activation='softmax'))
    model3.compile(loss=tf.keras.losses.CategoricalCrossentropy(from_logits=True)
               , optimizer='Adam', metrics=['accuracy'])
    print(model3.summary())
    Model: "sequential_2"
    Layer (type) Output Shape
    ______
    flatten 2 (Flatten)
                      (None, 2304)
    batch_normalization_6 (Batch (None, 2304)
                                             9216
    dropout_6 (Dropout) (None, 2304)
    dense_6 (Dense)
                  (None, 600)
                                             1383000
    batch_normalization_7 (Batch (None, 600)
                                             2400
      .....
    dropout_7 (Dropout) (None, 600)
                                      0
    dense 7 (Dense)
                   (None, 7)
    ______
    Total params: 1,398,823
    Trainable params: 1,393,015
    Non-trainable params: 5,808
    None
[22]: startTime = time.time()
    history3 = model3.fit(X, Y, epochs = 250, verbose=1, callbacks = [callback])
    endTime = time.time()
    Epoch 1/250
```

```
accuracy: 0.3158
Epoch 2/250
898/898 [============ ] - 3s 4ms/step - loss: 1.7895 -
accuracy: 0.3661
Epoch 3/250
accuracy: 0.3817
Epoch 4/250
accuracy: 0.3915
Epoch 5/250
898/898 [============ ] - 3s 4ms/step - loss: 1.7580 -
accuracy: 0.3990
Epoch 6/250
accuracy: 0.4093
Epoch 7/250
898/898 [============ ] - 3s 4ms/step - loss: 1.7403 -
accuracy: 0.4182
Epoch 8/250
accuracy: 0.4267
Epoch 9/250
accuracy: 0.4350
Epoch 10/250
accuracy: 0.4400
Epoch 11/250
accuracy: 0.4507
Epoch 12/250
898/898 [=========== ] - 3s 4ms/step - loss: 1.7035 -
accuracy: 0.4558
Epoch 13/250
accuracy: 0.4630
Epoch 14/250
accuracy: 0.4699
Epoch 15/250
898/898 [=========== ] - 3s 4ms/step - loss: 1.6854 -
accuracy: 0.4736
Epoch 16/250
accuracy: 0.4830
Epoch 17/250
898/898 [============ ] - 3s 4ms/step - loss: 1.6749 -
```

```
accuracy: 0.4853
Epoch 18/250
898/898 [============ ] - 3s 4ms/step - loss: 1.6700 -
accuracy: 0.4905
Epoch 19/250
accuracy: 0.4947
Epoch 20/250
accuracy: 0.4974
Epoch 21/250
898/898 [=========== ] - 3s 4ms/step - loss: 1.6581 -
accuracy: 0.5024
Epoch 22/250
accuracy: 0.5051
Epoch 23/250
898/898 [============ ] - 3s 4ms/step - loss: 1.6512 -
accuracy: 0.5103
Epoch 24/250
accuracy: 0.5163
Epoch 25/250
accuracy: 0.5165
Epoch 26/250
accuracy: 0.5212
Epoch 27/250
accuracy: 0.5249
Epoch 28/250
898/898 [=========== ] - 3s 4ms/step - loss: 1.6361 -
accuracy: 0.5261
Epoch 29/250
accuracy: 0.5261
Epoch 30/250
accuracy: 0.5289
Epoch 31/250
898/898 [=========== ] - 3s 4ms/step - loss: 1.6306 -
accuracy: 0.5301
Epoch 32/250
accuracy: 0.5336
Epoch 33/250
898/898 [============ ] - 3s 4ms/step - loss: 1.6254 -
```

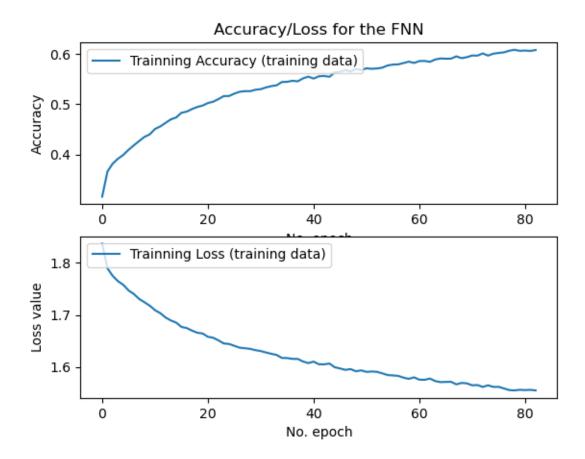
```
accuracy: 0.5362
Epoch 34/250
898/898 [=========== ] - 3s 4ms/step - loss: 1.6232 -
accuracy: 0.5378
Epoch 35/250
accuracy: 0.5444
Epoch 36/250
accuracy: 0.5448
Epoch 37/250
accuracy: 0.5468
Epoch 38/250
accuracy: 0.5457
Epoch 39/250
898/898 [=========== ] - 3s 4ms/step - loss: 1.6106 -
accuracy: 0.5517
Epoch 40/250
accuracy: 0.5551
Epoch 41/250
accuracy: 0.5513
Epoch 42/250
accuracy: 0.5559
Epoch 43/250
accuracy: 0.5565
Epoch 44/250
898/898 [============ ] - 3s 4ms/step - loss: 1.6067 -
accuracy: 0.5548
Epoch 45/250
accuracy: 0.5637
Epoch 46/250
accuracy: 0.5646
Epoch 47/250
898/898 [=========== ] - 3s 4ms/step - loss: 1.5945 -
accuracy: 0.5682
Epoch 48/250
accuracy: 0.5653
Epoch 49/250
898/898 [============ ] - 3s 4ms/step - loss: 1.5918 -
```

```
accuracy: 0.5703
Epoch 50/250
898/898 [=========== ] - 3s 4ms/step - loss: 1.5936 -
accuracy: 0.5682
Epoch 51/250
accuracy: 0.5717
Epoch 52/250
accuracy: 0.5707
Epoch 53/250
898/898 [============ ] - 3s 4ms/step - loss: 1.5907 -
accuracy: 0.5714
Epoch 54/250
accuracy: 0.5730
Epoch 55/250
898/898 [============ ] - 4s 4ms/step - loss: 1.5846 -
accuracy: 0.5773
Epoch 56/250
accuracy: 0.5791
Epoch 57/250
accuracy: 0.5795
Epoch 58/250
accuracy: 0.5822
Epoch 59/250
accuracy: 0.5850
Epoch 60/250
898/898 [============ ] - 3s 4ms/step - loss: 1.5801 -
accuracy: 0.5824
Epoch 61/250
accuracy: 0.5862
Epoch 62/250
accuracy: 0.5864
Epoch 63/250
898/898 [============ ] - 3s 4ms/step - loss: 1.5777 -
accuracy: 0.5846
Epoch 64/250
accuracy: 0.5892
Epoch 65/250
898/898 [============ ] - 3s 4ms/step - loss: 1.5710 -
```

