



# FKAConv: Kernel-Feature Alignment for Point Cloud Convolution

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valeo.ai



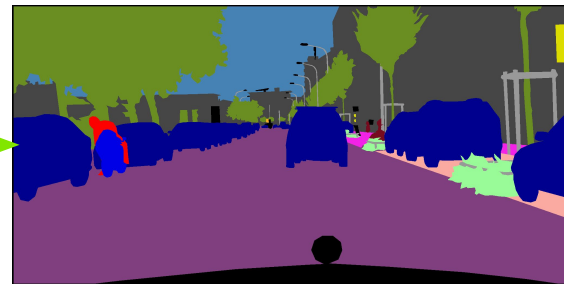
SMART TECHNOLOGY FOR SMARTER MOBILITY

# Introduction

## Image processing



**CNNs**  
for image processing



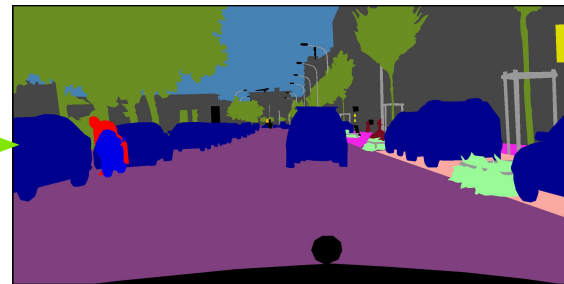
**Flexible** (usage for multiple tasks)  
**Efficient**  
**Fast**  
**Easy to setup** (e.g., Pytorch)

# Introduction

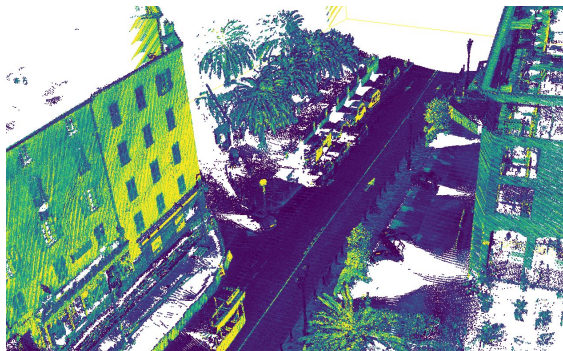
## Image processing



CNNs  
for image processing



## Point cloud processing



~~CNNs  
for image processing~~

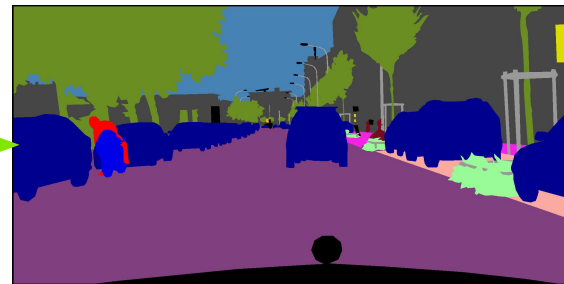
**Point clouds:**  
Invariance by permutation  
Density variations  
From 1K to 100M points

