

# Nuages de Points et Modélisation 3D

6 - Machine learning III From convolution to transformers

#### valeo.a

#### Overview

Machine learning courses

- Surface reconstruction
- Descriptors and machine learning
- Image based processing
- Geometric deep learning
- Convolutional and Transformer based architectures
- Tasks and corresponding architectures

Today

ML course 4

### **Evaluation**



#### QCM on the course

- No document
- Mainly course questions



# I - Convolutions on points



# I - Convolutions on points

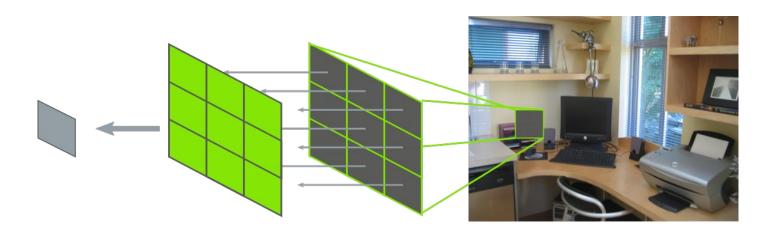
A - Convolution formulation



Convolution on images

#### Convolution for image processing

$$\mathbf{h}[n] = \sum_{f \in \{1,...,C\}} \sum_{m \in \{-M/2,...,M/2\}^d} \mathbf{K}_f[m] \, \mathbf{f}_f[n+m]$$

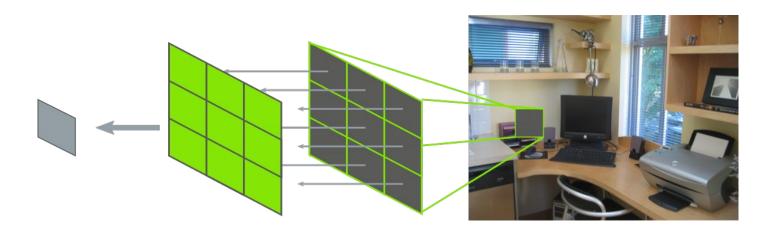




Convolution on images

Convolution for image processing

$$\mathbf{h}[n] = \sum_{f \in \{1, \dots, C\}} \mathbf{K}_f^\top \mathbf{f}_f(n)$$

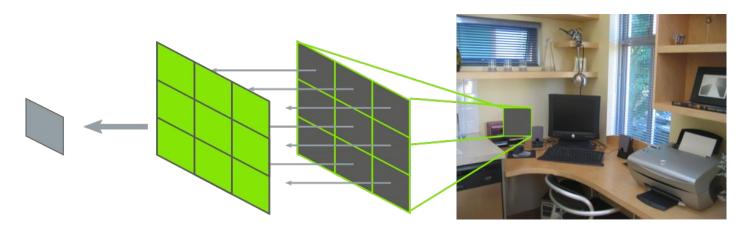




Convolution on images

#### Convolution for image processing

$$\mathbf{h}[n] = \sum_{f \in \{1,...,C\}} \mathbf{K}_f^{\top} \mathbf{f}_f(n)$$
Kernel space Feature space



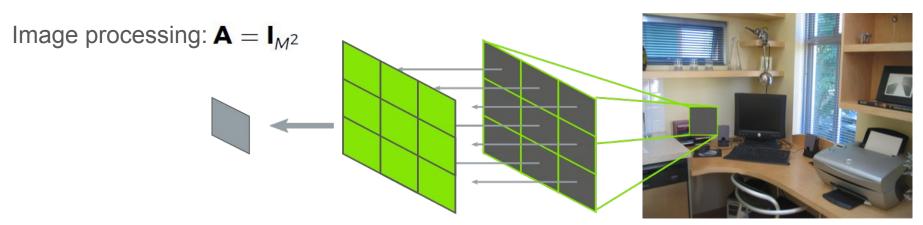


Convolution on images

Convolution for image processing

$$\mathbf{h}[n] = \sum_{f \in \{1,...,C\}} \mathbf{K}_f^{\top} \mathbf{A} \mathbf{f}_f(n)$$
Kernel space Feature space

With **A** the alignment matrix





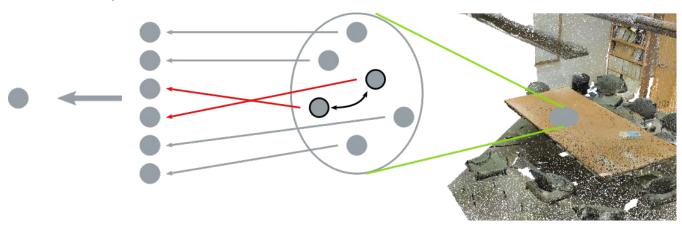
## Convolution for points

Convolution for points

Apply the same formula on a small set of points:

$$\mathbf{h}[n] = \sum_{f \in \{1,...,C\}} \mathbf{K}_f^{\top} \mathbf{A} \mathbf{f}_f(n)$$
Kernel space Feature space

