

10<sup>th</sup> ABINIT International Developer Workshop  
Online, June 1<sup>st</sup>, 2021



FROM RESEARCH TO INDUSTRY

## Exchange and correlation functionals in ABINIT: New features

Marc Torrent,

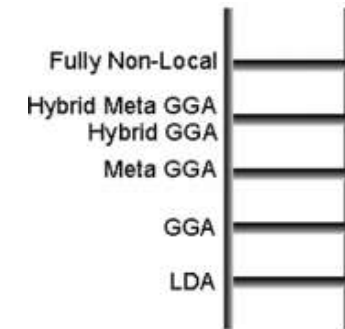
Jean-Baptiste Charraud, Lucas Baguet

*CEA, DAM, DIF, Arpajon, France*

*Laboratoire Matière en Conditions  
Extrêmes (LMCE), Université Paris-Saclay*

- MetaGGA
- MetaGGA + PAW
- MetaGGA + 1<sup>st</sup> order DFPT
- 3<sup>rd</sup> order DFPT + GGA
- Unitary tests for exchange-correlation

- A bit of **non-locality** in Exchange-Correlation
- Intermediate level in the « Jacob's ladder »
- Low computational cost (but not negligible)



- XC energy density  $\mathcal{E}_{xc}(r)$  depends on  $n, \vec{\nabla}n, \vec{\nabla}^2n, \tau$
- $\int \tau(r) dr = E_{KIN}$  ,  $\int \vec{\nabla}^2n(r) dr = 0$  (finite or periodic syst.)
- Kinetic energy density and Laplacian are usually exclusive

$$f_{xc}(r) = n(r)\varepsilon_{xc}(r) \quad V_\tau(r) = \frac{\partial f_{xc}(r)}{\partial \tau}$$

## Hamiltonian

$$\begin{aligned}
 H = & -\frac{1}{2}\vec{\nabla}^2 - \frac{1}{2}\vec{\nabla} \cdot \left( \frac{\partial f_{xc}}{\partial \tau} \vec{\nabla} \right) \quad \longrightarrow \text{Non-locality} \\
 & + V_{ext} + V_H \\
 & + \frac{\partial f_{xc}(\dots, \tau)}{\partial n} - \vec{\nabla} \cdot \left( \frac{\partial f_{xc}}{\partial |\vec{\nabla} n|} \frac{\vec{\nabla} n}{|\vec{\nabla} n|} \right) + \vec{\nabla}^2 \left( \frac{\partial f_{xc}}{\partial (\vec{\nabla}^2 n)} \right) \quad \longrightarrow \text{Additional term in XC}
 \end{aligned}$$

## Energy

$$E^{\text{mGGA}} = \sum_i f_i \left\langle \psi_i \left| -\frac{1}{2}\vec{\nabla} \cdot \left( \frac{\partial f_{xc}}{\partial \tau} \vec{\nabla} \psi_i \right) \right. \right\rangle = \int \tau(r) V_\tau(r) dr$$

$$\psi = \sum_{\mathbf{G}} C_{\mathbf{G}} e^{i\mathbf{G}\mathbf{r}}$$

$$-\frac{1}{2} \vec{\nabla} \cdot \left( \frac{\partial f_{xc}}{\partial \tau} \vec{\nabla} \psi \right) \longrightarrow \psi = \sum_i iG_i \times \mathbf{FFT}[\mathbf{FFT}^{-1}(iG_i C_{\mathbf{G}}) \times V_{\tau}]$$

2 FFTs per direction  
(« coarse » grid)

$$\vec{\nabla}^2 \left( \frac{\partial f_{xc}}{\partial (\vec{\nabla}^2 n)} \right) \longrightarrow \vec{\nabla}^2 n = \mathbf{FFT}^{-1} \left[ - \sum_i G_i^2 \times \mathbf{FFT}(n(\mathbf{r})) \right]$$

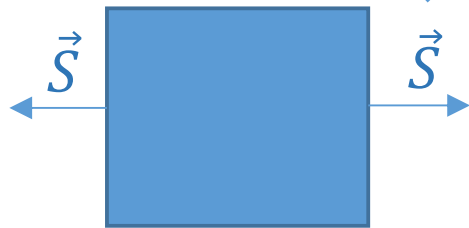
2 FFTs (« fine » grid)

Numerical stability?

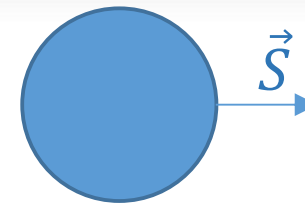
Cost of FFTs?

- Take advantage of the integration by parts

$$\int \frac{1}{2} \sum_i |\vec{\nabla} \psi_i(\mathbf{r})|^2 d\Omega = \int \cancel{\psi_i^*(\mathbf{r}) \vec{\nabla} \psi_i(\mathbf{r})} d\vec{S} - \int \frac{1}{2} \sum_i \psi_i^*(\mathbf{r}) \vec{\nabla}^2 \psi_i(\mathbf{r}) d\Omega$$



Plane-wave part is zero  
because of periodicity



$$[\psi_i^*(\mathbf{r}) \vec{\nabla} \psi_i(\mathbf{r}) - \tilde{\psi}_i^*(\mathbf{r}) \vec{\nabla} \tilde{\psi}_i(\mathbf{r})] = \mathbf{0} \text{ on } \vec{S}$$

On-site PAW parts are zero  
at augmentation region boundaries

Testing the kinetic energy density functional against LDA or GGA

$$\begin{aligned}\varepsilon_{xc}^{MGGA1}(\mathbf{r}) &= \varepsilon_{xc}^{LDA}(\mathbf{r}) + \alpha \vec{\nabla}^2 n(\mathbf{r}) \\ \varepsilon_{xc}^{GGA}(\mathbf{r}) &= \varepsilon_{xc}^{LDA}(\mathbf{r}) - \alpha \frac{|\vec{\nabla} n(\mathbf{r})|^2}{n(\mathbf{r})}\end{aligned}$$

