

# FROM DATABASES TO ARCHITECTURES FOR BIG DATA MANAGEMENT

## DATABASE FUNDAMENTALS (RECALL/INTRODUCTION)

### Database functionalities

# Which of these is/acts like a database?

From the user's perspective

<b>MySQL</b>	<b>Excel</b>	<b>Oracle</b>	<b>Hadoop</b>	<b>Google</b>	<b>GMail</b>
<b>Facebook</b>	<b>Twitter</b>	<b>Emacs</b>	<b>Skype</b>	<b>Firefox</b>	<b>Python</b>

# Fundamental database properties

- Data storage
  - Protection against unauthorized access, data loss
- Ability to at least add to and remove data to the database
  - Also: updates; active behavior upon update (triggers)
- Support for querying the data
  - Declarative languages: say what data you need, not how to find it

# Fundamental database properties:

## ACID

- **Atomicity:** either all operations involved in a transactions are done, or none of them is
  - E.g. bank payment
- **Consistency:** application-dependent constraint
  - E.g. every client has a single birthdate
- **Isolation:** concurrent operations on the database are executed as if each ran alone on the system
  - E.g. if a debit and a credit operation run concurrently, the final result is still correct
- **Durability:** data will not be lost nor corrupted even in the presence of system failure during operation execution

Jim Gray, ACM Turing Award 1998 for « fundamental contributions to databases and transaction management »

# Which of these is/acts like a database?

From the user's perspective

MySQL ✓	Excel ✗	Oracle ✓	Hadoop ✗	Google	GMail
Facebook ✓	Twitter ~	Emacs ✗	Skype ✗	Firefox ~	Python ~

Twitter, Skype and Firefox include / are built on database servers

**Twitter:** no delete; small data items

**Skype:** local database+index of all conversations, mirroring the one from Microsoft. May get corrupted ☹

**Firefox:** includes a tiny SQL server for the bookmarks

# ACID properties

- **Atomicity:** per transaction (cf. boundaries)
- **Consistency:** difference in the expressive power of the constraints
  - E.g. SQL create table constraint syntax (MySQL):

```
CREATE TABLE tbl_name (create_definition,...) [table_options] [partition_options]
```

```
create_definition: col_name column_definition |
```

```
  [CONSTRAINT [symbol]] PRIMARY KEY [index_type] (index_col_name,...) [index_option] ... |
```

```
  {INDEX|KEY} [index_name] [index_type] (index_col_name,...) [index_option] ... |
```

```
  [CONSTRAINT [symbol]] UNIQUE [INDEX|KEY] [index_name] [index_type]
```

```
                                (index_col_name,...) [index_option] (...) |
```

```
  CHECK (expr)
```

```
column_definition: data_type [NOT NULL | NULL] [DEFAULT default_value]
```

```
  [AUTO_INCREMENT] [UNIQUE [KEY] | [PRIMARY] KEY] (...)
```

# ACID properties

## Consistency (continued)

- SQL constraint syntax (within create table):

```
[CONSTRAINT [symbol]] FOREIGN KEY [index_name]  
(index_col_name, ...)  
    REFERENCES tbl_name (index_col_name,...)  
    [ON DELETE reference_option]  
    [ON UPDATE reference_option]  
reference_option: RESTRICT | CASCADE | SET NULL | NO ACTION
```

- Key-value store: REDIS
  - a data item can have only one value for a given property
- Key-value store: DynamoDB
  - The value of a data item can be constrained to be unique, *or* allowed to be a set
- Hadoop File System (HDFS): no constraints

# ACID properties

- **Isolation:** concurrent operations on the database are executed as if each ran alone on the system
  - Watch out for: read-write (RW) or write-write (WW) conflicts
  - Conflict granularity depends on the data model
- **An example of advanced isolation support: SQL**
  - E.g. SQL

Isolation Level	Dirty Read	Non Repeatable Read	Phantom
Read uncommitted	Yes	Yes	Yes
Read committed	No	Yes	Yes
Repeatable read	No	No	Yes
Snapshot	No	No	No
Serializable	No	No	No

- High isolation conflicts with high transaction throughput
- E.g. HDFS: a file is never modified (written only once and integrally)



# **DATABASE FUNDAMENTALS (RECALL/INTRODUCTION)**

## **Database internals**

# What's in a database?



# What's in a database?

Driver	
name	ID
Julie	1
Damien	2

Car	
driver	license
1	'123AB'
2	'171KZ'

1. Load →

2. SQL →

```
select driver.name
from driver, car
where
driver.ID=car.driver
and
car.license='123AB'
```

Database

→ 3. Results

name
Julie

# What's in a database?

Driver	
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Damien	2

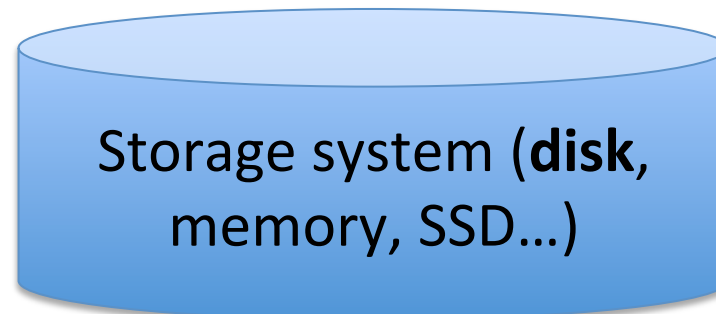
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Database



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→ 3. Results

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## Database

Driver		Car	
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→ 3. Results

name

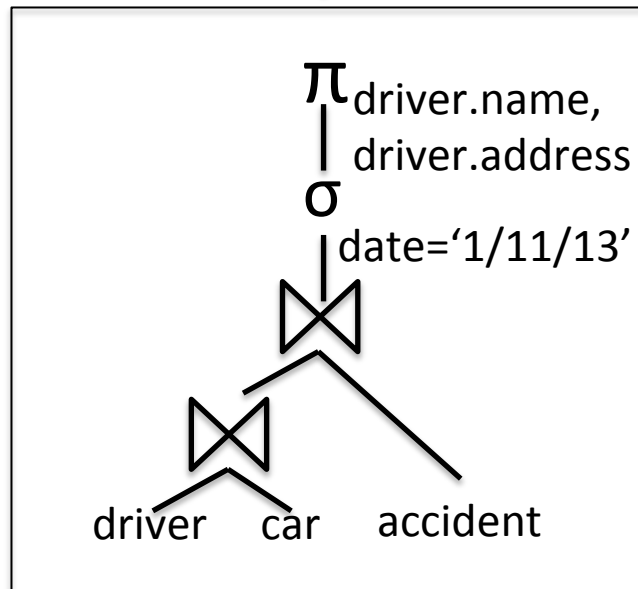
Julie

# What's in a database?

SQL

**select** driver.name,  
driver.address  
**from** driver, car,  
accident  
**where**  
driver.ID=car.driver  
and  
car.license=accident  
.carLicense and  
accident.date='1/11  
/13'

select... from driver, car, accident where...



Query language

Logical plan

.....

Driver		Accident		Car	
name	ID	driver	license		
Julie	1	1	'123AB'		
Damien	2	2	'171KZ'		

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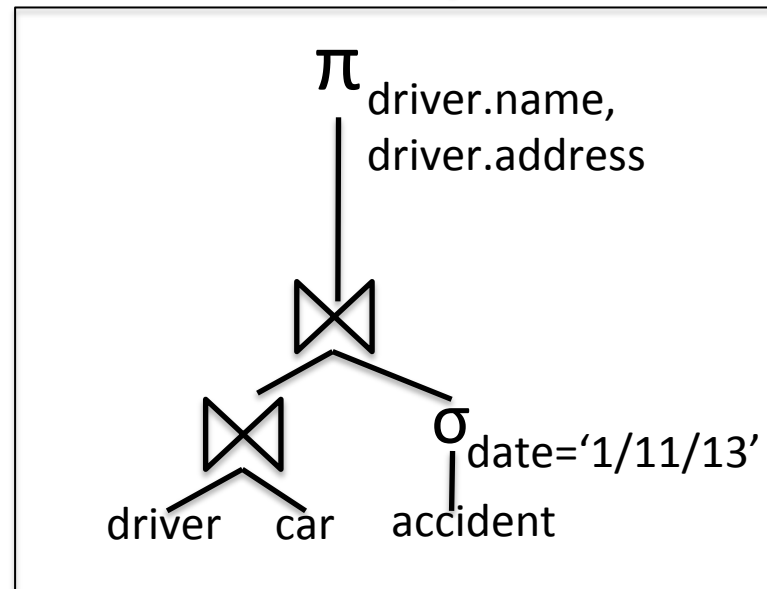
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select... from driver, car, accident where...



