

Homework 2

in notes

N1.1 } Node that I've used M. Collins notation presented
on his website cs.columbia.edu/~mcollins

Transition probabilities

$$\begin{array}{ll} q(N|\$) = 0.5 & q(N|N) = 0.3 \\ q(A|\$) = 0.5 & q(V|N) = 0.5 \\ q(A|A) = 0.4 & q(N|V) = 0.5 \\ q(\$|N) = 0.2 & q(N|A) = 0.6 \end{array}$$

start/end symbol

Viterbi excerpt

...

For $k = 1 \dots n$

For $v \in K^k$

$$\pi(k, v) = \max_{w \in K^{k-1}} (\pi(k-1, w) \times q(v|w) \times e(x_k|v))$$

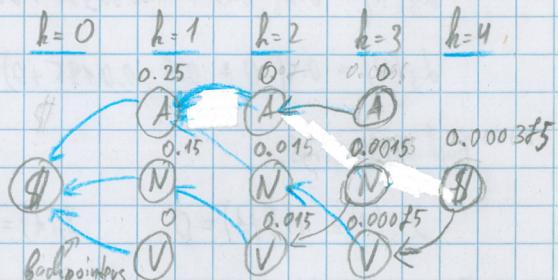
$$bp(k, v) = \arg \max_{w \in K^{k-1}} \pi(k-1, w) \times q(v|w) \times e(x_k|v)$$

Emission probabilities

$$\begin{array}{lll} e(friendly|A) = 0.5 & e(fights|A) = 0 & e(stard|A) = 0.2 \\ e(friendly|N) = 0.3 & e(fights|N) = 0.1 & e(stard|N) = 0.2 \\ e(friendly|V) = 0.2 & e(fights|V) = 0.2 & e(stard|V) = 0.1 \end{array}$$

Calculations

$$\begin{aligned} \textcircled{1} \quad \pi(1, A) &= \pi(0, \$) \cdot q(A|\$) \cdot e(friendly|A) = 1 \times 0.5 \times 0.5 = 0.25 \\ \pi(1, N) &= 1 \times 0.5 \times 0.3 = 0.15 \\ \pi(1, V) &= 1 \times 0 \times 0.2 = 0 \end{aligned}$$



$$\begin{aligned} \textcircled{2} \quad \pi(2, A) &= \max(\pi(1, A) \times q(A|A) \times e(fight|A), \\ &\quad \pi(1, N) \times q(A|N) \times e(fight|A), \\ &\quad \pi(1, V) \times q(A|V) \times e(fight|A)) = \max(0, 0, 0) = 0.02 \end{aligned}$$

$$\pi(2, N) = \max(0.25 \times 0.6 \times 0.1, 0.15 \times 0.3 \times 0.1, 0) = \max(0.015, 0.0045, 0) = 0.015$$

$$\pi(2, V) = \max(0, 0.15 \times 0.5 \times 0.2, 0) = 0.015$$

$$\textcircled{3} \quad \pi(3, A) = \max(0, 0, 0) = 0, 0, 0 = 0.0195$$

$$\pi(3, N) = \max(0.015 \times 0.6 \times 0.2, 0.015 \times 0.3 \times 0.2, 0.015 \times 0.5 \times 0.2) = \max(0.0, 0.0009, 0.0015) = 0.0015$$

$$\pi(3, V) = \max(0, 0 \times 0 \times 0.1, 0.015 \times 0.5 \times 0.1) = 0.00075$$

$$\textcircled{4} \quad y_3 = \arg \max_{v \in K^3} (\pi(2, v) \times q(\$|v)) = \arg \max_v (0.0195 \times 0, 0.0015 \times 0.2, 0.00075 \times 0.5) = \arg \max(0, 0, 0.00375) = \$$$

$$= \arg \max_v (0, 0.0003, 0.000375) = V$$

$y_2 = N$ ← obtained from back pointers

$y_1 = A$

Probability of the sequence $\langle A, N, V \rangle$ is: 0.000375

N1.2

Forward prob.

