

## Selection problem ( $k^{\text{th}}$ largest element) :

Input: Array, List or stream, integer  $k$

Output:  $k^{\text{th}}$  largest element in collection, or  
 $k$  largest elements.

Internal version

↳ Array/List... fits in memory.

↳ study later - algorithm based on ideas from QuickSort  
RT =  $O(n)$ , expected time.

External version.

↳ Data is too big to fit in memory.

Solved using Priority Queues if  $k$  fits in memory.  
RT =  $O(n \log k)$ .

## External version of Selection problem :

Naive ideas: (a) Sort data and take  $k^{\text{th}}$  largest - infeasible.  
(requires multiple passes over the data)  
(b) Put the data into a Priority Queue  $\rightarrow$  remove  $k$  times.  
- Not enough memory. (max heap)

Idea: Scan the stream - keep track of the best  $k$  seen so far. - store  $k$  largest elements in a min heap (Priority queue with natural ordering)

Algorithm:  $k$  largest (Iterator  $\langle$  Integer  $\rangle$  iter,  $\&$  int  $k$ )  
PriorityQueue  $\langle$  Integer  $\rangle$   $q = \text{new PriorityQueue} \langle \rangle ();$

$k -$  for ( $i = 0; i < k; i++$ ) {  
if ( $! \text{iter.hasNext}()$ ) { throw <sup>new</sup> Exception ("Not enough elements")  
 $\log k -$   $q.add(\text{iter.next}());$  }  
}

$\log k -$  while ( $\text{iter.hasNext}()$ ) { // LI:  $q$  has the  $k$  largest elements seen so far.  
 $\log k -$   $x = \text{iter.next}();$   
 $\log k -$  ~~if ( $x > q.peak$ )~~  
if ( $x.compareTo(q.peak()) > 0$ ) {  
 $\log k -$   $q.remove();$   
 $\log k -$   $q.add(x);$   
}

$\log k -$  }  
return {  
     $q.peak()$   $\leftarrow$  only the  $k^{\text{th}}$  largest  
     $q.toArray()$   $\leftarrow$  all  $k$  elements in no particular order  
     $\text{Collections.sort}(q)$   $\leftarrow$  output in sorted order.

RT Analysis: Heap has at most  $k$  elements at any time.

Each operation of PQ is  $O(\log k)$ .

Total number of operations:  $k(1 + \log k) + (n - k)(2 + 2 \log k)$   
 $= O(n \log k)$ .

Another application of PQ: Heap Sort:

Create a binary heap with the elements of the given array.

$i=0;$   
while (! q.isEmpty()) { arr[i++] = q.remove(); }

buildHeap() (sometimes called heapify()).  
Given an array of elements - place them in heap order.

top down

for (x: arr) { q.add(x); }

$$RT = \log 1 + \log 2 + \dots + \log n$$

$$= O(n \log n).$$

X Too big.

bottom up:

Place elements into q all at once.  
array in priority queue = pq

for (i = <sup>parent</sup> (size-1); i >= 0; i--) {  
    percolateDown(i);  
}

$$\checkmark RT = O(n).$$

RT Analysis of bottom-up buildHeap:

In a complete binary tree, there are  $\frac{n}{2^{h+1}}$  nodes at height h.

Worst RT of percolateDown(i) = height of node at index i.

$$RT = \sum_{h=0}^{\log n} \frac{n}{2^{h+1}} \cdot h = n \cdot \sum_{h=1}^{\log n} \frac{h}{2^{h+1}} \leq 2n = O(n)$$

Let  $x < 1$

$$\frac{1}{1-x} = 1 + x + x^2 + \dots = f(x).$$

$$x \cdot \frac{d}{dx} (f(x)) = \frac{x}{(1-x)^2} = x + 2x^2 + 3x^3 + \dots$$

Choose  $x = \frac{1}{2}$

# Sorting algorithms

## Overview:

$O(n^2)$  algorithms:  $\left\{ \begin{array}{l} \text{Selection sort} \\ \text{Bubble sort} \\ \text{Insertion sort} \end{array} \right\}$  - Don't use these unless ??

Questionable algorithms - shellsort - RT = ?? - No  
- Religion.

## $O(n \log n)$ algorithms:

1. Heapsort -  $O(1)$  extra space,  $O(n \log n)$  time.  
- not used because Mergesort is better
- ✓ 2. Merge sort -  $O(n)$  extra space,  $O(n \log n)$  time.  
- best algorithm for sorting.
- ✓ 3. Quick sort -  $O(\log n)$  extra space,  $O(n \log n)$  expected time.  
(Randomized algorithm) (for recursion)

Version: Dual pivot QuickSort

- best algorithm known up to some  $n$   
 $n \sim 10^8$ .

## $O(n)$ algorithms:

Special algorithms that apply under special situations

1. Counting sort: elements are integers  $1 \dots 10n$ .
2. Radix sort: elements are composed of  $d$  digits
3. Bucket sort. If  $d = O(1)$ ,  $k = O(n)$ : RT =  $O(n)$ .  
 $RT = O(d(n+k))$   $K = \# \text{ of values per digit}$   
 $d = 10 \cdot \# \text{ of digits}$

Merge Sort : Divide and conquer algorithm to sort an array. — Recursive.

Idea: Split array into 2 equal halves.

Sort each subarray.

Merge them into one sorted sequence

$T \approx 16$ ?

mergeSort(arr) :

tmp = new array same size as arr

$T[3] \text{ tmp} = (T[3]) \text{ new Comparable(arr.length)}$

mergeSort(arr, tmp, 0, arr.length)

merge(arr, tmp, leftStart, rightStart, rightEnd) :

// Merge arr[leftStart ... rightStart-1]

// and arr[rightStart .. rightEnd-1]

// into arr[leftStart .. rightEnd-1]

// in sorted order.

Next class

mergeSort(arr, tmp, left, n) :

// Sort elements starting at arr[left]

if  $n < T$  then  
insertionSort(arr, left, n)

else

$Ln \leftarrow n/2$

mergeSort(arr, tmp, left, Ln)

mergeSort(arr, tmp, left+Ln, n-Ln)

merge(arr, tmp, left, left+Ln, left+n)