

# CS331: Homework #9

Due on April 18, 2013 at 11:59pm

*Professor Zhang 9:00am*

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## Problem 1

**Part A** Prove that  $n! \in O(n^n)$ .

*Proof.* To prove that  $n! \in O(n^n)$ , we will use the definition of big-O which states: For two functions,  $f$  and  $g$ . Say that  $f(n) = O(g(n))$  if positive integers  $c$  and  $n_0$  exist such that for every integer  $n \geq n_0$  that  $f(n) \leq cg(n)$ .

Thus we need to find a  $c$  and  $n_0$  to satisfy the above condition.

Let  $f(n) = n!$  and  $g(n) = n^n$ . Let  $c = 1$  and  $n_0 = 1$ , so for all  $n \geq n_0$  we have:

$$\begin{aligned}
 n! &= (n)(n-1)(n-2) \dots (2)(1) \\
 &\leq c(n)(n-1)(n-2) \dots (2)(1) \\
 &= cn^n
 \end{aligned}$$

Since we have satisfied the definition of big-O, we can see that  $n! \in O(n^n)$  and thus our proof is complete.  $\square$

**Part B** Which of the following relations is true and which is false?

1.  $n \in O((\lg n)^3)$

**False**

Linear functions outgrow polylogarithmic asymptotically. No such  $c$  or  $n_0$  to satisfy big-O definition.

2.  $(\lg n)^3 \in o(n)$

**True**

$$\lim_{n \rightarrow \infty} \frac{(\lg n)^3}{n} = 0$$

3.  $n^{\lg n} \in O(2^{n \lg n})$

**True**

$$n^{\lg n} \leq c 2^{n \lg n}$$

$$\lg n \lg n \leq c(\lg 2)(n \lg n)$$

$$\lg n \lg n \leq c(n \lg n)$$

$$\lg n \leq cn$$

We can pick  $c = 1$  and  $n_0 = 1$ , thus it is true.

4.  $n^4 \in o(100n^4)$

**False**

$$\lim_{n \rightarrow \infty} \frac{n^4}{100n^4} = \lim_{n \rightarrow \infty} \frac{1}{100} = \frac{1}{100}$$

5.  $(\lg n)^n \in O(\sqrt{2^n})$

**False**

$$n(\lg n)^n \leq c\sqrt{2^n}$$

$$n(\lg \lg n) \leq \frac{c}{2} \lg(2^n)$$

$$n(\lg \lg n) \leq \frac{c}{2} n$$

$$(\lg \lg n) \leq \frac{c}{2}$$

Which is clearly false, no constant is less than any function with  $n$ .

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## Problem 2

Prove that any language in  $P$  is **polynomial reducible** to any language in  $P$  which is not  $\emptyset$  or  $\Sigma^*$ .

*Proof.* To prove that any language in  $P$  is polynomial reducible to any other language in  $P$ , we will do a proof by construction to construct a polynomial time mapping function  $f$  that maps one language to another.

Let there be two languages  $A$  and  $B$  that are both in  $P$ . Since they are in  $P$  we know there are two TMs that run in polynomial time. Let these TMs be  $M_1$  and  $M_2$ .

We will now construct a polynomial reducible function where  $w \in A \iff f(w) \in B$ . Let this function be the TM  $N$  and constructed as follows:

$N =$  “ On input string  $w$ :

1. Run  $M_2$  on  $w$
2. If  $M_2$  accepts, then output what  $M_2$  outputs and *accept*.
3. If  $M_2$  rejects, then *reject*.”

As we can see, since  $A$  and  $B$  are both in  $P$ , we can use the TMs that recognize the languages to reduce  $A$  to  $B$ .

**Note:**  $\emptyset$  and  $\Sigma^*$  can't be reduced to. If we have a language  $A$  that has some  $w$  that it accepts and some that it rejects, trying to reduce to  $\emptyset$  is impossible because since there exists a  $w \in A$ , there is no way to map it to  $\emptyset$  because the condition  $w \in A \iff f(w) \in B$  doesn't hold. The converse is true for  $\Sigma^*$  as well. If  $w \notin A$  we can't map it to a value that isn't in  $\Sigma^*$ . Thus any language in  $P$  besides  $\emptyset$  and  $\Sigma^*$  can be polynomial reduced to another language in  $P$ .  $\square$

## Problem 3

Let  $L = \{0^i 1^j : i > j\}$ . Show that  $L \in TIME(n \lg n)$ .

*Proof.* To show that  $L \in TIME(n \lg n)$ , we will use a proof by construction to construct a new TM  $M$  that only uses  $TIME(n \lg n)$  steps to complete.

$M =$  “ On input string  $w$ :

1. Start on the left side of the word, scan left, if there is a 1 before a 0, *reject*
2. Repeat as long as there are 0s still on the tape:
  - (a) Scan across the tape, if there are 0s left and no more 1s left, *accept*
  - (b) Scan again, crossing off every other 0 and every other 1
3. Since there are no more 0s, and we haven't accepted, we know  $i \leq j$ , thus *reject*.”