.....

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Query language

Logical plan 1

Logical plan 2

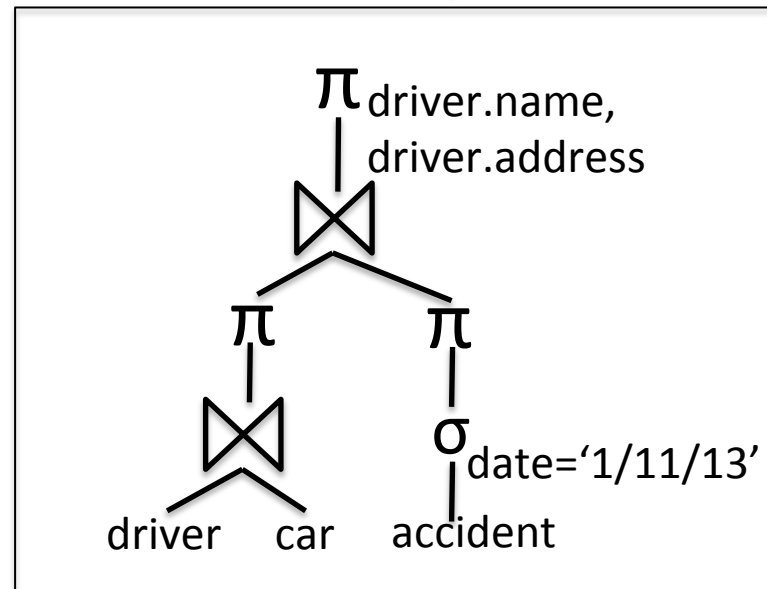
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Query language

Logical plan 1

Logical plan 2

Logical plan 3

Results

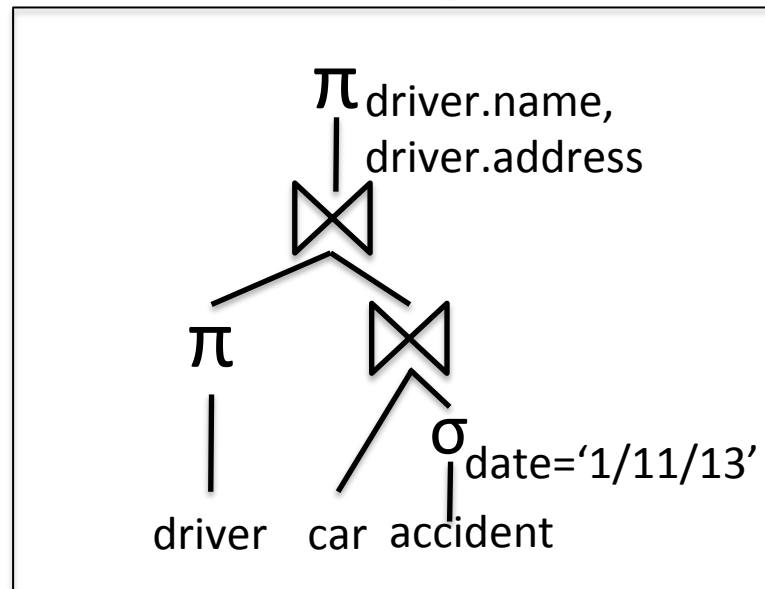


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Query language

Logical plan 1

Logical plan 2

Logical plan 3

Logical plan 4

Results

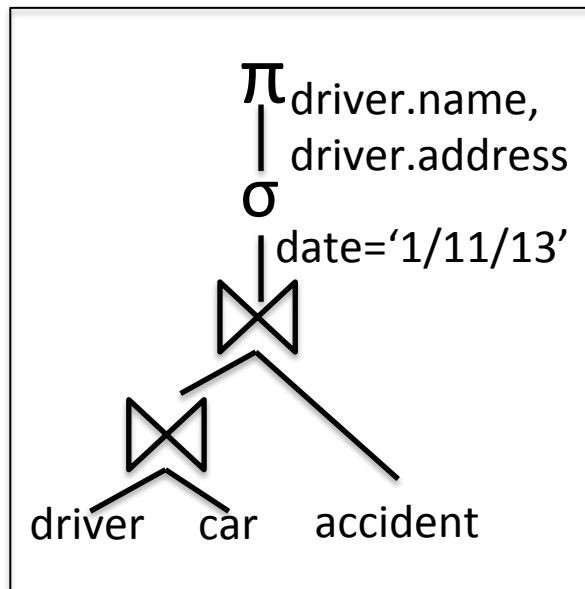
# Logical query optimization

- Enumerates logical plans
- All logical plans compute the query result
  - They are **equivalent**
- Some are (much) more **efficient** than others
- **Logical optimization**: moving from a plan to a more efficient one
  - Pushing selections
  - Pushing projections
  - Join reordering: most important source of optimizations

# Logical query optimization example

1.000.000 cars, 1.000.000 drivers, 1.000 accidents, 2 cars per accident, 10 accidents on 1/11/13

« Name and address of drivers in accidents on 1/1/2013? »



$10^{12} + 10^9 + 2000 + 20$   
operations  $\sim 10^{12} + 10^9$

**Cost** of an operator: depends on the number of tuples (or tuple pairs) which it must process  
e.g.  $c_{\text{disk}} \times \text{number of tuples read from disk}$   
e.g.  $c_{\text{cpu}} \times \text{number of tuples compared}$

**Cardinality** of an operator's output: how many tuples result from this operator produce

The cardinality of one operator's output determines the cost of its parent operator!

Plan cost: the sum of the costs of all operators in a plan

Total scan costs:  $10^6 + 10^6 + 10^3$

Driver-car join cost estimation:  $10^6 \times 10^6 = 10^{12}$

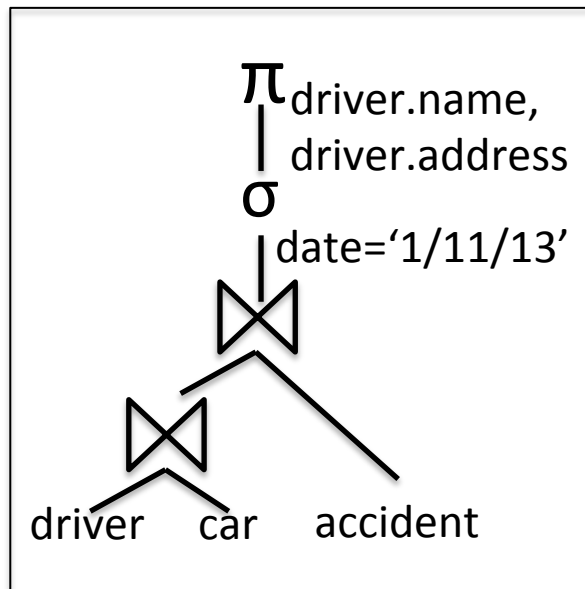
Driver-car join size estimation:  $10^9$

