Algorithm Design & Analysis (CS3383)¹

Unit 1: Divide and Conquer Introduction

Rasoul Shahsayarifar

January 14, 2019

¹Thanks to Dr. Ptricia Evans and Dr. David Bremner at UNB. Dr. Erik Demaine at MIT for sharing the teaching stuffs



Outline²

Divide and Conquer

Intro
Merge Sort
Recursion Tree for recurrences
Integer Multiplication

²Reading:

- Main textbook (DPV), Divide and conquer algorithms, Chapter 2 mainly 2.1 to 2.5
- Algorithms, Cormen, Chapter 4 (4.2, 4.3, 4.4, 4.5)



unit prereqs

- mergesort
- geometric series (CLRS A.5)

Contents

Divide and Conquer Intro

Merge Sort Recursion Tree for recurrences Integer Multiplication

Structure of divide and conquer

```
function Solve(P)
    if |P| is small then
        SolveDirectly(P)
    else
        P_1 \dots P_k = \mathsf{Partition}(P)
        for i = 1 \dots k do
            S_i = \mathsf{Solve}(P_i)
        end for
        Combine(S_1 \dots S_k)
    end if
end function
```

Where is the actual work?

Structure of divide and conquer

```
function Solve(P)
    if |P| is small then
        SolveDirectly(P)
    else
        P_1 \dots P_k = \mathsf{Partition}(P)
        for i = 1 \dots k do
            S_i = \mathsf{Solve}(P_i)
        end for
        Combine(S_1 \dots S_k)
    end if
end function
```

- Where is the actual work?
- How many subproblems?

Structure of divide and conquer

```
function Solve(P)
    if |P| is small then
        SolveDirectly(P)
    else
        P_1 \dots P_k = \mathsf{Partition}(P)
        for i = 1 \dots k do
            S_i = \mathsf{Solve}(P_i)
        end for
        Combine(S_1 \dots S_k)
    end if
end function
```

- Where is the actual work?
- How many subproblems?
- How big are the subproblems?

Contents

Divide and Conquer

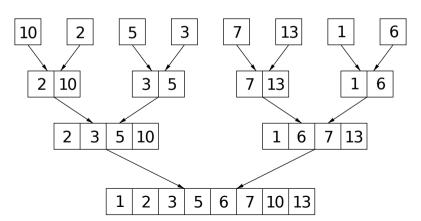
Intro

Merge Sort

Recursion Tree for recurrences Integer Multiplication

Merge Sort

Input: 10 2 5 3 7 13 1 6



Merge-Sort A[1 ... n]

- 1. If n = 1, done.
- 2. Recursively sort $A[1..\lceil n/2\rceil]$ and $A[\lceil n/2\rceil+1..n]$.
- 3. "*Merge*" the 2 sorted lists.