```
accuracy: 0.5913
Epoch 66/250
898/898 [============ ] - 3s 4ms/step - loss: 1.5714 -
accuracy: 0.5909
Epoch 67/250
accuracy: 0.5910
Epoch 68/250
accuracy: 0.5956
Epoch 69/250
accuracy: 0.5919
Epoch 70/250
accuracy: 0.5939
Epoch 71/250
accuracy: 0.5972
Epoch 72/250
accuracy: 0.5970
Epoch 73/250
accuracy: 0.6014
Epoch 74/250
accuracy: 0.5971
Epoch 75/250
accuracy: 0.6009
Epoch 76/250
898/898 [============ ] - 3s 4ms/step - loss: 1.5619 -
accuracy: 0.6023
Epoch 77/250
accuracy: 0.6034
Epoch 78/250
accuracy: 0.6067
Epoch 79/250
898/898 [============ ] - 4s 4ms/step - loss: 1.5550 -
accuracy: 0.6086
Epoch 80/250
accuracy: 0.6066
Epoch 81/250
```

```
accuracy: 0.6071
    Epoch 82/250
    accuracy: 0.6064
    Epoch 83/250
    accuracy: 0.6082
[23]: # Plot history
     plt.figure()
     fig, ax = plt.subplots(2,1)
     ax[0].plot(history3.history['accuracy'], label='Trainning Accuracy (training_
     →data)')
     ax[1].plot(history3.history['loss'], label='Trainning Loss (training data)')
     # ax[0].plot(history1.history['val_accuracy'], label='Validation Accuracy_
     \hookrightarrow (training data)')
     # ax[1].plot(history1.history['val_loss'], label='Validation Loss (training_
     \rightarrow data)')
     ax[0].set_title('Accuracy/Loss for the FNN')
     ax[0].set_ylabel('Accuracy')
     ax[0].set_xlabel('No. epoch')
     ax[0].legend(loc="upper left")
     ax[1].set_ylabel('Loss value')
     ax[1].set_xlabel('No. epoch')
     ax[1].legend(loc="upper left")
     plt.show()
```

<Figure size 640x480 with 0 Axes>



Thus out of the three models, the first model with 1 hidden layers but increased neurons, performed the best on validation set accuracy of 46.57%.

## 0.0.9 (c.ii) (1 point)

Run the best model that was found based on the validation set from question (c.i) on the testing set. Report the emotion classification accuracy on the testing set.

```
test loss, test acc: [1.705011248588562, 0.45429208874702454]
```

### 0.0.10 (d) (4 points) Image classification with CNNs:

In this part, you will use a convolutional neural network (CNN) to perform the emotion classification task.

### 0.0.11 (d.i) (3 points)

Experiment on the validation set with different CNN hyper-parameters, e.g. #layers, filter size, stride size, activation function, dropout, weight regularization, etc. For each hyper-parameter combination that you have used, please report the following: (1) emotion classification accuracy on the training and validation sets; (2) running time for training the FNN; (3) # parameters for each CNN. How do these metrics compare to the FNN?

#### 0.0.12 Note:

In the next few CNN, the number of parameters are kept approximately same as what was for FNNs (1.3 million). Along with the easy of execution, this was also done to compare the accuracies directly, keeping the total number of parameters constant.

#### 0.0.13 Model 1:

The first CNN model has 64 filter Conv layer of kernel size 3, then a max pooling layer of size 2 followed by another Conv layer of 32 filters of kernel size 3 and max pooling layer of size 2. Both the conv layers had activation function of Relu. Then comes the fully connected layer with two hidden layers 375 and 350 neurons respectively, with activation function of Relu.

```
[26]: model4 = tf.keras.Sequential([tf.keras.layers.Conv2D(64, (3, 3),]
       →activation='relu', input_shape=(48,48,1)),
                                    tf.keras.layers.BatchNormalization(),
                                    tf.keras.layers.Dropout(0.15),
                                    tf.keras.layers.MaxPooling2D((2, 2)),
                                    tf.keras.layers.Conv2D(32, (3, 3), __
       →activation='relu'),
                                    tf.keras.layers.BatchNormalization(),
                                    tf.keras.layers.Dropout(0.15),
                                    tf.keras.layers.MaxPooling2D((2, 2)),
                                    tf.keras.layers.Flatten(),
                                    tf.keras.layers.Dense(375, activation=tf.nn.relu),
                                    tf.keras.layers.BatchNormalization(),
                                    tf.keras.layers.Dropout(0.15),
                                    tf.keras.layers.Dense(350, activation=tf.nn.relu),
                                    tf.keras.layers.BatchNormalization(),
                                    tf.keras.layers.Dropout(0.15)])
      model4.add(tf.keras.layers.Dense(len(C.keys()), activation='softmax'))
      model4.compile(loss=tf.keras.losses.CategoricalCrossentropy(from_logits=True)
                    , optimizer='Adam', metrics=['accuracy'])
      print(model4.summary())
```

Model: "sequential\_3"

Layer (type)	Output	Shape	Param #
conv2d (Conv2D)	(None,	46, 46, 64)	640
batch_normalization_8 (Batch	(None,	46, 46, 64)	256
dropout_8 (Dropout)	(None,	46, 46, 64)	0
max_pooling2d (MaxPooling2D)	(None,	23, 23, 64)	0
conv2d_1 (Conv2D)	(None,	21, 21, 32)	18464
batch_normalization_9 (Batch	(None,	21, 21, 32)	128
dropout_9 (Dropout)	(None,	21, 21, 32)	0
max_pooling2d_1 (MaxPooling2	(None,	10, 10, 32)	0
flatten_3 (Flatten)	(None,	3200)	0
dense_8 (Dense)	(None,	375)	1200375
batch_normalization_10 (Batc	(None,	375)	1500
dropout_10 (Dropout)	(None,	375)	0
dense_9 (Dense)	(None,	350)	131600
batch_normalization_11 (Batc	(None,	350)	1400
dropout_11 (Dropout)	(None,	350)	0
dense_10 (Dense)	(None,		2457
Total params: 1,356,820 Trainable params: 1,355,178 Non-trainable params: 1,642			
None			

