

# **Multibinit lattice part: From ab-initio data to predictive lattice potentials**

ABINIT Developer Workshop 2021

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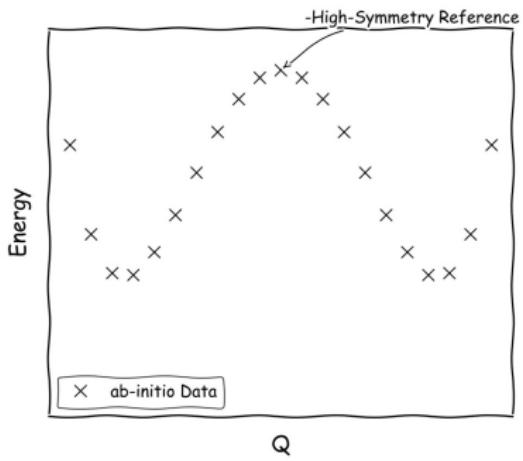
Theoretical Materials Physics, CESAM, Université de Liège, B-4000 Liège, Belgium

# Second-Principles Effective Potential from Ab-Initio Data

- Ab-Initio still limited to somewhat short time and length scales.
- State of the art for large scale MD: Reactive Force Fields, and empirical potentials.
- Current research effort: Effective potentials fitted on ab-initio data: Close the gap between ab-initio and effective potentials !
- Our approach: Reproduce the ab-initio potential energy surface (PES) by a polynomial fit → second-principles.

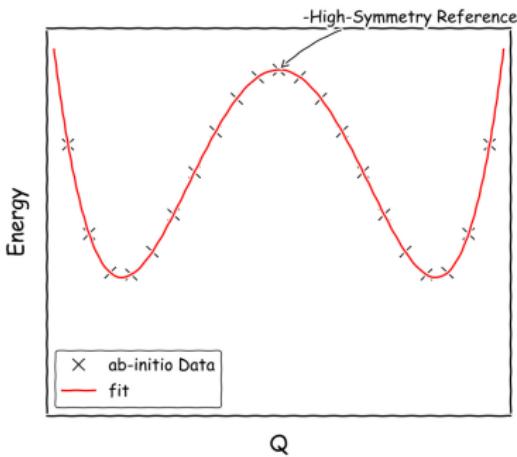
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$$E = E_0 + c_2 Q^2 + c_3 Q^3 + c_4 Q^4 \dots$$

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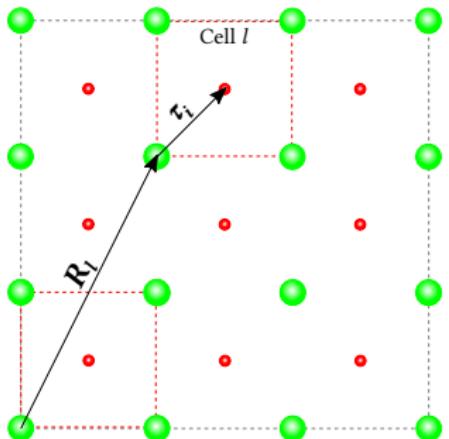
- 1** Model definition
- 2** Training set generation
- 3** Model Generation & Fit
- 4** Testing/validation
- 5** Predictions & analysis tools

# Lattice Description

Reference Structure:  $\{r_0\}$

Reference Unit-Cell

Simulation Box



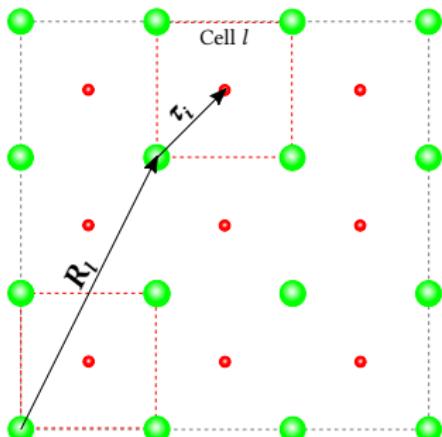
$$r_{0li\alpha} = R_{l\alpha} + \tau_{l\alpha}$$

Escorihuela-Sayaler et al., Phys. Rev. B 95, 094115  
J. Wojdel et al., J. Phys. Condens. Matter 25 (2013) 305401

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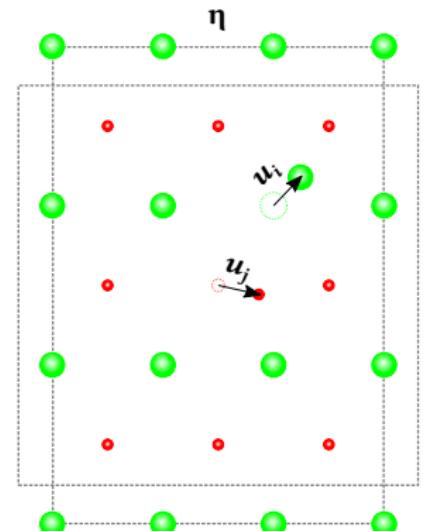
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$$r_{0li\alpha} = R_{l\alpha} + \tau_{l\alpha}$$

Distorted structure:  $\{r\}$



$$r_{li\alpha} = \sum_{\beta=1}^3 (\delta_{\alpha\beta} + \eta_{\alpha\beta}) r_{0li\beta} + u_{li\alpha}$$

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# Second-principles

## Modifications of the energy around the reference structure

$$E_{\text{tot}} (\{\mathbf{u}_i\}, \boldsymbol{\eta}) = E_0 (\{\mathbf{r}_0\}, 0) + E_p (\{\mathbf{u}_i\}) + E_s (\boldsymbol{\eta}) + E_{sp} (\{\mathbf{u}_i\}, \boldsymbol{\eta})$$

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## Modifications of the energy around the reference structure

$$E_{\text{tot}} (\{\mathbf{u}_i\}, \eta) = E_0 (\{\mathbf{r}_0\}, 0) + E_p (\{\mathbf{u}_i\}) + E_s(\eta) + E_{sp} (\{\mathbf{u}_i\}, \eta)$$



### Atomic displacements

$$E_p (\{\mathbf{u}_i\}) = E_p^{\text{Harm}} (\{\mathbf{u}_i\}) + E_p^{\text{Anharm}} (\{\mathbf{u}_i\})$$

$$K_{i\alpha j\beta \dots}^{(n)} = \left. \frac{\partial^n E_{\text{tot}}}{\partial u_{i\alpha} \partial u_{j\beta} \dots} \right|_{\eta=0}$$

$K^{(2)}$  : Inter-atomic force constant

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Strain deformation

$$E_s(\boldsymbol{\eta}) = E_s^{\text{Harm}}(\boldsymbol{\eta}) + E_s^{\text{Anharm}}(\boldsymbol{\eta})$$

$$C_{ab\dots}^{(m)} = \left. \frac{1}{N} \frac{\partial^m E_{\text{tot}}}{\partial \eta_a \partial \eta_b \dots} \right|_{u_i=0}$$

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$$E_{sp} (\{\mathbf{u}_i\}, \boldsymbol{\eta}) = E_{sp}^{\text{Harm}} (\{\mathbf{u}_i\}, \boldsymbol{\eta}) + E_{sp}^{\text{Anharm}} (\{\mathbf{u}_i\}, \boldsymbol{\eta})$$

$$\Lambda_{i\alpha b\dots}^{(m,n)} = \left. \frac{\partial^m E_{\text{tot}}}{\partial u_{i\alpha} \partial \eta_b \dots} \right.$$

$\Lambda^{(1,1)}$  : force-response internal strain tensor

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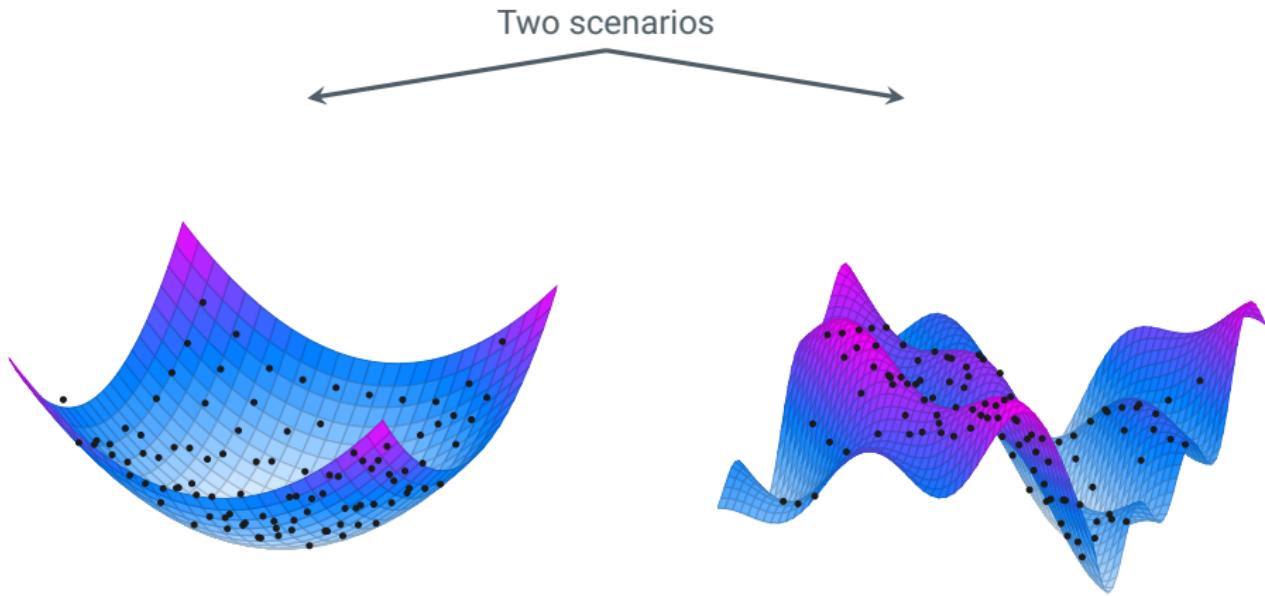
Λ<sup>(1,1)</sup> : force-response internal strain tensor

- All atomic degrees of freedom are included
- $K^2, C^2, \Lambda^{(1,1)}$  can be calculated directly from DFPT
- Anharmonic term parameters: fitted to reproduce representative ab-initio data (TS)

$\lambda$	1 <sup>st</sup> order		2 <sup>nd</sup> order		
	$\mathbf{u}$	$\boldsymbol{\eta}$	$\mathbf{u}$	$\boldsymbol{\eta}$	$\boldsymbol{\varepsilon}$
$\mathbf{u}$	$f$		$K$	$\Lambda$	$Z^*$
$\boldsymbol{\eta}$		$\sigma$	$\Lambda$	$C$	$e^0$
$\boldsymbol{\varepsilon}$		$P$	$Z^*$	$e^0$	$\varepsilon^\infty$

Read from a .DDB file

# Choice of TS generation strategy



**One minimum to explore**

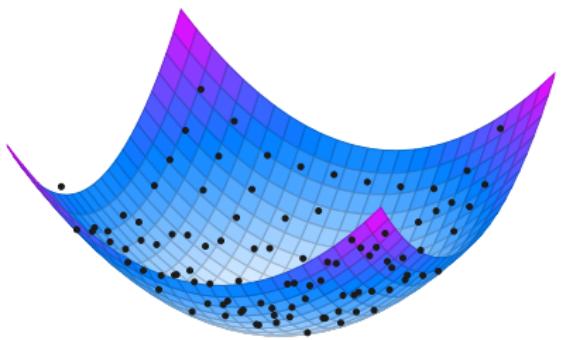
- Easy to explore with random structures

**Several local minima to explore:**

- Hard to explore all the minima with random structures

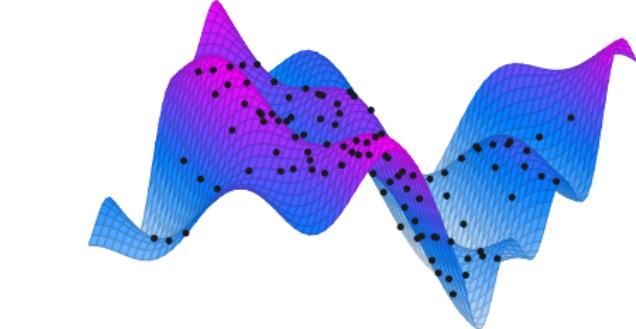
# Choice of TS generation strategy

Two scenarios



**One minimum to explore**

- Easy to explore with random structures



**Several local minima to explore:**

- Hard to explore all the minima with random structures

## 1 Model definition

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## 2 Training set generation