Problem: **A** is not permutation invariant





## Convolution on points

Convolution on points

**A** must be estimated from the neighborhood N of n:

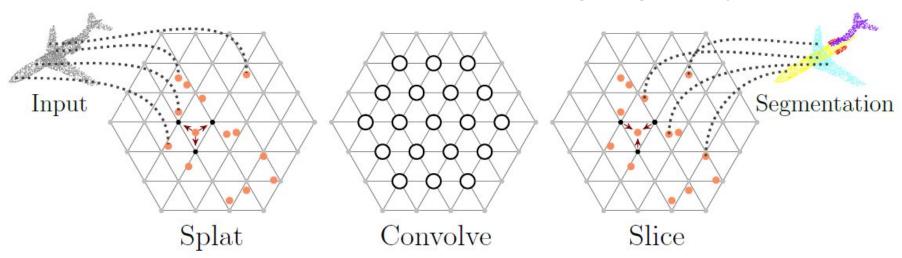
$$\mathbf{h}[n] = \sum_{f \in \{1, \dots, C\}} \mathbf{K}_f^{\top} \mathbf{A}(\mathcal{N}) \underbrace{\mathbf{f}_f(n)}_{\text{Feature space}}$$

## SplatNet



SplatNet

#### Estimation of A: Interpolation of the features on a regular grid (barycentric



#### **KPConv**

valeo.ai

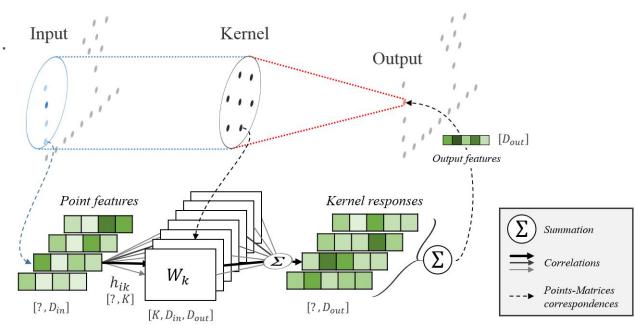
**KPConv** 

$$a_{i,j} = a(y_i, \hat{x}_j) = \max\left(0, 1 - \frac{\|y_i - \hat{x}_j\|}{\sigma}\right)$$

Estimation of A: Create kernel locations in space, weighted interpolation to all

kernel

location based on distance.



#### **ConvPoint**



ConvPoint

Estimation of A: Create kernel locations in space, weighted interpolation learned with MLP.

$$a_{i,j} = a(y_i, \hat{x}_j) = \mathsf{MLP}(y_i - \hat{x}_j)$$

Optimization of both MLP weights and kernel point positions.

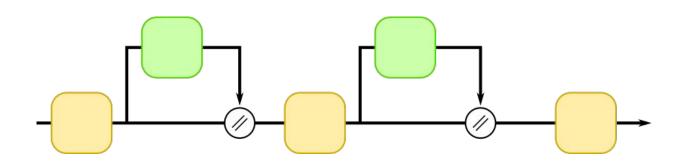


#### **FKAConv**

**FKAConv** 

**Estimation of A**: Direct estimation of A using a mini-PointNet.

$$a_{i,j} = a_i(\hat{x}_j) = \mathsf{MLP}_i(\hat{x}_j, \{\hat{x}_k\}_k) \approx \mathsf{PointNet}(\{\hat{x}_k\}_k)$$



## Neighborhood search



Neighborhood search

Convolution is a local operation.

- K-nearest neighbors search
- Ball search



## K-nearest neighbors search

K-nearest neighbors search

Let q be the support point (center of the neighborhood):

$$\operatorname{argtop-K}_{\mathbf{p}\in\mathcal{P}}\{-||\mathbf{p}-\mathbf{q}||\}$$

#### Pros:

- All neighborhoods have the same cardinal
- Relatively fast

#### Cons:

Neighborhoods scales vary

#### valeo.ai

#### Ball search

Ball search

Let q be the support point (center of the neighborhood):  $\{\mathbf{p} \in P, s.t. ||\mathbf{p} - \mathbf{q}|| < r\}$  with r the ball radius.