*Integration  
by parts*

$$\varepsilon_{xc}^{MGGA2}(\mathbf{r}) = \varepsilon_{xc}^{LDA}(\mathbf{r}) + 2\alpha \tau(\mathbf{r})$$

$$E_{xc}^{MGGA21} = E_{xc}^{LDA} + \alpha \int n(\mathbf{r}) \vec{\nabla}^2 n(\mathbf{r}) d\mathbf{r} = E_{xc}^{LDA} - \alpha \int |\vec{\nabla} n(\mathbf{r})|^2 d\mathbf{r} = E_{xc}^{GGA} = E_{xc}^{MGGA2}$$

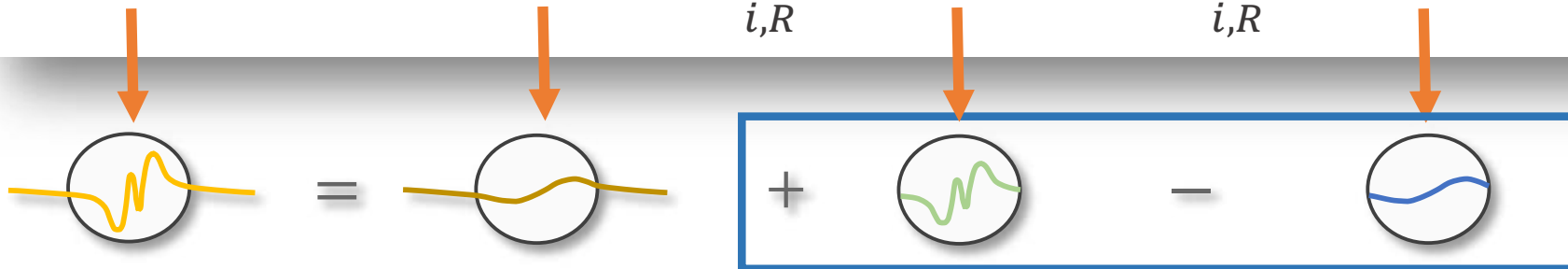
$$\varepsilon_{xc}^{MGGA3}(\mathbf{r}) = \varepsilon_{xc}^{LDA}(\mathbf{r}) + \left(1 - \frac{1}{\tilde{m}_e}\right) \frac{\tau(\mathbf{r})}{n(\mathbf{r})}$$

*Modified value for the electron mass:  
 $m_e = \tilde{m}_e$  instead of  $m_e = 1$  (a.u.)*

$$E^{KIN+XC} (MGGA3) = \frac{1}{\tilde{m}_e} E^{KIN} + E_{xc}^{LDA} + \int \left(1 - \frac{1}{\tilde{m}_e}\right) \tau(\mathbf{r}) d\mathbf{r} = E^{KIN+XC} (LDA)$$

See: Sun, Mársman *et al*, *Phys. Rev. B.* **84**, 035117 (2011)

$$|\psi_n\rangle = T |\tilde{\psi}_n\rangle = |\tilde{\psi}_n\rangle + \sum_{i,R} |\phi_i^R\rangle \langle \tilde{p}_i^R | \tilde{\psi}_n\rangle - \sum_{i,R} |\tilde{\phi}_i^R\rangle \langle \tilde{p}_i^R | \tilde{\psi}_n\rangle$$



PAW on-site contributions

$$\mathbf{H}_{PAW} = -1/2\Delta + \tilde{v}_{Hxc} + \sum_{R,i,j} |\tilde{p}_i^R\rangle D_{ij}^R \langle \tilde{p}_j^R|$$


$$D_{ij}^R := \langle \phi_i^R | H(n_1^R; n_c) | \phi_j^R \rangle - \langle \tilde{\phi}_i^R | \tilde{H}(\tilde{n}_1^R; \tilde{n}_c) | \tilde{\phi}_j^R \rangle$$

$$n_1^R(\mathbf{r}) = \sum_{i,j} \rho_{ij}^R \phi_i(\mathbf{r}) \phi_j(\mathbf{r}) \quad \rho_{ij}^R = \sum_n f_n \langle \tilde{\psi}_n | \tilde{p}_i^R \rangle \langle \tilde{p}_j^R | \tilde{\psi}_n \rangle$$



$$D_{ij}^{R,MGGA} = \left\langle \vec{\nabla} \phi_i^R \left| \frac{\partial f_{xc}}{\partial \tau}(n_1^R; n_c) \right| \vec{\nabla} \phi_j^R \right\rangle - \left\langle \vec{\nabla} \tilde{\phi}_i^R \left| \frac{\partial f_{xc}}{\partial \tau}(\tilde{n}_1^R; \tilde{n}_c) \right| \vec{\nabla} \tilde{\phi}_j^R \right\rangle$$

$$\tau_1^R(\mathbf{r}) = \frac{1}{2} \sum_{i,j} \rho_{ij}^R \vec{\nabla} \phi_i(\mathbf{r}) \cdot \vec{\nabla} \phi_j(\mathbf{r}) = \sum_{i,j} Y_L(\hat{r}) \tau_{1,L}^R(r)$$



$$\tau_{1,L}^R(r) = \frac{1}{2} \sum_{i,j} \frac{\rho_{ij}^R}{r^2} [G_{ijL} \bar{\phi}_i^R(r) \bar{\phi}_j^R(r) + \mathbf{H}_{ijL} \phi_i^R(r) \phi_j^R(r)]$$

$$\bar{\phi}_i^R(r) = \frac{d\phi_i^R}{dr} - \frac{\phi_i^R(r)}{r}$$

$$\phi_i^R(r) = Y_{l_i m_i} \frac{\phi_i^R(r)}{r}$$

$$G_{ijL} = \int Y_L Y_{l_i m_i} Y_{l_j m_j} d\Omega$$

$$\mathbf{H}_{ijL} = \int Y_L \vec{\nabla} Y_{l_i m_i} \cdot \vec{\nabla} Y_{l_j m_j} d\Omega$$

