



Abinit : Excited states within TDLDA & Langevin molecular dynamics

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Outlook

- Langevin dynamics
 - Background
 - Implementation
 - Test case
 - Examples
 - a. Structural optimization via simulated annealing
 - b. Structure and dynamics of liquids
- Time dependent LDA
 - Background
 - Implementation
 - Test case
 - Example
 - a. TDLDA spectra of carbon and silicon nanoclusters
 - b. Discussion TDLDA vs other methods

Finite T Molecular dynamics

- 2 thermostats included
 - Ionmov 8 *Nose-Hoover thermostat*
Variables : dtion, mditemp, mdftemp, noseinert
 - Ionmov 9 *Langevin thermostat*
Variables : dtion, mditemp, mdftemp, friction
mdwall, sigperm, delayperm

Langevin dynamics

Movement equations :

$$m_j \ddot{\vec{R}}_j = \vec{F}(\{R_j\}) - \gamma m_j \dot{\vec{R}}_j + \vec{G}_j$$

γ =friction coefficient

G_j = gaussian random force

$$\langle G_i(t) \rangle = 0$$

$$\langle G_i(t) G_j(t') \rangle = 2 \gamma m_i k_B T \delta_{ij} \delta(t-t')$$

See J. Chelikowsky, J. Phys. D : Appl Phys. 33(2000)R33.

Implementation

- Src9drive/moldyn.f

Tests

- Test_v2/

87. Ge liquid. Test of Nose dynamics. 2 atoms in a cell.
Allows 4 time steps. .

88. Ge liquid. Test of Langevin dynamics. 2 atoms in a cell.

Simulated annealing : Clusters optimization

- Variables :
 - Ionmov 9
 - dtion ~100
 - Mditemp 3000
 - Mdftemp 300
 - Ntime 200
- Optional variables :
 - mdwall
 - getvel
 - signperm
 - delayperm

Si_n, Ge_n n<11

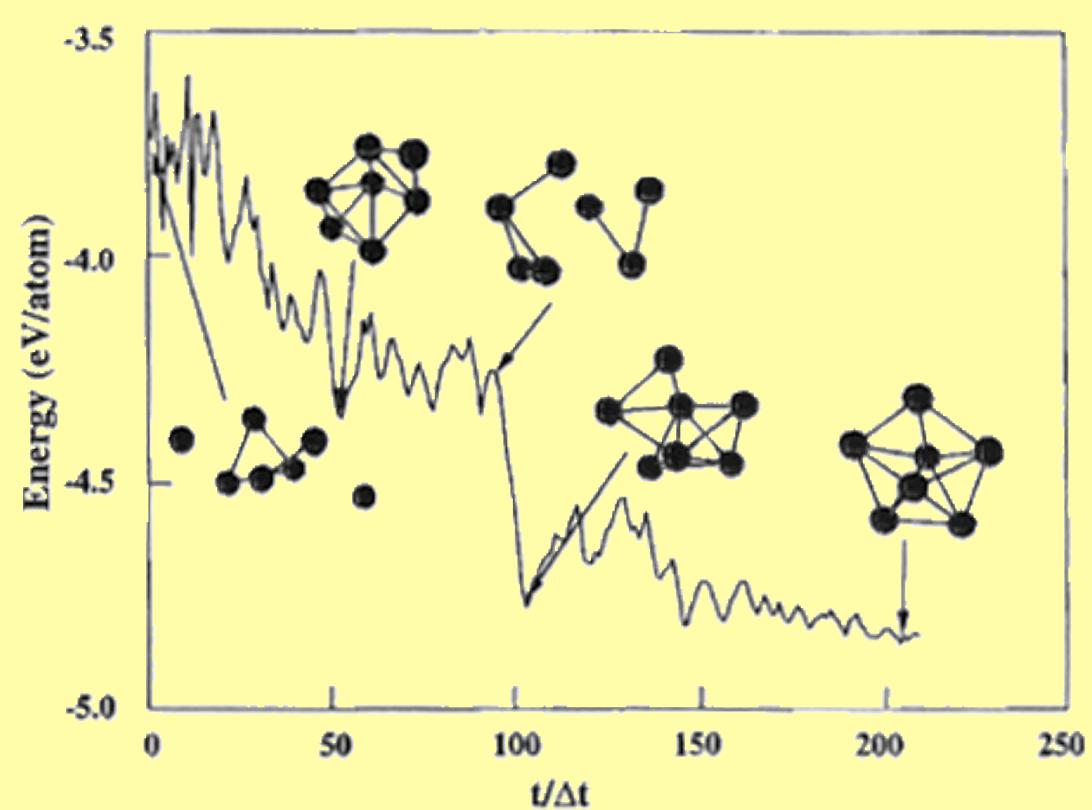


Figure 5. The binding energy of Si₇ during a Langevin simulation. The initial temperature is 3000 K; the final temperature is 300 K. Bonds are drawn for interatomic distances of less than 2.5 Å. The time step is 5 fs.

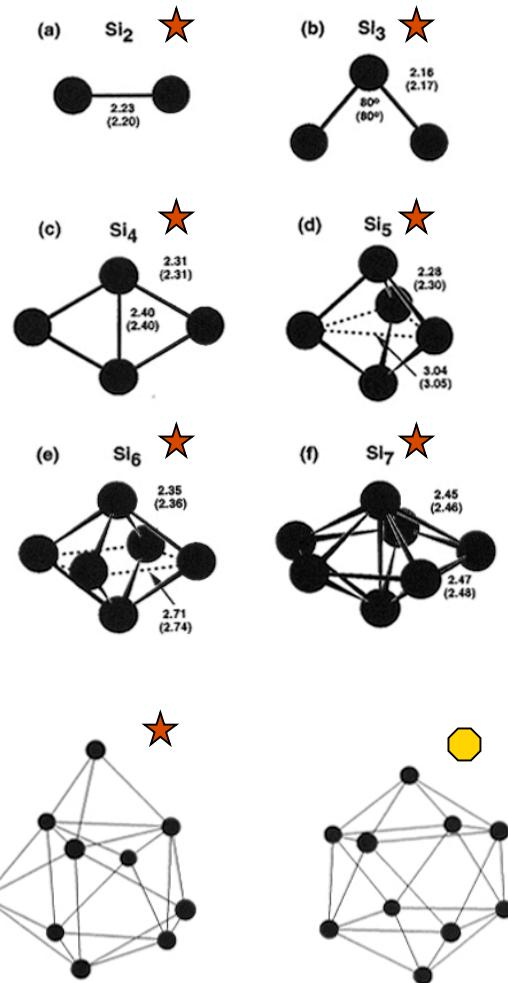


Figure 7. Two possible isomers for Si₁₀ or Ge₁₀ clusters. (I) is a tricapped trigonal prism cluster and (II) is a bicapped antiprism cluster.

```

#Cluster optimization via simulated annealing
#*****
acell 3*25
xcart .....
occpt 4

ionmov 9      #Langevin dynamics
ntime 100
dtion 100

friction 0.001
mdwall 3.0    # optional

mditemp1 3000
mdftemp1 2500
tsmear1 0.05
tolvrs1 1.0d-2

mditemp2 2500
mdftemp2 2000
tsmear2  0.04
getxcart2 -1
getvel2   -1
tolvrs2 1.0d-2
.....
# Then end with a Broyden minimization

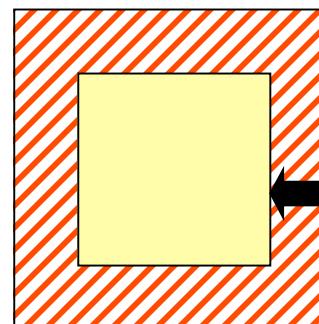
```

Sample Input

- Adapt tsmear to T_ion
- Getvel -1

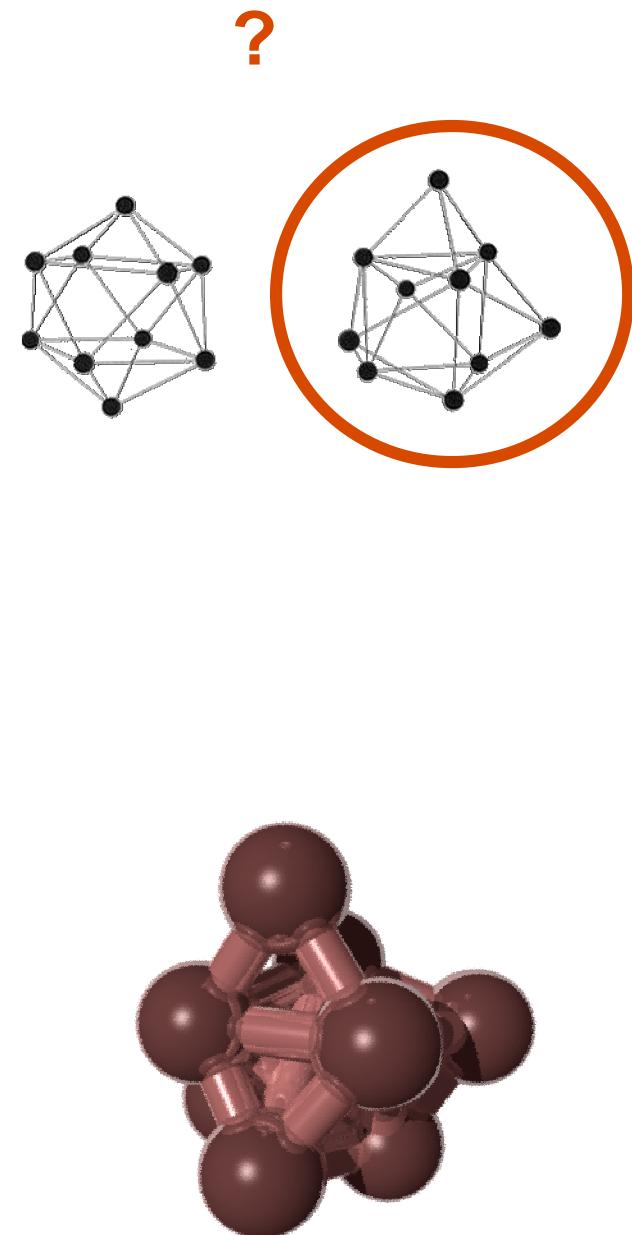
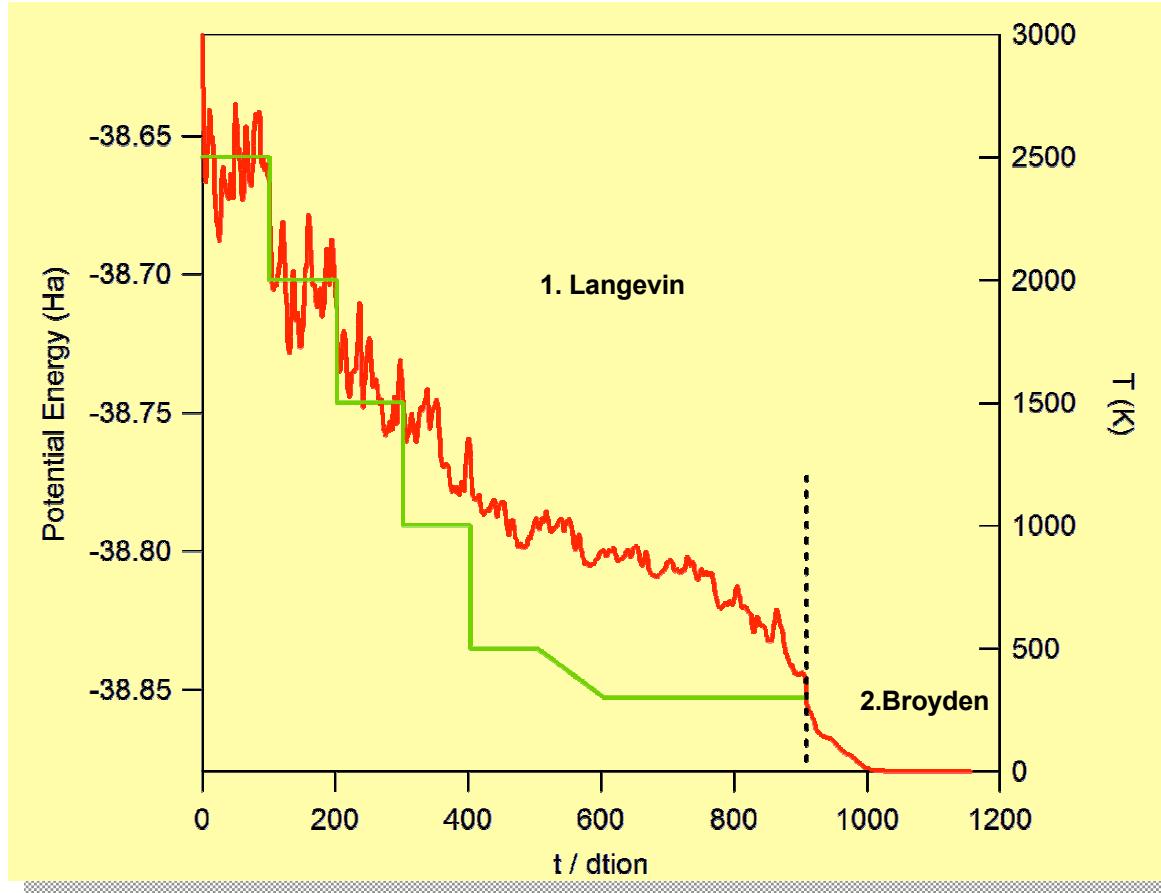


At startup :
 If E_kin = 0, atoms are given random velocities (mditemp)
 Otherwise, velocities are rescaled to the requested mditemp.