Driver-car-accident cost estimation:  $10^9 \times 10^3 = 10^{12}$

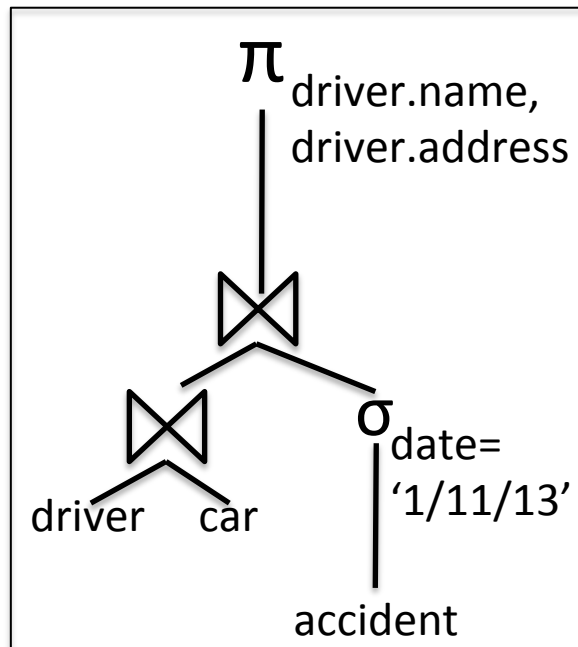
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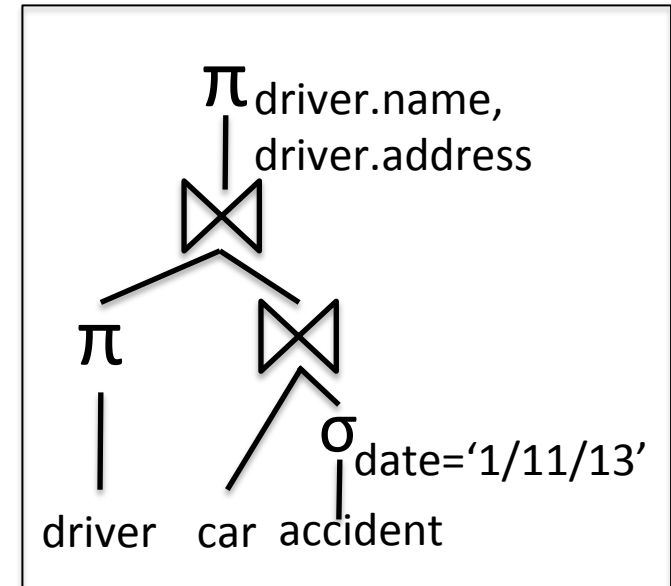


$10^{12} + 10^9 + 2000 + 20$   
operations  $\sim 10^{12} + 10^9$



$10^{12} + 1000 + 10^7 + 20$   
operations  $\sim 10^{12} + 10^3$

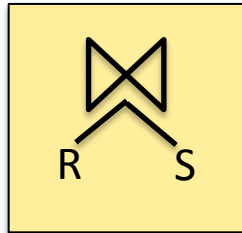
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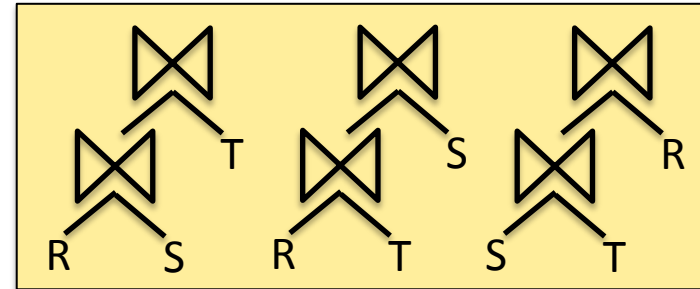
$10^6 + 10^6 + 10^7 + 2 \cdot 10^7 + 20$   
operations  $\sim 3 \cdot 10^7$

# Join ordering is the main problem in logical query optimization

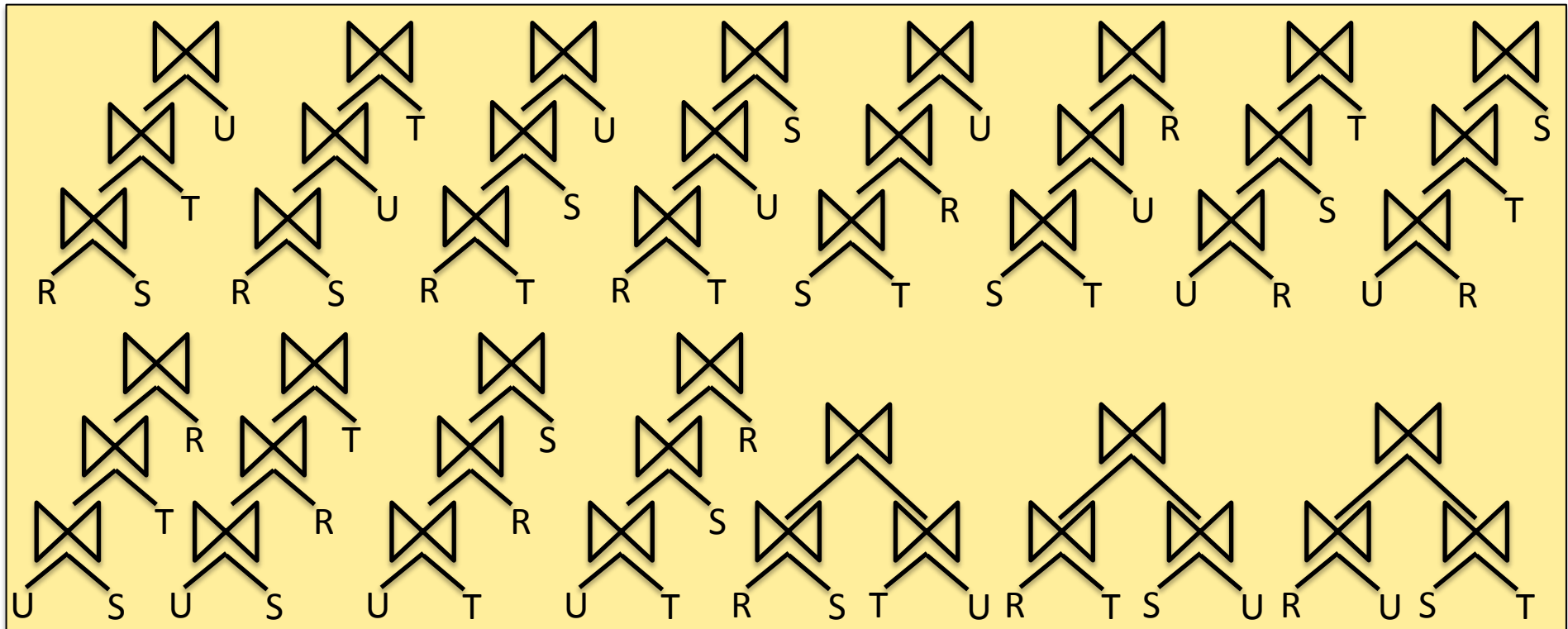
N=2:



N=3:



N=4:



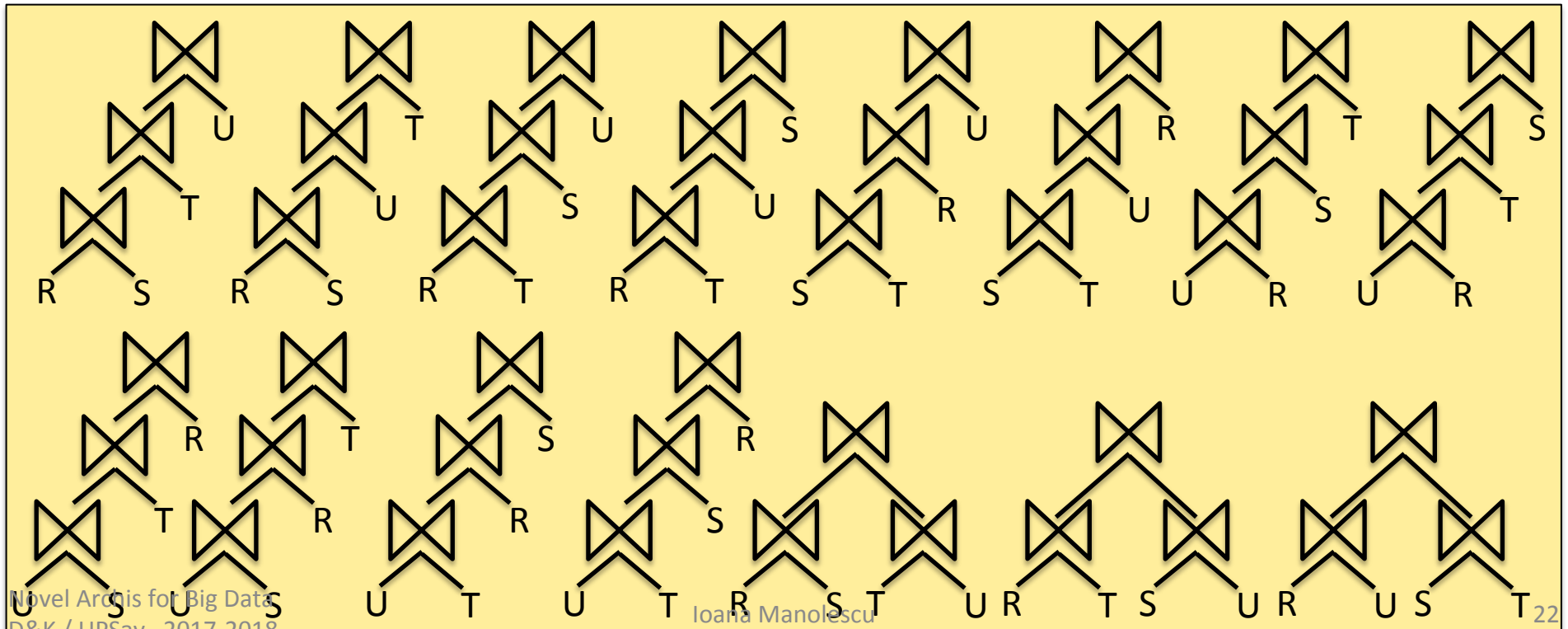
# Join ordering is the main problem in logical query optimization

$$\text{Plans}(n+1) = (n+1) * \text{Plans}(n) + \frac{1}{2} * \sum_{i=1}^{(n/2)} \text{Plans}(i) * \text{Plans}(n+1-i)$$

High (exponential) complexity  $\rightarrow$  many heuristics

- Exploring only left-linear plans etc.

N=4:



# Logical query optimization needs statistics

## Exact statistics:

- 1.000.000 cars, 1.000.000 drivers, 1.000 accidents

## Approximate / estimated statistics:

- 2 cars per accident, 10 accidents on 1/11/13

Statistics are gathered

- When **loading** the data: take advantage of the scan
- **Periodically** or upon **request** (e.g. analyze in the Postgres RDBMS)
- At **runtime**: modern systems may do this to change the data layout (e.g., dynamic indexing – to be seen next)

Statistics on the **base data** vs. on **results of operations not evaluated** (yet):

- « On average 2 cars per accident »
- For each column R.a, store:  
 $|R|$ ,  $|R.a|$  (number of distinct values),  $\min\{R.a\}$ ,  $\max\{R.a\}$
- Assume **uniform distribution** in R.a
- Assume **independent distribution**
  - of values in R.a vs values in R.b;      of values in R.a vs values in S.c
- + simple probability computations

$$\begin{aligned} P(R.a = \text{« a1 »} \text{ et } R.b = \text{« b1 »}) &= \\ P(R.a = \text{« a1 »}) * P(R.b) &= \text{« b1 »} \end{aligned}$$

# More on statistics

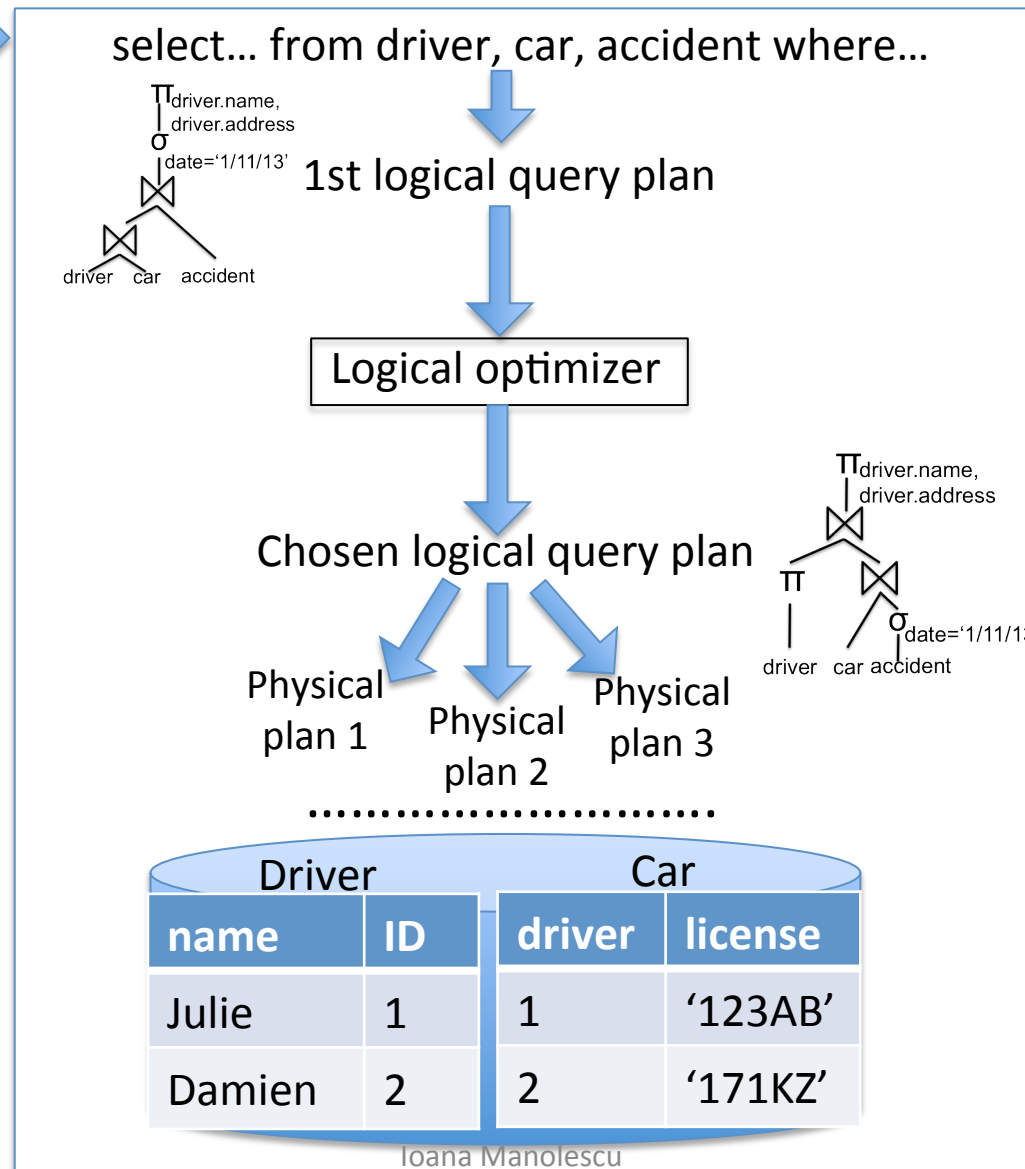
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- Assume **uniform distribution** in R.a
- Assume **independent distribution**
  - of values in R.a vs values in R.b;                      of values in R.a vs values in S.c
- The **uniform distribution** assumption is **frequently wrong**
  - Real-world distribution are skewed (popular/frequent values)
- The **independent distribution** assumption is **sometimes wrong**
  - « Total » counter-example: *functional dependency*
  - Partial but strong enough to ruin optimizer decisions: *correlation*
- Actual optimizers use more sophisticated statistic informations
  - **Histograms**: equi-width, equi-depth
  - Trade-offs: size vs. maintenance cost vs. control over estimation error