# Introduction

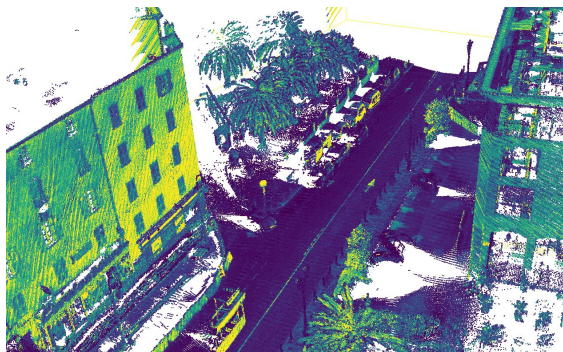
## Image processing



**CNNs  
for image processing**

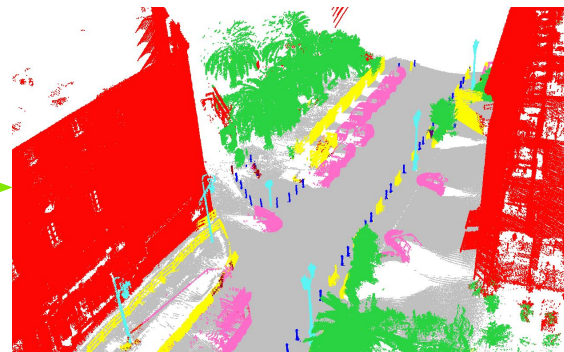


## Point cloud processing



**Similar formulation  
taking into account point  
cloud specificities**

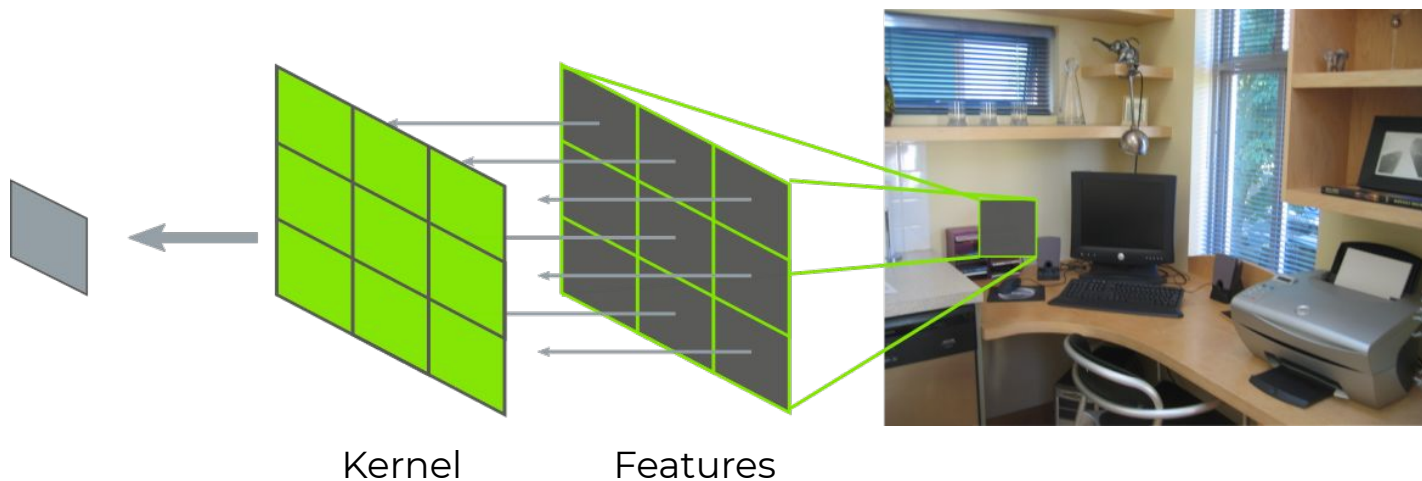
**CNNs  
for point cloud processing**



# From discrete convolution to convolution for point clouds

Convolution for image processing

$$\mathbf{h}[n] = \sum_{f \in \{1, \dots, F\}} \underbrace{\mathbf{K}_f^\top}_{\text{Kernel space}} \underbrace{\mathbf{f}_f(n)}_{\text{Feature space}}$$

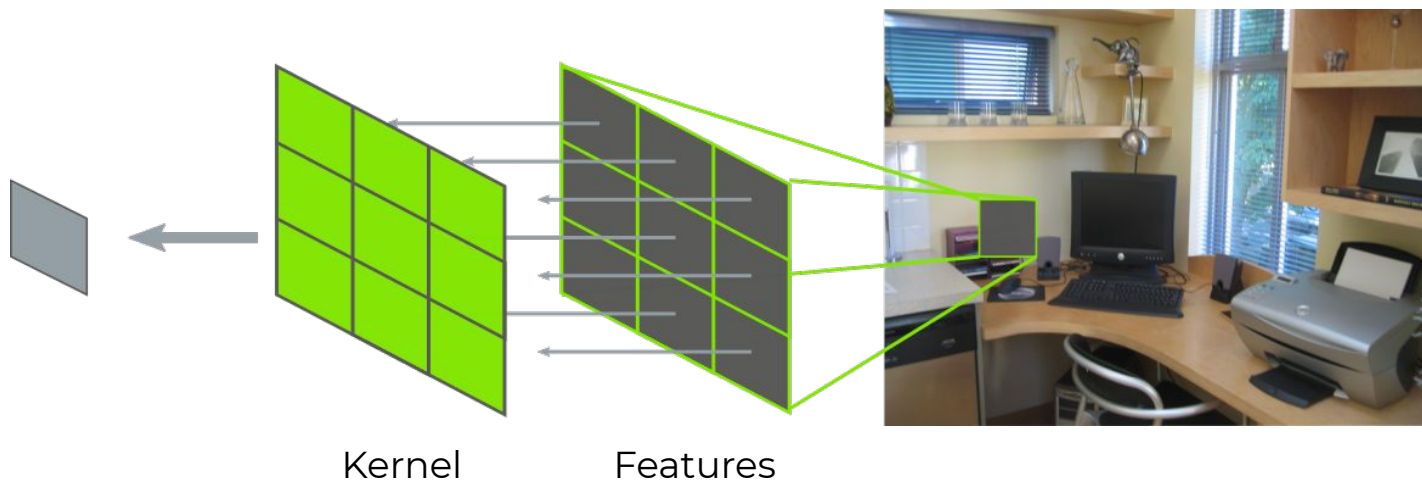


# From discrete convolution to convolution for point clouds

Convolution for image processing

$$\mathbf{h}[n] = \sum_{f \in \{1, \dots, F\}} \underbrace{\mathbf{K}_f^\top}_{\text{Kernel space}} \underbrace{I}_{\text{Identity matrix}} \underbrace{\mathbf{f}_f(n)}_{\text{Feature space}}$$