$$d_1(A) = p(x_1, s_1=A) = q(A|A) e(\text{friendly}|A) = 0.5 \times 0.5 = 0.25$$

$$\textcircled{1} d_1(N) = q(N|A) e(\text{friendly}|N) = 0.15$$

$$d_1(V) = 0$$

set of states

$$d_2(A) = \sum_{j=1}^3 e(\text{fights}|A) p(A|S_j) \quad d_2(j) = \textcircled{2} (0.4 \cdot 0.25 + 0 + 0) = 0.2$$

$$\textcircled{2} d_2(N) = 0.1(0.15 + 0.045) = 0.0195$$

$$d_2(V) = 0.2(0 + 0.5 \cdot 0.15 + 0) = 0.015$$

t=0 t=1 t=2 t=3 t=4

$$\begin{array}{lll} d=0.25 & d=0.2 & d=0 \\ \textcircled{A} & \textcircled{A} & \textcircled{A} \\ B=0.00222 & B=0.024 & B=0 \end{array}$$

$$\begin{array}{lll} d=0.15 & d=0.015 & 0.00267 \\ \textcircled{N} & \textcircled{N} & \textcircled{N} \\ B=0.00311 & B=0.037 & B=0.2 \end{array}$$

$$\begin{array}{lll} d=0 & d=0.015 & 0.000525 \\ \textcircled{V} & \textcircled{V} & \textcircled{V} \\ B=0.00185 & B=0.02 & B=0.5 \end{array}$$

friendly fights start

Backward prob.

$$B_3(A) = q(\$|A) = 0 \quad B_3(A) = \sum_{j=1}^M B_3(j) q(S_j|A) e(x_3|S_j) = 0 + 0.2 \cdot 0.6 \cdot 0.2 + 0.5 \cdot 0 = 0.024$$

$$\textcircled{1} B_3(N) = 0.2$$

$$\textcircled{2} B_3(N) = 0 + 0.2 \cdot 0.3 \cdot 0.2 + 0.5 \cdot 0.5 \cdot 0.1 = 0.012 + 0.025 = 0.037$$

$$B_3(V) = 0.5$$

$$B_3(V) = 0 + 0.2 \cdot 0.5 \cdot 0.2 + 0 = 0.02$$

$$\textcircled{3} B_3(A) = 0.024 \cdot 0.4 \cdot \textcircled{1} + 0.037 \cdot 0.6 \cdot 0.1 + 0.02 \cdot 0 = 0.00222$$

$$B_3(N) = 0.024 \cdot 0 + 0.037 \cdot 0.3 \cdot 0.1 + 0.02 \cdot 0.5 \cdot 0.2 = 0.00111 + 0.002 = 0.00311$$

$$B_3(V) = 0.024 \cdot 0 + 0.037 \cdot 0.5 \cdot 0.1 + 0 = 0.00185$$

Cheap way to test the correctness of computations: $P(O) = \sum_{i=1}^M d_n(i) q(\$|i) = \sum_{i=1}^M q(i|\$) e(o_n|i) B_1(i)$

$$L: 0.0195 \cdot \textcircled{1} + 0.00267 \cdot 0.2 + 0.000985 \cdot 0.5 = 0.0010215$$

$$B_1(i)$$

$$B: 0.00222 \cdot 0.5 \cdot 0.5 + 0.00311 \cdot 0.5 \cdot 0.3 + 0 = 0.0010215$$

N1.3

$$1) p(s_t=j|x_1, \dots, x_n) = \frac{p(x_1:n, s_t=j)}{p(x_1:n)} = \frac{p(x_{1:t}, x_{t+1:n}, s_t=j)}{\sum_{j'} p(x_{1:t}, x_{t+1:n}, s_t=j')} = \frac{p(x_{1:t}, s_t=j) p(x_{t+1:n}|s_t=j)}{\sum_{j'} p(x_{1:t}, s_t=j') p(x_{t+1:n}|s_t=j')}$$

$\frac{\partial f(j)}{\sum_{j'} \partial f(j')} B_1(j)$ ← formal explanation of how forward/backward prob. are used.

$$1) p(s_3=N|x_1:n) = \frac{0.00267 \cdot 0.2}{0.0195 + 0.00267 \cdot 0.2 + 0.000985 \cdot 0.5} = \frac{0.000534}{0.0010215} = 0.52276065$$

NAP will choose N.

N 1.3 (continued)

$$2) p(S_3 = V | X_1:n) = \frac{0.0004875}{0.0010215} = 0.472335$$

$$3) p(S_3 = A | X_1:n) = 0$$

N 1.4

Viterbi bases decisions of next states on maximum estimate and considers only one state that has maximum probability. It completely ignores the fact that there might be many states that point to the state N to be the next one.

On the other hand MAP takes into account all possible paths and not only the most likely maximum likelihood to be the path of one.

It's easy to see why MAP indicates that t=3 tag is N based on transitions. I.e. we have transitions to the tag N from A, V, N while only one transition probability from N to V . Note that "isard" has very similar emission from both tags, and choice based on emission is not affected much.

N 1.5

In case of Viterbi we decode (select) the most likely sequence of tags i.e. a path. While in MAP we maximize the probability of a concrete tag given observations, by considering all paths that lead to the tag.

Therefore, if we want to have max per word accuracy we should choose MAP, and Viterbi if we want to predict the whole sequence correctly.