- Use a GGA pseudopotential file  
*No core correction at present*  
or a PAW dataset including the core kinetic energy density  
*JTH table - Soon metaGGA PAW datasets – See N. Holzwarth's talk*
- Put a metaGGA value for **ixc** input parameter  
This value is from libXC and has to be negative  
Examples: -202231=TPSS, -263267=SCAN, -497498=R2SCAN
- No more need of **usekden** input parameter  
*Automatically set. Only useful to print out KDEN.*
- R2SCAN only available in libXC v5.1.  
Soon available in ABINIT

← We definitively  
have to find  
something more  
user-friendly!

- You should increase slightly FFT grid sizes:
  - increase *ecut*, or (better) increase *ngfft*
  - increase *pawecutdg* or increase *ngfftdg*
- KED-based XC functionals require more CPU time because of the additional FFTs
- Laplacian-based XC functionals do not need more resources than GGA

From: Yao, Kanai,  
*J. Chem. Phys.* **146**,  
224105 (2017)

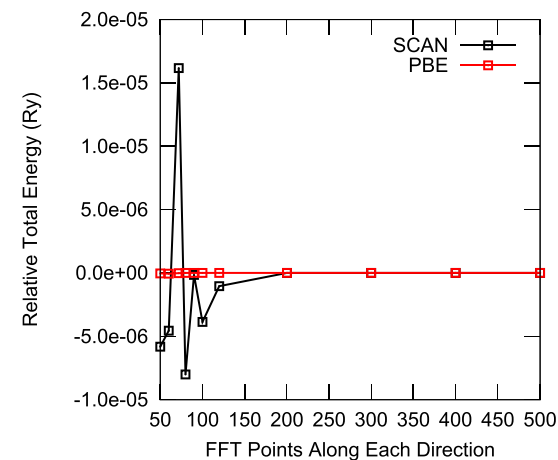


FIG. 2. Convergence of the total energy of the crystalline silicon in the semi-conducting diamond phase with respect to the FFT grids. The y-axis shows the relative energy to the converged total energy. The black line is for the SCAN functional and the red line is for the PBE functional.

Yao, Kanai, *J. Chem. Phys.* **146**, 224105 (2017)

TABLE III. Bulk modulus (GPa) of silicon and germanium in the diamond phase.

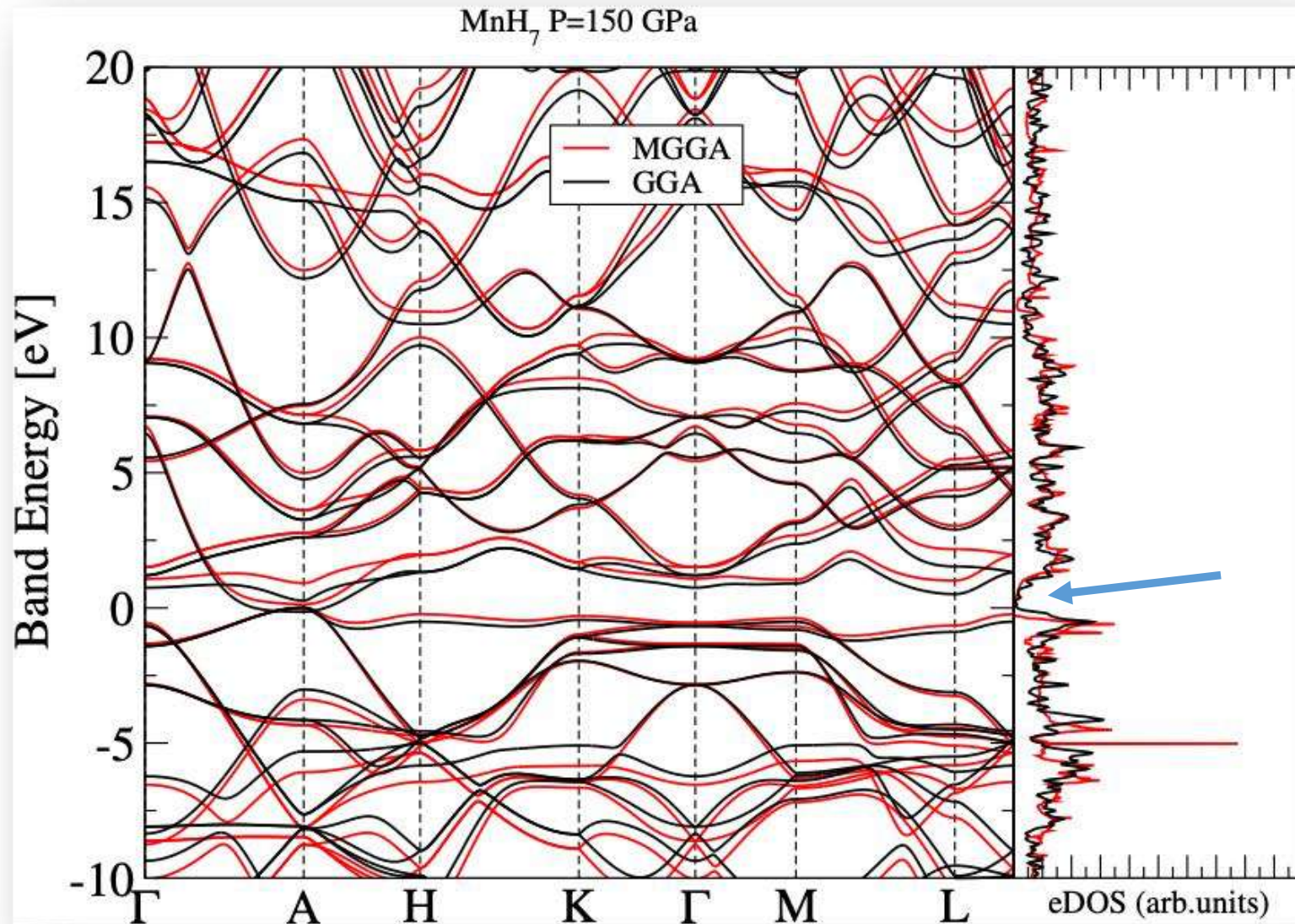
	Silicon		Germanium	
PBE	87.95	<b>88.6</b>	58.73	<b>58.9</b>
TPSS	90.71		57.15	
SCAN	99.30	<b>99.4</b>	73.38	<b>72.8</b>
SCAN w/PBE pp	95.03		62.76	
LDA <sup>a</sup>	96.8		72.6	
PBE <sup>a</sup>	89.2		59.7	
PBE0 <sup>b</sup>	100		75	
Experiment <sup>a</sup>	99.2		75.8	

