

## 2 **Collide and Conquer: Constraints on Simplified Dark** 3 **Matter Models using Mono- $X$ Collider Searches**

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9 ABSTRACT: The use of simplified models of dark matter is becoming increasingly prevalent  
10 in collider searches, and while early Run II results are beginning to appear, we look to see  
11 what further information can be extracted from Run I results. We consider three ‘standard’  
12 simplified models that couple quarks to fermionic singlet dark matter: an  $s$ -channel vector  
13 mediator with vector or axial-vector couplings, and a  $t$ -channel scalar mediator. Upper lim-  
14 its on the couplings are calculated, and compared across three alternate channels, namely,  
15 mono-jet, mono- $Z$  (leptonic) and mono- $W/Z$  (hadronic). The strongest limits are observed  
16 in the mono-jet channel, however the computational simplicity, and absence of significant  
17  $t$ -channel model width effects, in the mono-boson channels make these a straightforward  
18 and fast alternative.

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# 1 Introduction

Simplified models have emerged as a powerful tool for the interpretation of collider, direct and indirect detection signals of dark matter (DM). Previously, ATLAS and CMS searches for DM were conducted within the context of both Effective Field Theories (EFTs) [1, 5, 32, 33] and full UV-complete theories such as Supersymmetry [10–12, 40]. The latter approach, though well-motivated, is typified by a broad parameter space and generally yields results which are insensitive to the wider class of DM models. EFT constraints, in comparison, are applicable to a broad range of models and rely on the specification of only a small set of parameters, namely the suppression scale,  $M_\star$ , and the DM mass,  $m_{\text{DM}}$  [25]. In the EFT framework, interactions between the dark and Standard Model (SM) sector are parametrised by a set of higher-dimensional effective operators, that arise when the mass of the mediating particle is assumed to be significantly larger than the momentum transferred in a given interaction. Where this is not the case, the EFT prescription can produce constraints which detour dramatically from those of the associated UV-complete model [24–28]. This is not so important in direct detection experiments where the momentum transferred in the scattering of DM particles with heavy nuclei is generally of the order of tens of MeV [13, 14], or in indirect searches where the annihilations of non-relativistic DM particles in the galactic halo occur with momentum transfers of order  $m_{\text{DM}}$ . However, for hadron collider searches - where the accessible center of mass energy of two colliding baryons may be sufficient to produce the mediator on-shell - the range of validity of the EFT prescription is significantly diminished. Indeed, recent works have shown the EFT approach to be problematic in certain cases for the interpretation of data collected during the  $\sqrt{s} = 8$  TeV Run I of the Large Hadron Collider (LHC) [15–17]. In light of this, simplified models have become the preferred tool for the interpretation of collider DM searches [18, 31, 53, 55, 56].

In a nutshell, a simplified model (SiM) arises when the heavy mediator which was integrated out in the EFT framework is reintroduced. Like EFTs, SiMs admit the comparison of results obtained in the different avenues of dark matter study [] and are defined by a relatively small set of parameters - namely  $m_{\text{DM}}$ , the mass of the mediator  $M_{\text{med}}$ , and the SM-mediator and DM-mediator coupling strengths,  $g_q$  and  $g_\chi$  (or  $g_{q\chi}$  in the case of a single, SM-DM-mediator coupling). Unlike EFTs, constraints calculated within the context of a SiM are valid across a broad energy range.

In this paper, we examine a phenomenologically distinct set of SiMs. In particular, we place constraints on the SiMs corresponding to the simplest UV-completions of the D5 (vector) and D8 (axial-vector) effective operators in the  $s$ -channel<sup>1</sup>. We also include a case in which a scalar mediator is exchanged in the  $t$ -channel, motivated by its analog of squark exchange in Supersymmetry. In the heavy mediator limit, this model can be expressed as a combination of operators D5 to D8 via a Fierz transformation.

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<sup>1</sup>The D5 and D8 operators form a nice starting point in the analysis of SiMs as they have been studied exhaustively in the past (see refs. [1, 4, 5, 7, 15–17, 32, 33] among others). This attention is motivated by the fact that collider limits for the D5 (D8) operator can be readily transformed into limits on spin-independent (spin-dependent) DM-nucleon scattering and vice versa.

86 The models are constrained using public results from mono- $X$  + missing transverse  
 87 energy ( $E_T^{\text{miss}}$ ) searches conducted by the ATLAS Collaboration. Specifically we focus on  
 88 searches where  $X$  is either a parton (manifesting in the detector as a narrow-radius jet), a  
 89 leptonically-decaying  $Z$  boson, or a hadronically-decaying  $W$  or  $Z$  boson (manifesting as a  
 90 large-radius jet). The purpose of this work is to strengthen existing SiM limits using the  
 91 full  $20.3 \text{ fb}^{-1}$  of Run I ATLAS data, and to explore an enhanced phase space with respect  
 92 to the mediator and DM masses and the relative strength of the couplings to the visible  
 93 and dark sectors. We choose to treat the mediator width as the minimal value naturally  
 94 arising, which is more realistic than a fixed width. We extend the study by providing  
 95 a cross-check and comparison of the performance of the three targeted collider detection  
 96 channels, and compare against relic density and direct detection constraints.

97 The remainder of the paper is organised as follows. Section 2 contains a compendium of  
 98 the SiMs chosen for analysis and the associated collider phenomenology. Section 3 outlines  
 99 the techniques used to recast mono- $X$  +  $E_T^{\text{miss}}$  limits on the visible cross-section for any  
 100 new physics process into constraints on SiMs, and specifically on the couplings  $g_q$  and  $g_\chi$ .  
 101 Lastly, our results are presented in section 4 along with a discussion of the implications of  
 102 this work. Appendices A and B include details of the limit setting and analysis validation  
 103 procedures.

## 104 2 Simplified Model Phenomenology

### 105 2.1 Model Descriptions

106 We begin with a short set of assumptions: that the DM particle,  $\chi$ , is a weakly interacting  
 107 Dirac fermion, that it is a singlet under the SM, and that it is the lightest stable new  
 108 particle. Additionally the new sector is assumed to couple only to the SM quarks; while  
 109 possible coupling to SM leptons e.g. [26] or gluons e.g. [59] has been studied elsewhere, it  
 110 is beyond the scope of this paper. The nature of the mediating particle then results from  
 111 these assumptions: in the  $s$ -channel it is chosen to be a vector particle and must also be  
 112 a SM singlet, denoted  $\xi$ , while in the  $t$ -channel it is identified as scalar and is necessarily  
 113 charged and coloured, and labelled  $\phi$ .

114 The  $s$ -channel models chosen for analysis are characterised by vector ( $sV$ ) or axial-  
 115 vector ( $sA$ ) couplings to both the dark and SM sectors. They are described by the following  
 116 interaction Lagrangians:

$$\mathcal{L}_{sV} \supset -\xi_\mu \left[ \sum_q g_q \bar{q} \gamma^\mu q - g_\chi \bar{\chi} \gamma^\mu \chi \right], \quad (2.1)$$

117

$$\mathcal{L}_{sA} \supset \xi_\mu \left[ \sum_q g_q \bar{q} \gamma^\mu \gamma_5 q - g_\chi \bar{\chi} \gamma^\mu \gamma_5 \chi \right], \quad (2.2)$$

118 where the sum is over all quarks. For the couplings  $g_q$  and  $g_\chi$  to remain within the  
 119 perturbative regime, they are required to satisfy  $g_q, g_\chi \leq 4\pi$ , though stronger perturbativity  
 120 requirements do exist [15].

The  $t$ -channel model considered in this paper (abbreviated  $tS$ ) is characterised by a scalar mediator and is motivated by analogy with a common aspect of Supersymmetric models: neutralino DM interacting with the SM sector via  $t$ -channel exchange of a squark [20]. Note that in this Supersymmetric scenario the DM particle is a Majorana fermion. The collider phenomenology of SiMs in which  $\chi$  is of Majorana type is kinematically identical to the corresponding Dirac cases (requiring multiplication of the cross-section by a simple factor in order to compute limits) and so are not covered here<sup>2</sup>. The exception to this rule is the  $s$ -channel vector mediator model, which vanishes if  $\chi$  is a Majorana fermion [21].

In the  $tS$  model, the mediator is allowed to couple to either the left or right-handed quarks as an SU(2) doublet or singlet respectively. Since the LHC is insensitive to the chirality of the quarks, we assume for simplicity that  $\phi$  couples to the left-handed quarks only, and is itself an SU(2) doublet, allowing radiation of a  $W$  boson. To avoid different couplings to quarks of different generations, and to remain in step with the DM forum recommendations [53], we include three generations of mediator doublets  $\phi_i$ , with equal masses and couplings. The interaction Lagrangian for this model is then:

$$\mathcal{L}_{tS} \supset \sum_i g_{q\chi} \bar{Q}_i P_R \phi_i \chi + \text{h.c.}, \quad (2.3)$$

where the sum is over the three quark doublets,  $g_{q\chi}$  is the DM-quark coupling (equal for each generation), and  $P_R$  is the usual chiral projection operator.

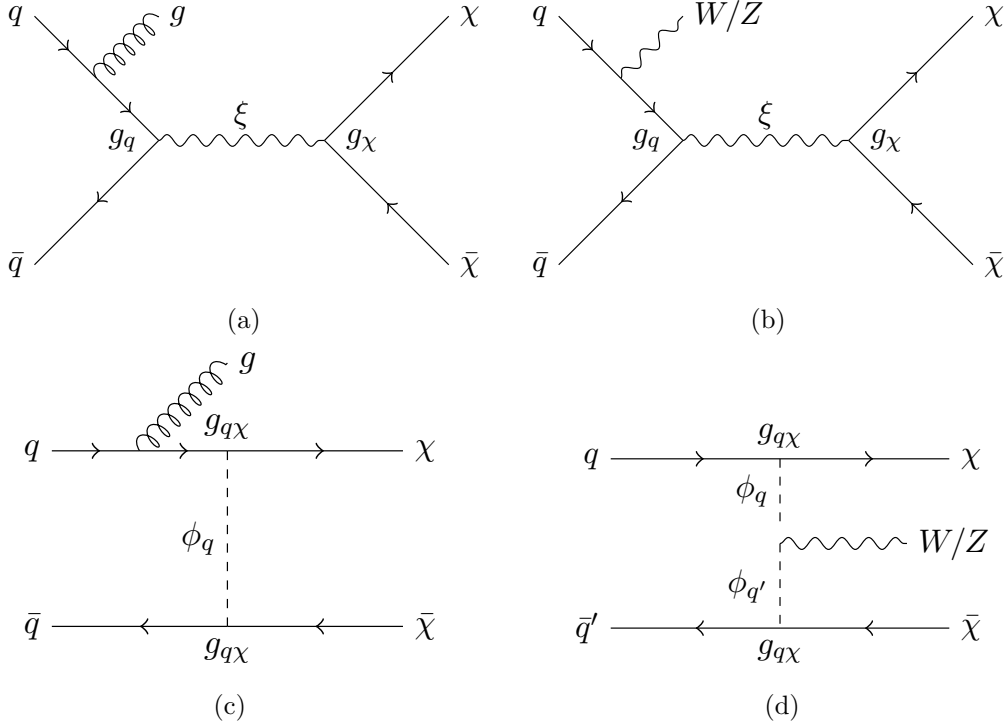
## 2.2 The Mono- $X + E_T^{\text{miss}}$ Signature

The mono- $X + E_T^{\text{miss}}$  (abbreviated to mono- $X$ ) is a popular collider signal in the search for new physics, particularly in the search for dark matter. Since DM particles are not expected to interact with detector material, they appear as missing transverse energy when balanced against a visible object,  $X$ , that is radiated from the initial or intermediate state (where the latter is permitted in the  $t$ -channel model). For the  $s$ -channel SiMs discussed above, only initial-state radiation is permitted; see figs. 1a and 1b for examples. For the  $tS$  model, radiation of a gluon or electroweak (EW) boson is permitted both from initial state partons (fig. 1c) or from the mediator (fig. 1d).