```
Epoch 1/250
accuracy: 0.3548
Epoch 2/250
accuracy: 0.4185
Epoch 3/250
accuracy: 0.4470
Epoch 4/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.6868 -
accuracy: 0.4713
Epoch 5/250
accuracy: 0.4890
Epoch 6/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.6541 -
accuracy: 0.5060
Epoch 7/250
accuracy: 0.5148
Epoch 8/250
accuracy: 0.5188
Epoch 9/250
accuracy: 0.5307
Epoch 10/250
accuracy: 0.5524
Epoch 11/250
accuracy: 0.5611
Epoch 12/250
accuracy: 0.5678
Epoch 13/250
accuracy: 0.5782
Epoch 14/250
accuracy: 0.5881
Epoch 15/250
accuracy: 0.5974
Epoch 16/250
accuracy: 0.6072: 0s - loss: 1.5538 - accuracy:
```

```
Epoch 17/250
accuracy: 0.6147
Epoch 18/250
accuracy: 0.6159
Epoch 19/250
accuracy: 0.6302
Epoch 20/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.5242 -
accuracy: 0.6378
Epoch 21/250
accuracy: 0.6462
Epoch 22/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.5177 -
accuracy: 0.6444
Epoch 23/250
accuracy: 0.6521
Epoch 24/250
accuracy: 0.6667
Epoch 25/250
accuracy: 0.6700
Epoch 26/250
accuracy: 0.6703
Epoch 27/250
accuracy: 0.6817
Epoch 28/250
accuracy: 0.6831
Epoch 29/250
accuracy: 0.6817
Epoch 30/250
accuracy: 0.6841
Epoch 31/250
accuracy: 0.6892
Epoch 32/250
accuracy: 0.6925
```

```
Epoch 33/250
accuracy: 0.6979
Epoch 34/250
accuracy: 0.6901
Epoch 35/250
accuracy: 0.6991
Epoch 36/250
898/898 [============ ] - 7s 8ms/step - loss: 1.4570 -
accuracy: 0.7057
Epoch 37/250
accuracy: 0.7102
Epoch 38/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.4468 -
accuracy: 0.7165
Epoch 39/250
accuracy: 0.7195
Epoch 40/250
accuracy: 0.7146
Epoch 41/250
accuracy: 0.7135
Epoch 42/250
accuracy: 0.7184
Epoch 43/250
accuracy: 0.7290
Epoch 44/250
accuracy: 0.7344
Epoch 45/250
accuracy: 0.7345
Epoch 46/250
accuracy: 0.7331
Epoch 47/250
accuracy: 0.7435
Epoch 48/250
accuracy: 0.7358
```

```
Epoch 49/250
accuracy: 0.7410
Epoch 50/250
accuracy: 0.7504
Epoch 51/250
accuracy: 0.7500
Epoch 52/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.4147 -
accuracy: 0.7489
Epoch 53/250
accuracy: 0.7459
Epoch 54/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.4051 -
accuracy: 0.7588
Epoch 55/250
accuracy: 0.7563
Epoch 56/250
accuracy: 0.7640
Epoch 57/250
accuracy: 0.7628
Epoch 58/250
accuracy: 0.7606
Epoch 59/250
accuracy: 0.7652
Epoch 60/250
accuracy: 0.7652
Epoch 61/250
accuracy: 0.7625
Epoch 62/250
accuracy: 0.7623
Epoch 63/250
accuracy: 0.7730
Epoch 64/250
accuracy: 0.7770
```

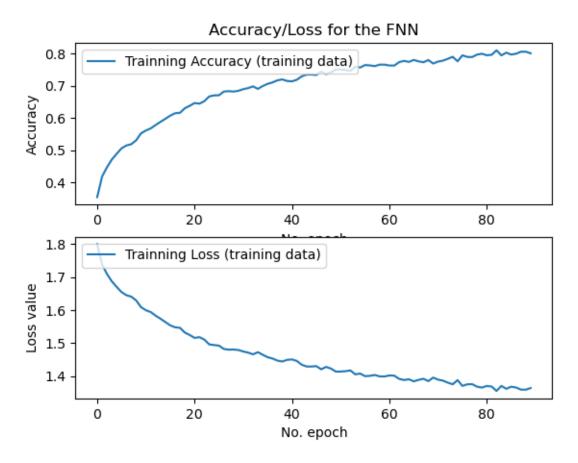
```
Epoch 65/250
accuracy: 0.7738
Epoch 66/250
accuracy: 0.7798
Epoch 67/250
accuracy: 0.7754
Epoch 68/250
898/898 [============ ] - 7s 8ms/step - loss: 1.3917 -
accuracy: 0.7727
Epoch 69/250
accuracy: 0.7794
Epoch 70/250
898/898 [============ ] - 7s 8ms/step - loss: 1.3953 -
accuracy: 0.7687
Epoch 71/250
accuracy: 0.7747
Epoch 72/250
accuracy: 0.7777
Epoch 73/250
accuracy: 0.7833
Epoch 74/250
accuracy: 0.7896
Epoch 75/250
accuracy: 0.7757
Epoch 76/250
accuracy: 0.7940
Epoch 77/250
accuracy: 0.7892
Epoch 78/250
accuracy: 0.7887
Epoch 79/250
accuracy: 0.7964
Epoch 80/250
accuracy: 0.7990
```

```
accuracy: 0.7944
    Epoch 82/250
    accuracy: 0.7956
    Epoch 83/250
    898/898 [============ ] - 7s 8ms/step - loss: 1.3549 -
    accuracy: 0.8097
    Epoch 84/250
    898/898 [============ ] - 7s 8ms/step - loss: 1.3701 -
    accuracy: 0.7939
    Epoch 85/250
    898/898 [========== ] - 7s 8ms/step - loss: 1.3613 -
    accuracy: 0.8025
    Epoch 86/250
    898/898 [=========== ] - 7s 8ms/step - loss: 1.3676 -
    accuracy: 0.7965
    Epoch 87/250
    accuracy: 0.7990
    Epoch 88/250
    accuracy: 0.8051
    Epoch 89/250
    898/898 [=========== ] - 7s 8ms/step - loss: 1.3586 -
    accuracy: 0.8054
    Epoch 90/250
    accuracy: 0.8004
[28]: # Plot history
    plt.figure()
    fig, ax = plt.subplots(2,1)
    ax[0].plot(history4.history['accuracy'], label='Trainning Accuracy (training_
     →data)')
    ax[1].plot(history4.history['loss'], label='Trainning Loss (training data)')
    # ax[0].plot(history1.history['val_accuracy'], label='Validation Accuracy_
     \hookrightarrow (training data)')
    \# ax[1].plot(history1.history['val_loss'], label='Validation Loss (training_loss'])
     \rightarrow data)')
    ax[0].set title('Accuracy/Loss for the FNN')
    ax[0].set_ylabel('Accuracy')
    ax[0].set_xlabel('No. epoch')
    ax[0].legend(loc="upper left")
    ax[1].set_ylabel('Loss value')
    ax[1].set_xlabel('No. epoch')
```

Epoch 81/250

```
ax[1].legend(loc="upper left")
plt.show()
```

<Figure size 640x480 with 0 Axes>



test loss, test acc: [1.6243261098861694, 0.5340022444725037]

Total trainning time: 649.8445291519165 seconds

## 0.0.14 Model 2 (CNN):

accuracy: 0.5340

The second CNN model has 64 filter Conv layer of kernel size 3, then a max pooling layer of size 2 followed by another Conv layer of 32 filters of kernel size 3 and max pooling layer of size 2. Both the conv layers had activation function of Relu. Then comes the fully connected layer with one hidden layers 425 neurons, with activation function of sigmoid.

