

Proposal
Towards a Framework for DHT Distributed
Computing

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Abstract

Distributed Hash Tables (DHTs) are protocols and frameworks used by peer-to-peer (P2P) systems. They are used as the organizational backbone for many P2P file-sharing systems due to their scalability, fault-tolerance, and load-balancing properties. These same properties are highly desirable in a distributed computing environment, especially one that wants to use heterogeneous components. DHTs can be used not only as the framework to build a P2P file-sharing service, but a P2P distributed computing platform.

Our framework is a completely decentralized model for organizing heterogeneous units for distributed computation. It incorporates a load-balancing algorithm that is capable of injecting additional nodes during runtime to speed up existing jobs. This algorithm also provides a means of redistributing the load among existing workers during runtime.

Unlike Hadoop and similar MapReduce frameworks, our framework can be used both in both the context of a datacenter or as part of a P2P computing platform. This opens up new possibilities for building platforms to distributed computing problems. By utilizing the load-balancing algorithm, a datacenter could easily leverage additional P2P resources at runtime on an as-needed basis. Our framework also allows MapReduce-like or distributed machine learning platforms to be easily deployed in a greater variety of contexts.

Chapter 1

Introduction

Distributed Hash Tables (DHTs) are protocols and frameworks used by peer-to-peer (P2P) systems. They are used as the organizational backbone for many P2P file-sharing systems due to their scalability, fault-tolerance, and load-balancing properties. These same properties are highly desirable in a distributed computing environment, especially one that wants to use heterogeneous components. We will show that DHTs can be used not only as the framework to build a P2P file-sharing service, but a more generic distributed computing platform.

1.1 Objective

Our goal is to create a framework to further generalize Distributed Hash Tables (DHTs) to be used for distributed computing. Distributed computing platforms need to be scalable, fault-tolerant, and load-balancing. We will discuss what each of these mean and why they are important in section 1.3.1, but briefly:

- The system should be able to work effectively no matter how large it gets. As the system grows in size, we can expect the overhead to grow in size as well, but at an extremely slower rate.
- The more machines integrated into the system, the more we can expect to see hardware failures. The system needs to be able to automatically handle these hardware failures.
- Having a large number of machines to use is worthless if the amount of work is divided unevenly among the system. The same is true if the system hands out larger jobs to less powerful machines or smaller jobs to the more powerful machines.

These are many of the same challenges that Peer-to-peer (P2P) file sharing applications have. Many P2P applications use DHTs to address these challenges, since DHTs are designed with these problems in mind. We propose that DHTs can be used to create P2P distributed computing platforms that are completely

decentralized. There would be no need for some central organized or scheduler to coordinate the nodes in the network. Our framework would not be limited to only a P2P context, but could be applied in data centers, a normally centrally organized context.

A successful DHT-based computing platform would need to address the problem of dynamic load-balancing. This is currently an unsolved¹ problem. If an application can dynamically reassign work to nodes added at runtime, this opens up new options for resource management. Similarly, if a distributed computation is running too slow, new nodes can be added to the network during runtime or idle nodes can boot up more virtual nodes.

Chapter 2 will delve into how DHTs work and examine specific DHTs. The remainder of the proposal will then discuss the work we have completed and plan on doing to demonstrate the viability of using DHTs for distributed computing.

1.2 Applications of Distributed Hash Tables

Distributed Hash Tables have been used in numerous applications:

- *P2P file sharing* is by far the most prominent use of DHTs. The most well-known application is BitTorrent [11], which is built on Mainline DHT [27].
- DHTs have been used for *distributed storage* systems [14].
- *Distributed Domain Name Systems* (DNS) have been built upon DHTs [13] [34]. Distributed DNSs are much more robust than DNS to orchestrated attacks, but otherwise require more overhead.
- DHT was used as the name resolution layer of a large *distributed database* [30].
- Distributed *machine learning* [25].
- Many *botnets* are now P2P based and built using well established DHTs [41]. This is because the decentralized nature of P2P systems means there is no single vulnerable location in the botnet.
- *Live video streaming* (BitTorrent live) [33].

We can see from this list that DHTs are primarily used in P2P applications, but other applications, such as botnets, use DHTs for their decentralization. We want to use DHTs primarily for their intuitive way of organizing a distributed system.

Our goal was to further extend the use of DHTs. In previous work [38], we showed that a DHT can be used to create a distributed computing framework. We used the same mechanism used in P2P applications that assigns nodes their

¹As far as we know.

location in the network to evenly distribute work among members of a DHT. The most direct application of a DHT distributed computing framework is a quick and intuitive way to solve embarrassingly parallel problems, such as:

- Brute force cryptography.
- Genetic algorithms.
- Markov chain Monte Carlo methods.
- Random forests.
- Any problem that could be phrased as a MapReduce problem.

Unlike the current distributed applications which utilize DHTs, we want to create a complete framework which can be used to build decentralized applications. We have found no existing projects that provide a means of building your own DHT or DHT based applications.

1.3 Why Use Distributed Hash Tables in Distributed Computing

Using distributed hash tables for distributed computing is not necessarily the most intuitive step. To understand why we want to use DHTs for distributed computing, we will first examine some of the more prominent challenges in distributed computing.

1.3.1 General Challenges of Distributed Computing

As we mentioned earlier, distributed computing platforms need to be scalable, fault-tolerant, and load-balancing. We will look at these individually:

Scalability - Distributed computing platforms should not be completely static and should grow to accommodate new needs. However, as systems grow in size, the cost of keeping that system organized grows too. The challenge of scalability is designing a protocol that grows this organizational cost at an extremely slow rate. For example, a single node keeping track of all members of the system might be a tenable situation up to a certain point, but eventually, the cost becomes too high for a single node. We want this organizational cost spread among many nodes to the point where this cost is insignificant.

Fault Tolerance The quality of fault-tolerance or *robustness* means that the system still works even after a component breaks (or many components break). We want our platform to gracefully handle failures during runtime and be able to quickly reassign work to other workers. In addition, the network should be equally graceful in handling the introduction of new nodes during runtime.

Load-Balancing The challenge of load balancing is to evenly distribute the work among nodes in the network. This is always an approximation; rarely are there exactly enough pieces for every node to get the same amount of work. The system needs an efficient set of rules for dividing arbitrary jobs into small pieces and sending those pieces to the nodes, without incurring a large overhead.

A subproblem here is handling *heterogeneity*,² or how should the system should handle different pieces of hardware with different amounts of computational power.

It should be noted that there is some crossover between these categories. For example, adding new nodes to the system needs to have a low organizational overhead (scalability) and will change the network configuration, which will need to be updated (fault-tolerance).

1.3.2 How DHTs Address these Challenges

Distributed Hash Tables are essentially distributed lookup tables. DHTs use a consistent hashing algorithm, such as SHA-1 [20], to associate nodes and file identifiers with keys. These keys dictate where the nodes and files will be located on the network. The connections between nodes are organized such that any node can efficiently lookup the value associated with any given key, even though the node only knows a small portion of the network. We discuss the specifics of this in Chapter 2.

Nearly every DHT was designed with large P2P applications in mind, with millions of nodes in the network and new nodes entering and leaving continuously.

Scalability The organizational responsibility in DHTs is spread among all members of the network. Each node only knows a small subset of the network,³ but can use the nodes it knows to efficiently find any other node in the network. Because each individual node only knows a small part of the network, the maintenance costs associated with organization are correspondingly small.

Using consistent hashing allows the network to scale up incrementally, adding one node at a time [16]. In addition, each join operation has minimal impact on the network, since a node affects only its immediate neighbors on a join operation. Similarly, the only nodes that need to react to a node leaving are its neighbors. Other nodes can be notified of the missing node passively through maintenance or in response to a lookup.

There have been multiple proposed strategies for tackling scalability, and it is these strategies which play the greatest role in driving the variety of DHT architectures. Each DHT must strike a balance between size of the lookup

²It could even be considered a problem in its own right.

³Except for ZHT [26], which breaks this rule deliberately by giving each node a full copy of the routing table.

table and lookup time. The vast majority of DHTs choose to use $\lg(n)$ sized tables and $\lg(n)$ hops. Chapter 2 discusses these tradeoffs in greater detail and how they affect the each DHT.

Fault-Tolerance One of the most important assumptions of DHTs is that they are deployed on a constantly changing network. DHTs are built to account for a high level of *churn*.⁴ *Churn* is the disruption of routing caused by the constant joining and leaving of nodes. In other words, the network topology is assumed to always be in flux. This is mitigated by a few factors.

First, the network is decentralized, with no single node acting as a single point of failure. This is accomplished by each node in the routing table having a small portion of the both the routing table and the data stored on the DHT.

Second is that each DHT has an inexpensive maintenance processes that mitigates the damage caused by churn. DHTs often integrate a backup process into their protocols so that when a node goes down, one of the neighboring nodes can immediately assume responsibility. The join process also slightly disrupt the topology, as affected nodes must adjust their peerlists to accommodate the joiner.