The most likely scenario at the LHC is production of a jet alongside the invisible  $\chi$  pair, as a result of the strong coupling and prevalence of partons in the initial state. However, to fully exploit the potential of the ATLAS detector to record and identify a vast array of particle types, we can include the alternative mono- $W/Z$  channels to potentially glean further information. We can take advantage of the relative cleanliness and simplicity of leptons in the leptonically-decaying mono- $Z(\rightarrow \ell^+\ell^-)$  channel, or the large hadronic branching fraction, and developing techniques to identify jets resulting from EW bosons, in the hadronically-decaying mono- $W/Z(\rightarrow jj)$  channel<sup>3</sup>. In both cases, the large multi-jet background is reduced, and complications in jet production such as parton-matching can

<sup>2</sup>The exception being in the validation of the mono- $Z(\text{lep})$  channel, see Sec. B.2.

<sup>3</sup>In addition, one of the first Run II dark matter search results from ATLAS was from this channel [60], released during the preparation of this paper.



**Figure 1:** Representative dark matter pair-production processes with a gluon or  $W/Z$  boson in the final state for the  $s$ -channel (a,b) and  $t$ -channel (c,d) models.

157 be ignored, making these an interesting alternative where speed, efficiency and a reduction  
158 in jet-associated uncertainties may make up for the loss in sensitivity.

### 159 2.3 Mass and Coupling Points

160 A representative set of dark matter and mediator masses, listed in table 1, are chosen for  
161 study in each detection channel. DM masses of 3, 30 and 300 GeV were also included  
162 in the mono- $Z$ (lep) channel, where ease of production can afford higher granularity. All  
163  $(m_\chi, M_{\text{med}})$  combinations are permitted in the  $sV$  and  $sA$  models, while in the  $tS$  model  
164  $M_{\text{med}}$  must be greater than  $m_\chi$  to ensure stability of the DM particle. The couplings  $g_q$   
165 and  $g_{q\chi}$  are set to unity, while the DM-mediator coupling in the  $s$ -channel models,  $g_\chi$ , was  
166 varied from 0.2 to 5. The mediator masses are chosen to cover a broad range of parameter  
167 space and to coincide with predominantly three regimes: (near-)degenerate ( $M_{\text{med}} \approx m_\chi$ ),  
168 on-shell ( $M_{\text{med}} \geq 2m_\chi$ ) and off-shell ( $M_{\text{med}} < 2m_\chi$ ).

### 169 2.4 Treatment of the width

170 An important factor when considering SiMs is to ensure the mediator width is treated  
171 appropriately, as it impacts both the cross-section calculation and, in some cases, the  
172 kinematic behaviour of the model.

173 Following the DM Forum recommendations [53], we use the minimal width, allowing  
174 coupling to all kinematically accessible quarks. We assume minimal flavour violation, which

$m_\chi$ [GeV]	$M_{\text{med}}$ [GeV]	$s$ -channel		$t$ -channel
		$g_q$	$g_\chi$	$g_{q\chi}$
1, (3), 10, (30), 100, (300), 1000	1, 2, 10, 20, 100, 200, 1000, 2000	1	0.2, 0.5, 1, 2, 5	1

**Table 1:** Mass and coupling points chosen for the analysis of simplified dark matter models. Values in brackets are only included in the mono- $Z(\text{lep})$  channel. The mediator masses are primarily representative of three regimes: (near-)degenerate ( $M_{\text{med}} \approx m_\chi$ ), on-shell ( $M_{\text{med}} \geq 2m_\chi$ ) and off-shell ( $M_{\text{med}} < 2m_\chi$ ). For the  $t$ -channel model,  $M_{\text{med}} > m_\chi$  is required to ensure stability of the DM particle.

implies a universal coupling to all quark flavours. The minimum width for each model is given by:

$$\begin{aligned} \Gamma_{sV} = & \frac{g_\chi^2 M}{12\pi} \left(1 + \frac{2m_\chi^2}{M^2}\right) \left(1 - \frac{4m_\chi^2}{M^2}\right)^{\frac{1}{2}} \Theta(M - 2m_\chi) \\ & + \sum_q \frac{g_q^2 M}{4\pi} \left(1 + \frac{2m_q^2}{M^2}\right) \left(1 - \frac{4m_q^2}{M^2}\right)^{\frac{1}{2}} \Theta(M - 2m_q) \end{aligned} \quad (2.4)$$

$$\begin{aligned} \Gamma_{sA} = & \frac{g_\chi^2 M}{12\pi} \left(1 - \frac{4m_\chi^2}{M^2}\right)^{\frac{3}{2}} \Theta(M - 2m_\chi) \\ & + \sum_q \frac{g_q^2 M}{4\pi} \left(1 - \frac{4m_q^2}{M^2}\right)^{\frac{3}{2}} \Theta(M - 2m_q) \end{aligned} \quad (2.5)$$

$$\begin{aligned} \Gamma_{tS} = & \sum_q \frac{g_{q\chi}^2 M}{16\pi} \left(1 - \frac{m_q^2}{M^2} - \frac{m_\chi^2}{M^2}\right) \\ & \times \sqrt{\left(1 - \frac{m_q^2}{M^2} + \frac{m_\chi^2}{M^2}\right)^2 - 4\frac{m_\chi^2}{M^2}} \Theta(M - m_q - m_\chi) \end{aligned} \quad (2.6)$$

It is possible that the mediator may decay to other SM or BSM particles [31], but this is not expected to have a large effect on the kinematic distribution as long as the width remains relatively small [53]. The generator treatment of the mediator as a Breit-Wigner propagator, rather than a true kinetic propagator, breaks down for large widths [57? ].

To simplify the procedure, we can take advantage of the fact that (with the notable exception of the  $tS$  model in the mono-jet channel) for each point in  $(m_\chi, M_{\text{med}})$  phase space, the mediator width (and therefore the couplings) do not greatly affect each model's kinematic behaviour. This is demonstrated in fig. 2, where for the  $sV$  (representing both the  $sV$  and  $sA$  models) and  $tS$  models, we plot a simplified  $E_T^{\text{miss}}$  distribution, as a proxy for the full selection in each analysis, for two mass points and a demonstrative set of couplings such that  $\Gamma < M_{\text{med}}/2$ . The  $E_T^{\text{miss}}$  distribution is predominantly independent of

the mediator width for the  $s$ -channel models in the mono-jet channel, and all models in the mono- $Z(\text{lep})$ <sup>4</sup> channel. However, there is a clear variation in the kinematic behaviour of the  $tS$  model in the mono-jet channel, which can be attributed to additional diagrams (accessible only in this channel) featuring a gluon in the initial state and subsequently allowing the mediator to go on-shell. In this scenario, when the resulting quark and DM particle are both small compared to the mediator mass, they share equally its energy leading to a peak in the  $E_T^{\text{miss}}$  distribution at approximately half the mediator mass.

In the cases where the kinematic distribution is independent of the width, we can greatly simplify the calculations by assuming the impact of the selection cuts in each channel is unchanged by the couplings. In this case, the following relations approximately hold:

$$\sigma \propto \begin{cases} g_q^2 g_\chi^2 / \Gamma & \text{if } M_{\text{med}} \geq 2m_{\text{DM}} \\ g_q^2 g_\chi^2 & \text{if } M_{\text{med}} < 2m_{\text{DM}} \end{cases} \quad (2.7)$$

in the  $sV$  and  $sA$  models [57], and

$$\sigma \propto g_{q\chi}^4 \quad (2.8)$$

in the  $tS$  model. When valid, these approximations allow us to greatly simplify our limit calculations, and for this reason, we restrict our primary results to regions of parameter space where  $\Gamma/M < 0.5$ . See app. A for further details of the limit-setting calculation.

More problematically, it was noted by Refs. [57?] that the Breit-Wigner propagator breaks down in the  $m_{\text{DM}} \gg M_{\text{med}}$  region even if  $\Gamma/M$  is small. To correct for this we follow Ref. [57], and rescale the cross section in the  $m_{\text{DM}} > M_{\text{med}}$  region by a factor which takes into account the error introduced by using the Breit-Wigner propagator in the generator. The factor is found by convolving the PDF with both the kinetic and Breit-Wigner propagators in turn and taking the ratio at each mass point. We approximate the kinetic propagator by making the substitution  $M\Gamma(M) \rightarrow s\Gamma(\sqrt{s})/M$  in the Breit-Wigner propagator.

A full study of the  $tS$  model within the mono-jet channel, where altering the coupling can lead to changed kinematic behaviour, has been performed elsewhere [23], and requires the production of individual samples for each coupling point. This, combined with the challenges associated with including differing orders of  $\alpha_s$ , make the generation process computationally expensive compared to the mono- $Z(\text{lep})$  and mono- $W/Z(\text{had})$  channels. We therefore exclude an analysis of the  $tS$  model in the mono-jet channel in this work.

### 3 Recasting mono- $X$ constraints

The procedure for recasting existing mono- $X$  analyses to obtain SiM constraints follows a simple cut-and-count methodology. Firstly, signal events are simulated (described below in section 3.1) with object  $p_T$  smearing applied to approximate the detection efficiency of

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<sup>4</sup>In this discussion, the mono- $W/Z(\text{had})$  channel can be assumed to follow the same logic as for the mono- $Z(\text{lep})$  channel.





**Figure 2:** The  $E_T^{\text{miss}}$  distribution of the  $sV$  and  $tS$  models in the mono-jet and mono- $Z$ (lep) channels, for some demonstrative masses. The parameter  $\mu$  is defined as  $\Gamma/M_{\text{med}}$ , and is used to demonstrate the impact of a changing width; in particular, the  $tS$  model in the mono-jet channel shows clear width-dependence. The widths are obtained with couplings of 0.1, 1, and 5 where  $\mu < 0.5$  remains true.

the ATLAS detector,  $\epsilon$ . The event selection criteria of the mono- $X$  analysis of interest is then applied to the simulated signal samples. Events surviving the selection criteria are counted to determine the likelihood of a dark matter event being observed (referred to as the acceptance,  $\mathcal{A}$ ), which is then used in combination with channel-specific model-independent limits on new physics events to limit the parameter phase space of a given model. For a comprehensive description of the recasting procedure, see appendix A.

