

# TOWARDS A FRAMEWORK FOR DHT DISTRIBUTED COMPUTING

by

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## ABSTRACT

Distributed Hash Tables (DHTs) are protocols and frameworks used by peer-to-peer (P2P) systems. They are used as the organizational backbone for many P2P file-sharing systems due to their scalability, fault-tolerance, and load-balancing properties. These same properties are highly desirable in a distributed computing environment, especially one that wants to use heterogeneous components.

We show that DHTs can be used not only as the framework to build a P2P file-sharing service, but as a P2P distributed computing platform. We propose creating a P2P distributed computing framework using distributed hash tables, based on our prototype system ChordReduce. This framework would make it simple and efficient for developers to create their own distributed computing applications. Unlike Hadoop and similar MapReduce frameworks, our framework can be used both in both the context of a datacenter or as part of a P2P computing platform. This opens up new possibilities for building platforms to distributed computing problems.

One advantage our system will have is an autonomous load-balancing mechanism. Nodes will be able to independently acquire work from other nodes in the network, rather than sitting idle. More powerful nodes in the network will be able use the mechanism to acquire more work, exploiting the heterogeneity of the network.

By utilizing the load-balancing algorithm, a datacenter could easily leverage additional P2P resources at runtime on an as needed basis. Our framework will allow MapReduce-like or distributed machine learning platforms to be easily deployed in a greater variety of contexts.

INDEX WORDS: Distributed Hash Tables, P2P, Voronoi, Delaunay, Networking

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ANDREW BENJAMIN ROSEN

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# Dedication

I would like to take the time to thank Annie-Rae Rosen, without whom, I would not be who I am today.

To my mother, who gave me a name that became a self-fulfilling prophecy

# Acknowledgements

There were some people who cared about what I did. I'm not particularly sure why.

# Contents

<b>1</b>	<b>Introduction</b>	<b>1</b>
1.1	Objective . . . . .	1
1.2	Applications of Distributed Hash Tables . . . . .	2
1.3	Why Use Distributed Hash Tables in Distributed Computing . . . . .	3
1.3.1	General Challenges of Distributed Computing . . . . .	4
1.3.2	How DHTs Address these Challenges . . . . .	5
	Scalability . . . . .	5
	Fault-Tolerance . . . . .	5
	Load-Balancing . . . . .	6
1.4	Roadmap . . . . .	7
1.4.1	Completed Work . . . . .	7
	Publications . . . . .	8
1.4.2	Summary of Proposal . . . . .	9
	DHT Framework . . . . .	9
	DHT Distributed Computing . . . . .	10
	Autonomous Load-Balancing . . . . .	10
<b>2</b>	<b>Background</b>	<b>11</b>
2.1	What is Needed to Define a DHT . . . . .	11
2.1.1	Terminology . . . . .	14
2.2	Chord . . . . .	16
2.3	Kademlia . . . . .	17

2.4	CAN . . . . .	20
2.5	Pastry . . . . .	22
2.6	Symphony and Small World Routing . . . . .	25
2.7	ZHT . . . . .	26
2.8	Summary . . . . .	28
<b>3</b>	<b>Completed Work</b>	<b>29</b>
3.1	VHash . . . . .	29
	Algorithm Analysis . . . . .	33
3.1.1	Experimental Results . . . . .	34
	Convergence . . . . .	34
	Latency Distribution Test . . . . .	35
3.1.2	Remarks . . . . .	38
3.2	ChordReduce . . . . .	39
3.2.1	Background and Motivation . . . . .	39
3.2.2	What is ChordReduce? . . . . .	40
	File System . . . . .	41
	Computation . . . . .	41
	Robustness . . . . .	43
3.2.3	Experiments . . . . .	44
	Setup . . . . .	45
3.2.4	Heterogeneity Calculation . . . . .	49
3.3	Autonomous Load Balancing . . . . .	50
3.4	Sybil Attacks and Injection . . . . .	52
3.4.1	Experiments . . . . .	53
3.4.2	Ramifications . . . . .	55
3.5	Summary . . . . .	55



# List of Tables

2.1	The different ratios and their associated DHTs . . . . .	28
3.1	. . . . .	49

# List of Figures

2.1	A Voronoi diagram for a Chord network, using Chord's definition of closest. . . . .	13
2.2	A Voronoi diagram for a Chord network, where closest is defined by the node being the closest in either direction. . . . .	13
2.3	A Chord ring with 16 nodes. The fingers (long hop connections) are shown cutting across the ring. . . . .	16
2.4	An example Kademlia network from the original paper [42]. The ovals are the node's $k$ -buckets. . . . .	18
2.5	An example CAN network from [49]. . . . .	20
2.6	An example peerlist for a node in Pastry [53]. . . . .	23
3.1	An example Voronoi diagram for objects on a 2-dimensional space. The black lines correspond to the borders of the Voronoi region, while the dashed lines correspond to the edges of the Delaunay Triangulation. . . . .	31
3.2	These figures show that, starting from a randomized network, DGVH forms a stable and consistent network topology. The Y axis shows the success rate of lookups and the X axis show the number of gossips that have occurred. Each point shows the fraction of 2000 lookups that successfully found the correct destination. . . . .	36
3.3	Figures 3.3a, 3.3b, and 3.3c show the difference in the performance of Chord and VHash for 10,000 routing samples on a 10,000 node underlay network for differently sized overlays. The Y axis shows the observed frequencies and the X axis shows the number of hops traversed on the underlay network. VHash consistently requires fewer hops for routing than Chord. . . . .	37

3.4 Comparison of Chord and VHash in terms of overlay hops. Each overlay has 1000 nodes. The Y axis denotes the observed frequencies of overlay hops and the X axis corresponds to the path lengths in overlay hops. . . . . 38

3.5 A simple architectural diagram of the layers of ChordReduce. . . . . 41

3.6 The "dartboard." The computer throws a dart by choosing a random  $x$  and  $y$  between 0 and 1. If  $x^2 + y^2 < 1^2$ , the dart landed inside the circle.  $A$  and  $B$  are darts that landed inside the circle, while  $C$  did not. . . . . 45

3.7 Our results show that for a sufficiently large job, it was almost always preferable to distribute it. When the job is too small, such as with the  $10^7$  data set, our runtime is dominated by the overhead. Our results are what we would expect when overhead grows logarithmically to the number of workers. . . . . 46

3.8 The larger the size of the job, the greater the gains of distributing with ChordReduce. In addition, the larger the job, the more workers can be added before we start seeing diminishing returns. This demonstrates that ChordReduce is scalable. . . . . 47

3.9 The projected runtime using ChordReduce for differently sized jobs. Each curve projects the expected behavior for job that takes a single worker the specified amount of time. . . . . 48

3.10 The projected speedup for different sized jobs. . . . . 48

3.11 foo . . . . . 54

3.12 a . . . . . 55

# Chapter 1

## Introduction

Distributed Hash Tables (DHTs) are protocols and frameworks used by peer-to-peer (P2P) systems. They are used as the organizational backbone for many P2P file-sharing systems due to their scalability, fault-tolerance, and load-balancing properties. These same properties are highly desirable in a distributed computing environment, especially one that wants to use heterogeneous components. We will show that DHTs can be used not only as the framework to build a P2P file-sharing service, but a more generic distributed computing platform.