N 2

$$(1,1) \beta_{NP}(1,1) = p(NP \rightarrow N) p(N \rightarrow friendly) = 0.2 \times 0.3 = 0.06$$

$$\beta_S(1,1) = p(S \rightarrow NP) \times \beta_{NP}(1,1) = 0.5 \times 0.06 = 0.03$$

$$(2,2) \beta_{NP}(2,2) = p(NP \rightarrow N) p(N \rightarrow fight|s) = 0.2 \times 0.1 = 0.02$$

$$\beta_{VP}(2,2) = p(VP \rightarrow V) p(V \rightarrow fight|s) = 0.6 \times 0.2 = 0.12$$

$$\beta_S(2,2) = p(S \rightarrow NP) \times \beta_{NP}(2,2) = 0.5 \times 0.02 = 0.01$$

$$(3,3) \beta_{NP}(3,3) = p(NP \rightarrow N) p(N \rightarrow start) = 0.2 \times 0.2 = 0.04$$

$$\beta_{VP}(3,3) = p(VP \rightarrow V) p(V \rightarrow start) = 0.6 \times 0.1 = 0.06$$

$$\beta_S(3,3) = p(S \rightarrow NP) \times \beta_{NP}(3,3) = 0.5 \times 0.04 = 0.02$$

$$(1,2) \beta_{NP}(1,2) = p(NP \rightarrow NNP) \times \beta_N(1,1) \times \beta_{NP}(2,2) + p(NP \rightarrow ANP) \times \beta_A(1,1) \times \beta_{NP}(2,2) = 0.5 \times 0.3 \times 0.02 + 0.3 \times 0.5 \times 0.02 \\ = 0.006$$

$$\beta_S(1,2) = p(S \rightarrow NP VP) \times \beta_{NP}(1,1) \times \beta_{VP}(2,2) = 0.5 \times 0.06 \times 0.12 = 0.0036$$

$$\textcircled{1,3} \quad \beta_{NP}(2,3) = p(NP \rightarrow N \ NP) \times \beta_N(2,2) \times \beta_{NP}(3,3) = 0.5 \times 0.1 \times 0.04 = 0.002$$

$$\beta_{VP}(2,3) = p(VP \rightarrow V \ NP) \times \beta_V(2,2) \times \beta_{NP}(3,3) = 0.4 \times 0.2 \times 0.04 = 0.0032$$

$$\beta_S(2,3) = p(S \rightarrow NP \ VP) \times \beta_{NP}(2,2) \times \beta_{VP}(3,3) = 0.5 \times 0.02 \times 0.06 = 0.0006$$

$$\textcircled{1,3} \quad \beta_S(1,3) = p(S \rightarrow NP \ VP) \beta_{NP}(1,1) \times \beta_{VP}(2,3) + p(S \rightarrow NP \ VP) \times \beta_{NP}(1,2) \times \beta_{VP}(3,3) + \\ + p(S \rightarrow NP) \beta_{NP}(1,3) = 0.5 \times 0.06 \times 0.0032 + 0.5 \times 0.06 \times 0.06 + 0.5 \times 0.0006 = 0.000576$$

1	$A: 0.5$ $N: 0.3$ $NP: 0.06$ $S: 0.03$	$NP: 0.06$ $S: 0.0036$	$S: 0.000576$
2	$N: 0.1$ $V: 0.2$ $NP: 0.02$ $VP: 0.12$	$NP: 0.002$ $VP: 0.0032$ $S: 0.0006$	
3	$S: 0.01$	$N: 0.2$ $V: 0.1$ $NP: 0.04$ $VP: 0.06$ $S: 0.02$	

$$P(\text{friendly, fights, start} | 6) = \beta_S(1,3) = 0.000576$$

N^3

Inside prob.

$$\textcircled{1,1} \quad \beta_N(1,1) = 0.1$$

$$\textcircled{2,3} \quad \beta_{VP[1]}(2,3) = p(VP[1] \rightarrow MV[1]) \beta_M(2,2) \beta_{VP[3]}(3,3) + p(VP[1] \rightarrow MV[2]) \\ \times \beta_M(2,2) \beta_{VP[2]}(3,3) = 0.00002 + 0.0002 = 0.00022$$

$$\textcircled{2,2} \quad \beta_{VP[2]}(2,3) = p(VP[2] \rightarrow MV[1]) \times \beta_M(2,2) \beta_{VP[3]}(3,3) + p(VP[2] \rightarrow MV[2]) \beta_M(2,2) \beta_{VP[2]}(3,3) = \\ = 0.00004 + 0.04 = 0.00404$$

$$\textcircled{1,3} \quad \beta_S(1,3) = 0.3(0.01 \times 0.00022 + 0) + 0.2(0.01 \times 0.00404 + 0) = 0.000,008.24.$$