In this paper, mono-jet constraints are derived from a search for new phenomena conducted by the ATLAS Collaboration using  $pp$  collisions at  $\sqrt{s} = 8$  TeV as described in ref. [41]. Similarly, the leptonic mono- $Z$  and hadronic mono- $W/Z$  constraints are derived from ATLAS dark matter searches that were optimised for the D1, D5 and D9 effective operators [47, 48]. These analyses are described in further detail in sections 3.2, 3.3 and 3.4 respectively.

### 3.1 Signal Simulation

Monte Carlo simulated event samples are used to model the expected signal for each channel and for each SiM. Leading order matrix elements for the process  $pp \rightarrow X + \chi\bar{\chi}$  (where  $X$  is specifically one or two jets<sup>5</sup>, a  $Z(\rightarrow \ell^+\ell^-)$  boson or a  $W/Z(\rightarrow jj)$  boson) are first simulated using MADGRAPH5\_aMC@NLO v2.2.2 [49] with the MSTW2008lo68cl PDF [50]. During this stage, the renormalisation and factorisation scales are set to the default sum of  $\sqrt{m^2 + p_T^2}$  for all particles in the final state. Showering and hadronisation are then performed by PYTHIA 8.201 [58] with the appropriate PDF and using the ATLAS UE Tune AU2-MSTW2008LO [51]. Reconstruction of small-radius jets (from hereon referred to just as ‘jets’) for the mono-jet channel is performed by FASTJET [63] using the anti- $k_T$  algorithm with radius parameter  $R = 0.4$ . Similarly, reconstruction of large-radius jets for the mono- $W/Z(\text{had})$  channel is performed using the Cambridge-Aachen algorithm with  $R = 1.2$ . The latter channel also includes a mass-drop filtering procedure with  $\mu = 0.67$  and  $\sqrt{y}$ <sup>6</sup> = 0.4 (see ref. [62] for further details), which favours large- $R$  jets with two balanced subjets, consistent with the decay of an EW boson to a (potentially-boosted) dijet pair. Lastly, the detector response is approximated by applying a Gaussian smearing factor to the  $p_T$  of all leptons and jets.

#### 3.1.1 Parton Matching Scheme

In the ATLAS mono-jet analysis, matching of partons generated in MADGRAPH5 to jets generated in PYTHIA 8 is performed using the MLM scheme [61], with two matching scales, or values of ‘QCUT’, per mass/coupling point. The QCUT values span a broad kinematic range in combination with a cut placed on the leading jet  $p_T$  per event to avoid double-counting. This treatment aims to mitigate the impact of the matching scale on the shape of the  $p_T$  and  $E_T^{\text{miss}}$  distributions; that is, to reduce the uncertainty in those areas of phase space where the mediator mass is significantly larger or smaller than the QCUT value. For the analysis of SiMs, we use instead a single matching scale of 80 GeV. Though not ideal, this approach suitably reproduces the results of the ATLAS mono-jet analysis for the masses of interest (see Sec. B.1). Importantly, it also reduces the complexity and computational expense involved in estimating limits for the mono-jet channel.

We now move to a discussion of each of the mono- $X$  channels separately.

### 3.2 Mono-jet Constraints

The ATLAS mono-jet +  $E_T^{\text{miss}}$  analysis [41] was originally designed to set limits on three new physics scenarios, the most relevant of which is the production of WIMP DM within the context of a set of effective operators. The analysis also includes a brief study of a  $Z'$  DM model which is analogous to our  $sV$  model.

Signal selection is carried out based on at least one hard jet recoiling against missing energy. To ensure that the correct back-to-back jet +  $E_T^{\text{miss}}$  topology is selected events are

<sup>5</sup>Jets are seeded by any parton excluding the (anti-)top quark.

<sup>6</sup> $\sqrt{y} = \min(p_{T_{j_1}}, p_{T_{j_2}})\Delta R/m_{jet}$  is the momentum balance of the two leading subjets.

required to have a leading jet,  $j_1$ , with  $p_T > 120$  GeV and  $|\eta| < 2.0$  satisfying  $p_T^{j_1}/E_T^{\text{miss}} > 0.5$ . Surviving events must then satisfy  $|\Delta\phi(j, \vec{E}_T^{\text{miss}})| > 1.0$ , where  $j$  is any jet with  $p_T > 30$  GeV and  $|\eta| < 4.5$ . This criterion reduces the multijet background contribution where the large  $E_T^{\text{miss}}$  originates mainly from jet energy mismeasurement. Note that there is no upper limit placed on the number of jets per event. The contribution from the dominant background processes,  $W/Z$ +jets, is managed with a veto on events containing muons or electrons with  $p_T > 7$  GeV. Lastly, nine separate signal regions are defined with increasing lower thresholds on  $E_T^{\text{miss}}$ , which range from 150 GeV to 700 GeV as shown in table 2.

The ATLAS mono-jet analysis revealed no significant deviation of observed events from the expected SM backgrounds in the Run 1 8 TeV dataset. Subsequently, model-independent limits on new physics signatures were provided in terms of the visible cross-section,  $\sigma \times \mathcal{A} \times \epsilon$ ; these are listed in table 2.

Signal Region	$E_T^{\text{miss}}$ threshold [GeV]	$\sigma \times \mathcal{A} \times \epsilon$ [fb]
SR1	150	726 (935)
SR2	200	194 (271)
SR3	250	90 (106)
SR4	300	45 (51)
SR5	350	21 (29)
SR6	400	12 (17)
SR7	500	7.2 (7.2)
SR8	600	3.8 (3.2)
SR9	700	3.4 (1.8)

**Table 2:** The ATLAS mono-jet  $E_T^{\text{miss}}$  signal regions and corresponding observed (expected) model-independent upper limits on  $\sigma \times \mathcal{A} \times \epsilon$  at 95% confidence level. Adapted from ref. [41].

The signal simulation procedure outlined in sec. 3.1 and implementation of the selection criteria discussed above were validated for the mono-jet channel via reproduction of ATLAS limits on the suppression scale,  $M_\star \equiv M_{\text{med}}/\sqrt{g_q g_\chi}$ , for the  $Z'$  model. The details of this process are contained in appendix B.1. Importantly, we observe agreement within  $\sim 12\%$  for all samples.

### 3.3 Mono- $Z(\text{lep})$ Constraints

The ATLAS mono- $Z(\rightarrow \ell^+ \ell^-) + E_T^{\text{miss}}$  analysis [47] was principally designed to constrain a set of EFT models of DM. As a secondary focus, it also includes a short study of a  $t$ -channel SiM similar to our  $tS$  model.

The selection criteria for this analysis are summarised as follows (see the paper for a full description). Electrons (muons) are required to have a  $p_T$  greater than 20 GeV, and  $|\eta|$  less than 2.47 (2.5). Two opposite-sign, same-flavour leptons are selected, and required to have invariant mass and pseudorapidity such that  $m_{\ell\ell} \in [76, 106]$  GeV and  $|\eta^{\ell\ell}| < 2.5$ . The reconstructed  $Z$  boson should be approximately back-to-back and balanced against

the  $E_T^{\text{miss}}$ , ensured with the selections  $\Delta\phi(\vec{E}_T^{\text{miss}}, p_T^{\ell\ell}) > 2.5$  and  $|p_T^{\ell\ell} - E_T^{\text{miss}}|/p_T^{\ell\ell} < 0.5$ . Events containing a jet with  $p_T > 25$  GeV and  $|\eta| < 2.5$  are vetoed. Events are also vetoed if they contain a third lepton with  $p_T > 7$  GeV. The signal regions are defined by increasing lower  $E_T^{\text{miss}}$  thresholds:  $E_T^{\text{miss}} > 150, 250, 350, 450$  GeV.

A cut-and-count strategy is used to estimate the total observed yields and expected SM backgrounds in each signal region. The limits on  $\sigma \times \mathcal{A} \times \epsilon$  are not publicly available, so we take the numbers of expected and observed events, along with the associated uncertainties, and convert these into model-dependent upper limits with a single implementation of the HistFitter framework [54] using a frequentist calculator and a one-sided profile likelihood test statistic (the LHC default). The results of this process are displayed in table 3. Note that we use signal regions 1 and 2 only, as our simplified HistFitter approach is inadequate to handle the very low statistics of signal regions 3 and 4. These upper limits are also used for the validation of the mono- $Z(\text{lep})$  signal generation and selection procedures (see app. B.2).

Signal Region	$E_T^{\text{miss}}$ threshold [GeV]	$\sigma \times \mathcal{A} \times \epsilon$ [fb]
SR1	150	1.59 (1.71)
SR2	250	0.291 (0.335)

**Table 3:** The ATLAS mono- $Z(\text{lep})$   $E_T^{\text{miss}}$  signal regions and corresponding observed (expected) model-independent upper limits on  $\sigma \times \mathcal{A} \times \epsilon$  at 95% confidence level. Adapted using HistFitter from ref. [47].

### 3.4 Mono- $W/Z(\text{had})$ Constraints

The ATLAS mono- $W/Z(\text{had}) + E_T^{\text{miss}}$  search [48] was aimed at constraining the spin-independent effective operators C1, D1, and D5, and the spin-dependent operator D9. The search was originally designed to exploit the constructive interference of  $W$  boson emission from opposite-sign up-type and down-type quarks, leading to DM production wherein the mono- $W$  channel is dominant. Recent studies [64] have revealed this scenario to violate gauge invariance and so we ignore it in this analysis.

The mono- $W/Z(\text{had})$  event selection is carried out as follows. Large-radius jets are selected using a mass-drop filtering procedure (see sec. 3.1) to suppress non- $W/Z$  processes. Events are required to contain at least one large- $R$  jet with  $p_T > 250$  GeV,  $|\eta| < 1.2$  and a mass,  $m_{\text{jet}}$ , within a 30-40 GeV window of the  $W/Z$  mass (i.e.  $m_{\text{jet}} \in [50, 120]$  GeV). In order to reduce the  $t\bar{t}$  and multijet backgrounds, a veto removes events containing a small- $R$  jet with  $\Delta\phi(\text{jet}, E_T^{\text{miss}}) < 0.4$ , or containing more than one small- $R$  jet with  $p_T > 40$  GeV,  $|\eta| < 4.5$ , and  $\Delta R(\text{small-}R \text{ jet}, \text{large-}R \text{ jet}) > 0.9$ . Electrons, muons and photons are vetoed if their  $p_T$  is larger than 10 GeV and they lie within  $|\eta| < 2.47$  (electrons), 2.5 (muons), 2.37 (photons). Two signal regions were defined with  $E_T^{\text{miss}} > 350$  GeV and  $E_T^{\text{miss}} > 500$  GeV.