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- Training set generation
- Exploration of energy landscape: Interpolations & extrapolations
- Exploration of energy landscape: Phonon & strain noise on minima
- Exploration of energy landscape: Phonon & strain noise on path

## 3 Model Generation & Fit

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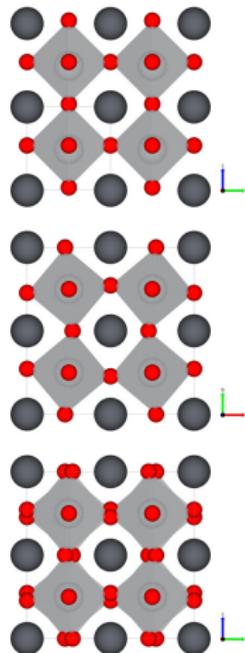
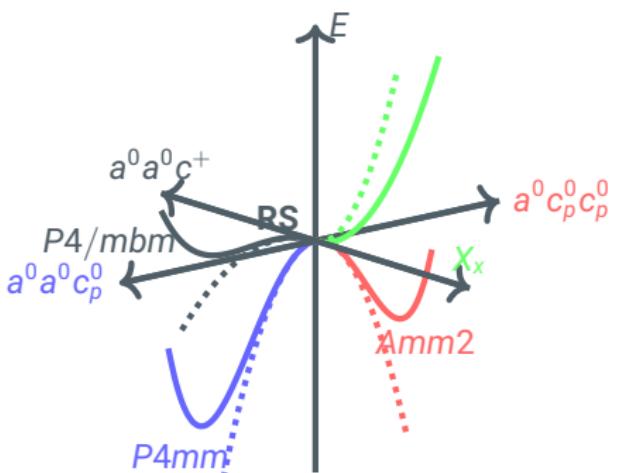
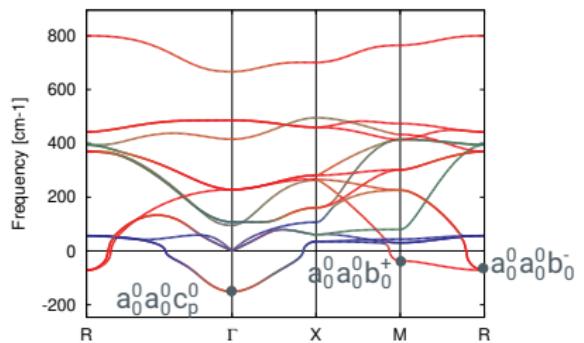
## 4 Testing/validation

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## 5 Predictions & analysis tools

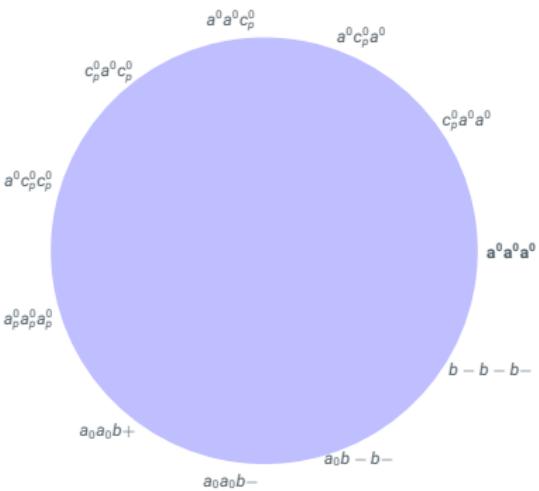
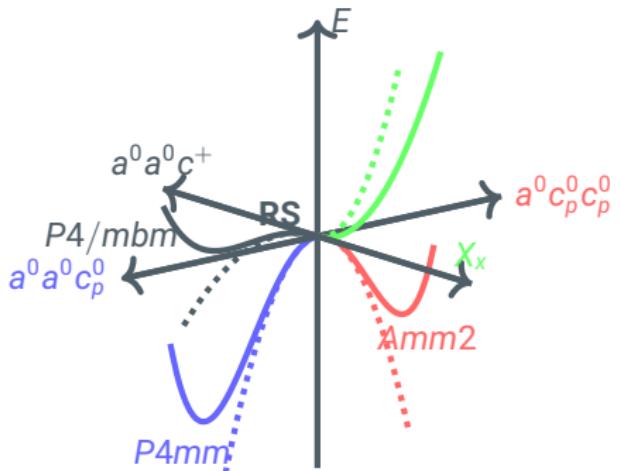
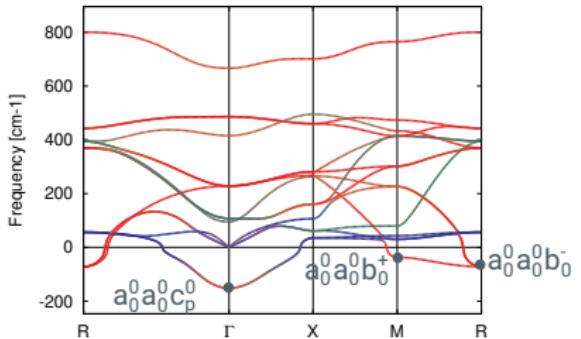
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# Training set generation I Identification of instabilities



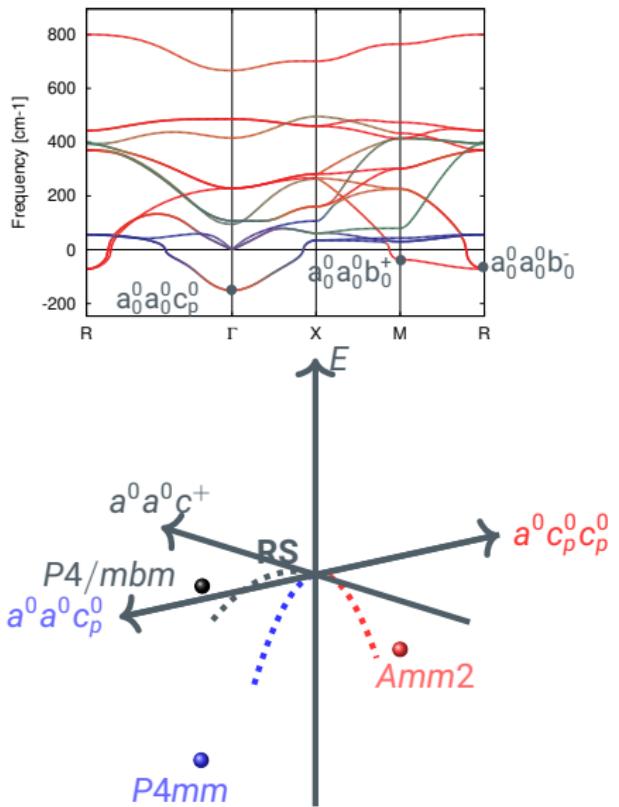
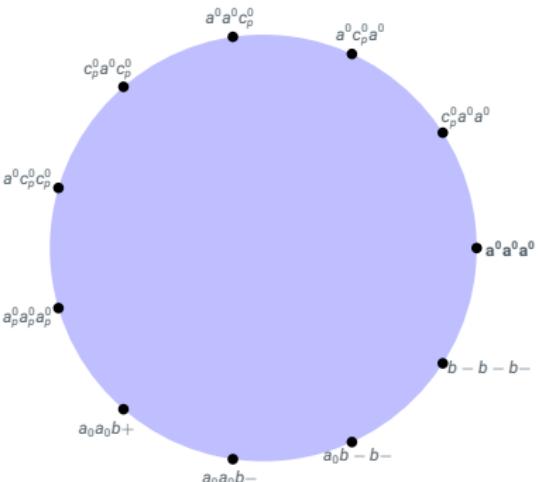
Identification of **instabilities** (negative curvature): anharmonicities are required to build a second-principles potential

# Training set generation I Identification of instabilities



**Identification of instabilities** (negative curvature): reduce the complete B-O surface to the subspace of interest

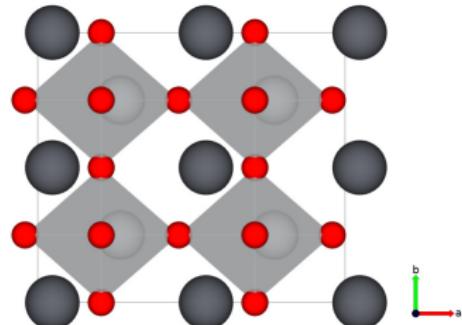
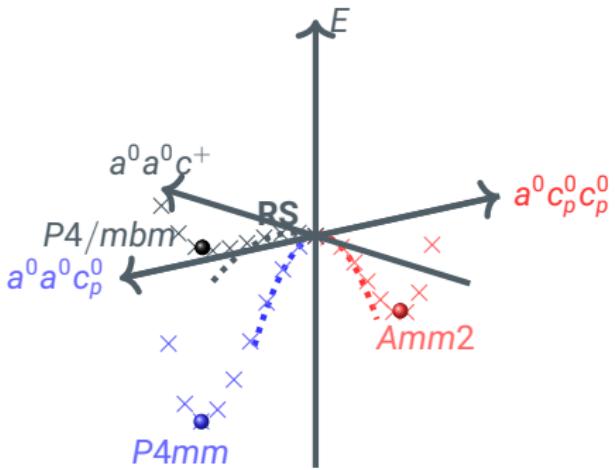
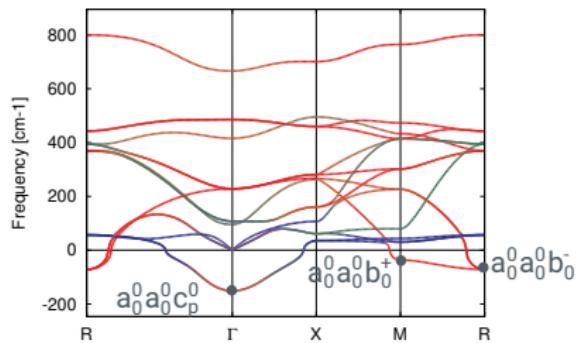
# Training set generation I Identification of instabilities

A circular Brillouin zone with various states represented by black dots. The states are labeled with their corresponding symmetry labels:  $a_0^0 a_0^0 c_p^0$ ,  $c_p^0 a_0^0 c_p^0$ ,  $a_0^0 c_p^0 a_0^0$ ,  $c_p^0 a_0^0 a_0^0$ ,  $a_0^0 a_0^0 b_0^+$ ,  $a_0^0 a_0^0 b_0^-$ ,  $a_0 a_0 b_+$ ,  $a_0 a_0 b_-$ ,  $a_0 b_- b_-$ , and  $b_- b_- b_-$ .