<sup>a</sup>Reference 4.

<sup>b</sup>Reference 7.

ABINIT

ABINIT



See J.-B. Charraud's talk

**Forces**

- Just need to add a contribution from the **core** kinetic energy density

$$V_\tau(r) = \frac{\partial f_{xc}(r)}{\partial \tau}$$

$$F_{R,\alpha}^{mGGA} = F_{R,\alpha}^{GGA} - \int \tilde{V}_\tau(\mathbf{r}) \frac{\partial \tilde{\tau}_c(\mathbf{r})}{\partial R_\alpha} d\mathbf{r} = F_{R,\alpha}^{GGA} - \sum_{\mathbf{G}} iG_\alpha \tilde{V}_\tau(G) \tilde{\tau}_c(G) e^{i\mathbf{G} \cdot \mathbf{R}}$$

**Stress tensor**

- Apply same procedure as in: dal Corso, Resta, PRB **50**, 4327 (1994)

- Use the fact that :  $\sum_i f_i \langle \psi_i | \left[ \mathbf{H}, r_\beta \frac{\partial}{\partial r_\alpha} \right] | \psi_i \rangle = 0$

$$\sigma_{\alpha\beta}^{mGGA} = \sigma_{\alpha\beta}^{GGA} - \frac{1}{\Omega} \left[ \delta_{\alpha\beta} \int \tilde{V}_\tau(\mathbf{r}) (\tau + \tau_c)(\mathbf{r}) d\mathbf{r} + 2 \int \frac{\partial f_{xc}}{\partial \vec{\nabla}^2 n}(\mathbf{r}) \frac{\partial^2 \tilde{n}_c}{\partial r_\alpha \partial r_\beta}(\mathbf{r}) d\mathbf{r} \right]$$

Using WF plane  
wave coefs

$$\longrightarrow + \sum_i f_i \left\langle \frac{\partial \psi_i}{\partial r_\alpha} \left| \tilde{V}_\tau \right| \frac{\partial \psi_i}{\partial r_\beta} \right\rangle$$

From XC routine

Computed with finite-differences in other codes

- **Why?** : Raman efficiencies computation within DFPT

Raman tensor:

$$\frac{\partial \chi_{ij}^{(1)}}{\partial \tau_{\kappa\beta}} = -\frac{1}{\Omega_0} \frac{\partial^3 E}{\partial \tau_{\kappa\beta} \partial \mathcal{E}_i \partial \mathcal{E}_j}$$

Non-linear susceptibility:

$$\chi_{ijk}^{(2)} = -\frac{1}{2\Omega_0} \frac{\partial^3 E}{\partial \mathcal{E}_i \partial \mathcal{E}_j \partial \mathcal{E}_k}$$

- Need several **derivatives of the XC energy**:

« Grid » version (plane waves) and « spherical » version

- First order:  $E_{xc}^{(1)} = \int d\mathbf{r}' n^{(1)}(\mathbf{r}') \frac{\delta E_{xc}}{\delta n(\mathbf{r}')} = \int d\mathbf{r}' n^{(1)}(\mathbf{r}') V_{xc}(\mathbf{r}')$

- Second order:  $E_{xc}^{(2)} = \int d\mathbf{r} \left( n^{(2)}(\mathbf{r}) V_{xc}(\mathbf{r}) + n^{(1)}(\mathbf{r}) (V_{xc}(\mathbf{r}))^{(1)} \right)$

- Third order:  $E_{xc}^{(3)} = \int d\mathbf{r} \left( n^{(3)}(\mathbf{r}) V_{xc}(\mathbf{r}) + 2n^{(2)}(\mathbf{r}) (V_{xc}(\mathbf{r}))^{(1)} + n^{(1)}(\mathbf{r}) (V_{xc}(\mathbf{r}))^{(2)} \right)$

- Remember that:  $n(r) = n_v(\mathbf{r}) + n_c(\mathbf{r})$

Need order n

Need order 2n or 2n+1

Non polarized version...