327 The ATLAS analysis used a shape-fit of the mass distribution of the large- $R$  jet to  
 328 estimate the background yields in the two signal regions, along with the associated statis-  
 329 tical and systematic uncertainties. As in the mono- $Z(\text{lep})$  case, we do not take the shapes  
 330 into account but convert the published number of expected and observed events into upper  
 331 limits on the expected and observed number of new physics events using the HistFitter  
 332 framework. For the  $E_{\text{T}}^{\text{miss}} > 500$  GeV signal region, we obtain the limits shown in table 4.  
 333 We do not consider the first signal region with  $E_{\text{T}}^{\text{miss}} > 350$  GeV in the recasting proce-  
 334 dure, since the cut-and-count limits extracted could not be convincingly validated. The  
 335 high  $E_{\text{T}}^{\text{miss}}$  signal region was found to be optimal for most operators studied by the ATLAS  
 336 analysis.

Signal Region	$E_{\text{T}}^{\text{miss}}$ threshold [GeV]	$\sigma \times \mathcal{A} \times \epsilon$ [fb]
SR2	500	1.35 (1.34)

**Table 4:** The ATLAS mono- $W/Z(\text{had})$   $E_{\text{T}}^{\text{miss}}$  signal region considered in this work and corresponding observed (expected) model-independent upper limits on  $\sigma \times \mathcal{A} \times \epsilon$  at 95% confidence level. Adapted using HistFitter from ref. [48].

## 337 4 Results and Discussion

### 338 4.1 Limits on the coupling $\sqrt{g_q g_\chi}$

339 The 95% confidence level upper limits on the  $sV$  and  $sA$  model coupling combination  
 340  $\sqrt{g_q g_\chi}$ , and the  $tS$  model coupling  $g_{q\chi}$ , obtained from each of the mono- $X$  channels, are  
 341 presented in figs. 3-8. These quantities were evaluated as described in appendix A, including  
 342 statistical and systematic uncertainties, and correspond to the best limits of each signal  
 343 region tested.

344 In each plot, limits are shown ranging from  $<0.01$  to the upper perturbative limit<sup>7</sup>  
 345 for each coupling,  $4\pi$ ; where a limit was calculated to be larger than this, that limit  
 346 is considered meaningless and the region is coloured grey. The white (hatched) regions  
 347 coincide with those mass points which yield an initial (final) value of  $\sqrt{g_q g_\chi}$  or  $g_{q\chi}$  which  
 348 fails to satisfy our requirement that  $\Gamma < M_{\text{med}}/2$ . (We observe that values for which the  
 349 width is just within our upper validity bound of  $M_{\text{med}}/2$  may be pushed over into the  
 350 invalid range with the addition of new particles, not considered here, which would serve  
 351 to increase the mediator width.) When  $g_\chi/g_q = 0.2$ , only the mono-jet channel produces  
 352 limits which survive this requirement, and so these are shown separately in fig. 7.

353 Detailed comments specific to each channel are below, however some trends are channel-  
 354 independent. For the  $sV$  model, strong limits exist when  $M_{\text{med}} > 2m_\chi$  as the mediator  
 355 can go on-shell, thereby enhancing the cross-section. The  $sA$  model limits show similar

<sup>7</sup>We note that perturbativity is questionable for couplings greater than  $\sim 2 - 3$ , however  $4\pi$  is an oft-quoted hard upper limit.

behaviour to the  $sV$  model except in the region where  $m_\chi \gtrsim 10 \times M_{\text{med}}$ , where the cross-section is greatly enhanced **WHY?**. The upper limit on  $\sqrt{g_q g_\chi}$  is relatively constant across values of  $g_\chi/g_q$ , as is expected when the coupling (and hence the width) has been demonstrated to have little effect on kinematic behaviour, and using the assumptions of eq. 2.7. As the ratio increases, points in the region  $M_{\text{med}} > m_\chi$  disappear as the initial condition,  $g_q = 1$ , leads to failure of the width condition. However, one could easily have chosen a smaller initial value of  $g_q$  to recover these points, and we suggest that the limits in this region would be quite similar to those seen in the  $g_\chi/g_q = 0.2$  and  $0.5$  cases.

The constraints on the coupling strength are weaker when  $m_\chi$  or  $M_{\text{med}}$  is large, due to the suppressed cross-sections in these cases. In this region, the constraints are expected to improve at higher centre-of-mass energies. At small DM masses with an off-shell mediator, the  $E_{\text{T}}^{\text{miss}}$  distribution is softer, therefore results in this region of phase space are limited by statistical uncertainties arising from being in the tail of the distribution; this region would benefit from further optimisation of event selection in analyses aimed at SiM study, as we expect to see from the upcoming Run II results.

These mono-X searches are complementary to direct searches for the mediator via dijet resonances [29, 67–69]. These have been used to study simplified models in, for example, [23, 70]. Dijet studies search for the signature of direct mediator decay into standard model particles, generally assuming a narrow resonance. These constraints can be stronger than mono-X constraints particularly when the width is small and when the coupling to quarks is large relative to the coupling to DM, while mono-X searches have the advantage for larger values of  $g_\chi/g_q$  and smaller mediator masses.

The results are discussed according to channel below.

#### 4.1.1 Mono-jet channel

The upper limits on the coupling combination  $\sqrt{g_q g_\chi}$  of the  $sV$  and  $sA$  models, obtained in the mono-jet channel, are displayed in the left-hand column of figs. 3-6, for  $g_\chi/g_q = 0.5, 1, 2$  and  $5$  respectively. Additional results for the  $g_\chi/g_q = 0.2$  case are also shown separately in fig. 7, as these limits are only meaningful within this channel.

As expected, the mono-jet channel produces the strongest coupling limits in both  $s$ -channel models, which are better than those from the next-best mono- $Z(\text{lep})$  channel by a factor of a few. For the  $sV$  model, the weakest limits result for large  $m_\chi$  or large  $M_{\text{med}}$ , and in fact are so weak that they are pushed into the region of invalidity where  $\Gamma > M_{\text{med}}/2$ ; this is because although the acceptance is considerably higher in these regions compared to low masses, the cross section is sufficiently small that this effect prevails. Within the valid region ( $m_\chi \in [1, 100]$  GeV and  $M_{\text{med}} \in [1, 200]$  GeV), the limit on  $\sqrt{g_q g_\chi}$  generally ranges from 0.1 to 0.7, with a handful of on-shell masses reaching a limit of  $\sim 0.05$  in the large  $g_\chi/g_q$  case. In the large  $g_\chi/g_q$  scenario, limits for  $m_\chi = 1000$  GeV start to become valid. This is because if  $\sqrt{g_q g_\chi}$  remains constant but the  $g_\chi/g_q$  increases then the value of  $g_q$  is pushed downward and so the width, which is dominated by decays to SM particles, decreases.

The  $sA$  model displays similar behaviour to the  $sV$  model except for the  $m_\chi \gtrsim 10 \times M_{\text{med}}$  region, as described above.

398 The uncertainties on the limits in the  $sV$  model generally range from ??% to ??%,  
 399 and are dominated by **X**. The uncertainties for the  $sA$  model are dominated by **X** and are  
 400 within the range  $X \sim Y\%$ .

#### 401 4.1.2 Mono- $Z(\text{lep})$ channel

402 The simplicity of the mono- $Z(\text{lep})$  channel relative to the mono-jet channel, and the speed  
 403 of its production within MADGRAPH5, allowed us to study a finer granularity of points  
 404 in the mass phase space. The resulting limits on the  $sV$  and  $sA$  models are shown in the  
 405 central column of figs. 3-6. The behaviour of the limits as  $g_\chi/g_q$  varies is similar to that  
 406 within the mono-jet channel, and overall the limits are weaker compared to that channel  
 407 by a factor of a few.

408 The total relative uncertainties on  $\sqrt{g_q g_\chi}$  in the  $s$ -channel models are generally within  
 409 10%, but can range up to 80% in a few cases; they are dominated by **X**.

410 The advantage of the mono-boson channels is in the study of the  $tS$  model; since  
 411 this was not included in the mono-jet channel the strongest limits are obtained with the  
 412 mono- $Z(\text{lep})$  analysis, and are shown in the left-hand side of fig. 8. Note that the scale  
 413 here is increased by a factor of 10 from the  $s$ -channel model limits, in general the  $tS$   
 414 model limits are weaker than the corresponding  $s$ -channel points, thanks to an orders-of-  
 415 magnitude weaker cross-section and the inability of the mediator to go on-shell in this  
 416 channel. We find stronger limits for smaller  $m_\chi$  and  $M_{\text{med}}$  masses, where larger cross  
 417 sections compensate for lower acceptances at these points.

#### 418 4.1.3 Mono- $W/Z(\text{had})$ channel

419 The limits on the couplings of the  $sV$ ,  $sA$  and  $tS$  models, obtained within the mono-  
 420  $W/Z(\text{had})$  channel, are shown in the right-hand column of figs. 3-8. This channel was  
 421 studied to compare with the leptonic mono- $Z(\text{lep})$  channel in particular, but a coarser  
 422 selection of masses was chosen as the limits were initially found to be somewhat weaker.  
 423 Additionally, further estimates were made: a) as the kinematic behaviour is reasonable  
 424 independent of the couplings, a single acceptance was found for each  $(m_\chi, M_{\text{med}})$  combi-  
 425 nation and applied to each value of  $g_\chi/g_q$ , and b) complete systematic uncertainties were  
 426 generated for a subset of masses and compared to those from the mono- $Z(\text{lep})$  channel;  
 427 from this comparison the mono- $Z(\text{lep})$  systematic uncertainties were multiplied by 3 and  
 428 then applied to the mono- $W/Z(\text{had})$  limits. As a result, the limits obtained in this chan-  
 429 nel are not intended to be rigorously quantitative; rather, they are used more to indicate  
 430 qualitatively how the channel compares.

431 The ATLAS mono- $W/Z(\text{had})$  analysis (and in particular the higher  $E_{\text{T}}^{\text{miss}}$  signal re-  
 432 gion) was not optimised for a SiM interpretation, and much of the phase space produced  
 433 insignificant numbers of events passing the event selection, with up to 200 thousand events  
 434 generated. Generally, the limits are a factor of a few weaker again than those from the  
 435 mono- $Z(\text{lep})$  channel, which is both consistent with the limits on the EFT models studied  
 436 in the ATLAS analyses, and expected following our use of a cut-and-count interpretation,  
 437 rather than a shape analysis, of the mono- $W/Z(\text{had})$  public results.



In some cases - most notably the  $m_\chi \gtrsim 10 \times M_{\text{med}}$  region - the limits become comparable with the mono- $Z(\text{lep})$  channel, suggesting that more statistics and an improved treatment of systematic uncertainties would bring these closer in line with that channel.

Overall, the uncertainties from this channel lie within the range XXX and are dominated by Y.

## 4.2 Comparison with Relic Density Constraints

In Figs. 3-8 we show lines where the constraint on the coupling corresponds to the coupling strength that would reproduce the correct DM density if DM is a thermal relic of the early universe. For points diagonally above and to the left of the dashed line, the LHC constraints naively rule out the couplings leading to the correct relic density. Below and to the right of this line the relic density coupling is still allowed. In some cases the intercept does not pass through a significant number of data points passing the quality criteria outlined in previous sections. In these cases the line is not shown.