```
[30]: model5 = tf.keras.Sequential([tf.keras.layers.Conv2D(64, (3, 3),
       →activation='relu', input_shape=(48,48,1)),
                                    tf.keras.layers.BatchNormalization(),
                                    tf.keras.layers.Dropout(0.15),
                                    tf.keras.layers.MaxPooling2D((2, 2)),
                                    tf.keras.layers.Conv2D(32, (3, 3),
      →activation='relu'),
                                    tf.keras.layers.BatchNormalization(),
                                    tf.keras.layers.Dropout(0.15),
                                    tf.keras.layers.MaxPooling2D((2, 2)),
                                    tf.keras.layers.Flatten(),
                                    tf.keras.layers.Dense(425, activation=tf.nn.
       ⇒sigmoid),
                                    tf.keras.layers.BatchNormalization(),
                                    tf.keras.layers.Dropout(0.15),])
      model5.add(tf.keras.layers.Dense(len(C.keys()), activation='softmax'))
      model5.compile(loss=tf.keras.losses.CategoricalCrossentropy(from_logits=True)
                    , optimizer='Adam', metrics=['accuracy'])
     print(model5.summary())
```

Model: "sequential\_4"

Layer (type)	Output Shape	 Param #
conv2d_2 (Conv2D)	(None, 46, 46, 64)	640
batch_normalization_12 (Batc	(None, 46, 46, 64)	256
dropout_12 (Dropout)	(None, 46, 46, 64)	0
max_pooling2d_2 (MaxPooling2	(None, 23, 23, 64)	0
conv2d_3 (Conv2D)	(None, 21, 21, 32)	18464
batch_normalization_13 (Batc	(None, 21, 21, 32)	128
dropout_13 (Dropout)	(None, 21, 21, 32)	0
max_pooling2d_3 (MaxPooling2	(None, 10, 10, 32)	0
flatten_4 (Flatten)	(None, 3200)	0
dense_11 (Dense)	(None, 425)	1360425
batch_normalization_14 (Batc	(None, 425)	1700
dropout_14 (Dropout)	(None, 425)	0

```
dense_12 (Dense) (None, 7)
                              2982
  ______
  Total params: 1,384,595
  Trainable params: 1,383,553
  Non-trainable params: 1,042
  _____
  None
[31]: callback = tf.keras.callbacks.EarlyStopping(monitor='accuracy', patience=7, ___
   →min delta=0.002)
   startTime = time.time()
   history5 = model5.fit(X, Y, epochs = 250, verbose=1, callbacks = [callback])
   endTime = time.time()
  Epoch 1/250
  accuracy: 0.3818
  Epoch 2/250
  accuracy: 0.4537
  Epoch 3/250
  898/898 [=========== ] - 7s 7ms/step - loss: 1.6736 -
  accuracy: 0.4849
  Epoch 4/250
  accuracy: 0.5105
  Epoch 5/250
  accuracy: 0.5311
  Epoch 6/250
  accuracy: 0.5482
  Epoch 7/250
  accuracy: 0.5678: 0s - loss: 1.5928
  Epoch 8/250
  accuracy: 0.5867
  Epoch 9/250
  accuracy: 0.6010
  Epoch 10/250
  accuracy: 0.6142
  Epoch 11/250
  898/898 [============ ] - 7s 7ms/step - loss: 1.5403 -
  accuracy: 0.6239
```

```
Epoch 12/250
accuracy: 0.6381
Epoch 13/250
accuracy: 0.6457
Epoch 14/250
accuracy: 0.6552
Epoch 15/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.4972 -
accuracy: 0.6673
Epoch 16/250
accuracy: 0.6725
Epoch 17/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.4844 -
accuracy: 0.6802
Epoch 18/250
accuracy: 0.6890
Epoch 19/250
accuracy: 0.6953
Epoch 20/250
accuracy: 0.6976
Epoch 21/250
accuracy: 0.7069
Epoch 22/250
accuracy: 0.7111
Epoch 23/250
accuracy: 0.7120
Epoch 24/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.4432 -
accuracy: 0.7206: 0s - 1
Epoch 25/250
accuracy: 0.7252:
Epoch 26/250
accuracy: 0.7300
Epoch 27/250
accuracy: 0.7358
```

```
Epoch 28/250
accuracy: 0.7419
Epoch 29/250
accuracy: 0.7447
Epoch 30/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.4252 -
accuracy: 0.7402
Epoch 31/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.4203 -
accuracy: 0.7450
Epoch 32/250
accuracy: 0.7493
Epoch 33/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.4150 -
accuracy: 0.7504
Epoch 34/250
accuracy: 0.7532
Epoch 35/250
accuracy: 0.7584
Epoch 36/250
accuracy: 0.7612
Epoch 37/250
accuracy: 0.7654
Epoch 38/250
accuracy: 0.7701
Epoch 39/250
accuracy: 0.7728
Epoch 40/250
accuracy: 0.7723
Epoch 41/250
accuracy: 0.7748
Epoch 42/250
accuracy: 0.7759
Epoch 43/250
accuracy: 0.7784
```

```
Epoch 44/250
accuracy: 0.7791
Epoch 45/250
accuracy: 0.7812
Epoch 46/250
accuracy: 0.7865
Epoch 47/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.3774 -
accuracy: 0.7872
Epoch 48/250
accuracy: 0.7924
Epoch 49/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.3734 -
accuracy: 0.7916
Epoch 50/250
accuracy: 0.7942
Epoch 51/250
accuracy: 0.7938
Epoch 52/250
898/898 [========== ] - 7s 7ms/step - loss: 1.3681 -
accuracy: 0.7968
Epoch 53/250
accuracy: 0.7999
Epoch 54/250
accuracy: 0.7952
Epoch 55/250
accuracy: 0.8002
Epoch 56/250
accuracy: 0.8051
Epoch 57/250
accuracy: 0.8047
Epoch 58/250
accuracy: 0.7999
Epoch 59/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.3581 -
accuracy: 0.8061
```