- LDA functional  $\varepsilon_{xc}^{LDA}(n(\mathbf{r}))$

$$V_{xc}^{LDA}(\mathbf{r}) = \frac{\partial f_{xc}}{\partial n}$$

$$E_{xc,n^{(1)}}^{(1)} = \int d\mathbf{r} n^{(1)}(\mathbf{r}) \frac{\partial f_{xc}}{\partial n}(\mathbf{r})$$

$$E_{xc,n^{(1)}}^{(2)} = \int d\mathbf{r} d\mathbf{r}' n^{(1)}(\mathbf{r}) n^{(1)}(\mathbf{r}') \frac{\partial^2 f_{xc}(\mathbf{r})}{\partial n(\mathbf{r}) \partial n(\mathbf{r}')}$$

$$E_{xc,n^{(1)}}^{(3)} = \int d\mathbf{r} d\mathbf{r}' d\mathbf{r}'' n^{(1)}(\mathbf{r}) n^{(1)}(\mathbf{r}') n^{(1)}(\mathbf{r}'') \frac{\partial^3 f_{xc}(\mathbf{r})}{\partial n(\mathbf{r}) \partial n(\mathbf{r}') \partial n(\mathbf{r}'')}$$



Currently implemented in ABINIT



- GGA functional  $\varepsilon_{xc}^{LDA}(n(\mathbf{r}), \vec{\nabla}n(\mathbf{r}))$

$$V_{xc}(\mathbf{r}) = \frac{\partial f_{xc}}{\partial n} - \vec{\nabla} \cdot \left( \frac{\partial f_{xc}}{\partial |\vec{\nabla}n|} \frac{\vec{\nabla}n}{|\vec{\nabla}n|} \right)$$

$$E_{xc}^{(1)} = \int d\mathbf{r} n^{(1)}(\mathbf{r}) \frac{\partial f_{xc}}{\partial n}(\mathbf{r}) + \int d\mathbf{r} \frac{\nabla n^{(1)}(\mathbf{r}) \cdot \nabla n(\mathbf{r})}{|\nabla n(\mathbf{r})|} \frac{\partial f_{xc}}{\partial |\nabla n|}(\mathbf{r})$$

$$\begin{aligned} E_{xc,n^{(1)}}^{(2)} = & \int d\mathbf{r} n^{(1)}(\mathbf{r}) n^{(1)}(\mathbf{r}) \frac{\partial^2 f_{xc}}{\partial n \partial n}(\mathbf{r}) + 2 \int d\mathbf{r} n^{(1)}(\mathbf{r}) \frac{\nabla n^{(1)}(\mathbf{r}) \cdot \nabla n(\mathbf{r})}{|\nabla n(\mathbf{r})|} \frac{\partial^2 f_{xc}}{\partial n \partial |\nabla n|}(\mathbf{r}) \\ & + \int d\mathbf{r} \frac{\nabla_1 n^{(1)}(\mathbf{r}) \cdot \nabla_1 n(\mathbf{r})}{|\nabla n(\mathbf{r})|} \frac{\nabla_2 n^{(1)}(\mathbf{r}) \cdot \nabla_2 n(\mathbf{r})}{|\nabla n(\mathbf{r})|} \left( \frac{\partial^2 f_{xc}}{\partial |\nabla n| \partial |\nabla n|}(\mathbf{r}) - \frac{1}{|\nabla n(\mathbf{r})|} \frac{\partial f_{xc}}{\partial |\nabla n|}(\mathbf{r}) \right) \\ & + \int d\mathbf{r} \nabla n^{(1)}(\mathbf{r}) \cdot \nabla n^{(1)}(\mathbf{r}) \frac{1}{|\nabla n(\mathbf{r})|} \frac{\partial f_{xc}}{\partial |\nabla n|}(\mathbf{r}) \end{aligned}$$

Currently implemented in ABINIT

$$\begin{aligned}
E_{xc,n^{(1)}}^{(3)} = & \int d\mathbf{r} n^{(1)}(\mathbf{r}) n^{(1)}(\mathbf{r}) n^{(1)}(\mathbf{r}) \frac{\partial^3 f_{xc}}{\partial n \partial n \partial n}(\mathbf{r}) \\
& + 3 \int d\mathbf{r} n^{(1)}(\mathbf{r}) n^{(1)}(\mathbf{r}) \frac{\nabla n^{(1)}(\mathbf{r}) \cdot \nabla n(\mathbf{r})}{|\nabla n(\mathbf{r})|} \frac{\partial^3 f_{xc}}{\partial n \partial n \partial |\nabla n|}(\mathbf{r}) \\
& + 3 \int d\mathbf{r} n^{(1)}(\mathbf{r}) \frac{\nabla_1 n^{(1)}(\mathbf{r}) \cdot \nabla_1 n(\mathbf{r})}{|\nabla n(\mathbf{r})|} \frac{\nabla_2 n^{(1)}(\mathbf{r}) \cdot \nabla_2 n(\mathbf{r})}{|\nabla n(\mathbf{r})|} \left( \frac{\partial^3 f_{xc}}{\partial n \partial |\nabla n| \partial |\nabla n|}(\mathbf{r}) - \frac{1}{|\nabla n(\mathbf{r})|} \frac{\partial^2 f_{xc}}{\partial n \partial |\nabla n|}(\mathbf{r}) \right) \\
& + \int d\mathbf{r} \frac{\nabla_1 n^{(1)}(\mathbf{r}) \cdot \nabla_1 n(\mathbf{r})}{|\nabla n(\mathbf{r})|} \frac{\nabla_2 n^{(1)}(\mathbf{r}) \cdot \nabla_2 n(\mathbf{r})}{|\nabla n(\mathbf{r})|} \frac{\nabla_3 n^{(1)}(\mathbf{r}) \cdot \nabla_3 n(\mathbf{r})}{|\nabla n(\mathbf{r})|} \\
& \times \left( \frac{\partial^3 f_{xc}}{\partial |\nabla n| \partial |\nabla n| \partial |\nabla n|}(\mathbf{r}) - \frac{3}{|\nabla n(\mathbf{r})|} \left( \frac{\partial^2 f_{xc}}{\partial |\nabla n| \partial |\nabla n|}(\mathbf{r}) - \frac{1}{|\nabla n(\mathbf{r})|} \frac{\partial f_{xc}}{\partial |\nabla n|}(\mathbf{r}) \right) \right) \\
& + 3 \int d\mathbf{r} n^{(1)}(\mathbf{r}) \frac{\nabla n^{(1)}(\mathbf{r}) \cdot \nabla n^{(1)}(\mathbf{r})}{|\nabla n(\mathbf{r})|} \frac{\partial^2 f_{xc}}{\partial n \partial |\nabla n|}(\mathbf{r}) \\
& + 3 \int d\mathbf{r} \frac{\nabla_1 n^{(1)}(\mathbf{r}) \cdot \nabla_1 n^{(1)}(\mathbf{r})}{|\nabla n(\mathbf{r})|} \frac{\nabla_2 n^{(1)}(\mathbf{r}) \cdot \nabla_2 n(\mathbf{r})}{|\nabla n(\mathbf{r})|} \left( \frac{\partial^2 f_{xc}}{\partial |\nabla n| \partial |\nabla n|}(\mathbf{r}) - \frac{1}{|\nabla n(\mathbf{r})|} \frac{\partial f_{xc}}{\partial |\nabla n|}(\mathbf{r}) \right)
\end{aligned}$$

