

Satisfiability Modulo Theories

Lecture 8 - Introduction to SMT-based Model-Checking

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Roberto Bruttomesso

Seminario di Logica Matematica
(Corso Prof. Silvio Ghilardi)

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1 Basics

- Modeling
- Checking
- Implementing a Model-Checker

2 MCMT

- Two simple protocols



Introduction

Model-Checking is a set of techniques to approach the verification of a system (e.g., a hardware circuit, a program, a protocol)

It was proposed by Clarke-Emerson and Sifakis-Quine as a way of automatically prove properties of a system

The authors received the Turing Award in 2007

The idea of model-checking was in contrast with the established “philosophy” at that time (~ 1980) which was suggesting semi-automatic human-driven approaches: MC is loved by industry because of this “push-button” characteristic



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Model-Checking - Modeling

In MC we model the behavior of a system with the notion of **state**. A state is a configuration of the system at a particular time instant

The system can change state by means of a **transition**

We are interested in a **property** of the system



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Model-Checking - Modeling

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We are interested in a **property** of the system

Example:

- System: a washing machine
- A state: “the door is open and the engine is off”
- A transition: “if the door is open then close the door”
- A property: “When the engine is on, the door is closed”



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System to Model



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State variables can be used to describe a particular state

State variable	Values
door	open, closed
tray	empty, filled
engine	off, on



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State variable	Values
door	open, closed
tray	empty, filled
engine	off, on

E.g.:

door=open
engine=on
tray=empty

which stands for “the door is open, the engine is on, and the tray is empty”.



State variables can be used to describe a particular state

State variable	Values
door	open, closed
tray	empty, filled
engine	off, on

E.g.:

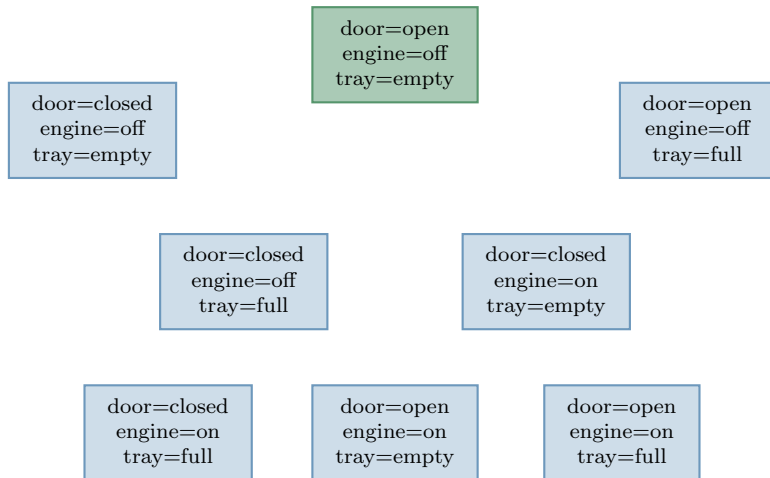
door=open
engine=on
tray=empty

which stands for “the door is open, the engine is on, and the tray is empty”. How many different states can we describe with our state variables ?



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Modeling - States



Some states are called **initial** (green). Initial states are the configurations of the system at time 0

Modeling - Transitions

Transitions describe the evolution of the system. They transform the “current” state into a “next” state



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Modeling - Transitions

Transitions describe the evolution of the system. They transform the “current” state into a “next” state

Transition				Name
if	door=open	then	door'=closed	[close_door]
if	tray=empty	then	tray'=full	[fill_tray]
if	engine=off door=closed	then	engine'=on tray'=empty	[start_wash]
if	door=closed	then	door'=open engine'=off	[open_door]

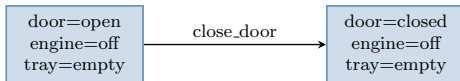
var' indicates the value of var in the next state



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Modeling - Transitions

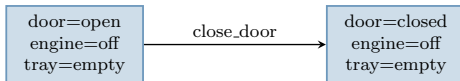
if door=open then door'=closed [close_door]



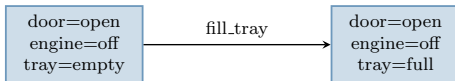
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Modeling - Transitions

if door=open then door'=closed [close_door]



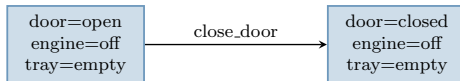
if tray=empty then tray'=full [fill_tray]



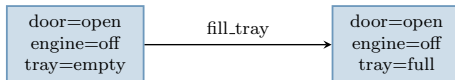
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Modeling - Transitions

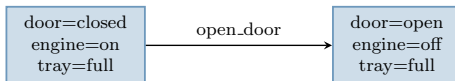
if door=open then door'=closed [close_door]



if tray=empty then tray'=full [fill_tray]

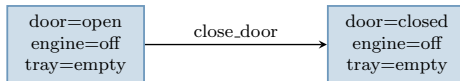


if door=closed then door'=open engine'=off [open_door]

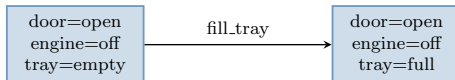


Modeling - Transitions

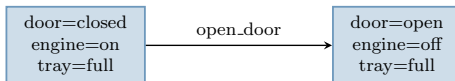
if door=open then door'=closed [close_door]



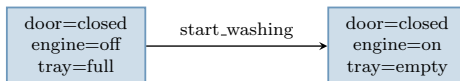
if tray=empty then tray'=full [fill_tray]



if door=closed then door'=open
engine'=off [open_door]



if door=closed
engine=off then tray'=empty
engine'=on [start_washing]



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Modeling - (Safety) Property

Last step, we need to model the property

“when the engine is on the door is closed”

It is a **safety** property: they are easy to define as they are properties of the states



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Modeling - (Safety) Property

Last step, we need to model the property

“when the engine is on the door is closed”

It is a **safety** property: they are easy to define as they are properties of the states

We call **bad state** (or unsafe state) a state that does not satisfy the property

door=open
engine=on
tray=full



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Checking (= Reachability)

To establish if a model satisfies a safety property amounts to check if some **bad state is reachable** from the set of initial states

This can be done automatically by **visiting** the set of states that are **reachable** from the initial state with the application of a transition



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To establish if a model satisfies a safety property amounts to check if some **bad state is reachable** from the set of initial states

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Let $S^{(0)}$ be the set of initial states. Algorithmically, it amounts to implement the following loop (iteration i)

Forward-Reachability

Safety Check	If $S^{(i)}$ contains a bad state, return unsafe
Next States	Compute $S^{(i+1)} := S^{(i)} \cup T(S^{(i)})$
Fix-Point Check	If $S^{(i+1)} \equiv S^{(i)}$, return safe

$T(S^{(i)})$ = states that can be reached from $S^{(i)}$ with a transition



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Forward-Reachability

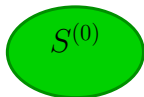
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Forward-Reachability

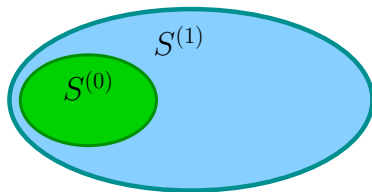
Safety Check
Next States
Fix-Point Check

If $S^{(i)}$ contains a bad state, return **unsafe**
Compute $S^{(i+1)} := S^{(i)} \cup T(S^{(i)})$
If $S^{(i+1)} \equiv S^{(i)}$, return **safe**



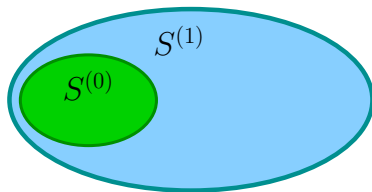
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Forward-Reachability

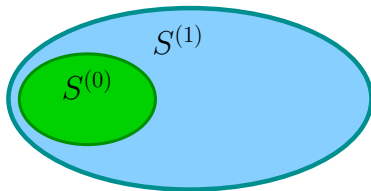
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Forward-Reachability