Identification of **instabilities** (negative curvature): Relaxation towards local minima

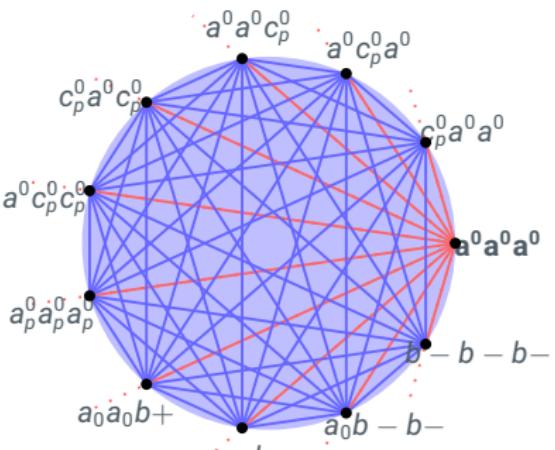
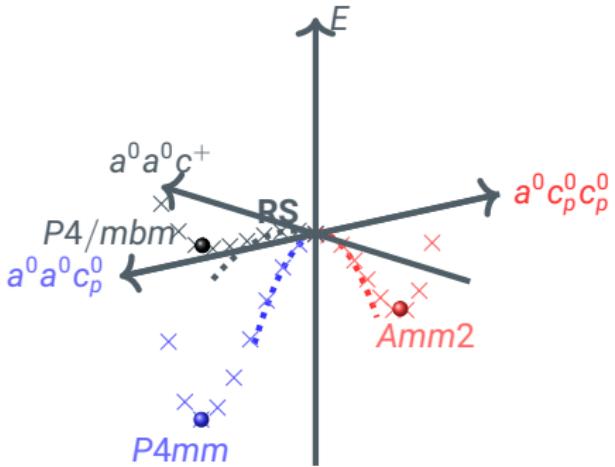
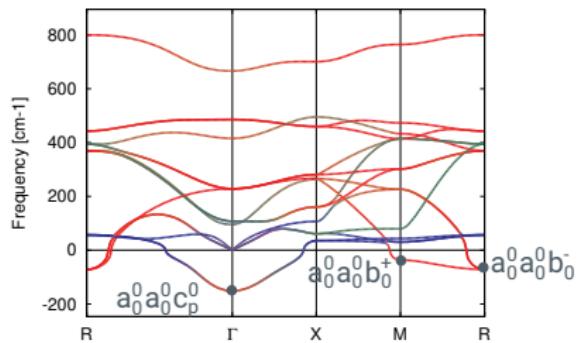
# TS generation Interpolations & extrapolations



- **Linear Interpolation:** connecting all minima ( $\alpha_{\max} = 1$ ):  

$$\alpha S_1 + \frac{(\alpha_{\max} - \alpha)S_2}{\alpha_{\max}}, \quad \alpha \in [0; \alpha_{\max}[ \quad (1)$$
- **Extrapolation** after minima ( $\alpha_{\max} > 1$ )

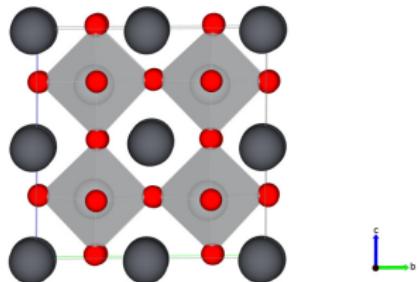
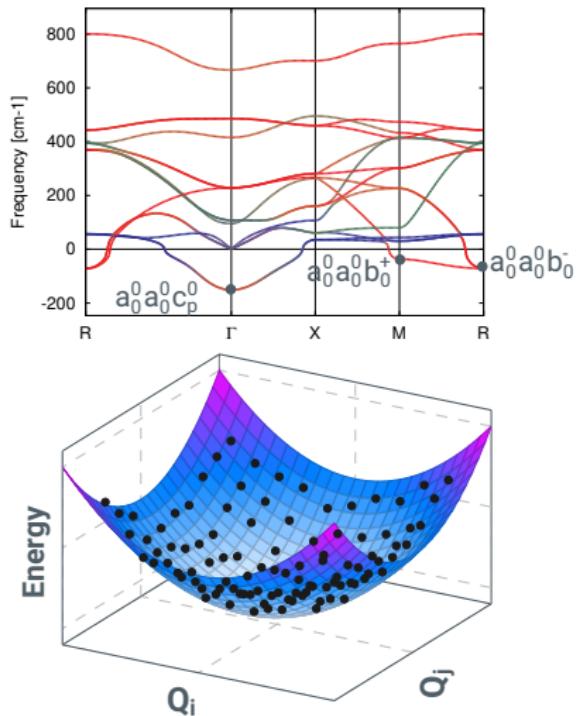
# TS generation Interpolations & extrapolations



Command in AGATE

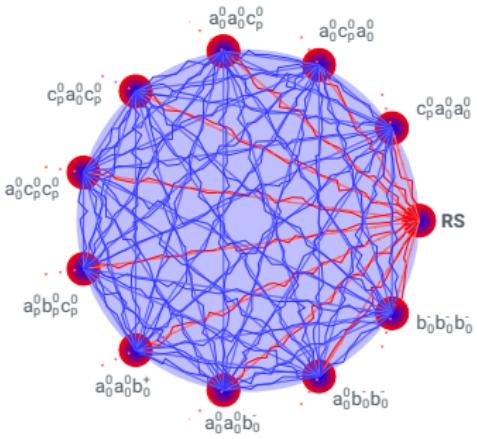
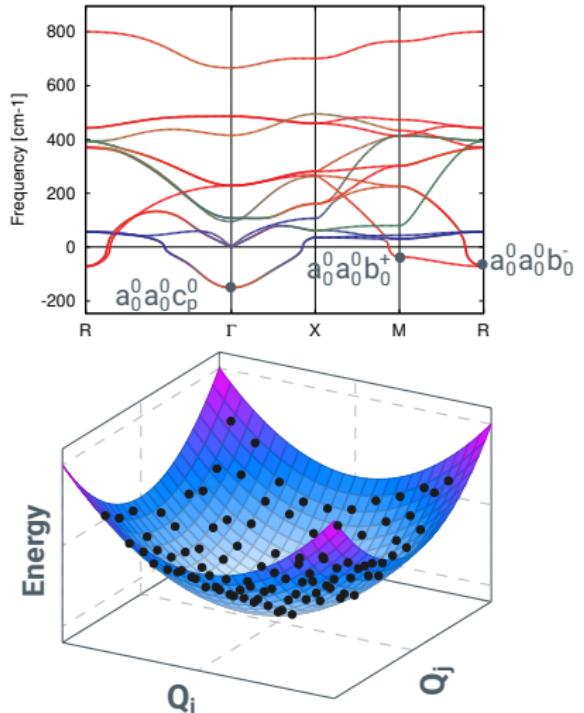
```
:interpolate npoints=· amplitude=·
```

## TS generation Phonon &amp; strain noise



- Thermal population of **stable phonons modes** on minima and paths
- **Strain noise** on minima and paths

# TS generation Phonon & strain noise

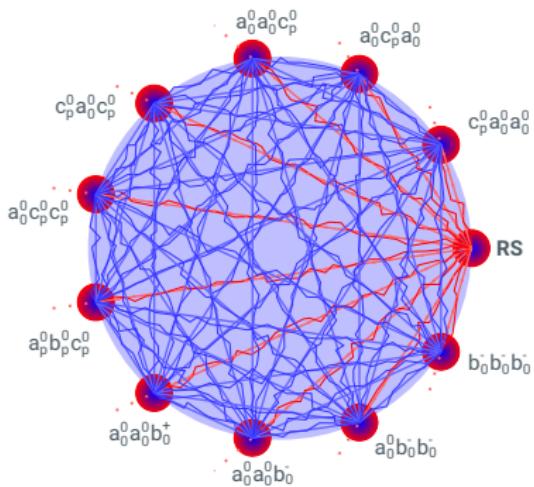


## Command in AGATE

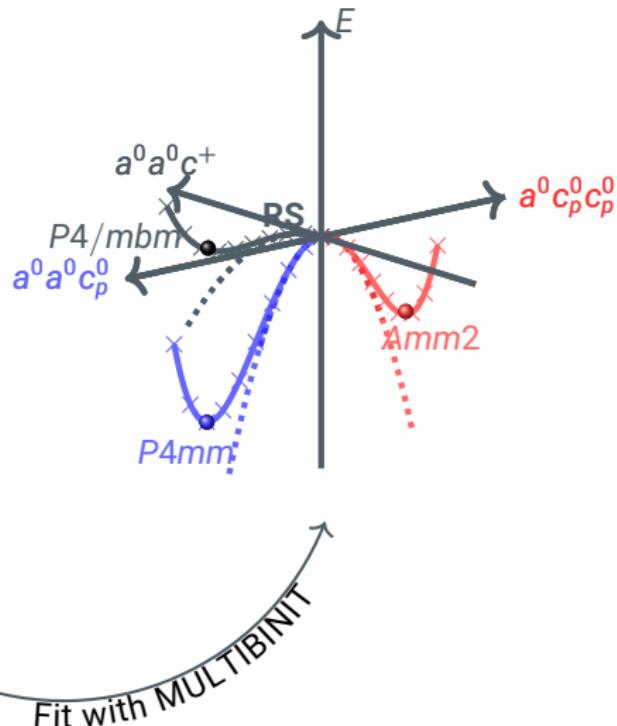
```
:thermalpop trajectory=
```

- randomType=Uniform/Normal
- statistics=Classical/Quantum
- iso=... tetra=... shear=...
- temperature=

# From training set to atomistic model



- Interpolations: RS to minima
- Interpolations: between minima
- - - Extrapolations
- Phonon and strain noise around minima
- Phonon and strain noise on RS - minima paths
- Phonon and strain noise on minima - minima paths



## 1 Model definition

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## 2 Training set generation

---

## 3 **Model Generation & Fit**

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- Expansion Generation
- An UNDERDETERMINED system ...
- Fit and Select important coefficients
- Outlook: Learn from machine learning

## 4 Testing/validation

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## 5 Predictions & analysis tools

---

# Anharmonic Polynomial Expansion

$$E_{\text{tot}} (\{\mathbf{u}_i\}, \boldsymbol{\eta}) = E_0 (\{\mathbf{r}_0\}, 0) + E_p (\{\mathbf{u}_i\}) + E_s(\boldsymbol{\eta}) + E_{sp} (\{\mathbf{u}_i\}, \boldsymbol{\eta})$$

Atomic displacements

$$E_p (\{\mathbf{u}_i\}) = E_p^{\text{Harm}} (\{\mathbf{u}_i\}) + E_p^{\text{Anharm}} (\{\mathbf{u}_i\})$$

Strain deformation

$$E_s(\boldsymbol{\eta}) = E_s^{\text{Harm}}(\boldsymbol{\eta}) + E_s^{\text{Anharm}}(\boldsymbol{\eta})$$

Strain-phonon coupling

$$\begin{aligned} E_{sp} (\{\mathbf{u}_i\}, \boldsymbol{\eta}) &= E_{sp}^{\text{Harm}} (\{\mathbf{u}_i\}, \boldsymbol{\eta}) \\ &+ E_{sp}^{\text{Anharm}} (\{\mathbf{u}_i\}, \boldsymbol{\eta}) \end{aligned}$$

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$$\begin{aligned} E_p^{\text{Anharm}} &= K_{ijklmn}^{(3)} \sum_{\alpha\beta\gamma} (u_{i\alpha} - u_{j\alpha})(u_{k\beta} - u_{l\beta})(u_{m\gamma} - u_{n\gamma}) \\ &+ K_{ijklmnop}^{(4)} \sum_{\alpha\beta\gamma\delta} (u_{i\alpha} - u_{j\alpha})(u_{k\beta} - u_{l\beta})(u_{m\gamma} - u_{n\gamma})(u_{o\delta} - u_{p\delta}) \\ &+ \dots \end{aligned}$$

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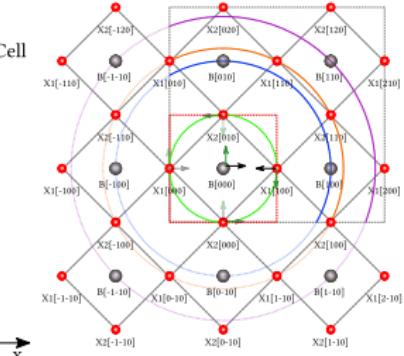
$$\begin{aligned} E_{sp}^{\text{Anharm}} &= \Lambda_{ijkl}^{(2,1)} (\mathbf{u}_{i\alpha} - \mathbf{u}_{j\alpha})(\mathbf{u}_{k\beta} - \mathbf{u}_{l\beta}) \eta_\gamma \\ &+ \Lambda_{ij}^{(1,2)}_{\alpha\beta\gamma} (\mathbf{u}_{i\alpha} - \mathbf{u}_{j\alpha}) \eta_\beta \eta_\gamma \\ &+ \dots \end{aligned}$$

# Anharmonic Polynomial Expansion

- Use symmetry to group terms to symmetry adapted terms:  $t$

$$K_{BX}^{(4)} \underset{x}{(u_{Bx} - u_{Xx})^4} = K_{BX[100]}^{(4)} \underset{x}{(u_{Bx} - u_{X[100]x})^4} \in t$$

- Reference Unit-Cell
- 2x2x2 Training-Set Cell
- rcut:  $0.5a$
- rcut:  $a$
- rcut:  $\sqrt{\frac{5}{4}}a$
- rcut:  $\sqrt{2}a$



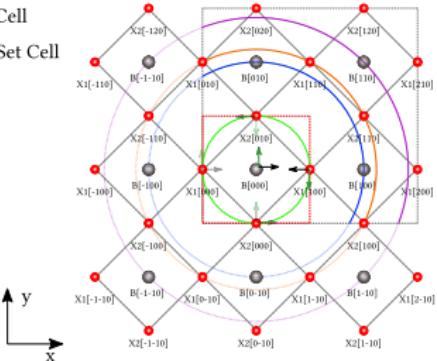
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- Input:

- Reference Unit-Cell
- 2x2x2 Training-Set Cell
- rcut:  $0.5a$
- rcut:  $a$
- rcut:  $\sqrt{\frac{5}{4}}a$
- rcut:  $\sqrt{2}a$

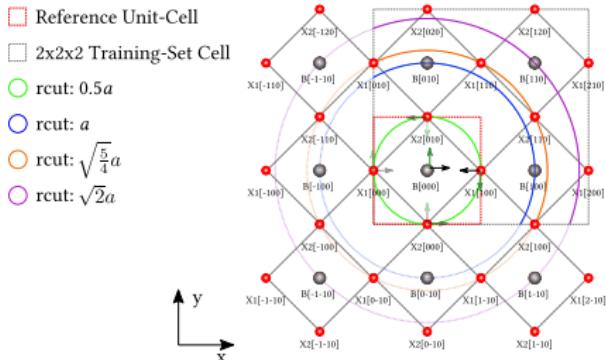


# Anharmonic Polynomial Expansion

- Use symmetry to group terms to symmetry adapted terms:  $t$

$$K_{BX}^{(4)}(u_{Bx} - u_{Xx})^4 = K_{BX[100]}^{(4)}(u_{Bx} - u_{X[100]x})^4 \in t$$

- Input:
    - ▶ Order range of the expansion.  
Typically 3 to 4.