The last property is that the hashing algorithm used to distribute content evenly across the DHT also distributes nodes evenly across the DHT. This means that nodes in the same geographic region occupy vastly different locations in the network. If an entire geographic region is affected by a network outage, this damage is spread evenly across the DHT, which can be handled, rather than a contiguous portion.

The fault tolerance mechanisms in DHTs also provide near constant availability for P2P applications. The node that is responsible for a particular key can always be found, even when numerous failures or joins occur [46].

Load-Balancing Consistent hashing is also used to ensure load-balancing in DHTs. Consistent hashing algorithms associate nodes and file identifiers with keys. These keys are generated by passing the identifiers into a hash function, typically SHA-160. The chosen hash function is typically large enough to avoid hash collisions⁵ and generates keys in a uniform manner.

Essentially, both nodes and data are spread about the network uniformly at random. Nodes are responsible for the files with keys “close” to their own. What “close” means depends on the specific implementation. we found defining the meaning of “close” equivalent choosing a metric for Voronoi tessellation [9]. However, because this is a random process, not all values are evenly distributed, but enough hash keys yield a close enough approximation.

Heterogeneity presents a challenge for load-balancing DHTs due to conflicting assumptions and goals. DHTs assume that members are usually going to be varied in hardware, but the load-balancing process defined in DHTs treats each

⁴Again, except for ZHT.

⁵A hash collision occurs when the hashing algorithm outputs the same hashkey for two different inputs.

node equally. In other words, DHTs support heterogeneity, but do not attempt to exploit it.

This does not mean that heterogeneity cannot be exploited. Nodes can be given additional responsibilities manually, by running multiple instances of the P2P application on the same machine or creating more virtual nodes.

1.4 Roadmap

In this section, we give a brief overview of our previous work. We go into further detail of our previous work in Chapter 3 and we enumerate the pieces of our dissertation in Chapter 4.

1.4.1 Completed Work

One of our first projects was to create a distributed computing platform using the Chord DHT [38]. Our goal here was to create a completely decentralized distributed computing framework which was fault-tolerant during job execution. We did this by implementing MapReduce over Chord. We then tested our prototype's fault-tolerance by executing MapReduce jobs under churn.

Our experiments with ludicrously high levels of churn created an anomaly in the runtime of our computations. Under absurd levels of experimental churn, we found that our computation was quicker than our experiments without churn. We hypothesize that this is because the random churn is acting as a (inefficient) process for autonomous load-balancing. This phenomena is described in detail in Chapter 3.2, but suggested to us that there was a way to dynamically load-balance during execution.

The second project we worked on was VHash [9] [8], a distributed hash table based on Delaunay Triangulation. VHash is unique for the way it could work in multidimensional spaces.

We discovered the closeness metric used by DHTs to determine which node is responsible for what data is analogous to the metric used to create a Voronoi tessellation. This means the neighbors of the node map to Delaunay triangulations. To the best of our knowledge, no other party has inferred the relationship between Voronoi tessellations, Delaunay triangulations and distributed hash tables. These properties gives us a way to postulate an *ur*-DHT, a progenitor DHT which could be used to define all other DHTs.

We also did a project which analyzed the amount of effort that would be required to attack a DHT using a method known as the Sybil attack [39]. The Sybil attack [17] is a well known attack against distributed systems, but it had not been fully analyzed from the perspective of an attacker. We believe that some of the components that are used to perform a Sybil attack can be used for autonomous load balancing.

Published Work

- Andrew Rosen, Brendan Benshoof, Robert W. Harrison, Anu G. Bourgeois “MapReduce on a Chord Distributed Hash Table” Presentation ICA CON 2014, Poster at IPDPS 2014 PhD Forum [38]
- Brendan Benshoof, Andrew Rosen, Anu G. Bourgeois, Robert W. Harrison “VHASH: Spatial DHT based on Voronoi Tessellation” Poster and Short Paper ICA CON 2014 [9]
- Brendan Benshoof, Andrew Rosen, Anu G. Bourgeois, Robert W. Harrison “A Distributed Greedy Heuristic for Computing Voronoi Tessellations With Applications Towards Peer-to-Peer Networks” IEEE IPDPS 2015 - Workshop on Dependable Parallel, Distributed and Network-Centric Systems [8]

I also have a number of publications with other authors not relevant to the proposed work.

- Erin-Elizabeth A. Durham, Andrew Rosen, Robert W. Harrison “A Model Architecture for Big Data applications using Relational Databases” 2014 IEEE BigData - C4BD2014 - Workshop on Complexity for Big Data [18]
- Chinua Umoja, J.T. Torrance, Erin-Elizabeth A. Durham, Andrew Rosen, Dr. Robert Harrison “A Novel Approach to Determine Docking Locations Using Fuzzy Logic and Shape Determination” 2014 IEEE BigData - Poster and Short Paper [47]
- Erin-Elizabeth A. Durham, Andrew Rosen, Robert W. Harrison “Optimization of Relational Database Usage Involving Big Data” IEEE SSCI 2014 - CIDM 2014 - The IEEE Symposium Series on Computational Intelligence and Data Mining [19]

1.4.2 Summary of Proposal

The work we want to do can be divided into three distinct, but mutually dependent parts. One of these parts, the DHT framework, is a part that will be done jointly with Brendan Benshoof. The specifics are given in Chapter 4.

DHT Framework

The goal of the DHT framework is to create a ready-to-use framework for creating DHT applications. We will then use this to create the DHT applications for the DHT distributed computing. This section should produce one to two publications: one for the framework itself and how our abstractions can be used to create any DHT, the other will be on expanding our VHash publication [9].

The framework will be developed in either Go or Python3. These two languages are being considered due to their ease of programming.

DHT Distributed Computing

This portion will be the bulk of the experimental work and data gathering. Using our created framework, we will create implement and test distributed computing problems on different DHT implementations, such as Chord [46] and Kademlia [31].

Autonomous Load-Balancing

Our goal here is to develop a new and efficient algorithm for balancing the workload among members of the DHT. Load balancing schemes do exist for file storage, but none exist for computation. Furthermore, we want to develop a system that takes into account the heterogeneity of a given system, allowing more powerful nodes to take on more responsibility.

Chapter 2

Background

DHTs have been a vibrant area of research for the past decade, with several of the concepts dating further back. Numerous DHTs have been developed over the years. This is partly because the process of designing DHTs involves making tradeoffs, with no choice being strictly better than any other.

2.1 What is Needed to Define a DHT

There are a couple of ways to define what a DHT is. A distributed hash table maps assigns each node and data object in the network a unique key. The key corresponds to the identifier for the node or the data in question, typically IP/port combination or filename. This mapping is consistent, so that even though the keys are distributed uniformly at random, the key is always the same for the same input.

DHTs are traditionally used to form a peer-to-peer overlay network, in which the DHT defines the network topology. Any member of the network can efficiently find the node that corresponds to a particular key. Data can be stored in the network and can be retrieved by finding the node that is responsible for that can.

A distributed hash table can also be thought of as a space with points (data) and Voronoi generators (nodes). A node is responsible for data that falls within its Voronoi region, which is defined by the peers closest to it. The peers that share a border for a Voronoi region are members of the node's Delaunay triangulation. Starting from any node in the network, we can find any particular node or the node responsible for a particular point in sublinear time.

Regardless of the definitions, each DHT protocol needs to specify specific qualities:

Distance Metric There needs to be a way to establish how far things are from one another. Once we have a distance metric, we define what we mean when we say a node is responsible for all data *close* to it.

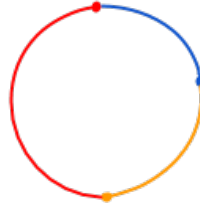


Figure 2.1: A Voronoi diagram for a Chord network, using Chord’s definition of closest.

Closeness Definition This definition of *closeness* is essential, since it defines what a node is responsible for and who its short hops are. The definition of closeness and distance are related but different.

We shall use Chord [46] as an example. The distance from a to b is defined as the shortest distance around the circle in either direction. However, a node is responsible for the points between its predecessor and it. The corresponding Voronoi diagram is showing in Figure 2.1.

However, say we were to use a more intuitive definition for closeness, where a node is responsible for the

A Midpoint Definition

Peer Management Strategy This is the meat of the definition of a Distributed Hash Table. The peer management strategy dictates how big peerlists are, what goes in it, how often peers are checked to see if they are still alive, or even if it occurs. This is where almost all trade-offs are made.

Surprisingly, there is no need to define a routing strategy for individual DHTs. This is because all DHTs use the exact same overall routing strategy: forward the message to the known node closest to the destination. *How* routing is implemented depends on the protocol in question. Chord’s routing can be implemented recursively or iteratively, while Kademlia’s uses parallel iterative queries.