### 1.1 Objective

Our goal is to create a framework to further generalize Distributed Hash Tables (DHTs) to be used for distributed computing. Distributed computing platforms need to be scalable, fault-tolerant, and load-balancing. We will discuss what each of these mean and why they are important in section 1.3.1, but briefly:

- The system should be able to work effectively no matter how large it gets. As the system grows in size, we can expect the overhead to grow in size as well, but at an extremely slower rate.
- The more machines integrated into the system, the more we can expect to see hardware failures. The system needs to be able to automatically handle these hardware failures.
- Having a large number of machines to use is worthless if the amount of work is divided

unevenly among the system. The same is true if the system hands out larger jobs to less powerful machines or smaller jobs to the more powerful machines.

These are many of the same challenges that Peer-to-peer (P2P) file sharing applications have. Many P2P applications use DHTs to address these challenges, since DHTs are designed with these problems in mind. We propose that DHTs can be used to create P2P distributed computing platforms that are completely decentralized. There would be no need for some central organizer or scheduler to coordinate the nodes in the network. Our framework would not be limited to only a P2P context, but could be applied in data centers, a normally centrally organized context.

A successful DHT-based computing platform would need to address the problem of dynamic load-balancing. This is currently an unsolved<sup>1</sup> problem. If an application can dynamically reassign work to nodes added at runtime, this opens up new options for resource management. Similarly, if a distributed computation is running too slow, new nodes can be added to the network during runtime or idle nodes can boot up more virtual nodes.

Chapter 2 will delve into how DHTs work and examine specific DHTs. The remainder of the dissertation will then discuss the work we have completed and plan on doing to demonstrate the viability of using DHTs for distributed computing and other non-traditional tasks.

## 1.2 Applications of Distributed Hash Tables

Distributed Hash Tables have been used in numerous applications:

- *P2P file sharing* is by far the most prominent use of DHTs. The most well-known application is BitTorrent [14], which is built on Mainline DHT [37].
- DHTs have been used for *distributed storage* systems [19].
- *Distributed Domain Name Systems* (DNS) have been built upon DHTs [17] [45]. Distributed DNSs are much more robust than DNS to orchestrated attacks, but otherwise require more overhead.
- DHT was used as the name resolution layer of a large *distributed database* [41].

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<sup>1</sup>As far as we know.

- Distributed *machine learning* [35].
- Many *botnets* are now P2P based and built using well established DHTs [54]. This is because the decentralized nature of P2P systems means there is no single vulnerable location in the botnet.
- *Live video streaming* (BitTorrent live) [44].

We can see from this list that DHTs are primarily used in P2P applications, but other applications, such as botnets, use DHTs for their decentralization. We want to use DHTs primarily for their intuitive way of organizing a distributed system.

Our goal was to further extend the use of DHTs. In previous work [51], we showed that a DHT can be to create a distributed computing framework. We used the same mechanism used in P2P applications that assigns nodes their location in the network to evenly distribute work among members of a DHT. The most direct application of a DHT distributed computing framework is a quick and intuitive way to solve embarrassingly parallel problems, such as:

- Brute force cryptography.
- Genetic algorithms.
- Markov chain Monte Carlo methods.
- Random forests.
- Any problem that could be phrased as a MapReduce problem.

Unlike the current distributed applications that utilize DHTs, we want to create a complete framework that can be used to build decentralized applications. We have found no existing projects that provide a means of building your own DHT or DHT based applications.

### 1.3 Why Use Distributed Hash Tables in Distributed Computing

Using distributed hash tables for distributed computing is not necessarily the most intuitive step. To understand why we want to use DHTs for distributed computing, we will first examine some of the more prominent challenges in distributed computing.

### 1.3.1 General Challenges of Distributed Computing

As we mentioned earlier, distributed computing platforms need to be scalable, fault-tolerant, and load-balancing. We will look at these individually:

**Scalability** - Distributed computing platforms should not be completely static and should grow to accommodate new needs. However, as systems grow in size, the cost of keeping that system organized grows too. The challenge of scalability is designing a protocol that grows this organizational cost at an extremely slow rate. For example, a single node keeping track of all members of the system might be a tenable situation up to a certain point, but eventually, the cost becomes too high for a single node. We want this organizational cost spread among many nodes to the point where this cost is insignificant.

**Fault Tolerance** The quality of fault-tolerance or *robustness* means that the system still works even after a component breaks (or many components break). We want our platform to gracefully handle failures during runtime and be able to quickly reassign work to other workers. In addition, the network should be equally graceful in handling the introduction of new nodes during runtime.

**Load-Balancing** The challenge of load balancing is to evenly distribute the work among nodes in the network. This is always an approximation; rarely are there exactly enough pieces for every node to get the same amount of work. The system needs an efficient set of rules for dividing arbitrary jobs into small pieces and sending those pieces to the nodes, without incurring a large overhead.

A subproblem here is handling *heterogeneity*,<sup>2</sup> or how should the system should handle different pieces of hardware with different amounts of computational power.

Note that there is some crossover between these categories. For example, adding new nodes to the system needs to have a low organizational overhead (scalability) and will change the network configuration, which will need to be updated (fault-tolerance).

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<sup>2</sup>It could even be considered a problem in its own right.

### 1.3.2 How DHTs Address these Challenges

Distributed Hash Tables are essentially distributed lookup tables. DHTs use a consistent hashing algorithm, such as SHA-1 [25], to associate nodes and file identifiers with keys. These keys dictate where the nodes and files will be located on the network. The connections between nodes are organized such that any node can efficiently lookup the value associated with any given key, even though the node only knows a small portion of the network. We discuss the specifics of this in Chapter 2.

Nearly every DHT was designed with large P2P applications in mind, with millions of nodes in the network and new nodes entering and leaving continuously.

**Scalability** The organizational responsibility in DHTs is spread among all members of the network. Each node only knows a small subset of the network,<sup>3</sup> but can use the nodes it knows to efficiently find any other node in the network. Because each individual node only knows a small part of the network, the maintenance costs associated with organization are correspondingly small.

Using consistent hashing allows the network to scale up incrementally, adding one node at a time [21]. In addition, each join operation has minimal impact on the network, since a node affects only its immediate neighbors on a join operation. Similarly, the only nodes that need to react to a node leaving are its neighbors. Other nodes can be notified of the missing node passively through maintenance or in response to a lookup.

There have been multiple proposed strategies for tackling scalability, and it is these strategies that play the greatest role in driving the variety of DHT architectures. Each DHT must strike a balance between the size of the lookup table and lookup time. The vast majority of DHTs choose to use  $\lg(n)$  sized tables and  $\lg(n)$  hops, where  $n$  is the number of nodes in the network. Chapter 2 discusses these tradeoffs in greater detail and how they affect the each DHT.

