

# *DFPT with magnetic fields and noncollinear XC functionals*

Sergei Prokhorenko

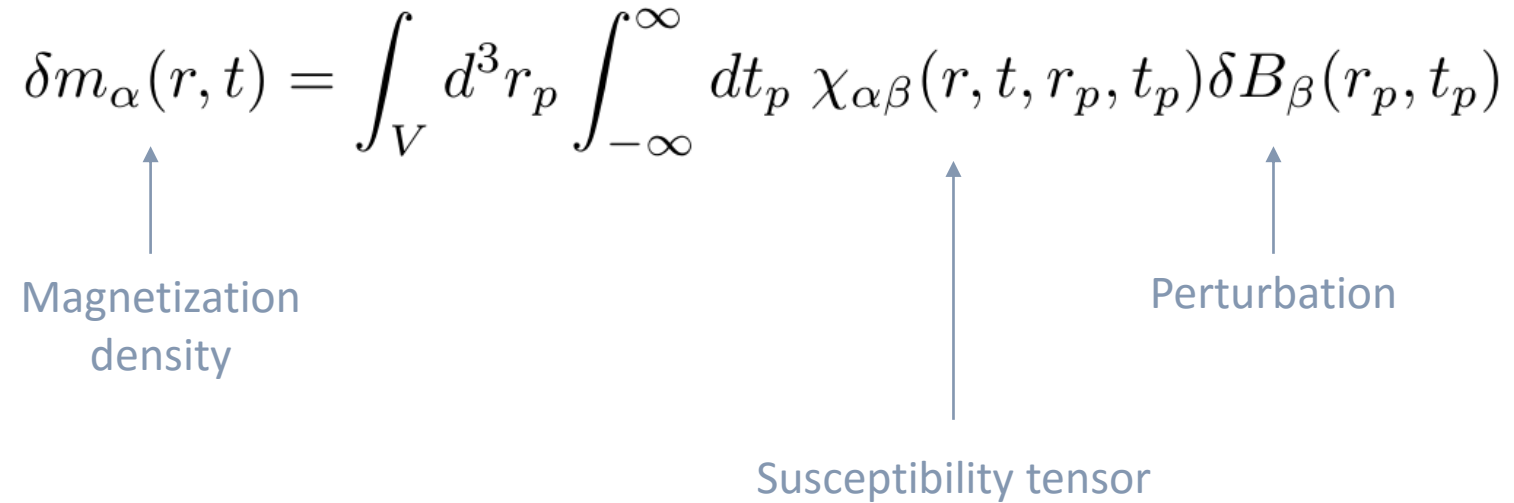
Eric Bousquet



# Spin susceptibility

## (a) General definition

We are considering **only the spin response** (no orbital magnetization)

$$\delta m_{\alpha}(r, t) = \int_V d^3 r_p \int_{-\infty}^{\infty} dt_p \chi_{\alpha\beta}(r, t, r_p, t_p) \delta B_{\beta}(r_p, t_p)$$


Magnetization density

Susceptibility tensor

Perturbation

## Spin susceptibility

(b) Why to compute it?

- For small  $q$  and  $\omega$  it gives the excitation spectrum ... magnons, spin (charge) density waves, etc.

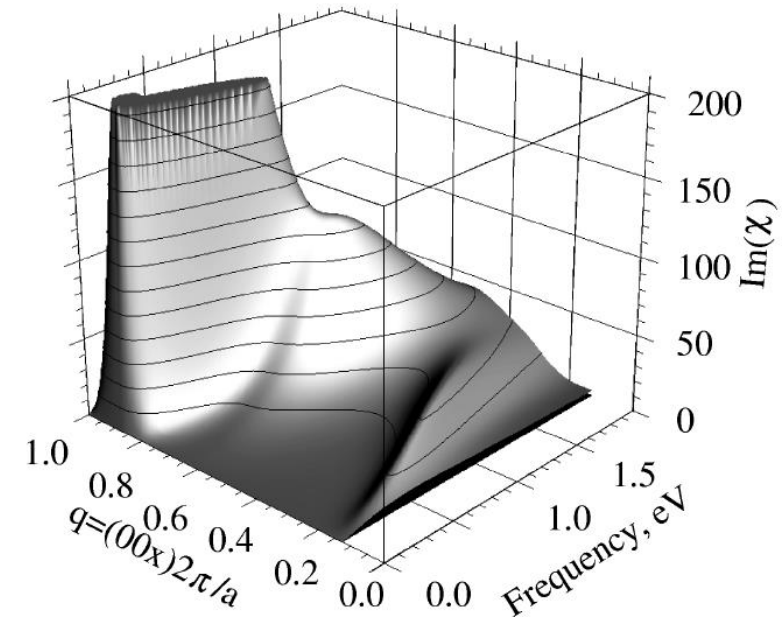


FIG. 3. Calculated  $\text{Im}[\chi(\mathbf{q}, \omega)]$  ( $\text{Ry}^{-1}$ ) for Cr.

S. Savrasov Phys. Rev. Lett. **81** 2570 (1998)

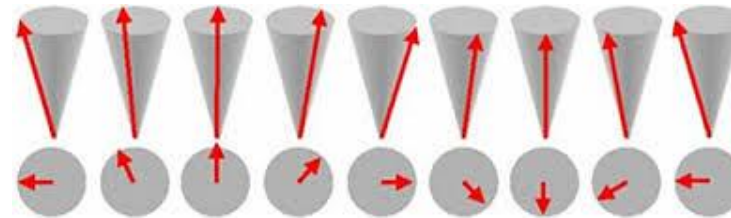
# Spin susceptibility

(b) Why to compute it?

- Second principles ([Multibinit!](#))
- *Fit effective Hamiltonian parameters (Heisenberg Hamiltonian etc.)*



Phonons



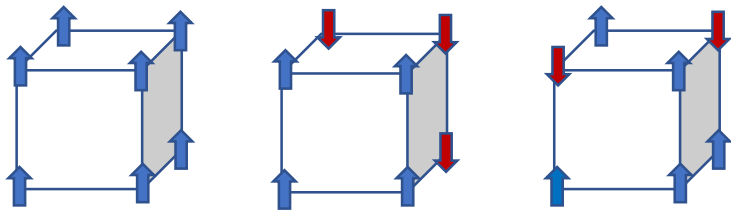
Spin waves

# Spin susceptibility

(b) Why to compute it?