```
Epoch 60/250
accuracy: 0.8097
Epoch 61/250
accuracy: 0.8121
Epoch 62/250
accuracy: 0.8173
Epoch 63/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.3497 -
accuracy: 0.8147
Epoch 64/250
accuracy: 0.8148
Epoch 65/250
898/898 [============ ] - 7s 7ms/step - loss: 1.3512 -
accuracy: 0.8137
Epoch 66/250
accuracy: 0.8172
Epoch 67/250
accuracy: 0.8158
Epoch 68/250
898/898 [========== ] - 7s 7ms/step - loss: 1.3419 -
accuracy: 0.8225
Epoch 69/250
accuracy: 0.8173
Epoch 70/250
accuracy: 0.8215
Epoch 71/250
accuracy: 0.8226
Epoch 72/250
accuracy: 0.8211
Epoch 73/250
accuracy: 0.8225
Epoch 74/250
accuracy: 0.8261
Epoch 75/250
accuracy: 0.8293
```

```
Epoch 76/250
accuracy: 0.8264
Epoch 77/250
accuracy: 0.8328
Epoch 78/250
accuracy: 0.8347
Epoch 79/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.3312 -
accuracy: 0.8339
Epoch 80/250
accuracy: 0.8322
Epoch 81/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.3330 -
accuracy: 0.8319
Epoch 82/250
accuracy: 0.8341
Epoch 83/250
accuracy: 0.8381
Epoch 84/250
898/898 [========== ] - 7s 7ms/step - loss: 1.3281 -
accuracy: 0.8363
Epoch 85/250
accuracy: 0.8378
Epoch 86/250
accuracy: 0.8417
Epoch 87/250
accuracy: 0.8441
Epoch 88/250
accuracy: 0.8425
Epoch 89/250
accuracy: 0.8410
Epoch 90/250
898/898 [========== ] - 7s 7ms/step - loss: 1.3232 -
accuracy: 0.8418
Epoch 91/250
898/898 [============ ] - 7s 7ms/step - loss: 1.3183 -
accuracy: 0.8460
```

```
Epoch 92/250
accuracy: 0.8447
Epoch 93/250
accuracy: 0.8486
Epoch 94/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.3166 -
accuracy: 0.8482
Epoch 95/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.3162 -
accuracy: 0.8485
Epoch 96/250
accuracy: 0.8499
Epoch 97/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.3167 -
accuracy: 0.8483
Epoch 98/250
accuracy: 0.8517
Epoch 99/250
accuracy: 0.8518
Epoch 100/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.3091 -
accuracy: 0.8555
Epoch 101/250
accuracy: 0.8530
Epoch 102/250
accuracy: 0.8537
Epoch 103/250
accuracy: 0.8571
Epoch 104/250
accuracy: 0.8553
Epoch 105/250
accuracy: 0.8514
Epoch 106/250
accuracy: 0.8565
Epoch 107/250
accuracy: 0.8618
```

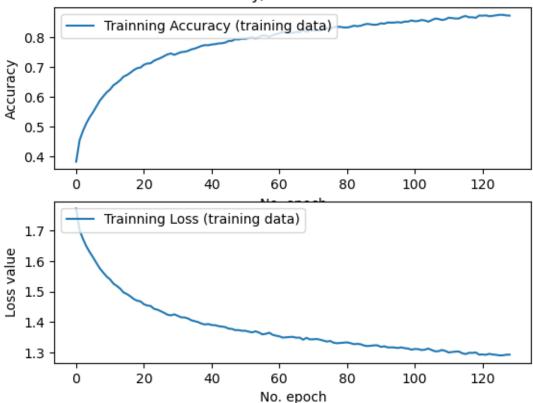
```
Epoch 108/250
accuracy: 0.8606
Epoch 109/250
accuracy: 0.8571
Epoch 110/250
accuracy: 0.8590
Epoch 111/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.2999 -
accuracy: 0.8647
Epoch 112/250
accuracy: 0.8633
Epoch 113/250
898/898 [=========== ] - 7s 7ms/step - loss: 1.3026 -
accuracy: 0.8622
Epoch 114/250
accuracy: 0.8620
Epoch 115/250
accuracy: 0.8675
Epoch 116/250
accuracy: 0.8705
Epoch 117/250
accuracy: 0.8661
Epoch 118/250
accuracy: 0.8663
Epoch 119/250
accuracy: 0.8643
Epoch 120/250
accuracy: 0.8720
Epoch 121/250
accuracy: 0.8715
Epoch 122/250
accuracy: 0.8727
Epoch 123/250
accuracy: 0.8698
```

```
Epoch 124/250
    accuracy: 0.8711
    Epoch 125/250
    898/898 [=========== ] - 7s 7ms/step - loss: 1.2921 -
    accuracy: 0.8728
    Epoch 126/250
    898/898 [============ ] - 7s 7ms/step - loss: 1.2901 -
    accuracy: 0.8745
    Epoch 127/250
    accuracy: 0.8744
    Epoch 128/250
    accuracy: 0.8726
    Epoch 129/250
    accuracy: 0.8719
[32]: # Plot history
    plt.figure()
    fig, ax = plt.subplots(2,1)
    ax[0].plot(history5.history['accuracy'], label='Trainning Accuracy (training_

data)')
    ax[1].plot(history5.history['loss'], label='Trainning Loss (training data)')
    # ax[0].plot(history1.history['val_accuracy'], label='Validation Accuracy_
     \hookrightarrow (training data)')
    # ax[1].plot(history1.history['val loss'], label='Validation Loss (training)
     \rightarrow data)')
    ax[0].set_title('Accuracy/Loss for the FNN')
    ax[0].set_ylabel('Accuracy')
    ax[0].set_xlabel('No. epoch')
    ax[0].legend(loc="upper left")
    ax[1].set_ylabel('Loss value')
    ax[1].set_xlabel('No. epoch')
    ax[1].legend(loc="upper left")
    plt.show()
```

<Figure size 640x480 with 0 Axes>

# Accuracy/Loss for the FNN



## 0.0.15 Model 3 (CNN):

The third CNN model has 64 filter Conv layer of kernel size 3, then a max pooling layer of size 2 followed by another Conv layer of 32 filters of kernel size 3 and max pooling layer of size 2. Both the conv layers had activation function of Relu. Then comes the fully connected layer with two hidden layers 450 and 350 neurons respectively, with activation function of sigmoid.)