**Fault-Tolerance** One of the most important assumptions of DHTs is that they are deployed on a constantly changing network. DHTs are built to account for a high level of *churn*.<sup>4</sup> *Churn* is the disruption of routing caused by the constant joining and leaving of nodes. In other words, the network topology is assumed to always be in flux. This is mitigated by a few factors.

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<sup>3</sup>Except for ZHT [36], which breaks this rule deliberately by giving each node a full copy of the routing table.

<sup>4</sup>Again, except for ZHT.



First, the network is decentralized, with no single node acting as a single point of failure. This is accomplished by each node in the routing table having a small portion of the both the routing table and the data stored on the DHT.

Second is that each DHT has an inexpensive maintenance processes that mitigates the damage caused by churn. DHTs often integrate a backup process into their protocols so that when a node goes down, one of the neighboring nodes can immediately assume responsibility. The join process also slightly disrupts the topology, as affected nodes must adjust their the list of peers they know to accommodate the joiner.

The last property is that the hashing algorithm used to distribute content evenly across the DHT also distributes nodes evenly across the DHT. This means that nodes in the same geographic region occupy vastly different locations in the network. If an entire geographic region is affected by a network outage, this damage is spread evenly across the DHT, and can be handled, rather than if a contiguous portion were lost.

The fault tolerance mechanisms in DHTs also provide near constant availability for P2P applications. The node that is responsible for a particular key can always be found, even when numerous failures or joins occur [58].

**Load-Balancing** Consistent hashing is also used to ensure load-balancing in DHTs. Consistent hashing algorithms associate nodes and file identifiers with keys. These keys are generated by passing the identifiers into a hash function, typically SHA-160. The chosen hash function is typically large enough to avoid hash collisions<sup>5</sup> and generates keys in a uniform manner.

Essentially, both nodes and data are spread about the network uniformly at random. Nodes are responsible for the files with keys “close” to their own. What “close” means depends on the specific implementation. For example, “close” might mean “closest without going over.”

We found defining the meaning of “close” equivalent choosing a metric for Voronoi tessellation [11]. However, because this is a random process, not all values are evenly distributed, but enough hash keys yield a close enough approximation.

Heterogeneity presents a challenge for load-balancing DHTs due to conflicting assumptions and goals. DHTs assume that members are usually going to be varied in hardware, but the load-

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<sup>5</sup>A hash collision occurs when the hashing algorithm outputs the same hashkey for two different inputs.

balancing process defined in DHTs treats each node equally. In other words, DHTs support heterogeneity, but do not attempt to exploit it.

This does not mean that heterogeneity cannot be exploited. Nodes can be given additional responsibilities manually, by running multiple instances of the P2P application on the same machine or creating more virtual nodes. We will take advantage of this for distributing the workload automatically.

## 1.4 Roadmap

In this section, we give a brief overview of our previous work. We go into further detail of our previous work in Chapter 3 and present the proposed work of our dissertation in Chapter ??.

### 1.4.1 Completed Work

One of our first projects was to create a distributed computing platform using the Chord DHT [51]. Our goal here was to create a completely decentralized distributed computing framework that was fault-tolerant during job execution. We did this by implementing MapReduce over Chord. We then tested our prototype's fault-tolerance by executing MapReduce jobs under churn.

Our experiments with excessively high levels of churn created an anomaly in the runtime of our computations. Under beyond practical levels of experimental churn, we found that our computation was quicker than our experiments without churn. We hypothesized that this is because the random churn is acting as a (inefficient) process for autonomous load-balancing. This phenomena is described in detail in Chapter 3.3, but suggested to us that there was a way to dynamically load-balance during execution.

Our second project was to develop VHash [10] [11], a distributed hash table based on Delaunay Triangulation. VHash is unique due to the way it could work in multidimensional spaces. Other DHTs typically use a space with a single dimension and optimize for the number of hops. VHash can optimize for whatever attributes are used to define the space. Our experiments showed that VHash outperforms Chord in terms of routing latency.

Our third project which analyzed the amount of effort that would be required to attack a DHT using a method known as the Sybil attack [52]. The Sybil attack [22] is a well known attack

against distributed systems, but it had not been fully analyzed from the perspective of an attacker. Our results showed that attackers required relatively few resources to compromise a much larger network. We believe that some of the components that are used to perform a Sybil attack can be used for autonomous load balancing.

## **Publications**

- Andrew Rosen, Brendan Benshoof, Robert W. Harrison, Anu G. Bourgeois “MapReduce on a Chord Distributed Hash Table” Poster at IPDPS 2014 PhD Forum [51]
- Andrew Rosen, Brendan Benshoof, Robert W. Harrison, Anu G. Bourgeois “MapReduce on a Chord Distributed Hash Table” Presentation ICA CON 2014
- Brendan Benshoof, Andrew Rosen, Anu G. Bourgeois, Robert W. Harrison “VHASH: Spatial DHT based on Voronoi Tessellation” Short Paper ICA CON 2014 [11]
- Brendan Benshoof, Andrew Rosen, Anu G. Bourgeois, Robert W. Harrison “VHASH: Spatial DHT based on Voronoi Tessellation” Poster ICA CON 2014
- Brendan Benshoof, Andrew Rosen, Anu G. Bourgeois, Robert W. Harrison “A Distributed Greedy Heuristic for Computing Voronoi Tessellations With Applications Towards Peer-to-Peer Networks” IEEE IPDPS 2015 - Workshop on Dependable Parallel, Distributed and Network-Centric Systems [10]

The following papers are in progress:

- Brendan Benshoof, Andrew Rosen, Anu G. Bourgeois, Robert W. Harrison “UrDHT: A Generalized DHT”
- Andrew Rosen, Brendan Benshoof, Robert W. Harrison, Anu G. Bourgeois “The Sybil Attack on Peer-to-Peer Networks From the Attacker’s Perspective”
- Chaoyang Li, Andrew Rosen, Anu G. Bourgeois “On Minimum Camera Set Problem in Camera Sensor Networks”

Below are publications with other authors not relevant to the proposed work.

- Erin-Elizabeth A. Durham, Andrew Rosen, Robert W. Harrison “A Model Architecture for Big Data applications using Relational Databases” 2014 IEEE BigData - C4BD2014 - Workshop on Complexity for Big Data [23]
- Chinua Umoja, J.T. Torrance, Erin-Elizabeth A. Durham, Andrew Rosen, Dr. Robert Harrison “A Novel Approach to Determine Docking Locations Using Fuzzy Logic and Shape Determination” 2014 IEEE BigData - Poster and Short Paper [59]
- Erin-Elizabeth A. Durham, Andrew Rosen, Robert W. Harrison “Optimization of Relational Database Usage Involving Big Data” IEEE SSCI 2014 - CIDM 2014 - The IEEE Symposium Series on Computational Intelligence and Data Mining [24]

### 1.4.2 Summary of Proposal

We divide the proposed work into three distinct, but mutually dependent parts. One of these parts, the DHT framework, is a part that will be done jointly with Brendan Benshoof. The specifics are given in Chapter ??.