- Second principles ([Multibinit!](#))
- *Fit effective Hamiltonian parameters (Heisenberg Hamiltonian etc.)*

Frozen magnon



SSSDW DFT

$$\exp(i \mathbf{k} \cdot \mathbf{r}) \begin{pmatrix} \exp(-i \frac{1}{2} \mathbf{q} \cdot \mathbf{r}) u_{\mathbf{k},\nu}^{(\uparrow)}(\mathbf{r}) \\ \exp(+i \frac{1}{2} \mathbf{q} \cdot \mathbf{r}) u_{\mathbf{k},\nu}^{(\downarrow)}(\mathbf{r}) \end{pmatrix}$$

DFPT

$$\hat{J}_{\alpha\beta}(q, \omega) = \hat{\chi}_{\alpha\beta}^{-1}(q, \omega)$$

Phys. Rev. B 88, 134427 (2013)

(c) How to compute from perturbation theory?

- Direct TD-DFT 
$$i \frac{\partial}{\partial t} \varphi_k(\mathbf{r}, t) = \hat{H}_{\text{KS}}[n](\mathbf{r}, t) \varphi_k(\mathbf{r}, t)$$
- Sternheimer/Variational 
$$i \frac{\partial}{\partial t} \varphi_k^{(1)}(\mathbf{r}, t) = \hat{H}_{\text{KS}}^{(0)}[n^{(0)}](\mathbf{r}) \varphi_k^{(1)}(\mathbf{r}, t) + \left[ \hat{H}_{\text{KS}}^{(1)}[n](\mathbf{r}, t) + v_{\text{ext}}^{(1)}(\mathbf{r}, t) \right] \varphi_k^{(0)}(\mathbf{r}, t)$$
- Sum over states 
$$\varphi_{k,\omega}^{(1)} = \sum_{m \neq k} |\varphi_m^{(0)}\rangle \frac{\langle \varphi_m^{(0)} | \hat{H}_\omega^{(1)} | \varphi_k^{(0)} \rangle}{\varepsilon_m^{(0)} - \varepsilon_k^{(0)} + \omega}$$

(c) How to compute?

- Sternheimer/Variational 
$$i\frac{\partial}{\partial t}\varphi_k^{(1)}(\mathbf{r}, t) = \hat{H}_{\text{KS}}^{(0)}[n^{(0)}](\mathbf{r})\varphi_k^{(1)}(\mathbf{r}, t) + \left[ \hat{H}_{\text{KS}}^{(1)}[n](\mathbf{r}, t) + v_{\text{ext}}^{(1)}(\mathbf{r}, t) \right] \varphi_k^{(0)}(\mathbf{r}, t)$$

Conceptually simple + already implemented in Abinit

TD-DFPT denormalization

(Variation of the action “ $S$ ” functional)

# *Time dependent variational principle*

## (d) Bibliography

### Reviews

- “Aspects of Time-Dependent Perturbation Theory” *Rev. Mod. Phys.* P.W. Langhoff et al. (1972)
- “A unified formulation of the construction of variational principles” *Rev. Mod. Phys.* Gerjuoy et al. (1983)
- “Time-Dependent Density Functional Theory ” M.A.L. Marques et al. *Lect. Notes Phys.* 706 (Springer, 2006)

### Implementations and theory

- K. L. Liu, S. H. Vosko, *Can. J. Phys.* 67, 1015 (1989)
- S. Y. Savrasov, *Phys. Rev. Lett.* 81, 2570 (1998)
- G. Vignale, *Phys. Rev. A.* 77, 062611 (2008)
- R. Requist, O. Pankratov, *Phys. Rev. A* 79, 032502 (2009)



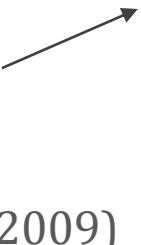
# Time dependent variational principle

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  - G. Vignale, *Phys. Rev. A.* 77, 062611 (2008)
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- 
- Paramagnetic case, HEG, transverse spin susc.
  - “it is easy to show” style, implementation
  - An easier causality problem resolution
  - TD-DFPT

## Time dependent variational principle

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- K. L. Liu, S. H. Vosko, Can. J. Phys. 67, 1015 (1989)
- S. Y. Savrasov, Phys. Rev. Lett. 81, 2570 (1998)

Paramagnetic case, HEG, transverse spin susc.  
“it is easy to show” style, LMTO implementation

$$A_U[n, m_z] = \underbrace{G[n, m_z]} + \frac{1}{2} \int_{t_0}^{t_1} d\tau \int d\mathbf{r}_1 d\mathbf{r}_2 n(\mathbf{r}_1 \tau) \times u(\mathbf{r}_1 - \mathbf{r}_2) n(\mathbf{r}_2 \tau) + \int_{t_0}^{t_1} d\tau \int d\mathbf{r} v_0(\mathbf{r}) n(\mathbf{r} \tau) - \int_{t_0}^{t_1} d\tau \int d\mathbf{r} B(\mathbf{r} \tau) m_z(\mathbf{r} \tau)$$

$$\int_{t_0}^{t_1} d\tau \langle \psi[n, m_z; \tau] | \hat{T} - i(\partial/\partial\tau) | \psi[n, m_z; \tau] \rangle + A_{XC}[n, m_z]$$