```
[34]: model6 = tf.keras.Sequential([tf.keras.layers.Conv2D(64, (5, 5), □ →activation='relu', input_shape=(48,48,1)),

tf.keras.layers.BatchNormalization(),

tf.keras.layers.Dropout(0.15),
```

```
tf.keras.layers.MaxPooling2D((2, 2)),
                              tf.keras.layers.Conv2D(32, (5, 5),
 →activation='relu'),
                              tf.keras.layers.BatchNormalization(),
                              tf.keras.layers.Dropout(0.15),
                              tf.keras.layers.MaxPooling2D((2, 2)),
                              tf.keras.layers.Flatten(),
                              tf.keras.layers.Dense(450, activation=tf.nn.
⇒sigmoid),
                              tf.keras.layers.BatchNormalization(),
                              tf.keras.layers.Dropout(0.15),
                              tf.keras.layers.Dense(350, activation=tf.nn.
→sigmoid),
                              tf.keras.layers.BatchNormalization(),
                              tf.keras.layers.Dropout(0.15)])
model6.add(tf.keras.layers.Dense(len(C.keys()), activation='softmax'))
model6.compile(loss=tf.keras.losses.CategoricalCrossentropy(from_logits=True)
              , optimizer='Adam', metrics=['accuracy'])
print(model6.summary())
```

Model: "sequential\_5"

Layer (type)	Output	Shape	Param #
conv2d_4 (Conv2D)	(None,	44, 44, 64)	1664
batch_normalization_15 (Batc	(None,	44, 44, 64)	256
dropout_15 (Dropout)	(None,	44, 44, 64)	0
max_pooling2d_4 (MaxPooling2	(None,	22, 22, 64)	0
conv2d_5 (Conv2D)	(None,	18, 18, 32)	51232
batch_normalization_16 (Batc	(None,	18, 18, 32)	128
dropout_16 (Dropout)	(None,	18, 18, 32)	0
max_pooling2d_5 (MaxPooling2	(None,	9, 9, 32)	0
flatten_5 (Flatten)	(None,	2592)	0
dense_13 (Dense)	(None,	450)	1166850
batch_normalization_17 (Batc	(None,	450)	1800
dropout_17 (Dropout)	(None,	450)	0

```
(None, 350)
  dense_14 (Dense)
                                157850
  batch_normalization_18 (Batc (None, 350)
                                1400
         ._____
               (None, 350)
  dropout_18 (Dropout)
      _____
  dense_15 (Dense) (None, 7) 2457
  ______
  Total params: 1,383,637
  Trainable params: 1,381,845
  Non-trainable params: 1,792
  None
[35]: callback = tf.keras.callbacks.EarlyStopping(monitor='accuracy', patience=7,__
   \rightarrowmin_delta=0.002)
   startTime = time.time()
   history6 = model6.fit(X, Y, epochs = 250, verbose=1, callbacks = [callback])
   endTime = time.time()
  Epoch 1/250
  898/898 [=========== ] - 7s 8ms/step - loss: 1.8277 -
  accuracy: 0.3281
  Epoch 2/250
  accuracy: 0.3750
  Epoch 3/250
  accuracy: 0.3946
  Epoch 4/250
  accuracy: 0.4002
  Epoch 5/250
  accuracy: 0.4139
  Epoch 6/250
  accuracy: 0.4322
  Epoch 7/250
  accuracy: 0.4375
  Epoch 8/250
  accuracy: 0.4566
  Epoch 9/250
  898/898 [=========== ] - 7s 8ms/step - loss: 1.6894 -
  accuracy: 0.4691
```

```
Epoch 10/250
accuracy: 0.4754
Epoch 11/250
accuracy: 0.4850
Epoch 12/250
accuracy: 0.4955
Epoch 13/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.6542 -
accuracy: 0.5044
Epoch 14/250
accuracy: 0.5086
Epoch 15/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.6426 -
accuracy: 0.5173
Epoch 16/250
accuracy: 0.5208
Epoch 17/250
accuracy: 0.5342
Epoch 18/250
898/898 [========== ] - 7s 8ms/step - loss: 1.6251 -
accuracy: 0.5353
Epoch 19/250
accuracy: 0.5393
Epoch 20/250
accuracy: 0.5491
Epoch 21/250
accuracy: 0.5604
Epoch 22/250
accuracy: 0.5655
Epoch 23/250
accuracy: 0.5677
Epoch 24/250
accuracy: 0.5704
Epoch 25/250
accuracy: 0.5793
```

```
Epoch 26/250
accuracy: 0.5898
Epoch 27/250
accuracy: 0.5936
Epoch 28/250
accuracy: 0.5961
Epoch 29/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.5596 -
accuracy: 0.6024
Epoch 30/250
accuracy: 0.5970
Epoch 31/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.5561 -
accuracy: 0.6065
Epoch 32/250
accuracy: 0.6083
Epoch 33/250
accuracy: 0.6082
Epoch 34/250
accuracy: 0.6120
Epoch 35/250
accuracy: 0.6081
Epoch 36/250
accuracy: 0.6194
Epoch 37/250
accuracy: 0.6210
Epoch 38/250
accuracy: 0.6244
Epoch 39/250
accuracy: 0.6251
Epoch 40/250
accuracy: 0.6270
Epoch 41/250
accuracy: 0.6303
```

```
Epoch 42/250
accuracy: 0.6333
Epoch 43/250
accuracy: 0.6367
Epoch 44/250
accuracy: 0.6372
Epoch 45/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.5208 -
accuracy: 0.6419
Epoch 46/250
accuracy: 0.6439
Epoch 47/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.5167 -
accuracy: 0.6465
Epoch 48/250
accuracy: 0.6418
Epoch 49/250
accuracy: 0.6427
Epoch 50/250
accuracy: 0.6487
Epoch 51/250
accuracy: 0.6507
Epoch 52/250
accuracy: 0.6522
Epoch 53/250
accuracy: 0.6554
Epoch 54/250
accuracy: 0.6511
Epoch 55/250
accuracy: 0.6548
Epoch 56/250
accuracy: 0.6615
Epoch 57/250
accuracy: 0.6613
```