#### **DHT Framework**

The goal of the DHT framework is to create a ready-to-use framework for creating DHT applications. We will then use this to create the DHT applications for DHT distributed computing. While developing VHash, we discovered the closeness metric used by DHTs to determine which node is responsible for what data is analogous to the metric used to create a Voronoi tessellation. This means the neighbors of a node map to Delaunay triangulations. To the best of our knowledge, no other party has inferred the relationship between Voronoi tessellations, Delaunay triangulations and DHTs. These properties give us a way to postulate an *ur*-DHT, a progenitor DHT which could be used to define all other DHTs.

UrDht is an open source project which we created. We will use UrDHT to implement and test multiple DHTs and applications. Using the same base framework allows us to minimize implementation differences when comparing DHTs in experiments, but also allows us to create applications quickly.

## **DHT Distributed Computing**

This portion will be the bulk of the experimental work and data gathering. Using our created framework, we will create implement and test distributed computing problems on different DHT implementations, such as Chord [58] and Kademlia [42].

## **Autonomous Load-Balancing**

Our goal is to develop a new and efficient algorithm for balancing the workload among members of the DHT. Load balancing schemes do exist for file storage, but none exist for computation. Furthermore, we want to develop a system that takes into account the heterogeneity of a given system, allowing more powerful nodes to take on more responsibility.

## Chapter 2

# Background

This chapter gives a broad overview of the concepts and implementations of Distributed Hash Tables (DHTs). This will provide context for our completed and future work.

DHTs have been a vibrant area of research for the past decade, with several of the concepts dating further back [14] [42] [49] [50] [47] [58] [53]. Numerous DHTs have been developed over the years and each of the major topologies have had multiple implementation and derivatives. This is partly because the process of designing DHTs involves making tradeoffs in maintenance schemes, topology, and memory, with no choice being strictly better than any other.

### 2.1 What is Needed to Define a DHT

There are a couple of ways to define what a DHT is. A distributed hash table assigns each node and data object in the network a unique key. The key corresponds to the identifier for the node or the data in question, typically IP/port combination or filename. This mapping is consistent, so that even though the keys are distributed uniformly at random, the key is always the same for the same input.

DHTs are traditionally used to form a peer-to-peer overlay network, in which the DHT defines the network topology. Any member of the network can efficiently find the node that corresponds to a particular key. Data can be stored in the network and can be retrieved by finding the node that is responsible for that key.

A distributed hash table can also be thought of as a space with points (data) and Voronoi

generators (nodes). A node is responsible for data that falls within its Voronoi region, which is defined by the peers closest to it. The peers that share a border for a Voronoi region are members of the node's Delaunay triangulation. Starting from any node in the network, we can find any particular node or the node responsible for a particular point in sublinear time. Regardless of the definitions, each DHT protocol needs to specify specific qualities:

**Distance Metric** There needs to be a way to establish how far things are from one another. Once we have a distance metric, we define what we mean when we say a node is responsible for all data *close* to it.

**Closeness Definition** This definition of *closeness* is essential, since it defines what a node is responsible for and who its short hops are. The definition of closeness and distance are related but different.

We shall use Chord [58] as an example. The distance from  $a$  to  $b$  is defined as the shortest distance around the circle in either direction. However, a node is responsible for the points between its predecessor and it. The corresponding Voronoi diagram is showing in Figure 2.1.

However, say we were to use a more intuitive definition for closeness, where a node is responsible for the keys that were closer to it than any other node. In this case, we end up with the diagram in Figure 2.2.

**A Midpoint Definition** This defines the point which is the *minimal* equidistant point between two given points.

**Peer Management Strategy** This is the meat of the definition of a Distributed Hash Table. The peer management strategy includes how big peerlists are, what goes in it, and how often peers are checked to see if they are still alive. This is where almost all trade-offs are made.

Surprisingly, there is no need to define a routing strategy for individual DHTs. This is because all DHTs use the same overall routing strategy: forward the message to the known node closest to the destination. *How* routing is implemented depends on the protocol in question. Chord's routing can be implemented recursively or iteratively, while Kademlia's uses parallel iterative queries.

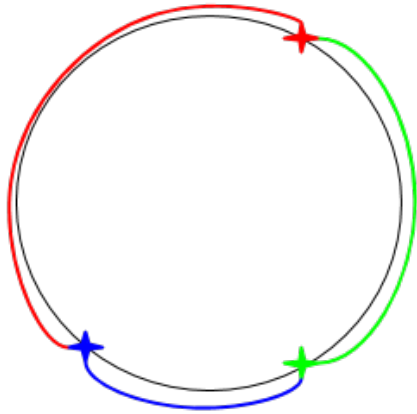


Figure 2.1: A Voronoi diagram for a Chord network, using Chord's definition of closest.

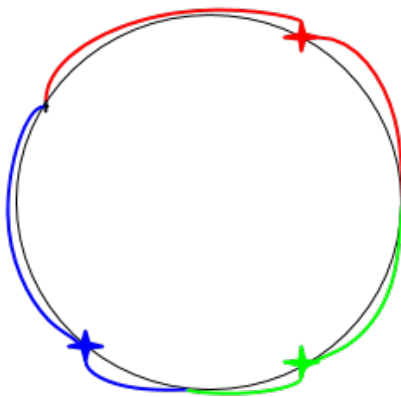


Figure 2.2: A Voronoi diagram for a Chord network, where closest is defined by the node being the closest in either direction.



### 2.1.1 Terminology

The large number of DHTs have lead many papers to use different terms to describe congruent elements of DHTs, as some terms may make sense only in one context. Since this paper will cover multiple DHTs that use different terms, we have created a unified terminology:

**key** - The identifier generated by a hash function corresponding to a unique<sup>1</sup> node or file. SHA-1, which generates 160-bit hashes, is typically used as a hashing algorithm.<sup>2</sup>

**ID** - The ID is a key that corresponds to a particular node. The ID of a node and the node itself are referred to interchangeably. In this proposal, we refer to nodes by their ID and files by their keys.

**Peer** - Another active member on the network. For this section, we assume that all peers are different pieces of hardware.

**Peerlist** - The set of all peers that a node knows about. This is sometimes referred to as the *routing table*, but certain DHTs [53] [65] overload the terminology. Any table or list of peers is a subset of the entire peerlist.

**Short-hops** - The subset of peers that are “closest/adjacent” to the node in the keypace, according to the DHT’s metric. In a 1-dimensional ring, such a Chord [58], this is the node’s *predecessor(s)* and *successor(s)*. They may also be called *neighbors*.

**Long-hops** - The subset of the peerlist that the node is not adjacent to. These are sometimes referred to as fingers, long links, or shortcuts.

**Root Node** - The node responsible for a particular key.

**Successor** - Alternate name for the root node. The successor of a node is the neighbor that will assume a nodes responsibilities if that node leaves.

**$n$  nodes** - The number of nodes in the network.

Similarly, All DHTs perform the same operations with minor variation.