2.1.1 Terminology

The large number of DHTs have lead many papers use different terms to describe congruent elements of DHTs, as some terms may make sense only in one context. Since this paper will cover multiple DHTs that would use different terms, we have created a unified terminology:

key - The identifier generated by a hash function corresponding to a unique¹

¹Unique with extremely high probability. The probability of a hash collision is extremely low.

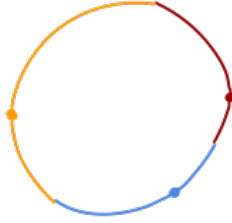


Figure 2.2: A Voronoi diagram for a Chord network, where closest is defined by the node being the closest in either direction.

node or file. SHA-1, which generates 160-bit hashes, is typically used as a hashing algorithm.²

ID - The ID is a key that corresponds to a particular node. The ID of a node and the node itself are referred to interchangeably. In this paper, I try to refer to nodes by their ID and files by their keys.

Peer - Another active member on the network. For this section, we assume that all peers are different pieces of hardware.

Peerlist - The set of all peers that a node knows about. This is sometimes referred to as the *routing table*, but certain DHTs [50] [40] overload the terminology. Any table or list of peers is a subset of the entire peerlist.

Short-hops - The subset of peers that are “closest/adjacent” to the node in the keyspace, according to the DHT’s metric. In a 1-dimensional ring, such as Chord [46], this is the node’s *predecessor(s)* and *successor(s)*. They may also be called *neighbors*.

Long-hops - The subset of the peerlist that the node is not adjacent to. These are sometimes referred to as fingers, long links, or shortcuts.

Root Node - The node responsible for a particular key.

Successor - Alternate name for the root node. The successor of a node is the neighbor that will assume a node’s responsibilities if that node leaves.

²Due to the research into hash collisions [45], and the glut of hardware that currently exists to perform SHA hash collisions, SHA1 is being depreciated by 2017.

n nodes - The number of nodes in the network.

Similarly, All DHTs perform the same operations with minor variation.

lookup(key) - This operation finds the root node of **key**. Almost every operation on a DHT needs to leverage the **lookup** operation in some way.

put(key, value) - Stores **value** at the root node of **key**. Unless otherwise specified, **key** is assumed be the hashkey of **value**. This assumption is broken in Tapestry.

get(key) - This operates like **lookup**, except the context is to return the value stored by a **put**. This is a subtle difference, since one could **lookup(key)** and ask the corresponding node directly. However, many implementations use backup operations and caching which will store multiple copies of the value along the network. If we do not care which node returns the value mapped with **key**, or if it is a backup, we can express it with **get**.

delete(key, value) - This is self-explanatory. Typically, DHTs do not worry about key deletion and leave that option to the specific application. When DHTs do address the issue, they often assume that stored key-value pairs have a specified time-to-live, after which they are automatically removed.

On the local level, each node has to be able to *join* and perform maintenance on itself.

join() The join process encompasses two steps. First, the joining node needs to initialize its peerlist. It does not necessarily need a complete peerlist the moment it joins, but it must initialize one. Second, the joining node needs to inform other nodes of its existence.

Maintenance Maintenance procedures generally are either *active* or *lazy*. In active maintenance, peers are periodically pinged and are replaced when they are no longer detected. Lazy maintenance assumes that peers in the peerlist are healthy until they prove otherwise, in which case they are either replaced immediately. In general, lazy maintenance is used on everything, while active maintenance is only used on neighbors³.

When analyzing the DHTs in this chapter, we look at the overlay's geometry, the peerlist, the **lookup** function, and how fault-tolerance is performed in the DHTs. We assume that nodes never politely leave the network but always abruptly fail, since a **leave()** operation is fairly trivial and has minimal impact.

2.2 Chord

Chord [46] is the archetypal ring-based DHT and it is impossible to create a new ring-based DHT without making some comparison to Chord. It is notable due

³check this statement for consistency

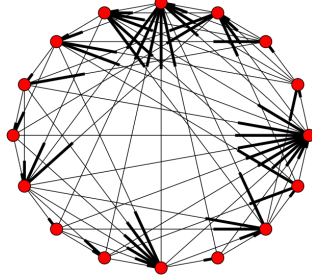


Figure 2.3: A Chord ring with 16 nodes. The fingers (long hop connections) are shown cutting across the ring.

its straightforward routing, its rules which make ownership of keys very easy to sort out, and the large number of derivatives.

Chord is extremely famous in Computer Science, and was awarded the prestigious 2011 SIGCOMM Test of Time Award [49]. However, recent research has demonstrated that there have been no correct implementation of Chord in over a decade [49].

Peerlist and Geometry

Chord is a 1-dimensional modular ring in which all messages travel in one direction - upstream, hopping from one node to another node with a greater ID until it wraps around. Each member of the network and the data stored within it is hashed to a unique m -bit key or ID, corresponding to one of the 2^m locations on a ring. An example Chord network is shown in Figure 2.3.

A node in the network is responsible for all the data with keys upstream from its predecessor's ID, up through and including its own ID. If a node is responsible for some key, it is referred to being the root or successor of that key.

Lookup and routing is performed by recursively querying nodes upstream. Querying only neighbors in this manner would take $O(n)$ time to lookup a key.

To speedup lookups, each node maintains a table of m shortcuts to other peers, called the *finger table*. The i th entry of a node n 's finger table corresponds to the node that is the successor of the key $n + 2^{i-1} \bmod 2^m$. During a lookup, nodes query the finger that is closest to the sought key without going past it, until it is received by the root node. Each hop essentially cuts the search space for a key in half. This provides Chord with a highly scalable $\log_2(n)$ lookup time for any key [46], with an average $\frac{1}{2}O(\log_2(n))$ number of hops.

Besides the finger tables, the peerlist includes a list of s neighbors in each direction for fault tolerance. This brings the total size of the peerlist to $\log_2(2^m) + 2 \cdot s = m + 2 \cdot s$, assuming the entries are distinct.

Joining

To join the network, node n first asks n' to find `successor(n)`. Node n uses the information to set his successor, and maintenance will inform the other nodes of n 's existence. Meanwhile, n will takeover some of the keys that his successor was responsible for.

Fault Tolerance

Robustness in the network is accomplished by having nodes backup their contents to their s immediate successors, the closest nodes upstream. This is done because when a node leaves the or fail, the most immediate successor would be responsible for the keys. In the case of multiple nodes failing all at once, having a successor list makes it extremely unlikely that any given stored value will be lost.

As nodes enter and leave the ring, the nodes use their maintenance procedures to guide them into the right place and repair any links with failed nodes. The process takes $O(\lg^2(n))$ messages. Full details on Chord's maintenance cycle can be found here [46].

2.3 Kademlia

Kademlia [31] is perhaps the most well known and definately the most widely DHT, as a modified version of Kademlia (Mainline DHT) is used as the backbone of the Bittorrent protocol. The motivation of Kademlia was to create a way for nodes to incorporate peerlist updates with each query made.

Peerlist and Geometry

Like Chord, Kademlia uses m -bit keys for nodes and files. However, Kademlia utilizes a binary tree-based structure, with the nodes acting as the leaves of the tree. Distance between any two nodes in the tree is calculated by XORing their IDs. The XOR distance metric means that distances are symmetric, which is not the case in Chord.

Nodes in Kademlia maintain information about the network using a routing table that contains m lists, called k -buckets. For each k -bucket contains up to k nodes that are distance 2^i to 2^{i+1} , where $0 \leq i < m$. In other words, each k -bucket corresponds to a subtree of the network not containing the node. An example network is shown in Figure 2.4.

Each k -bucket is maintained by a least recently seen eviction algorithm that skips live nodes. Whenever the node receives a message, it adds the sender's info to the tail of the corresponding k -bucket. If that info already exists, the info is moved to the tail.

If the k -bucket is full, the node starts pinging nodes in the list, starting at the head. As soon as a node fails to respond, that node is evicted from the list to make way for the new node at the tail.



Figure 2.4: An example Kademlia network from the original paper [31]. The ovals are the node's k -buckets.

If there are no modifications to a particular k -bucket after a long period of time, the node does a **refresh** on the k -bucket. A refresh is a **lookup** of a random key in that k -bucket.

Lookup

In most DHTs, **lookup**(key) sends a single message and returns the information of a single node. The **lookup** operation in Kademlia differs in both respects: **lookup** is done in parallel and each node receiving a **lookup**(key) returns the k closest nodes to **key** it knows about.

A **lookup**(key) operation begins with the seeking node sending lookups in parallel to the α nodes from the appropriate k -bucket. Each of these α nodes will asynchronously return the k closest nodes it knows closest to **key**. As lookups return their results, the node continues to send lookups until no new nodes⁴ are found.