Let's analyze the running time of  $M$ . First observe that every stage except for the last run in  $TIME(n)$ . Stage 2 halves the number of characters in the input every time it repeats thus it runs in  $TIME(n) * TIME(\lg n)$ . The total running time is then  $TIME(n) + TIME(n \lg n)$ . This gives us the final running time of  $TIME(n \lg n)$ .  $\square$

## Problem 4

Prove that Graph isomorphism is in  $NP$ . That is

$$GI = \{\langle G, H \rangle : G, H \text{ are isomorphic}\} \in NP$$

Two graphs  $G = \langle V_G, E_G \rangle$  and  $H = \langle V_H, E_H \rangle$  are isomorphic iff there is a bijection  $f : V_G \rightarrow V_H$  such that  $\langle v, v' \rangle \in E_G$  if and only if  $\langle f(v), f(v') \rangle \in E_H$ .

*Proof.* To show that graph isomorphism is in  $NP$ , we will construct a verifier that can verify the problem in polynomial time. This proves that a language is in  $NP$  because of **Theorem 7.20**.

The verifier  $V$  that verifies that a graph is isomorphic is as follows:

$V =$  “ On input  $\langle \langle G, H \rangle, c \rangle$ :

1. Check that  $c$  is a valid bijection from nodes in  $G \rightarrow H$
2. For every  $(v_1, v_2) \in c$ :
  - (a) Check that  $v_1 \in G$
  - (b) Check that  $v_2 \in H$
3. If everything passes, *accept*, otherwise *reject*.”

We can see that given our input,  $\langle G, H \rangle$  where  $n = |G|$  and  $m = |H|$ , we can see that the verifier's time complexity is running in  $O(m + n)$  time, or  $2n = O(n)$  because the number of nodes in the graphs must be equal.

Thus we have proven that Graph Isomorphism is in  $NP$ . □

## Problem 5

Prove that Double Satisfaction Problem, defined as

$$SAT2 = \{\langle \phi \rangle : \phi \text{ is a 3CNF-formula with at least two solutions}\}$$

is  $NP$ -complete.

*Proof.* To prove that  $SAT2$  is  $NP$ -complete, we will first show that it is in  $NP$  and then reduce  $3SAT$  to it. Similar to what is done in **Corollary 7.42** in the textbook.

To show that  $SAT2$  is in  $NP$ , we can just create a TM that guesses two assignments to all the variables and then tries them. This TM would be nondeterministic but could run in polynomial time. Thus  $SAT2 \in NP$ .

Given a  $\phi \in 3SAT$ , we know that  $\phi$  is a 3cnf-formula that is satisfiable once. Now we can just make a dummy variable say  $j$  and add it along with another variable  $x$  so that  $\phi' = \phi \wedge (j \vee \bar{j} \vee x)$ . Thus we can see that for any assignment of  $j$ , it is satisfied twice because it was already satisfied once.

This construction is very easily polynomial time. Now we need to show that if  $\phi \notin 3SAT$ , using our reduction,  $\phi' \notin SAT2$ .

Given a  $\phi \notin 3SAT$ , we know that there is no such assignment that satisfies it. Thus when using our reduction,  $\phi' = \phi \wedge (j \vee \bar{j} \vee x)$ . This will never result in having two satisfactions for it because the previous part is not satisfied.

Thus we have shown that  $SAT2$  is  $NP$ -complete and our proof is finished.  $\square$

## Problem 6

Prove that the class  $P$  is closed under union and complementation.

**Part One** Prove that  $P$  is closed under union.

*Proof.* To prove that  $P$  is closed under union, we assume that there are two languages  $L_1$  and  $L_2$  with  $M_1$  and  $M_2$  as TMs that decide them.

Assume that  $M_1$  runs in polynomial time,  $O(n^x)$  as well as  $M_2$ ,  $O(n^y)$ .

We will construct a new TM  $M$  that runs both  $M_1$  and  $M_2$  and show that it still runs in polynomial time.

$M =$  “ On input  $w$ :

1. Run  $M_1$  on  $w$ .
2. Run  $M_2$  on  $w$ .
3. If one of the TMs accepted, accept, else reject.”

The runtime of  $M$  can be determined as  $O(n^x) + O(n^y)$ . Asymptotically, this is equal to the following:  $O(n^z)$  where  $z = \max(x, y)$ .

Thus we can see that  $P$  is closed under union. □

**Part Two** Prove that  $P$  is closed under complementation.

*Proof.* To prove that  $P$  is closed under complementation, we assume that there is a language  $L$  with a TM  $M$  that decides it.

Assume that  $M$  runs in polynomial time,  $O(n^x)$ .

We will construct a new TM  $N$  that runs  $M$  and we will show that it still runs in polynomial time.

$N =$  “ On input  $w$ :

1. Run  $M$  on  $w$ .
2. If  $M$  accepted, reject, else accept.”

The construction of  $N$  is such that it decides the complement of the language that  $M$  decides. This TM also runs in  $O(n^x)$ , which means it still runs in polynomial time.

Thus we can see that  $P$  is closed under complementation. □

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