$$\begin{aligned}
E_{xc,n^{(1)}}^{(3)} = & \int d\mathbf{r} n^{(1)}(\mathbf{r}) n^{(1)}(\mathbf{r}) n^{(1)}(\mathbf{r}) K_{3xc}(\mathbf{r}, 1) \\
& + 3 \int d\mathbf{r} n^{(1)}(\mathbf{r}) n^{(1)}(\mathbf{r}) \left( \nabla n^{(1)}(\mathbf{r}) \cdot \nabla n(\mathbf{r}) \right) K_{3xc}(\mathbf{r}, 2) \\
& + 3 \int d\mathbf{r} n^{(1)}(\mathbf{r}) \left( \nabla n^{(1)}(\mathbf{r}) \cdot \nabla n(\mathbf{r}) \right) \left( \nabla n^{(1)}(\mathbf{r}) \cdot \nabla n(\mathbf{r}) \right) \left( K_{3xc}(\mathbf{r}, 3) - \frac{1}{|\nabla n(\mathbf{r})|^2} K_{xc}(\mathbf{r}, 3) \right) \\
& + \int d\mathbf{r} \left( \nabla n^{(1)}(\mathbf{r}) \cdot \nabla n(\mathbf{r}) \right) \left( \nabla n^{(1)}(\mathbf{r}) \cdot \nabla n(\mathbf{r}) \right) \left( \nabla n^{(1)}(\mathbf{r}) \cdot \nabla n(\mathbf{r}) \right) \left( K_{3xc}(\mathbf{r}, 4) - \frac{3}{|\nabla n(\mathbf{r})|^2} K_{xc}(\mathbf{r}, 4) \right) \\
& + 3 \int d\mathbf{r} n^{(1)}(\mathbf{r}) \left( \nabla n^{(1)}(\mathbf{r}) \cdot \nabla n^{(1)}(\mathbf{r}) \right) K_{xc}(\mathbf{r}, 3) \\
& + 3 \int d\mathbf{r} \left( \nabla n^{(1)}(\mathbf{r}) \cdot \nabla n^{(1)}(\mathbf{r}) \right) \left( \nabla n^{(1)}(\mathbf{r}) \cdot \nabla n(\mathbf{r}) \right) K_{xc}(\mathbf{r}, 4)
\end{aligned}$$

$$K_{xc}(\mathbf{r}, 1) = \frac{\partial^2 f_{xc}}{\partial n \partial n}(\mathbf{r})$$

$$K_{xc}(\mathbf{r}, 2) = \frac{1}{|\nabla n(\mathbf{r})|} \frac{\partial f_{xc}}{\partial |\nabla n|}(\mathbf{r})$$

$$K_{xc}(\mathbf{r}, 3) = \frac{1}{|\nabla n(\mathbf{r})|} \frac{\partial^2 f_{xc}}{\partial n \partial |\nabla n|}(\mathbf{r})$$

$$K_{xc}(\mathbf{r}, 4) = \frac{1}{|\nabla n(\mathbf{r})|} \frac{\partial}{\partial |\nabla n|} \left( \frac{1}{|\nabla n(\mathbf{r})|} \frac{\partial f_{xc}}{\partial |\nabla n|}(\mathbf{r}) \right)$$

$$K_{xc}(\mathbf{r}, 5 : 7) = \nabla n(\mathbf{r})$$

$$K_{3xc}(\mathbf{r}, 1) = \frac{\partial^3 f_{xc}}{\partial n \partial n \partial n}(\mathbf{r})$$

$$K_{3xc}(\mathbf{r}, 2) = \frac{1}{|\nabla n(\mathbf{r})|} \frac{\partial^3 f_{xc}}{\partial n \partial n \partial |\nabla n|}(\mathbf{r})$$

$$K_{3xc}(\mathbf{r}, 3) = \frac{1}{|\nabla n(\mathbf{r})|^2} \frac{\partial^3 f_{xc}}{\partial n \partial |\nabla n| \partial |\nabla n|}(\mathbf{r})$$

$$K_{3xc}(\mathbf{r}, 4) = \frac{1}{|\nabla n(\mathbf{r})|^3} \frac{\partial^3 f_{xc}}{\partial |\nabla n| \partial |\nabla n| \partial |\nabla n|}(\mathbf{r})$$



$$\sigma[0] = \nabla \rho_{\uparrow} \cdot \nabla \rho_{\uparrow} \quad \sigma[1] = \nabla \rho_{\uparrow} \cdot \nabla \rho_{\downarrow} \quad \sigma[2] = \nabla \rho_{\downarrow} \cdot \nabla \rho_{\downarrow}$$

$$\text{vsigma}_{\alpha} \quad ; \quad \frac{\partial \epsilon}{\partial \sigma_{\alpha}}$$