Safety Check
Next States
Fix-Point Check

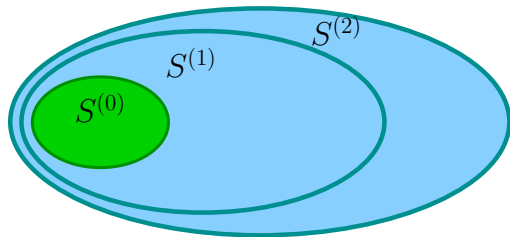
If $S^{(i)}$ contains a bad state, return **unsafe**
Compute $S^{(i+1)} := S^{(i)} \cup T(S^{(i)})$
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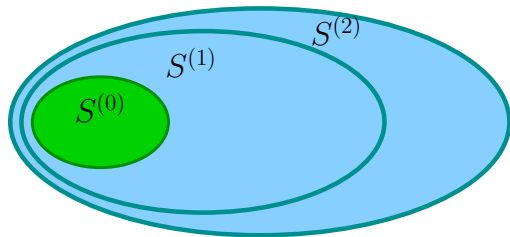
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Checking - Forward Reachability - Property Verified

Forward-Reachability

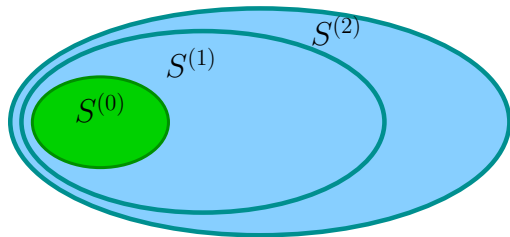
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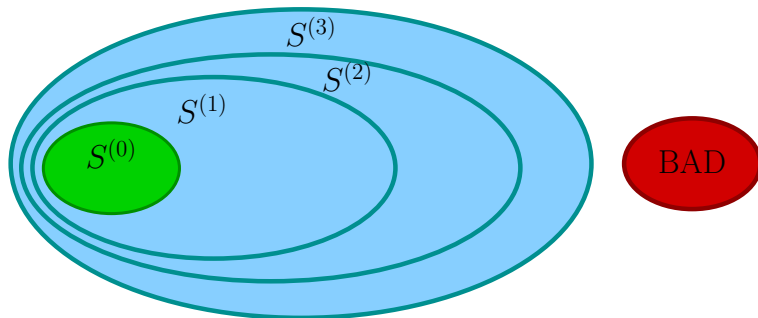
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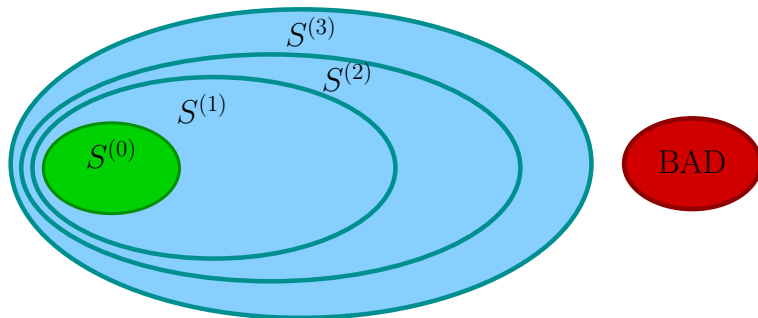


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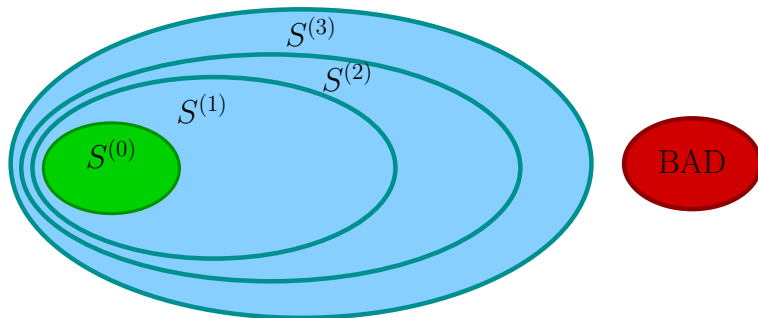


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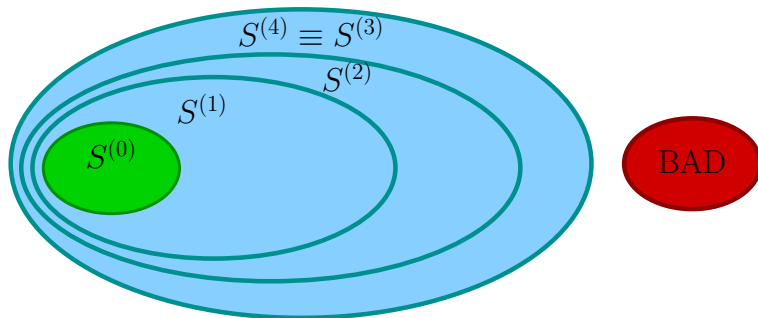


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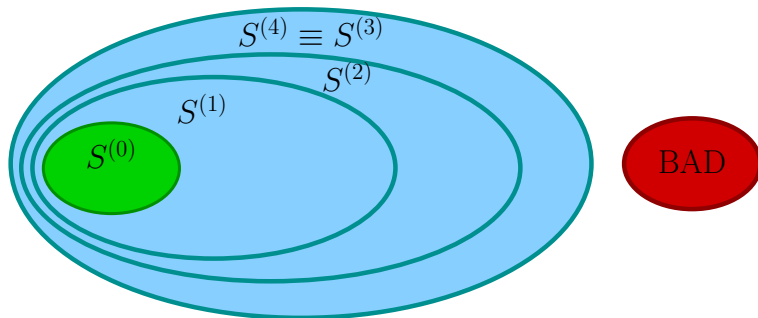


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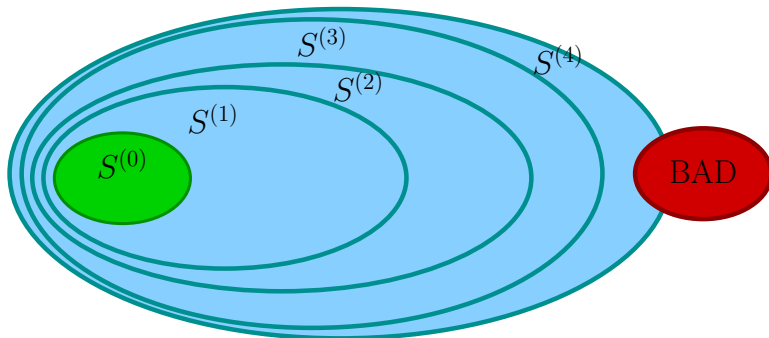


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Checking - Forward Reachability - Property Not Verified

Forward-Reachability

Safety Check	If $S^{(i)}$ contains a bad state, return unsafe
Next States	Compute $S^{(i+1)} := S^{(i)} \cup T(S^{(i)})$
Fix-Point Check	If $S^{(i+1)} \equiv S^{(i)}$, return safe

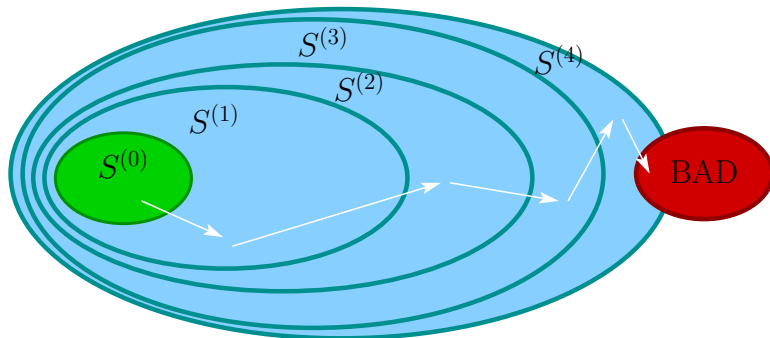


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Checking - Forward Reachability - Property Not Verified