# Database internal: query optimizer

SQL

select driver.name  
from driver, car  
where  
driver.ID=car.driver  
and  
car.license='123AB'



Query language

Chosen logical plan

Results

# Physical query plans

Made up of **physical operators** =  
algorithms for implementing logical operators

Example: equi-join ( $R.a=S.b$ )

## Nested loops join:

```
foreach t1 in R{  
  foreach t2 in S {  
    if t1.a = t2.b then output (t1 || t2)  
  }  
}
```

## Merge join: // requires sorted inputs

```
repeat{  
  while (!aligned) { advance R or S };  
  while (aligned) { copy R into topR, S into topS };  
  output topR x topS;  
} until (endOf(R) or endOf(S));
```

## Hash join: // builds a hash table in memory

```
While (!endOf(R)) { t ← R.next; put(hash(t.a), t); }  
While (!endOf(S)) { t ← S.next;  
  matchingR = get(hash(S.b));  
  output(matchingR x t);  
}
```

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$O(|R| \times |S|)$

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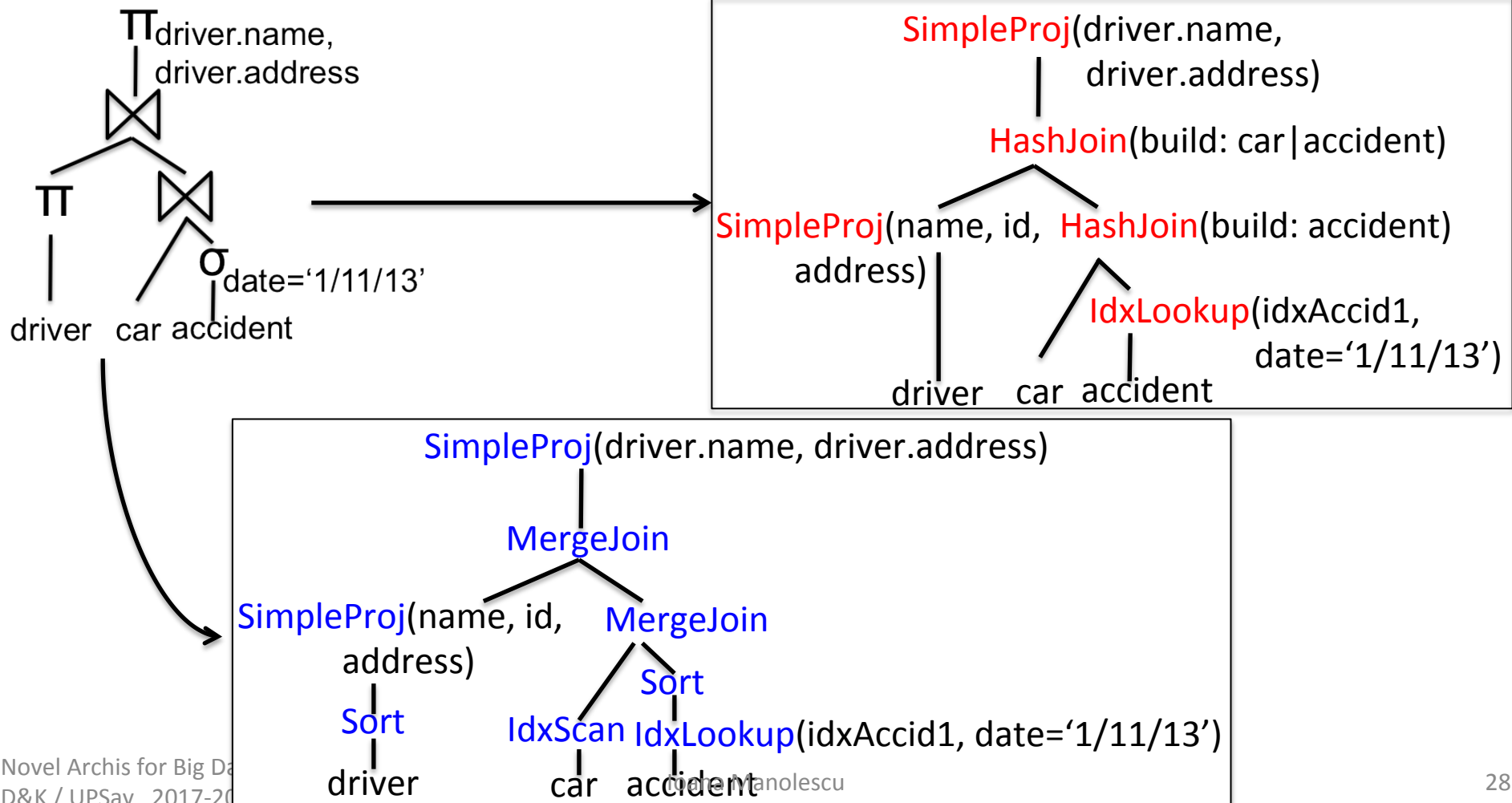
Also:

- Block nested loops join
- Index nested loops join
- Hybrid hash join
- Hash groups / teams

...

# Physical optimization

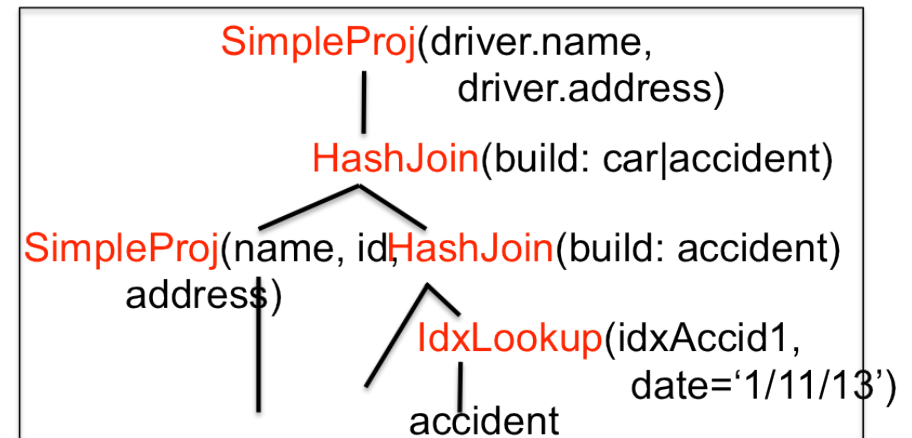
Possible physical plans produced by physical optimization for our sample logical plan:



# Physical plan performance

Metrics characterizing a physical plan

- **Response time:** between the time the query starts running to the we know it's end of results
- **Work** (resource consumption)
  - How many **I/O** calls (blocks read)
    - Scan, IdxScan, IdxAccess; Sort; HybridHash (or spilling HashJoin)
  - How much **CPU**
    - All operators
  - (Distributed plans: **network** traffic)
- **Total work:** work made by all operators



# Query optimizers in action

Most database management systems have an « explain » functionality → physical plans. Below sample Postgres output:

```
EXPLAIN SELECT * FROM tenk1;  
          QUERY PLAN
```

```
-----  
Seq Scan on tenk1 (cost=0.00..458.00 rows=10000 width=244)
```