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<sup>1</sup>Unique with extremely high probability. The probability of a hash collision is extremely low.

<sup>2</sup>Due to the research into hash collisions [57], and the glut of hardware that currently exists to perform SHA hash collisions, SHA1 is being depreciated by 2017.

**lookup(key)** - This operation finds the root node of **key**. Almost every operation on a DHT needs to leverage the **lookup** operation in some way.

**put(key, value)** - Stores **value** at the root node of **key**. Unless otherwise specified, **key** is assumed be the hashkey of **value**. This assumption is broken in Tapestry.

**get(key)** - This operates like **lookup**, except the context is to return the value stored by a **put**. This is a subtle difference, since one could **lookup(key)** and ask the corresponding node directly. However, many implementations use backup operations and caching, which will store multiple copies of the value along the network. If we do not care which node returns the value mapped with **key**, or if it is a backup, we can express it with **get**.

**delete(key, value)** - This is self-explanatory. Typically, DHTs do not worry about key deletion and leave that option to the specific application. When DHTs do address the issue, they often assume that stored key-value pairs have a specified time-to-live, after which they are automatically removed.

On the local level, each node has to be able to *join* and perform maintenance on itself.

**join()** The join process encompasses two steps. First, the joining node needs to initialize its peerlist. It does not necessarily need a complete peerlist the moment it joins, but it must initialize one. Second, the joining node needs to inform other nodes of its existence.

**Maintenance** Maintenance procedures generally are either *active* or *lazy*. In active maintenance, peers are periodically pinged and are replaced when they are no longer detected. Lazy maintenance assumes that peers in the peerlist are healthy until they prove otherwise, in which case they are either replaced immediately. In general, lazy maintenance is used on everything, while active maintenance is only used on neighbors<sup>3</sup>.

When analyzing the DHTs in this chapter, we look at the overlay's geometry, the peerlist, the **lookup** function, and how fault-tolerance is performed in the DHTs. We assume that nodes never politely leave the network but always abruptly fail, since a **leave()** operation is fairly trivial and has minimal impact.

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<sup>3</sup>check this statement for consistency

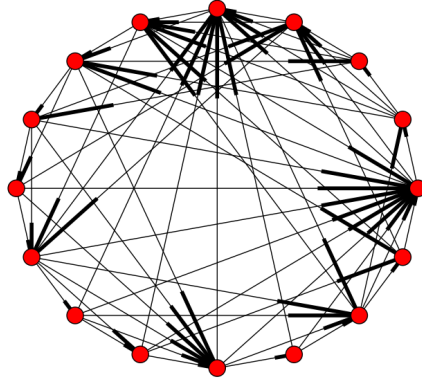


Figure 2.3: A Chord ring with 16 nodes. The fingers (long hop connections) are shown cutting across the ring.

## 2.2 Chord

Chord [58] is the archetypal ring-based DHT and it is impossible to create a new ring-based DHT without making some comparison to Chord. It is notable due its straightforward routing, its rules which make ownership of keys very easy to sort out, and the large number of derivatives.

Chord is extremely well known in Computer Science, and was awarded the prestigious 2011 SIGCOMM Test of Time Award [64]. However, recent research has demonstrated that there have been no correct implementations of Chord in over a decade [64].

### Peerlist and Geometry

Chord is a 1-dimensional modular ring in which all messages travel in one direction - upstream, hopping from one node to another node with a greater ID until it wraps around. Each member of the network and the data stored within it is hashed to a unique  $m$ -bit key or ID, corresponding to one of the  $2^m$  locations on a ring. An example Chord network is shown in Figure 2.3.

A node in the network is responsible for all the data with keys upstream from its predecessor's ID, up through and including its own ID. If a node is responsible for some key, it is referred to being the root or successor of that key.

Lookup and routing is performed by recursively querying nodes upstream. Querying only neighbors in this manner would take  $O(n)$  time to lookup a key.

To speedup lookups, each node maintains a table of  $m$  shortcuts to other peers, called the *finger table*. The  $i$ th entry of a node  $n$ 's finger table corresponds to the node that is the successor of the key  $n + 2^{i-1} \bmod 2^m$ . During a lookup, nodes query the finger that is closest to the sought key without going past it, until it is received by the root node. Each hop essentially cuts the search space for a key in half. This provides Chord with a highly scalable  $\log_2(n)$  lookup time for any key [58], with an average  $\frac{1}{2}O(\log_2(n))$  number of hops.

Besides the finger tables, the peerlist includes a list of  $s$  neighbors in each direction for fault tolerance. This brings the total size of the peerlist to  $\log_2(2^m) + 2 \cdot s = m + 2 \cdot s$ , assuming the entries are distinct.

## Joining

To join the network, node  $n$  first asks  $n'$  to find `successor( $n$ )`. Node  $n$  uses the information to set his successor, and maintenance will inform the other nodes of  $n$ 's existence. Meanwhile,  $n$  will takeover some of the keys that his successor was responsible for.

## Fault Tolerance

Robustness in the network is accomplished by having nodes backup their contents to their  $s$  immediate successors, the closest nodes upstream. This is done because when a node leaves the or fail, the most immediate successor would be responsible for the keys. In the case of multiple nodes failing all at once, having a successor list makes it extremely unlikely that any given stored value will be lost.

As nodes enter and leave the ring, the nodes use their maintenance procedures to guide them into the right place and repair any links with failed nodes. The process takes  $O(\lg^2(n))$  messages. Full details on Chord's maintenance cycle can be found here [58].

## 2.3 Kademlia

Kademlia [42] is perhaps the most well known and most widely used DHT, as a modified version of Kademlia (Mainline DHT) is forms backbone of the BitTorrent protocol. The motivation of Kademlia was to create a way for nodes to incorporate peerlist updates with each query made.

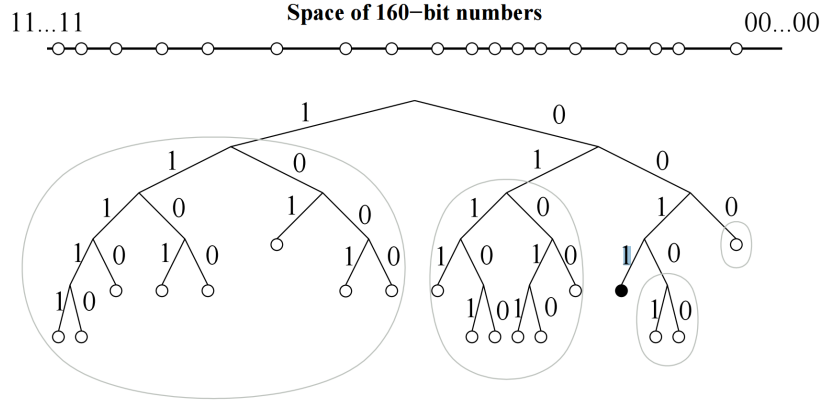


Figure 2.4: An example Kademlia network from the original paper [42]. The ovals are the node's  $k$ -buckets.