Forward-Reachability

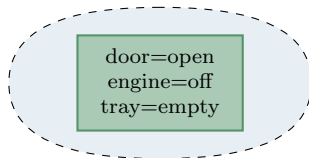
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Back to the washing machine

Iteration: 0



door=open
engine=on
tray=empty

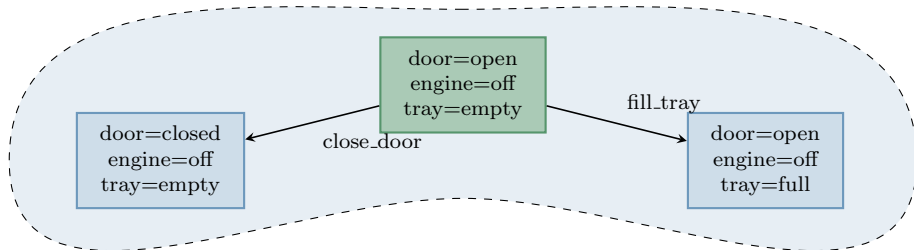
door=open
engine=on
tray=full



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Back to the washing machine

Iteration: 1



`door=open`
`engine=on`
`tray=empty`

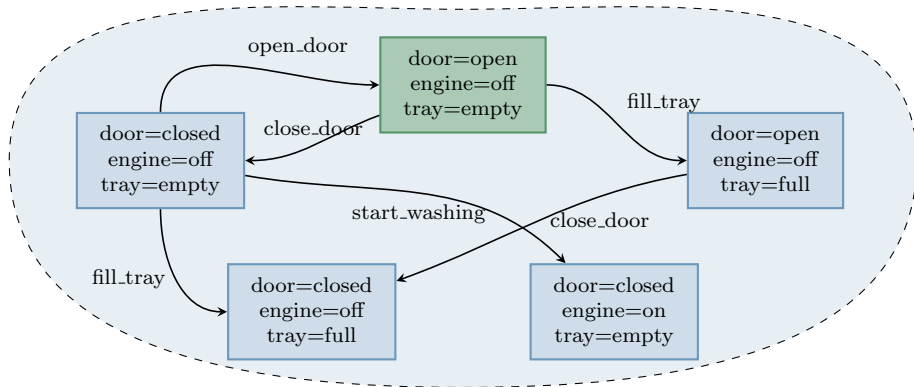
`door=open`
`engine=on`
`tray=full`



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Back to the washing machine

Iteration: 2



door=open
engine=on
tray=empty

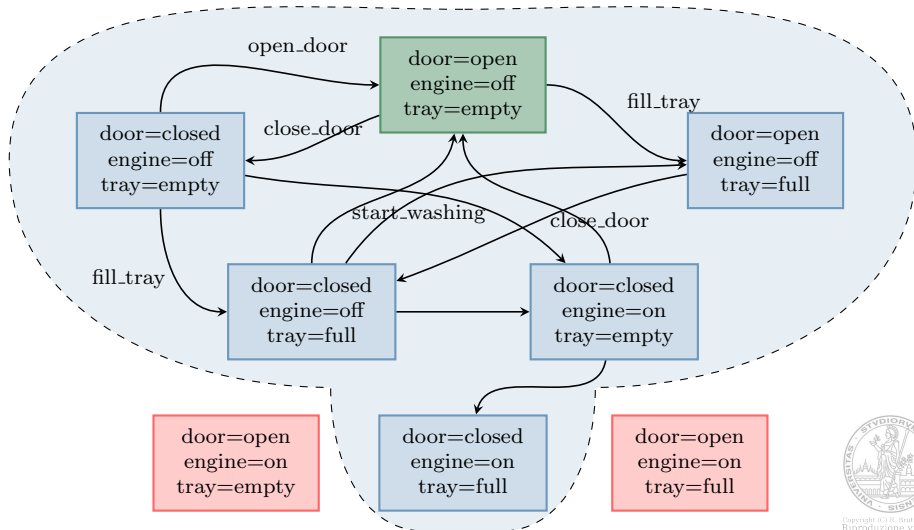
door=open
engine=on
tray=full



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Back to the washing machine

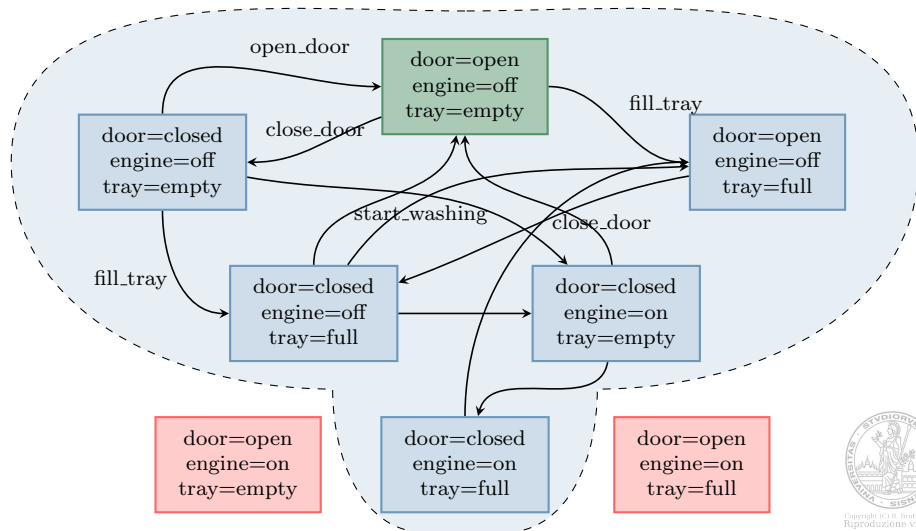
Iteration: 3



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Back to the washing machine

Iteration: 4 - Fix Point Reached - System is SAFE



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Checking - Backward Reachability

Backward-Reachability ($S^{(0)} \equiv$ “bad states”)

Safety Check

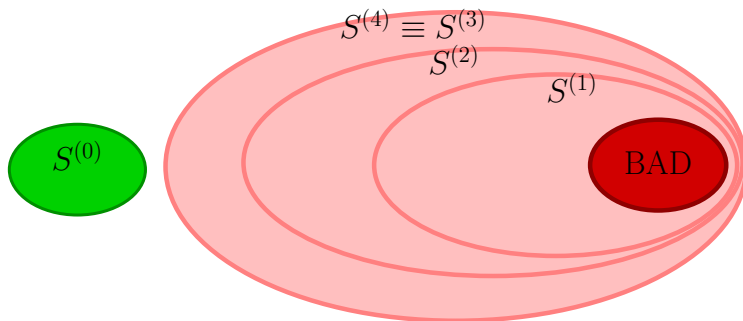
If $S^{(i)}$ contains an initial, return **unsafe**

Next States

Compute $S^{(i+1)} := S^{(i)} \cup T^{-1}(S^{(i)})$

Fix-Point Check

If $S^{(i+1)} \equiv S^{(i)}$, return **safe**



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Checking - Backward Reachability

Backward-Reachability ($S^{(0)} \equiv$ “bad states”)

Safety Check

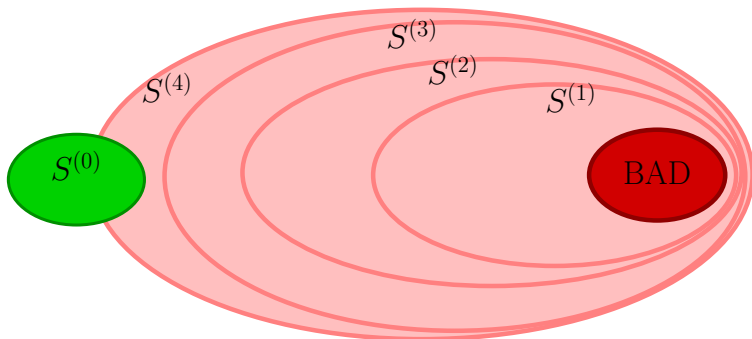
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If $S^{(i+1)} \equiv S^{(i)}$, return **safe**