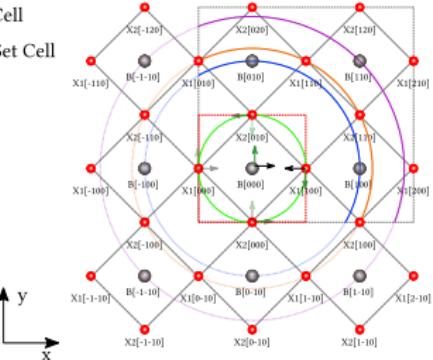
# Anharmonic Polynomial Expansion

- Use symmetry to group terms to symmetry adapted terms:  $t$

$$K_{BX}^{(4)} \frac{(u_{Bx} - u_{Xx})^4}{x} = K_{BX[100]}^{(4)} (u_{Bx} - u_{X[100]x})^4 \in t$$

- Input:
  - ▶ Order range of the expansion.  
Typically 3 to 4.
  - ▶ Interaction Range: Maximum distance for  $(u_i - u_j)$  pairs

- Reference Unit-Cell
- 2x2x2 Training-Set Cell
- rcut:  $0.5a$
- rcut:  $a$
- rcut:  $\sqrt{\frac{5}{4}}a$
- rcut:  $\sqrt{2}a$

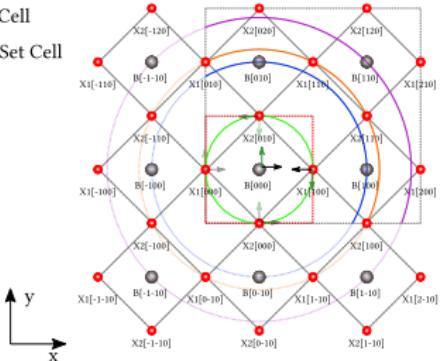


# Anharmonic Polynomial Expansion

- Use symmetry to group terms to symmetry adapted terms:  $t$
- $$\underset{x}{K_{BX}^{(4)}(u_{Bx} - u_{Xx})^4} = \underset{x}{K_{BX[100]}^{(4)}(u_{Bx} - u_{X[100]x})^4} \in t$$

- Input:
  - ▶ Order range of the expansion.  
Typically 3 to 4.
  - ▶ Interaction Range: Maximum distance for  $(u_i - u_j)$  pairs
  - ▶ Including Anharmonic strain and strain-phonon coupling

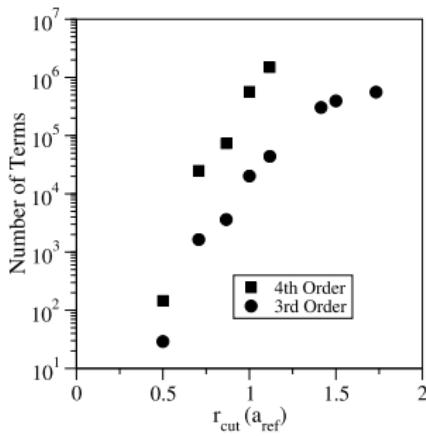
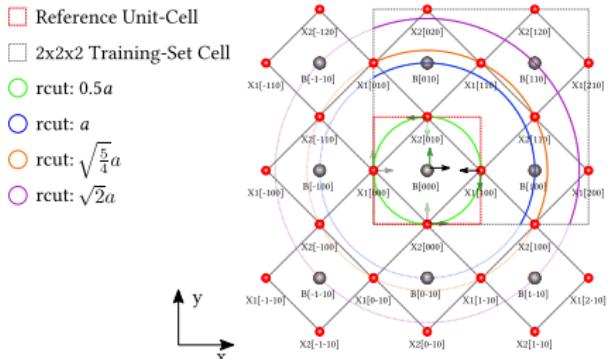
- Reference Unit-Cell
- 2x2x2 Training-Set Cell
- rcut:  $0.5a$
- rcut:  $a$
- rcut:  $\sqrt{\frac{5}{4}}a$
- rcut:  $\sqrt{2}a$



# Anharmonic Polynomial Expansion

- Use symmetry to group terms to symmetry adapted terms:  $t$
- $$K_{BX}^{(4)} \frac{(u_{Bx} - u_{Xx})^4}{x} = K_{BX[100]}^{(4)} (u_{Bx} - u_{X[100]x})^4 \in t$$

- Input:
  - ▶ Order range of the expansion. Typically 3 to 4.
  - ▶ Interaction Range: Maximum distance for  $(u_i - u_j)$  pairs
  - ▶ Including Anharmonic strain and strain-phonon coupling
  - ▶ Maximum order of strain in strain-phonon coupling



# Anharmonic Polynomial Expansion

- Use symmetry to group terms to symmetry adapted terms:  $t$

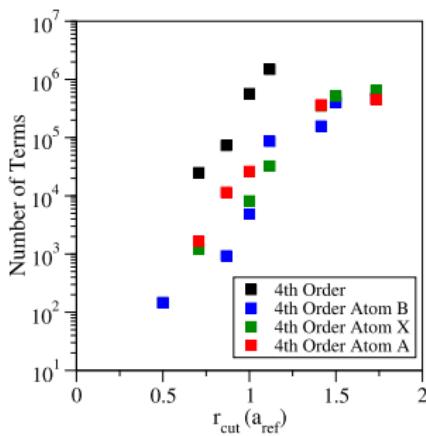
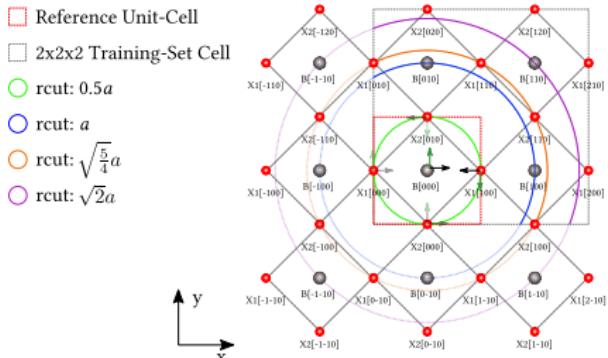
$$K_{BX}^{(4)} \underset{x}{(u_{Bx} - u_{Xx})^4} = K_{BX[100]}^{(4)} \underset{x}{(u_{Bx} - u_{X[100]x})^4} \in t$$

- Input:

- ▶ Order range of the expansion.  
Typically 3 to 4.
- ▶ Interaction Range: Maximum distance for  $(u_i - u_j)$  pairs
- ▶ Including Anharmonic strain and strain-phonon coupling
- ▶ Maximum order of strain in strain-phonon coupling

- Reduce number of terms by only including terms of form

$$K_{ijkl}^{(3)} \underset{\alpha\beta\gamma}{(u_{i\alpha} - u_{j\alpha})(u_{i\beta} - u_{k\beta})(u_{i\gamma} - u_{l\gamma})}$$



# Fitting the anharmonic expansion

System of linear equations  $B = AX$

# Fitting the anharmonic expansion

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$$\begin{pmatrix}
 E^{DFT}(1) - E^{Har}(1) \\
 E^{DFT}(2) - E^{Har}(2) \\
 \vdots \\
 E^{DFT}(N^{TS}) - E^{Har}(N^{TS}) \\
 F_x^{DFT}(1) - F_x^{Har}(1) \\
 F_y^{DFT}(1) - F_y^{Har}(1) \\
 \vdots \\
 F_{n_{at}z}^{DFT}(N_{TS}) - F_{n_{at}z}^{Har}(N_{TS}) \\
 \vdots \\
 S_1^{DFT}(1) - S_1^{Har}(1) \\
 S_2^{DFT}(1) - S_2^{Har}(1) \\
 \vdots \\
 S_6^{DFT}(N_{TS}) - S_6^{Har}(N_{TS})
 \end{pmatrix} = \begin{pmatrix}
 t_1(1) & t_2(1) & t_3(1) & \cdots & t_N(1) \\
 t_1(2) & t_2(2) & t_3(2) & \cdots & t_N(2) \\
 \vdots & \vdots & \vdots & \vdots & \vdots \\
 t_1(N^{TS}) & t_2(N^{TS}) & t_3(N^{TS}) & \cdots & t_N(N^{TS}) \\
 \frac{\partial t_1}{\partial u_{1x}}(1) & \frac{\partial t_2}{\partial u_{1x}}(1) & \frac{\partial t_3}{\partial u_{1x}}(1) & \cdots & \frac{\partial t_N}{\partial u_{1x}}(1) \\
 \frac{\partial t_1}{\partial u_{1y}}(1) & \frac{\partial t_2}{\partial u_{1y}}(1) & \frac{\partial t_3}{\partial u_{1y}}(1) & \cdots & \frac{\partial t_N}{\partial u_{1y}}(1) \\
 \vdots & \vdots & \vdots & \vdots & \vdots \\
 \frac{\partial t_1}{\partial u_{n_{at}z}}(N^{TS}) & \frac{\partial t_2}{\partial u_{n_{at}z}}(N^{TS}) & \frac{\partial t_3}{\partial u_{n_{at}z}}(N^{TS}) & \cdots & \frac{\partial t_N}{\partial u_{n_{at}z}}(N^{TS}) \\
 \frac{\partial t_1}{\partial \eta_1}(1) & \frac{\partial t_2}{\partial \eta_1}(1) & \frac{\partial t_3}{\partial \eta_1}(1) & \cdots & \frac{\partial t_N}{\partial \eta_1}(1) \\
 \frac{\partial t_1}{\partial \eta_2}(1) & \frac{\partial t_2}{\partial \eta_2}(1) & \frac{\partial t_3}{\partial \eta_2}(1) & \cdots & \frac{\partial t_N}{\partial \eta_2}(1) \\
 \vdots & \vdots & \vdots & \vdots & \vdots \\
 \frac{\partial t_1}{\partial \eta_6}(N^{TS}) & \frac{\partial t_2}{\partial \eta_6}(N^{TS}) & \frac{\partial t_3}{\partial \eta_6}(N^{TS}) & \cdots & \frac{\partial t_N}{\partial \eta_6}(N^{TS})
 \end{pmatrix} \begin{pmatrix}
 \theta_1 \\
 \theta_2 \\
 \theta_3 \\
 \vdots \\
 \theta_N
 \end{pmatrix}$$