```
Epoch 58/250
accuracy: 0.6643
Epoch 59/250
accuracy: 0.6663
Epoch 60/250
accuracy: 0.6691
Epoch 61/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.4877 -
accuracy: 0.6757
Epoch 62/250
accuracy: 0.6705
Epoch 63/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.4874 -
accuracy: 0.6757
Epoch 64/250
accuracy: 0.6718
Epoch 65/250
accuracy: 0.6724
Epoch 66/250
accuracy: 0.6789
Epoch 67/250
accuracy: 0.6806
Epoch 68/250
accuracy: 0.6792
Epoch 69/250
accuracy: 0.6768
Epoch 70/250
accuracy: 0.6733
Epoch 71/250
accuracy: 0.6785
Epoch 72/250
accuracy: 0.6819
Epoch 73/250
accuracy: 0.6888
```

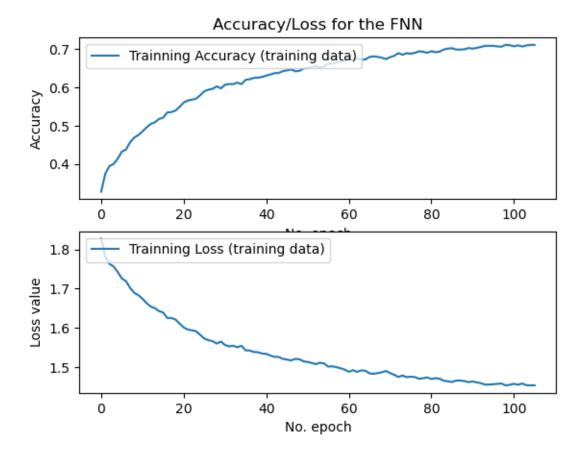
```
Epoch 74/250
accuracy: 0.6848
Epoch 75/250
accuracy: 0.6887
Epoch 76/250
accuracy: 0.6876
Epoch 77/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.4742 -
accuracy: 0.6896
Epoch 78/250
accuracy: 0.6936
Epoch 79/250
898/898 [============ ] - 7s 8ms/step - loss: 1.4712 -
accuracy: 0.6926
Epoch 80/250
accuracy: 0.6898
Epoch 81/250
accuracy: 0.6938
Epoch 82/250
898/898 [========== ] - 7s 8ms/step - loss: 1.4715 -
accuracy: 0.6914
Epoch 83/250
accuracy: 0.6928
Epoch 84/250
accuracy: 0.6983
Epoch 85/250
accuracy: 0.7006
Epoch 86/250
accuracy: 0.7017
Epoch 87/250
accuracy: 0.6982
Epoch 88/250
accuracy: 0.6981
Epoch 89/250
accuracy: 0.6989
```

```
Epoch 90/250
accuracy: 0.7022
Epoch 91/250
accuracy: 0.7005
Epoch 92/250
accuracy: 0.7027
Epoch 93/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.4585 -
accuracy: 0.7051
Epoch 94/250
accuracy: 0.7078
Epoch 95/250
898/898 [=========== ] - 7s 8ms/step - loss: 1.4551 -
accuracy: 0.7078
Epoch 96/250
accuracy: 0.7079
Epoch 97/250
accuracy: 0.7063
Epoch 98/250
accuracy: 0.7057
Epoch 99/250
accuracy: 0.7106
Epoch 100/250
accuracy: 0.7094
Epoch 101/250
accuracy: 0.7067
Epoch 102/250
accuracy: 0.7091
Epoch 103/250
accuracy: 0.7062
Epoch 104/250
accuracy: 0.7088
Epoch 105/250
accuracy: 0.7104
```

```
Epoch 106/250
     898/898 [============ ] - 7s 8ms/step - loss: 1.4532 -
     accuracy: 0.7103
[36]: # Plot history
      plt.figure()
      fig, ax = plt.subplots(2,1)
      ax[0].plot(history6.history['accuracy'], label='Trainning Accuracy (training⊔

data)')
      ax[1].plot(history6.history['loss'], label='Trainning Loss (training data)')
      \# \ ax[0].plot(history1.history['val\_accuracy'], \ label='Validation \ Accuracy\_
      \hookrightarrow (training data)')
      # ax[1].plot(history1.history['val_loss'], label='Validation Loss (training_
       \rightarrow data)')
      ax[0].set_title('Accuracy/Loss for the FNN')
      ax[0].set_ylabel('Accuracy')
      ax[0].set_xlabel('No. epoch')
      ax[0].legend(loc="upper left")
      ax[1].set_ylabel('Loss value')
      ax[1].set_xlabel('No. epoch')
      ax[1].legend(loc="upper left")
      plt.show()
```

<Figure size 640x480 with 0 Axes>



## 0.0.16 (d.ii) (1 point)

Run the best model that was found based on the validation set from question (d.i) on the testing set. Report the emotion classification accuracy on the testing set. How does this metric compare to the FNN?

### 0.0.17 (g) (Bonus - 1 point) Data augmentation:

Data augmentation is a way to increase the size of our dataset and reduce overfitting, especially when we use complicated models with many parameters to learn. Using any available toolbox or your own code, implement some of these techniques and augment the original FER data.

#### 0.0.18 Augmented Data set:

[43]: (1000, 48, 48, 1)

The augmented set below generates a new image by rotation (from -30 degrees to +30 degrees), Shifting horizontally or vertically and completely flipping the image). A total of 1000 new images have been generated from a sample of 5000 original images. Only 5000 were chosen, since my computer didnt have enough resources to use the complete dataset.

```
[39]: from tensorflow.keras.preprocessing.image import ImageDataGenerator
[40]: datagen = ImageDataGenerator(
          featurewise_center=True,
          featurewise_std_normalization=True,
          rotation_range=30,
          width_shift_range=0.2,
          height_shift_range=0.2,
          horizontal_flip=True)
      datagen.fit(X)
[41]: X aug = []
      Y_{aug} = []
      for X batch, y batch in datagen.flow(X[:5000], Y[:5000], batch size=1000):
          for i in range(1000):
              max_ele = X_batch[i].max()
              min_ele = X_batch[i].min()
              X batch[i] = (X_batch[i]-min ele)/(max ele - min_ele + 0.000000000001)
              X_aug.append(X_batch[i])
              Y_aug.append(y_batch[i])
          break
[42]: X_aug = np.array(X_aug)
      X_{\text{aug}} = X_{\text{aug.reshape}}(X_{\text{aug.shape}}[0], 48, 48, 1)
      Y_aug = tf.keras.utils.to_categorical(Y_aug, 7)
[43]: X_aug.shape
```

#### 0.0.19 (e) (1 point) Bayesian optimization for hyper-parameter tuning:

Instead of performing grid or random search to tune the hyper-parameters of the CNN, we can also try a model-based method for finding the optimal hyper-parameters through Bayesian optimization. This method performs a more intelligent search on the hyper-parameter space in order to estimate the best set of hyper-parameters for the data. Use publicly available libraries (e.g., hyperopt in

Python) to perform a Bayesian optimization on the hyper-parameter space using the validation set. Re-port the emotion classification accuracy on the testing set.

#### 0.0.20 Soln:

For the code below, the first model of CNN has been taken. The parameters searched are dropuout probabilities (ranging from 0.1 to 0.35) and kernel size for convolutions (ranging from 1x3 or 3x3).