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Implementing a Model-Checker

In order to implement model-checker we need:

- 1 representing large sets of states
- 2 computing $T(S^{(i)})$
- 3 check if bad states are in $S^{(i)}$
- 4 check if $S^{(i)} \equiv S^{(i+1)}$



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Implementing a Model-Checker

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The naive way would be to represent states **explicitly** (e.g., with a **C struct** containing values for state variables)

Very few model-checkers adopt this method (e.g., SPIN)



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The naive way would be to represent states **explicitly** (e.g., with a `C struct` containing values for state variables)

Very few model-checkers adopt this method (e.g., SPIN)

A more powerful approach represents states **symbolically**, by means of SAT/SMT-formulae: each set of states S is represented by a formula ϕ such that S corresponds to the models of ϕ



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Symbolic Model-Checking - Representing States

Examples:

door=open
engine=on
tray=empty

$\text{door_open} \wedge \text{engine_on} \wedge \neg \text{tray_full}$



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Symbolic Model-Checking - Representing States

Examples:

door=open
engine=on
tray=empty

$\text{door_open} \wedge \text{engine_on} \wedge \neg \text{tray_full}$

door=closed
engine=on
tray=empty

$\neg \text{door_open} \wedge \text{engine_on} \wedge \neg \text{tray_full}$



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Symbolic Model-Checking - Representing States

Examples:

door=open
engine=on
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$\text{door_open} \wedge \text{engine_on} \wedge \neg \text{tray_full}$

door=closed
engine=on
tray=empty

$\neg \text{door_open} \wedge \text{engine_on} \wedge \neg \text{tray_full}$

door=open
engine=on
tray=empty

door=closed
engine=on
tray=empty

$\text{engine_on} \wedge \neg \text{tray_full}$



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Symbolic Model-Checking - Representing States

Examples:

door=open
engine=on
tray=empty

$\text{door_open} \wedge \text{engine_on} \wedge \neg \text{tray_full}$

door=closed
engine=on
tray=empty

$\neg \text{door_open} \wedge \text{engine_on} \wedge \neg \text{tray_full}$

door=open
engine=on
tray=empty

door=closed
engine=on
tray=empty

$\text{engine_on} \wedge \neg \text{tray_full}$

Also, it is easy to see that:

$$\begin{array}{ll} S_1 \cup S_2 & \phi_1 \vee \phi_2 \\ S_1 \cap S_2 & \phi_1 \wedge \phi_2 \\ S_1 \subseteq S_2 & \phi_1 \rightarrow \phi_2 \end{array}$$



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Symbolic Model-Checking - Representing Transitions

Transitions are also represented as formulæ between state variables and their primed versions

if door=closed then tray'=empty
 engine=off engine'=on [start_washing]

$\neg \text{door_open} \wedge \neg \text{engine_on} \wedge \neg \text{door_open}' \wedge \text{engine_on}' \wedge \neg \text{tray_full}'$



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Symbolic Model-Checking - Representing Transitions

Transitions are also represented as formulæ between state variables and their primed versions

if door=closed then tray'=empty
 engine=off engine'=on [start_washing]

$$\neg \text{door_open} \wedge \neg \text{engine_on} \wedge \neg \text{door_open}' \wedge \text{engine_on}' \wedge \neg \text{tray_full}'$$

This formula says that the following pair of states are related

$$\neg \text{door_open} \wedge \neg \text{engine_on} \wedge \neg \text{tray_full} \qquad \neg \text{door_open}' \wedge \text{engine_on}' \wedge \neg \text{tray_full}'$$

$$\neg \text{door_open} \wedge \neg \text{engine_on} \wedge \text{tray_full} \qquad \neg \text{door_open}' \wedge \text{engine_on}' \wedge \neg \text{tray_full}'$$



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Symbolic Model-Checking - Computing Next State

From a set of states $S^{(i)}$, represented symbolically by a formula $\phi(\vec{s})$, and a transition t_j , represented symbolically by a formula $\psi(\vec{s}, \vec{s}')$, the next states $t_j(S^{(i)})$ can be expressed as

$$\exists \vec{s}. \phi(\vec{s}) \wedge \psi(\vec{s}, \vec{s}')$$

By means of an operation called **quantifier elimination**, we can remove \vec{s} . If then we rename \vec{s}' as \vec{s} we obtain the symbolic representation of $t_j(S^{(i)})$



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Symbolic Model-Checking - Computing Next State

From a set of states $S^{(i)}$, represented symbolically by a formula $\phi(\vec{s})$, and a transition t_j , represented symbolically by a formula $\psi(\vec{s}, \vec{s}')$, the next states $t_j(S^{(i)})$ can be expressed as

$$\exists \vec{s}. \phi(\vec{s}) \wedge \psi(\vec{s}, \vec{s}')$$

By means of an operation called **quantifier elimination**, we can remove \vec{s} . If then we rename \vec{s}' as \vec{s} we obtain the symbolic representation of $t_j(S^{(i)})$

Example:

$$\begin{aligned}\phi &\equiv \neg \text{door_open} \wedge \neg \text{engine_on} \\ \psi &\equiv \neg \text{door_open} \wedge \neg \text{engine_on} \wedge \neg \text{door_open}' \wedge \text{engine_on}' \wedge \neg \text{tray_full}'\end{aligned}$$

Quantifier elimination of $\exists \text{ door_open}, \text{engine_on}$. $\phi \wedge \psi$ is

$$\neg \text{door_open}' \wedge \text{engine_on}' \wedge \neg \text{tray_full}'$$

and therefore

$$\neg \text{door_open} \wedge \text{engine_on} \wedge \neg \text{tray_full}$$

is $t_j(S^{(i)})$. The whole set of next states $T(S^{(i)})$ is $\bigvee_j t_j(S^{(i)})$



Symbolic Model-Checking - Bad states in $S^{(i)}$

Suppose that ϕ is the symbolic representation of $S^{(i)}$, and that β is the symbolic representation of the **bad states**

checking if some bad state is in $S^{(i)}$ can be simply done with



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Symbolic Model-Checking - Bad states in $S^{(i)}$

Suppose that ϕ is the symbolic representation of $S^{(i)}$, and that β is the symbolic representation of the **bad states**

checking if some bad state is in $S^{(i)}$ can be simply done with

$\phi \wedge \beta$ is satisfiable ?



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Symbolic Model-Checking - Fix point test

Suppose that ϕ_i is the symbolic representation of $S^{(i)}$ and that ϕ_{i+1} is the symbolic representation of $S^{(i+1)}$ how do I test that $S^{(i)} \equiv S^{(i+1)}$?



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Symbolic Model-Checking - Fix point test

Suppose that ϕ_i is the symbolic representation of $S^{(i)}$ and that ϕ_{i+1} is the symbolic representation of $S^{(i+1)}$ how do I test that $S^{(i)} \equiv S^{(i+1)}$?

First of all, notice that $S^{(i)} \equiv S^{(i+1)}$ if and only if

$$S^{(i)} \subseteq S^{(i+1)} \text{ and } S^{(i+1)} \subseteq S^{(i)}$$



Symbolic Model-Checking - Fix point test

Suppose that ϕ_i is the symbolic representation of $S^{(i)}$ and that ϕ_{i+1} is the symbolic representation of $S^{(i+1)}$ how do I test that $S^{(i)} \equiv S^{(i+1)}$?