# Fitting the anharmonic expansion

System of linear equations  $B = AX$

$$\left( \begin{array}{l} E^{DFT}(1) - E^{Har}(1) \\ E^{DFT}(2) - E^{Har}(2) \\ \vdots \\ E^{DFT}(N^{TS}) - E^{Har}(N^{TS}) \\ F_{1x}^{DFT}(1) - F_{1x}^{Har}(1) \\ F_{1y}^{DFT}(1) - F_{1y}^{Har}(1) \\ \vdots \\ F_{n_{at}^{TS}z}^{DFT}(N^{TS}) - F_{n_{at}^{TS}z}^{Har}(N^{TS}) \\ \vdots \\ S_1^{DFT}(1) - S_1^{Har}(1) \\ S_2^{DFT}(1) - S_2^{Har}(1) \\ \vdots \\ S_6^{DFT}(N^{TS}) - S_6^{Har}(N^{TS}) \end{array} \right) = \left( \begin{array}{cccccc} t_1(1) & t_2(1) & t_3(1) & \cdots & t_N(1) \\ t_1(2) & t_2(2) & t_3(2) & \cdots & t_N(2) \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ t_1(N^{TS}) & t_2(N^{TS}) & t_3(N^{TS}) & \cdots & t_N(N^{TS}) \\ \frac{\partial t_1}{\partial u_{1x}}(1) & \frac{\partial t_2}{\partial u_{1x}}(1) & \frac{\partial t_3}{\partial u_{1x}}(1) & \cdots & \frac{\partial t_N}{\partial u_{1x}}(1) \\ \frac{\partial t_1}{\partial u_{1y}}(1) & \frac{\partial t_2}{\partial u_{1y}}(1) & \frac{\partial t_3}{\partial u_{1y}}(1) & \cdots & \frac{\partial t_N}{\partial u_{1y}}(1) \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \frac{\partial t_1}{\partial u_{n_{at}^{TS}z}}(N^{TS}) & \frac{\partial t_2}{\partial u_{n_{at}^{TS}z}}(N^{TS}) & \frac{\partial t_3}{\partial u_{n_{at}^{TS}z}}(N^{TS}) & \cdots & \frac{\partial t_N}{\partial u_{n_{at}^{TS}z}}(N^{TS}) \\ \frac{\partial t_1}{\partial \eta_1}(1) & \frac{\partial t_2}{\partial \eta_1}(1) & \frac{\partial t_3}{\partial \eta_1}(1) & \cdots & \frac{\partial t_N}{\partial \eta_1}(1) \\ \frac{\partial t_1}{\partial \eta_2}(1) & \frac{\partial t_2}{\partial \eta_2}(1) & \frac{\partial t_3}{\partial \eta_2}(1) & \cdots & \frac{\partial t_N}{\partial \eta_2}(1) \\ \vdots & \vdots & \vdots & \vdots & \vdots \\ \frac{\partial t_1}{\partial \eta_6}(N^{TS}) & \frac{\partial t_2}{\partial \eta_6}(N^{TS}) & \frac{\partial t_3}{\partial \eta_6}(N^{TS}) & \cdots & \frac{\partial t_N}{\partial \eta_6}(N^{TS}) \end{array} \right) \begin{pmatrix} \theta_1 \\ \theta_2 \\ \theta_3 \\ \vdots \\ \theta_N \end{pmatrix}$$

- Way too many independent coefficients to determine.
- Select some *important* terms and fit their coefficients.

## Step forward selection procedure

$$\min ||B - AX||^2 \text{ and } \min \theta_i \neq 0$$

# Step forward selection procedure

$\min ||B - AX||^2$  and  $\min \theta_i \neq 0$

$$\begin{aligned} G(\Theta_p, TS) = & \frac{1}{N_1} \sum_s \frac{1}{\Omega^1(s)} (E_{DFT}^{Anh}(s) - E^{Anh}(\Theta_p, s))^2 + \frac{1}{N_2} \sum_{si\alpha} (F_{DFTi\alpha}^{Anh}(s) - F_{i\alpha}^{Anh}(\Theta_p, s))^2 \\ & + \frac{1}{N_3} \sum_{s\kappa} \Omega^2(s) (S_{DFT,\kappa}^{Anh}(s) - S_\kappa^{Anh}(\Theta_p, s))^2 \end{aligned}$$

# Step forward selection procedure

$\min ||B - AX||^2$  and  $\min \theta_i \neq 0$

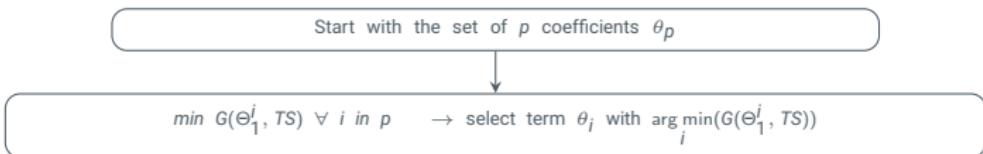
$$G(\Theta_p, TS) = \frac{1}{N_1} \sum_s \frac{1}{\Omega^1(s)} (E_{DFT}^{Anh}(s) - E^{Anh}(\Theta_p, s))^2 + \frac{1}{N_2} \sum_{si\alpha} (F_{DFTi\alpha}^{Anh}(s) - F_{i\alpha}^{Anh}(\Theta_p, s))^2 \\ + \frac{1}{N_3} \sum_{s\kappa} \Omega^2(s) (S_{DFT,\kappa}^{Anh}(s) - S_\kappa^{Anh}(\Theta_p, s))^2$$

Start with the set of  $p$  coefficients  $\theta_p$

# Step forward selection procedure

$$\min ||B - AX||^2 \text{ and } \min \theta_i \neq 0$$

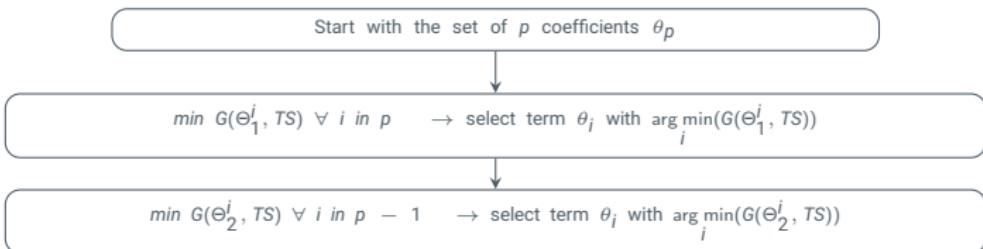
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# Step forward selection procedure

$$\min ||B - AX||^2 \text{ and } \min \theta_i \neq 0$$

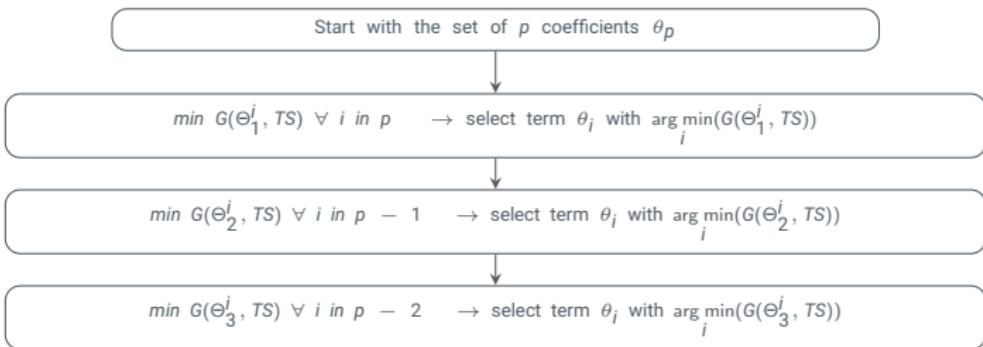
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# Step forward selection procedure

$$\min ||B - AX||^2 \text{ and } \min \theta_i \neq 0$$

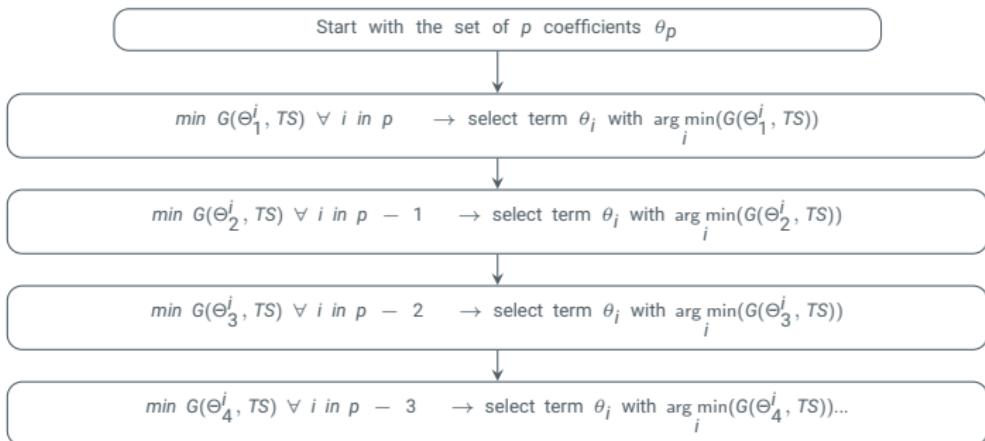
$$G(\Theta_p, TS) = \frac{1}{N_1} \sum_s \frac{1}{\Omega^1(s)} (E_{DFT}^{Anh}(s) - E^{Anh}(\Theta_p, s))^2 + \frac{1}{N_2} \sum_{s i \alpha} (F_{DFTi\alpha}^{Anh}(s) - F_{i\alpha}^{Anh}(\Theta_p, s))^2 \\ + \frac{1}{N_3} \sum_{s \kappa} \Omega^2(s) (S_{DFT,\kappa}^{Anh}(s) - S_{\kappa}^{Anh}(\Theta_p, s))^2$$