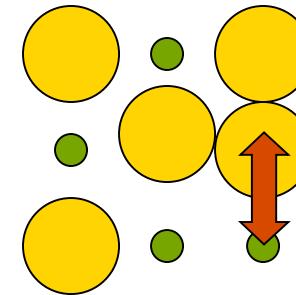


mdwall (a.u.)

Ge10



Optional variables



For binary systems :

delayperm : nr of time steps between attempts for
exchanging 2 atoms from different type

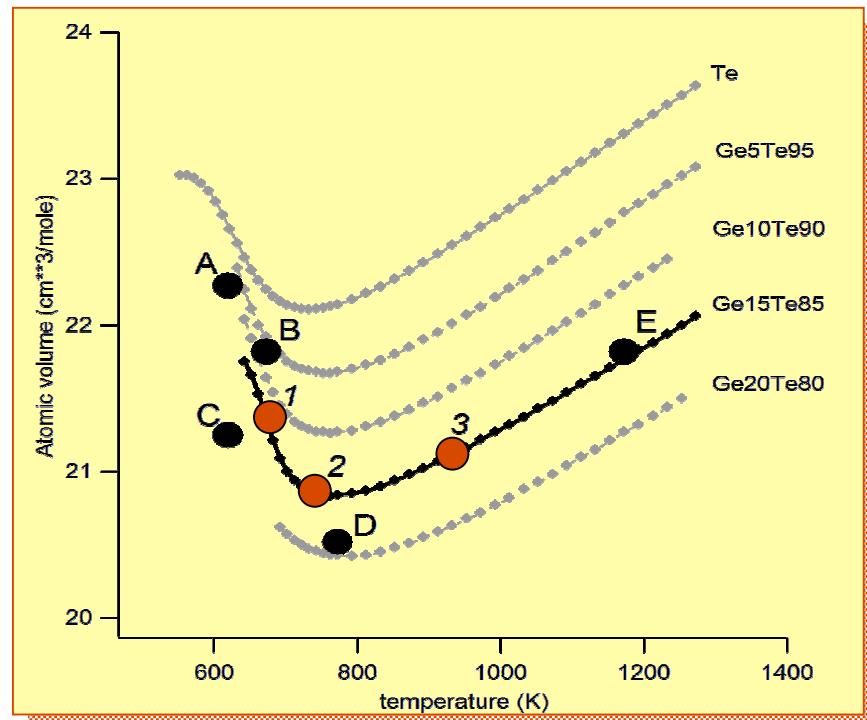
signperm +1 favors alternation
-1 segregation

Liquids simulation

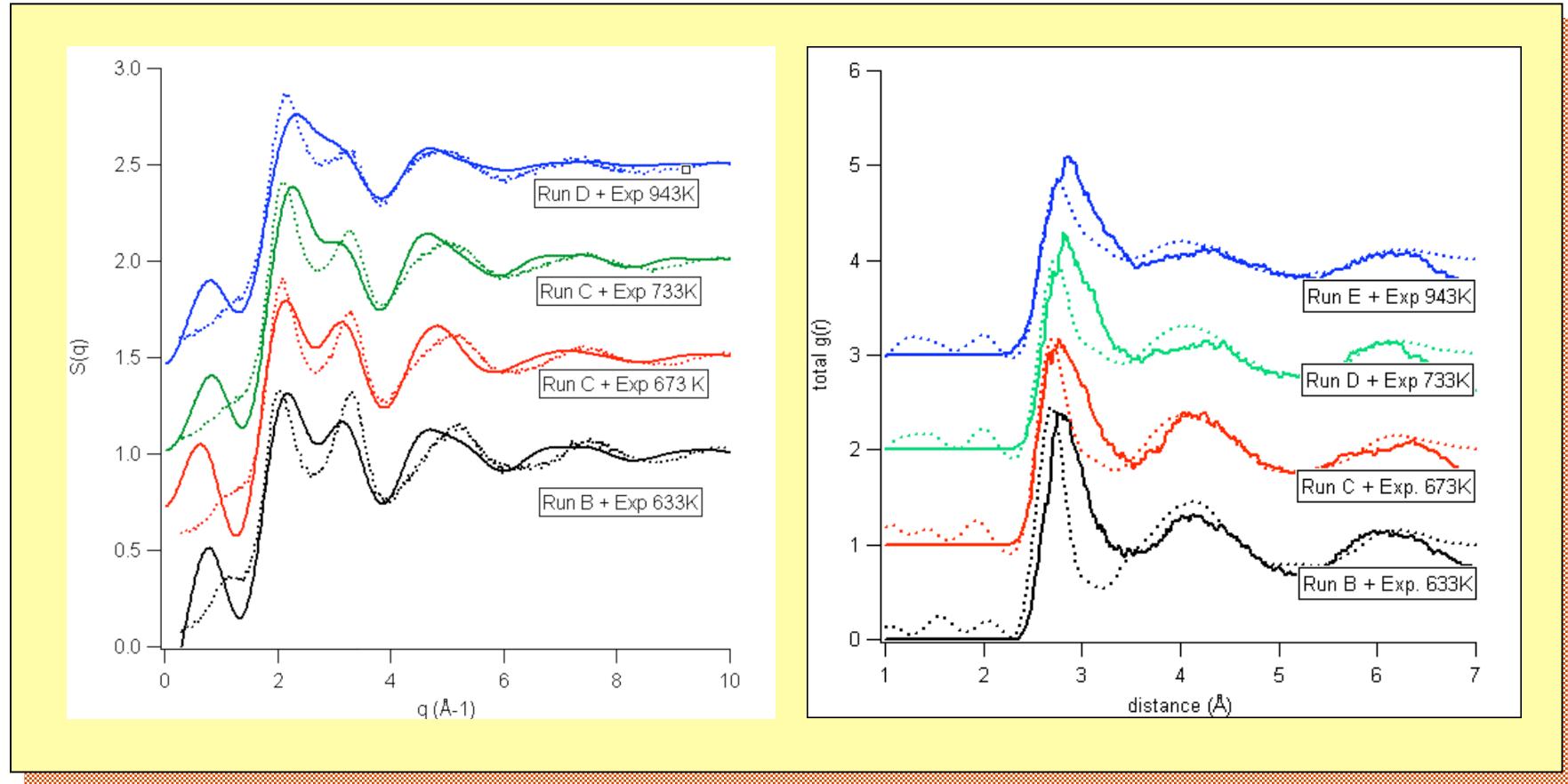
- System 56 atoms
- GS stuff LDA & GGA
- Molecular dynamics strategy
 - 1) Random cfg, 5ps at 3000K
 - 2) Temperature ramp mditemp 3000, mdftemp 700, ntime 1000, dtion 200 (5ps)
 - 3) Thermalization at mdftemp (typical 5ps)
 - Check : diffusivity + potential energy
 - 4) Data acquisition
Keep *friction* as low as possible

Eutectic Ge-Te alloy

- Why ?
Glass forming,
strong anomalous behavior
pseudo Liquid-Liquid transition
- LDA vs GGA
 - LDA ok GeTe, not that ok
for Ge₁Te₆...
- Limitation : fixed volume
 - Importance of the density



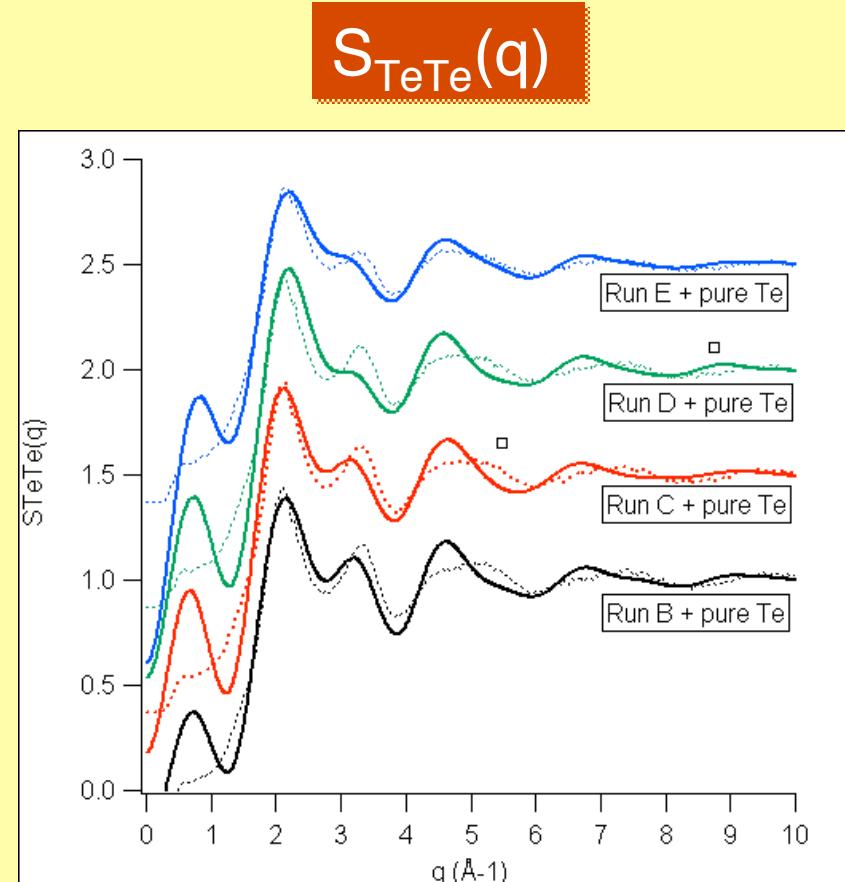
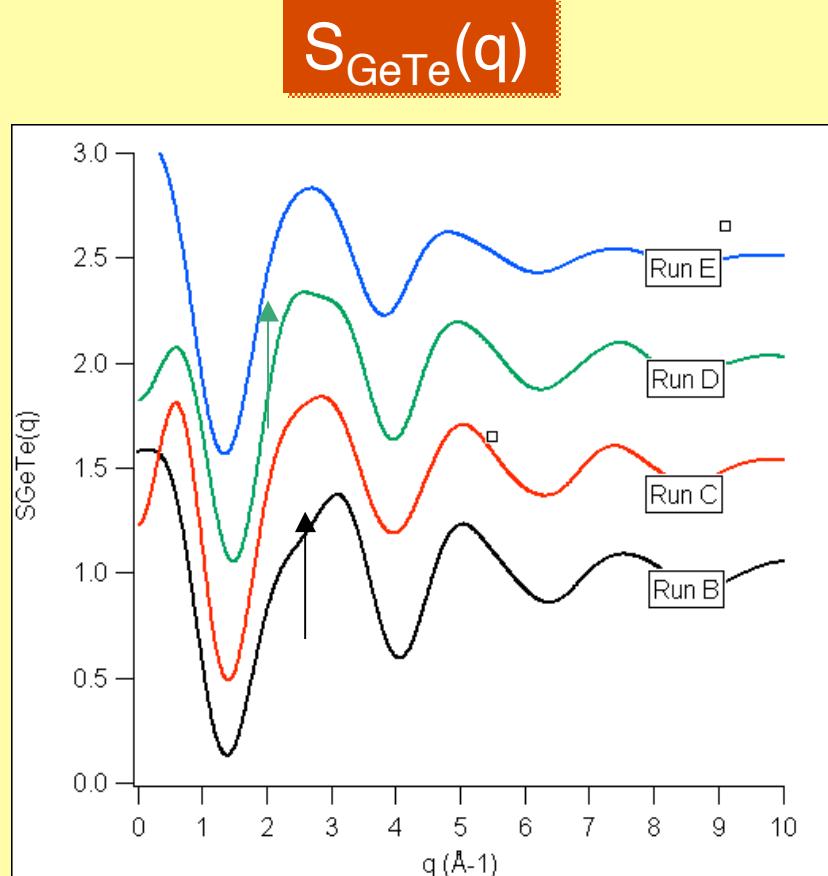
GeTe₆ : comparison with experiments