```
[46]: from hyperopt import hp, fmin, tpe, STATUS_OK, Trials
     from tensorflow.keras import Sequential
     from tensorflow.keras.layers import Dense, Conv2D, MaxPooling2D, Flatten,
      →Dropout
     from tensorflow.keras.utils import to_categorical
     def optimize_cnn(hyperparameter):
       # Define model using hyperparameters
         cnn_model = tf.keras.Sequential([tf.keras.layers.Conv2D(64,__
      →kernel_size=hyperparameter['conv_kernel_size'], activation='relu',
      \rightarrowinput_shape=(48,48,1)),
                                   tf.keras.layers.BatchNormalization(),
                                   tf.keras.layers.Dropout(rate = __
      →hyperparameter['dropout_prob']),
                                   tf.keras.layers.
      tf.keras.layers.Conv2D(32, __
      wkernel_size=hyperparameter['conv_kernel_size'], activation='relu'),
                                   tf.keras.layers.BatchNormalization(),
                                   tf.keras.layers.
      →Dropout(rate=hyperparameter['dropout_prob']),
                                   tf.keras.layers.

→MaxPooling2D(pool size=hyperparameter['conv kernel size']),
                                   tf.keras.layers.Flatten(),
                                   tf.keras.layers.Dense(425, activation=tf.nn.
      ⇒sigmoid),
                                   tf.keras.layers.BatchNormalization(),
                                   tf.keras.layers.Dropout(rate = __
      →hyperparameter['dropout_prob']),])
         cnn_model.add(tf.keras.layers.Dense(7, activation='softmax'))
         cnn_model.compile(optimizer='Adam', loss='categorical_crossentropy', __
       →metrics=['accuracy'],)
         train_X, train_y = X, Y
         valid_X, valid_y = X_valid, Y_valid
         cnn_model.fit(train_X, train_y, epochs=100, batch_size=256, verbose=0)
```

```
# Evaluate accuracy on validation data
    performance = cnn_model.evaluate(valid_X, valid_y, verbose=0)
    print("Hyperparameters: ", hyperparameter, "Accuracy: ", performance[1])
    print("----")
    return({"status": STATUS_OK, "loss": -1*performance[1], "model":cnn_model})
# Define search space for hyper-parameters
space = {
    # The kernel_size for convolutions:
    'conv_kernel_size': hp.choice('conv_kernel_size', [1,3]),
    # Uniform distribution in finding appropriate dropout values
    'dropout_prob': hp.choice('dropout_prob', [0.1, 0.2, 0.3]),
trials = Trials()
# Find the best hyperparameters
best = fmin(
       optimize_cnn,
       space,
       algo=tpe.suggest,
       trials=trials,
       max_evals=5,
    )
print("======="")
print("Best Hyperparameters", best)
# Find trial which has minimum loss value and use that model to perform
 →evaluation on the test data
test_model = trials.results[np.argmin([r['loss'] for r in trials.
 →results])]['model']
performance = test_model.evaluate(X_test, Y_test)
print("======="")
print("Test Accuracy: ", performance[1])
Hyperparameters:
{'conv_kernel_size': 1, 'dropout_prob': 0.1}
Accuracy:
0.39966556429862976
Hyperparameters:
{'conv_kernel_size': 3, 'dropout_prob': 0.1}
```

```
Accuracy:
0.5490524172782898
Hyperparameters:
{'conv_kernel_size': 3, 'dropout_prob': 0.2}
Accuracy:
0.5618728995323181
Hyperparameters:
{'conv_kernel_size': 1, 'dropout_prob': 0.3}
Accuracy:
0.3940914273262024
Hyperparameters:
{'conv_kernel_size': 3, 'dropout_prob': 0.2}
Accuracy:
0.5515607595443726
         | 5/5 [42:08<00:00, 505.70s/trial, best loss:
-0.5618728995323181
_____
Best Hyperparameters {'conv_kernel_size': 1, 'dropout_prob': 1}
         ______
       ValueError
                                             Traceback (most recent call_
→last)
       <ipython-input-46-1898a14f5022> in <module>
        60 test_model = trials.results[np.argmin([r['loss'] for r in trials.
→results])]['model']
   ---> 62 performance = test_model.evaluate(X_test, Y_test)
        63
        64 print("======="")
       ~\.
→conda\envs\gputensorflow\lib\site-packages\tensorflow\python\keras\engine\training.
→py in _method_wrapper(self, *args, **kwargs)
            def _method_wrapper(self, *args, **kwargs):
       106
              if not self._in_multi_worker_mode(): # pylint:_u
       107
→disable=protected-access
   --> 108
                return method(self, *args, **kwargs)
       109
       110
             # Running inside `run_distribute_coordinator` already.
```

```
~\.
      →conda\envs\gputensorflow\lib\site-packages\tensorflow\python\keras\engine\training.
      ⇒py in evaluate(self, x, y, batch size, verbose, sample weight, steps,
      →callbacks, max_queue_size, workers, use_multiprocessing, return_dict)
                            use_multiprocessing=use_multiprocessing,
            1354
            1355
                            model=self,
         -> 1356
                             steps_per_execution=self._steps_per_execution)
            1357
            1358
                       # Container that configures and calls `tf.keras.Callback`s.
             ~\.
      →conda\envs\gputensorflow\lib\site-packages\tensorflow\python\keras\engine\data adapter.
      →py in __init__(self, x, y, sample_weight, batch_size, steps_per_epoch,_
      →initial_epoch, epochs, shuffle, class_weight, max_queue_size, workers,
      →use_multiprocessing, model, steps_per_execution)
                         use_multiprocessing=use_multiprocessing,
                         distribution_strategy=ds_context.get_strategy(),
            1116
         -> 1117
                         model=model)
            1118
                     strategy = ds_context.get_strategy()
            1119

→conda\envs\gputensorflow\lib\site-packages\tensorflow\python\keras\engine\data_adapter.

      →py in __init__(self, x, y, sample_weights, sample_weight_modes, batch_size,_
      →epochs, steps, shuffle, **kwargs)
             280
                            label, ", ".join(str(i.shape[0]) for i in nest.
      →flatten(data)))
             281
                      msg += "Please provide data which shares the same first⊔
      →dimension."
         --> 282
                       raise ValueError(msg)
             283
                     num_samples = num_samples.pop()
             284
             ValueError: Data cardinality is ambiguous:
           x sizes: 3588
           y sizes: 1000
         Please provide data which shares the same first dimension.
[48]: performance = test_model.evaluate(X_test, Y_test)
     print("======="")
```