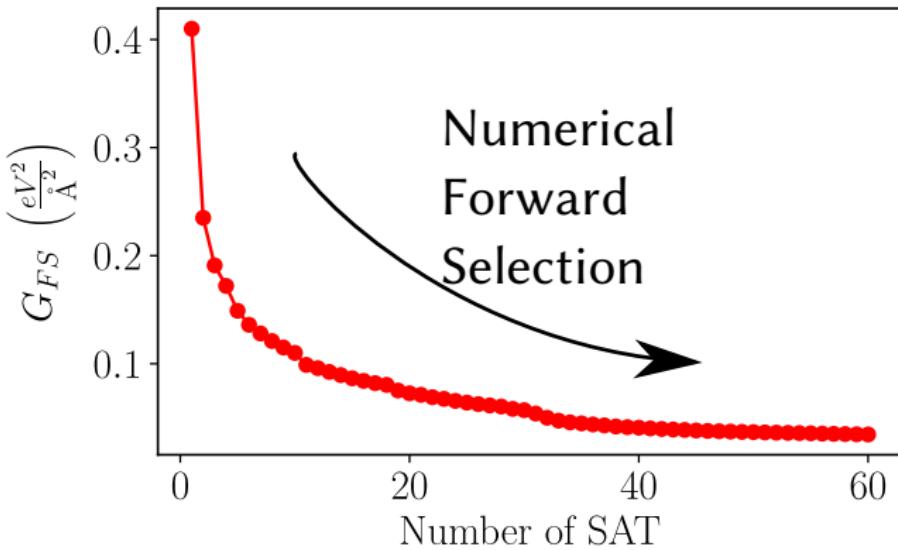
# Step forward selection procedure

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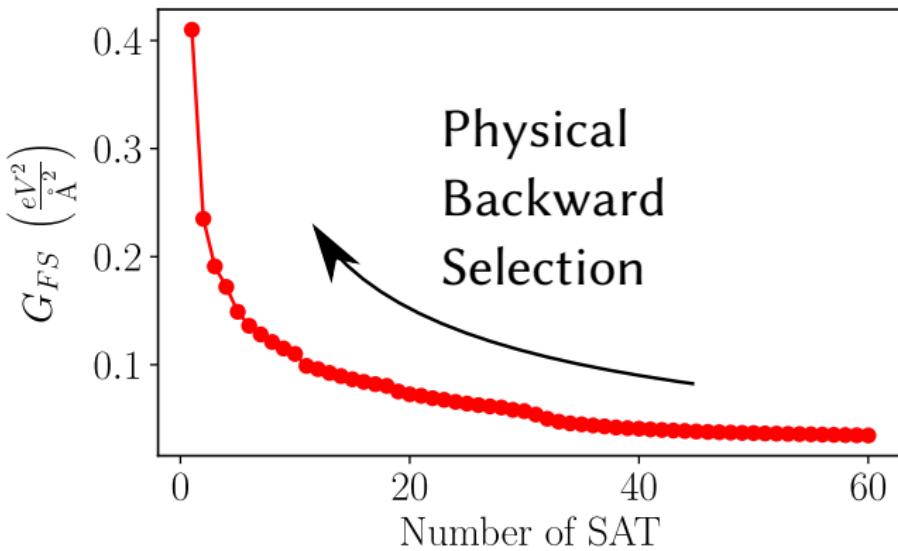
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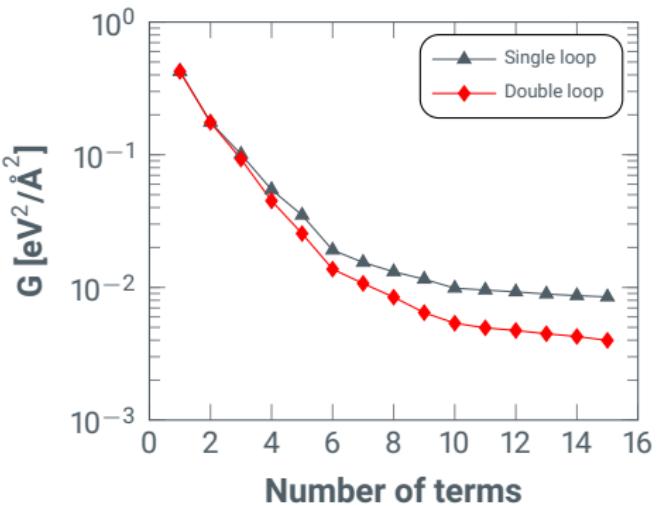
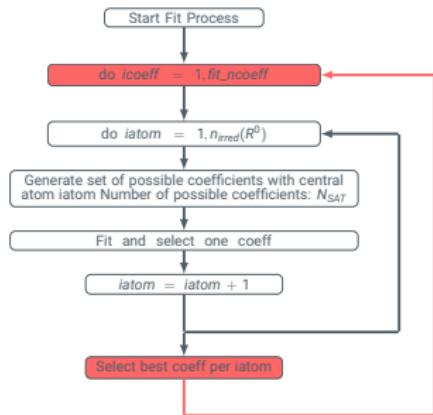
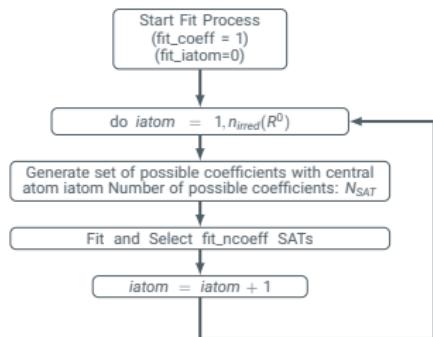
# Step forward selection procedure



# Step forward selection procedure



# Different fitting schemes



# Outlook: Learn from machine learning

- Use methods known in **compressive sensing** techniques: LASSO and Elastic/Net Penalizations
- Add penalization term to least squares operator, rewarding solutions with few non zero coefficients.
- Use filtering techniques to eject terms with little corelation to the ab-inito data from fit and selection procedure.



## LASSO

$$\hat{X} = \arg \min_X (||B - AX||^2 + \alpha ||X||_1)$$

## Elastic Net

$$\hat{X} = \arg \min_X (||B - AX||^2 + \alpha_1 ||X||_1 + \alpha_2 ||X||^2)$$

## Pearson Correlation

$$r_{xy} = \frac{\sum_i^N (x_i - \bar{x})(y_i - \bar{y})}{\sqrt{\sum_i^N (x_i - \bar{x})^2} \sqrt{(\sum_i^N y_i - \bar{y})^2}}$$

**1** Model definition

**2** Training set generation

**3** Model Generation & Fit

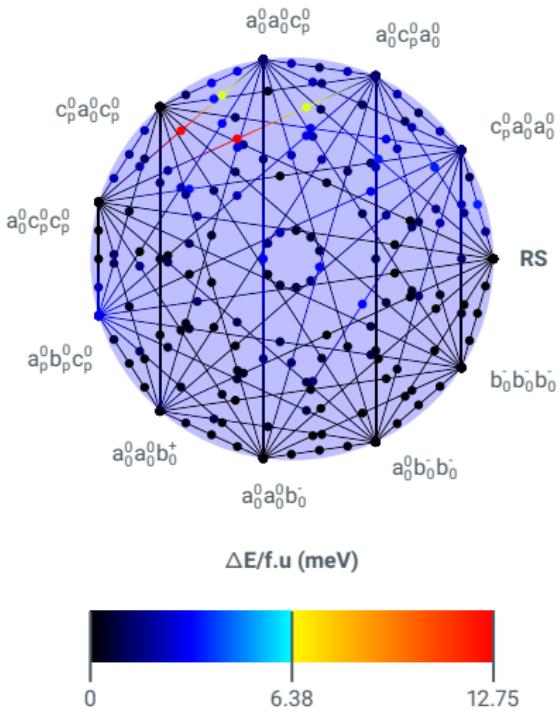
**4** Testing/validation

- Training set reproduction
- Relaxations
- Phonon dispersion curves

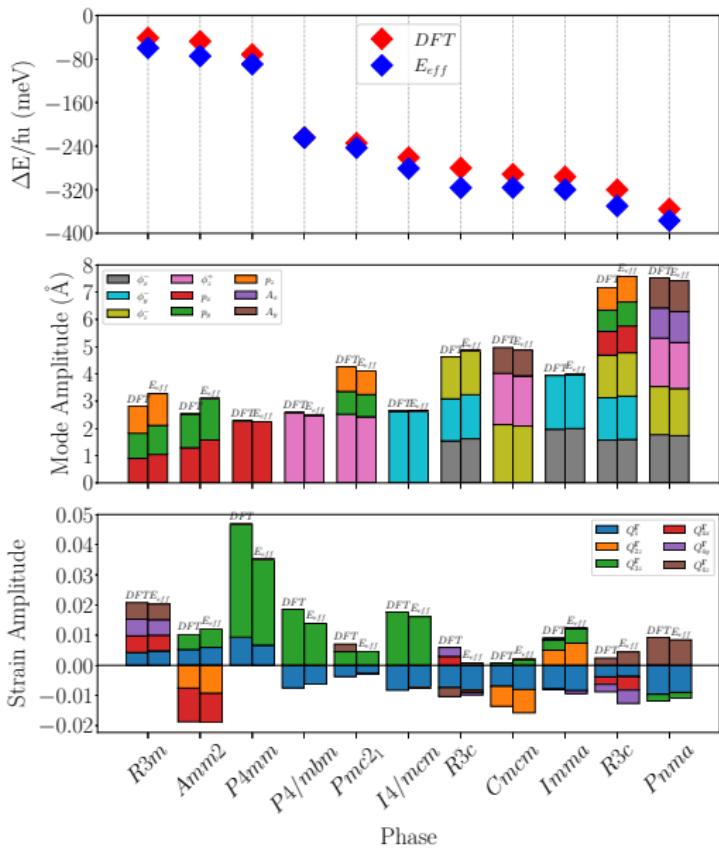
**5** Predictions & analysis tools

# Training set reproduction

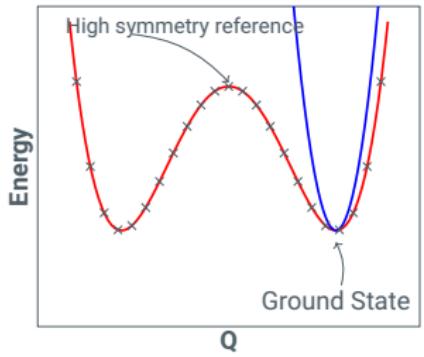
Energy difference: DFT vs. Model.



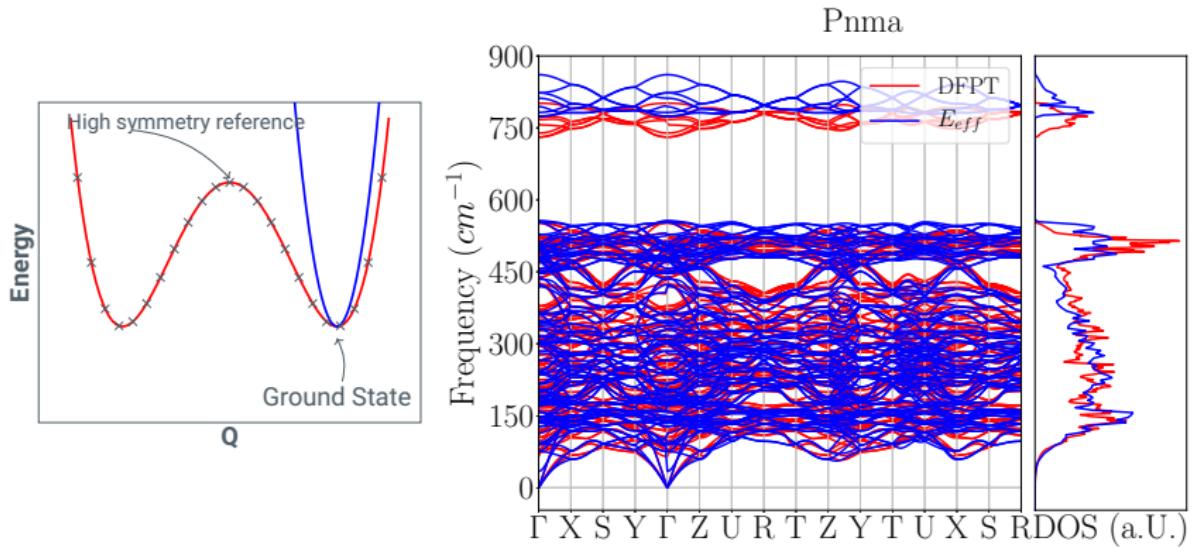
# Relaxations



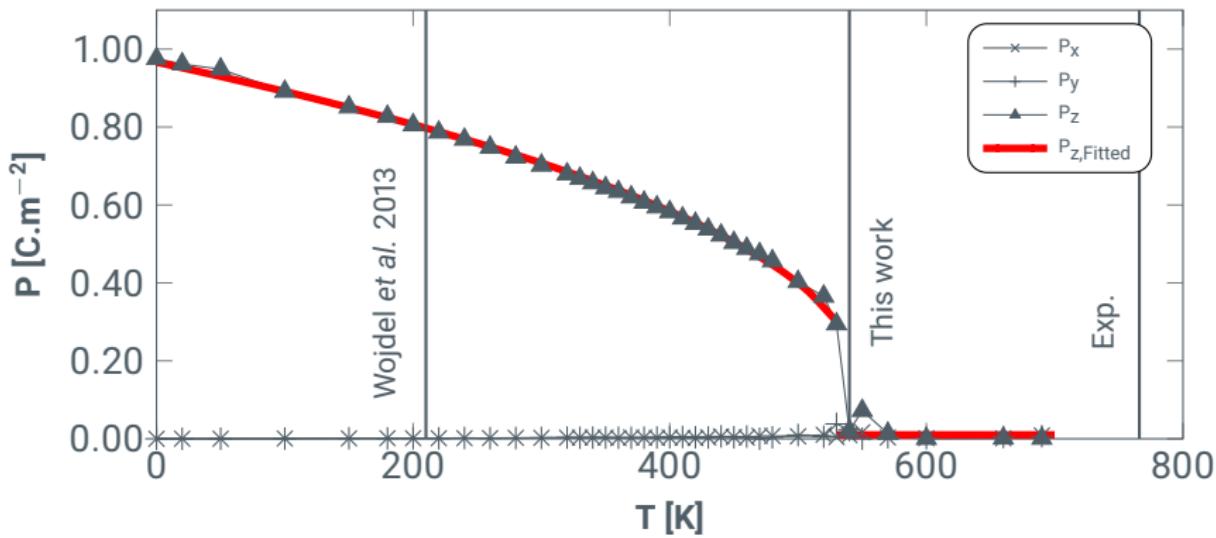
# Phonon dispersion curves



# Phonon dispersion curves



- 1** Model definition
- 2** Training set generation
- 3** Model Generation & Fit
- 4** Testing/validation
- 5** Predictions & analysis tools
  - Lead titanate ( $PbTiO_3$ )
  - Calcium titanate ( $CaTiO_3$ )



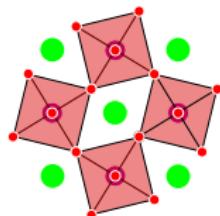
- $T_c$  in better agreement with experiment than previous work
- **First order** phase transition:
  - ▶ Discontinuity at  $T_c$
  - ▶  $P \sim (T_c - T)^{1/4}$

#### Command in AGATE

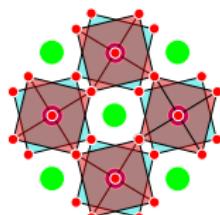
- :average
- :plot xy x=T y="polarization" ddb=.."