First of all, notice that $S^{(i)} \equiv S^{(i+1)}$ if and only if

$$S^{(i)} \subseteq S^{(i+1)} \text{ and } S^{(i+1)} \subseteq S^{(i)}$$

$S^{(i)} \subseteq S^{(i+1)}$ always holds (explored states grow monotonically)



Symbolic Model-Checking - Fix point test

Suppose that ϕ_i is the symbolic representation of $S^{(i)}$ and that ϕ_{i+1} is the symbolic representation of $S^{(i+1)}$ how do I that $S^{(i)} \equiv S^{(i+1)}$?

First of all, notice that $S^{(i)} \equiv S^{(i+1)}$ if and only if

$$S^{(i)} \subseteq S^{(i+1)} \text{ and } S^{(i+1)} \subseteq S^{(i)}$$

$S^{(i)} \subseteq S^{(i+1)}$ always holds (explored states grow monotonically)

$S^{(i+1)} \subseteq S^{(i)}$ can be performed with the following check

$\phi_{i+1} \rightarrow \phi_i$ is a tautology ? or equivalently
 $\phi_{i+1} \wedge \neg \phi_i$ is unsatisfiable ?



Symbolic Model-Checking - Summary

Model-Checking can be implemented by representing states and transitions symbolically with SAT/SMT-formulae

Next states $T(S^{(i)})$ can be computed using quantifier elimination

Presence of bad states can be computed with a satisfiability call of the form $\phi \wedge \beta$

Fix-point check can be computed with a satisfiability call of the form $\phi_{i+1} \wedge \neg \phi_i$



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Forward-Reachability

Safety Check	If $\phi_i \wedge \beta$ is satisfiable, return unsafe
Next States	Compute ϕ_{i+1} with quantifier elimination
Fix-Point Check	If $\phi_{i+1} \wedge \neg\phi_i$ is unsatisfiable, return safe

Model-Checking always terminates if the satisfiability tests above terminates

- If the system under inspection is a **finite state machine**, everything can be encoded into Booleans, and so they always terminate (SAT-solver is enough)
- If the system has **infinite states** (e.g., $0 \leq x \wedge y \geq 2$), it terminates if everything can be encoded into a decidable SMT theory (e.g., \mathcal{LIA}) (SMT-Solver necessary)
- If quantifiers are needed to express states, then Forward-Reachability might not terminate (SMT-Solver plus clever way of handling quantifiers)



1 Basics

- Modeling
- Checking
- Implementing a Model-Checker

2 MCMT

- Two simple protocols



MCMT: Model-Checking Modulo Theories

MCMT is a Model-Checker invented and developed by S. Ghilardi and S. Ranise et al. (see <http://www.dsi.unimi.it/~ghilardi/mcmt/> for complete and precise acknowledgements)

It implements a Symbolic Backward-Reachability algorithm (it relies on yices)

It was invented to handle safety properties for distributed algorithms (protocols), which are infinite-state systems



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The following example is taken from the tutorial

Model Checking Modulo Theories: Theory and Practice

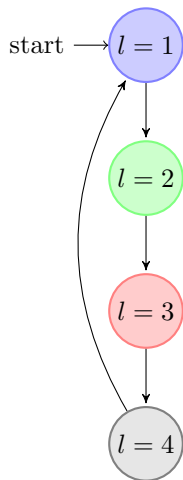
available at <http://st.fbk.eu/MCMTtutorial>



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A simple protocol

Description



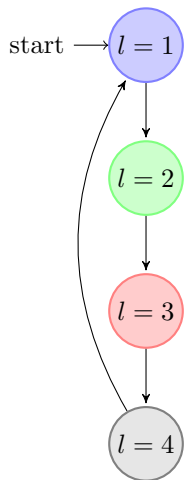
- No data, only locations
- All processes start from the 1st location
- A process in location 3 is inside the critical section
- We want to check if the protocol ensures the mutual exclusion, i.e., at most one process is inside the critical section



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A simple protocol

Variable



- One *local* variable 1

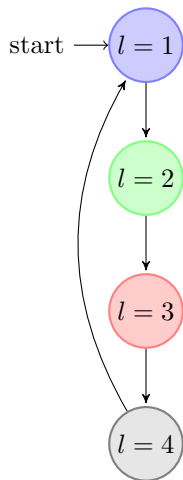
```
:smt (define-type locations (subrange 1 4))
```

```
:local 1 locations
```



A simple protocol

Initial configuration



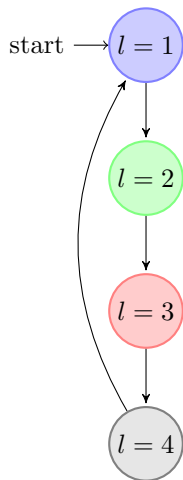
- All processes start in location 1

$$\forall x. (1[x] = 1)$$



A simple protocol

Initial configuration



- All processes start in location 1

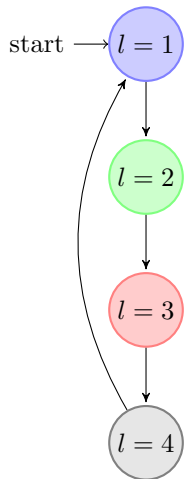
$$\forall x. (1[x] = 1)$$

```
:initial  
:var x  
:cnj (= 1[x] 1)
```



A simple protocol

Unsafe configuration



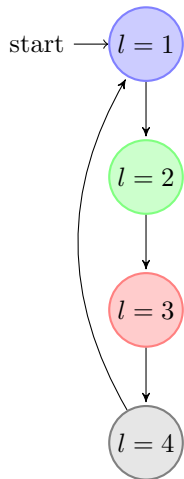
- Mutual exclusion: At most one process is in location 3



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A simple protocol

Unsafe configuration



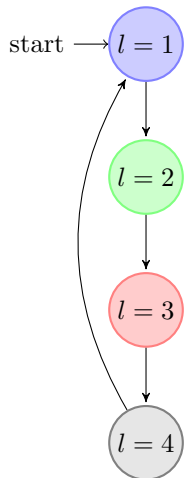
- Mutual exclusion: At most one process is in location 3

$$U := \exists z_1, z_2. (1[z_1] = 3 \wedge 1[z_2] = 3 \wedge z_1 \neq z_2)$$



A simple protocol

Unsafe configuration



- Mutual exclusion: At most one process is in location 3

$$U := \exists z_1, z_2. (1[z_1] = 3 \wedge 1[z_2] = 3 \wedge z_1 \neq z_2)$$

:unsafe

:var z1

:var z2

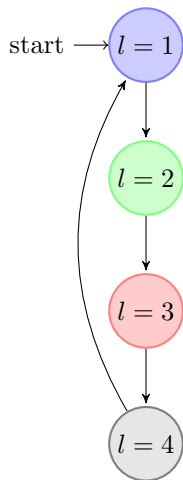
:cnj (= 1[z1] 3) (= 1[z2] 3)



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Riproduzione vietata

A simple protocol

Transitions



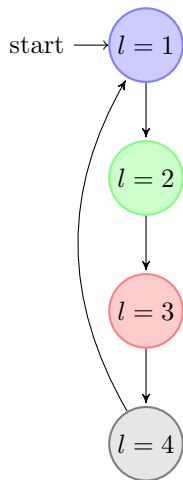
- A process in location 1 moves to location 2

$$\tau_1 := \exists x. \left(\begin{array}{l} 1[x] = 1 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 2 \text{ else } 1[j]) \end{array} \right)$$



A simple protocol

Transitions



- A process in location 1 moves to location 2

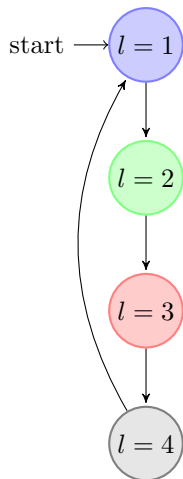
$$\tau_1 := \exists x. \left(\begin{array}{l} 1[x] = 1 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 2 \text{ else } 1[j]) \end{array} \right)$$

```
:transition
:var x
:var j
:guard (= 1[x] 1)
:numcases 2
:case (= x j)
:val 2
:case (not (= x j))
:val 1[j]
```