Key subroutine: MERGE

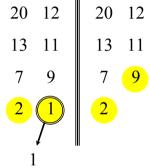


- 20 12
- 13 11
 - 7
- 2

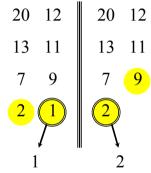


```
20 12
13 11
7 9
2 1
```

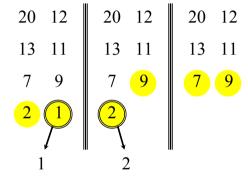




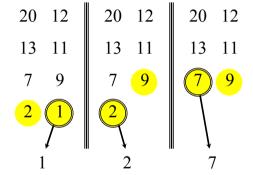




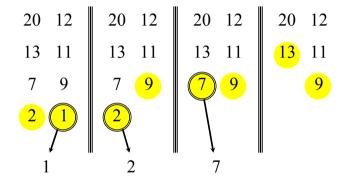




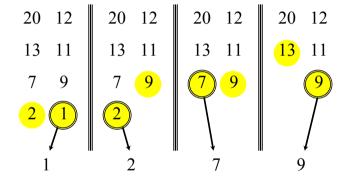




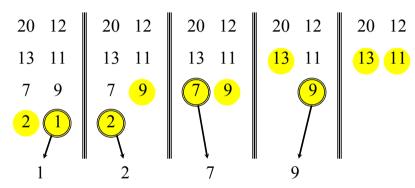




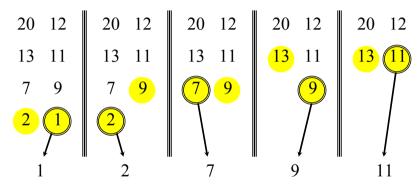




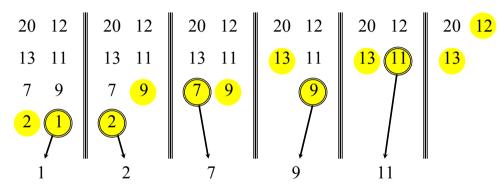




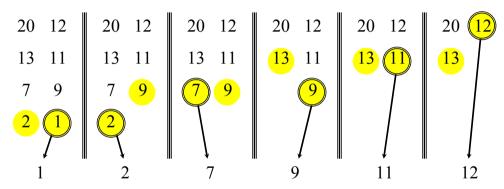




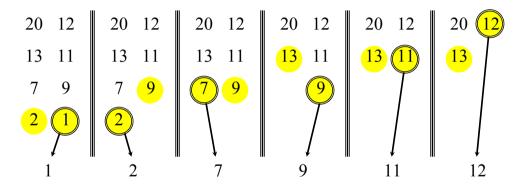












Time = $\Theta(n)$ to merge a total of n elements (linear time).



Analyzing merge sort

```
T(n)MERGE-SORT A[1 ... n]\Theta(1)1. If n = 1, done.2T(n/2)2. Recursively sort A[1 ... [n/2]]<br/>and A[\lceil n/2 \rceil + 1 ... n \rceil.\Theta(n)3. "Merge" the 2 sorted lists
```

Sloppiness: Should be $T(\lceil n/2 \rceil) + T(\lfloor n/2 \rfloor)$, but it turns out not to matter asymptotically.



Recurrence for merge sort

$$T(n) = \begin{cases} \Theta(1) \text{ if } n = 1; \\ 2T(n/2) + \Theta(n) \text{ if } n > 1. \end{cases}$$

- We shall usually omit stating the base case when $T(n) = \Theta(1)$ for sufficiently small *n*, but only when it has no effect on the asymptotic solution to the recurrence.
- We will see several ways starting with "Rec. Tree" to find a good upper bound on T(n).