Agreement could be better but general trends OK

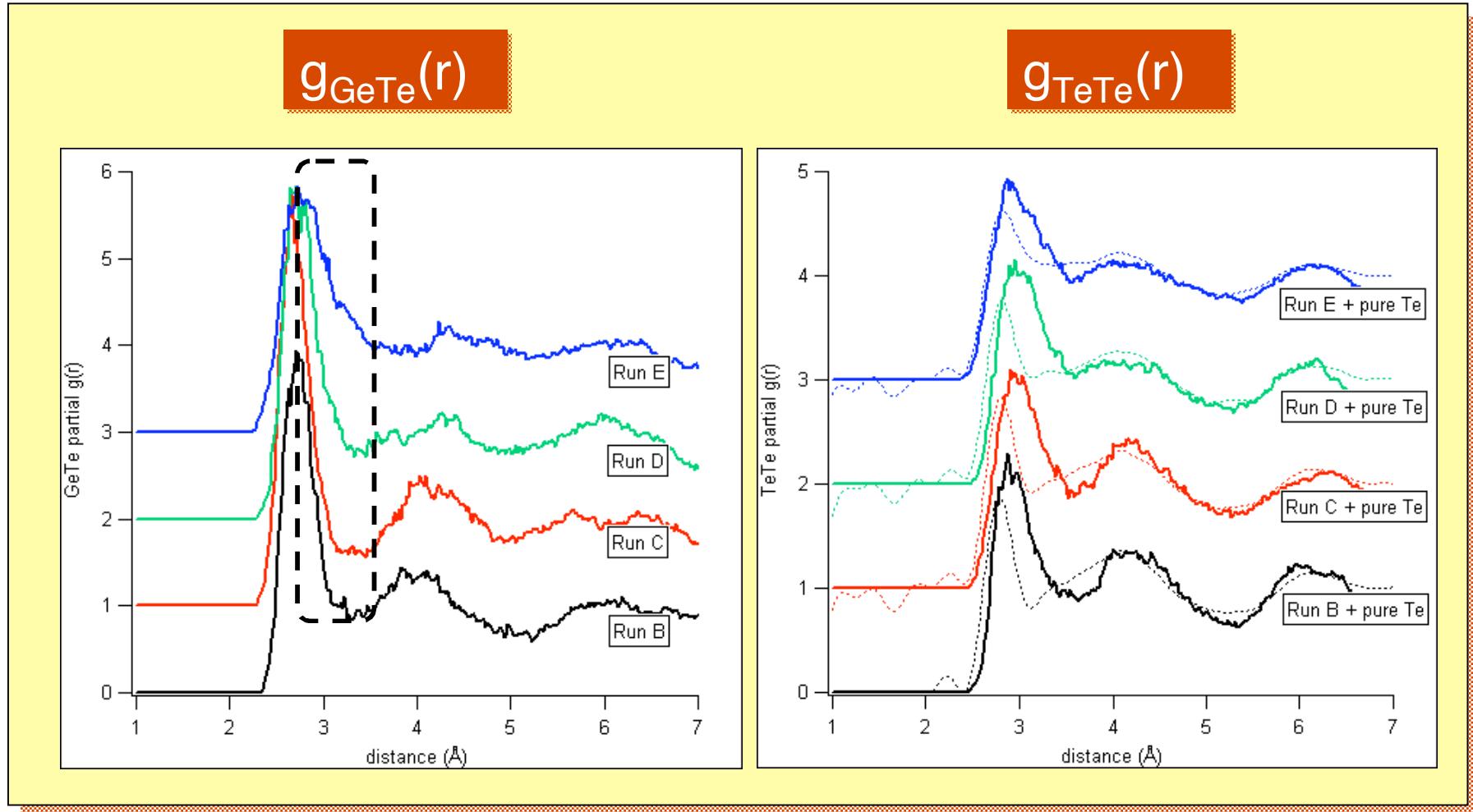
Problems : 85 % Te ! Large temperature fluctuations

GeTe_6 : partial structure factors



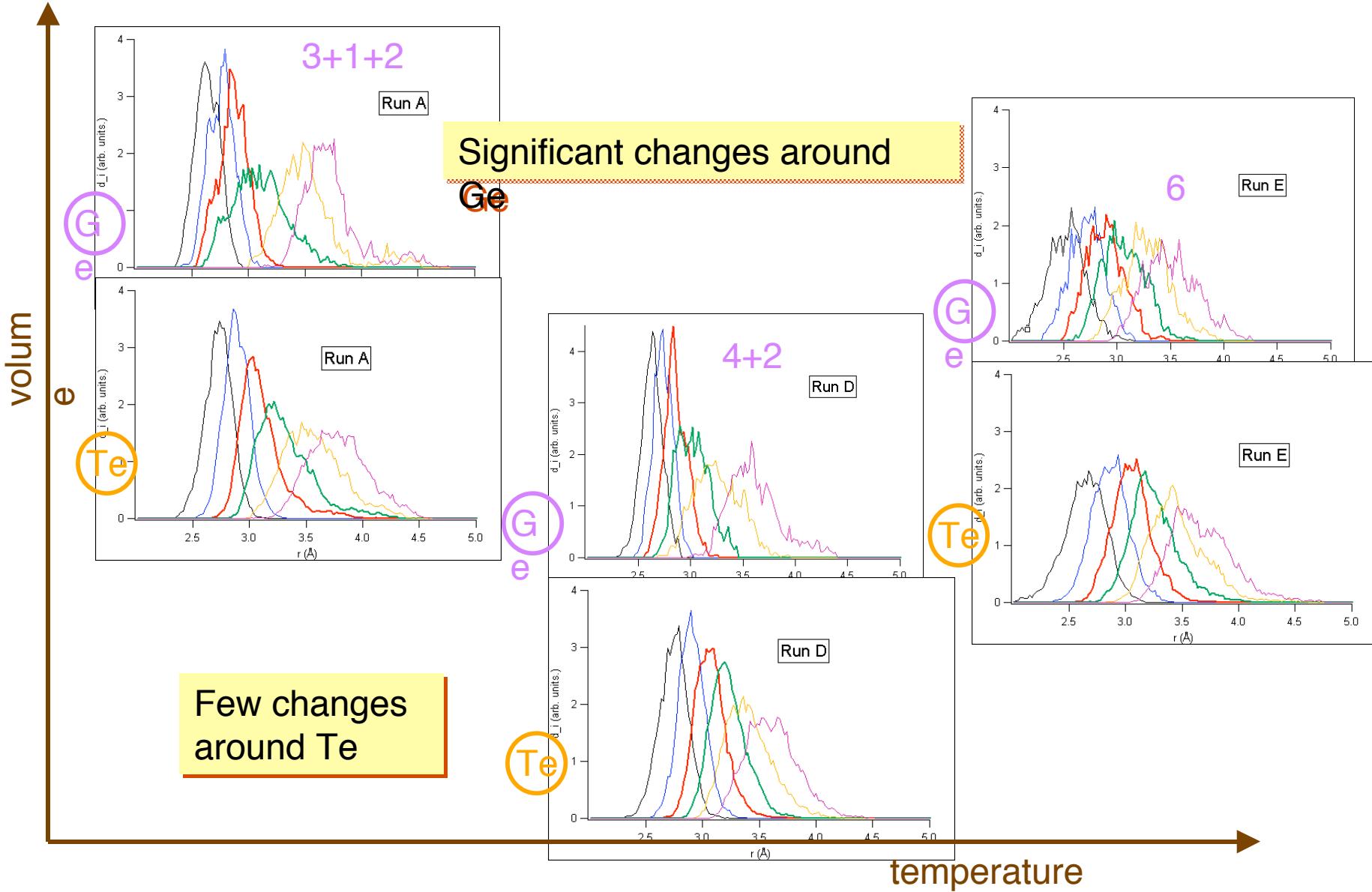
More changes on $S_{\text{GeTe}}(q)$ than on $S_{\text{TeTe}}(q)$

GeTe_6 : partial pair correlation functions



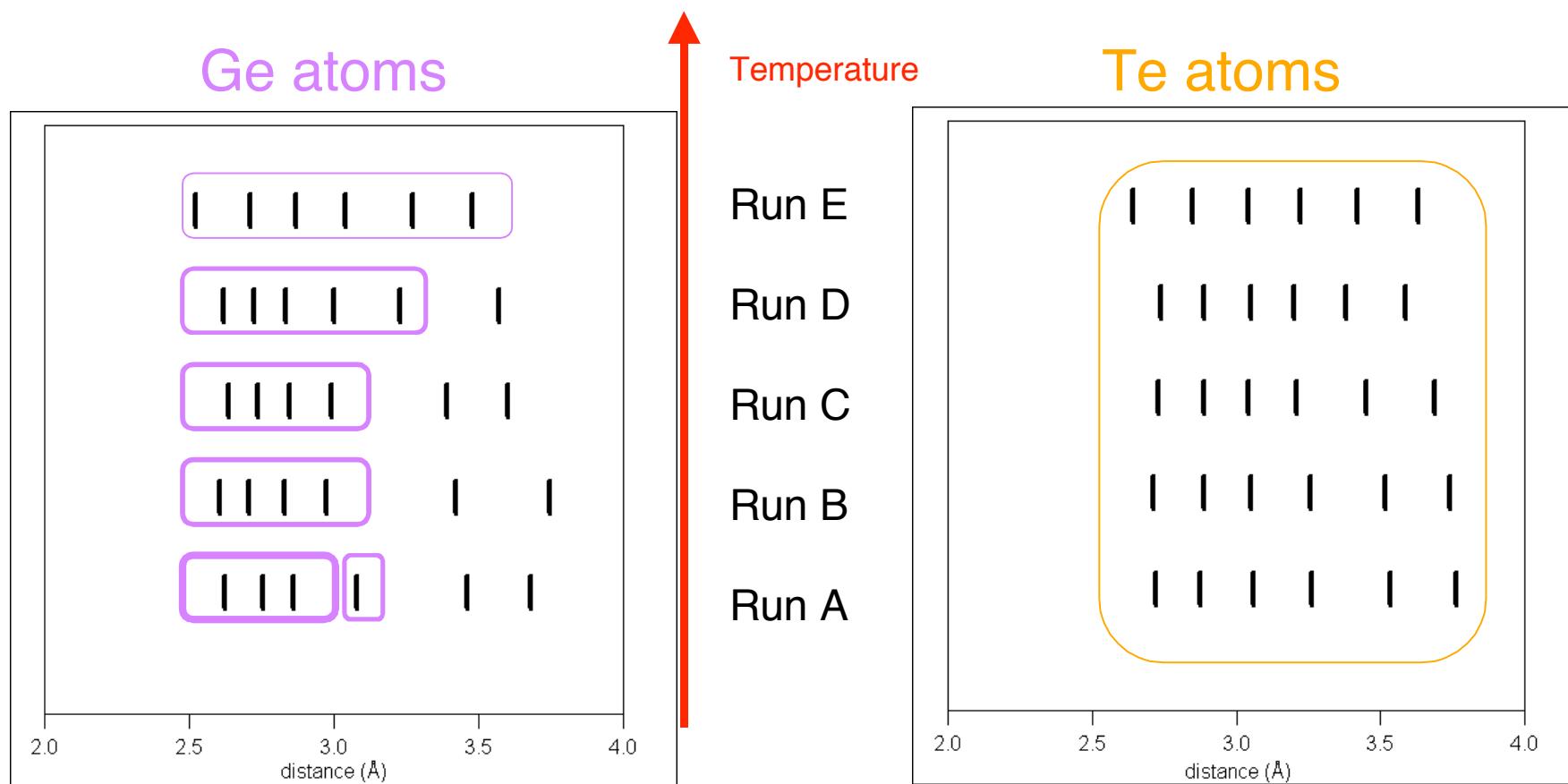
first peak of $g_{\text{GeTe}}(r)$ becomes broader
changes localized around Germanium atoms

What kind atomic arrangements ?



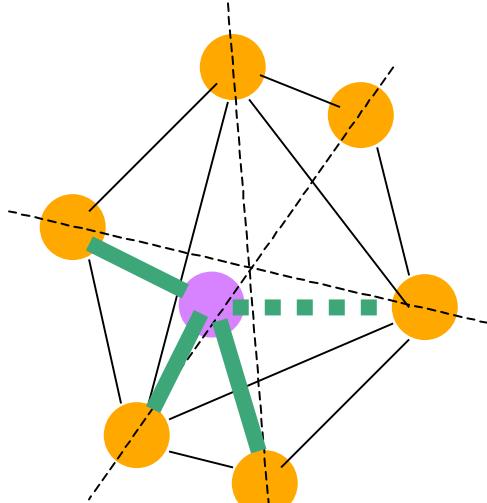
What kind atomic arrangements ?

Average distances of nearest neighbors around



A simple picture

■ ■ ■ ■

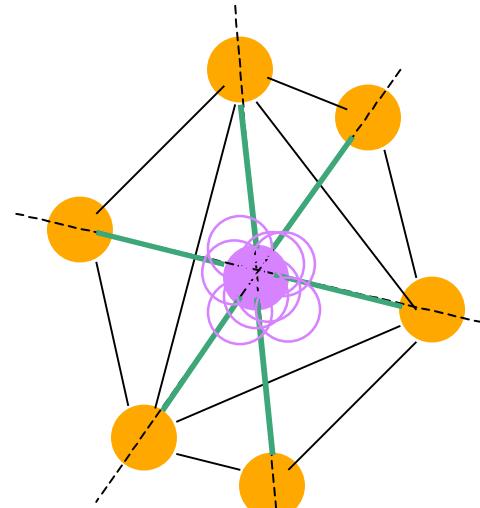


Low temperature

Broken symmetry

Energetically favored
(Peierls like mechanism)

G
e
Te



High temperature

More symmetry

Entropically favored
vibrational entropy

Future developments ?

- Constant pressure dynamics !

Excited States :

Time dependent LDA

- Need for improved description of the excited states
- Methods available : computationnally demanding
GW + BSE, DFT + QMC
- TDLDA : ‘cheap’ calculation on top of standart LDA calculation

Time-Dependent DFT

2 ways

- Linear response method (TD-DFRT)

“*Time-Dependent Density Functional Response Theory of Molecular systems: Theory, Computational Methods, and Functionals*”, by M.E. Casida, in Recent Developments and Applications of Modern Density Functional Theory, edited by J.M. Seminario (Elsevier, Amsterdam, 1996).)