# CaTiO<sub>3</sub> Virtual Heating Experiment

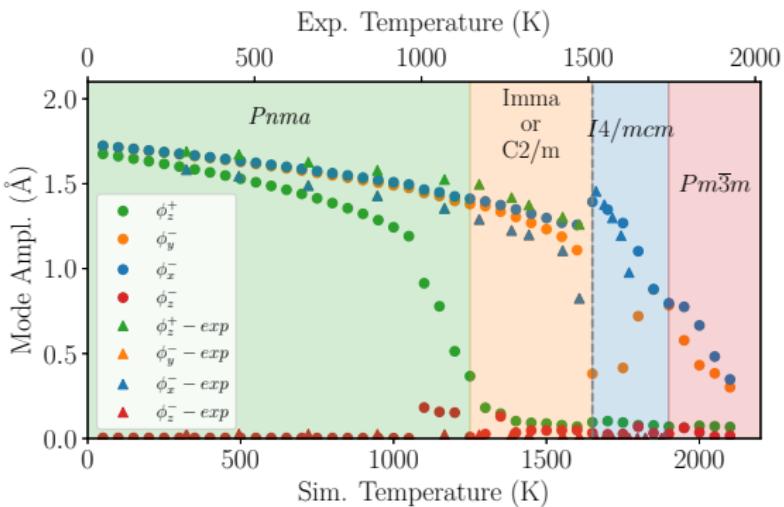
In-Phase Rotation  $\phi_z^+$



Anti-Phase Rotation  $\phi_z^-$



16x16x16 cells, 20480 atoms  
Hybrid Monte Carlo Sampling  
40000 steps per temperature

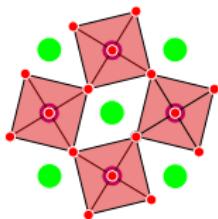


Experimental Transitions:  $\text{Pnma} \rightarrow 1512\text{K } \text{I}4/\text{mcm} \rightarrow 1636\text{K } \text{Pm}\bar{3}\text{m}$

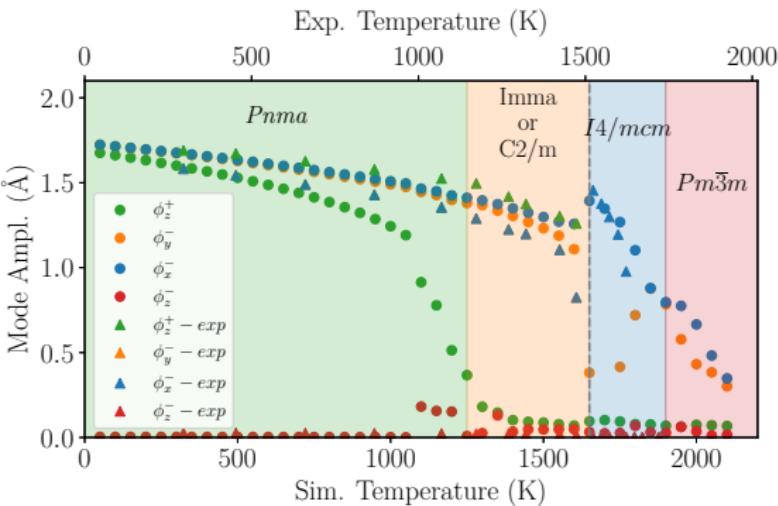
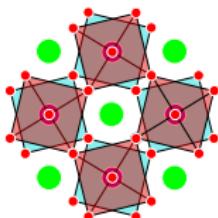
Yashima, M. & Ali, R., Solid State Ionics, 2009, **180**, 120 - 126

16x16x16 cells, 20480 atoms  
Hybrid Monte Carlo Sampling  
40000 steps per temperature

In-Phase Rotation  $\phi_z^+$



Anti-Phase Rotation  $\phi_z^-$



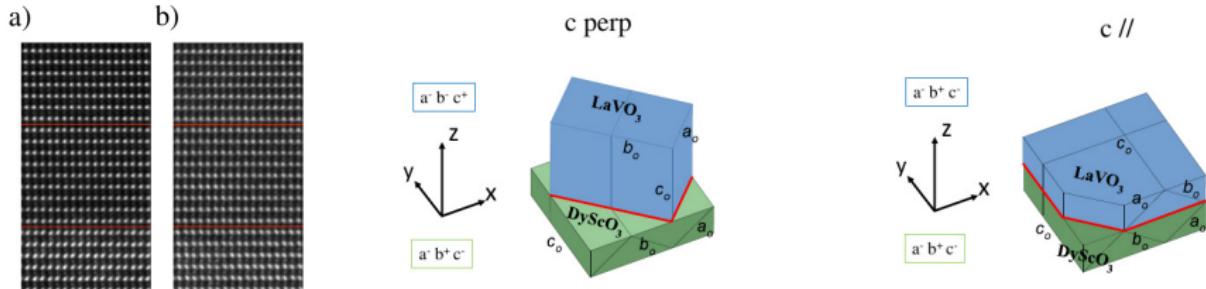
Experimental Transitions:  $Pnma \rightarrow 1512K I4/mcm \rightarrow 1636K Pm\bar{3}m$

Yashima, M. & Ali, R., Solid State Ionics, 2009, **180**, 120 - 126

→ But phase transition sequence debated. *Imma* intermediate has been proposed

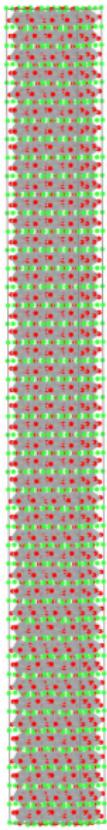
Carpenter M., American Mineralogist (2007) **92** (2-3): 309–327

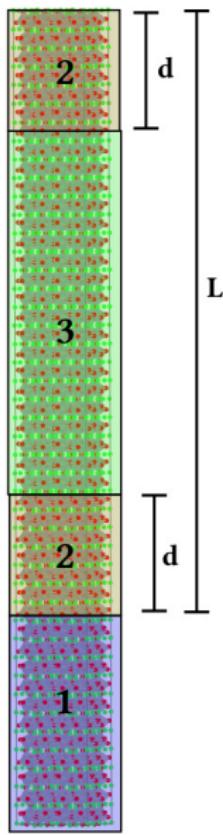
# Pnma thin films LaVO<sub>3</sub>/DyScO<sub>3</sub> interface



- Thin films (<60 u.c.): only  $c \parallel$ .
- Thick films (>60 u.c.): Mostly  $c$  perp. but intermediate layer of about 10 u.c.  $c \parallel$ .
- Elastically favored  $c$  perp.

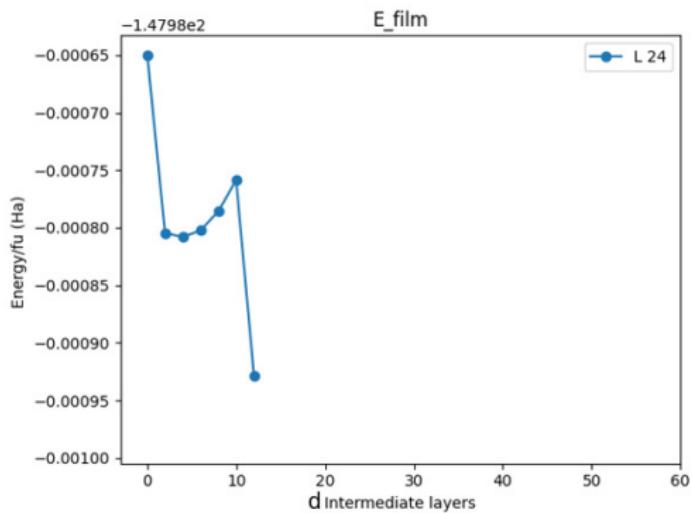
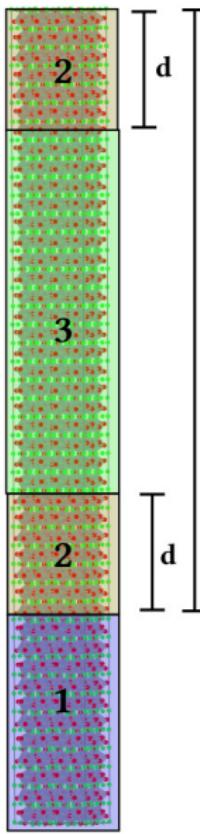
→ Competition between elastic and interface energy at the origin of intermediate layer formation.



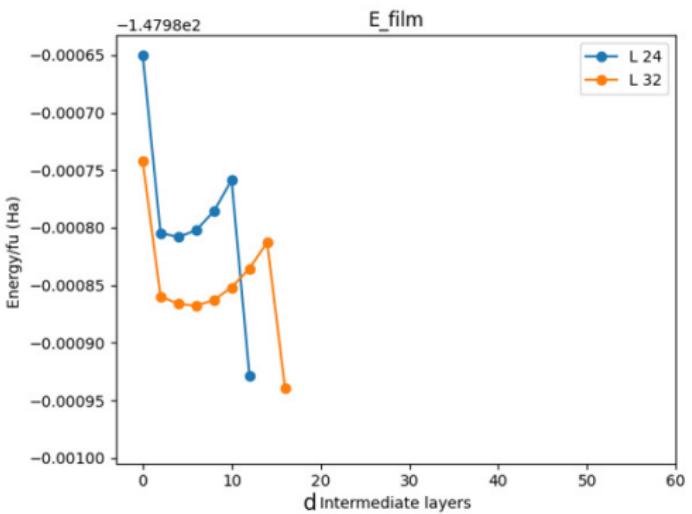
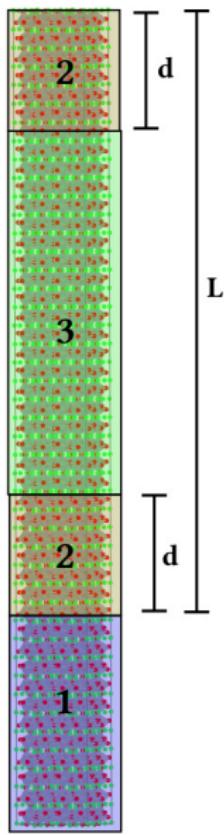


- 1: "substrate"  $c \parallel$  with exaggerated distortions
- 2:  $c \parallel$  free to relax
- 3:  $c$  perp free to relax

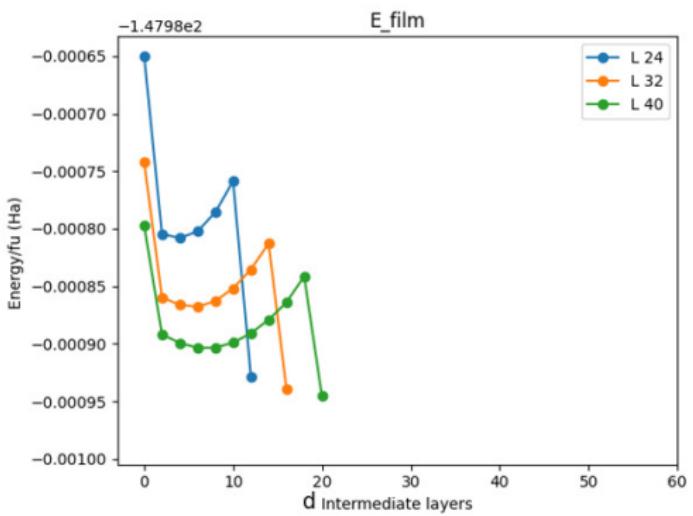
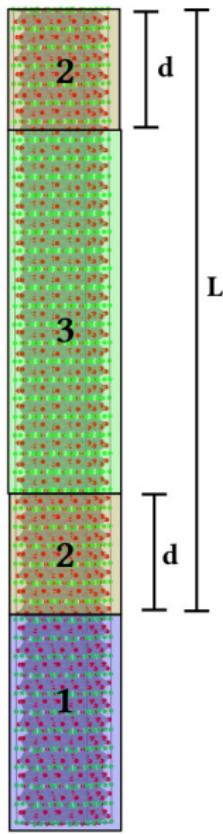
# CaTiO<sub>3</sub> Reproduction of LaVO<sub>3</sub>/DyScO<sub>3</sub> interface