In this scenario, the measured abundance is approximately related to the unknown self-annihilation cross-section via

$$\Omega_{\text{DM}} h^2 \simeq \frac{2 \times 2.4 \times 10^{-10} \text{ GeV}^{-2}}{\langle \sigma v \rangle_{\text{ann}}}. \quad (4.1)$$

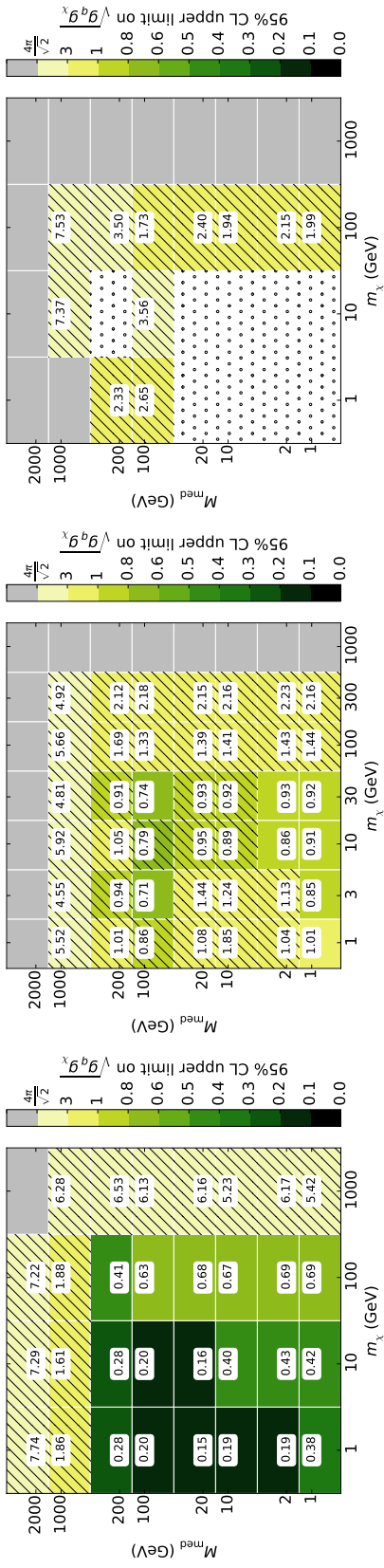
This is used with measurements of the DM abundance by Planck,  $\Omega_{\text{DM}}^{\text{obs}} h^2 = 0.1199 \pm 0.0027$  [36], to find  $\langle \sigma v \rangle_{\text{ann}} \simeq 4.0 \times 10^{-9} \text{ GeV}^{-2}$  for thermal relic DM. This relation is only approximately accurate, and so we use the micrOMEGAs code [52] to determine the coupling strength leading to the correct relic density for each model. We verified this technique against the semi-analytic technique outlined in e.g. ref. [37].

If the DM mass lies at the electroweak scale, the thermal relic scenario provides a natural explanation for the observed DM density, and so the coupling strengths leading to the correct relic density are a natural benchmark with which to compare constraints from other DM searches, indicating the scale at which we expect the couplings may lie. However the relic density couplings should by no means be treated as a constraint. If the DM was not produced thermally or if there is some unknown effect which modifies the evolution of the density with temperature, then these relations break down. Further, even if DM is a thermal relic, then the relationship no longer holds if there are other annihilation channels not taken into account, or if there are other beyond-SM particles contributing to the DM abundance.

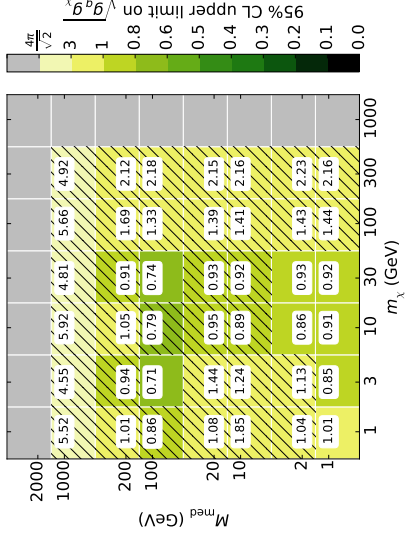
## 4.3 Comparison with Direct Detection Constraints

In Figs. 3-8 we also show the intercept line where constraints from direct detection experiments are equally as strong as the LHC constraint. Below and to the right of the dotted line, direct detection constraints are stronger than the LHC constraint, while above and to the left, the LHC gives the stronger constraint. As with the relic density contours, we do not show the intercept where it does not pass through sufficient valid data points. We use the toolset from Ref. [65] to convert the strongest available direct detection constraints, which are from the LUX 2013 dataset [66], onto constraints on our models.

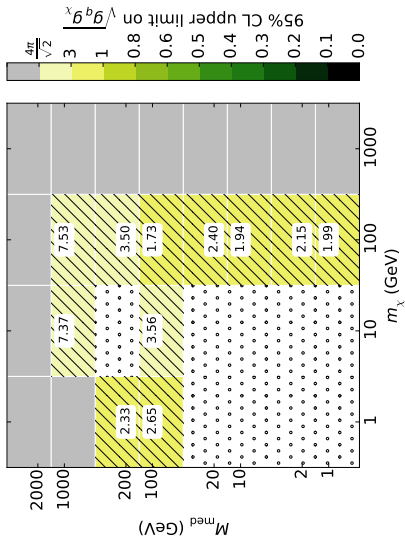




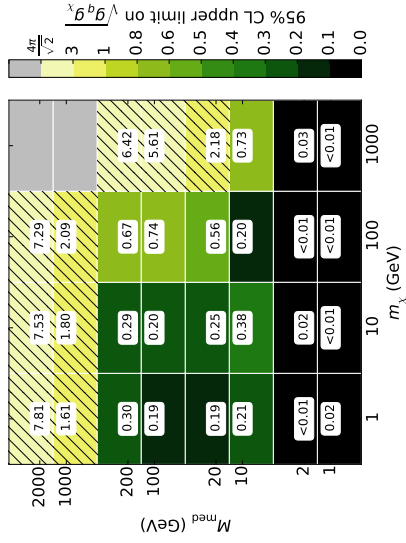
(a)  $sV$  model,  $g_X/g_q = 0.5$ , mono-jet channel.



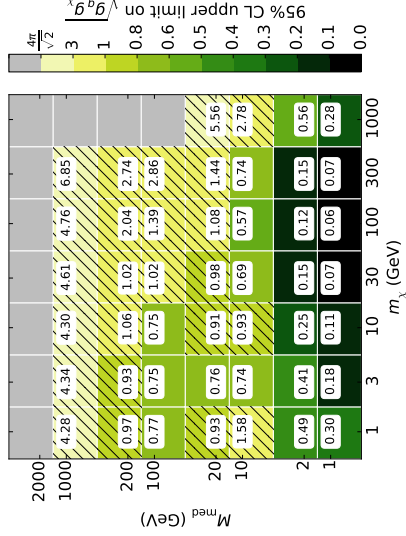
(b)  $sV$  model,  $g_X/g_q = 0.5$ , mono- $Z$  channel.



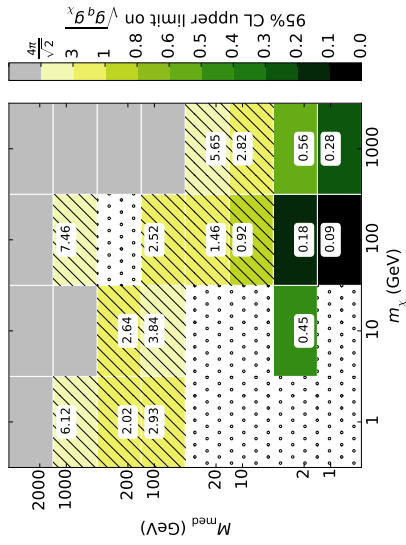
(c)  $sV$  model,  $g_X/g_q = 0.5$ , mono- $W/Z$  channel.



(d)  $sA$  model,  $g_X/g_q = 0.5$ , mono-jet channel.

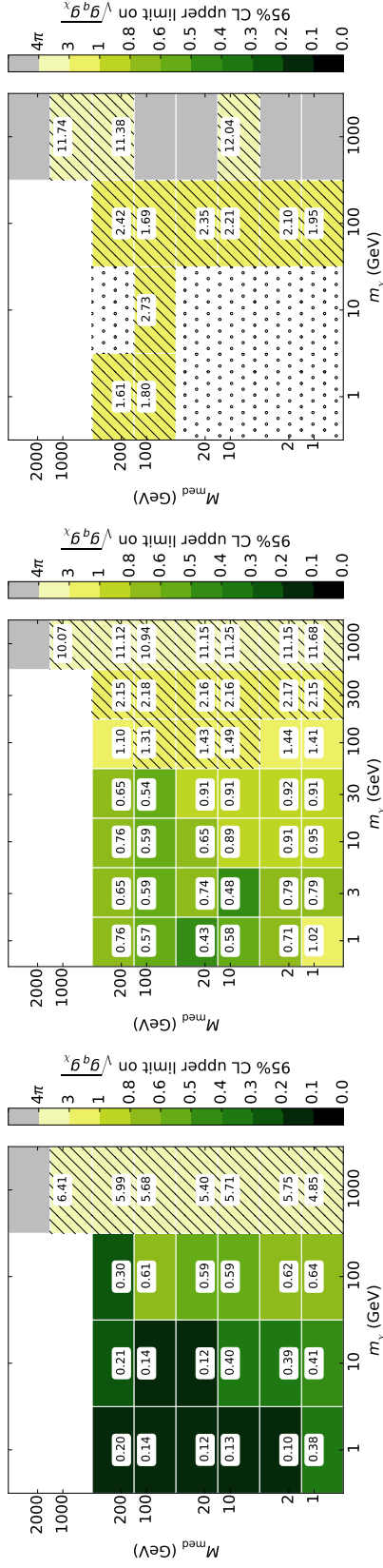


(e)  $sA$  model,  $g_X/g_q = 0.5$ , mono- $Z$  channel.

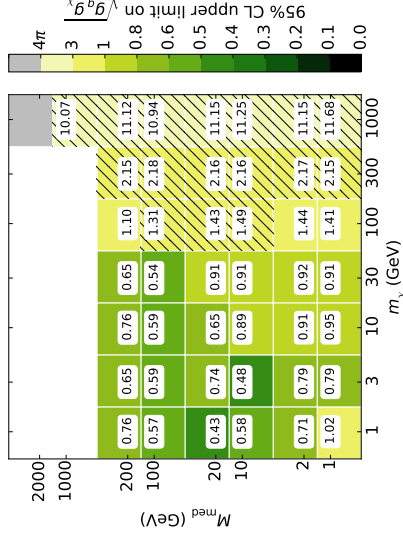


(f)  $sA$  model,  $g_X/g_q = 0.5$ , mono- $W/Z$  channel.