Action is stationary

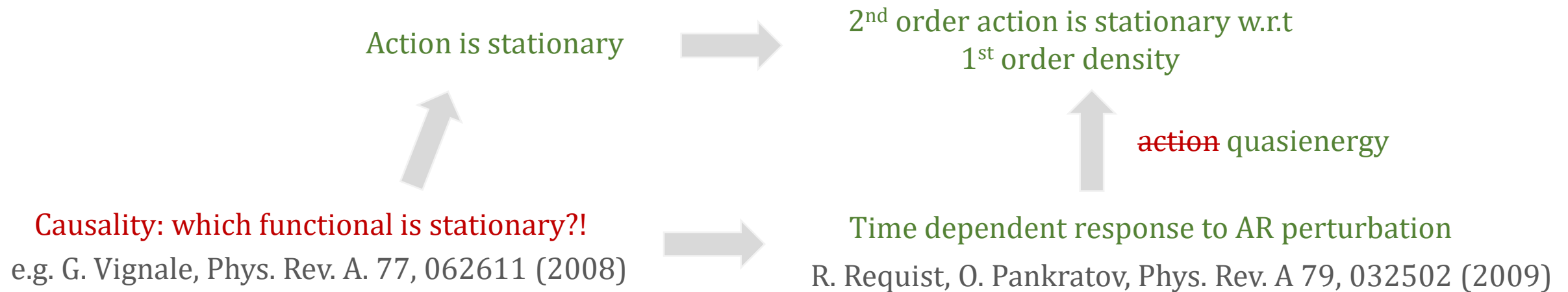


2<sup>nd</sup> order action is stationary w.r.t  
1<sup>st</sup> order density

# *Time dependent variational principle*

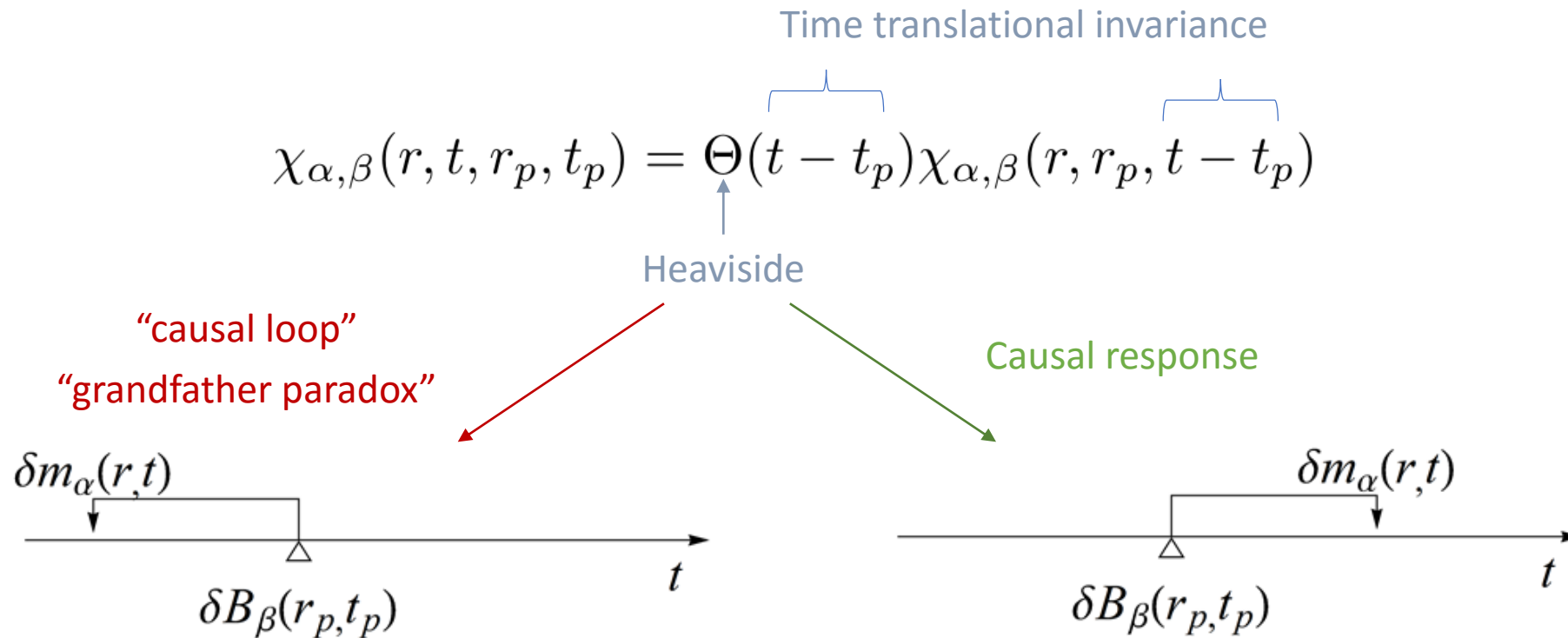
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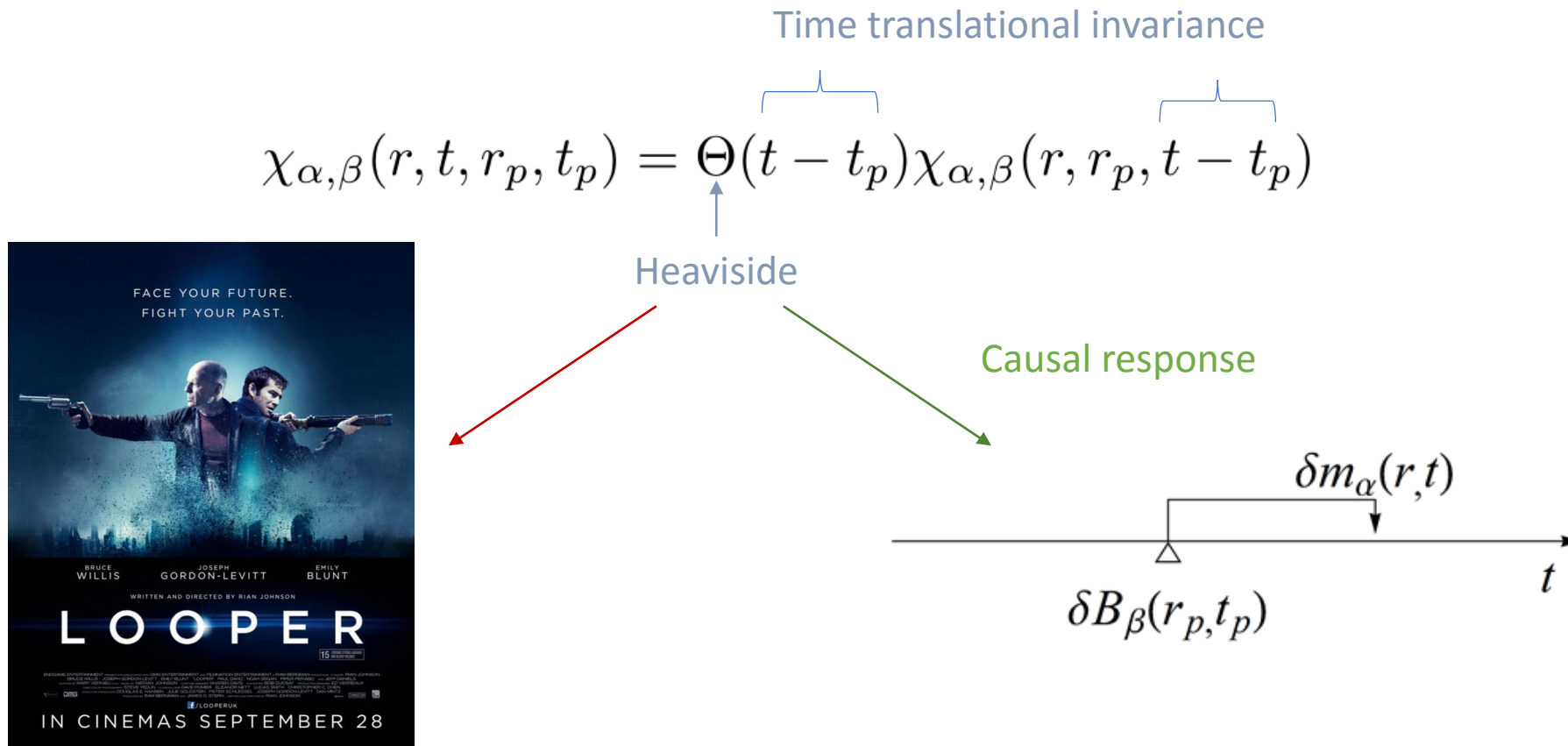
## Time dependent variational principle