5

Joining

A joining node starts with a single contact and then performs a **lookup** operation on its own ID. Each step of the **lookup** operation yields new nodes for the joining node's peerlist and informs other nodes of its existence. Finally, the joining node performs a **refresh** on each k -bucket farther away than the closest node it knows of.

⁴If a file being stored on the network is the objective, the **lookup** will also terminate if a node reports having that file.

⁵I would argue that this lookup operation is not recursive as claimed by the paper, but iterative, since the initiator sends all the messages.

Fault-Tolerance

Nodes actively republish each file stored on the network each hour by rerunning the `store` command. To avoid flooding the network, two optimizations are used.

First if a node receives a `store` on a file it is holding, it assumes $k - 1$ other nodes got that same command and resets the timer for that file. This means only one node republishes a file each hour. Secondly, `lookup` is not performed during a republish.

Additional fault tolerance is provided by the nature of the `store(data)` operation, which `puts` the file in the k closest nodes to the key. However, there's very little in the way of frequent and active maintenance other than what occurs during `lookup` and the other operations.

2.4 CAN

Unlike the previous DHTs presented in this chapter, the Content Addressable Network (CAN) [36] works in a d -dimensional torus, with the entire coordinate space divided among members. A node is responsible for the keys that fall within the “zone” that it owns. Each key is hashed into some point within the geometric space.

Peerlist and Geometry

CAN uses an exceptionally simple peerlist consisting only of neighbors. Every node in the CAN network is assigned a geometric region in the coordinate space and each node maintains a routing table consisting each node that borders the node's region. An example CAN network is shown in 2.5

The size of the routing table is a function of the number of dimensions, $O(d)$. The lower bound on the routing tables size in a populated network (eg, a network with at least $2d$ nodes) is $\Omega(2d)$. This is obtained by looking at each axis, where there is at least one node bordering each end of the axis. The size of the routing table can grow as more nodes join and the space gets further divided; however, maintenance algorithms prevent the regions from becoming too fragmented.

Lookup

As previously mentioned, each node maintains a routing table corresponding to their neighbors, those nodes it shares a face with. Each hop forwards the lookup to the neighbor closest to the destination, until it comes to the responsible node. In a space that is evenly divided among n nodes, this simple routing scheme uses only $2 \cdot d$ space while giving average path length of $\frac{d}{4} \cdot n^{\frac{1}{d}}$. The overall lookup time of in CAN is bounded by $O(n^{\frac{1}{d}})$ hops⁶.

⁶Around the same time CAN was being developed, Kleinberg was doing research into small world networks [21]. He proved similar properties for lattice networks with a single shortcut. What makes this network remarkable is lack of shortcuts.



Figure 2.5: An example CAN network from [36].

If a node encounters a failure during lookup, the node simply chooses the next best path. However, if lookups occur before a node can recover from damage inflicted by churn, it is possible for the greedy lookup to fail. The fallback method is to use an expanding ring search until a candidate is found, which recommences greedy forwarding.

Joining

Joining works by splitting the geometric space between nodes. If node n with location P wishes to join the network, it contacts a member of the node to find the node m currently responsible for location P . Node n informs m that it is joining and they divide m 's region such that each becomes responsible for half.

Once the new zones have been defined, n and m create its routing table from m and its former neighbors. These nodes are then informed of the changes that just occurred and update their tables. As a result, the join operation affects only $O(d)$ nodes. More details on this splitting process can be found in CAN's original paper [36].

Repairing

A node in a DHT that notifies its neighbors that its leaves usually has minimal impact to the network and in this is true for most cases in CAN. A leaving node, f , simply hands over its zone to one of its neighbors of the same size,

which merges the two zones together. Minor complications occur if this is not possible, when there is no equally-sized neighbor. In this case, f hands its zone to its smallest neighbor, who must wait for this fragmentation to be fixed.

Unplanned failures are also relatively simple to deal with. Each node broadcasts a heartbeat to its neighbors, containing its and its neighbors' coordinates. If a node fails to hear a heartbeat from f after a number of cycles, it assumes f must have failed and begins a **takeover** countdown. When this countdown ends, the node broadcasts⁷ a **takeover** message in an attempt to claim f 's space. This message contains the node's volume. When a node receives a **takeover** message, it either cancels the countdown or, if the node's zone is smaller than the broadcaster's, responds with its own **takeover**.

The general rule of thumb for node failures in CAN is that the neighbor with the smallest zone takes over the zone of the failed node. This rule leads to quick recoveries that affect only $O(d)$ nodes, but requires a zone reassignment algorithm to remove the fragmentation that occurs from **takeovers**.

To summarize, a failed node is detected almost immediately, and recovery occurs extremely quickly, but fragmentation must be fixed by a maintenance algorithm.

2.5 Pastry

Pastry [40] and Tapestry [50] are extremely similar use a prefix-based routing mechanism introduced by Plaxton et al. [35]. In Pastry and Tapestry, each key is encoded as a base 2^b number (typically $b = 4$ in Pastry, which yields easily readable hexadecimal). The resulting peerlist best resembles a hypercube topology [12], with each node being a vertice of the hypercube.

One notable feature of Pastry is the incorporation of a proximity metric. The peerlist uses IDs that are close to the node according to this metric.

Peerlist

Pastry's peerlist consists of three components: the routing table, a leaf set, and a neighborhood set. The routing table consists of $\log_{2^b}(n)$ rows with $2^b - 1$ entries per row. The i th level of the routing table correspond to the peers with that match first i digits of the example nodes ID.

Thus, the 0th row contains peers which don't share a common prefix with the node, the 1st row contains those that share a length 1 common prefix, the 2nd a length 2 common prefix, etc. Since each ID is a base 2^b number, there is one entry for each of the $2^b - 1$ possible differences.

For example, let us consider a node 05AF in system where $b = 4$ and the hexadecimal keyspace ranges from 0000 to FFFF.

- 1322 would be an appropriate peer for the 1st entry of level 0.

⁷This message is sent to all of f 's neighbors; I assume that nodes must keep track of their neighbors' neighbors.

NodeId 10233102			
Leaf set			
SMALLER		LARGER	
10233033	10233021	10233120	10233122
10233001	10233000	10233230	10233232
Routing table			
-0-2212102	1	-2-2301203	-3-1203203
0	1-1-301233	1-2-230203	1-3-021022
10-0-31203	10-1-32102	2	10-3-23302
102-0-0230	102-1-1302	102-2-2302	3
1023-0-322	1023-1-000	1023-2-121	3
10233-0-01	1	10233-2-32	
0		102331-2-0	
		2	
Neighborhood set			
13021022	10200230	11301233	31301233
02212102	22301203	31203203	33213321

Figure 2.6: An example peerlist for a node in Pastry. Taken from the original Pastry paper [40].

- 0AF2 would be an appropriate peer for the 10th⁸ entry of level 1.
- 09AA would be an appropriate peer for the 9th entry of level 1.
- 05F2 would be an appropriate peer for the 2nd entry of level 3.

The leaf set is used to hold the L nodes with the numerically closest IDs; half of it for smaller IDs and half for the larger. A typical value for L is 2^b or 2^{b+1} . The leaf set is used for routing when the destination key is close to the current node's ID. The neighborhood set contains the L closest nodes, as defined by some proximity metric. It, however, is generally not used for routing. Figure 2.6 shows an example peerlist of a node in PAST.

Lookup

The `lookup` operation is a fairly straightforward recursive operation. The `lookup(key)` terminates when the `key` falls within the range of the leaf set, which are the nodes *numerically* closest to the current node. In this case, the destination will be one of the leaf set, or the current node.

If the destination node is not immediately apparent, the node uses its routing table to select the next node. The node looks at the length l shared prefix, at examines the l th row of its routing table. From this row, the `lookup` continues with the entry that matches at least another digit of the prefix. In the case that this entry does not exist or has failed, the `lookup` continues from the closest ID chosen from the entire peerlist. This process is described by Algorithm 1. Lookup is expected to take $\lceil \log_{2^b} \rceil$, as each hop along the routing table reduces the search space by $\frac{1}{2^b}$.

⁸0 is the 0th level. It's easier that way.

Algorithm 1 Pastry lookup algorithm

```
Let  $L$  be the routing  
function LOOKUP( $key$ )  
  if  $key$  is in the range of the leaf set then  
    destination is closest ID in the leaf set or self  
  else  
     $next \leftarrow$  entry from routing table that matches  $\geq 1$  more digit  
    if  $next \neq null$  then  
      forward to  $next$   
    else  
      forward to the closest ID from the entire peerlist  
    end if  
  end if  
end function
```

Joining

To join the network, node J sends a `join` message to A , some node that is close according to the proximity metric. The `join` message is forwarded along like a `lookup` to the root of X , which we'll call $root$. Each node that received the `join` sends a copy of their peerlist to J .

The leaf set is constructed from copying $root$'s leaf set, while i th row in the routing table is copied from the i th node contacted along the `join`. The neighborhood set is copied from A 's neighborhood set, as `join` predicates that A be close to J . This means A 's neighborhood set would be close to A .

