Analysis of Algorithms

Homework 6 – P vs NP

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Question 1

Part A

We are to prove that if the languages $\mathcal{L}_1$ and $\mathcal{L}_2$ are in P, meaning that there automata that can tell us whether a word is in the language or not in polynomial time ($O(n * k)$ for some constant k), then $\mathcal{L}_1 \cup \mathcal{L}_2 \in P$.

Since $\mathcal{L}_1$ and $\mathcal{L}_2$ can be decided in polynomial time, their union can also, as explained in Part B.

Part B

We are told that the languages $\mathcal{L}_1, \mathcal{L}_2$ can be decided in polynomial time using algorithms A_1, A_2 respectively, with running times $O(n^{k_1}), O(n^{k_2})$. To decide their union, one would have to decide each one individually, and decide their union based on their logical disjunction. Thus the complexity of deciding their union is $O(n^{k_1} + n^{k_2})$, or $O(n^{\max(k_1,k_2)})$, which is polynomial.

Question 2

Part A

We must prove that if $\mathcal{L} \in P$ then $\forall k \in \mathbb{N}, \mathcal{L}^k \in P$. Meaning that for any constant k, we can decide the concatenation of the language to itself k times, in polynomial time.

We will use a lemma which states that if $\mathcal{L}_1, \mathcal{L}_2 \in P$, then $\mathcal{L}_1\mathcal{L}_2 \in P$. (Proof omitted.)

We will prove this by induction.

For $k = 0, \mathcal{L}^k = \mathcal{L}^0 = \{\varepsilon\} \in P$.

Assume that for $k = n, \mathcal{L}^k = \mathcal{L}^n \in P$.

Then for $k = n + 1, \mathcal{L}^k = \mathcal{L}^{n+1} = \mathcal{L}^n\mathcal{L}$. However we know that both $\wedge$ and $\mathcal{L}^n$ are in P, so using our lemma, their concatenation, $\mathcal{L}^{n+1}$ must be in P.

Part B

Given that algorithm A_1 decides $\mathcal{L}$ in $O(n^c)$ time, we are to find the complexity of an algorithm A_2 which decides $\mathcal{L}^k$ for some constant k.

To decide $\mathcal{L}^2$, the complexity would be $O((n^c)^2)$, or $O(n^{2c})$. This is because after each character, we must check if the remainder of the input is also in $\mathcal{L}$. So if we repeat this process k times, the algorithm results in a complexity of $O(n^{kc})$.

Part C

Assuming $\mathcal{L} \in P$, and is decidable in $O(n^c)$, we are to suggest an algorithm that decides $\mathcal{L}^*$ in polynomial time. We will use a dynamic programming approach to solve this. If $w = w_1w_2 \dots w_n$ is a word, we shall denote by $w_{i,j}$ (when $i \leq j$) the substring of w which is $w_iw_{i+1} \dots w_j$.

We can decide that $w \in \mathcal{L}^*$ if and only if at least one of the following hold true.

- $w = \varepsilon$
- $w \in \mathcal{L}$
- $\exists uv = w, \text{such that} u \in \mathcal{L}^* \wedge v \in \mathcal{L}^*$

Using this we can compose the following algorithm.

```
function KleeneInP(L, w)
    if w = ε then return True
    if w ∈ L then return True
    for i from 1 to |w| do
        if KleeneInP(L, w_{1,i}) ∧ KleeneInP(L, w_{i+1,|w|}) then
            return True
    return False
```

Assuming all results are stored in a table and are only computed once, there are $\frac{n^2}{2}$ different substrings $w_{i,j}$ for which the function is called. And each of those calls it checks if the substring it received is in $\mathcal{L}$, which takes $O(n^c)$ time. Thus the time complexity of this algorithm is at most $O(n^2 \cdot n^c) = O(n^{2c})$.

Question 3

Part A

We are to prove the transitivity of the relation $\leq_p$, which is the relation between two languages which indicates if the first language can be reduced to the second language in polynomial time.

If $\mathcal{L}_1 \leq_p \mathcal{L}_2$ then there exists some function $f : \Sigma^* \to \Sigma^*$ which can be computed in polynomial time such that $w \in \mathcal{L}_1 \Leftrightarrow f(w) \in \mathcal{L}_2$.