$$\text{v2rhosigma}_{\alpha\beta} \quad ; \quad \frac{\partial \epsilon}{\partial \rho_{\alpha} \partial \sigma_{\beta}}$$

$$\text{v2sigma2}_{\alpha\beta} \quad ; \quad \frac{d^2 \epsilon}{\partial \sigma_{\alpha} \partial \sigma_{\beta}}$$

$$\text{v3rho2sigma}_{\alpha\beta\gamma} \quad ; \quad \frac{d^3 \epsilon}{\partial \rho_{\alpha} \partial \rho_{\beta} \partial \sigma_{\gamma}}$$

$$\text{v3rhosigma2}_{\alpha\beta\gamma} \quad ; \quad \frac{d^3 \epsilon}{\partial \rho_{\alpha} \partial \sigma_{\beta} \partial \sigma_{\gamma}}$$

$$\text{v3sigma3}_{\alpha\beta\gamma} \quad ; \quad \frac{d^3 \epsilon}{\partial \sigma_{\alpha} \partial \sigma_{\beta} \partial \sigma_{\gamma}} \quad ;$$

Need to convert libXC objects into ABINIT ones...

$$\frac{1}{|\vec{\nabla}_n|} \frac{\partial f_x}{\partial |\vec{\nabla}_n|} = \frac{1}{|\vec{\nabla}_n|} \frac{\partial f_x}{\partial \sigma} \frac{\partial \sigma}{\partial |\vec{\nabla}_n|} = \frac{1}{|\vec{\nabla}_n|} \frac{\partial f_x}{\partial \sigma} 2|\vec{\nabla}_n| = 2 \frac{\partial f_x}{\partial \sigma}$$

$$\frac{1}{|\vec{\nabla}_n^{\uparrow}|} \frac{\partial}{\partial |\vec{\nabla}_n^{\uparrow}|} \left( \frac{1}{|\vec{\nabla}_n^{\uparrow}|} \frac{\partial^2 f_x}{\partial |\vec{\nabla}_n^{\uparrow}| \partial n^{\uparrow}} \right) = 64 \frac{\partial^2 f_x}{\partial n \partial \sigma^2}$$

$$E_{xc,n}^{(3)} = \int d\mathbf{r} d\mathbf{r}' d\mathbf{r}'' n^{(1)}(\mathbf{r}) n^{(1)}(\mathbf{r}') n^{(1)}(\mathbf{r}'') \frac{\delta^3 f_{xc}(\mathbf{r})}{\delta n(\mathbf{r}) \delta n(\mathbf{r}') \delta n(\mathbf{r}'')}$$

Number of terms to implement :

functional polarization ( $V_{xc}(\mathbf{r})$ ) <sup>(1)</sup>	LDA unpola.	LDA pola.	GGA unpola.	GGA pola.
$E_{xc,n}^{(3)}$	1	2×2	5	2×11
	1	8	6	24

- with real or complex densities
- with or without core correction
- with or without compensating charge (PAW)
- on FFT grids or PAW spheres (for the latter : 3 different implementations !)

Problem with the usual finite difference tests :

- test only the *total* energy : hard to test individual terms...
- not so cheap in some situations
- precision is not high in PAW, and many terms could be small...

**Need of a very quick and precise test for the validation of  $E_{xc,n}^{(3)}$  in GGA**

We define a fictive density (here a distorted gaussian) depending on fictive parameters :

$$n(\mathbf{r}) = A e^{-B(\mathbf{r}-\mathbf{R})^T M (\mathbf{r}-\mathbf{R})} = A e^{-B \sum_{\alpha\beta} (r_{\alpha}-R_{\alpha}) M_{\alpha\beta} (r_{\beta}-R_{\beta})}$$

we can compute the first derivative of the XC energy analytically or by finite difference :

$$E_{xc}^{(R_{\alpha})} = \int d\mathbf{r} n^{(R_{\alpha})}(\mathbf{r}) V_{xc}(\mathbf{r}) \quad E_{xc}^{(\Delta R_{\alpha})} = \frac{E_{xc}[n_{+\Delta R_{\alpha}}] - E_{xc}[n_{-\Delta R_{\alpha}}]}{2\Delta R_{\alpha}}$$

Input :

```
# DFPT TEST : LDA,PAW,unpolarized
#*****
# Necessary to activate DFPT_TEST:
optdriver 5
useria 900
#*****
# Relevant parameters for DFPT_TEST
#*****
acell 3*10
rprim 0.8 -0.2 0.0
      0.2 -0.7 0.1
      0.0 0.0 -0.9

nsym 1

# Only for Norm Conserving pseudos:
ecut 10
# Only for PAW:
pawecutdg 40

pawxcdev 0

# Irrelevant for DFPT_TEST but mandatory for abinit
#*****
natom 1
znucl 13
xred 0.00 0.00 0.00
```

Output :

```
DFPT TEST SUMMARY:
-----
cplex = 1
PAW Pseudo-potential (without usexcnhat)
With core correction
LDA functional
Unpolarized system
pawxcdev = 0

FFT grid : densities : success : tol=1.E-09)
FFT grid : exc1 : success : tol=1.E-07)
FFT grid : vxc1 : success : tol=1.E-04)
FFT grid : exc2 : success : tol=1.E-09)
FFT grid : exc3 : success : tol=1.E-07)

FFT grid : all : success

PAW Sphere : densities : success : tol=1.E-09)
PAW Sphere : exc1 : success : tol=1.E-09)
PAW Sphere : vxc1 : success : tol=1.E-05)
PAW Sphere : exc2 : success : tol=1.E-09)
PAW Sphere : exc3 : success : tol=1.E-09)

PAW Sphere : all : success
```

A set of 18 tests is added to the testsuite ("dfpt\_test") : different functionals, polarization, etc...  
The 18 tests are done in 35 seconds on a laptop (1 CPU), for a relative error of  $10^{-7}$  or lower on energies ( $10^{-4}$  on potentials).  
⇒ Can be easily extended to magnetic case (nspden=4) or metaGGA functionals (local part only).