### (d) Bibliography



# Time dependent variational principle

## (d) Bibliography



## *Time dependent variational principle*

(d) Bibliography

$$v[n; \mathbf{r}, t] \equiv \frac{\delta A[n]}{\delta n(\mathbf{r}, t)} \quad \longrightarrow \quad \frac{\delta v[n; \mathbf{r}, t]}{\delta n(\mathbf{r}', t')} = \frac{\delta^2 A[n]}{\delta n(\mathbf{r}, t) \delta n(\mathbf{r}', t')} \quad \longrightarrow \quad ?$$

## *Time dependent variational principle*

### (d) Bibliography

$$v[n;\mathbf{r},t] \equiv \frac{\delta A[n]}{\delta n(\mathbf{r},t)}$$



$$\frac{\delta v[n;\mathbf{r},t]}{\delta n(\mathbf{r}',t')} = \frac{\delta^2 A[n]}{\delta n(\mathbf{r},t) \delta n(\mathbf{r}',t')}$$



all OK for  
Adiabatically  
ramped harmonic  
perturbations

Physical meaning  
of the stationary  
functional changes

## *Time dependent variational principle*

### (d) Bibliography

R. Requist, O. Pankratov, Phys. Rev. A 79, 032502 (2009)

$$\Psi(t) = \xi_\tau(t) \exp \left[ -i \int_{-\infty}^t dt' K_\tau(t') \right] \quad K_\tau(t) = \frac{\langle \xi_\tau | \hat{H}_\tau(t) - i \partial_t | \xi_\tau \rangle}{\langle \xi_\tau | \xi_\tau \rangle}$$

↑  
Secular phase



# *Spin susceptibility*

## Assumptions

$$\delta B_\beta(r, t) = (e^{i\omega t + iqr} + c.c.) \sum_G \lambda_G e^{iGr}$$

↑  
Incommensurate

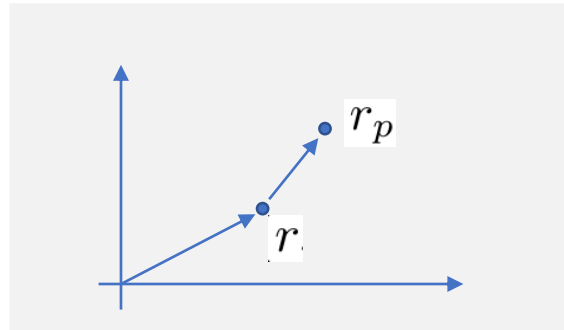
↑  
Periodic

# Spin susceptibility

## General considerations

$$\begin{aligned}\delta m_\alpha(r, t) &= \int_V d^3 r_p \int_{-\infty}^{\infty} dt_p \Theta(t - t_p) \chi_{\alpha, \beta}(r, r_p, t - t_p) (e^{i\omega t_p + iqr_p} + c.c.) \sum_G \lambda_G e^{iGr_p} = \\ &= \sqrt{2\pi} e^{i\omega t} \int_V d^3 r_p X_{\alpha\beta}(r, r_p, \omega) e^{iqr_p} \sum_G \lambda_G e^{iGr} + \{\omega \rightarrow -\omega, q \rightarrow -q\} = \\ &= (2\pi)^2 \left( e^{i\omega t + iqr} \sum_G \lambda_G X_{\alpha\beta}(r, G + q, \omega) e^{iGr} + e^{-i\omega t - iqr} \sum_G \lambda_G X_{\alpha\beta}(r, G - q, \omega) e^{iGr} \right)\end{aligned}$$

$$X_{\alpha\beta}(r, r_p, \omega) = X_{\alpha\beta}(r, r - r_p, \omega)$$



## *Spin susceptibility*

### General considerations

$$\delta B_\beta(r, t) = (e^{i\omega t + iqr} + c.c.) \sum_G \lambda_G e^{iGr} \quad \lambda_G = \lambda_{-G}^* \text{ is not zero for one single } G \text{ value}$$

$$\delta m_\alpha(r, t) = (2\pi)^2 \left( \lambda_G e^{i\omega t} e^{i(q+G)r} X_{\alpha\beta}(r, G + q, \omega) + \lambda_G^* e^{-i\omega t} e^{-i(q+G)r} X_{\alpha\beta}(r, -G - q, \omega) \right)$$

# Spin susceptibility

## General considerations

$$\delta B_\beta(r, t) = (e^{i\omega t + iqr} + c.c.) \sum_G \lambda_G e^{iGr} \quad \lambda_G = \lambda_{-G}^* \text{ is not zero for one single } G \text{ value}$$

$$\delta m_\alpha(r, t) = (2\pi)^2 \left( \lambda_G e^{i\omega t} e^{i(q+G)r} \underbrace{X_{\alpha\beta}(r, G+q, \omega)}_{\text{Periodic part}} + \lambda_G^* e^{-i\omega t} e^{-i(q+G)r} X_{\alpha\beta}(r, -G-q, \omega) \right)$$

Monochromatic

Incommensurate part

→  $\delta m_\alpha(r, t) = e^{i\omega t + iqr} \times (\text{periodic function})$

## *Spin susceptibility*

### General considerations

$$\delta m_\alpha(r, t) = e^{i\omega t + iqr} \times (\text{periodic function})$$

$$\int d^3r \int dt (\delta m_\alpha(r, t) e^{-i\omega t - iqr}) e^{-iG'r} = X_{\alpha, \beta}(G' - G, G + q, \omega)$$

## *Spin susceptibility*

### General considerations

$$\int d^3r \int dt (\delta m_\alpha(r, t) e^{-i\omega t - iqr}) e^{-iG'r} = X_{\alpha, \beta}(G' - G, G + q, \omega)$$



$$\int d^3r \int dt (\delta m_\alpha(r, t) e^{-i\omega t - iqr}) = X_{\alpha, \beta}(G = 0, q, \omega)$$