A simple protocol

Transitions



```
:transition
:var x
:var j
:guard (= 1[x] 1)
:numcases 2
:case (= x j)
:val 2
:case (not (= x j))
:val 1[j]
```

```
:transition
:var x
:var j
:guard (= 1[x] 3)
:numcases 2
:case (= x j)
:val 4
:case (not (= x j))
:val 1[j]
```

```
:transition
:var x
:var j
:guard (= 1[x] 2)
:numcases 2
:case (= x j)
:val 3
:case (not (= x j))
:val 1[j]
```

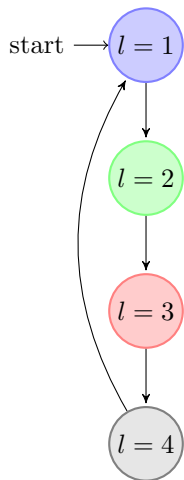
```
:transition
:var x
:var j
:guard (= 1[x] 4)
:numcases 2
:case (= x j)
:val 1
:case (not (= x j))
:val 1[j]
```



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Riproduzione vietata

A simple protocol

Execution



■ `$./mcmt simple_unsafe.in`



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A simple protocol

Execution - Get informations from counterexample

[...]

Doing state space exploration...

node 1= [t2_1] [0]

node 2= [t1_1] [t2_1] [0]

node 3= [t2_2] [t2_1] [0]

node 4= [t2_2] [t1_1] [t2_1] [0]

node 5= [t4_1] [t1_1] [t2_1] [0]

node 6= [t1_2] [t2_2] [t1_1] [t2_1] [0]

=====
System is UNSAFE!

[...]



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Riproduzione vietata

A simple protocol

Counterexample analysis from trace

Initial state: $\forall i. (1[i] = 1)$

Unsafe state: $\exists z1, z2. (1[z1] = 3 \wedge 1[z2] = 3)$

Counter-example: `node 6 = [t1_2] [t2_2] [t1_1] [t2_1] [0]`



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Riproduzione vietata

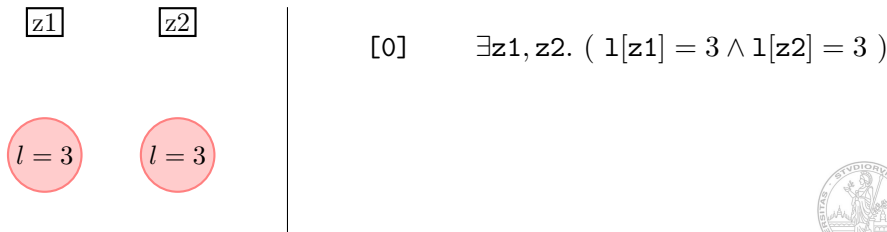
A simple protocol

Counterexample analysis from trace

Initial state: $\forall i. (1[i] = 1)$

Unsafe state: $\exists z1, z2. (1[z1] = 3 \wedge 1[z2] = 3)$

Counter-example: node 6 = [t1_2] [t2_2] [t1_1] [t2_1] [0]



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Riproduzione vietata

A simple protocol

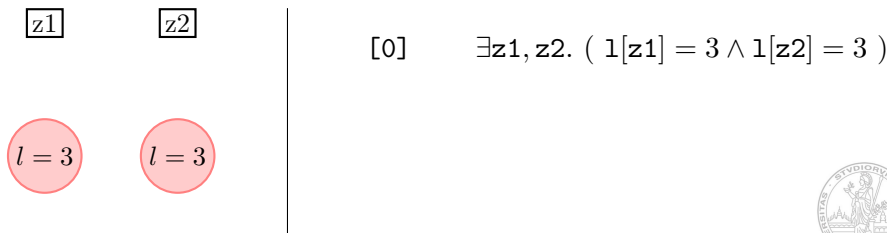
Counterexample analysis from trace

Initial state: $\forall i. (1[i] = 1)$

Unsafe state: $\exists z1, z2. (1[z1] = 3 \wedge 1[z2] = 3)$

Counter-example: node 6 = [t1_2] [t2_2] [t1_1] [t2_1] [0]

$$\tau_2 := \exists x. \left(1[x] = 2 \wedge \right. \\ \left. 1' = \lambda j. (\text{if } (x = j) \text{ then } 3 \text{ else } 1[j]) \right)$$



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A simple protocol

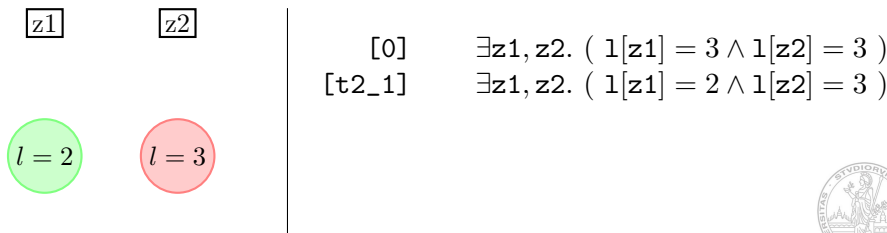
Counterexample analysis from trace

Initial state: $\forall i. (1[i] = 1)$

Unsafe state: $\exists z1, z2. (1[z1] = 3 \wedge 1[z2] = 3)$

Counter-example: node 6 = $[t1_2] [t2_2] [t1_1] [t2_1] [0]$

$$\tau_2 := \exists x. \left(\begin{array}{l} 1[x] = 2 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 3 \text{ else } 1[j]) \end{array} \right)$$



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A simple protocol

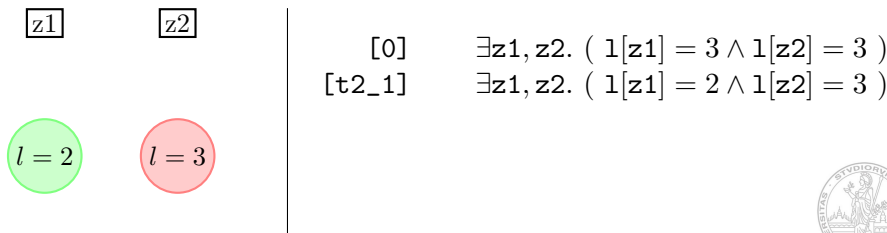
Counterexample analysis from trace

Initial state: $\forall i. (1[i] = 1)$

Unsafe state: $\exists z1, z2. (1[z1] = 3 \wedge 1[z2] = 3)$

Counter-example: node 6 = $[t1_2] [t2_2] [t1_1] [t2_1] [0]$

$$\tau_1 := \exists x. \left(\begin{array}{l} 1[x] = 1 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 2 \text{ else } 1[j]) \end{array} \right)$$



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A simple protocol

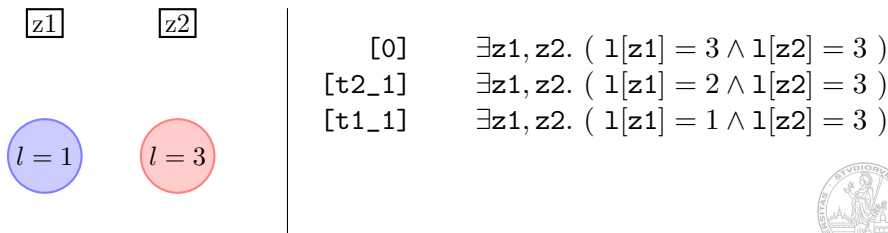
Counterexample analysis from trace

Initial state: $\forall i. (1[i] = 1)$

Unsafe state: $\exists z1, z2. (1[z1] = 3 \wedge 1[z2] = 3)$

Counter-example: node 6 = $[t1_2] [t2_2] [t1_1] [t2_1] [0]$

$$\tau_1 := \exists x. \left(\begin{array}{l} 1[x] = 1 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 2 \text{ else } 1[j]) \end{array} \right)$$