After the joining node creates its peerlist, it sends a copy to each node in the table, who then can update their routing tables. The cost of a `join` is $O(\log_2^b n)$ messages, with a constant coefficient of $3 * 2^b$.

Fault Tolerance

Pastry lazily repairs its leaf set and routing table. When node from the leaf set fails, the node contacts the node with largest or smallest ID (depending if the failed node ID was smaller or larger respectively) in the leaf set. That node returns a copy of its leaf set, and the node replaces the failed entry. If the failed node is in the routing table, the node contacts a node with an entry in the same row as the failed node for a replacement.

Members of the neighborhood set are actively checked. If a member of the neighborhood set is unresponsive, the node obtains a copy of another entry's neighborhood set and repairs from a selection.

2.6 Symphony and Small World Routing

Symphony [28] is a $1d$ ring-based DHT similar to Chord [46], but is constructed using the properties of small world networks [21]. Small world networks owe

their name to a phenomena observed by psychologists in the late 1960's.

Subjects in experiments were to route a postal message to a target person; for example the wife of a Cambridge divinity student in one experiment and a Boston stockbroker in another [32]. The messages were only to be routed by forwarding them to a friend they thought most likely to know the target. Of the messages that successfully made their way to the destination, the average path length from a subject to a participant was only 5 hops.

This lead to research investigating creating a network with randomly distributed links, but with a efficient lookup time. Kleinberg [22] showed that in a 2-dimensional lattice network, nodes could route messages in $O(\log^2 n)$ hops using only their neighbors and a single randomly chosen⁹ finger. In other words, $O(\log^2 n)$ lookup is achievable with a $O(1)$ sized routing table.

Peerlist

Rather than the 2-dimensional lattice used by Kleinberg, Symphony uses a 1-dimensional ring¹⁰ like Chord. Symphony assigns m -bit keys to the modular unit interval $[0, 1)$, instead of using a keyspace ranging from 0 to $2^n - 1$. This location is found with $\frac{hashkey}{2^m}$. This is arbitrary from a design standpoint, but makes choosing from a random distribution simpler.

Nodes know both their immediate predecessor and successor, much like in Chord. Nodes also keep track of some $k \geq 1$ fingers, but, unlike in Chord, these fingers are chosen at random. These fingers are chosen from a probability distribution corresponding to the expression $e^{ln(n)+(rand48()-1.0)}$, where n is the number of nodes in the network and `rand48()` is a C function that generates a random float?double between 0.0 and 1.0. Because n is difficult to compute due to the changing nature of P2P networks, each node uses an approximation is used based on the distance between themselves and their neighbors.

A final feature of note is that links in Symphony are bidirectional. Thus, if a node creates a finger to a peer, that peer creates a, so nodes in Symphony have a grand total of $2k$ fingers.

Joining and Fault Tolerance

The joining and fault tolerance processes in Symphony are extremely straightforward. After determining its ID, a joining node asks a member to find the root node for its ID. The joining node integrates itself in between its predecessor and successor and then randomly generates its fingers.

Failures of immediate neighbors are handled by use of successor and predecessor lists. Failures for fingers are handled lazily and are replaced by another randomly generated link when a failure is detected.

⁹Randomly chosen from a specified distribution.

¹⁰This is technically a 1-dimensional lattice.

2.7 ZHT

One of the major assumptions of DHT design is that churn is a significant factor, which requires constant maintenance to handle. A consequence of this assumption is that nodes only store a small subset of the entire network to route to. Storing the entire network is not scalable for the vast majority of distributed systems due to bandwidth constraints and communication overhead incurred by the constant joining and leaving of nodes.

In a system that does not expect churn, the memory and bandwidth costs for each node to keep a full copy of the routing table are minimal. An example of this would be a data center or a cluster built for higher-performance computing, where churn would overwhelmingly be the result of hardware failure, rather than users quitting.

ZHT [26] is an example of such a system, as is Amazon’s Dynamo [16]. ZHT is a “zero-hop hash table,” which takes advantage of the fact that nodes in High-End Computing environments have a predictable lifetime. Nodes are created when a job begins and are removed when a job ends. This property allows ZHT to lookup in $O(1)$ time.

Peerlist

ZHT operates in a 64-bit ring, for a total of $N = 2^{64}$ addresses. ZHT places a hard limit of n on the maximum number of physical nodes in the network, which means the network has n partitions of $\frac{N}{n} = \frac{2^{64}}{n}$ keys. The partitions are evenly divided along the network.

The network consists of k physical nodes which each are running at least one instance (virtual nodes) of ZHT, with a combined total of i . Each instance is responsible for some span of partitions in the ring.

Each node maintains a complete list of all nodes in the network, which do not have to be updated very often due to the lack of or very low levels of churn. The memory cost is extremely low. Each instance has a 10MB footprint, and each entry for the membership table takes only 32 bytes per node. This means routing takes anywhere between 0 to 2 hops (explained below).

Joining

ZHT operates under a static or dynamic membership. In a static membership, no nodes will be joining the network once the network has been bootstrapped. Nodes can join at any time when ZHT is using dynamic membership.

To join, the joiner asks a random member for a copy of the peerlist. The joiner can then determine which node is the most heavily overloaded. The joiner chooses an address in the network to take over partitions from that node.

No idea how membership table is updated

Fault Tolerance

Fault tolerance exists to handle only hardware failure or planned departures from the network. Nodes backup their data to their neighbors.

2.8 VHash

DHTs all seek to minimize lookup time for their respective topologies. This is done by minimizing the number of overlay hops needed for a lookup operation. This is a good approximation for minimizing the latency of lookups, but does not actually do so. Furthermore, a network might need to minimize some arbitrary metric, such as energy consumption.

VHash is a multi-dimensional DHT that minimizes routing over some given metric. It uses a fast approximation of a Delaunay Triangulation to compute the Voronoi tessellation of a multi-dimensional space.

Arguably all Distributed Hash Tables (DHTs) are built on the concept of Voronoi tessellation. In all DHTs, a node is responsible for all points in the overlay to which it is the “closest” node. Nodes are assigned a key as their location in some keyspace, based on the hash of certain attributes. Normally, this is just the hash of the IP address (and possibly the port) of the node [46] [31] [36] [40], but other metrics such as geographic location can be used as well [37].

These DHTs have carefully chosen metric spaces such that these regions are very simple to calculate. For example, Chord [46] and similar ring-based DHTs [?] utilize a unidirectional, one-dimensional ring as their metric space, such that the region for which a node is responsible is the region between itself and its predecessor.

Using a Voronoi tessellation in a DHT generalizes this design. Nodes are Voronoi generators at a position based on their hashed keys. These nodes are responsible for any key that falls within its generated Voronoi region.

Messages get routed along links to neighboring nodes. This would take $O(n)$ hops in one dimension. In multiple dimensions, our routing algorithm (Algorithm 2) is extremely similar to the one used in Ratnasamy et al.’s Content Addressable Network (CAN) [36], which would be $O(n^{\frac{1}{d}})$ hops.

Algorithm 2 Lookup in a Voronoi-based DHT

```
1: Given node  $n$ 
2: Given  $m$  is a message addressed for  $loc$ 
3:  $potential\_dests \leftarrow n \cup n.short\_peers \cup n.long\_peers$ 
4:  $c \leftarrow$  node in  $potential\_dests$  with shortest distance to  $loc$ 
5: if  $c == n$  then
6:   return  $n$ 
7: else
8:   return  $c.lookup(loc)$ 
9: end if
```

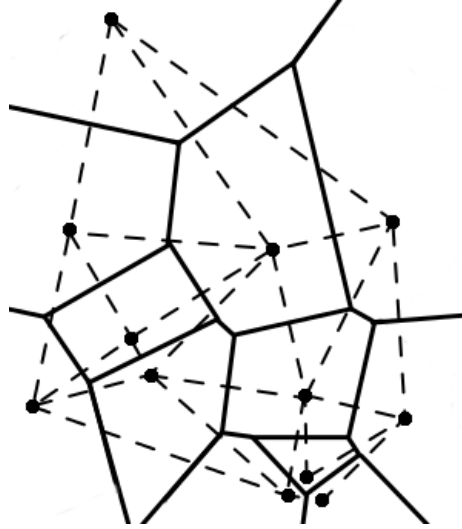


Figure 2.7: An example Voronoi diagram for objects on a 2-dimensional space. The black lines correspond to the borders of the Voronoi region, while the dashed lines correspond to the edges of the Delaunay Triangulation.

Efficient solutions, such as Fortune’s sweepline algorithm [?], are not usable in spaces with 2 more dimensions. As far as we can tell, there is no way efficient to generate higher dimension Voronoi tessellations, especially in the distributed Churn-heavy context of a DHT. Our solution is the Distributed Greedy Voronoi Heuristic.