## Euler Lagrange equations

$$\begin{pmatrix} \hat{H}_{\text{KS}}^{(0)} - \varepsilon_k^{(0)} + \omega & 0 \\ 0 & \hat{H}_{\text{KS}}^{(0)} - \varepsilon_k^{(0)} - \omega \end{pmatrix} \begin{pmatrix} \varphi_{k,+ \omega}^{(1)} \\ \varphi_{k,- \omega}^{(1)} \end{pmatrix} = - \begin{pmatrix} \left( v_{\text{Hxc},+ \omega}^{(1)} + v_{\text{ext},+ \omega}^{(1)} - \varepsilon_{k,+ \omega}^{(1)} \right) \varphi_k^{(0)} \\ \left( v_{\text{Hxc},- \omega}^{(1)} + v_{\text{ext},- \omega}^{(1)} - \varepsilon_{k,- \omega}^{(1)} \right) \varphi_k^{(0)} \end{pmatrix}$$

Ansatz



$$\varphi(\mathbf{r}, t) = e^{-i\varepsilon^{(0)}t - i\lambda\Delta\varepsilon^{(1)}(t)} \times \left\{ \varphi^{(0)}(\mathbf{r}) + \lambda \left[ \varphi_{+ \omega}^{(1)}(\mathbf{r})e^{i\omega t} + \varphi_{- \omega}^{(1)}(\mathbf{r})e^{-i\omega t} \right] \right\}$$

$$\Delta\varepsilon^{(1)}[n](t) = \int_{-\infty}^t dt \langle \varphi^{(0)} | \hat{H}_{\text{KS}}^{(1)}[n](t) + v_{\text{ext}}^{(1)}(t) | \varphi^{(0)} \rangle.$$

# Euler Lagrange equations

$$\begin{pmatrix} \hat{H}_{\text{KS}}^{(0)} - \varepsilon_k^{(0)} + \omega & 0 \\ 0 & \hat{H}_{\text{KS}}^{(0)} - \varepsilon_k^{(0)} - \omega \end{pmatrix} \begin{pmatrix} \varphi_{k,+ \omega}^{(1)} \\ \varphi_{k,- \omega}^{(1)} \end{pmatrix} = - \begin{pmatrix} \left( v_{\text{Hxc},+ \omega}^{(1)} + v_{\text{ext},+ \omega}^{(1)} - \varepsilon_{k,+ \omega}^{(1)} \right) \varphi_k^{(0)} \\ \left( v_{\text{Hxc},- \omega}^{(1)} + v_{\text{ext},- \omega}^{(1)} - \varepsilon_{k,- \omega}^{(1)} \right) \varphi_k^{(0)} \end{pmatrix}$$

$\omega + i\eta$                        $\omega - i\eta$

Ansatz



$$\varphi(\mathbf{r}, t) = e^{-i\varepsilon^{(0)}t - i\lambda\Delta\varepsilon^{(1)}(t)} \times \left\{ \varphi^{(0)}(\mathbf{r}) + \lambda \left[ \varphi_{+ \omega}^{(1)}(\mathbf{r})e^{i\omega t} + \varphi_{- \omega}^{(1)}(\mathbf{r})e^{-i\omega t} \right] \right\}$$

$$\Delta\varepsilon^{(1)}[n](t) = \int_{-\infty}^t dt \langle \varphi^{(0)} | \hat{H}_{\text{KS}}^{(1)}[n](t) + v_{\text{ext}}^{(1)}(t) | \varphi^{(0)} \rangle.$$



## Euler Lagrange equations

$$\begin{pmatrix} \hat{H}_{\text{KS}}^{(0)} - \varepsilon_k^{(0)} + \omega & 0 \\ 0 & \hat{H}_{\text{KS}}^{(0)} - \varepsilon_k^{(0)} - \omega \end{pmatrix} \begin{pmatrix} \varphi_{k,+}^{(1)} \\ \varphi_{k,-}^{(1)} \end{pmatrix} = - \begin{pmatrix} \left( v_{\text{Hxc},+\omega}^{(1)} + v_{\text{ext},+\omega}^{(1)} - \varepsilon_{k,+}^{(1)} \right) \varphi_k^{(0)} \\ \left( v_{\text{Hxc},-\omega}^{(1)} + v_{\text{ext},-\omega}^{(1)} - \varepsilon_{k,-}^{(1)} \right) \varphi_k^{(0)} \end{pmatrix}$$

$\omega + i\eta$                        $\omega - i\eta$

- Solution for two different frequencies for each point of  $(\omega, q)$  grid
- Phase factorization works in the same way as in the static case
- First order external potential is a constant matrix:

$$v_{\text{ext}}^{(1)} = \frac{1}{2} \vec{\sigma}$$

## *Implementation strategy*

$$(\omega = 0, q = 0)$$



$$(\omega = 0, q \neq 0)$$



$$(\omega \neq 0, q \neq 0)$$



Physics (+ tests of xc flavors)

## *Implementation strategy*

$$(\omega = 0, q = 0)$$



$$(\omega = 0, q \neq 0)$$



$$(\omega \neq 0, q \neq 0)$$



Physics (+ tests of xc flavors)

## Implementation strategy

$$(\omega = 0, q = 0)$$



$$(\omega = 0, q \neq 0)$$



$$(\omega \neq 0, q \neq 0)$$

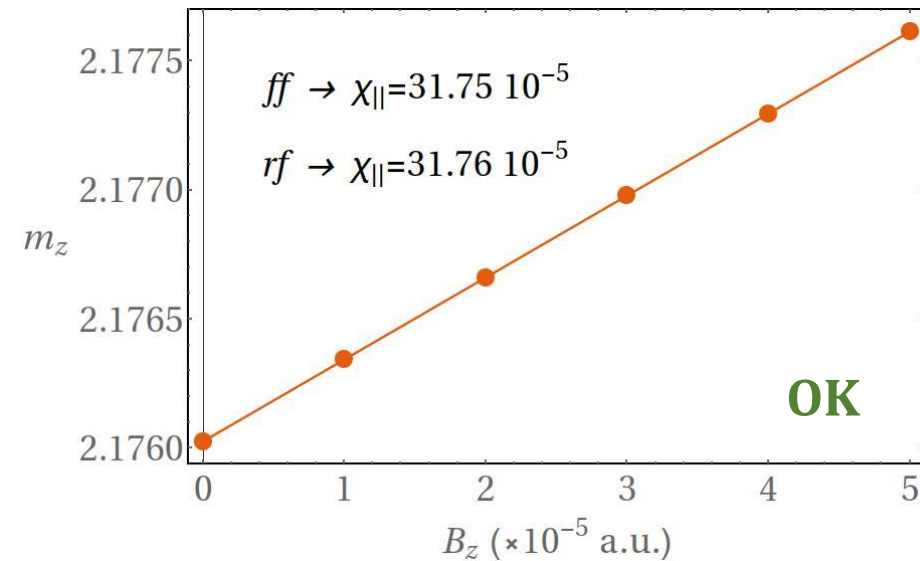


Physics (+ tests of xc flavors)