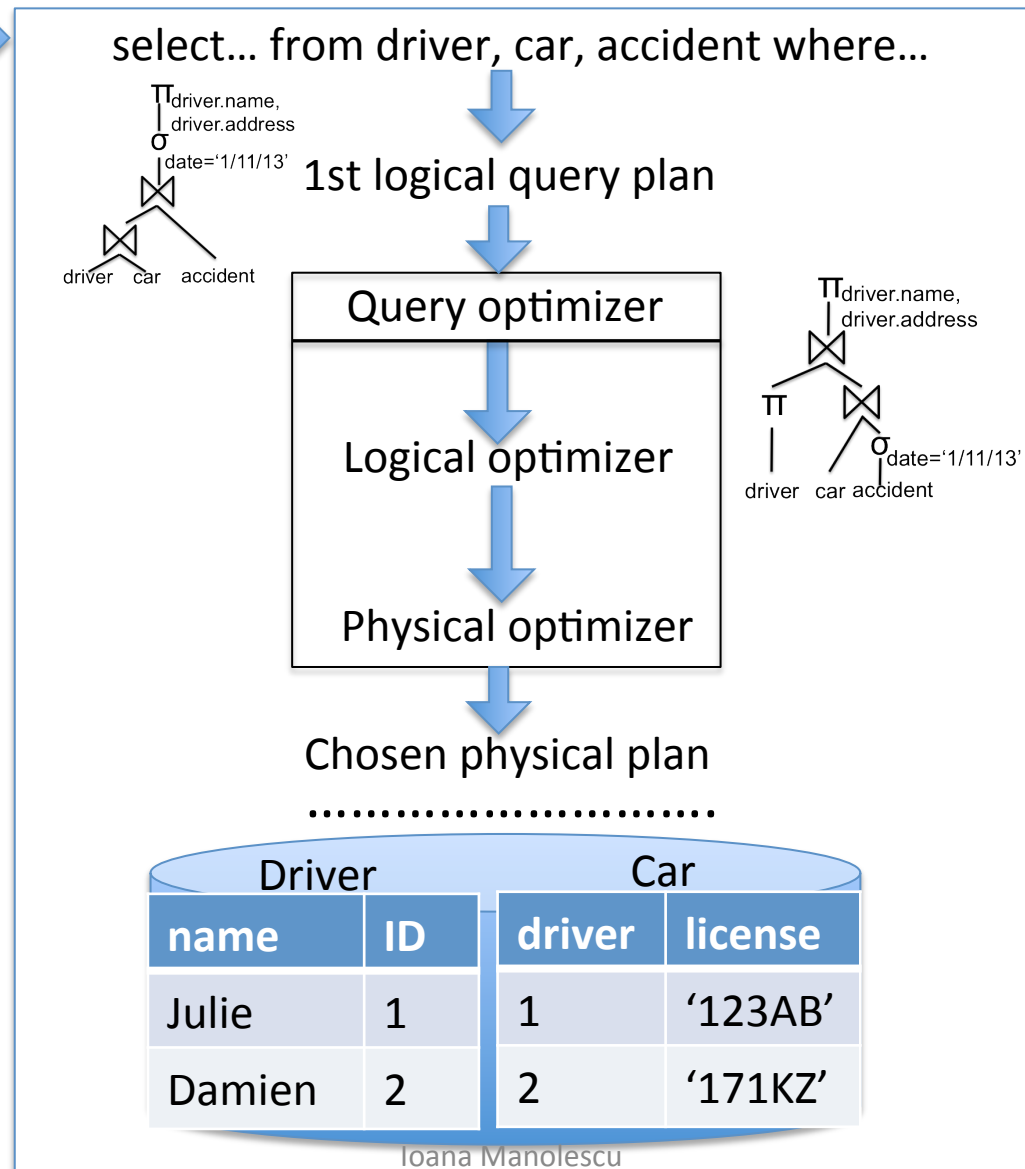
```
EXPLAIN SELECT * FROM tenk1 t1, tenk2 t2  
WHERE t1.unique1 < 100 AND t1.unique2 = t2.unique2;  
          QUERY PLAN
```

```
-----  
Hash Join (cost=232.61..741.67 rows=106 width=488)  
  Hash Cond: ("outer".unique2 = "inner".unique2)  
    -> Seq Scan on tenk2 t2 (cost=0.00..458.00 rows=10000 width=244)  
    -> Hash (cost=232.35..232.35 rows=106 width=244)  
      -> Bitmap Heap Scan on tenk1 t1 (cost=2.37..232.35 rows=106 width=244)  
        Recheck Cond: (unique1 < 100)  
        -> Bitmap Index Scan on tenk1_unique1 (cost=0.00..2.37 rows=106 width=0)  
          Index Cond: (unique1 < 100)
```

# Database internal: physical plan

SQL

select driver.name  
from driver, car  
where  
driver.ID=car.driver  
and  
car.license='123AB'



Query language

Chosen logical plan

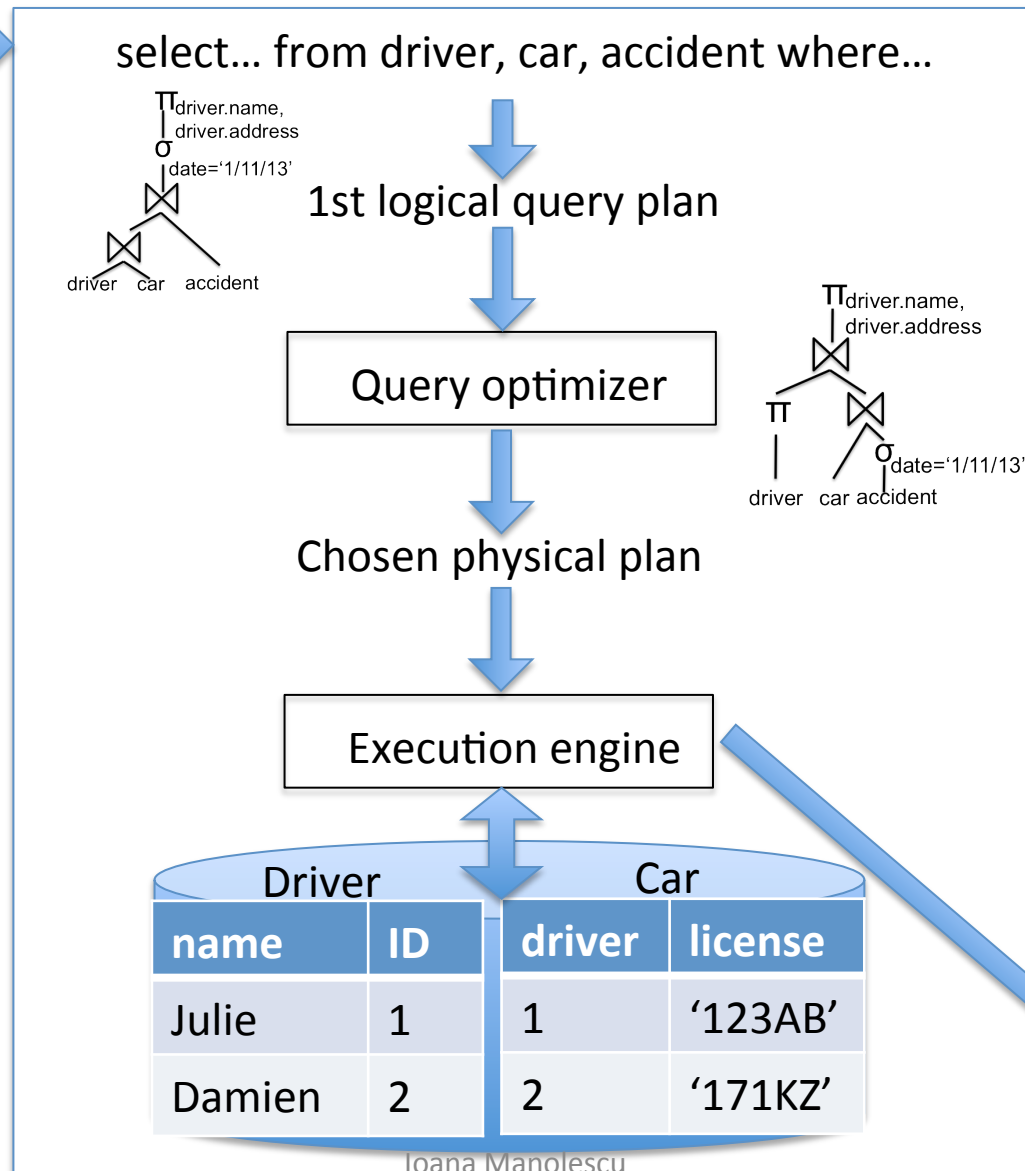
Chosen physical plan

Results

# Database internals: query processing pipeline

SQL

select driver.name  
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and  
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Query language