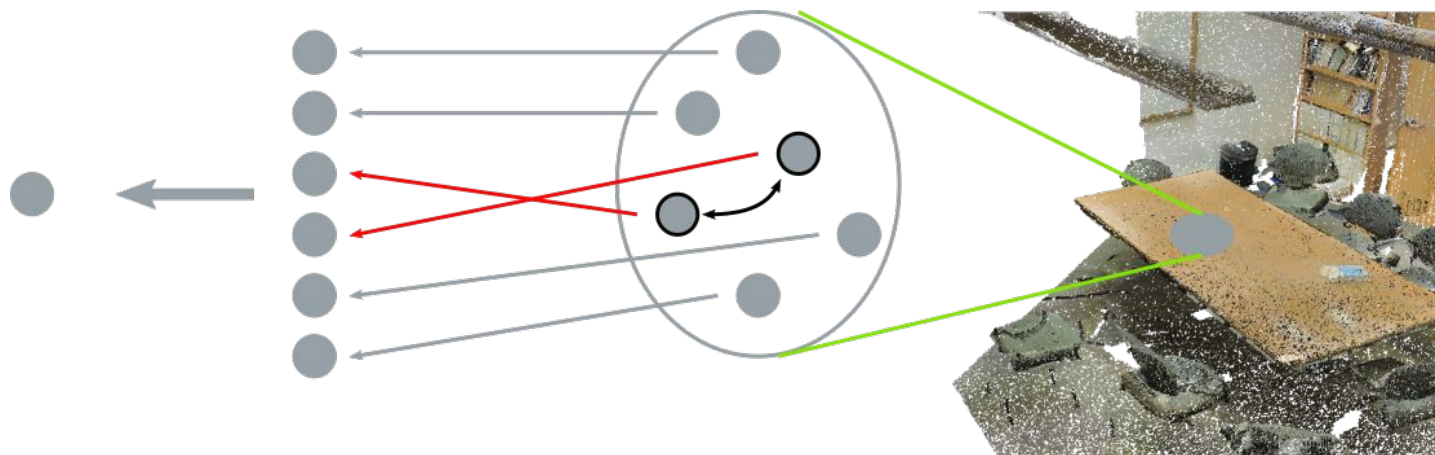
Identity matrix: one to one alignment matrix



# From discrete convolution to convolution for point clouds

Toward convolution for point clouds

$$\mathbf{h}[n] = \sum_{f \in \{1, \dots, F\}} \underbrace{\mathbf{K}_f^\top}_{\text{Kernel space}} \underbrace{I}_{\text{Feature space}} \underbrace{\mathbf{f}_f(n)}_{\text{Feature space}}$$



Permutation of two points in the input  $\Rightarrow$  different result

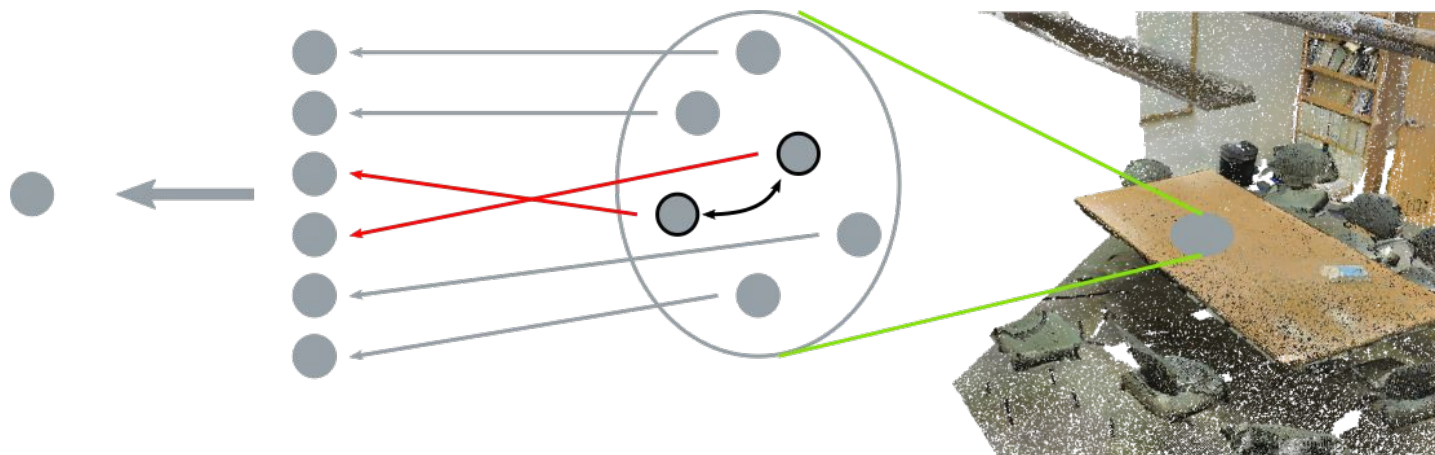


# From discrete convolution to convolution for point clouds

Toward convolution for point clouds

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Identity matrix:  
⇒ no invariance to permutation



Permutation of two points in the input ⇒ different result



# From discrete convolution to convolution for point clouds

Toward convolution for point clouds

$$\mathbf{h}[n] = \sum_{f \in \{1, \dots, F\}} \underbrace{\mathbf{K}_f^\top}_{\text{Kernel space}} \underbrace{\mathbf{I}}_{\text{Feature space}} \underbrace{\mathbf{f}_f(n)}_{\text{Feature space}}$$

Need for a more general matrix

$$\mathbf{h}[n] = \sum_{f \in \{1, \dots, F\}} \underbrace{\mathbf{K}_f^\top}_{\text{Kernel space}} \underbrace{\mathbf{A}}_{\text{Feature space}} \underbrace{\mathbf{f}_f(n)}_{\text{Feature space}}$$

# Alignment matrix prediction

Toward convolution for point clouds

$$\mathbf{h}[n] = \sum_{f \in \{1, \dots, F\}} \underbrace{\mathbf{K}_f^\top}_{\text{Kernel space}} \underbrace{\mathbf{A}}_{\text{Feature space}} \underbrace{\mathbf{f}_f(n)}_{\text{Feature space}}$$

**Alignment matrix A**  
Invariance to permutation  
⇒ estimated based in inputs

# Alignment matrix prediction

Toward convolution for point clouds

$$\mathbf{h}[n] = \sum_{f \in \{1, \dots, F\}} \underbrace{\mathbf{K}_f^\top}_{\text{Kernel space}} \underbrace{\mathbf{A}}_{\text{Feature space}} \underbrace{\mathbf{f}_f(n)}_{\text{Feature space}}$$