- Real time method

TDLDA calculation

Simple eigenvalue problem on top of LDA :

$$[\epsilon_{ij}^2 \epsilon_{ik} \epsilon_{jl} \epsilon_{kl} + 2 \sqrt{\epsilon_{ij} \epsilon_{ij}} K_{ijkl} \sqrt{\epsilon_{kl} \epsilon_{kl}}] \vec{F}_n = \omega_n^2 \vec{F}_n$$

Where

ϵ_{ij} = $\epsilon_j - \epsilon_i$ are the Kohn-Sham transition energies

$f_{ij} = n_i - n_j$ are the diff. in occupation numbers

ω_n are the TDDFT excitations energies

\vec{F}_n are related to the oscillator strengths

The coupling matrix \mathbf{K}

Adiabatic approximation :

$$v_{xc}^{\square}[\square_t^{\uparrow}, \square_t^{\downarrow}](\vec{r}) = \frac{\partial E_{xc}[\square_t^{\uparrow}, \square_t^{\downarrow}]}{\partial \square_t^{\square}(\vec{r})}$$

Linear response : $\partial \square_{ij}(\vec{r}, \square) = \prod_{ij} \square_{ij}(\vec{r}) \partial P_{ij}(\square) \square_{ji}^*(\vec{r})$

$\partial P_{ij}(\square)$ linear response to perturbation $\square(t)$

Coupling matrix :

$$K_{ij\square, lk\square} = \frac{\partial v_{ij\square}^{SCF}}{\partial P_{kl\square}}$$

$$\square_{eff}(t) = \square(t) + \square v^{SCF}(\vec{r}, t)$$

$$= \prod_{ij} \square_{ij}^*(\vec{r}) \square_{ji}(\vec{r})$$

xc term

$$\left(\frac{1}{|\vec{r} - \vec{r}'|} + \frac{\partial v_{\square}^{xc}(\vec{r})}{\partial \square_{\square}(\vec{r}')} \right)$$

Coulomb term

$$\square_{kj}(\vec{r}') \square_{lj}^*(\vec{r}') d\vec{r} d\vec{r}'$$

Oscillator strength :

$$f_I = \frac{2}{3} (E_I - E_0) \prod_{\square=\hat{x}, \hat{y}, \hat{z}} |\langle \square_0 | \square | \square_I \rangle|^2$$

Sum rule : $\prod_i f_i = N_e$

Src_5common/tddft.f

$$\underbrace{[\square_{ij}^2 \square_{ik} \square_{jl} \square_{kl} + 2 \sqrt{f_{ij} \square_{ij}} K_{ij,kl} \sqrt{f_{kl} \square_{kl}}]} \vec{F}_n = \square_n^2 \vec{F}_n$$

NxN Matrix to diagonalize with

$$K_{ij,kl} = \int \psi_i^*(\vec{r}) \square_j(\vec{r}) \left(\frac{1}{|\vec{r} - \vec{r}'|} + \frac{\partial v^{xc}(\vec{r})}{\partial \square_k(\vec{r}')} \right) \square_k(\vec{r}') \psi_l^*(\vec{r}') d\vec{r} d\vec{r}'$$

coupling the excitation i-j with the excitation l-k

- Double loop + Mpi coming (-> src_9seqpar)
- Restart – disabled
- N Excitations window (by default, N= nval x ncond)
Optional variables : td_maxene, td_mexcit

```

# Magnesium atom. acell much too small.
# Excited states computation

ndtset 3

iscf1 5
nband1 1
prtden1 1

iscf2 -1 #non-scf followed by tldda
nband2 10
getden2 1
getwfk2 1

iscf3 -1 #same, but with mkmem 0
nband3 10
mkmem3 0
getden3 1
getwfk3 1

#Common

acell 17.999 18 18.001
boxcenter 3*0

ecut 3.5

natom 1
xangst 0 0 0

```

Test_v1/t69

- Iscf -1
- Mkmem 0 (opt) :
td_matrix is stored on disk
(for eventual restart – to debug)

$$\square_i^*(\vec{r}) \square_j(\vec{r}) \quad (i, j) = 1, N$$

are stored on disk



Take center of molecule as boxcenter

+Test_v2/t42.in : ixc=20,21,22

```

# N2 system.
# Excited state computation, using LDA/TDLDA
# with different XC kernels

ndtset 4

#DATASET 1 SCF

#DATASET 2 TDDFT
#Common to all except GS calculations
getden 1
tolwfr 1.0d-9
iscf -1
getwfk 1
nband 12

#DATASET 3 SCF with another ixc
iscf3 5
nband3 5
prtden3 1
getwfk3 1
tolwfr3 1.0d-15
ixc3 7

#DATASET 4 TDDFT
getden4 3
getwfk4 3
ixc4 7

#Common
acell 6 2*5 Angstrom
boxcenter 3*0.0d0
diemac 1.0d0 diemix 0.5d0
ecut 25
ixc 1

```

Test_v3/t55.in

■ Test :

TDDFT excitation energies
 oscillator strengths,
 Polarisability
 Cauchy coefficients.

Excitation window :

Default:
 N = nval * ncond

Optional :

Td_maxene xxx :
 Keep excitation i-j such
 $E_j - E_i < xxx$

Td_mexcit yyy :
 Keep yyy lowest KS excitations

*** TDDFT : computation of excited states ***

Splitting of 12 bands in 5 occupied bands, and 7 unoccupied bands, giving 35 excitations.

Kohn-Sham energy differences, corresponding total energies and oscillator strengths (X,Y,Z and average)-
(oscillator strengths smaller than 1.e-6 are set to zero)

Transition (Ha) and (eV) Tot. Ene. (Ha) Aver XX YY ZZ
5-> 6 3.10921E-01 8.46059E+00 -1.92708E+01 0.0000E+00 0.00E+00 0.00E+00 0.00E+00

...

1-> 12 1.20769E+00 3.28629E+01 -1.83740E+01 1.9809E-04 0.00E+00 0.00E+00 5.94E-04

Sum of osc. strength : 2.575099E+00

TDDFT singlet excitation energies (at most 20 of them are printed), and corresponding total energies.

Excit# (Ha) and (eV) total energy (Ha) major contributions

1 3.47969E-01 9.46872E+00 -1.923375E+01 0.74(5-> 6) 0.25(5-> 7)

...

20 5.63495E-01 1.53335E+01 -1.901822E+01 0.76(4-> 11) 0.17(2-> 7)

Oscillator strengths : (elements smaller than 1.e-6 are set to zero)

Excit# (Ha) Average XX YY ZZ XY XZ YZ

1 3.47969E-01 0.000E+00 0.000E+00 0.000E+00 0.000E+00 0.00E+00 0.00E+00 0.00E+00

...

7 3.96426E-01 5.755E-02 0.000E+00 8.722E-05 1.726E-01 0.00E+00 0.00E+00 3.88E-03

...

35 1.21621E+00 2.119E-05 0.000E+00 0.000E+00 6.355E-05 0.00E+00 0.00E+00 1.43E-06

Sum of osc. strength : 2.575099E+00

Cauchy coeffs (au) : (-2)-> 7.978E+00, (-4)-> 2.768E+01, (-6)-> 1.077E+02
(-8)-> 4.672E+02, (-10)-> 2.236E+03, (-12)-> 1.161E+04, (-14)-> 6.415E+04

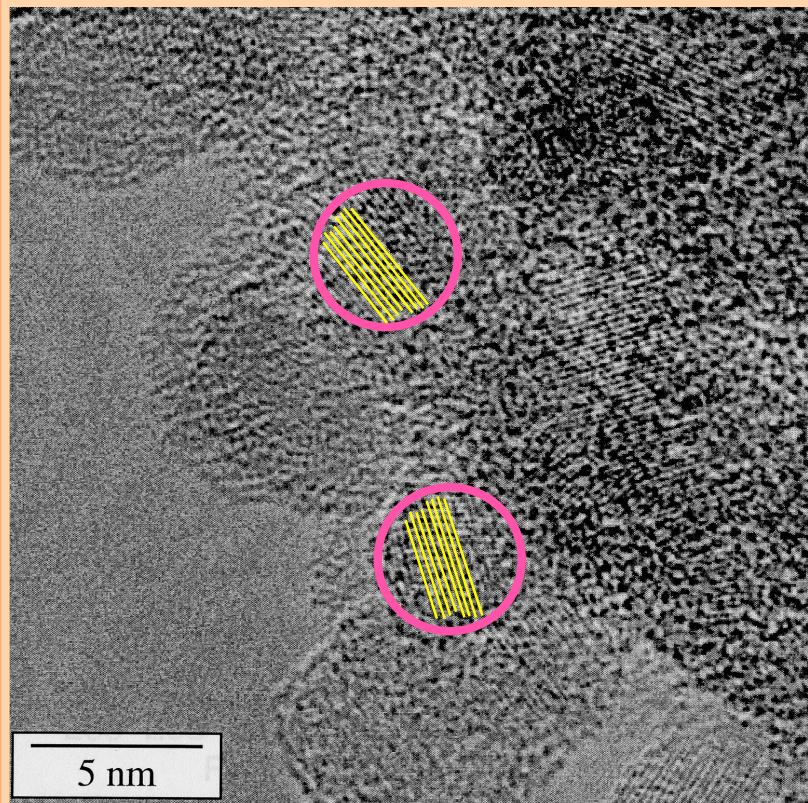
TDDFT triplet excitation energies (at most 20 of them are printed),
and corresponding total energies.

Test_v3/t55.out

Application : Nanoclusters

- Carbon & Silicon clusters
- Description
- Convergence vs excitation window
- Nanodiamonds : quantum confinement
- Comparison with experiment Si₂₉H₂₄
- Silicon : comparison with other methods

Nanodiamonds & TDLDA



- They do exist !
- 2-6 nanometers
- Crystalline
- Huge quantum confinement
(still ~1 eV at 35 nm) PRL
xxxxxx

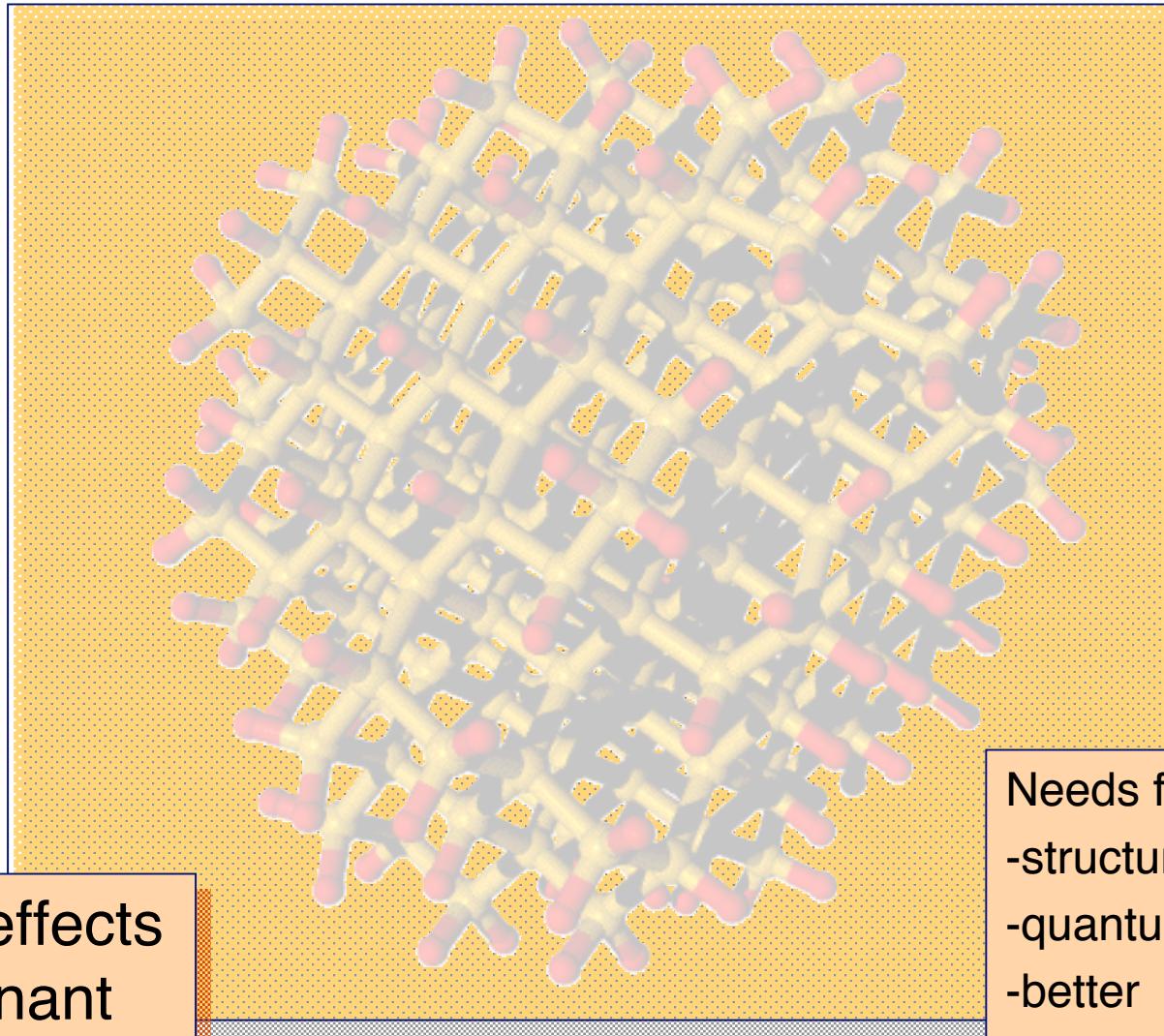
But

- LLNL x-ray exp. show *NO* QC for 3nm particles
- What is the gap of 3 nm particles ? *GGA vs TDLDA*

