# Recurrent Neural Networks

Nipun Batra and teaching staff

IIT Gandhinagar

August 30, 2025

#### Table of Contents

- 1. Introduction and Motivation
- 2. Basic RNN Architecture
- 3. RNN Applications
- 4. Advanced Variants
- 5. Modern Context
- 6. Summary

Introduction and Motivation

## Why Sequential Data Matters

#### **Example: Sequential Data Examples**

- Text: "The quick brown fox jumps..."
- Speech: Audio waveforms over time
- Stock Prices: Daily market values
- Weather: Temperature, humidity over days

#### Important: Challenge

Traditional feedforward networks treat inputs independently

- they can't capture temporal dependencies.

# Basic RNN Architecture

### Simple RNN Cell

#### **Definition: RNN Equations**

$$h_t = \tanh(W_{hh}h_{t-1} + W_{xh}x_t + b_h)$$
 (1)

$$y_t = W_{hy}h_t + b_y \tag{2}$$

#### **Key Points: Important**

- · Same weights shared across all time steps
- · Hidden state acts as "memory"
- · Can process variable length sequences

# Pop Quiz #1

#### **Answer this!**

# What happens to gradients in simple RNNs during backpropagation?

- A) They remain constant
- B) They can explode or vanish
- C) They always improve
- D) They disappear completely

# Pop Quiz #1

#### **Answer this!**

# What happens to gradients in simple RNNs during backpropagation?

- A) They remain constant
- B) They can explode or vanish
- C) They always improve
- D) They disappear completely

Answer: B) They can explode or vanish

#### The Issue

#### Important: The Gradient Problem

- Gradients multiply by  $W_{hh}$  at each time step
- If  $||W_{hh}|| > 1$ : Exploding gradients
- If  $||W_{hh}|| < 1$ : Vanishing gradients

**RNN Applications** 

## Sentiment Analysis (Many-to-One)

#### **Example: Sequence Classification**

- Input: "This movie is great!"
- Process each word sequentially
- Output: Positive/Negative sentiment

#### **Key Points: Applications**

Document classification, spam detection, review analysis

# Machine Translation (Many-to-Many)

#### **Example: Sequence-to-Sequence**

• **Encoder**: French → "Je suis étudiant"

• Context: Hidden representation

• **Decoder**: English  $\rightarrow$  "I am student"

# **Advanced Variants**

### LSTM: Long Short-Term Memory

#### **Definition: LSTM Key Idea**

Use gates to control information flow:

- Forget gate: What to remove from memory
- Input gate: What new information to store
- Output gate: What parts of memory to output

#### **Theorem: Advantage**

LSTM gates solve the vanishing gradient problem by allowing gradients to flow unchanged through time.

#### GRU: Gated Recurrent Unit

#### **Key Points: GRU vs LSTM**

- Simpler: Only 2 gates instead of 3
- Faster training and inference
- Often performs similarly to LSTM
- Good starting point for many applications

# Modern Context

#### From RNNs to Transformers

#### **Theorem: Why Transformers Won**

- Parallelizable: No sequential dependency
- Long-range dependencies: Attention mechanism
- Scalable: Works well with large datasets
- Transfer learning: Pre-trained models (GPT, BERT)

#### When to Still Use RNNs

#### **Definition: RNN Strengths**

- Memory efficiency: Constant memory usage
- Online processing: Can process streaming data
- Small datasets: Less prone to overfitting
- Simple problems: Often sufficient

#### **Example: Modern Applications**

- · Real-time speech recognition
- · IoT sensor data processing
- Mobile applications
- Control systems

# Summary

### Key Takeaways

#### Key Points: What we learned

- 1. RNNs process sequential data with memory
- 2. Simple RNNs suffer from gradient problems
- 3. LSTM and GRU solve long-term dependencies
- 4. Training uses Backpropagation Through Time
- 5. Transformers have largely replaced RNNs for NLP

#### Theorem: The Big Picture

RNNs introduced **sequential processing with memory** to deep learning, paving the way for modern language models.