#### Pros:

All neighborhoods have the same scale

#### Cons:

- Neighborhoods cardinals (number of points) vary
- Usually slower than K-nn



# I - Convolutions on points

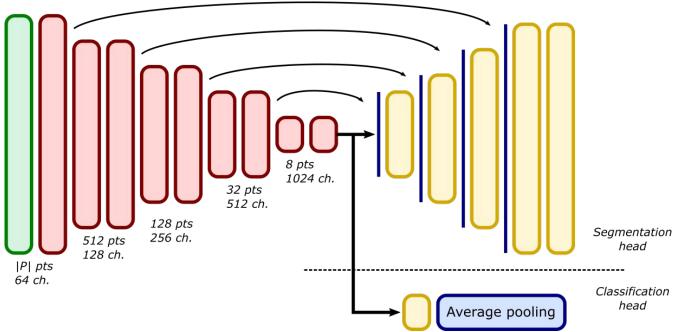
B - Sampling



## Progressive dimension reduction

Progressive dimension reduction

What is the equivalent of stride for convolution on points?



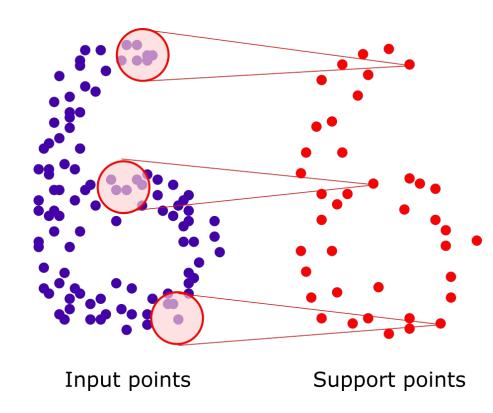


## Support point sampling

Support point sampling

Q (Support points), points used as neighborhood centers for the convolution operation.

Usually Q is a subset of P





## Random sampling

Random sampling

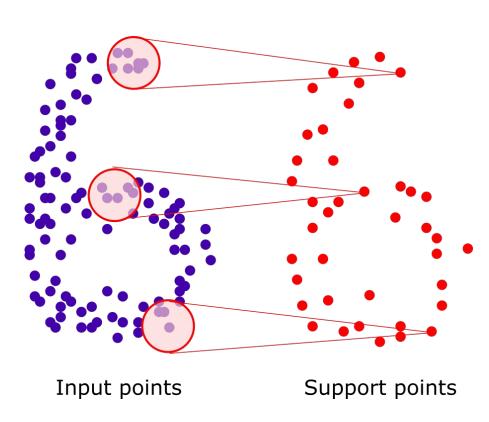
Uniform selection of the input points.

#### Pros:

simple and fast.

#### Cons:

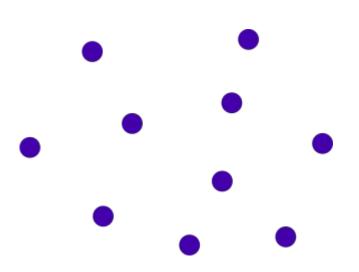
 loss of geometric information on area with low density or extreme points.





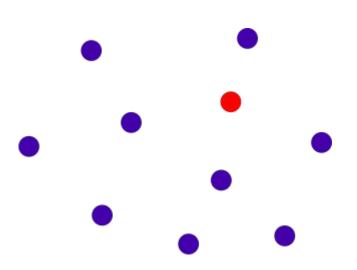
valeo.ai

Furthest point sampling



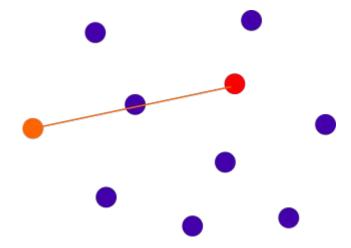
valeo.ai

Furthest point sampling



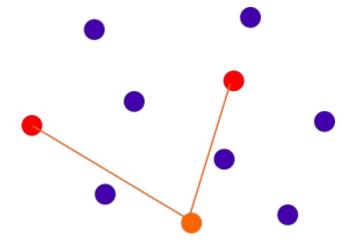
valeo.ai

Furthest point sampling



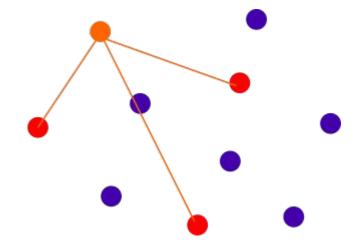


Furthest point sampling



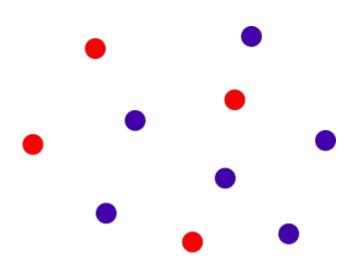


Furthest point sampling



valeo.ai

Furthest point sampling





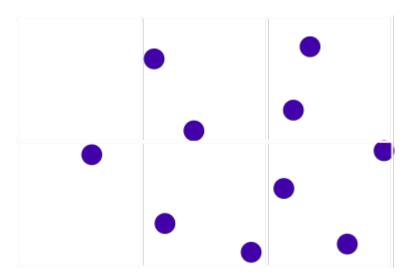
Voxel-grid sampling

Apply a voxel pooling: select a point in each voxel.