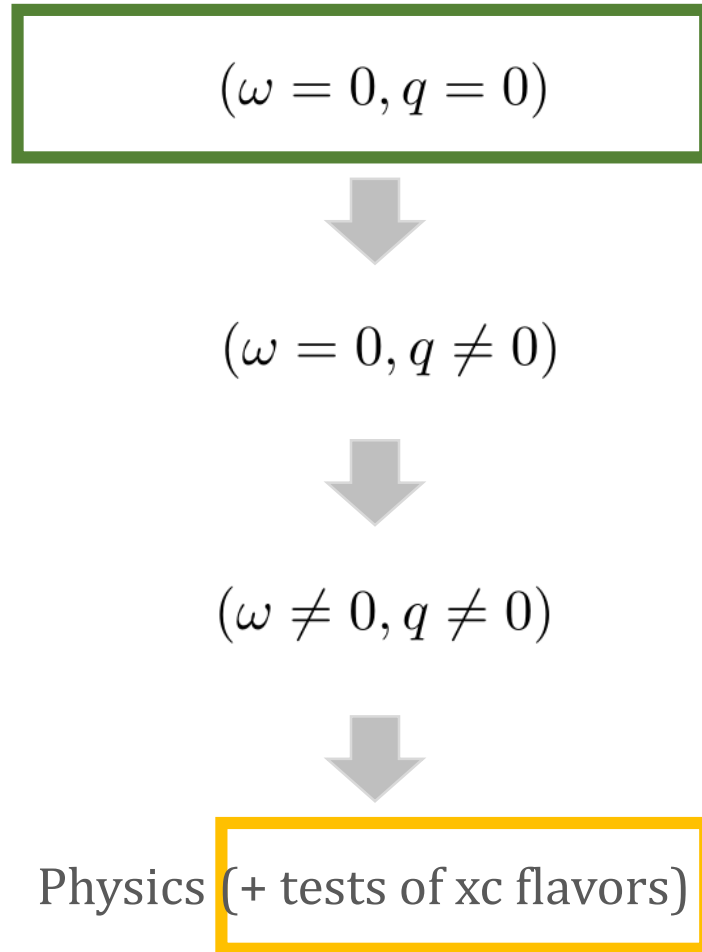
- rfmagn = 1


ipert = natom + 5    rfuser: natom + 6  
  natom + 7

- Fe bcc



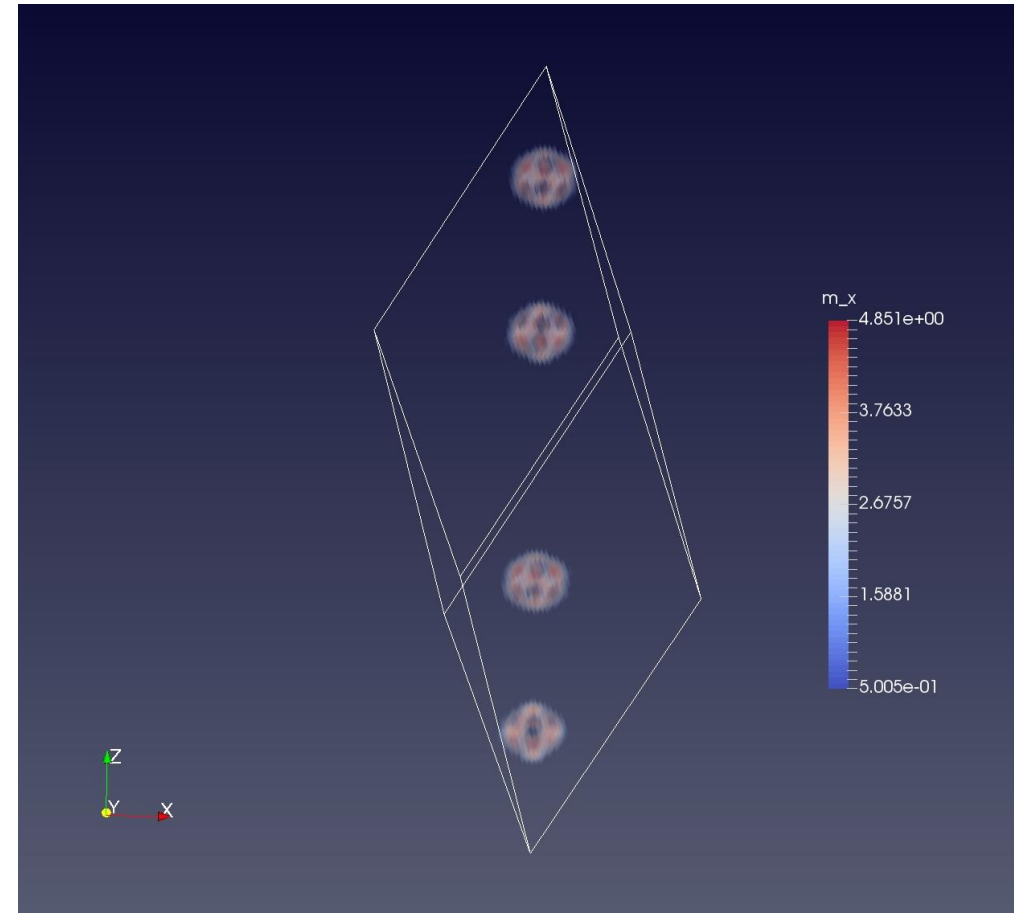
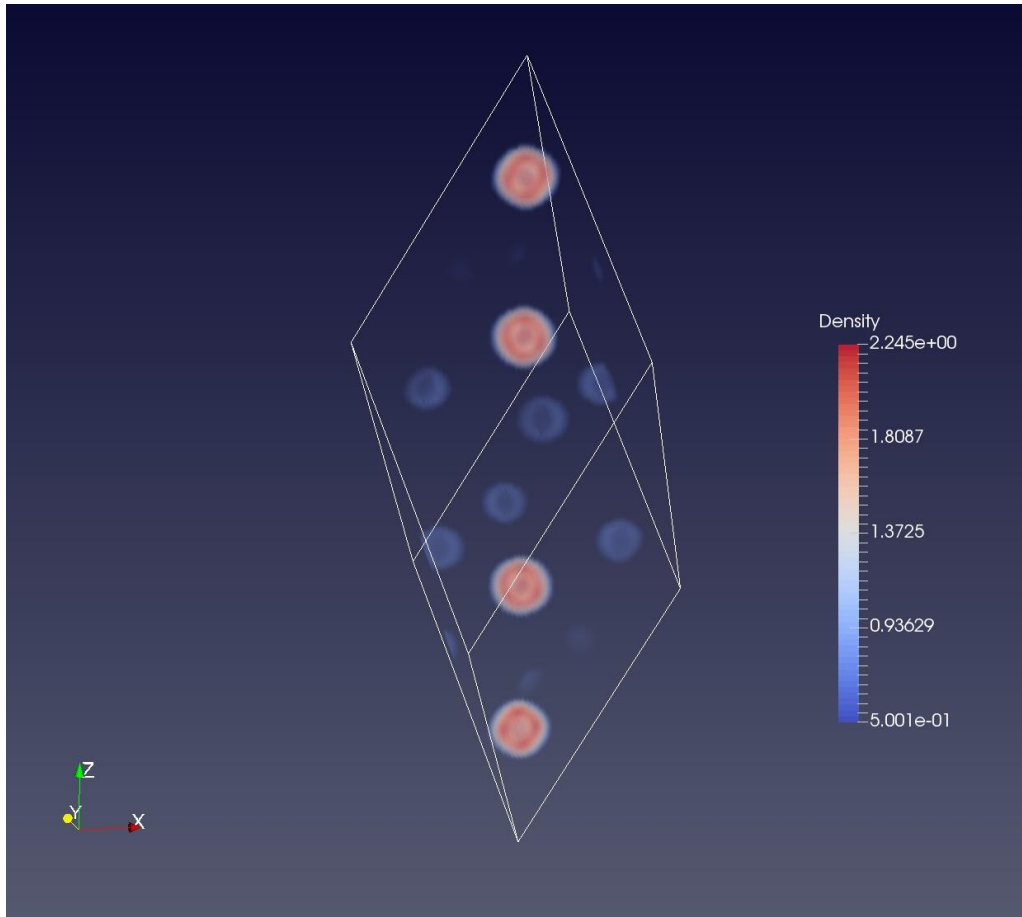
## Implementation strategy