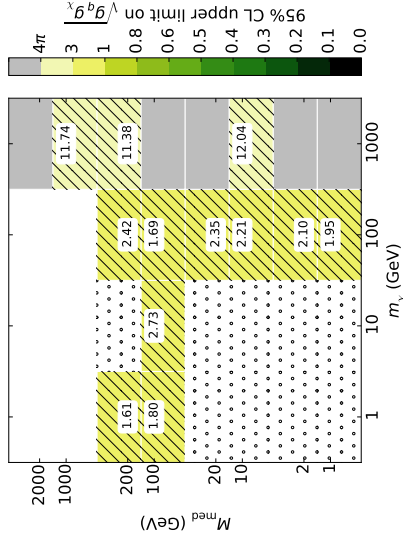
**Figure 3:** Upper limits on the coupling for the  $s$ -channel models in the mono-jet (left), mono- $Z$ (lep) (centre) and mono- $W/Z$ (had) (right) channels, for  $g_X/g_q = 0.5$ . The grey region represents the phase space where no meaningful limit was obtained. The hatched region represents a limit which leads to a width greater than  $M_{\text{med}}/2$ , so the validity of the calculation begins to fail. The dotted region represents phase space where insufficient statistics were available.



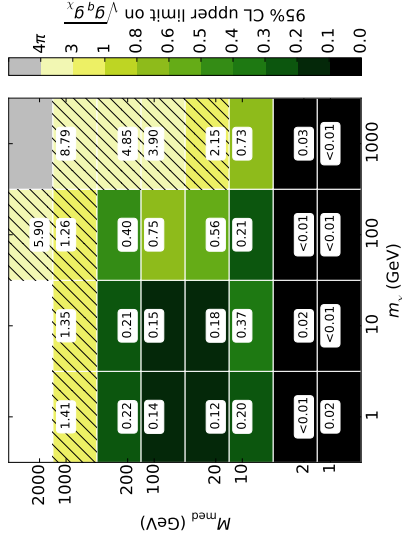
(a)  $sV$  model,  $g_\chi/g_q = 1$ , mono-jet channel.



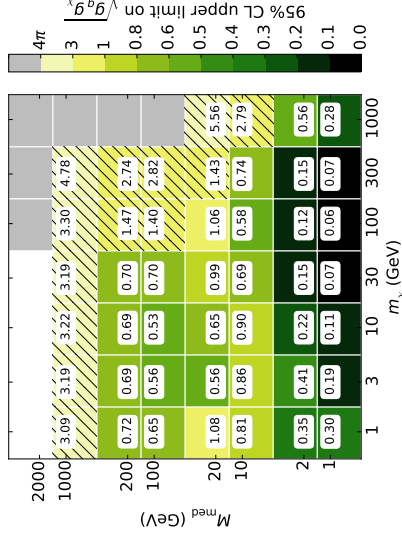
(b)  $sV$  model,  $g_\chi/g_q = 1$ , mono- $Z$  channel.



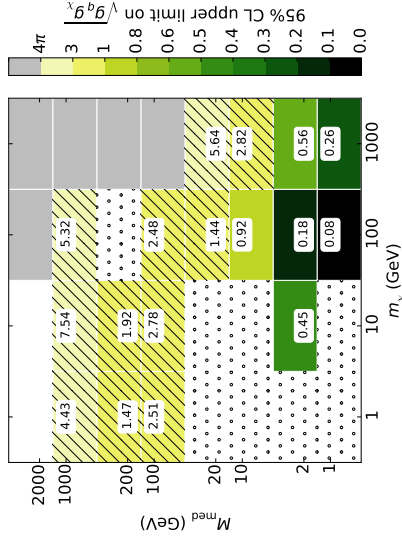
(c)  $sV$  model,  $g_\chi/g_q = 1$ , mono- $W/Z$  channel.



(d)  $sA$  model,  $g_\chi/g_q = 1$ , mono-jet channel.

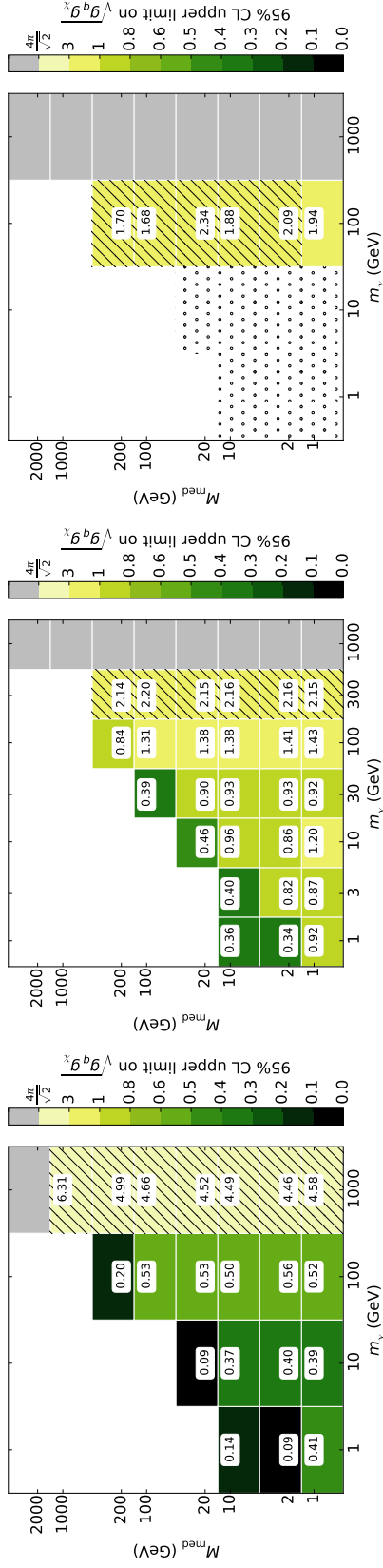


(e)  $sA$  model,  $g_\chi/g_q = 1$ , mono- $Z$  channel.

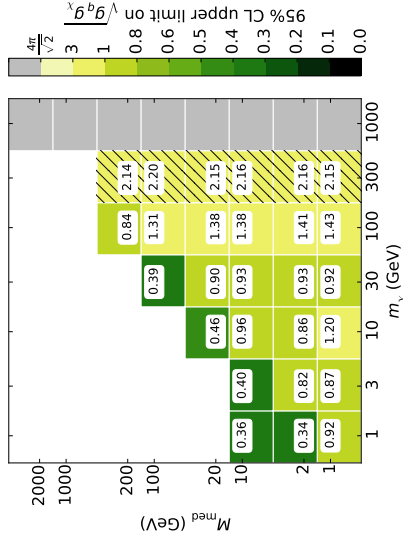


(f)  $sA$  model,  $g_\chi/g_q = 1$ , mono- $W/Z$  channel.

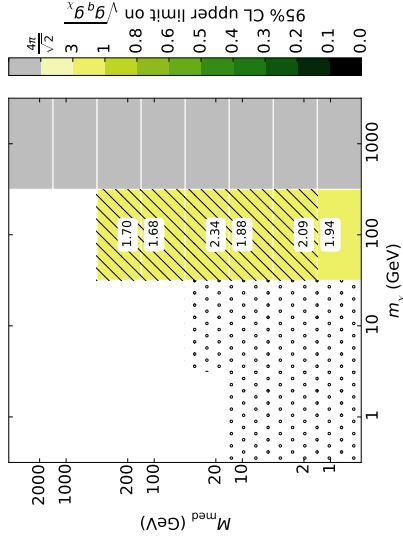
**Figure 4:** Upper limits on the couplings for the  $s$ -channel models in the mono-jet (left), mono- $Z$ (lep) (centre) and mono- $W/Z$ (had) (right) channels, for  $g_\chi/g_q = 1$ . Refer to fig. 3 for details.



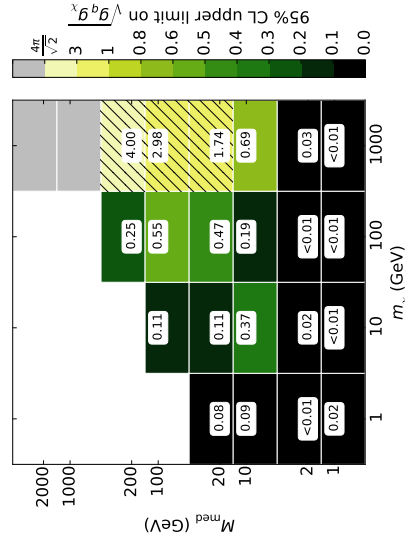
(a)  $sV$  model,  $g_\chi/g_q = 2$ , mono-jet channel.



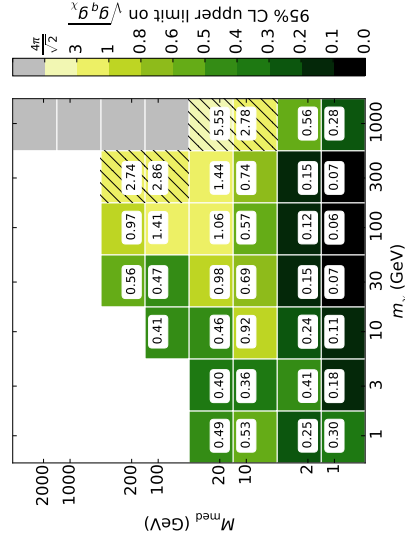
(b)  $sV$  model,  $g_\chi/g_q = 2$ , mono- $Z$  channel.



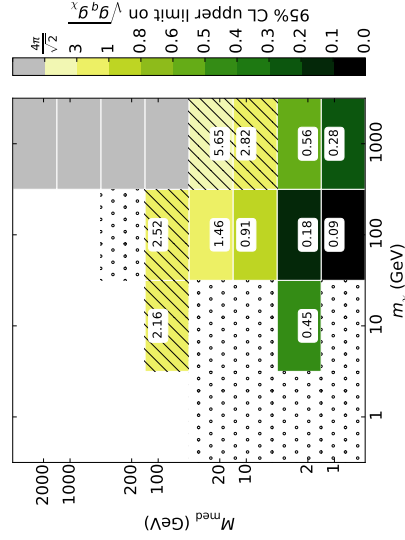
(c)  $sV$  model,  $g_\chi/g_q = 2$ , mono- $W/Z$  channel.



(d)  $sA$  model,  $g_\chi/g_q = 2$ , mono-jet channel.