Distributed Greedy Voronoi Heuristic

A Voronoi tessellation is the partition of a space into cells or regions along a set of objects O , such that all the points in a particular region are closer to one object than any other object. We refer to the region owned by an object as that object’s Voronoi region. Objects which are used to create the regions are called Voronoi generators. In network applications that use Voronoi tessellations, nodes in the network act as the Voronoi generators.

The Voronoi tessellation and Delaunay triangulation are dual problems, as an edge between two objects in a Delaunay triangulation exists if and only if those object’s Voronoi regions border each other. This means that solving either problem will yield the solution to both. An example Voronoi diagram is shown in Figure 2.7. For additional information, Aurenhammer [4] provides a formal and extremely thorough description of Voronoi tessellations, as well as their applications.

The Distributed Greedy Voronoi Heuristic (DGVH) is a fast method for nodes to define their individual Voronoi region (Algorithm 3). This is done by selecting the nearby nodes that would correspond to the points connected

to it by a Delaunay triangulation. The rationale for this heuristic is that, in the majority of cases, the midpoint between two nodes falls on the common boundary of their Voronoi regions.

Algorithm 3 Distributed Greedy Voronoi Heuristic

```

1: Given node  $n$  and its list of candidates.
2: Given the minimum table_size
3: short_peers  $\leftarrow$  empty set that will contain  $n$ 's one-hop peers
4: long_peers  $\leftarrow$  empty set that will contain  $n$ 's two-hop peers
5: Sort candidates in ascending order by each node's distance to  $n$ 
6: Remove the first member of candidates and add it to short_peers
7: for all  $c$  in candidates do
8:    $m$  is the midpoint between  $n$  and  $c$ 
9:   if Any node in short_peers is closer to  $m$  than  $n$  then
10:     Reject  $c$  as a peer
11:   else
12:     Remove  $c$  from candidates
13:     Add  $c$  to short_peers
14:   end if
15: end for
16: while  $|short\_peers| < table\_size$  and  $|candidates| > 0$  do
17:   Remove the first entry  $c$  from candidates
18:   Add  $c$  to short_peers
19: end while
20: Add candidates to the set of long_peers
21: if  $|long\_peers| > table\_size^2$  then
22:   long_peers  $\leftarrow$  random subset of long_peers of size  $table\_size^2$ 
23: end if

```

During each cycle, nodes exchange their peer lists with a current neighbor and then recalculate their neighbors. A node combines their neighbor's peer list with its own to create a list of candidate neighbors. This combined list is sorted from closest to furthest. A new peer list is then created starting with the closest candidate. The node then examines each of the remaining candidates in the sorted list and calculates the midpoint between the node and the candidate. If any of the nodes in the new peer list are closer to the midpoint than the candidate, the candidate is set aside. Otherwise the candidate is added to the new peer list.

DGVH never actually solves for the actual polytopes that describe a node's Voronoi region. This is unnecessary and prohibitively expensive [7]. Rather, once the heuristic has been run, nodes can determine whether a given point would fall in its region.

Nodes do this by calculating the distance of the given point to itself and other nodes it knows about. The point falls into a particular node's Voronoi region if it is the node to which it has the shortest distance. This process continues recursively until a node determines that itself to be the closest node

to the point. Thus, a node defines its Voronoi region by keeping a list of the peers that bound it.

Algorithm Analysis

DVGH is very efficient in terms of both space and time. Suppose a node n is creating its short peer list from k candidates in an overlay network of N nodes. The candidates must be sorted, which takes $O(k \cdot \lg(k))$ operations. Node n must then compute the midpoint between itself and each of the k candidates. Node n then compares distances to the midpoints between itself and all the candidates. This results in a cost of

$$k \cdot \lg(k) + k \text{ midpoints} + k^2 \text{ distances}$$

Since k is bounded by $\Theta(\frac{\log N}{\log \log N})$ [10] (the expected maximum degree of a node), we can translate the above to

$$O(\frac{\log^2 N}{\log^2 \log N})$$

In the vast majority of cases, the number of peers is equal to the minimum size of *Short Peers*. This yields $k = (3d + 1)^2 + 3d + 1$ in the expected case, where the lower bound and expected complexities are $\Omega(1)$.

2.9 Summary

We have seen that there are a wide variety of distributed hash tables, but they have some clearly defined characteristics that bind them all together. Table 2.1 summarizes the information presented in this chapter.

DHT	Routing Table Size	Lookup Time	Join/Leave	Comments
Chord [46]	$O(\log n)$, maximum $m + 2s$	$O(\log n)$, avg $(\frac{1}{2} \log n)$	$< O(\log n^2)$ total messages	m = keysize in bits, s is neighbors in 1 direction
Kademlia [31]	$O(\log n)$, maximum $m \cdot k$	$(\lceil \log n \rceil) + c$	$O(\log(n))$	This is without considering optimization
CAN [36]	$\Omega(2d)$	$O(n^{\frac{1}{d}})$, average $\frac{d}{4} \cdot n^{\frac{1}{d}}$	Affects $O(d)$ nodes	d is the number of dimensions
Plaxton-based DHTs, Pastry [40], Tapestry [50]	$O(\log_\beta n)$	$O(\lceil \log_2 \beta \rceil)$	$O(\log_\beta n)$	NodeIDs are base β numbers
Symphony [28]	$2k + 2$	average $O(\frac{1}{k} \log^2 n)$	$O(\log^2 n)$ messages, constant < 1	$k \geq 1$, fingers are chosen at random
ZHT [26]	$O(n)$	$O(1)$	$O(n)$	Assumes an extremely low churn
VHash	$\Omega(3d+1) + O((3d+1)^2)$	$O(\sqrt[3]{n})$ hops	$3d + 1$	approximates regions, hops are based least latency

Table 2.1: The different ratios and their associated DHTs

Chapter 3

Completed Work

DHTs have received a great deal of research due to their popularity as the backbone for structured P2P system primarily used for file-sharing. There are two recent and fairly open questions that I want to examine.

1. How can DHTs effectively be used for distributed computations? In what contexts is this feasible: only in the data center, or can a large-scale worldwide P2P network be used to do distributed computation? Is it better to use DHTs as an organizing mechanism, or as the actual platform for computation.
2. How can nodes autonomously load balance?

3.1 DHT Distributed Computing

Distributed computing is a current trend and is the future trend. We see this in the development of cloud computing [6], volunteer computing frameworks like BOINC [3] and Folding@Home [23], and MapReduce [15]. Google's MapReduce in particular has rapidly become an integral part in the world of data processing. A user can use MapReduce to take a large problem, split it into small, equivalent tasks and send those tasks to other processors for computation. The results are sent back to the user and combined into one answer.

Popular platforms for MapReduce, such as Hadoop [1] [43], are explicitly designed to be used in large datacenters [2] and the majority of research has been focused there. However, as we have previously mentioned, there are notable issues with a centralized design.

First and foremost is the issue of fault-tolerance. Centralized designs have a single point of failure [43]. So long as all computing resources located in one geographical area or rely on a particular node, a power outage or catastrophic event could interrupt computations or otherwise disrupt the platform [5].

A centralized design assumes that the network is relatively unchanging and may not have mechanisms to handle node failure during execution or, conversely,

cannot speed up the execution of a job by adding additional workers on the fly. Many environments also anticipate a certain degree in homogeneity in the system. Finally deploying these systems and developing programs for them has an extremely steep learning curve.

There is no reason that these assumptions need to be the case for MapReduce, or for many distributed computing frameworks in general. Moving away from the data center context opens up more possibilities for distributed computing, such as P2P clouds [6]. However, without a centralized framework, the network needs some kind of protocol to organize the various components in the network. As part of our research, we developed a highly robust and distributed MapReduce framework based on Chord, called ChordReduce [38].

There are a number of reasons to use a DHT as the protocol for a distributed computing platform. First, nodes ID and their location in the network are strongly bound to what data they are responsible for, such that any node can lookup which node is responsible for a particular piece of data. This obviates the need for a centralized organizer to maintain this bit of metadata or assign backups for data, as nodes can do this autonomously. DHTs assume that network is heterogeneous, rather than homogeneous. They have been used for over a decade for P2P file-sharing applications for these reasons.

3.1.1 ChordReduce

ChordReduce is designed as a more abstract framework for MapReduce, able to run on any arbitrary distributed configuration. ChordReduce leverages the features of distributed hash tables to handle distributed file storage, fault tolerance, and lookup. We designed ChordReduce to ensure that no single node is a point of failure and that there is no need for any node to coordinate the efforts of other nodes during processing.

File System

Our central design philosophy was to leverage as many features of the underlying DHT as possible. For example, we don't need to create a new distributed file system as we can just use the DHT to hash file identifiers and use the DHT to store the file at the node responsible for that key.