## SplatNet [43]

- Kernel on grid
- Nearest neighbor interpolation

## Alignment matrix $\mathbf{A}$

Invariance to permutation  
 $\Rightarrow$  estimated based in inputs

## KPConv [47]

- Kernel elements on geodesic ball
- $\mathbf{A}$  computed according to distances from input to kernel

## ConvPoint [3]

- Kernel elements randomly initialized and optimized
- $\mathbf{A}$  computed with a learnable function

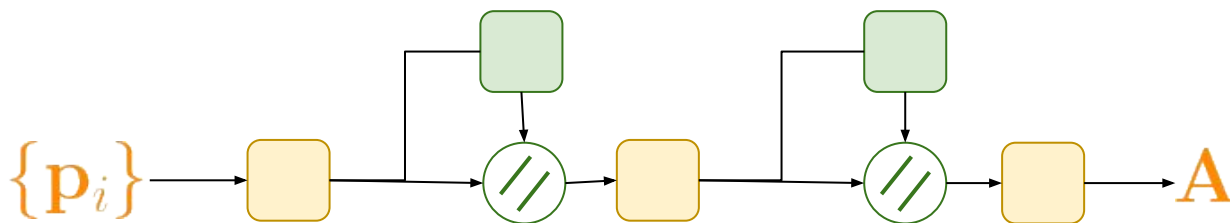
# From discrete convolution to convolution for point clouds

Implicit formulation of the kernel location


Estimation of  $A$  using a point-wise MLP with context aggregation

⇒ **invariance to point permutation**

$$A = \phi(\mathbf{p}_i, \{\mathbf{p}_i\})$$



 Point-wise linear

 Max-Pooling

 Concatenation

# Adaptive normalization of support point neighborhoods

Convolutions operates on local neighborhoods around support point.

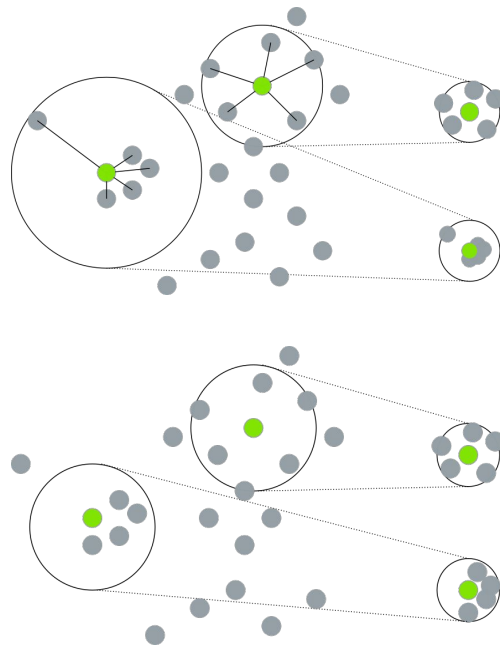
Two common strategies for neighborhood computation:

- **K-nearest neighbors**

- Fast
- Loss of scale information
- Influence of outliers

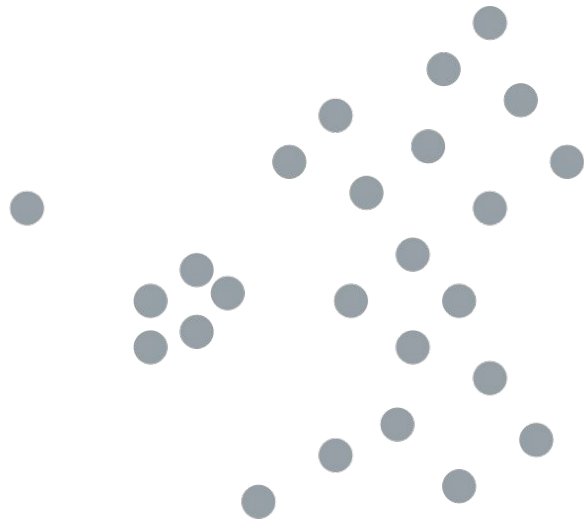
- **Radius search**

- Slower for large scenes
- Different sizes of neighborhoods  
⇒ memory consuming strategy



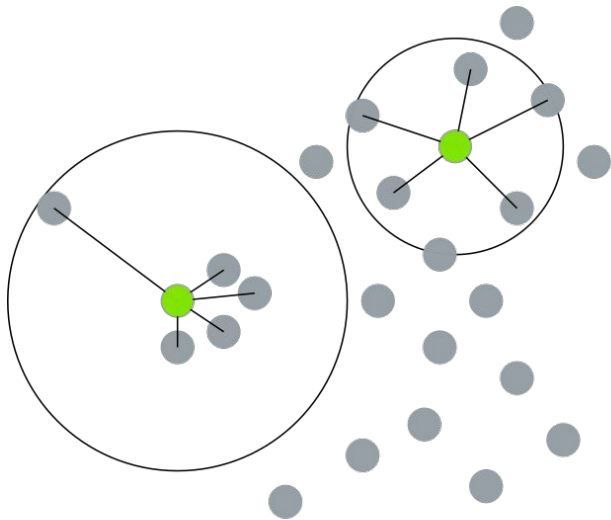
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Convolutions operates on local neighborhoods around support points