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A simple protocol

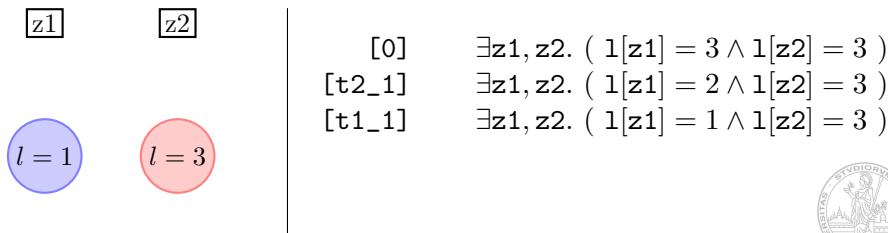
Counterexample analysis from trace

Initial state: $\forall i. (1[i] = 1)$

Unsafe state: $\exists z1, z2. (1[z1] = 3 \wedge 1[z2] = 3)$

Counter-example: node 6 = $[t1_2] [t2_2] [t1_1] [t2_1] [0]$

$$\tau_2 := \exists x. \left(\begin{array}{l} 1[x] = 2 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 3 \text{ else } 1[j]) \end{array} \right)$$



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A simple protocol

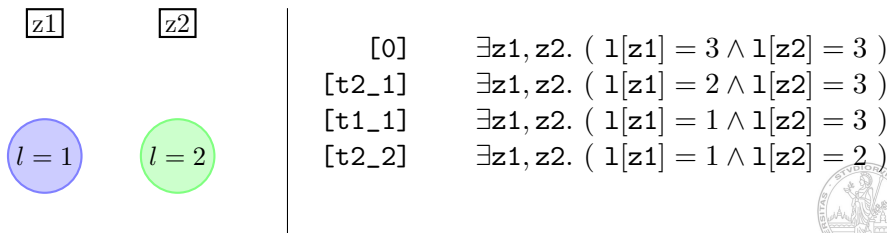
Counterexample analysis from trace

Initial state: $\forall i. (1[i] = 1)$

Unsafe state: $\exists z1, z2. (1[z1] = 3 \wedge 1[z2] = 3)$

Counter-example: node 6 = $[t1_2] [t2_2] [t1_1] [t2_1] [0]$

$$\tau_2 := \exists x. \left(\begin{array}{l} 1[x] = 2 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 3 \text{ else } 1[j]) \end{array} \right)$$



A simple protocol

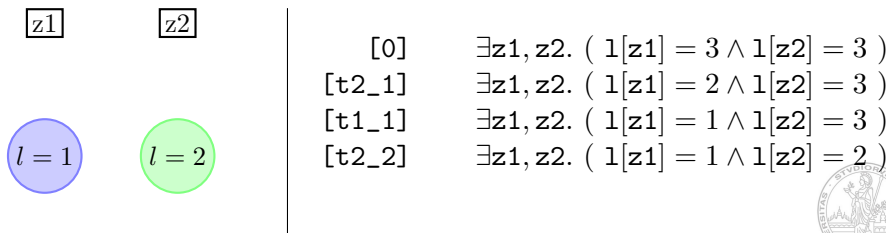
Counterexample analysis from trace

Initial state: $\forall i. (1[i] = 1)$

Unsafe state: $\exists z1, z2. (1[z1] = 3 \wedge 1[z2] = 3)$

Counter-example: node 6 = $[t1_2] [t2_2] [t1_1] [t2_1] [0]$

$$\tau_1 := \exists x. \left(\begin{array}{l} 1[x] = 1 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 2 \text{ else } 1[j]) \end{array} \right)$$



A simple protocol

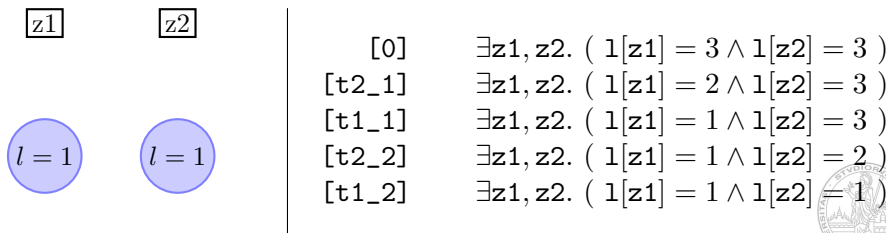
Counterexample analysis from trace

Initial state: $\forall i. (1[i] = 1)$

Unsafe state: $\exists z1, z2. (1[z1] = 3 \wedge 1[z2] = 3)$

Counter-example: node 6 = $[t1_2] [t2_2] [t1_1] [t2_1] [0]$

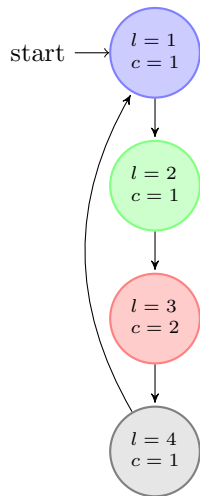
$$\tau_1 := \exists x. \left(\begin{array}{l} 1[x] = 1 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 2 \text{ else } 1[j]) \end{array} \right)$$



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Another simple protocol

Description



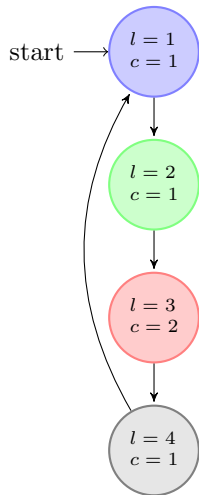
- Like before, but with a **global** flag c that takes care of mutual exclusion
- All processes start from the 1st location
- A process in location 3 is inside the critical section
- We want to check if the protocol ensures the mutual exclusion, i.e., at most one process is inside the critical section



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Another simple protocol

Variable(s)



■ One *local* variable 1

```
:smt (define-type locations (subrange 1 4))
```

```
:smt (define-type counter (subrange 1 2))
```

```
:local 1 location
```

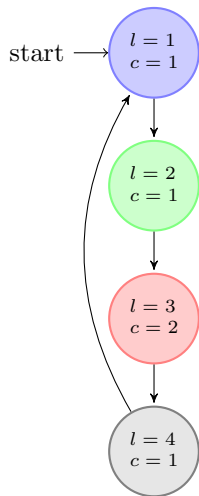
```
:global c counter
```



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Another simple protocol

Initial configuration



- All processes start in location 1, with counter set to 1

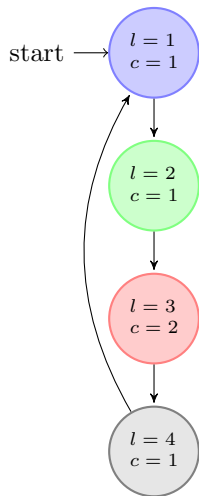
$$\forall x. (1[x] = 1 \wedge c[x] = 1)$$



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Another simple protocol

Initial configuration



- All processes start in location 1, with counter set to 1

$$\forall x. (1[x] = 1 \wedge c[x] = 1)$$

`:initial`

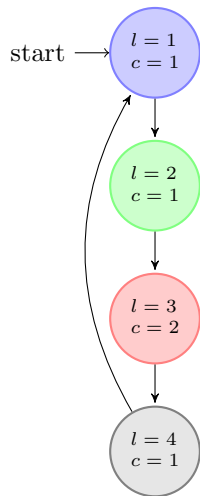
`:var x`

`:cnj (= 1[x] 1) (= c[x] 1)`



Another simple protocol

Unsafe configuration



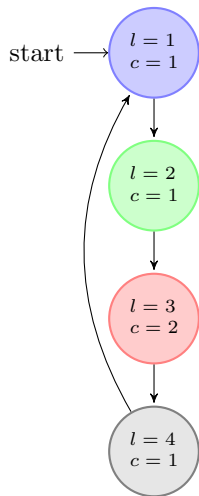
- Mutual exclusion: At most one process is in location 3