Chosen logical plan

Chosen physical plan

Results



# ARCHITECTURES FOR **BIG DATA** MANAGEMENT:

## WHAT NEEDS TO CHANGE?

# What is the impact of Big Data properties on database architectures?

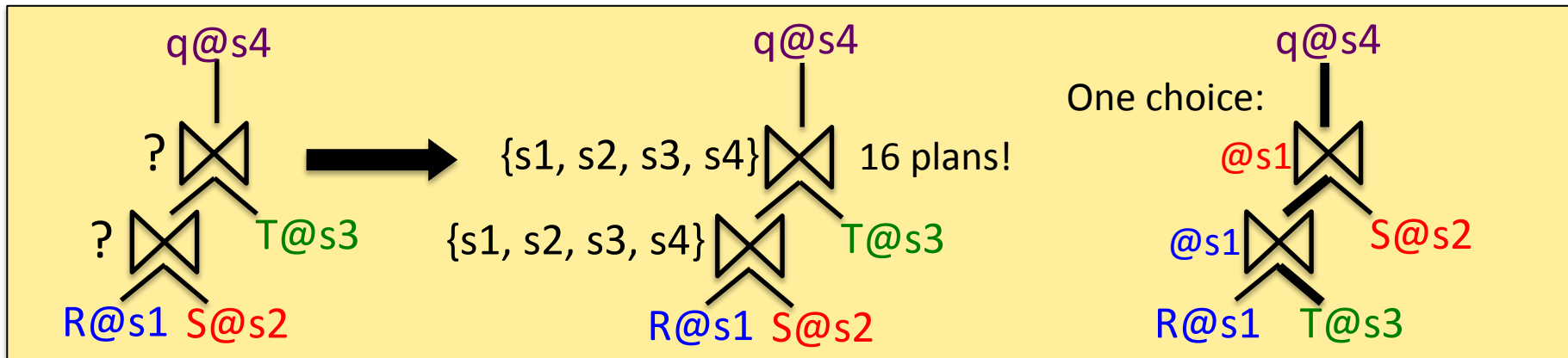
- **Volume and Velocity** require **distribution**
  - Of the data; of query evaluation
  - Distribution makes **ACID difficult**
  - Distribution requires efficient, easy-to-use parallelism
- **Variety** requires support for
  - **flexible data models**: key-values, JSON, graphs...
  - **different schemas**, and translation mechanisms between the schemas
  - **several data models** being used together

# Distributed databases

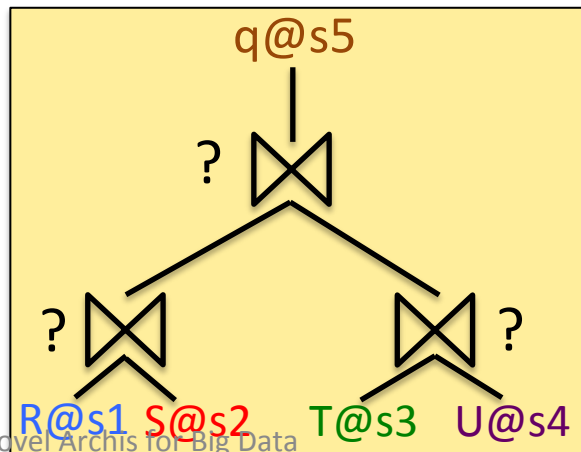
- Oldest distributed architecture ('70s)
- Illustrates/introduces the main principles
- **Data** is distributed among many *nodes* (*sites, peers...*)
  - **Data catalog**: information on which data is stored where
    - Explicit : « All Paris sales are stored in Paris ».  
Ex: Relational table fragmentation (horizontal, vertical etc.)  
Catalog stored at a master/central server.
    - Implicit: « Data is distributed by the value of the city »  
(« somewhere »)  
Catalog split across all sites (P2P) or at a master (Hadoop FS)
- **Queries** are distributed (may come from any site)
- **Query processing** is distributed
  - Operators may run on different sites → network transfer
  - Another layer of complexity to the optimization process

# Distributed query optimization

Example 1:  $R@s_1$ ,  $S@s_2$ ,  $T@s_3$ ,  $q@s_4$



Example 2:  $R@s_1$ ,  $S@s_2$ ,  $T@s_3$ ,  $U@s_4$ ,  $q@s_5$

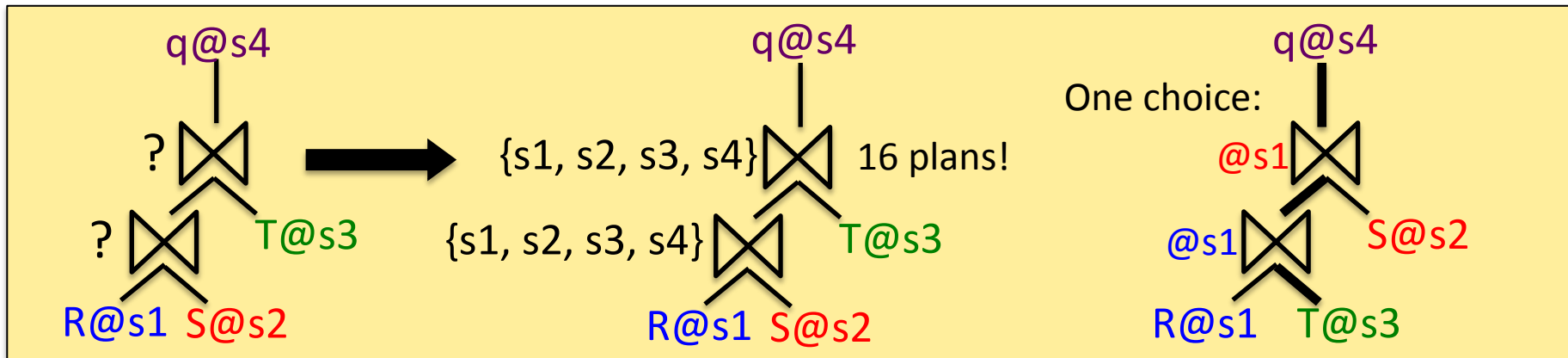


Plan pruning criteria if all the sites and network connections have equal performance