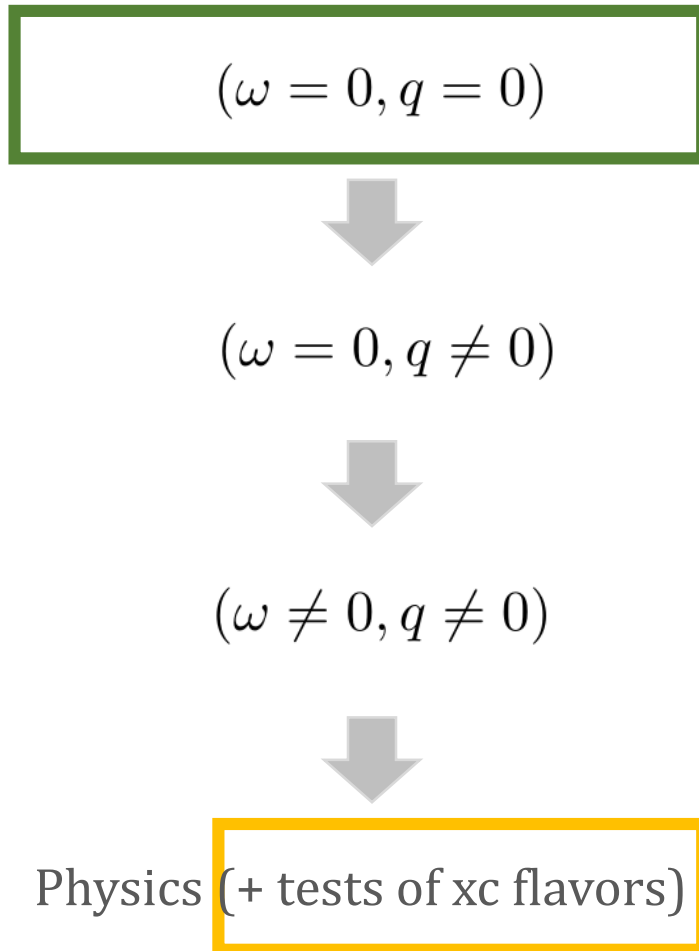
- $\text{rfmagn} = 1$
- $\text{ipert} = \text{natom} + 5$     rfuser:  $\text{natom} + 6$   
 $\text{natom} + 7$
- $\text{Cr}_2\text{O}_3$      $\chi_{\parallel} = 0$         **OK**