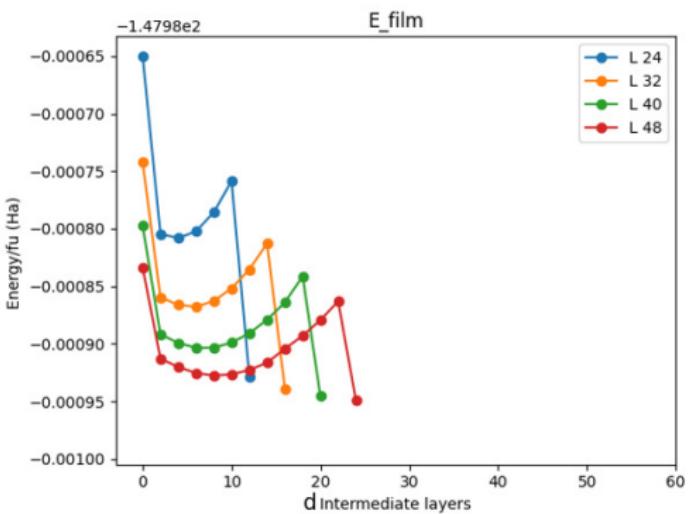
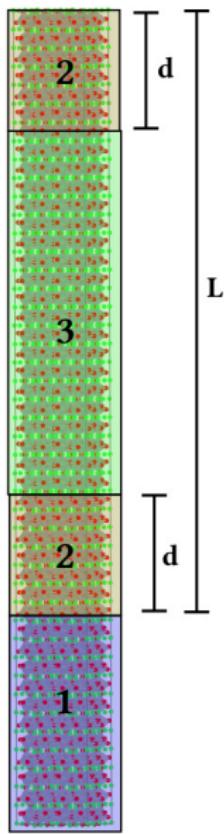
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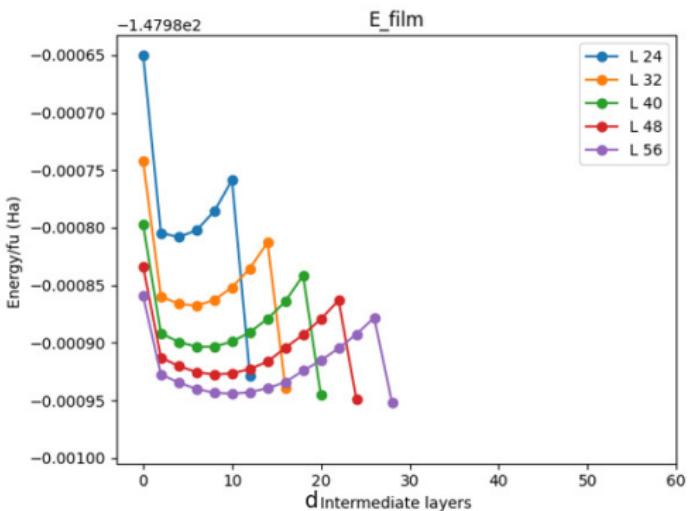
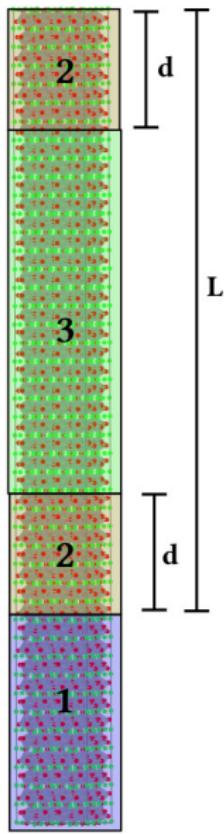
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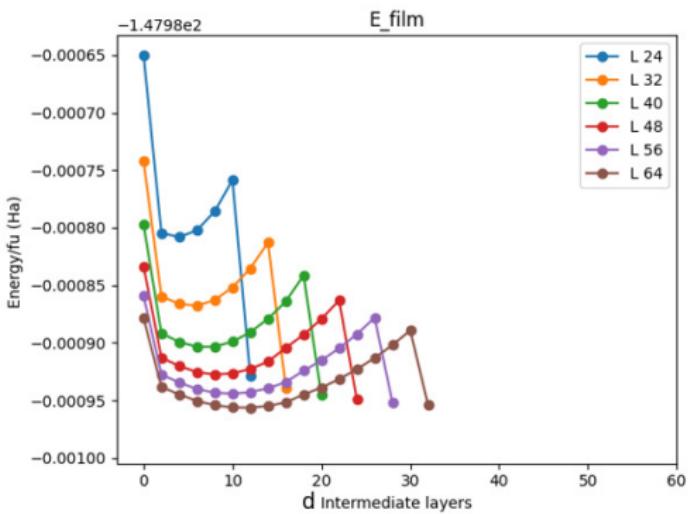
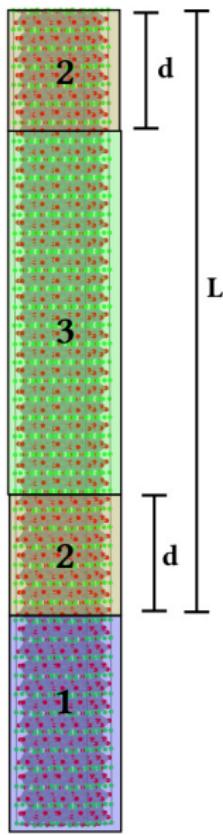
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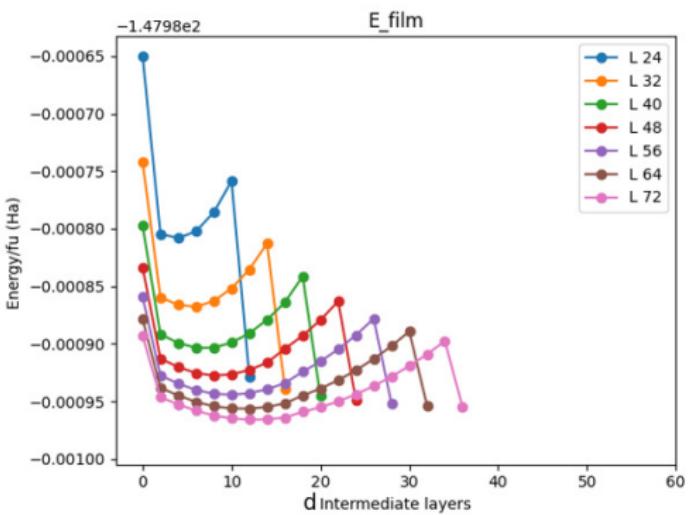
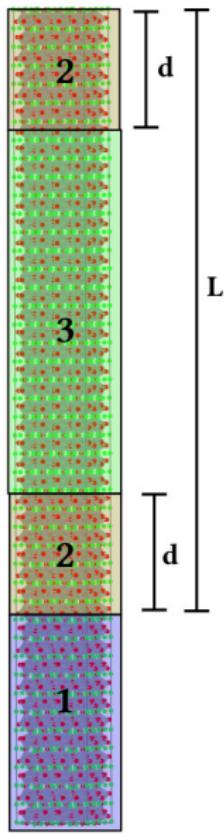
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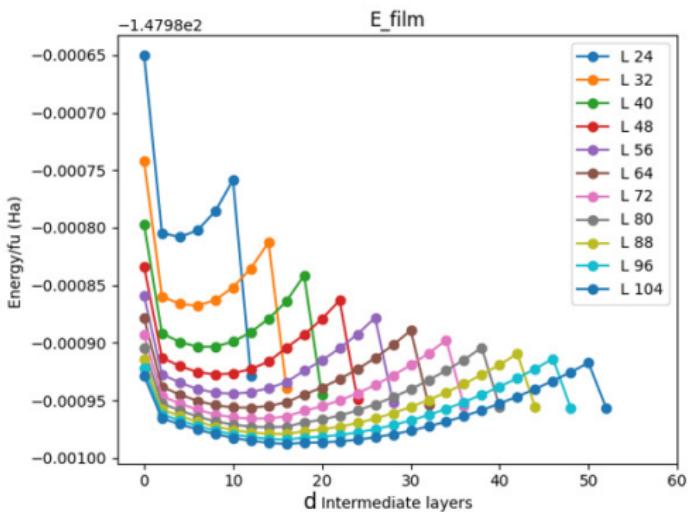
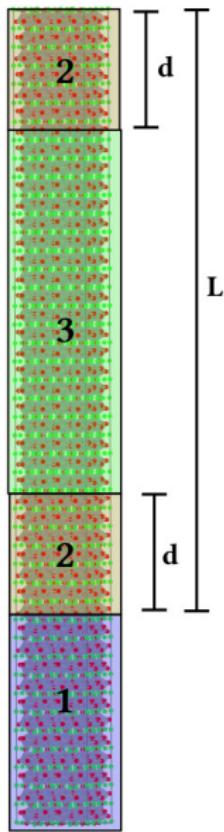
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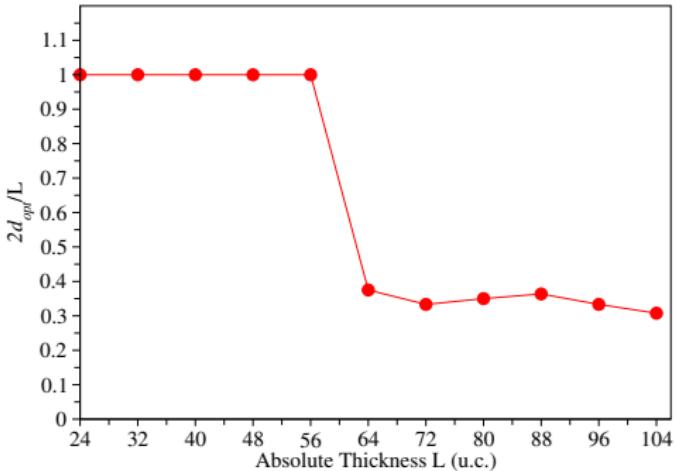
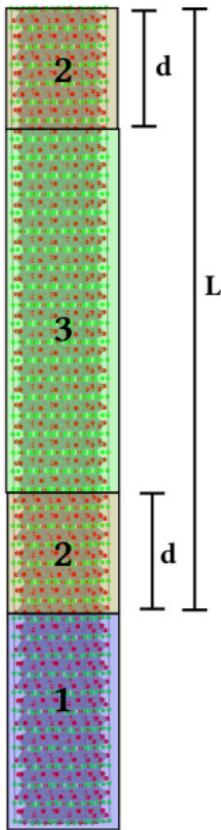
# CaTiO<sub>3</sub> Reproduction of LaVO<sub>3</sub>/DyScO<sub>3</sub> interface



# CaTiO<sub>3</sub> Reproduction of LaVO<sub>3</sub>/DyScO<sub>3</sub> interface



# CaTiO<sub>3</sub> Reproduction of LaVO<sub>3</sub>/DyScO<sub>3</sub> interface



→ CaTiO<sub>3</sub> second-principles model is able to qualitatively reproduce intermediate layer formation at LaVO<sub>3</sub>/DyScO<sub>3</sub> interface.

# Conclusions

## Summary

- Automated procedure for generating fitting polynomial expansions
- New test set generation strategy can help to effectively sample **important** parts of the Born-Oppenheimer PES
- Use of effective potentials for finite temperature and advanced thin film studies.

## Outlook

- Implementation of combining different effective potentials in different zones of the simulation box
- New fitting strategies borrowed from machine learning.
- Effective Potentials as background lattice model for electron-lattice, or spin-lattice models