#### Pros:

fast

#### Cons:





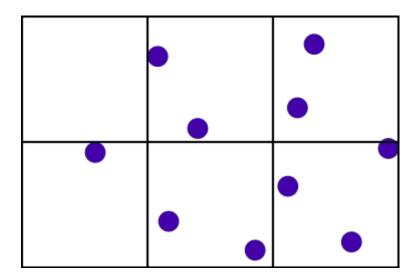
Voxel-grid sampling

Apply a voxel pooling: select a point in each voxel.

#### Pros:

fast

#### Cons:





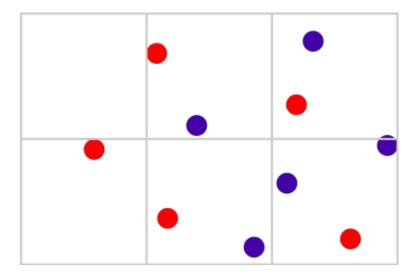
Voxel-grid sampling

Apply a voxel pooling: select a point in each voxel.

#### Pros:

fast

#### Cons:





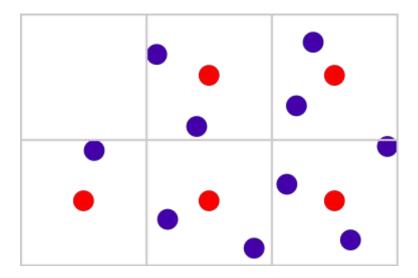
Voxel-grid sampling

Apply a voxel pooling: select a point in each voxel.

#### Pros:

fast

#### Cons:

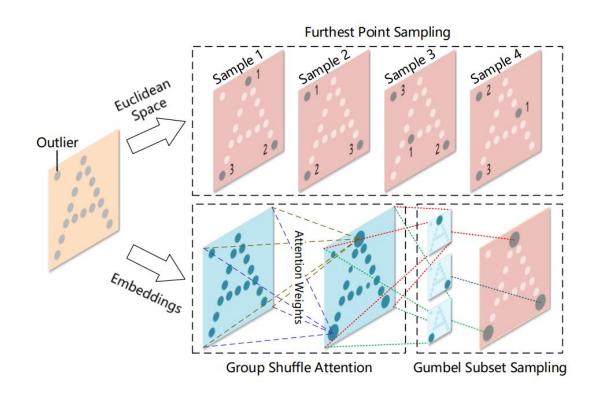


## Attention pooling

valeo.ai

Attention pooling

Learned attention on the points for outlier robustness.



# II - Voxels

## 3D grid convolution

II. Voxels

3D convolution for an grid patch centered on n:

$$\mathbf{h}[n] = \sum_{f \in \{1,...,C\}} \sum_{m \in \{-M/2,...,M/2\}^3} \mathbf{K}_f[m] \, \mathbf{f}_f[n+m]$$

**f**: input features

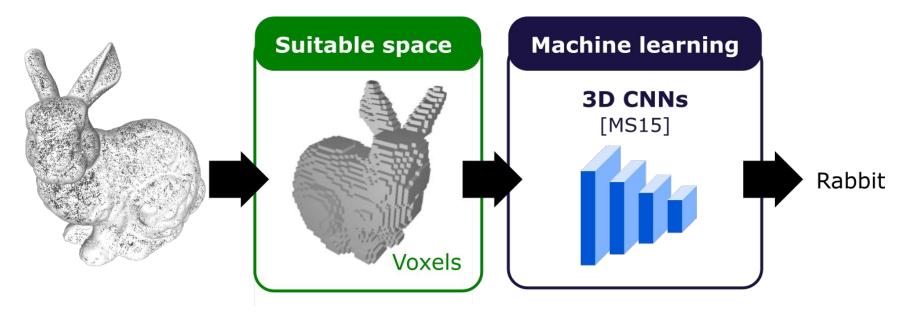
K: convolution kernel

How to represent the scene as a 3D grid?