# Adaptive normalization of support point neighborhoods

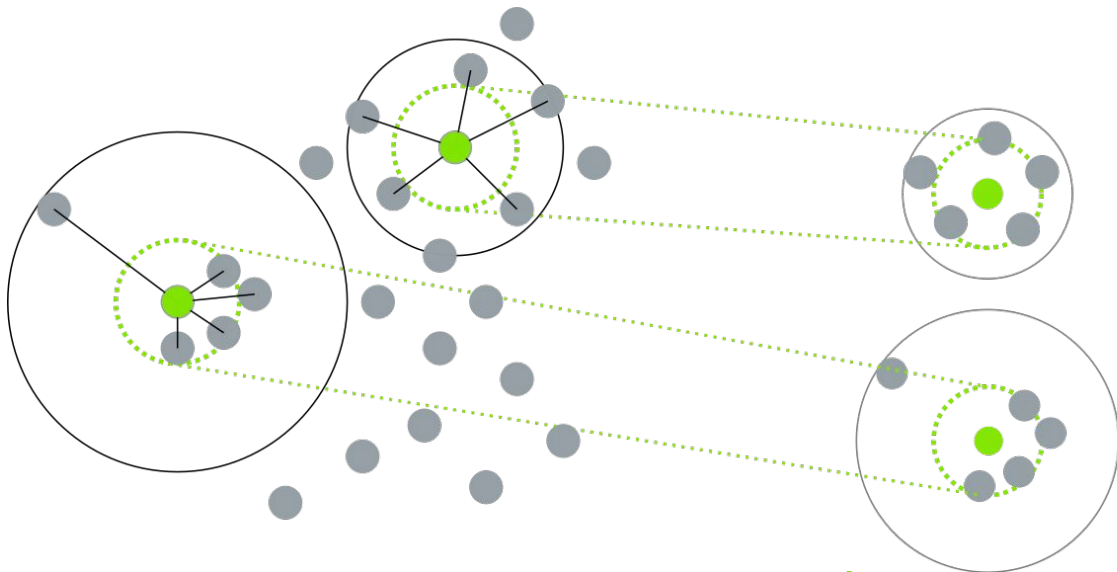
- Use **K-nearest neighbor** search (large scenes: usually faster than radius search)





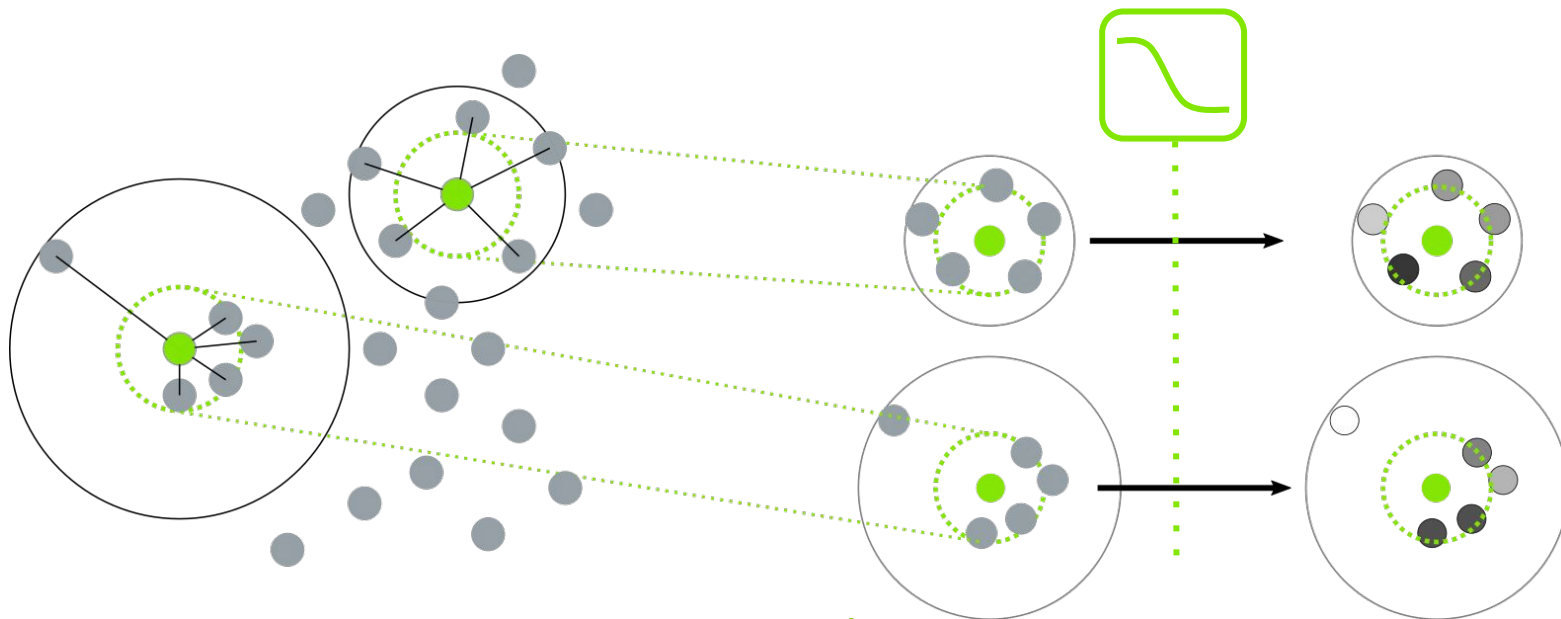
# Adaptive normalization of support point neighborhoods