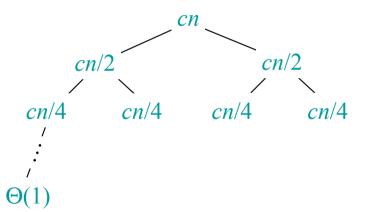




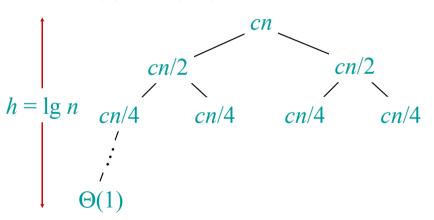
$$T(n/2)$$
 $T(n/2)$



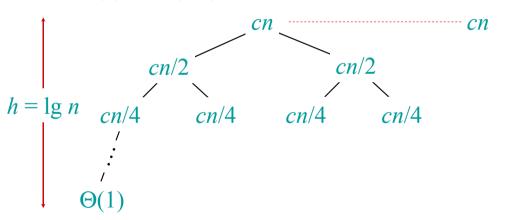




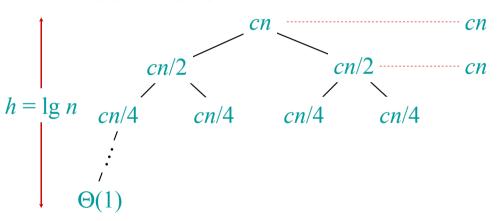




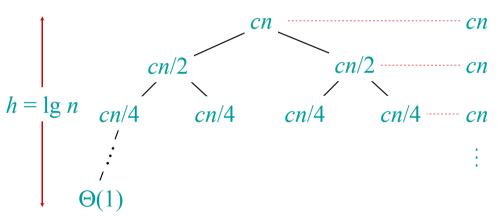




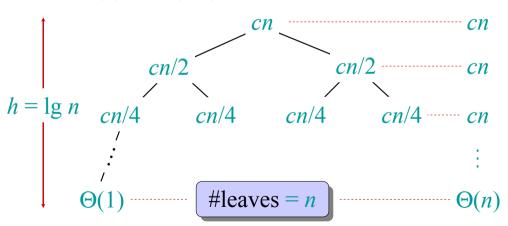






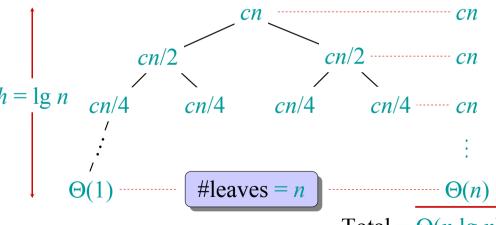








Solve T(n) = 2T(n/2) + cn, where c > 0 is constant.



 $Total = \Theta(n \lg n)$

Contents

Divide and Conquer

Intro

Merge Sort

Recursion Tree for recurrences

Integer Multiplication

Example

$$T(n) = 2T(3n/8) + n^2$$



Contents

Divide and Conquer Intro Merge Sort Recursion Tree for recurrences Integer Multiplication

Consider the problem of multiplying together two arbitrarily large numbers.

Consider the problem of multiplying together two arbitrarily large numbers.

Input: positive integers x and y, each n bits long

Output: positive integer z where $z = x \cdot y$

Consider the problem of multiplying together two arbitrarily large numbers.

Input: positive integers x and y, each n bits long

Output: positive integer z where $z = x \cdot y$

A straightforward approach using base-2 arithmetic, akin to how we multiply by hand, takes $\Theta(n^2)$ time.

Consider the problem of multiplying together two arbitrarily large numbers.

Input: positive integers x and y, each n bits long

Output: positive integer z where $z = x \cdot y$

A straightforward approach using base-2 arithmetic, akin to how we multiply by hand, takes $\Theta(n^2)$ time.

Could we do better if we used results from subinstances?

A Divide and Conquer approach can be considered to be a very large scale version of multiplication, only using base $2^{\lfloor \frac{n}{2} \rfloor}$ instead of a constant base.

A Divide and Conquer approach can be considered to be a very large scale version of multiplication, only using base $2^{\lfloor \frac{n}{2} \rfloor}$ instead of a constant base.

For simplicity we assume that n is a power of 2, so $\frac{n}{2}$ will always be integer.

A Divide and Conquer approach can be considered to be a very large scale version of multiplication, only using base $2^{\lfloor \frac{n}{2} \rfloor}$ instead of a constant base.

For simplicity we assume that n is a power of 2, so $\frac{n}{2}$ will always be integer.

So we split the bitstring for each of x and y in half, generating x_L , x_R , y_L , y_R such that

$$\begin{split} x &= 2^{\frac{n}{2}} \cdot x_L + x_R \\ y &= 2^{\frac{n}{2}} \cdot y_L + y_R \,. \end{split}$$

Using x_L, x_R, y_L, y_R we can now express our multiplication of the n-bit integers as *four* multiplications of $\frac{n}{2}$ -bit integers:

$$n$$
-bit integers as $four$ multiplications of $\frac{n}{2}$ -bit integers:

 $=2^{n} \cdot x_{I} y_{I} + 2^{\frac{n}{2}} \cdot (x_{I} y_{R} + x_{R} y_{I}) + x_{R} y_{R}$

 $x \cdot y = (2^{\frac{n}{2}} \cdot x_L + x_R) \cdot (2^{\frac{n}{2}} \cdot y_L + y_R)$