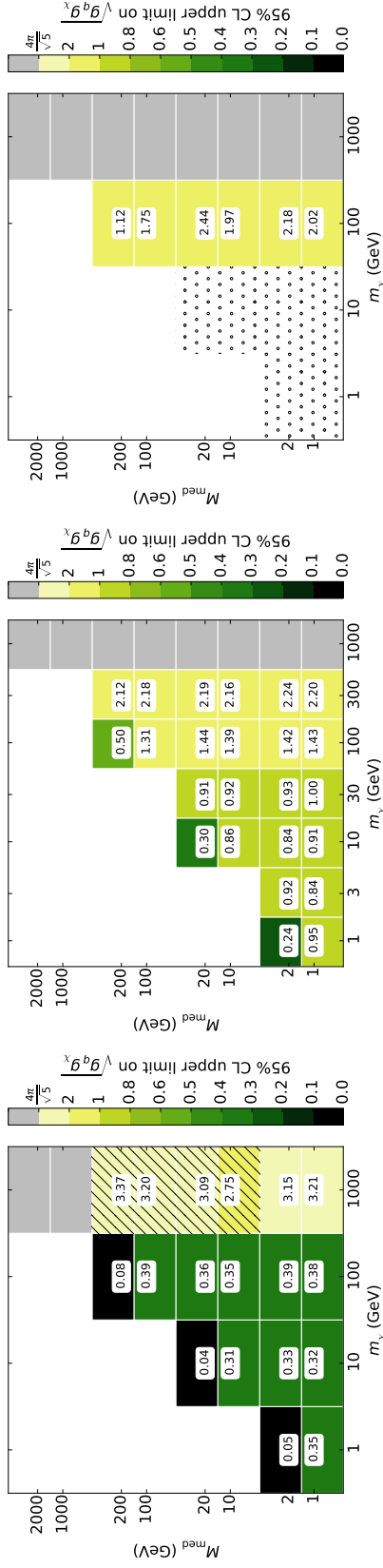


(e)  $sA$  model,  $g_\chi/g_q = 2$ , mono- $Z$  channel.

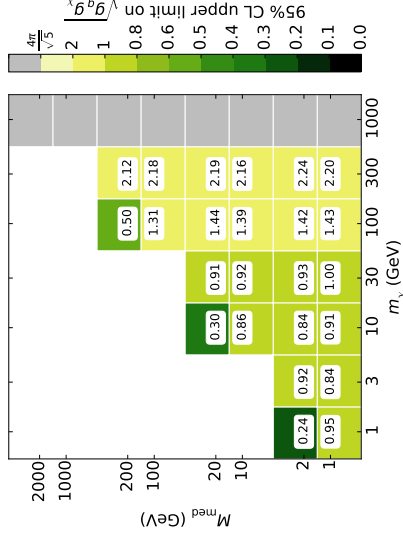


(f)  $sA$  model,  $g_\chi/g_q = 2$ , mono- $W/Z$  channel.

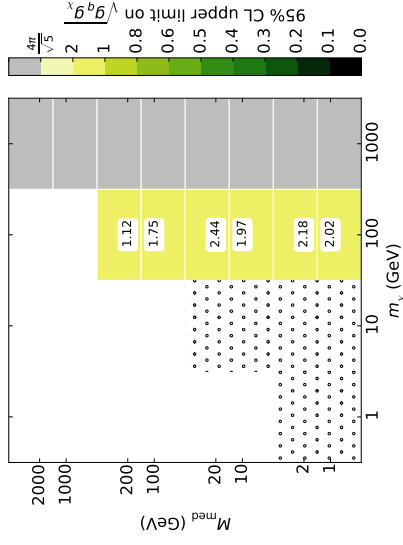
**Figure 5:** Upper limits on the coupling for the  $s$ -channel models in the mono-jet (left), mono- $Z$ (lep) (centre) and mono- $W/Z$ (had) (right) channels, for  $g_\chi/g_q = 2$ . Refer to fig. 3 for details.



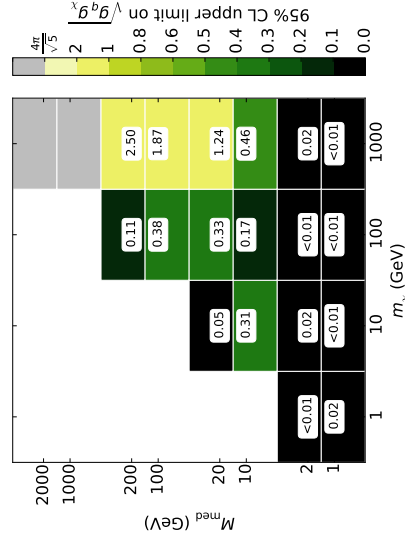
(a)  $sV$  model,  $g_\chi/g_q = 5$ , mono-jet channel.



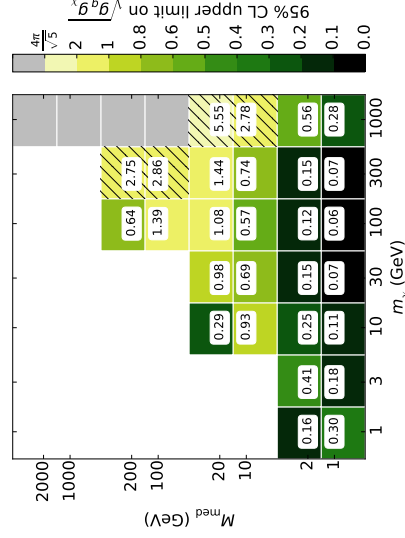
(b)  $sV$  model,  $g_\chi/g_q = 5$ , mono- $Z$  channel.



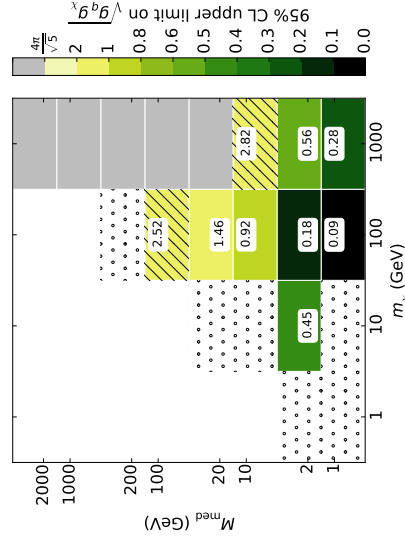
(c)  $sV$  model,  $g_\chi/g_q = 5$ , mono- $W/Z$  channel.



(d)  $sA$  model,  $g_\chi/g_q = 5$ , mono-jet channel.

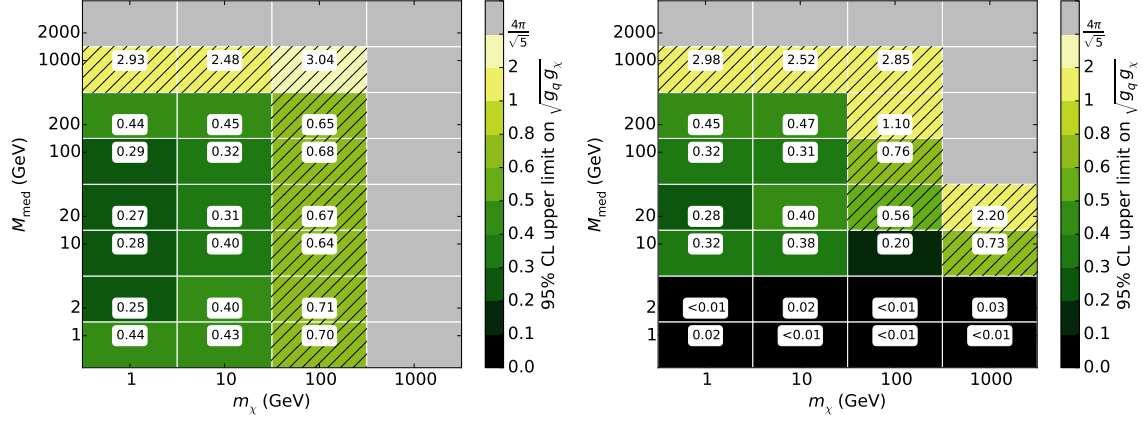


(e)  $sA$  model,  $g_\chi/g_q = 5$ , mono- $Z$  channel.

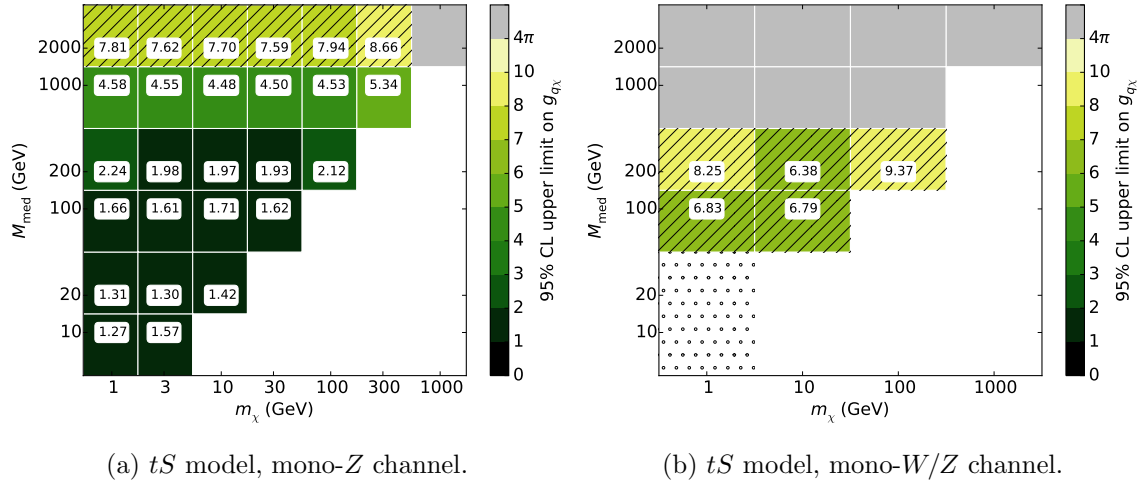


(f)  $sA$  model,  $g_\chi/g_q = 5$ , mono- $W/Z$  channel.

**Figure 6:** Upper limits on the coupling for the  $s$ -channel models in the mono-jet (left), mono- $Z$ (lep) (centre) and mono- $W/Z$ (had) (right) channels, for  $g_\chi/g_q = 5$ . Refer to fig. 3 for details.



**Figure 7:** Upper limits on the coupling for the  $s$ -channel models in the mono-jet channel, for  $g_\chi/g_q = 0.2$ . Refer to fig. 3 for details.



**Figure 8:** Upper limits on the coupling  $g_{q\chi}$  for the  $t$ -channel model in the mono- $Z$ (lep) (left) and mono- $W/Z$ (had) (right) channels. Refer to fig. 3 for details.

Compared to direct detection, the LHC performs relatively better for the SAD model than for the SVD model. This is because the axial-vector coupling leads to a suppressed scattering rate in direct detection experiments while the LHC is relatively insensitive to the difference between the vector and axial-vector couplings. In the non-relativistic limit, the TSD model leads to a mix of both suppressed and unsuppressed operators.

The direct detection constraints assume that the DM candidate under consideration contributes 100% of the local DM density, while the LHC constraints make no assumptions about either the local DM density or overall abundance. In this sense the LHC constraints remain useful even in the region where they are not as strong as those from direct detection.

## 5 Conclusion

In this paper we have recast constraints from ATLAS missing energy searches onto a set of three simplified models. We compare the constraints from searches for monojet +  $E_{\text{T}}^{\text{miss}}$ ,  $Z(\rightarrow \bar{l}l) + E_{\text{T}}^{\text{miss}}$ , and  $W^{\pm}/Z(\rightarrow \text{hadrons}) + E_{\text{T}}^{\text{miss}}$ , finding that ...