## Peerlist and Geometry

Like Chord, Kademlia uses  $m$ -bit keys for nodes and files. However, Kademlia utilizes a binary tree-based structure, with the nodes acting as the leaves of the tree. Distance between any two nodes in the tree is calculated by XORing their IDs. The XOR distance metric means that distances are symmetric, which is not the case in Chord.

Nodes in Kademlia maintain information about the network using a routing table that contains  $m$  lists, called  $k$ -buckets. For each  $k$ -bucket contains up to  $k$  nodes that are distance  $2^i$  to  $2^{i+1}$ , where  $0 \leq i < m$ . In other words, each  $k$ -bucket corresponds to a subtree of the network not containing the node. An example network is shown in Figure 2.4.

Each  $k$ -bucket is maintained by a least recently seen eviction algorithm that skips live nodes. Whenever the node receives a message, it adds the sender's info to the tail of the corresponding  $k$ -bucket. If that info already exists, the info is moved to the tail.

If the  $k$ -bucket is full, the node starts pinging nodes in the list, starting at the head. As soon as a node fails to respond, that node is evicted from the list to make way for the new node at the tail.

If there are no modifications to a particular  $k$ -bucket after a long period of time, the node does a **refresh** on the  $k$ -bucket. A refresh is a **lookup** of a random key in that  $k$ -bucket.

## Lookup

In most DHTs, `lookup(key)` sends a single message and returns the information of a single node. The `lookup` operation in Kademlia differs in both respects: `lookup` is done in parallel and each node receiving a `lookup(key)` returns the  $k$  closest nodes to `key` it knows about.

A `lookup(key)` operation begins with the seeking node sending lookups in parallel to the  $\alpha$  nodes from the appropriate  $k$ -bucket. Each of these  $\alpha$  nodes will asynchronously return the  $k$  closest nodes it knows closest to `key`. As lookups return their results, the node continues to send lookups until no new nodes<sup>4</sup> are found.

## Joining

A joining node starts with a single contact and then performs a *lookup* operation on its own ID. Each step of the *lookup* operation yields new nodes for the joining node's peerlist and informs other nodes of its existence. Finally, the joining node performs a **refresh** on each  $k$ -bucket farther away than the closest node it knows of.

## Fault-Tolerance

Nodes actively republish each file stored on the network each hour by rerunning the **store** command. To avoid flooding the network, two optimizations are used.

First if a node receives a **store** on a file it is holding, it assumes  $k - 1$  other nodes got that same command and resets the timer for that file. This means only one node republishes a file each hour. Secondly, `lookup` is not performed during a republish.

Additional fault tolerance is provided by the nature of the `store(data)` operation, which **puts** the file in the  $k$  closest nodes to the key. However, there is very little in the way of frequent and active maintenance other than what occurs during `lookup` and the other operations.

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<sup>4</sup>If a file being stored on the network is the objective, the `lookup` will also terminate if a node reports having that file.

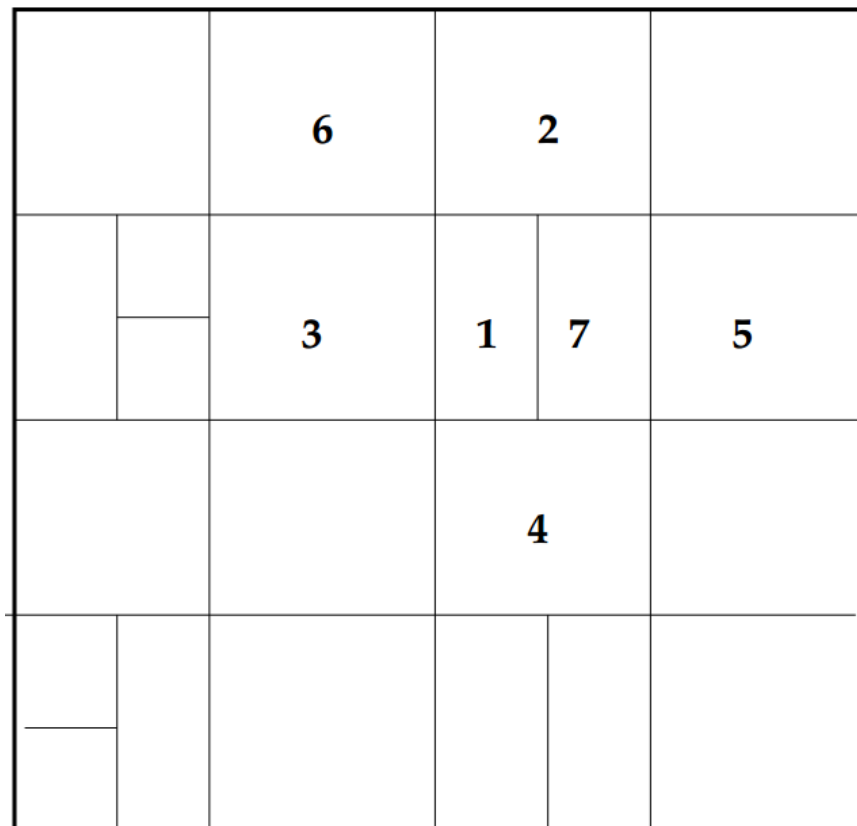


Figure 2.5: An example CAN network from [49].

## 2.4 CAN

Unlike the previous DHTs presented in this chapter, the Content Addressable Network (CAN) [49] works in a  $d$ -dimensional torus, with the entire coordinate space divided among members. A node is responsible for the keys that fall within the “zone” that it owns. Each key is hashed into some point within the geometric space.

### Peerlist and Geometry

CAN uses an exceptionally simple peerlist consisting only of neighbors. Every node in the CAN network is assigned a geometric region in the coordinate space and each node maintains a routing table consisting each node that borders the node’s region. An example CAN network is shown in Figure 2.5

The size of the routing table is a function of the number of dimensions,  $O(d)$ . The lower bound on the routing tables size in a populated network (eg, a network with at least  $2d$  nodes) is  $\Omega(2d)$ .

This is obtained by looking at each axis, where there is at least one node bordering each end of the axis. The size of the routing table can grow as more nodes join and the space gets further divided; however, maintenance algorithms prevent the regions from becoming too fragmented.

## Lookup

As previously mentioned, each node maintains a routing table corresponding to their neighbors, those nodes it shares a face with. Each hop forwards the lookup to the neighbor closest to the destination, until it comes to the responsible node. In a space that is evenly divided among  $n$  nodes, this simple routing scheme uses only  $2 \cdot d$  space while giving average path length of  $\frac{d}{4} \cdot n^{\frac{1}{d}}$ . The overall lookup time of in CAN is bounded by  $O(n^{\frac{1}{d}})$  hops<sup>5</sup>.

If a node encounters a failure during lookup, the node simply chooses the next best path. However, if lookups occur before a node can recover from damage inflicted by churn, it is possible for the greedy lookup to fail. The fallback method is to use an expanding ring search until a candidate is found, which recommences greedy forwarding.