## Implementation strategy

- $\text{Cr}_2\text{O}_3$  transverse susceptibility

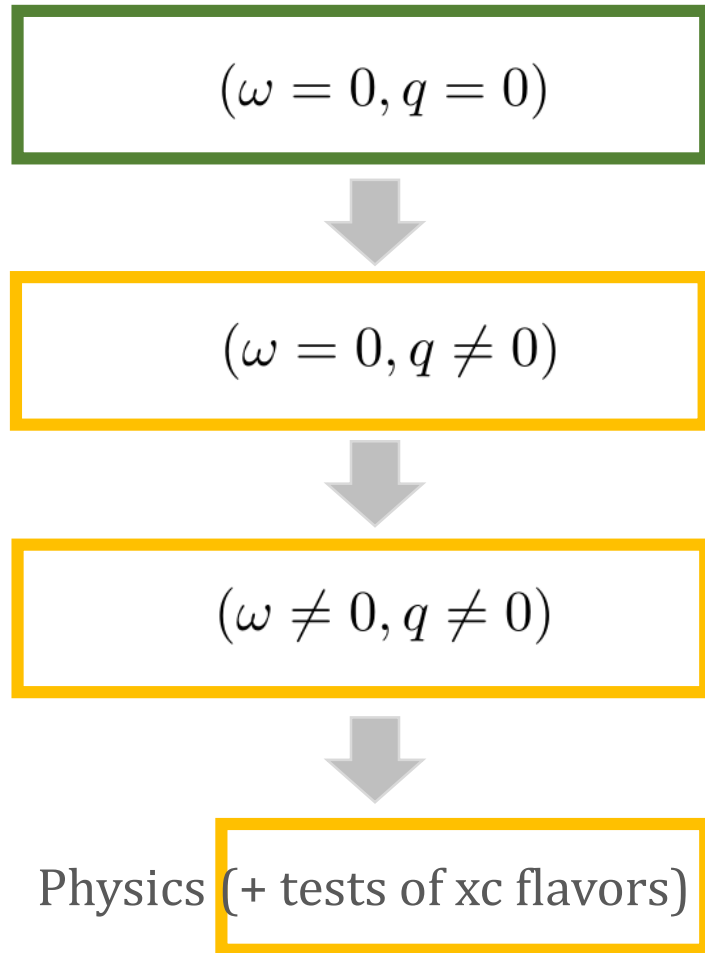


## Implementation strategy



- rfmagn = 1
- ipert = natom + 5    rfuser:    natom + 6  
  natom + 7
- NC + only longitudinal response is OK
  - Many things can be probed even in this simplest case
- Dynamic magnetic charges (spin part)
- Phonon induced first order magnetization

## Implementation strategy

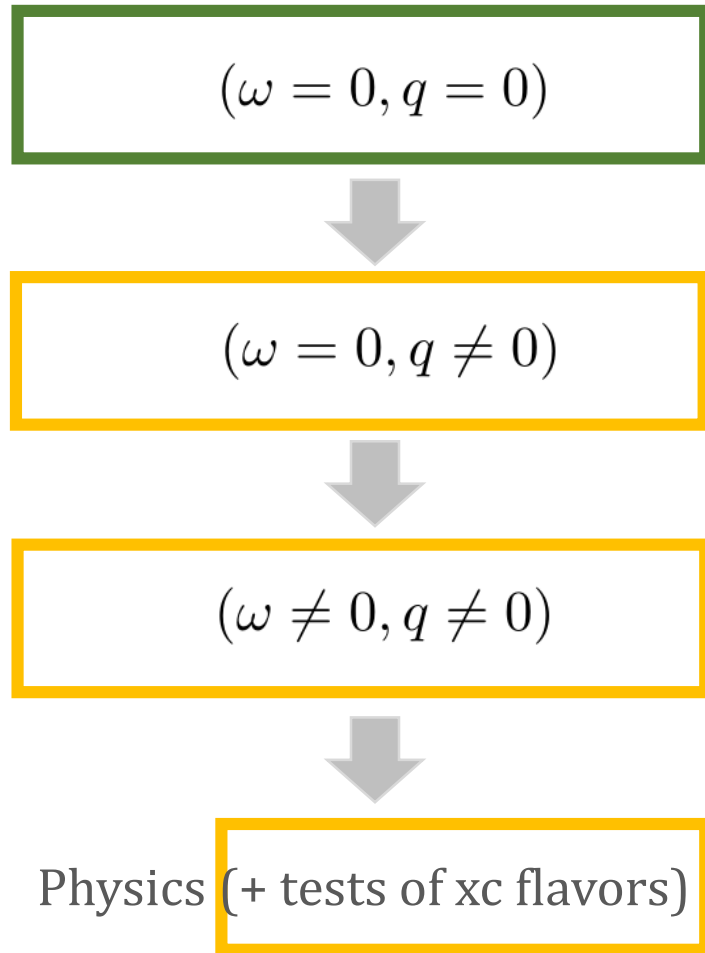


Many people believe that if it ain't broke, don't fix it...

... if it ain't broke, it doesn't have enough features yet



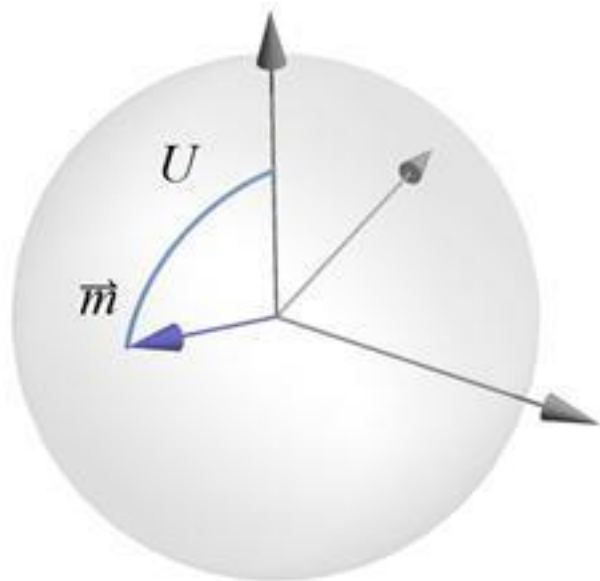
## Implementation strategy



PAW?

NC LDA+U?

LSDA/GGA



Kettle principle:

use collinear xc functionals

$$U_{\alpha\beta} \sim 1/|m|$$

$$v_{xc} = v_{xc}[|m| = 0] + |m| \frac{dv_{xc}}{dm}$$

$$\{n, \vec{m}\} \rightarrow \{n_{\pm}, \gamma_{\pm}, \gamma_{\text{mix}}, \tau_{\pm}, \nabla^2 n_{\pm}\}$$

I. W. Bulik et al. Phys. Rev. B 87, 035117 (2013)

Other approaches

~~Kettle principle~~

PRL **98**, 196405 (2007)

PHYSICAL REVIEW LETTERS

week ending  
11 MAY 2007

**First-Principles Approach to Noncollinear Magnetism: Towards Spin Dynamics**

S. Sharma,<sup>1,2,5,\*</sup> J. K. Dewhurst,<sup>2,3</sup> C. Ambrosch-Draxl,<sup>2,4</sup> S. Kurth,<sup>5</sup> N. Helbig,<sup>1,5</sup> S. Pittalis,<sup>5</sup> S. Shallcross,<sup>6</sup>  
L. Nordström,<sup>7</sup> and E. K. U. Gross<sup>5</sup>

PRL **111**, 156401 (2013)

PHYSICAL REVIEW LETTERS

week ending  
11 OCTOBER 2013

**Transverse Spin-Gradient Functional for Noncollinear Spin-Density-Functional Theory**

F. G. Eich<sup>1,2,\*</sup> and E. K. U. Gross<sup>1</sup>

Thank you for your attention

Other developments: Hybrid MC  
(better sampling, faster than MMC, equilibrium properties?)