Rather than placing a constraint in the  $M_{\text{med}} - m_{\text{DM}}$  plane for a fixed value of the coupling strength, we constrain the coupling strength as a function of both  $M_{\text{med}}$  and  $m_{\text{DM}}$  in a 3D plane. Whilst this does necessitate the introduction of some approximations, it allows us to see the full dependence of the DM production cross-section on the free parameters of the models.

We also compare these constraints to constraints from direct detection and the relic abundance. Although each search has a different set of assumptions, this allows us to see that each technique is complementary and offers strong constraints in certain regions of parameter space.

## 6 Acknowledgements

### A Limit setting strategy

In this appendix we present a summary of the procedure employed to calculate the 95% confidence level (CL) limits on the coupling parameter  $\sqrt{g_q g_{\chi}}$ , where this parameter can be replaced with  $g_{q\chi}$  for the  $tS$  model, and  $M_{\star}$  in the validation of the mono-jet analysis.

#### A.1 Nominal Values

For each SiM, the nominal limit is calculated by taking the model-independent upper limit on  $\sigma \times \mathcal{A} \times \epsilon$  from each analysis, dividing by the value of  $\mathcal{A} \times \epsilon$  (which is taken as a single parameter for each point) to obtain the limiting cross section  $\sigma_{\text{lim}}$ , and rearranging eq. 2.7 to convert to a limit on the couplings. In the  $s$ -channel on-shell case, the width can be expressed as a function of  $g_q$  and the ratio  $g_{\chi}/g_q$ , which simplifies the calculation. We arrive at

$$\sqrt{g_q g_{\chi}}_{\text{lim}} = \begin{cases} \sqrt{g_q g_{\chi}}_{\text{gen}} \times (\sigma_{\text{lim}}/\sigma_{\text{gen}})^{\frac{1}{2}} & \text{if } M_{\text{med}} \geq 2m_{\text{DM}} \text{ (s-channel)} \\ \sqrt{g_q g_{\chi}}_{\text{gen}} \times (\sigma_{\text{lim}}/\sigma_{\text{gen}})^{\frac{1}{4}} & \text{if } M_{\text{med}} < 2m_{\text{DM}} \end{cases} \quad (\text{A.1})$$

where  $\sqrt{g_q g_\chi}$  and  $\sigma_{\text{gen}}$  are the input couplings and cross-section (taken from PYTHIA 8), respectively.

The signal region in each case is chosen based on where the best ‘expected’ limit lies, where that limit is calculated assuming that exactly the expected SM background is observed.

## A.2 Uncertainty Estimation

Our nominal limits rely on both  $\sigma_{\text{gen}}$  and  $\mathcal{A} \times \epsilon$  and so are subject to systematic uncertainties which derive from our choice of signal generation procedure. For our signal samples, there are three key sources of systematic uncertainty: the factorisation and renormalisation scales, the strong coupling constant ( $\alpha_s$ ) and the choice of parton distribution function (PDF).

We assess the impact of the factorisation and renormalisation default scales in a straightforward manner; by varying them simultaneously by factors of 2 (‘up’) and 0.5 (‘down’). The systematic effects of the strong coupling constant and PDF are difficult to separate and so are treated in tandem. We assume that the systematic uncertainty introduced by  $\alpha_s$  at matrix-element level is negligible when compared to the PDF uncertainties, as demonstrated to be valid in ref. [42]. The variation of  $\alpha_s$  in conjunction with a change of PDF is done with the use of specific tunes in PYTHIA 8, which we change simultaneously with the PDF choice to estimate the uncertainty on  $\Delta\sigma_{\text{gen}}$ . The nominal choices of PDF and tune are varied ‘up’ to NNPDF2.1LO PDF + Monash tune, and ‘down’ to CTEQ6L1 PDF and ATLAS UE AU2-CTEQ6L1 tune. For the mono-jet channel, the impact of the matching scale (QCUT) is assessed in a manner similar to that of the factorisation and renormalisation scales. That is, we vary the QCUT by factors of 2 (‘up’ to 160 GeV) and 0.5 (‘down’ to 40 GeV). These systematic uncertainty sources are summarised in table 5.

The average variation in the nominal value of  $\sigma_{\text{lim}}$  (measured as a fraction of  $\sigma_{\text{lim}}$ ) resulting from each systematic source is added in quadrature and propagated to  $\sqrt{g_q g_\chi}$  to obtain the total systematic uncertainty. This process is adjusted slightly to account for the inclusion of statistical uncertainties, which are estimated conservatively by taking the 95% CL *lower* limit on  $\mathcal{A} \times \epsilon$  as calculated with the Wald approximation, i.e.  $\mathcal{A} \times \epsilon \rightarrow (\mathcal{A} \times \epsilon) - \Delta(\mathcal{A} \times \epsilon)$ . Note that the uncertainty on the luminosity is less than 3%, so is considered to be negligible in comparison to other systematic sources.

## B Validation of signal simulation and event selection procedures

### B.1 Monojet Channel

The signal generation and selection procedures for the mono-jet channel are validated via reproduction of the ATLAS limits on  $M_\star \equiv M_{\text{med}}/\sqrt{g_q g_\chi}$ , for the  $s$ -channel vector SiM. A comparison of SR7<sup>8</sup> limits for a representative sample of mediator masses with  $m_\chi = 50$  GeV,  $\Gamma = M/8\pi$  and  $\sqrt{g_q g_\chi} = 1$  is presented in Table 6. In general, good agreement is observed between the ATLAS and reproduced limits, with a maximum difference of 12%.

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<sup>8</sup>We use this signal region as it is the only one for which ATLAS limits are provided.

main systematic sources	PDF/tune	factorisation and renormalisation scales	matching scale (mono-jet only)
variation ‘up’	NNPDF2.1LO + Monash tune	2	160 GeV
nominal	MSTW2008lo68cl + ATLAS UE AU2-MSTW2008LO	1	80 GeV
variation ‘down’	CTEQ6L1 + ATLAS UE AU2-CTEQ6L1	0.5	40 GeV

**Table 5:** Reading left to right, the sources of systematic uncertainty considered in this analysis. Each point in phase space is varied up or down by one of these sources, and the systematic uncertainty is then taken from the resultant changes to the acceptance and cross-section in comparison to their nominal values.

We note that a discrepancy of a few percent is expected given the differences in signal simulation. For example, the simplified matching procedure discussed in detail in Sec 3.1.1 introduces an additional uncertainty of approximately 25% for events with  $E_T^{\text{miss}} > 350$  GeV when compared to the approach utilised by the ATLAS mono-jet group. Further uncertainties are introduced by the jet smearing approximation used in place of a full detector simulation and by the 95% CL estimation procedure (outlined in Appendix A) used instead of a thorough HistFitter treatment. As our results are consistently more conservative than those of the ATLAS analysis, we consider our approach to be acceptable.

## B.2 Mono- $Z(\text{lep})$ Channel

The ATLAS mono- $Z(\text{lep})$  results include an upper limit on the coupling  $g_{q\chi}$  for a  $t$ -channel SiM analogous to our  $tS$  model, and so it is this model which we use to validate our signal generation and selection procedures. Note that the following differences exist: the ATLAS model includes just two mediators (*up*- and *down*-type) where we consider six, the DM particle is taken to be Majorana where we assume Dirac, and the couplings  $g_{t,b\chi}$  are set to zero where we have universal coupling to all three quark generations.

Table 7 shows the 95% CL upper limits on  $g_{q\chi}$  that we calculate using our own generation procedure (and the values in table 3), compared with the limits taken from the ATLAS analysis. Also shown is the difference as a percentage of the ATLAS limit. We see reasonable agreement; most of the 11 points in parameter space are within 10% of the ATLAS limits, and all are within 26%. Additionally, our results are consistently more conservative, which again is to be expected given the less sophisticated nature of our generation procedure. As in the case of the mono-jet validation, the differences stem from the



$M_\star^{gen}$ [TeV]	$M_\star^{95}$ [GeV] (ATLAS)	$M_\star^{95}$ [GeV] (this work)	Difference [%]
0.05	91	89	2.16
0.3	1151	1041	7.3
0.6	1868	1535	11.8
1	2225	1732	12.0
3	1349	1072	6.8
6	945	769	8.5
10	928	724	10.6
30	914	722	9.6

**Table 6:** Comparison of the 95% CL upper limits on  $M_\star$  from this work and from the ATLAS mono-jet analysis [41]. The limits are compared for an  $s$ -channel model with  $m_\chi = 50$  GeV and  $\Gamma$  set to a fixed value of  $M_{\text{med}}/8\pi$ , for the process  $pp \rightarrow \chi\bar{\chi} + 1, 2j$  with QCUT = 80 GeV. Note that  $M_\star^{gen}$  is the input suppression scale.

$m_\chi$ [GeV]	$M_{\text{med}}$ [GeV]	$g_{q\chi}^{95\%CL}$ (ATLAS)	$g_{q\chi}^{95\%CL}$ (this work)	Difference [%]
10	200	1.9	2.0	5.3
	500	2.8	3.2	14.3
	700	3.5	4.4	25.7
	1000	4.5	5.2	15.6
200	500	3.4	4.0	17.6
	700	4.2	4.5	7.1
	1000	5.2	5.3	1.9
400	500	5.5	5.7	3.6
	700	6.1	6.5	6.6
	1000	7.2	7.4	2.8
1000	1200	23.3	24.1	3.4

**Table 7:** Comparison of the upper limit on  $g_{q\chi}$  from the ATLAS analysis [47] and this work.

570 use of  $p_T$  smearing applied to the leptons (rather than a full reconstruction simulation) and  
571 from the simplified treatment of systematics; we also obtained  $\sigma \times \mathcal{A} \times \epsilon$  independently.

### 572 B.3 Mono- $W/Z$ (had) Channel

573 The event generation and selection procedures for the mono- $W/Z$ (had) channel are vali-  
574 dated via reproduction of the ATLAS limit on  $M_\star$  for the D5 EFT operator with  $m_\chi =$   
575 1 GeV. We see agreement within 12.5%, with the ATLAS limit being the stronger of the  
576 two. This is to be expected for a number of reasons. In addition to those reasons already

$m_\chi$ [GeV]	$M_\star^{90\%CL}$ [GeV] (ATLAS)	$M_\star^{95\%CL}$ [GeV] (this work)	Difference [%]
1	570	499	12.5

**Table 8:** Comparison of the upper limit on  $M_\star$  from the ATLAS mono- $W/Z$ (had) analysis [48] and this work.

stated in sections B.1 and B.2, the mono- $W/Z$ (had) D5 limits are only published for the low- $E_T^{\text{miss}}$  signal region, whereas we use only the high- $E_T^{\text{miss}}$  signal region in our recast. Furthermore, the ATLAS analysis uses a shape fit to extract the limit on the number of new physics events while we use a cut-and-count approach. Lastly, the ATLAS limit is quoted at 90% CL, while ours is calculated at 95% CL.

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