Simulation

C211H140

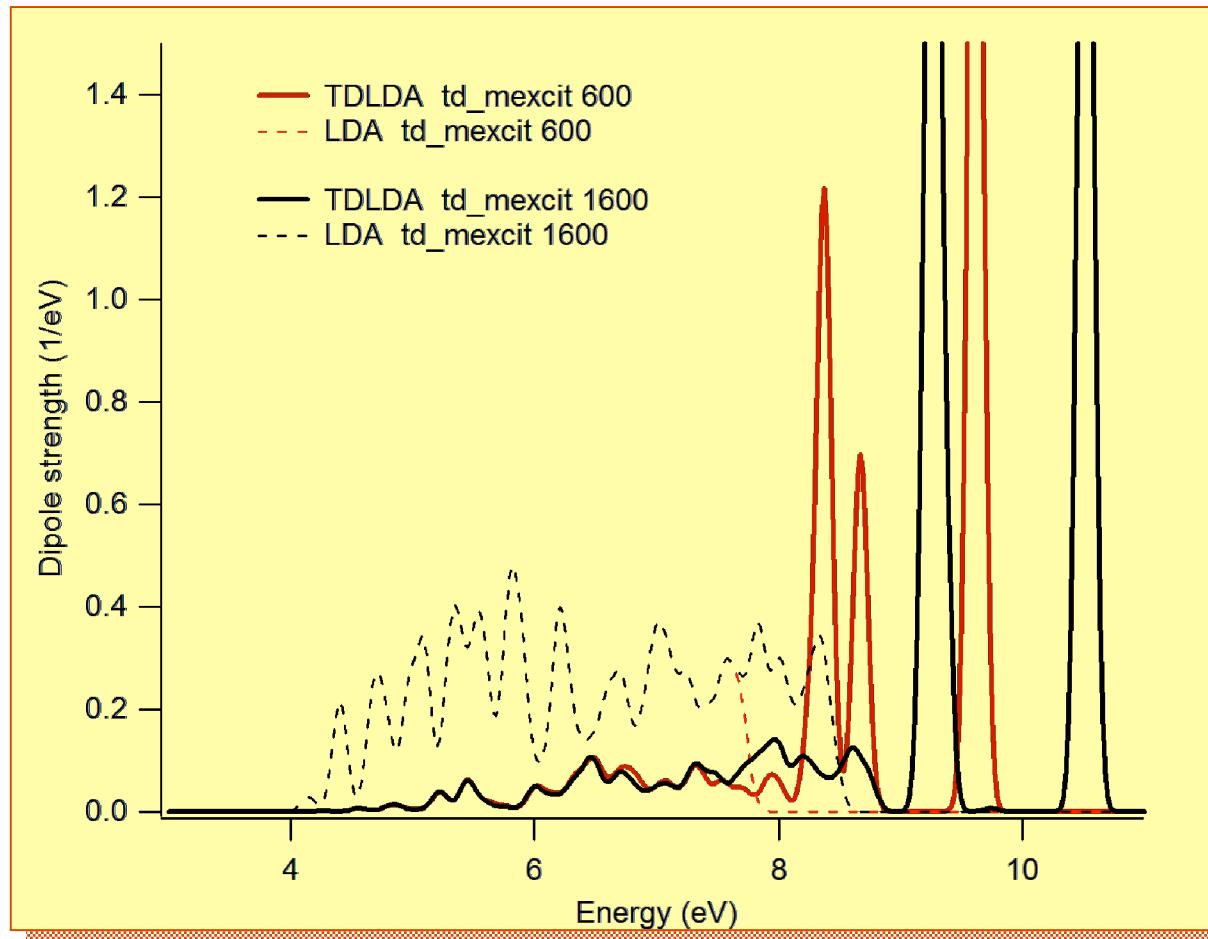
1.4 nm



Surface effects
are dominant

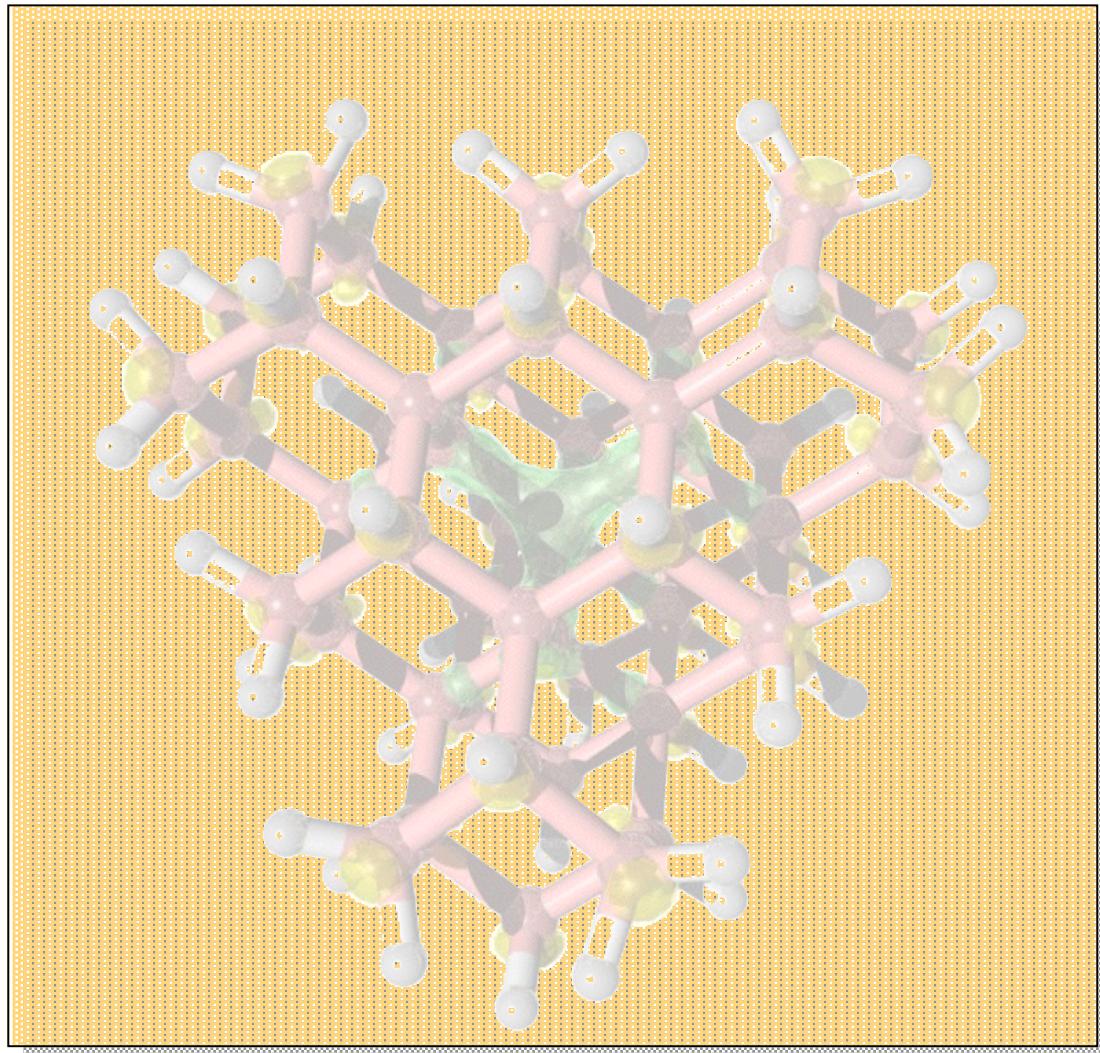
Needs for
-structure optimization
-quantum description
-better excited states

Convergence with excitations window



- Default : $N = 52 \times 148 = 7696$
- $Td_mexcit = 600$: converged on ~ 2 eV
- $Td_mexcit = 1600$: ~ 3 eV