- Use **K-nearest neighbors** search (large scenes: usually faster than radius search)
- Normalize using average neighborhood radius  $\Rightarrow$  **scale information preserved**



# Adaptive normalization of support point neighborhoods

- Use **K-nearest neighbors** search (large scenes: usually faster than radius search)
- Normalize using average neighborhood radius  $\Rightarrow$  **scale information preserved**
- Learn to **weight influence of outliers** according to distance to support point



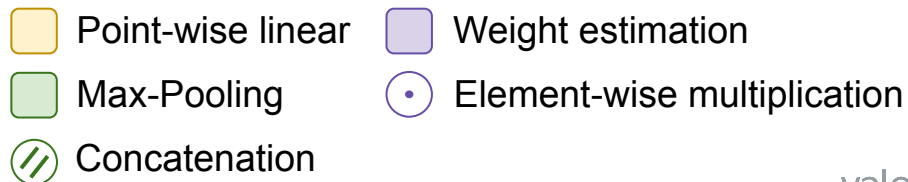
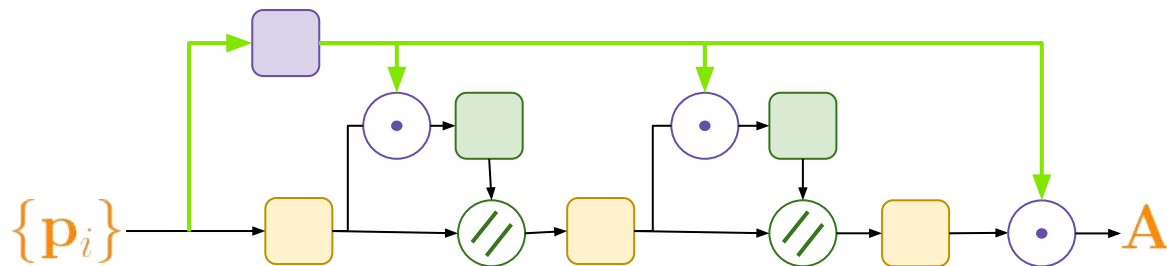
# Adaptive normalization of support point neighborhoods

Implicit formulation of the kernel location

Estimation of  $A$  using a point-wise MLP with context aggregation.

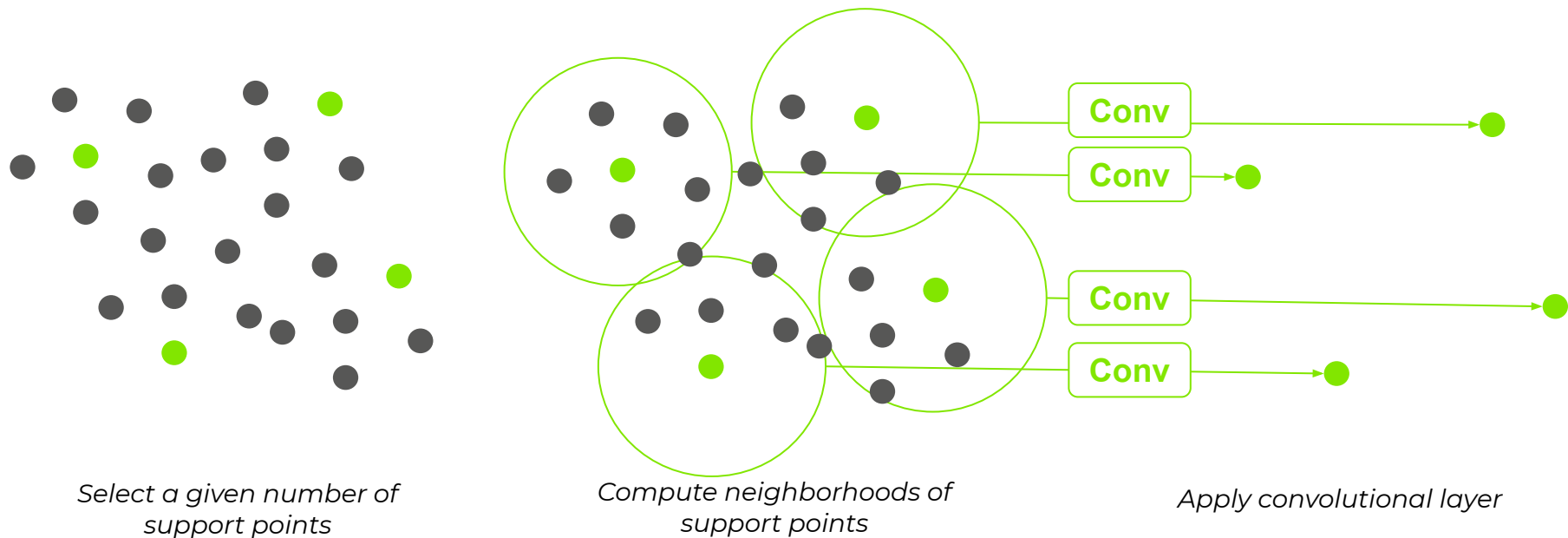
⇒ **invariance to point permutation**

⇒ **reduced influence of outliers**



# Quantized sampling

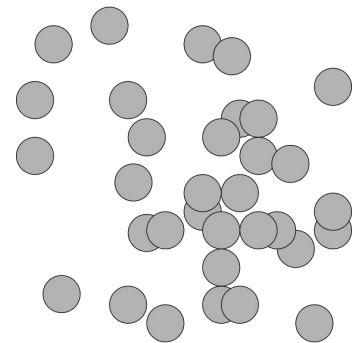
Reduction of point cloud size through the network (grid data: convolution with stride)