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Another simple protocol

Unsafe configuration



- Mutual exclusion: At most one process is in location 3

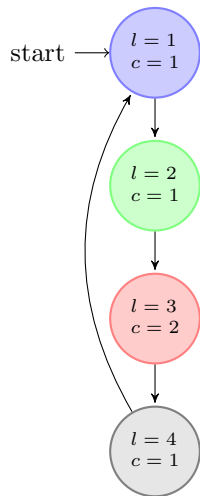
$$U := \exists z_1, z_2. (1[z_1] = 3 \wedge 1[z_2] = 3 \wedge z_1 \neq z_2)$$



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Another simple protocol

Unsafe configuration



- Mutual exclusion: At most one process is in location 3

$$U := \exists z_1, z_2. (1[z_1] = 3 \wedge 1[z_2] = 3 \wedge z_1 \neq z_2)$$

:unsafe

:var z1

:var z2

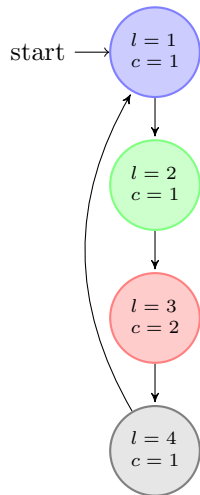
:cnj (= 1[z1] 3) (= 1[z2] 3)



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Riproduzione vietata

Another simple protocol

Transitions

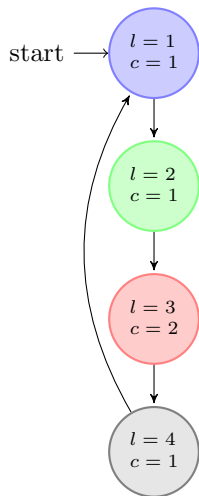


$$\tau_2 := \exists x. \left(\begin{array}{l} 1[x] = 2 \wedge c[x] = 1 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 3 \text{ else } 1[j]) \\ c' = \lambda j. 2 \end{array} \right)$$



Another simple protocol

Transitions



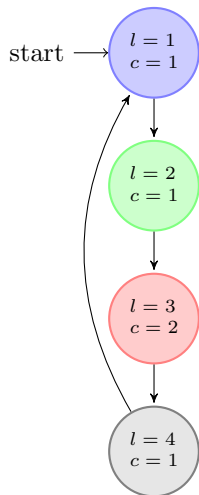
$$\tau_2 := \exists x. \left(\begin{array}{l} 1[x] = 2 \wedge c[x] = 1 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 3 \text{ else } 1[j]) \\ c' = \lambda j. 2 \end{array} \right)$$

```
:transition
:var x
:var j
:guard (= 1[x] 2) (= c[x] 1)
:numcases 2
:case (= x j)
:val 3
:val 2
:case (not (= x j))
:val 1[j]
:val 2
```



Another simple protocol

Transitions



```
:transition
:var x
:var j
:guard (= l[x] 1)
:numcases 2
:case (= x j)
:val 2
:val c[x]
:case (not (= x j))
:val l[j]
:val c[x]

:transition
:var x
:var j
:guard (= l[x] 3) (= c[x] 2)
:numcases 2
:case (= x j)
:val 4
:val 1
:case (not (= x j))
:val l[j]
:val 1
```

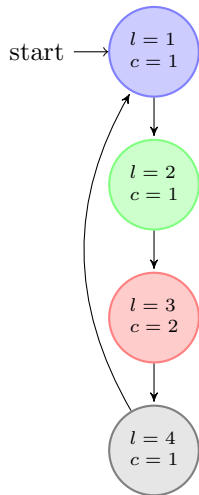
```
:transition
:var x
:var j
:guard (= l[x] 2) (= c[x] 1)
:numcases 2
:case (= x j)
:val 3
:val 2
:case (not (= x j))
:val l[j]
:val 2

:transition
:var x
:var j
:guard (= l[x] 4)
:numcases 2
:case (= x j)
:val 1
:val c[j]
:case (not (= x j))
:val l[j]
:val c[j]
```



Another simple protocol

Execution



■ \$./mcmt simple_safe.in



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Riproduzione vietata

Another simple protocol

Execution

[...]

Doing state space exploration...

node 1 = [t2_1] [0]

node 2 = [t1_1] [t2_1] [0]

node 3 = [t4_1] [t1_1] [t2_1] [0]

=====
Global fixpoint reached!

System is SAFE!

[...]



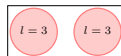
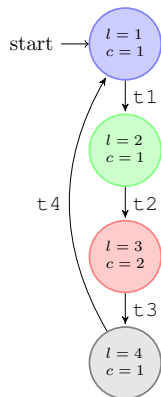
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Riproduzione vietata

A simple protocol

Set of (un)reachable states

Initial state: $\forall i. (1[i] = 1 \wedge c[i] = 1)$

Unsafe state: $\exists z1, z2. (1[z1] = 3 \wedge 1[z2] = 3)$



$$\tau_1 := \exists x. \left(\begin{array}{l} 1[x] = 1 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 2 \text{ else } 1[j]) \\ c' = \lambda j. c[j] \end{array} \right)$$

$$\tau_2 := \exists x. \left(\begin{array}{l} 1[x] = 2 \wedge c[x] = 1 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 3 \text{ else } 1[j]) \\ c' = \lambda j. 2 \end{array} \right)$$

$$\tau_3 := \exists x. \left(\begin{array}{l} 1[x] = 3 \wedge c[x] = 2 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 4 \text{ else } 1[j]) \\ c' = \lambda j. 1 \end{array} \right)$$

$$\tau_4 := \exists x. \left(\begin{array}{l} 1[x] = 4 \wedge \\ 1' = \lambda j. (\text{if } (x = j) \text{ then } 1 \text{ else } 1[j]) \\ c' = \lambda j. c[j] \end{array} \right)$$

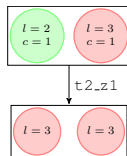
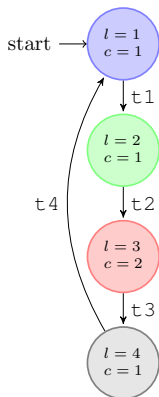


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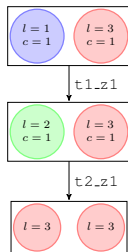
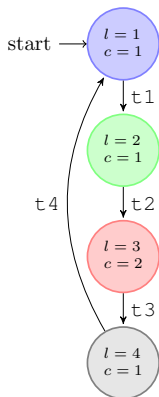


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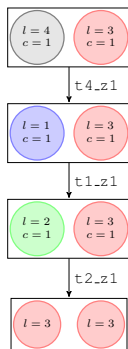
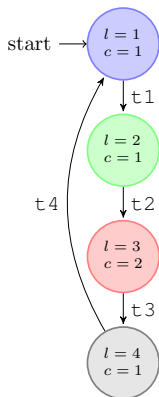


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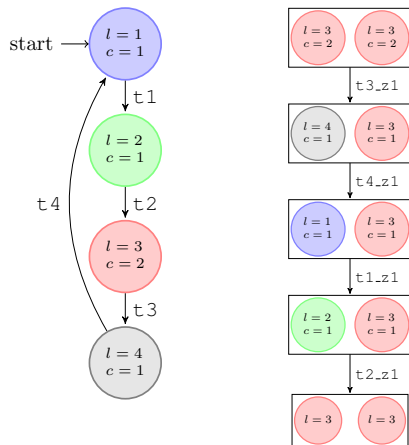


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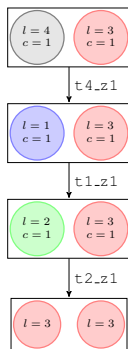
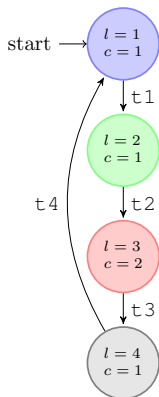


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