## 3D projections (voxels)

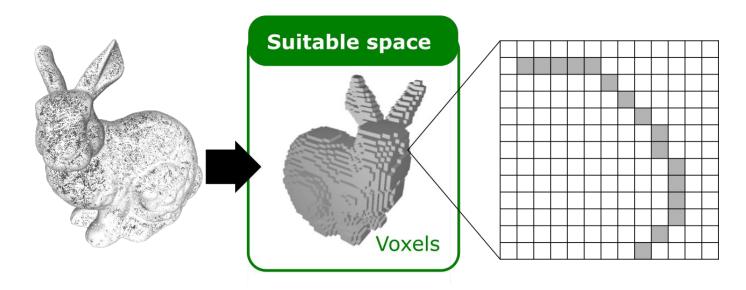
II. Voxels



### valeo.ai

# Memory

II. Voxels

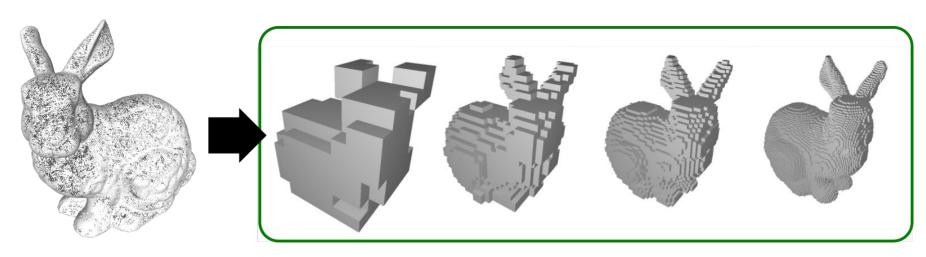


Point clouds sampled on surfaces are very sparse. We mostly encode empty voxels!



# Memory vs representation power

II. Voxels



Memory efficience vs information loss

### Are voxels doomed?

valeo.ai

II. Voxels

Voxels are OK for small scenes:

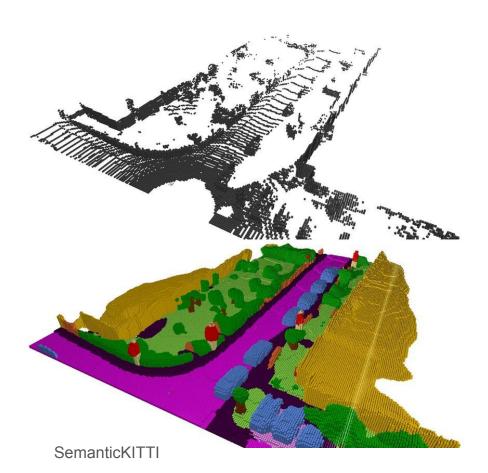
### Shapes:

 $\rightarrow$  32x32x32 = 32768 voxels

#### Scenes:

→ [100m,100m, 10m], vox 0.05: 800M voxels

While for a lidar point cloud only ~150k voxels are filled (0.02%)

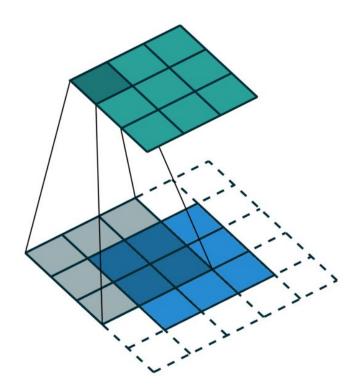


valeo.ai

## Idea?

II. Voxels

Look at the functioning of the convolution for dense input





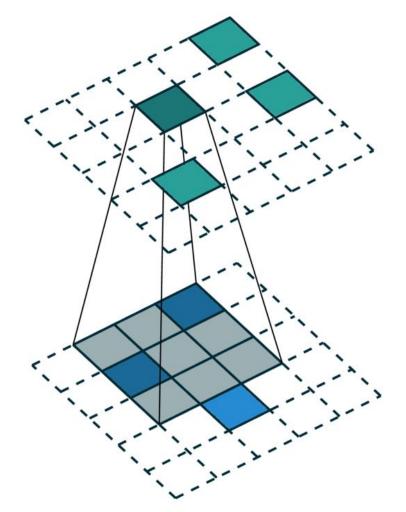
## Idea?