If the file is large, we can instead use Dabek et al.'s Cooperative File System or CFS [14]. In CFS, files are split into approximately equally sized blocks. Each block is treated as an individual file and is assigned a key equal to the hash of its contents. The block is then stored at the node responsible for that key. The node which would normally be responsible for the whole file instead stores a *keyfile*. The keyfile is an ordered list of the keys corresponding to the file's blocks and is created as the blocks are assigned their respective keys. When the user wants to retrieve a file, they first obtain the keyfile and then request each block specified in the keyfile.

Computation

ChordReduce treats each task or target computation as an object of data. This means we can distribute them in the same manner as files and rely on the protocol to route them and provide robustness.

In ChordReduce, each node takes on responsibilities of both a worker node and master node, in the same way that a node in a P2P file-sharing service acts as both a client and a server. A user starts a job contacts a node at a specified hash address and provides it with the tasks. This address can be chosen arbitrarily or be a known node in the ring. We call this node the *stager* for this particular job.

The job of the stager divide the work into *data atoms*, the smallest units of work. This might represent block of text, the result of a summation for a particular intermediate value, or a subset of items to be sorted. The specifics of how to divide the work are defined by the user in a *stage* function. The data atoms also contain user created Map and Reduce functions.

If the user wants to perform a MapReduce job on a particular file on the network, the stager locates the keyfile for the data and creates a data atom for each block in the file. Each data atom is then sent to the node responsible for their corresponding block. When the data atom reaches it's destination node, that node retrieves the necessary data and applies the Map function. The results are stored in a new data atom, which are then sent back to the stager's hash address (or some other user defined address). This will take $\log_2 n$ hops traveling over Chord's fingers. At each hop, the node waits a predetermined minimal amount of time to accumulate additional results (In our experiments, this was 100 milliseconds). Nodes that receive at least two results merge them using the Reduce function. The results are continually merged until only one remains at the hash address of the stager.

Some MapReduce jobs do not rely on a file stored on the network, such as a Monte-Carlo approximation. In this case, the created data atoms are then each given a random hash and sent to the node responsible for that hash address, guaranteeing they are evenly distributed throughout the network. From there, the execution is identical to the above scenario.

Once all the Reduce tasks are finished, the user retrieves his results from the node at the stager's address. This may not be the stager himself, as the stager may no longer be in the network. The stager does not need to collect the results himself, since the work is sent to the stager's hash address, rather than the stager itself. Thus, the stager could quit the network after staging, and both the user and the network would be unaffected by the change.

Similar precautions are taken for nodes working on Map and Reduce tasks. Those tasks are backed up by a node's successor, who will run the task if the node leaves before finishing its work (e.g. the successor loses his predecessor). The task is given a timeout by the node. If the backup node detects that the responsible node has failed, he starts the work and backs up again to *his* successor. Otherwise, the data is tossed once the timeout expires. This is done to prevent a job being submitted twice.

An advantage of our system is the ease of development and deployment. The developer does not need to worry about distributing work evenly, nor does he have to worry about any node in the network going down. The stager does not need to keep track of the status of the network. The underlying Chord ring handles that automatically. If the user finds they need additional processing power during runtime, they can boot up additional nodes, which would automatically be assigned work based on their hash value. If a node goes down while performing an operation, his successor takes over for him. This makes the system extremely robust during runtime.

Robustness

Since the system is distributed, we need to assume that any member of the network can go down at any time. When a node fails or leaves Chord, the failed node's successor will become responsible for all of the failed nodes keys. Likewise, each node in the ChordReduce network relies on their successor to act as a backup.

To prevent data from becoming irretrievable, each node periodically sends backups to its successor. In order to prevent a cascade of backups of backups, the node sends data that it is currently responsible for.

This changes as nodes enter and leave the network. If a node's successor leaves, the node sends a backup to his new successor. If the node fails, the successor is able to take his place almost immediately. This scheme is used to not only backup files, but the computational tasks as well.

This procedure prevents any single node failure or sequences of failures from harming the network. Only the failure of multiple neighboring nodes poses a threat to the network's integrity.

Node's ID in the network does not map to a geographical locations. Any failure that affects multiple nodes simultaneously would be spread uniformly throughout the network. This means if successive nodes to fail simultaneously, they do so independently.

Let each node has failure rate $r < 1$ and that the each node backs up their data with s successive nodes downstream. If one of these nodes fail, the next successive node takes its place and the next upstream node becomes another backup. This ensures there will always be s backups. The integrity of the ring would only be jeopardized if $s + 1$ successive nodes failed simultaneously. The chances of this would be $r^s + 1$, as each failure would be independent.

A final consequence of this is load-balancing during runtime. When a joining node n find his successor, n asks if the successor is holding any data n should be responsible for. The successor looks at all the data n is responsible for and sends it to n . The successor maintains this data as a backup for n . Because Map tasks are backed up in the same manner as data, a node can take the data and corresponding tasks he's responsible for and begin performing Map tasks immediately.

3.1.2 Heterogeneity Calculation

One of the advantages to using homogeneous hardware is that each machine, each core, each node is the same. To evenly distribute the workload, you just have to give each machine the same amount of work.

This is more difficult in a heterogeneous system. Each machine can shoulder a different amount of work. How do we distribute work evenly across a heterogeneous system?

We can solve this by adjusting the amount of nodes representing each machine in the network. Machines that can handle a larger load create more nodes in the network. Besides solving the heterogeneous load-balancing problem, increasing the number of nodes in the system increases the overall load-balancing of the system.

The question we must answer is “how?” We need to create some unit of measurement for a distributed computing system and research if any other researchers have asked this problem. Furthermore, this measurement might need to be relative to other nodes in the network, since the only basis for comparison are the scores of the peers.

Finally, this process needs to be handled autonomously by each node, which is the other primary focus of my proposal.

3.2 Autonomous Load Balancing

During our experiments testing the capabilities of ChordReduce, we experienced a significant and completely unexpected anomaly while testing churn. One of the things previous research [29] [24] in the same area we felt we needed to explore better was how a completely decentralized computation could handle churn. Now, despite our initial prototype being buggy and was only able to handle smallish networks, we were fairly certain of it’s ability to handle churn.

Marozzo et al. [29] tested their network using churn rates of 0.025%, 0.05%, 0.1%, 0.2%, and 0.4% per minute. The churn rate of $cr < 1$ per minute means that each minute on average, $cr \cdot n$ nodes leave the network and $cr \cdot n$ new nodes join the network.¹ This could effectively be thought of as each node flipping a weighted coin every minute. When the coin lands on tails, the node leaves. A similar process happens for nodes wanting to join the network.

We wanted the robustness of our system to be beyond reproach, so we tested at rates from 0.0025% to 0.8% *per second*, 120 times the fastest rate used to test P2P-MapReduce. This is an absurdly fast and unrealistic speed, the only purpose of which was to cement the robustness of the system. Since we were testing ChordReduce on Amazon’s EC2 and paying per instance per hour, we didn’t use any more nodes than necessary. Rather than having a pool of nodes waiting to join the network, we conserved our funds by having leaving nodes immediately rejoin the network under a new IP/port combo. The meant our churn operation was essentially a simultaneous leave and join.

¹It is standard practice to assume the joining rate and leaving rate are equal.

What we found was that jobs on ChordReduce finished twice as fast under the unrealistic levels churn (0.8% per second) than no churn. This completely mystified us. Churn is a disruptive force; how can it be aiding the network?

3.2.1 Hypothesis

We hypothesize this was due to the number of data pieces (larger) vs the number of workers (smaller). There were more workers than there were pieces of data, so some workers ended up with more data than others in the initial distributio. This means that there was some imbalance in the way data was distributed among nodes. This was *further* exacerbated by small number of workers distributed over a large hash space, leading some nodes to have larger swaths of responsibility than others.

Given this setup, without any churn, the operation would be: Workers get triggered, they start working, and the ones with little work finish their work quickly, and the network waits for the node with a bunch of work.

Its important to note here that the work in ChordReduce was performed atomically, a piece at a time. When a node was working on a piece, it informed it's successor, then informed them when it finished. These pieces of work were also small, possibly too small.

As mentioned previously, under our induced experimental churn, we had the nodes randomly fail and immediately join under a new IP/port combination, which yields a new hash. The failure rates were orders of magnitude higher than what would be expected in a "real" (nonexperimental) environment. The following possibilities could occur:

- A node without any active jobs leaves. It dies and comes back with a new port chosen. This new ID has a higher chance of landing in a larger region of responsibility (since larger regions are larger and new joining nodes have a greater chance of hashing to that location). In other words, it has a (relatively) higher chance of moving into an space where it becomes acquires responsibility for enqueued jobs. The outcomes of this are:
 - The node rejoins in a region and doesn't acquire any new jobs. This has no impact on the network (Case I).
 - The node rejoins in a region that has a jobs waiting to be done. It acquires some of these jobs. This speeds up performance (Case II).
- A node with active jobs dies. It rejoins in a new space. The jobs were small, so not too much time is lost on the active job, and the enqueued jobs are backed up and the successor knows to complete them. However, the node can rejoin in a more job-heavy region and acquire new jobs. The outcomes of this are:
 - A minor negative impact on runtime and load balancing (since the successor has more jobs to deal with) (Case III).