Using x_L, x_R, y_L, y_R we can now express our multiplication of the n-bit integers as four multiplications of $\frac{n}{2}$ -bit integers:

$$x \cdot y = (2^{\frac{n}{2}} \cdot x_L + x_R) \cdot (2^{\frac{n}{2}} \cdot y_L + y_R)$$
$$= 2^n \cdot x_L y_L + 2^{\frac{n}{2}} \cdot (x_L y_R + x_R y_L) + x_R y_R$$

Computing this with four half-size multiplications gives us a time recurrence of

$$T(n) = 4T\left(\frac{n}{2}\right) + cn$$

Computing this with four half-size multiplications gives us a time recurrence of

$$T(n) = 4T\left(\frac{n}{2}\right) + cn$$

since the operations to split the numbers and put the multiplication results together all take time linear in the number of bits:

the numbers are split by bitshifting

Computing this with four half-size multiplications gives us a time recurrence of

$$T(n) = 4T\left(\frac{n}{2}\right) + cn$$

since the operations to split the numbers and put the multiplication results together all take time linear in the number of bits:

- the numbers are split by bitshifting
- combining the recursion results takes three addition operations and two bitshifts, all linear

To solve $T(n)=4T(\frac{n}{2})+cn$, we can use recursion tree analysis. Each instantiation makes four calls, each on half the size, and takes linear time otherwise, so:

To solve $T(n)=4T(\frac{n}{2})+cn$, we can use recursion tree analysis. Each instantiation makes four calls, each on half the size, and takes linear time otherwise, so:

$$T(n) = \sum_{i=0}^{\log_2 n} c \cdot \frac{n}{2^i} \cdot 4^i$$

To solve $T(n)=4T(\frac{n}{2})+cn$, we can use recursion tree analysis. Each instantiation makes four calls, each on half the size, and takes linear time otherwise, so:

linear time otherwise, so:
$$T(n) = \sum_{i=0}^{\log_2 n} c \cdot \frac{n}{2^i} \cdot 4^i$$

 $= cn \cdot \sum_{i=0}^{\log_2 n} \frac{4^i}{2^i} = cn \cdot \sum_{i=0}^{\log_2 n} 2^i$

To solve $T(n) = 4T(\frac{n}{2}) + cn$, we can use recursion tree analysis. Each instantiation makes four calls, each on half the size, and takes

Each instantiation makes four calls, each on half the size, and takes linear time otherwise, so:
$$T(n) = \sum_{i=0}^{\log_2 n} c \cdot \frac{n}{2^i} \cdot 4^i$$

 $= cn \cdot \sum_{i=0}^{\log_2 n} \frac{4^i}{2^i} = cn \cdot \sum_{i=0}^{\log_2 n} 2^i$ A geometric series To solve $T(n) = 4T(\frac{n}{2}) + cn$, we can use recursion tree analysis. Each instantiation makes four calls, each on half the size, and takes

Each instantiation makes four calls, each on half the size, and takes linear time otherwise, so:
$$T(n) = \sum_{i=0}^{\log_2 n} c \cdot \frac{n}{2^i} \cdot 4^i$$

$$= cn \cdot \sum_{i=0}^{\log_2 n} \frac{4^i}{2^i} = cn \cdot \sum_{i=0}^{\log_2 n} 2^i$$
 A geometric series
$$= cn \cdot \frac{2^{\log_2 n + 1} - 1}{2 - 1} = 2cn \cdot 2^{\log_2 n} - cn$$

To solve $T(n) = 4T(\frac{n}{2}) + cn$, we can use recursion tree analysis. Each instantiation makes four calls, each on half the size, and takes

Each instantiation makes four calls, each on half the size, and takes linear time otherwise, so:
$$T(n) = \sum_{i=1}^{\log_2 n} c \cdot \frac{n}{2^i} \cdot 4^i$$

linear time otherwise, so:
$$T(n) = \sum_{i=0}^{\log_2 n} c \cdot \frac{n}{2^i} \cdot 4^i$$

linear time otherwise, so:
$$T(n) = \sum_{i=0}^{\log_2 n} c \cdot rac{n}{2^i} \cdot 4^i$$

 $= cn \cdot \sum_{i=0}^{\log_2 n} \frac{4^i}{2^i} = cn \cdot \sum_{i=0}^{\log_2 n} 2^i$

 $= cn \cdot \frac{2^{\log_2 n + 1} - 1}{2} = 2cn \cdot 2^{\log_2 n} - cn$

 $= 2cn \cdot n - cn = 2cn^2 - cn \in \Theta(n^2)$

A geometric series

Consider a different way of computing $(x_L y_R + x_R y_L)$, the coefficient of $2^{\frac{n}{2}}$.