Outside prob.

$$\textcircled{1,3} \quad \lambda_S(1,3) = 1$$

$$\lambda_{VP[1]}(2,3) = \lambda_S(1,3) \times \beta_{NP}(1,1) \times p(S \rightarrow NP \ VP[1]) = 1 \times 0.01 \times 0.3 = 0.003$$

$$\lambda_{VP[2]}(2,3) = \lambda_S(1,3) \times \beta_{NP}(1,1) \times p(S \rightarrow NP \ VP[2]) = 0.002$$

$$\textcircled{3,3} \quad \lambda_{VP[3]}(3,3) = \lambda_{VP[1]}(2,3) \beta_M(2,2) p(VP[3] \rightarrow MV[1]) + 0 + \lambda_{VP[2]}(2,3) \beta_M(2,2) \times p(VP[3] \rightarrow MV[2]) = \\ = 0.000,006 + 0.000,008 = 0.000,014$$

$$\lambda_{VC[3]}(3,3) = 0.003 \times 0.2 \times 0.01 + 0.002 \times 0.2 \times 0.2 = 0.000,086$$

N 8 (continued)

Expected counts (E-step):

$$\begin{aligned}
 1) E[C(S \rightarrow NP VP[1]) | T] &= \frac{\sum_{p=1}^3 \sum_{q=p+1}^3 d_S(p,q) P(S \rightarrow NP VP[1]) \sum_{d=p}^2 B_{NP}(p,d) B_{VP[1]}(d+1,q)}{B_S(1,3)} \\
 &= d_S(1,3) \times P(S \rightarrow NP VP[1]) (B_{NP}(1,1) \times B_{VP[1]}(2,3)) \cdot \frac{1}{B_S(1,3)} = \frac{0.000,000,66}{0.000,008,74} = 0.07551487 \\
 2) E[C(S \rightarrow NP VP[2]) | T] &= d_S(1,3) \times P(S \rightarrow NP VP[2]) (B_{NP}(1,1) \times B_{VP[2]}(2,3)) \cdot \frac{1}{B_S(1,3)} = 0.92448513 \\
 3) E[C(VP[1] \rightarrow MV[1]) | T] &= d_{VP[1]}(2,3) \times P(VP[1] \rightarrow MV[1]) \times B_M(2,2) \times B_{VP[1]}(3,3) \cdot \frac{1}{B_S(1,3)} \\
 &= \frac{0.000,000,06}{B_S(1,3)} = 0.006,864,99 \\
 4) E[C(VP[1] \rightarrow MV[2]) | T] &= 0.003 \times 0.01 \times 0.2 \times 0.1 \cdot \frac{1}{B_S(1,3)} = 0.068,6499 \\
 5) E[C(VP[2] \rightarrow MV[1]) | T] &= 0.002 \times 0.02 \times 0.2 \times 0.01 \cdot \frac{1}{B_S(1,3)} = 0.009,153,32 \\
 6) E[C(VP[2] \rightarrow MV[2]) | T] &= 0.002 \times 0.2 \times 0.2 \times 0.1 \cdot \frac{1}{B_S(1,3)} = 0.91533181 \\
 7) E[C(V[1] \rightarrow poison) | T] &= 0.000,014 \times 0.01 \cdot \frac{1}{B_S(1,3)} = 0.01601831 \\
 8) E[C(V[2] \rightarrow poison) | T] &= 0.000,006 \times 0.1 \cdot \frac{1}{B_S(1,3)} = 0.98398165
 \end{aligned}$$

Probabilities reestimation (M-step):

$$\begin{aligned}
 1) P(S \rightarrow NP VP[1] | T) &= \frac{E[C(S \rightarrow NP VP[1]) | T]}{E[C(S \rightarrow NP VP[1]) | T] + E[C(S \rightarrow NP VP[2]) | T]} = \\
 &= \frac{0.07551487}{1} = 0.07551487 \\
 2) P(S \rightarrow NP VP[2] | T) &= 0.92448513 \\
 3) P(VP[1] \rightarrow MV[1] | T) &= \frac{0.00686499}{0.07551487} \approx 0.091 \\
 4) P(VP[1] \rightarrow MV[2] | T) &\approx 0.909 \\
 5) P(VP[2] \rightarrow MV[1] | T) &= \frac{0.00915332}{0.92448513} \approx 0.01 \\
 6) P(VP[2] \rightarrow MV[2] | T) &\approx 0.99 \\
 7) P(V[1] \rightarrow poison | T) &= P(V[2] \rightarrow poison | T) = 1 \quad (\text{either it's a mistake in the diagram or those rules do have the same probs.})
 \end{aligned}$$