- A possible counterbalance in load balancing by acquiring new jobs off a busy node (Case “It probably evens out”).

The longer the nodes work on the jobs, the more nodes finish and have no jobs. This means as time increases, so do the occurrences of Case I and II.

This leads us to two hypotheses:

- Deleting nodes motivates other nodes to work harder to avoid deletion (a “beatings will continue until morale improves” situation).
- Our high rate of churn was dynamically load-balancing the network. It appears even the smallest effort of trying to dynamically load balance, such as rebooting random nodes to new locations, has benefits for runtime. Our method is a horrible approximation of dynamic load-balancing, and it still shows improvement.

The first hypothesis is mentally pleasing to anyone who has tried to create a distributed system, but lacks rigor. We still have to verify the existence of this phenomena in an independent experiment, and establishing that it does another goal of my dissertation.

Once we have established that it does exist, we need a better load-balancing strategy than randomly inducing. We want nodes to have a precomputed list of locations in which they can insert nodes to perform load-balancing on an ad-hoc basis during runtime. This precomputed list ties directly into the security research on DHTs we have done [39].

3.2.2 Sybil Attacks and Injection

We discovered injecting replicas is easy and simple in P2P networks, we use a Sybil attack. This was the focus of my Data Security project I hypothesize we can Sybil attacks for improving load balancing on demand.

One of the key properties of structured peer-to-peer (P2P) systems is the lack of a centralized coordinator or authority. P2P systems remove the vulnerability of a single point of failure and the susceptibility to a denial of service attack [17], but in doing so, open themselves up to new attacks.

Completely decentralized P2P systems are vulnerable to *Eclipse attacks*, whereby an attacker completely occludes healthy nodes from one another. This prevents them from communicating without being intercepted by the adversary. Once an Eclipse attack has taken place, the adversary can launch a variety of crippling attacks, such as incorrectly routing messages or returning malicious data [44].

One way to accomplish this attack is to perform a *Sybil attack* [17]. In a Sybil attack, the attacker masquerades as multiple nodes, effectively over-representing the attacker’s presence in the network to maximize the number of links that can be established with healthy nodes. If enough malicious nodes are injected into the system, the majority of the nodes will be occluded from one another, successfully performing an Eclipse attack.

This vulnerability is well known [48]. Extensive research has been done assessing the damage an attacker can do after establishing themselves [44]. Little focus has been done on examining how the attacker can establish himself in the first place and precisely how easily the Sybil attack can be accomplished.

We focused on looking at the computational and memory costs of creating as many replicas as possible. The computation costs turn out to be fairly trivial and can be precomputed based on how IDs are assigned, a process I call *mashing*. If a node obtains their ID via an IP/Port combination, and we limit an attacker to using only ephemeral IP addresses (16383 total), the per node cost of mashing is quite low. Per node, it takes 48 milliseconds to mash 16383 IP/Port combinations and only 352 kilobytes to store this information after precomputing it.

An attacker would do this for each of his nodes, then join the network and insert as many Sybils as possible. I calculated that it would take only 1221 IP addresses to compromise 50% of the links in a 20,000,000 node network.

An altruistic member of the network could only inject replicas where they are needed. Given that we want nodes to insert virtual nodes, why not let nodes choose keys from the entire keyspace? First, this is bad practice which makes a Sybil attacks trivially easy to perform. Second, one of the primary benefits of using consistent hashing is that it allows a selection of contiguous keys to map to a uniform distribution. Most importantly for us, this distribution can be precomputed and reproduced as needed.

Chapter 4

Proposed Work

4.1 UrDHT: Our DHT Framework

As mentioned in Chapter 1, our plan is to create a highly configurable and easy to use DHT framework based off the DHT abstractions we have discovered. Rather than making a fully-functional DHT application on our own, we will be making a minimally functional DHT framework that will be easy to fork for a variety of applications.

We call this proposed framework UrDHT, *ur-* being the Germanic prefix denoting primal, or primitive, or original. UrDHT is a project which presents a minimal and extensible implementation for all the essential components for a DHT: the different aspects for a protocol definition, the storage of values, and the networking components.

- This will be done jointly with Brendan and anyone else who is interested as a completely open source.
- The language used will either be Python3 and/or Go.
 - Python3 is easy to read and write and has support for coroutines. We know Python, but it does not do type checking and can be more dangerous.
 - Go is safer than Python and is designed for concurrency. It would be safer than Python.
 - Regardless of the language, we will be using test driven design.
- The goal of this step is **not** to create a DHT, but to create an easily extensible abstract framework for DHTs.
- The abstraction comes from implementing the relationship we found between DHT spheres of responsibility and Voronoi tessellations. This is the exciting part and novel of the project. Our previous research [9] has

led us to assert that there is a mathematical formulation for different aspects which every DHT shares in common, such as a distance metric and closeness definition.

4.1.1 Expected Publications

We expect a pair of publications: one from the mathematical definitions for distributed hash tables and one from the UrDHT application itself. The UrDHT project is probably the stronger publication, since it is a fully fledged and novel framework. Furthermore, as an open-source application, we hope that it will be forked by many developers who wish to build applications that rely on DHTs.

The mathematical formulations, on the other hand, serve as novel formulations and definitions of DHTs. They provide new insight, but do not serve as a new application or framework. However, the formulations can and should be presented as an atomic unit on their own.

4.2 Distributed DHT Computing

The next step is to use the UrDHT framework to re-implement ChordReduce. Our goal is a DHT based platform for solving embarrassingly parallel problems using DHTs. The steps involved in this are listed below.

- We will use UrDHT to implement a few of the more popular DHTs.
 - We want to compare each of the DHTs to see if there is a difference between using one or another for distributed computing.
 - Using UrDHT for all the implementations will minimize the non-protocol differences between each DHT, which will allow for as fair a comparison as possible.
 - This will serve as both examples for how to implement our framework as well and the building blocks for the next step.
- Implement a distributed computing mechanism on each of the implemented DHTs for solving computing tasks.
 - The emphasis of our distributed computing application is robustness and fault-tolerance.
- Test each application using a variety of embarrassingly parallel problems, such as:
 - Brute-force cryptanalysis.
 - MapReduce problems.
 - Monte-Carlo computations.

4.2.1 Expected Publications

Here there is one very clearly well defined application and publication. This would be a reimplementaion of our original ChordReduce paper with a completely new problems and new results.

4.3 Autonomous Load Balancing

As we discussed multiple times, it would be highly beneficial to take advantage of each

The most straightforward way of doing this in a DHT context is to have more powerful node acquire a wider range

The goals here are straightforward:

- Further establish that the “strategy” of randomly inducing churn works at reducing. If we successfully establish it exists, we must determine if the process can be modeled.
- Create a processor scoring mechanism for creating virtual nodes.
 - This score is a relative score, since the amount of work a node can carry is determined by the amount other nodes can carry.
 - Furthermore, the score should also be a function of the node’s range, since the amount of work is dictated by the amount of range “owned” the node. This means creating the first virtual node does not double the amount of work the node has.
 - This is compared to the scores of neighboring nodes of all the node’s replicas and should give a gauge of the node’s relative power.
- Use this score in conjunction with various implemented strategies for autonomous load-balancing to find the most effective. A few brainstormed strategies:
 - Passive load balancing - Here, each node looks at range of its Sybil locations. Based on its score, it choses the k largest locations and injects Sybils. No actual communication with other nodes is used.
 - IRM [42] based strategy.
 - Invitation based - Here we flip to strategy around. If the node detects its region is too large or it has too much work; it invites other nodes to help. The nodes look at the range in question and offer to help if they have a Sybil that fits and are not overloaded themselves. The invited looks at the offers of help and selects the best candidate.
 - Another invitation based scheme is that each of the nodes submits itself as a potential filler to each of it’s sybil locations. The nodes that would be affected by this node’s entry add it to a list of fillers. When the node decides it needs help, it selects of of the fillers to join.

These invitation based schemes have the advantage of nodes having control of their range. The question is it “turtles all the way down” here? Do we let the replicas also call for help?

- This may necessitate new node types, such as tracker nodes which exist only to eavesdrop on traffic loads.
- We must determine under which contexts this kind of highly responsive load-balancing can be used. Is it only useful during distributed computation, or is it useful in file-sharing as well?

4.3.1 Expected Publications

We can expect that our research in this area would lead to a publication on autonomous load-balancing. In it, we would compare various strategies we implemented against each other, using the randomly induced churn as a baseline. In addition, there may be some traditional load-balancing strategies, which we would use to establish the strength of our strategies.

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