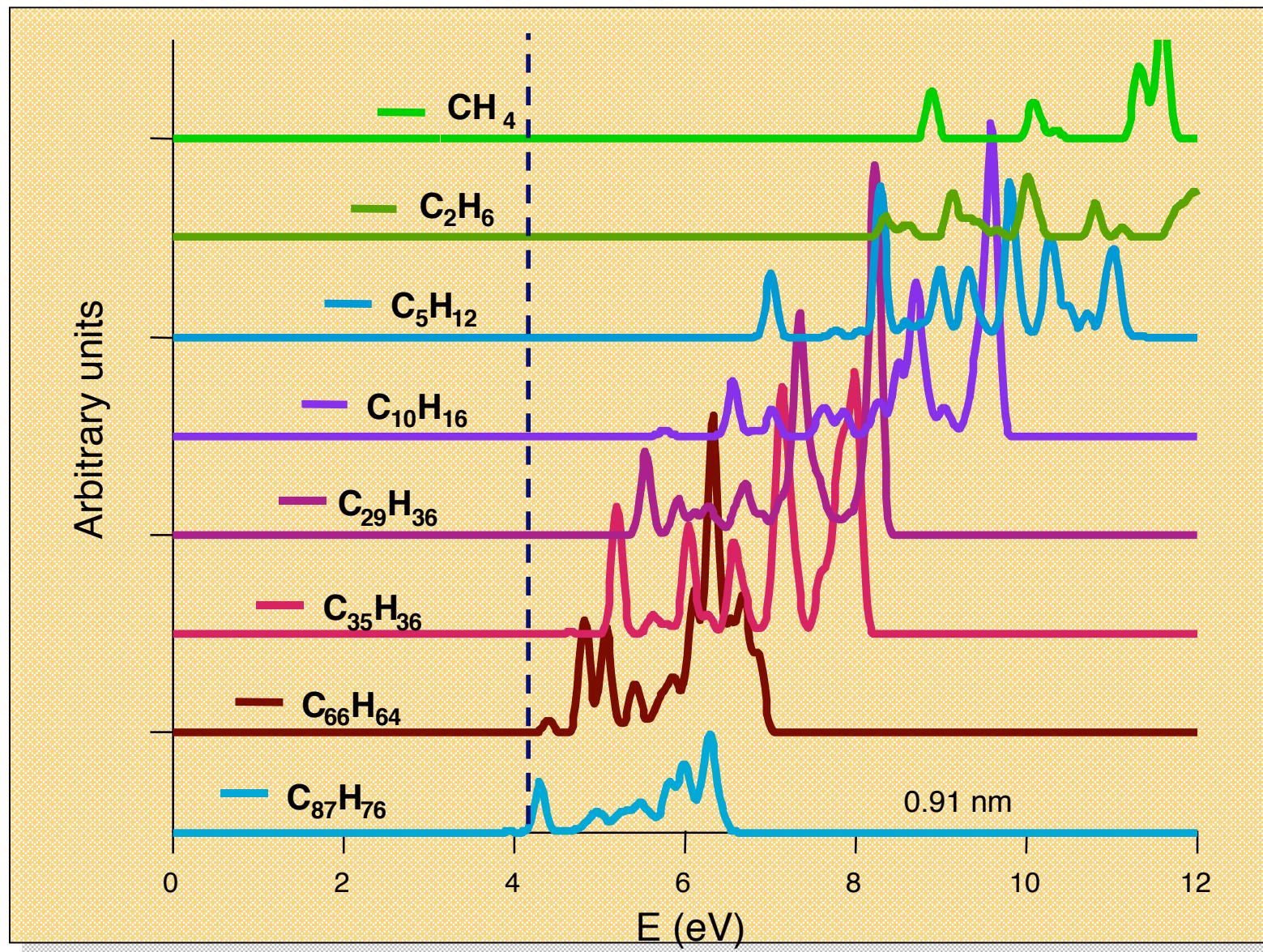
C₅₉H₆₀ GGA



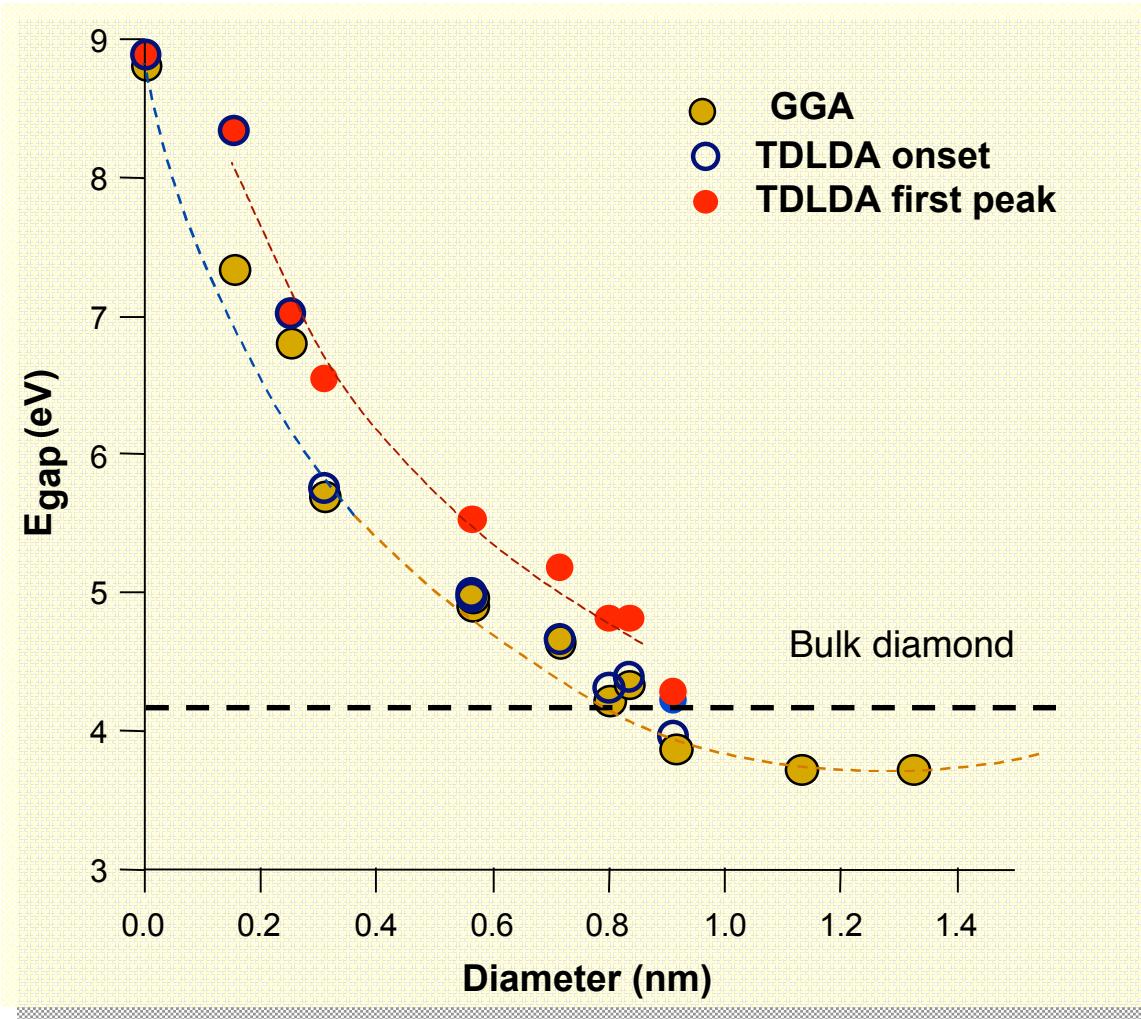
- 0.8 nm
- C-C : 1.61-1.64 Å
Bulk : 1.54 Å
- HOMO 3x deg.
- E_{gap} = 4.3 eV
Bulk : 4.23 eV

Simulation

TDLDA Optical Spectra

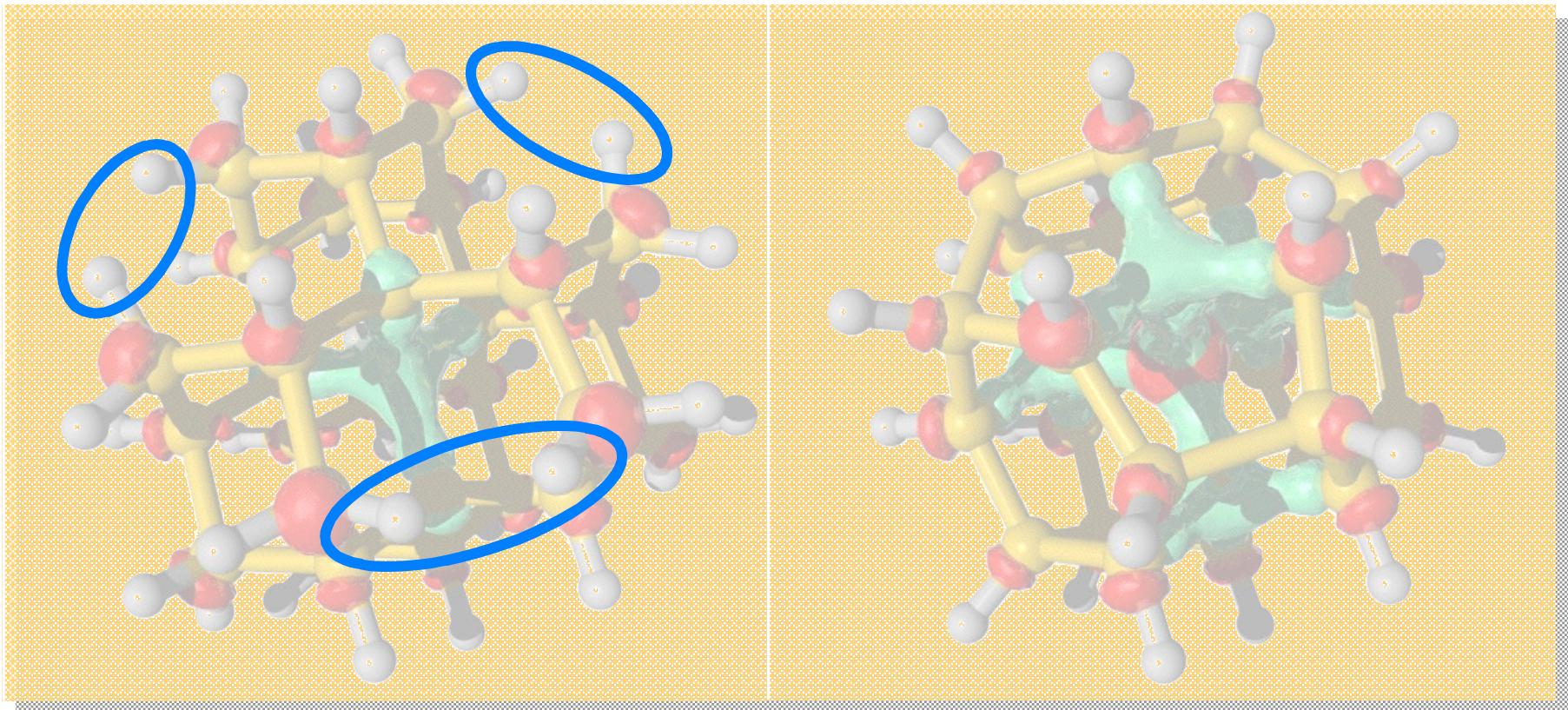


Optical gap vs size



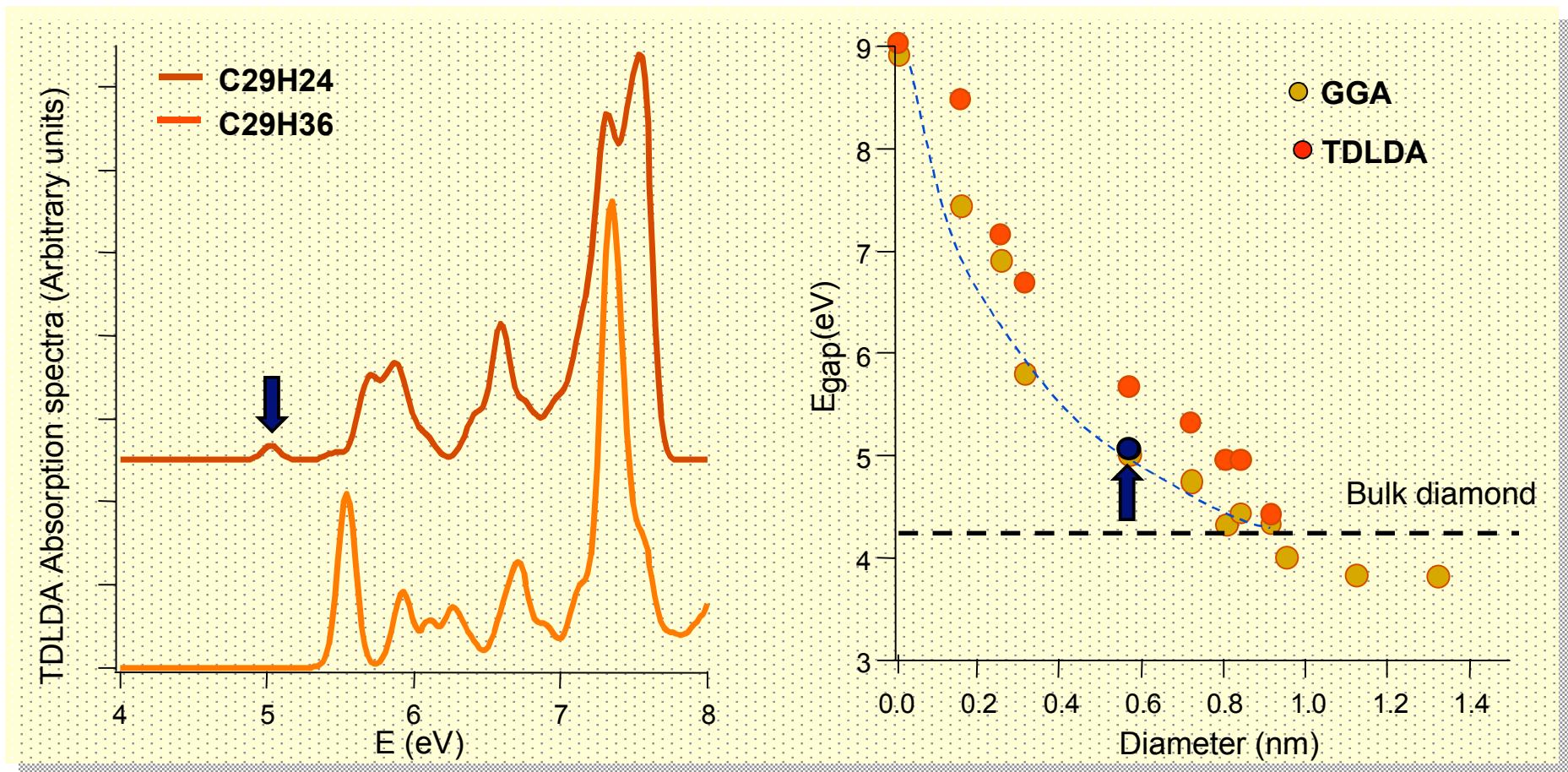
Simulation

Surface reconstruction



Simulation

Surface reconstruction effect

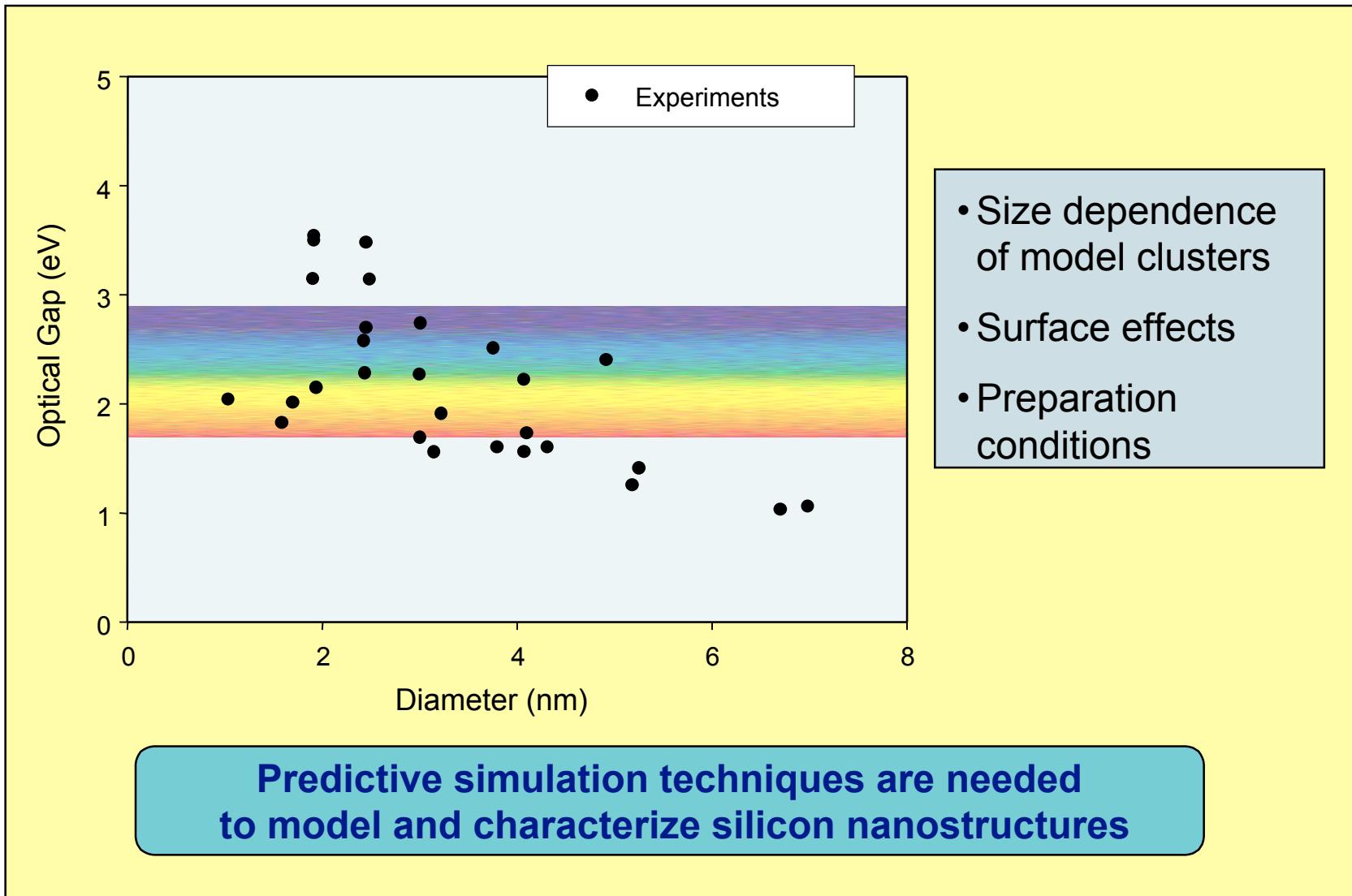


Gap is reduced by ~0.5 eV

No quantum confinement effect for D > 1-2 nm

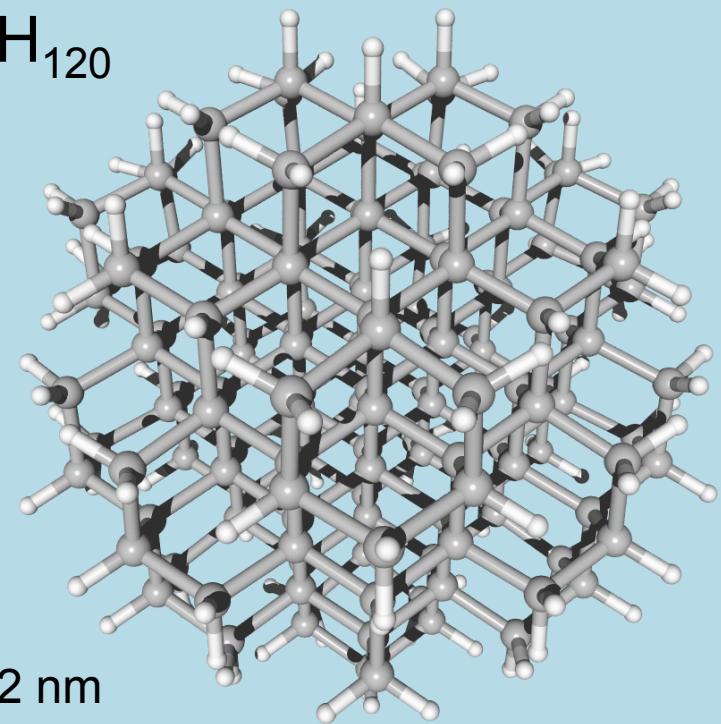
Simulation

Optical gaps of Si clusters



State of the simulations (QMC)