Common approach: **Furthest Point Sampling [35]** → slow (requires to maintain distance maps)

# Quantized sampling

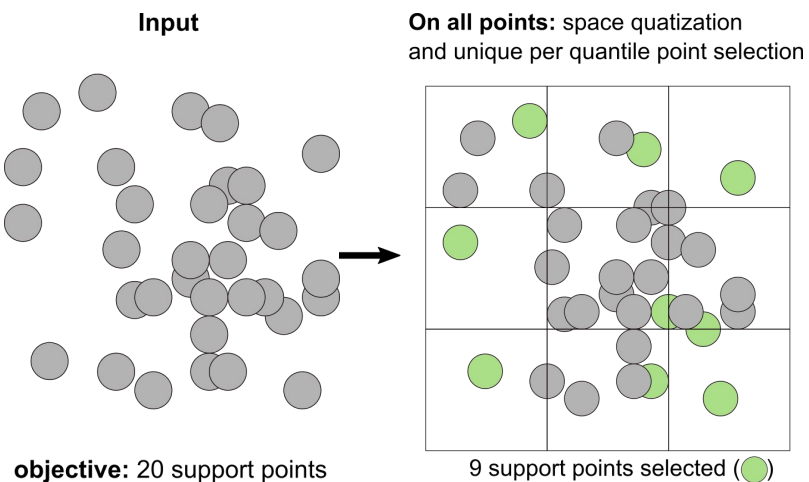
Input



**objective:** 20 support points

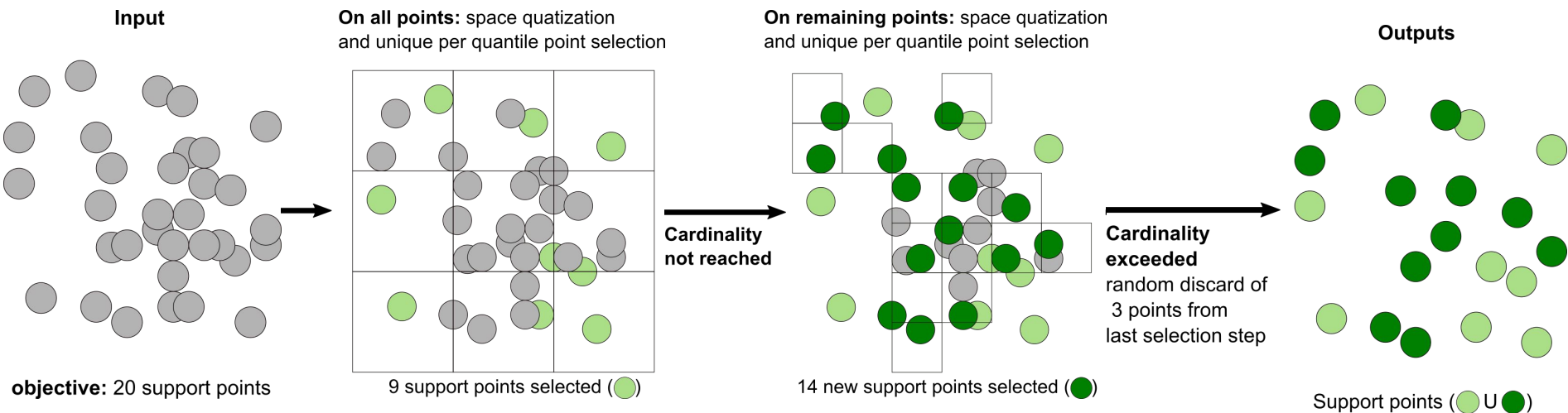
# Quantized sampling

1. Quantization of the space
2. Select one point in each voxel



# Quantized sampling

1. Quantization of the space
2. Select one point in each voxel
3. Reduce voxel size and iterate until the number of support points is reached