Consider a different way of computing $(x_Ly_R+x_Ry_L)$, the coefficient of $2^{\frac{n}{2}}$.

We are already computing $x_L y_L$ and $x_R y_R$

Consider a different way of computing $(x_Ly_R+x_Ry_L)$, the coefficient of $2^{\frac{n}{2}}$.

We are already computing $x_L y_L$ and $x_R y_R$

Considering the binomial product

$$(x_L + x_R)(y_L + y_R) = x_L y_L + x_L y_R + x_R y_L + y_R x_R$$

we get that

$$x_L y_R + x_R y_L = (x_L + x_R)(y_L + y_R) - x_L y_L - x_R y_R$$

Consider a different way of computing $(x_Ly_R+x_Ry_L)$, the coefficient of $2^{\frac{n}{2}}$.

We are already computing $x_L y_L$ and $x_R y_R$

Considering the binomial product

$$(x_L + x_R)(y_L + y_R) = x_L y_L + x_L y_R + x_R y_L + y_R x_R$$

we get that

$$x_L y_R + x_R y_L \ = \ (x_L + x_R) (y_L + y_R) - x_L y_L - x_R y_R$$

This might be better because we already compute $x_L y_L$ and $x_R y_R$

 \blacktriangleright first compute x_L, x_R, y_L, y_R and $x_L + x_R, y_L + y_R$ in linear time

So the recursive algorithm is:

• first compute x_I, x_D, y_I, y_D and $x_I + x_D, y_I + y_D$ in linear

in first compute x_L, x_R, y_L, y_R and $x_L + x_R, y_L + y_R$ in linear time

time then calculate $x_L y_L$, $x_R y_R$, and $(x_L + x_R)(y_L + y_R)$

recursively

- So the recursive algorithm is:

 first compute x_I, x_D, y_I, y_D and $x_I + x_D, y_I + y_D$ in linea
 - \blacktriangleright first compute x_L, x_R, y_L, y_R and $x_L + x_R, y_L + y_R$ in linear time
- time then calculate $x_L y_L$, $x_R y_R$, and $(x_L + x_R)(y_L + y_R)$
- recursively

 and assemble the results in linear time

So the recursive algorithm is:

- \blacktriangleright first compute x_L, x_R, y_L, y_R and $x_L + x_R, y_L + y_R$ in linear time
- \blacktriangleright then calculate x_Ly_L , x_Ry_R , and $(x_L+x_R)(y_L+y_R)$ recursively
- and assemble the results in linear time

Using this approach, we make three recursive calls, each of size $\frac{n}{2}$, yielding the time recurrence

$$T(n) = 3T\left(\frac{n}{2}\right) + cn$$

So the recursive algorithm is:

- \blacktriangleright first compute x_L, x_R, y_L, y_R and $x_L + x_R, y_L + y_R$ in linear time
- \blacktriangleright then calculate x_Ly_L , x_Ry_R , and $(x_L+x_R)(y_L+y_R)$ recursively
- and assemble the results in linear time

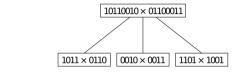
Using this approach, we make three recursive calls, each of size $\frac{n}{2}$, yielding the time recurrence

$$T(n) = 3T\left(\frac{n}{2}\right) + cn$$

Except that's not quite right. What we actually have is

$$T(n) = 2T\left(\frac{n}{2}\right) + T(\frac{n}{2} + 1) + O(n)$$

Solving the recurrence (board)



$$T(n) = 2T\left(\frac{n}{2}\right) + T\left(\frac{n}{2} + 1\right) + O(n)$$

Does the +1 make any difference? Probably not, but how to be sure?