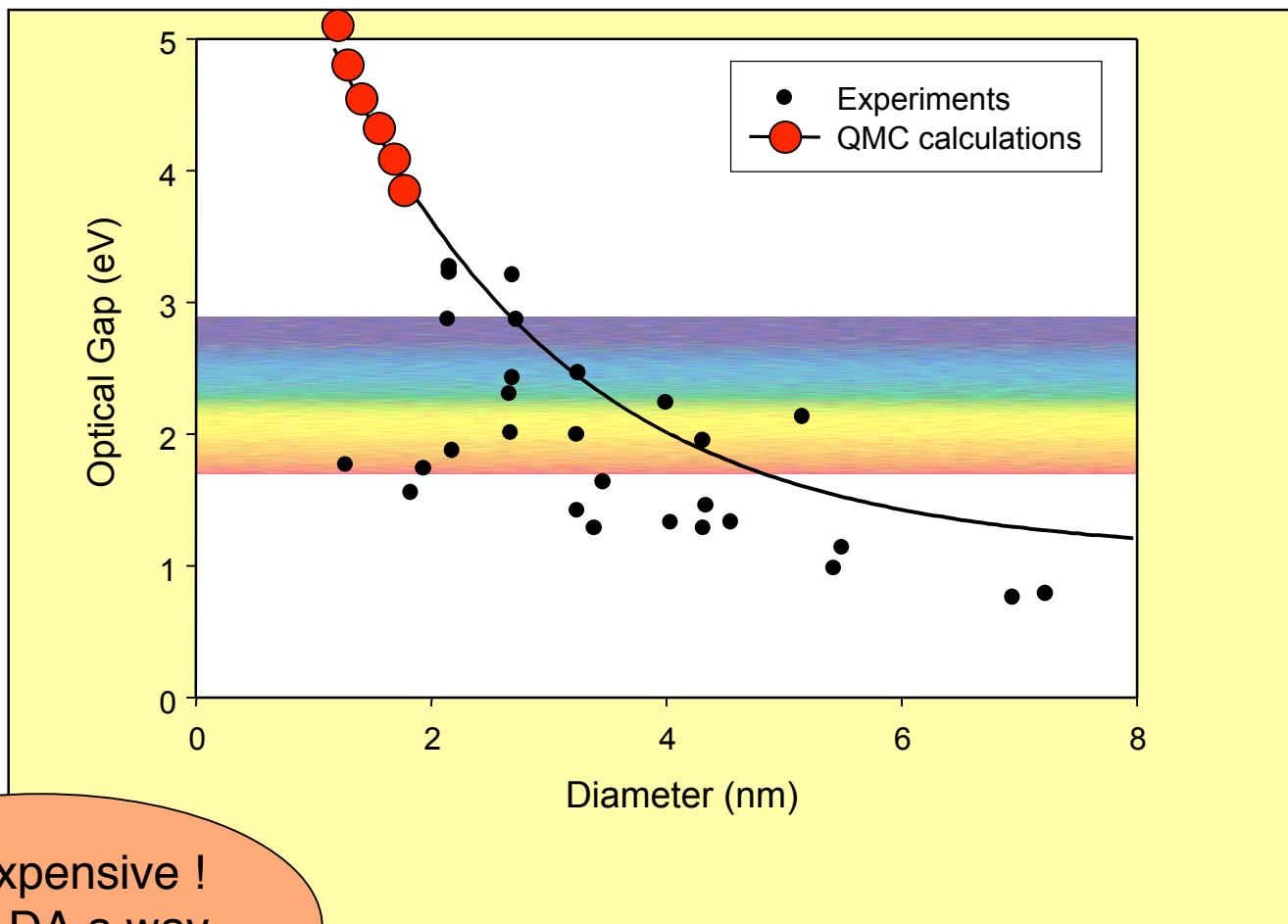
$\text{Si}_{148}\text{H}_{120}$



- 2 nm diameter
- 268 atoms
- 712 electrons
- >1000 CPU hours

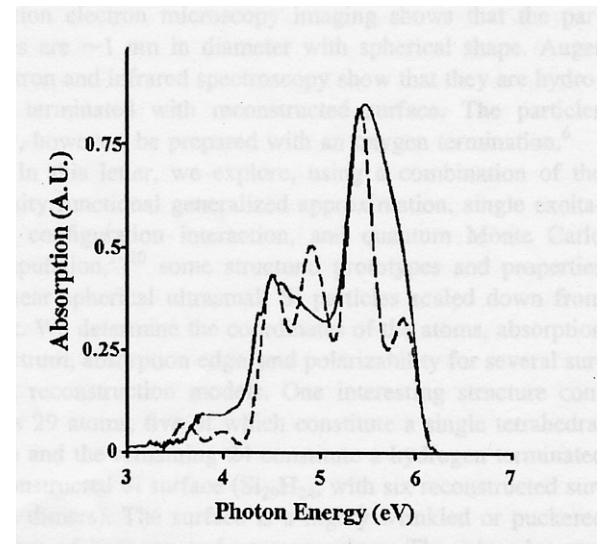
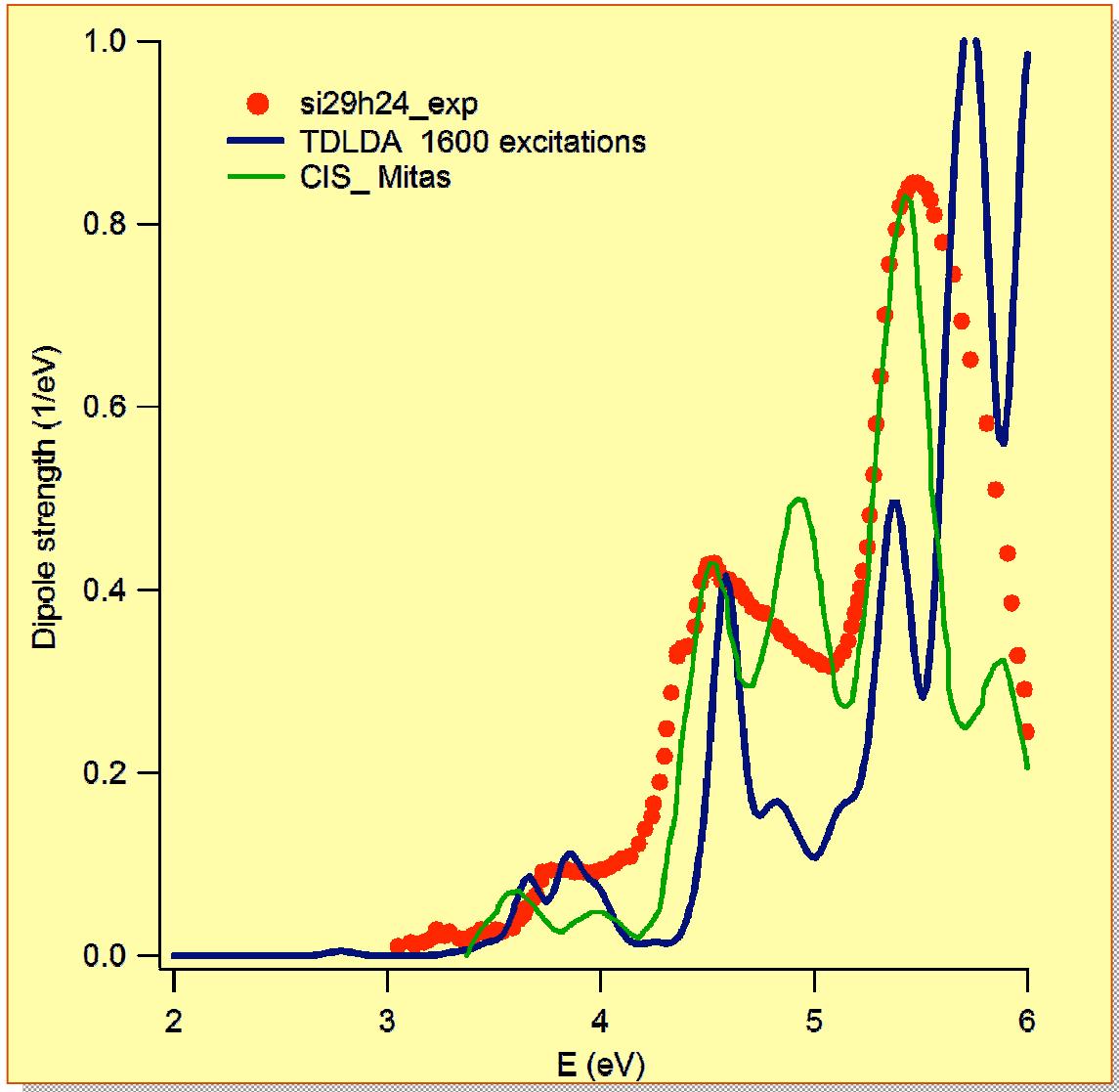
A.J. Williamson *et al.* Physical Review Letters, **87** 246406 (2001)

QMC Calculations explain the largest gaps



Too expensive !
Is TDLDA a way
to go ?

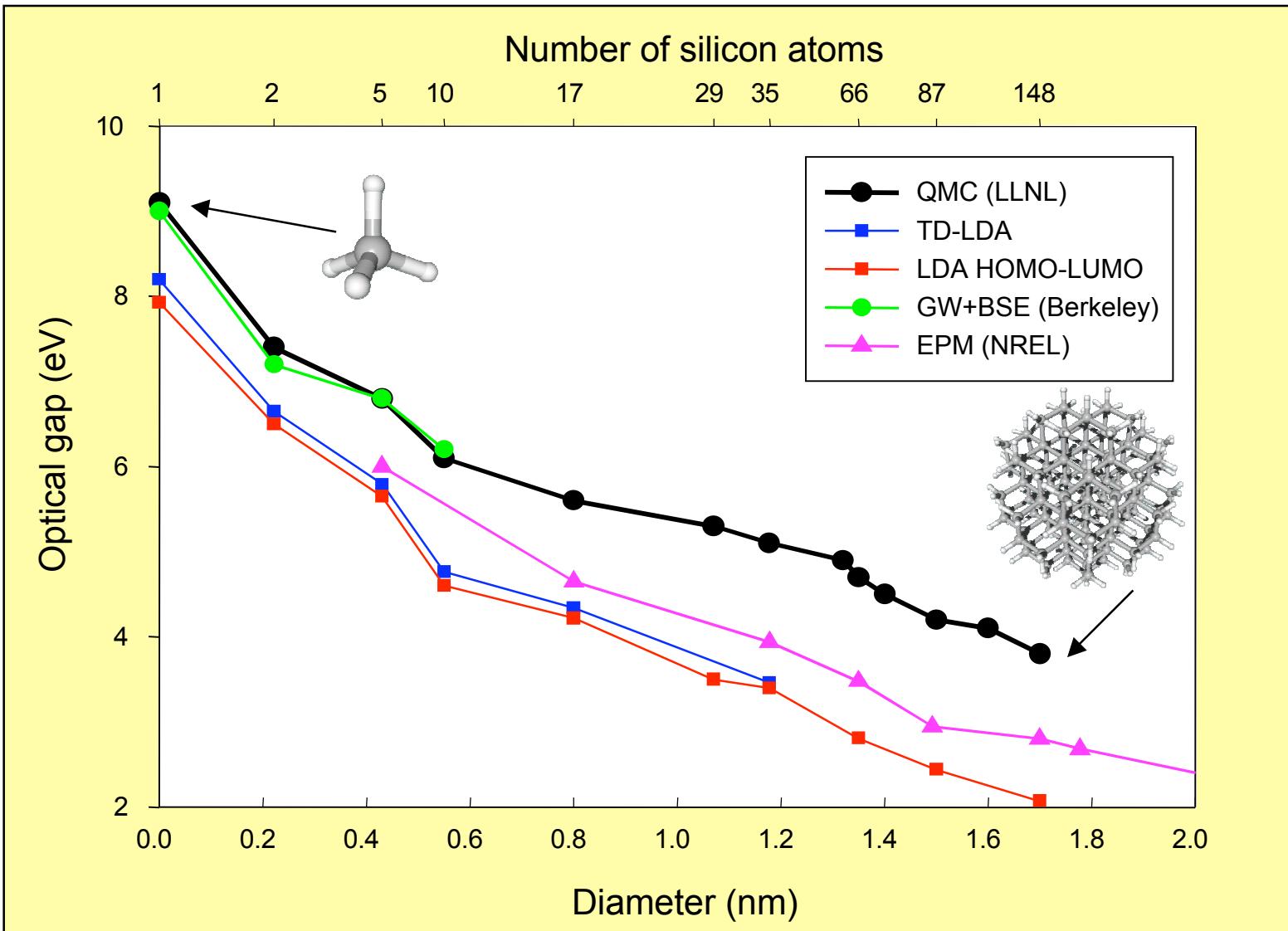
TDLDA vs Experiment



L. Mitas et al.

■ Not normalized
(sum rule not verified)

Optical Gap of Silicon Dots

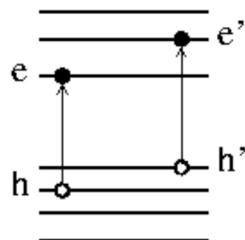


TDLDA vs BSE

Lorin Benedict et al.