# Quantized sampling

Fast sampling  $\Rightarrow$  A good initial voxel size

**Objective:** get almost all support points at first iteration without over-voxelization

Voxel size is estimated at point-cloud level

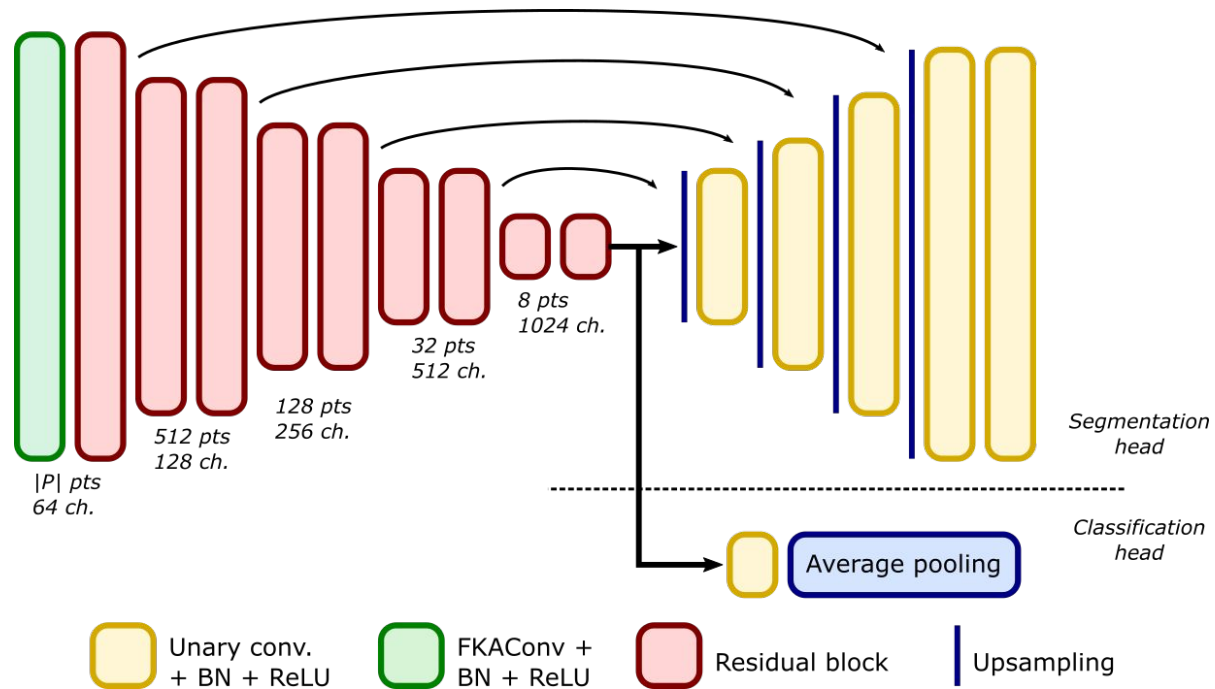
$$v = \text{diag} / \sqrt{|Q|}$$

Number of  
support points

Diagonal of  
bounding box

Model based on a simple case (planar surface) and validated on experimental data

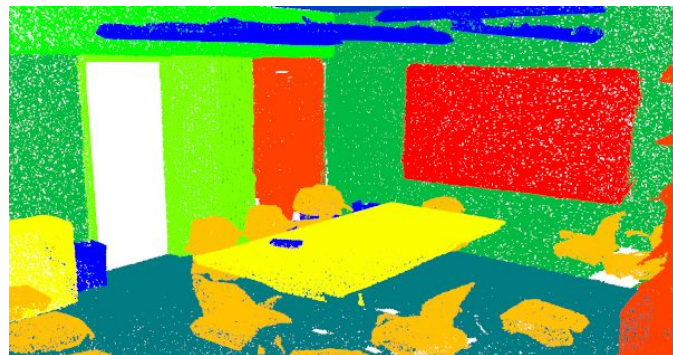
# Network



# Experimental results: S3DIS

- 2nd on S3DIS
  - 1st K-nn-based method
  - 1st on 3/15 categories

Method	Search	IoU
Pointnet [31]	Knn	47.6
RSNet [17]	-	56.5
PCCN [48]	-	58.3
SPGraph [20]	Super pt.	62.1
PointCNN [23]	Knn	65.4
PointWeb [56]	Knn	66.7
ShellNet [55]	Knn	66.8
ConvPoint [3]	Knn	68.2
KPConv [45]	Radius	<b>70.6</b>
FKAConv (Ours <i>fusion</i> )	Knn	68.4
Rank		2



# Experimental results: Semantic8

- 2nd on Semantic8
  - 1st on 3/8 classes

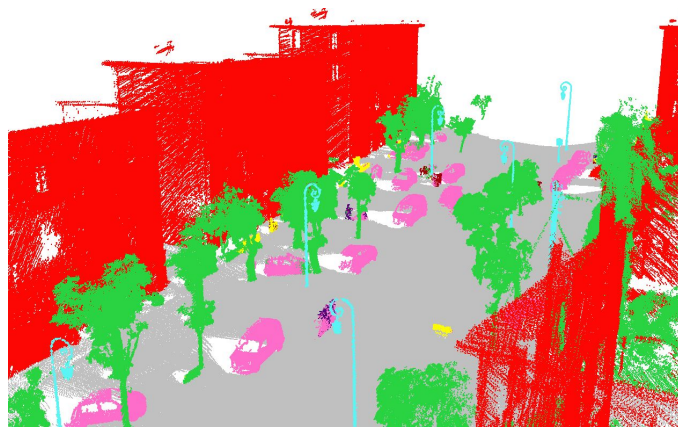
Method	Av. IoU	OA
TML-PC [30]	39.1	74.5
TMLC-MS [15]	49.4	85.0
PointNet++ [33]	63.1	85.7
EdgeConv [8]	64.4	89.6
SnapNet [4]	67.4	91.0
PointGCR [28]	69.5	92.1
FPCR [46]	72.0	90.6
SPGraph [20]	76.2	92.9
ConvPoint [3]	<b>76.5</b>	93.4
FKAConv* (ours fusion)	74.6	<b>94.1</b>
Rank	3	1



# Experimental results: NPM3D

- 1st on NPM3D
  - 1st on 7/9 classes

Method	Av.IoU
RF MSSF [44]	56.3
MS3 DVS [37]	66.9
HDGCN [25]	68.3
ConvPoint [3]	75.9
KPConv [45]	82.0
FKAConv (ours <i>fusion</i> )	<b>82.7</b>
Rank	1



# Conclusion

FKAConv: Feature-Kernel Alignment for Point Cloud Convolution

- A simple **formulation** of convolution for point cloud using an **alignment matrix**
- An **adaptive normalization** using an *average radius* and a learned *outlier filter*
- A **quantized sampling**: a fast and efficient point-cloud sampling

Code available at

<https://github.com/valeoai/FKAConv>

using LightConvPoint, a library for convolution on points (PyTorch):

<https://github.com/valeoai/LightConvPoint>