Similarly, if $\mathcal{L}_2 \leq_p \mathcal{L}_3$ then there exists some function $g : \Sigma^* \to \Sigma^*$ which can be computed in polynomial time such that $f(w) \in \mathcal{L}_2 \Leftrightarrow g(f(w)) \in \mathcal{L}_3$.

Thus there exists a function $h = g \circ f$ such that $w \in \mathcal{L}_1 \Leftrightarrow h(w) \in \mathcal{L}_3$. And since f and g are both polynomial, h, which takes the sum of the run times of f and g to run (as explained in part B), will also be polynomial. Therefore $\mathcal{L}_1 \leq_p \mathcal{L}_3$, so $\leq_p$ is transitive.

Part B

We seek the running time of a reduction from $\mathcal{L}_1$ to $\mathcal{L}_3$ given that there is a reduction f from $\mathcal{L}_1$ to $\mathcal{L}_2$ that takes $O(n^a)$ time and a reduction g from $\mathcal{L}_2$ to $\mathcal{L}_3$ that takes $O(n^b)$ time.

In order to reduce from $\mathcal{L}_1$ to $\mathcal{L}_3$, we must first for an input string w, $f(w)$. Then we apply g to the output of the first reduction; in other words we calculate $g(f(w))$.

This involves applying each of the reductions f and g once. So the running time would be $O(n^a + n^b) = O(n^{\max(a,b)})$, which is polynomial.

Question 4

We are to prove or refute each of the following propositions.

a. If $\mathcal{L}_1, \mathcal{L}_2 \in NPC$, then $\mathcal{L}_1 \leq_p \mathcal{L}_2$ and $\mathcal{L}_2 \leq_p \mathcal{L}_1$.

   This is true by definition of *NP*-complete. Without loss of generality, if $\mathcal{L}_1 \in NPC$ then $\forall \mathcal{L} \in NP, \mathcal{L} \leq_p \mathcal{L}_1$. And since $\mathcal{L}_2$ is also in NPC, $\mathcal{L}_2 \in NP$, so $\mathcal{L}_2 \leq_p \mathcal{L}_1$.

b. If $\mathcal{L}_1, \mathcal{L}_2 \in P$, then $\mathcal{L}_1 \leq_p \mathcal{L}_2$ and $\mathcal{L}_2 \leq_p \mathcal{L}_1$.

   This is false, and can be shown to be as such by a counter example. Suppose $\mathcal{L}_2 = \phi$. Choose any $w \in \mathcal{L}_1$. It cannot be true for any $f : \Sigma^* \to \Sigma^*$ that $f(w) \in \mathcal{L}_2$, since $\mathcal{L}_2$ is empty. Thus there cannot be any language that reduces to $\mathcal{L}_2$.

c. If $\mathcal{L}_1 \in NP$ and $\mathcal{L}_2$ is NP-hard, then $\mathcal{L}_2 \leq_p \mathcal{L}_1$.

This proposition must be false. Since $\mathcal{L}_1 \in NP$, there must be a polynomial algorithm which given a solution, verifies that it is correct. So if $\mathcal{L}_2 \leq_p \mathcal{L}_1$, then in polynomial time we can convert a solution to the problem represented by $\mathcal{L}_2$ to an solution to the problem represented by $\mathcal{L}_1$. Then we can verify that in polynomial time, and if it is verified for $\mathcal{L}_1$, then the original solution would be verified for $\mathcal{L}_2$, so $\mathcal{L}_2 \in NP$, so it cannot be NP-hard.

d. If $\mathcal{L} \in NP$, then $\overline{\mathcal{L}} \leq_p$ Tautology (where Tautology is the problem of determining whether a given Boolean formula is a tautology, which is co-NP-complete).

By definition of co-NP, if $\mathcal{L} \in NP$, then $\overline{\mathcal{L}} \in$ co-NP. And since Tautology is co-NP-complete, every language in co-NP must be reducible to it. So $\overline{\mathcal{L}} \leq_p$ Tautology.

e. If $\mathcal{L}_1$ is NP-hard and $\mathcal{L}_2 \in NP$, then $\mathcal{L}_1 \cap \mathcal{L}_2 \in NP$.

We will disprove this claim by a counter-example. Suppose $\mathcal{L}_2 = \Sigma^*$. Therefore $\mathcal{L}_1 \cap \mathcal{L}_2 = \mathcal{L}_1 \in NP$. This contradicts the fact that $\mathcal{L}_1$ is NP-hard. So $\mathcal{L}_1 \cap \mathcal{L}_2 \notin NP$.