Theoretical methods

must involve mixing of configurations



$K(eh; e'h')$ couples (eh) to $(e'h')$

$$|\text{excited state}\rangle = \sum_{e,h} \Psi(e,h) |e,h\rangle$$

equation of motion for electron-hole pair wave function, $\psi(e, h)$:

$$[(E_e - E_h) - E] \psi(e, h) + \sum_{e'h'} K(eh; e'h') \psi(e', h') = 0$$

Bethe-Salpeter equation (BSE), Time-dependent local density approximation (TDLDA), Configuration interaction with singles excitations (CIS)

- 2-stage calculations: Determine single-particle E_e , E_h , ϕ_e , ϕ_h , then calculate $\psi(e, h)$ by solving electron-hole pair equation.
- Determine $\alpha(E)$ from $\psi(e, h)$, E .

TDLDA

- stage 1: determine E_e , E_h , ϕ_e , ϕ_h from LDA.
- stage 2: solve electron-hole pair equation with

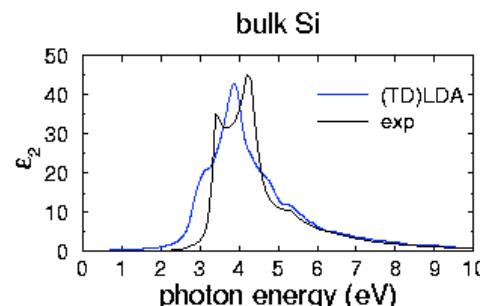
$$K(eh; e'h') = K_{Coul}(eh; e'h') + K_{xc}(eh; e'h')$$

K_{Coul} is a repulsion between the electron and hole. K_{xc} accounts for excitonic binding.

$$K_{Coul}(eh; e'h') = \int d\mathbf{r}_1 d\mathbf{r}_2 \phi_e^*(\mathbf{r}_1) \phi_h(\mathbf{r}_1) \frac{e^2}{|\mathbf{r}_1 - \mathbf{r}_2|} \phi_{e'}(\mathbf{r}_2) \phi_{h'}^*(\mathbf{r}_2)$$

$$K_{xc}(\mathbf{r}_1, \mathbf{r}_2; t, t') = \delta(t - t') \frac{\delta^2 E_{xc}}{\delta \rho(\mathbf{r}_1) \delta \rho(\mathbf{r}_2)}$$

Gives LDA answer for bulk



Bethe-Salpeter Equation

- stage 1: determine E_e , E_h from LDA + GW (including quasiparticle self-energies), ϕ_e , ϕ_h from LDA.

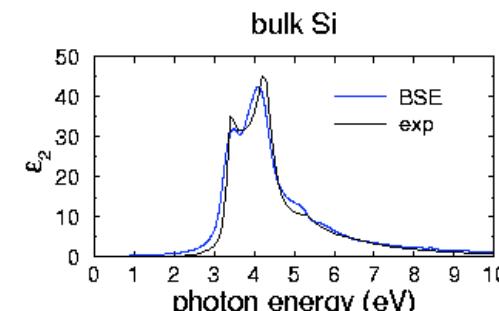
- stage 2: solve electron-hole pair equation with

$$K(eh; e'h') = K_{Coul}(eh; e'h') + K_{dir}(eh; e'h')$$

K_{dir} is attraction between electron and hole.

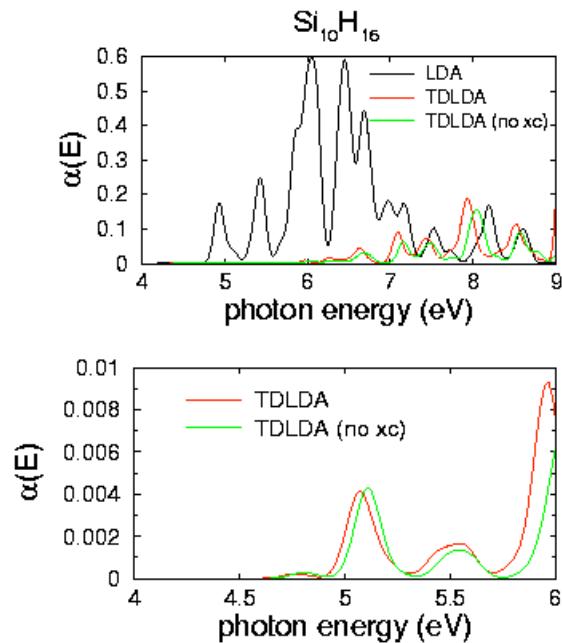
$$K_{dir}(eh; e'h') = \int d\mathbf{r}_1 d\mathbf{r}_2 \phi_e^*(\mathbf{r}_1) \phi_h(\mathbf{r}_2) \frac{-e^2}{\epsilon(\mathbf{r}_1, \mathbf{r}_2) |\mathbf{r}_1 - \mathbf{r}_2|} \phi_{e'}(\mathbf{r}_1) \phi_{h'}^*(\mathbf{r}_2)$$

Gives accurate answer for bulk

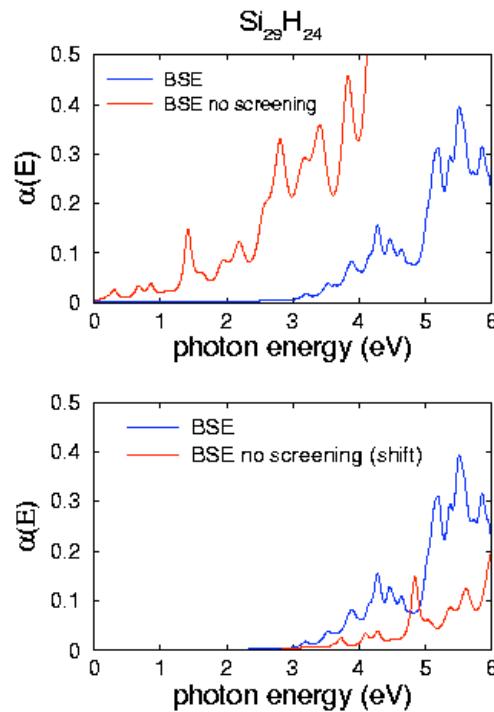


K_{Coul} , K_{xc} contributions

TDLDA results, effect of K_{Coul} , K_{xc}



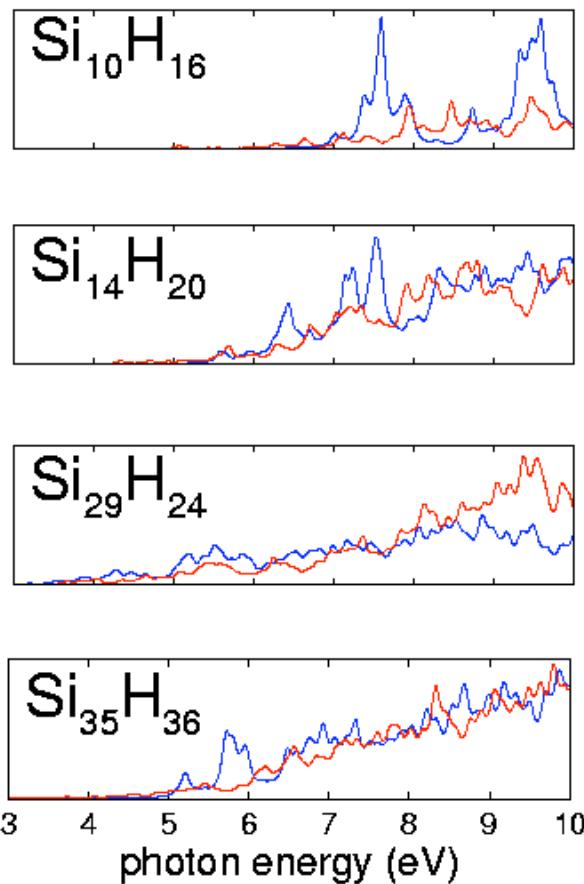
BSE results, effect of screening



K_{Coul} shifts spectral weight to higher energies

K_{xc} has almost no effect

BSE vs TDLDA



K_{Coul} shifts intensity to high E in **BSE** and **TDLDA**

K_{dir} gives rise to more structure at low E

K_{xc} has practically no effect

Future developments

- MPI version
- Other functionals
(TDLDA/LB94, TDLDA/ACLDA)

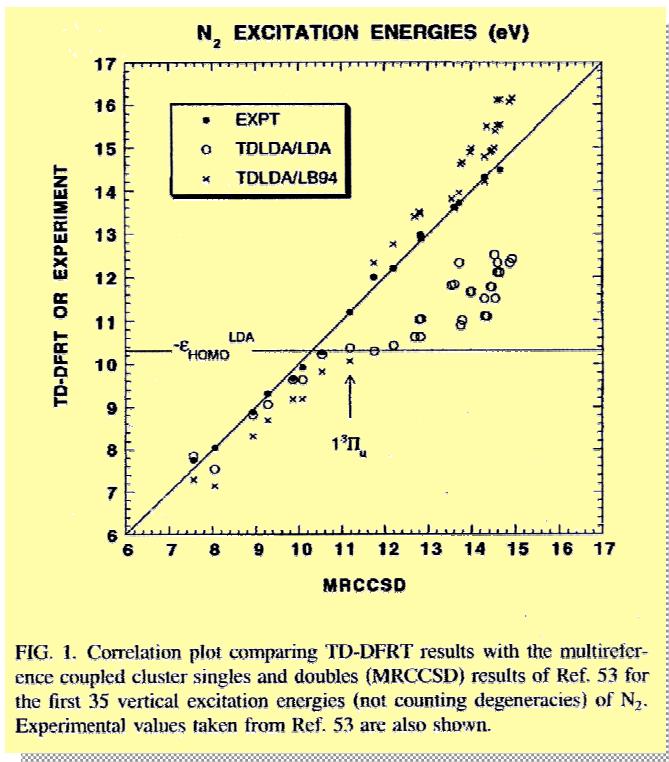


FIG. 1. Correlation plot comparing TD-DFRT results with the multireference coupled cluster singles and doubles (MRCCSD) results of Ref. 53 for the first 35 vertical excitation energies (not counting degeneracies) of N₂. Experimental values taken from Ref. 53 are also shown.

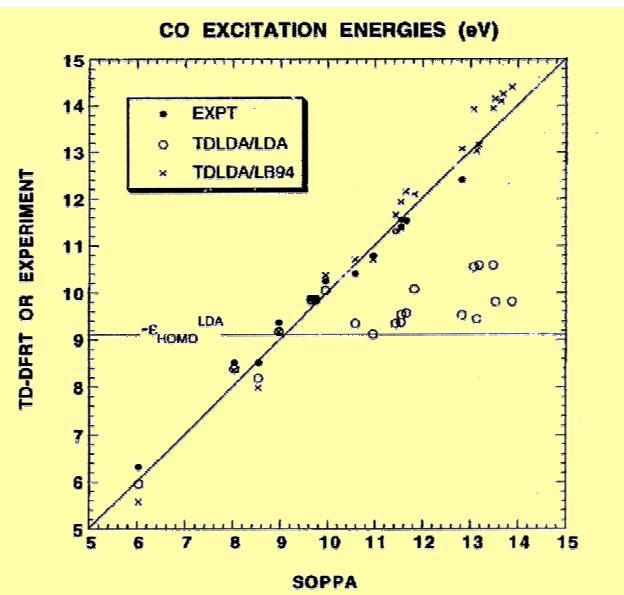


FIG. 2. Correlation plot comparing TD-DFRT results with second-order polarization propagator (SOPPA) ($S \neq 1$ results from Table II of Ref. 54) for the first 23 vertical excitation energies (not counting degeneracies) of CO. Experimental values taken from Ref. 54 are also shown.

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