### Current status

- metaGGA + PAW in the next ABINIT version
- Including stress tensor (was not available in NCPP)
- Link with libXC 5.1 in the next version
- A set of automatic tests for XC derivatives

### Next to come

- Raman intensities + GGA
- Need to finish the implementation and check

### Upcoming plans

- metaGGGA + 2<sup>nd</sup> order DFPT





- Every function which integrates as  $E^{KIN}$  is a kinetic energy density

Choose **which one?**

$$\tau_1(\mathbf{r}) = -\frac{1}{2} \sum_i \psi_i^*(\mathbf{r}) \vec{\nabla}^2 \psi_i(\mathbf{r})$$

From kinetic operator

$$\tau_2(\mathbf{r}) = +\frac{1}{2} \sum_i |\vec{\nabla} \psi_i(\mathbf{r})|^2$$

Commonly Used

$$\int \vec{\nabla}^2 n(\mathbf{r}) d\mathbf{r} = 0$$

$$\tau_1(\mathbf{r}) = \tau_2(\mathbf{r}) - \frac{1}{4} \vec{\nabla}^2 n(\mathbf{r})$$

- Within (scalar-)relativistic scheme, what is the definition of  $\tau(\mathbf{r})$ ?

$$\tau_{rel}(\mathbf{r}) = \sum_i [\varepsilon_i - V_{xc}(\mathbf{r}) - V_H(\mathbf{r}) - V_{ext}(\mathbf{r})] |\psi_i(\mathbf{r})|^2$$

Like  $\tau_1$

$$\tau_{rel}(\mathbf{r}) \simeq c^2 n(\mathbf{r}) \left[ 1 + \frac{2\tau(\mathbf{r})}{c^2 n(\mathbf{r})} \right]^{\frac{1}{2}}$$

Like  $\tau_2$

See: Sim, Larkin, Burke, Bock, *J. Chem. Phys.* **118**, 8140 (2003)  
Becke, *J. Chem. Phys.* **131**, 244118 (2009)

Testing the kinetic energy density functional against LDA

*Use a modified value for the electron mass:*

$m_e = \tilde{m}_e$  instead of  $m_e = 1$  (a.u.)

$$\varepsilon_{xc}^{MGGA}(\mathbf{r}) = \varepsilon_{xc}^{LDA}(\mathbf{r}) + \left(1 - \frac{1}{\tilde{m}_e}\right) \frac{\tau(\mathbf{r})}{n(\mathbf{r})}$$

$$\frac{\partial f_{xc}^{MGGA}}{\partial \tau}(\mathbf{r}) = \left(1 - \frac{1}{\tilde{m}_e}\right) \quad \frac{\partial f_{xc}^{MGGA}}{\partial n}(\mathbf{r}) = V_{xc}^{LDA}(\mathbf{r})$$

$$E^{KIN+XC} (MGGA) = \frac{1}{\tilde{m}_e} E^{KIN} + E_{xc}^{LDA} + \int \left(1 - \frac{1}{\tilde{m}_e}\right) \tau(\mathbf{r}) d\mathbf{r} = E^{KIN+XC} (LDA)$$

Testing MGGA(Laplacian) against MGGA(KED) against GGA

Use 1 or 2  
electronic  
bands

$$\varepsilon_{xc}^{MGGA1}(\mathbf{r}) = \varepsilon_{xc}^{LDA}(\mathbf{r}) + \alpha \vec{\nabla}^2 n(\mathbf{r})$$

$$\frac{\partial f_{xc}^{MGGA1}}{\partial n}(\mathbf{r}) = V_{xc}^{LDA}(\mathbf{r}) + \alpha \vec{\nabla}^2 n(\mathbf{r})$$

$$\frac{\partial f_{xc}^{MGGA2}}{\partial n}(\mathbf{r}) = V_{xc}^{LDA}(\mathbf{r}) + 2\alpha \tau(\mathbf{r})$$

$$\varepsilon_{xc}^{GGA}(\mathbf{r}) = \varepsilon_{xc}^{LDA}(\mathbf{r}) - \alpha \frac{|\vec{\nabla} n(\mathbf{r})|^2}{n(\mathbf{r})}$$

$$\frac{\partial f_{xc}^{GGA}}{\partial n}(\mathbf{r}) = V_{xc}^{LDA}(\mathbf{r})$$

$$\frac{\partial f_{xc}^{GGA}}{\partial |\vec{\nabla} n(\mathbf{r})|}(\mathbf{r}) = -2\alpha |\vec{\nabla} n(\mathbf{r})|$$

Integration  
by parts

$$\varepsilon_{xc}^{MGGA2}(\mathbf{r}) = \varepsilon_{xc}^{LDA}(\mathbf{r}) + 2\alpha \tau(\mathbf{r})$$

$$\frac{\partial f_{xc}^{MGGA2}}{\partial \vec{\nabla}^2 n}(\mathbf{r}) = \alpha n(\mathbf{r})$$

$$\frac{\partial f_{xc}^{MGGA2}}{\partial \tau(\mathbf{r})}(\mathbf{r}) = 2\alpha n(\mathbf{r})$$

Can mix all  
approaches

$$E_{xc}^{MGGA1} = E_{xc}^{LDA} + \alpha \int n(\mathbf{r}) \vec{\nabla}^2 n(\mathbf{r}) d\mathbf{r} = E_{xc}^{LDA} - \alpha \int |\vec{\nabla} n(\mathbf{r})|^2 d\mathbf{r} = E_{xc}^{GGA} = E_{xc}^{MGGA2}$$