II. Voxels

Look at the functioning of the convolution for dense input

Mimic the behavior only at point location

→ sparse convolution



# Sparse convolutions

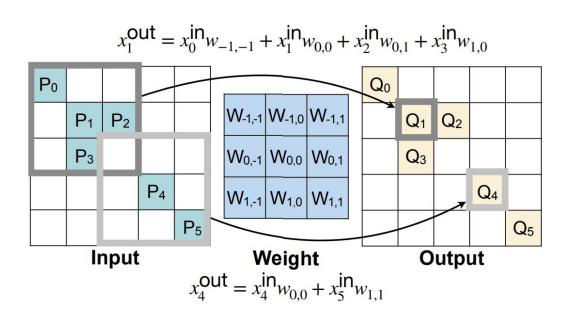


II. Voxels

Look at the functioning of the convolution for dense input

Mimic the behavior only at point location

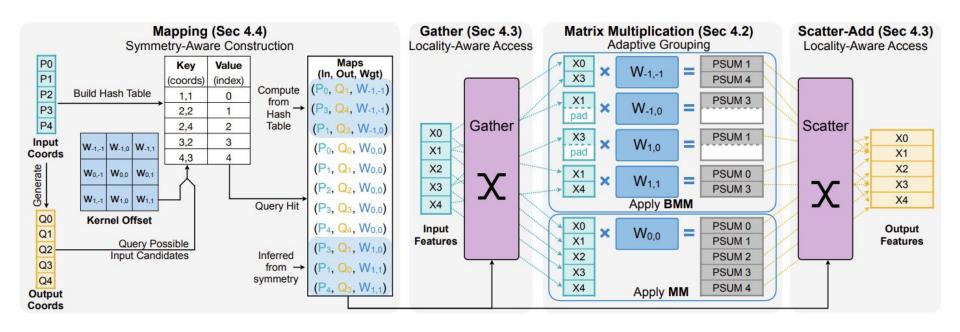
→ sparse convolution



## Sparse convolutions



II. Voxels





# Alternative: sparse convolutions

II. Voxels

Use sparse convolution for memory saving: do not code the empty cells.

- Minkowski engine (NVidia)
- SparseConvNet (Facebook)
- Torchsparse
- Spconv

Drawback: slower than dense convolution, extensive use of CPUs.

Only available for NVidia hardware



# III - Mixers and transformers



# III - Mixers and transformers

A - Mixers

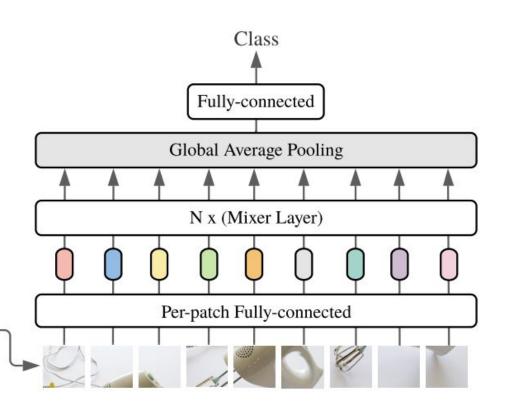


### **MLP-Mixer**

**III-A Mixers** 

### Image backbone

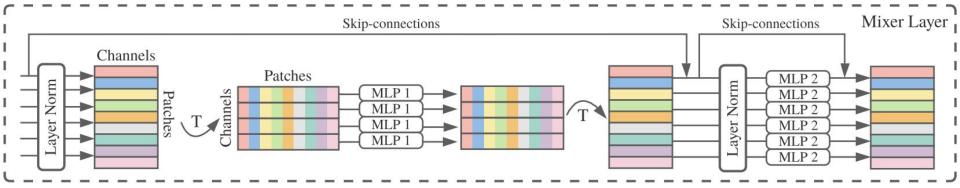
- Patchification
- Patch encoding (fully connected)
- N x Mixer Layer
- Global pooling
- Classification head



### **MLP-Mixer**

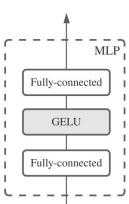


**III-A Mixers** 



#### Two sub-blocks:

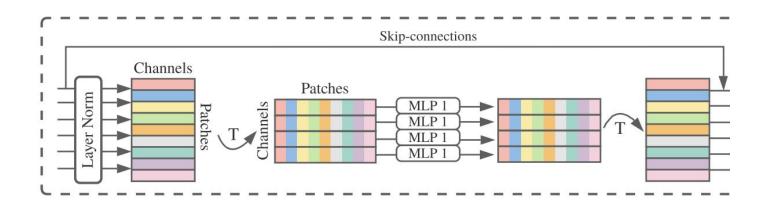
- Spatial Mixing: mixes the patch per channel
- Spectral Mixing: mixes the channels per patch



### **MLP-Mixer**

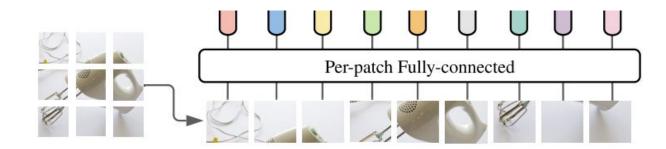


**III-A Mixers** 



Why does it work?