## Joining

Joining works by splitting the geometric space between nodes. If node  $n$  with location  $P$  wishes to join the network, it contacts a member of the node to find the node  $m$  currently responsible for location  $P$ . Node  $n$  informs  $m$  that it is joining and they divide  $m$ 's region such that each becomes responsible for half.

Once the new zones have been defined,  $n$  and  $m$  create its routing table from  $m$  and its former neighbors. These nodes are then informed of the changes that just occurred and update their tables. As a result, the join operation affects only  $O(d)$  nodes. More details on this splitting process can be found in CAN's original paper [49].

## Repairing

A node in a DHT that notifies its neighbors that its leaves usually has minimal impact to the network and in this is true for most cases in CAN. A leaving node,  $f$ , simply hands over its zone

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<sup>5</sup>Around the same time CAN was being developed, Kleinberg was doing research into small world networks [29]. He proved similar properties for lattice networks with a single shortcut. What makes this network remarkable is lack of shortcuts.



to one of its neighbors of the same size, which merges the two zones together. Minor complications occur if this is not possible, when there is no equally-sized neighbor. In this case,  $f$  hands its zone to its smallest neighbor, who must wait for this fragmentation to be fixed.

Unplanned failures are also relatively simple to deal with. Each node broadcasts a heartbeat to its neighbors, containing its and its neighbors' coordinates. If a node fails to hear a heartbeat from  $f$  after a number of cycles, it assumes  $f$  must have failed and begins a **takeover** countdown. When this countdown ends, the node broadcasts<sup>6</sup> a **takeover** message in an attempt to claim  $f$ 's space. This message contains the node's volume. When a node receives a **takeover** message, it either cancels the countdown or, if the node's zone is smaller than the broadcaster's, responds with its own **takeover**.

The general rule of thumb for node failures in CAN is that the neighbor with the smallest zone takes over the zone of the failed node. This rule leads to quick recoveries that affect only  $O(d)$  nodes, but requires a zone reassignment algorithm to remove the fragmentation that occurs from **takeovers**.

To summarize, a failed node is detected almost immediately, and recovery occurs extremely quickly, but fragmentation must be fixed by a maintenance algorithm.

## 2.5 Pastry

Pastry [53] and Tapestry [65] are extremely similar use a prefix-based routing mechanism introduced by Plaxton et al. [48]. In Pastry and Tapestry, each key is encoded as a base  $2^b$  number (typically  $b = 4$  in Pastry, which yields easily readable hexadecimal). The resulting peerlist best resembles a hypercube topology [16], with each node being a vertice of the hypercube.

One notable feature of Pastry is the incorporation of a proximity metric. The peerlist uses IDs that are close to the node according to this metric.

### Peerlist

Pastry's peerlist consists of three components: the routing table, a leaf set, and a neighborhood set. The routing table consists of  $\log_{2^b}(n)$  rows with  $2^b - 1$  entries per row. The  $i$ th level of the

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<sup>6</sup>This message is sent to all of  $f$ 's neighbors.

NodeId 10233102			
Leaf set		SMALLER	LARGER
10233033	10233021	10233120	10233122
10233001	10233000	10233230	10233232
Routing table			
-0-2212102	1	-2-2301203	-3-1203203
0	1-1-301233	1-2-230203	1-3-021022
10-0-31203	10-1-32102	2	10-3-23302
102-0-0230	102-1-1302	102-2-2302	3
1023-0-322	1023-1-000	1023-2-121	3
10233-0-01	1	10233-2-32	
0		102331-2-0	
		2	
Neighborhood set			
13021022	10200230	11301233	31301233
02212102	22301203	31203203	33213321

Figure 2.6: An example peerlist for a node in Pastry [53].

routing table correspond to the peers with that match first  $i$  digits of the example nodes ID.

Thus, the 0th row contains peers which don't share a common prefix with the node, the 1st row contains those that share a length 1 common prefix, the 2nd a length 2 common prefix, etc. Since each ID is a base  $2^b$  number, there is one entry for each of the  $2^b - 1$  possible differences.

For example, let us consider a node 05AF in system where  $b = 4$  and the hexadecimal keyspace ranges from 0000 to FFFF.

- 1322 would be an appropriate peer for the 1st entry of level 0.
- 0AF2 would be an appropriate peer for the 10th<sup>7</sup> entry of level 1.
- 09AA would be an appropriate peer for the 9th entry of level 1.
- 05F2 would be an appropriate peer for the 2nd entry of level 3.

The leaf set is used to hold the  $L$  nodes with the numerically closest IDs; half of it for smaller IDs and half for the larger. A typical value for  $L$  is  $2^b$  or  $2^{b+1}$ . The leaf set is used for routing when the destination key is close to the current node's ID. The neighborhood set contains the  $L$  closest nodes, as defined by some proximity metric. It, however, is generally not used for routing. Figure 2.6 shows an example peerlist of a node in PAST.

<sup>7</sup>0 is the 0th level.

## Lookup

The `lookup` operation is a fairly straightforward recursive operation. The `lookup(key)` terminates when the `key` falls within the range of the leaf set, which are the nodes *numerically* closest to the current node. In this case, the destination will be one of the leaf set, or the current node.

If the destination node is not immediately apparent, the node uses its routing table to select the next node. The node looks at the length  $l$  shared prefix, at examines the  $l$ th row of its routing table. From this row, the `lookup` continues with the entry that matches at least another digit of the prefix. In the case that this entry does not exist or has failed, the `lookup` continues from the closest ID chosen from the entire peerlist. This process is described by Algorithm 1. Lookup is expected to take  $\lceil \log_{2^b} \rceil$ , as each hop along the routing table reduces the search space by  $\frac{1}{2^b}$ .

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**Algorithm 1** Pastry lookup algorithm

---

```
Let  $L$  be the routing
function LOOKUP( $key$ )
  if  $key$  is in the range of the leaf set then
    destination is closest ID in the leaf set or self
  else
     $next \leftarrow$  entry from routing table that matches  $\geq 1$  more digit
    if  $next \neq null$  then
      forward to  $next$ 
    else
      forward to the closest ID from the entire peerlist
    end if
  end if
end function
```

---

## Joining

To join the network, node  $J$  sends a `join` message to  $A$ , some node that is close according to the proximity metric. The `join` message is forwarded along like a `lookup` to the root of  $X$ , which we'll call  $root$ . Each node that received the `join` sends a copy of their peerlist to  $J$ .

The leaf set is constructed from copying  $root$ 's leaf set, while  $i$ th row in the routing table routing table is copied from the  $i$ th node contacted along the `join`. The neighborhood set is copied from  $A$ 's neighborhood set, as `join` predicates that  $A$  be close to  $J$ . This means  $A$ 's neighborhood set would be close to  $A$ .