Patches are always in the same order





Incompatible with point clouds

### **PointMixer**



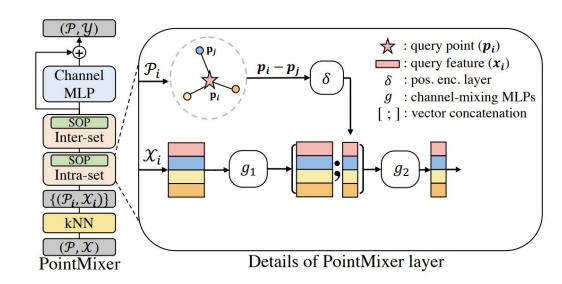
**III-A Mixers** 

Neighborhood:  $\mathcal{X}_i = \{\mathbf{x}_j\}$ 

1. Predict a score vector

$$\mathbf{s} = [s_1, ..., s_K] \ \mathbf{s} \in \mathbb{R}^K$$
 With

$$s_j = g_2\Big(\big[g_1(\mathbf{x}_j); \delta(\mathbf{p}_i - \mathbf{p}_j)\big]\Big)$$



### **PointMixer**



**III-A Mixers** 

Neighborhood:  $\mathcal{X}_i = \{\mathbf{x}_j\}$ 

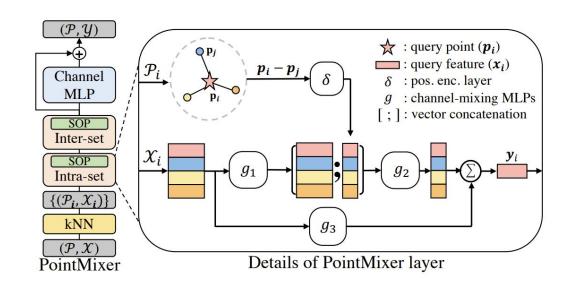
1. Predict a score vector

$$\mathbf{s} = [s_1, ..., s_K] \ \mathbf{s} \in \mathbb{R}^K$$
 With

$$s_j = g_2\Big(\big[g_1(\mathbf{x}_j); \delta(\mathbf{p}_i - \mathbf{p}_j)\big]\Big)$$

2. Use the scores to weight the features

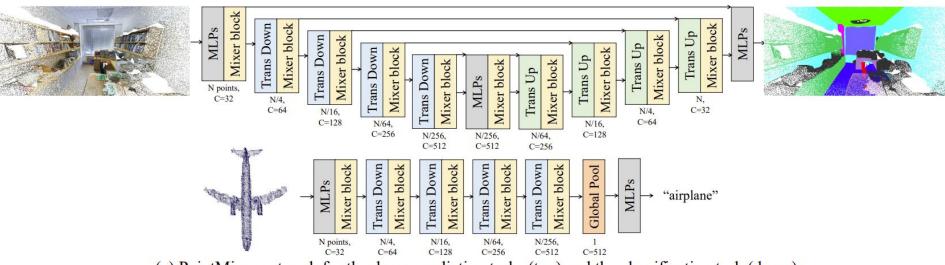
$$\mathbf{y}_i = \sum_{j \in \mathcal{M}_i} \operatorname{softmax}(s_j) \odot g_3(\mathbf{x}_j),$$



### **PointMixer**



**III-A Mixers** 

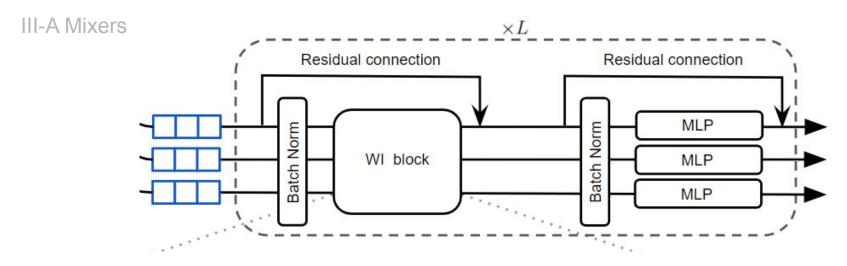


(a) PointMixer network for the dense prediction tasks (top) and the classification task (down).

**Architecture:** U-Net (closer to convolutional architectures than MLP-Mixers)

### Wafflelron





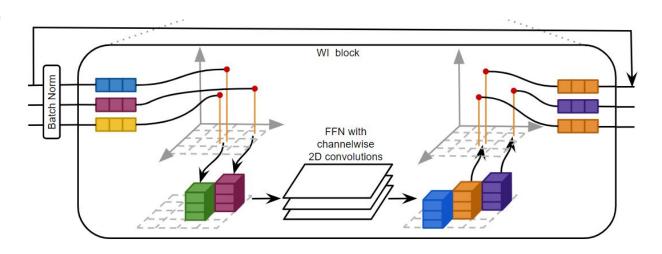
#### Architecture similar to MLP-Mixer:

- Spatial mixing (WI block)
- Channel mixing (MLP)

### Wafflelron



**III-A Mixers** 



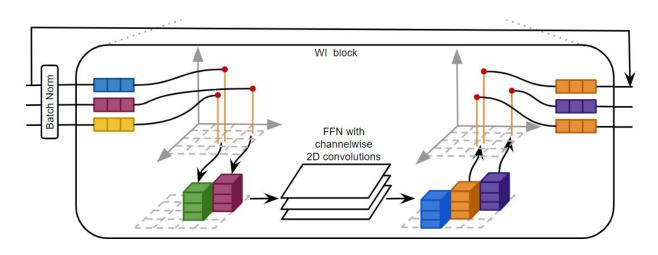
#### **Spatial mixing:**

- Project on a plane → makes it order invariant
- Apply convolutions
- Un-project to planes

### WaffleIron



**III-A Mixers** 



### Advantage:

Do not rely on SparseConv → can be used on any hardware / any deep learning framework



# III - Mixers and transformers

B - Transformers

### **Transformers**



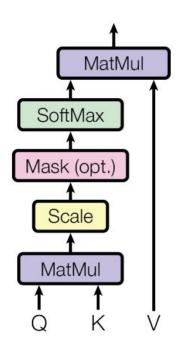
**III-B Transformers** 

#### Attention as defined for transformers:

- Base block of all recent architectures (LLMs, VLM, ViTs...)
- Order invariant by design

→ Suitable for point clouds

#### Scaled Dot-Product Attention



### **Transformers**

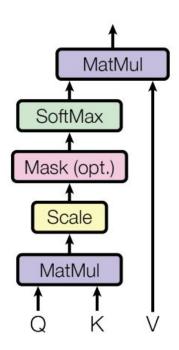


**III-B Transformers** 

#### **Difficulties**

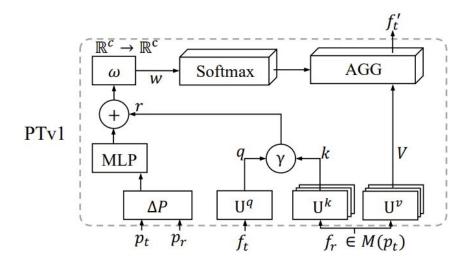
- Attention scales quadratically in memory (naive implementation)
  - → Efficient attention, linear depending on the number or queries / keys / values
- Point clouds are large
  - → attention matrix resolution may be under the float precision

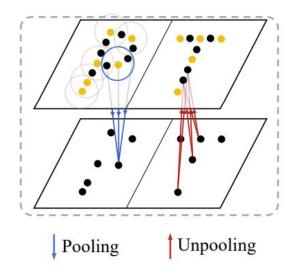
#### Scaled Dot-Product Attention



### PointTransformer v1

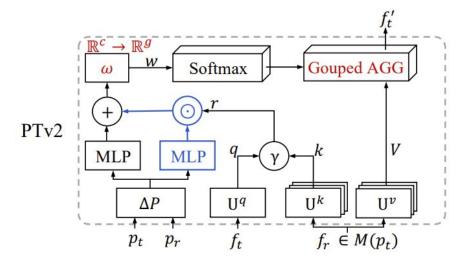


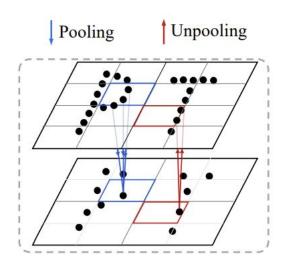




### PointTransformer v2







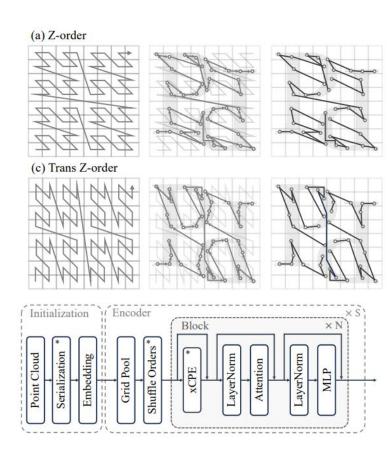
### PointTransformer v3



**U-Net architectures** 

Neighborhood defined by space filling curves

Attention on multiple scales



# Conclusion

### Conclusion



#### Efficient architectures

- MinkUNet (for everything)
- PTv3 (flexible, sometimes hard to train)
- Waffelron (outdoor lidar)

#### Practical sessions

WaffleIron for part segmentation