After the joining node creates its peerlist, it sends a copy to each node in the table, who then can update their routing tables. The cost of a `join` is  $O(\log_2^b n)$  messages, with a constant coefficient of  $3 * 2^b$

## Fault Tolerance

Pastry lazily repairs its leaf set and routing table. When node from the leaf set fails, the node contacts the node with largest or smallest ID (depending if the failed node ID was smaller or larger respectively) in the leaf set. That node returns a copy of its leaf set, and the node replaces the failed entry. If the failed node is in the routing table, the node contacts a node with an entry in the same row as the failed node for a replacement.

Members of the neighborhood set are actively checked. If a member of the neighborhood set is unresponsive, the node obtains a copy of another entry's neighborhood set and repairs from a selection.

## 2.6 Symphony and Small World Routing

Symphony [39] is a  $1d$  ring-based DHT similar to Chord [58], but is constructed using the properties of small world networks [29]. Small world networks owe their name to a phenomena observed by psychologists in the late 1960's.

Subjects in experiments were to route a postal message to a target person; for example the wife of a Cambridge divinity student in one experiment and a Boston stockbroker in another [43]. The messages were only to be routed by forwarding them to a friend they thought most likely to know the target. Of the messages that successfully made their way to the destination, the average path length from a subject to a participant was only 5 hops.

This lead to research investigating creating a network with randomly distributed links, but with an efficient lookup time. Kleinberg [30] showed that in a 2-dimensional lattice network, nodes could route messages in  $O(\log^2 n)$  hops using only their neighbors and a single randomly chosen<sup>8</sup> finger. In other words,  $O(\log^2 n)$  lookup is achievable with a  $O(1)$  sized routing table.

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<sup>8</sup>Randomly chosen from a specified distribution.

## Peerlist

Rather than the 2-dimensional lattice used by Kleinberg, Symphony uses a 1-dimensional ring<sup>9</sup> like Chord. Symphony assigns  $m$ -bit keys to the modular unit interval  $[0, 1)$ , instead of using a keyspace ranging from 0 to  $2^n - 1$ . This location is found with  $\frac{hashkey}{2^m}$ . This is arbitrary from a design standpoint, but makes choosing from a random distribution simpler.

Nodes know both their immediate predecessor and successor, much like in Chord. Nodes also keep track of some  $k \geq 1$  fingers, but, unlike in Chord, these fingers are chosen at random. These fingers are chosen from a probability distribution corresponding to the expression  $e^{ln(n)+(rand48()-1.0)}$ , where  $n$  is the number of nodes in the network and `rand48()` is a C function that generates a random float?double between 0.0 and 1.0. Because  $n$  is difficult to compute due to the changing nature of P2P networks, each node uses an approximation based on the distance between themselves and their neighbors.

A final feature of note is that links in Symphony are bidirectional. Thus, if a node creates a finger to a peer, that peer creates a, so nodes in Symphony have a grand total of  $2k$  fingers.

## Joining and Fault Tolerance

The joining and fault tolerance processes in Symphony are extremely straightforward. After determining its ID, a joining node asks a member to find the root node for its ID. The joining node integrates itself in between its predecessor and successor and then randomly generates its fingers.

Failures of immediate neighbors are handled by use of successor and predecessor lists. Failures for fingers are handled lazily and are replaced by another randomly generated link when a failure is detected.

## 2.7 ZHT

One of the major assumptions of DHT design is that churn is a significant factor, which requires constant maintenance to handle. A consequence of this assumption is that nodes only store a small subset of the entire network to route to. Storing the entire network is not scalable for the

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<sup>9</sup>This is technically a 1-dimensional lattice.

vast majority of distributed systems due to bandwidth constraints and communication overhead incurred by the constant joining and leaving of nodes.

In a system that does not expect churn, the memory and bandwidth costs for each node to keep a full copy of the routing table are minimal. An example of this would be a data center or a cluster built for higher-performance computing, where churn would overwhelmingly be the result of hardware failure, rather than users quitting.

ZHT [36] is an example of such a system, as is Amazon’s Dynamo [21]. ZHT is a “zero-hop hash table,” which takes advantage of the fact that nodes in High-End Computing environments have a predictable lifetime. Nodes are created when a job begins and are removed when a job ends. This property allows ZHT to `lookup` in  $O(1)$  time.

## Peerlist

ZHT operates in a 64-bit ring, for a total of  $N = 2^{64}$  addresses. ZHT places a hard limit of  $n$  on the maximum number of physical nodes in the network, which means the network has  $n$  partitions of  $\frac{N}{n} = \frac{2^{64}}{n}$  keys. The partitions are evenly divided along the network.

The network consists of  $k$  physical nodes which each are running at least one instance (virtual nodes) of ZHT, with a combined total of  $i$ . Each instance is responsible for some span of partitions in the ring.

Each node maintains a complete list of all nodes in the network, which do not have to be updated very often due to the lack of or very low levels of churn. The memory cost is extremely low. Each instance has a 10MB footprint, and each entry for the membership table takes only 32 bytes per node. This means routing takes anywhere between 0 to 2 hops (explained below).

## Joining

ZHT operates under a static or dynamic membership. In a static membership, no nodes will be joining the network once the network has been bootstrapped. Nodes can join at any time when ZHT is using dynamic membership.

To join, the joiner asks a random member for a copy of the peerlist. The joiner can then determine which node is the most heavily overloaded. The joiner chooses an address in the network to take over partitions from that node.

## Fault Tolerance

Fault tolerance exists to handle only hardware failure or planned departures from the network. Nodes backup their data to their neighbors.

## 2.8 Summary

We have seen that there are a wide variety of distributed hash tables, but they have some clearly defined characteristics that bind them all together. Table 2.1 summarizes the information presented in this chapter.

DHT	Routing Table Size	Lookup Time	Join/Leave	Comments
Chord [58]	$O(\log n)$ , maximum $m + 2s$	$O(\log n)$ , avg $(\frac{1}{2} \log n)$	$< O(\log n^2)$ total messages	$m$ = keysize in bits, $s$ is neighbors in 1 direction
Kademlia [42]	$O(\log n)$ , maximum $m \cdot k$	$(\lceil \log n \rceil) + c$	$O(\log(n))$	This is without considering optimization
CAN [49]	$\Omega(2d)$	$O(n^{\frac{1}{d}})$ , average $\frac{d}{4} \cdot n^{\frac{1}{d}}$	Affects $O(d)$ nodes	$d$ is the number of dimensions
Plaxton-based DHTs, Pastry [53], Tapestry [65]	$O(\log_{\beta} n)$	$O(\lceil \log_{2\beta} n \rceil)$	$O(\log_{\beta} n)$	NodeIDs are base $\beta$ numbers
Symphony [39]	$2k + 2$	average $O(\frac{1}{k} \log^2 n)$	$O(\log^2 n)$ messages, constant $< 1$	$k \geq 1$ , fingers are chosen at random
ZHT [36]	$O(n)$	$O(1)$	$O(n)$	Assumes an extremely low churn
VHash	$\Omega(3d + 1) + O((3d + 1)^2)$	$O(\sqrt[d]{n})$ hops	$3d + 1$	approximates regions, hops are based least latency

Table 2.1: The different ratios and their associated DHTs

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