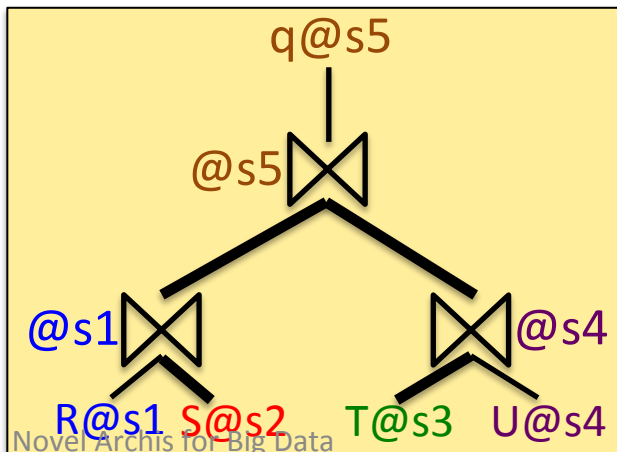
- Ship the smaller collection

# Distributed query optimization

Example 1:  $R@s1$ ,  $S@s2$ ,  $T@s3$ ,  $q@s4$



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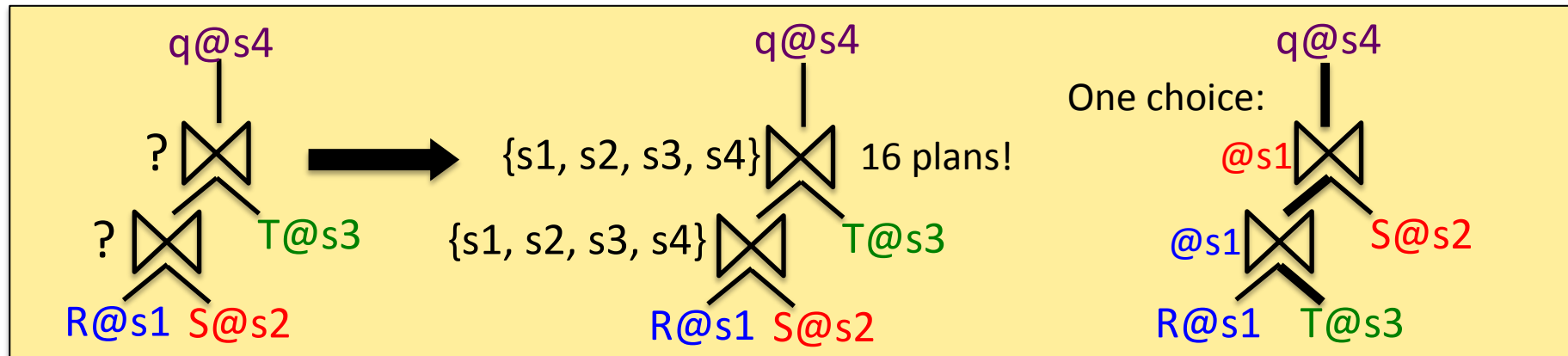


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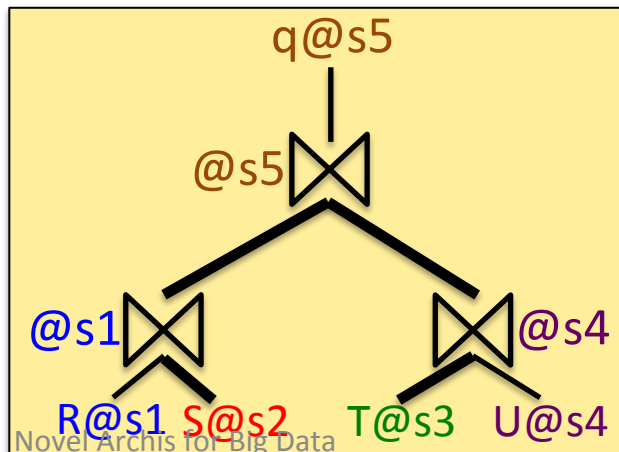
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- Transfer to join partner or the query site

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Example 2:  $R@s1$ ,  $S@s2$ ,  $T@s3$ ,  $U@s4$ ,  $q@s5$



Plan pruning criteria if all the sites and network connections have equal performance:

- Ship the smaller collection.
- Transfer to join partner or the query site

This plan illustrates total effort != response time

# Dimensions of distributed systems

- **Data model:**
  - Relations, trees (XML, JSON), graphs (RDF, others...), nested relations
  - Query language
- **Heterogeneity** (DM, QL): none, some, a lot
- **Scale:** small (~10-20 sites) or large (~10.000 sites)
- **ACID** properties
- **Control:**
  - Single master w/complete control over N slaves (Hadoop/HDFS)
  - Sites publish independently and process queries as directed by single master/*mediator*
  - Many-mediator systems, or peer-to-peer (P2P) with *super-peers*
  - Sites completely independent (P2P)

# Distributed relational databases

- **DM:** relations; **language:** SQL; **ACID:** cf. SQL standard
- **Heterogeneity:** none
- **Control:**

Servers DB1@site1: R1(a,b), S1(a,c)

Server DB2@site2: R2(a,b), S2(a,c),

Server DB3@site3: R3(a,b),  
S3(a,c) defined as:

```
select * from DB1.S1 union all
select * from DB2.S2 union all
select R1.a as a, R2.b as c from DB1.R1 r1, DB2.R2 r2
where r1.a=r2.a
```

Site3 decides what to import from site1, site2 (« hard links »)

Site1, site2 are independent servers

Also: replication policies, distribution etc. (usually with one or a few masters)

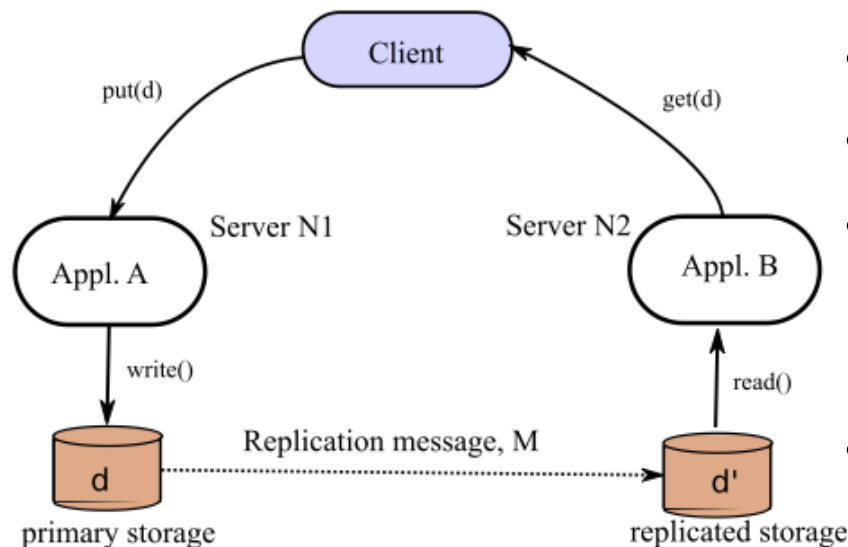
- **Size:** small



# Limits of ACIDity in large distributed systems: the **CAP theorem**

- Eric Brewer, « Symposium on Principles of Distributed Computing », 2000 (conjecture)
- Proved in 2002
- No distributed system can simultaneously provide
  1. **Consistency** (all nodes see the same data at the same time)
  2. **Availability** (node failures do not prevent survivors from continuing to operate)
  3. **Partition tolerance** (the system continues to operate despite arbitrary message loss)

# CAP theorem by example



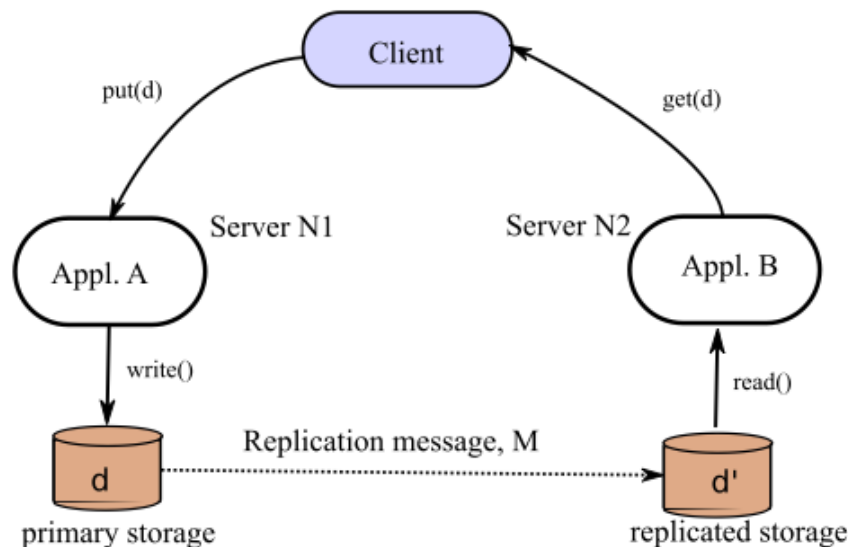
- Primary and replica store
- Applications A and B on servers
- Client writes a new d value through A, which propagates d to the replica (replacing the old d')
- Subsequently, client reads from B

What if a failure occurs in the system?

Communication missed between primary and replica

1. If we want **Partition tolerance** (let the system function) → the Client reads old data (**no Consistency**)
2. If we want **Consistency**, e.g. make the write+replica msg an atomic transaction (to avoid missed communications) → **no Availability** (we may wait for the msg forever if failure)

# CAP theorem: what can we do?

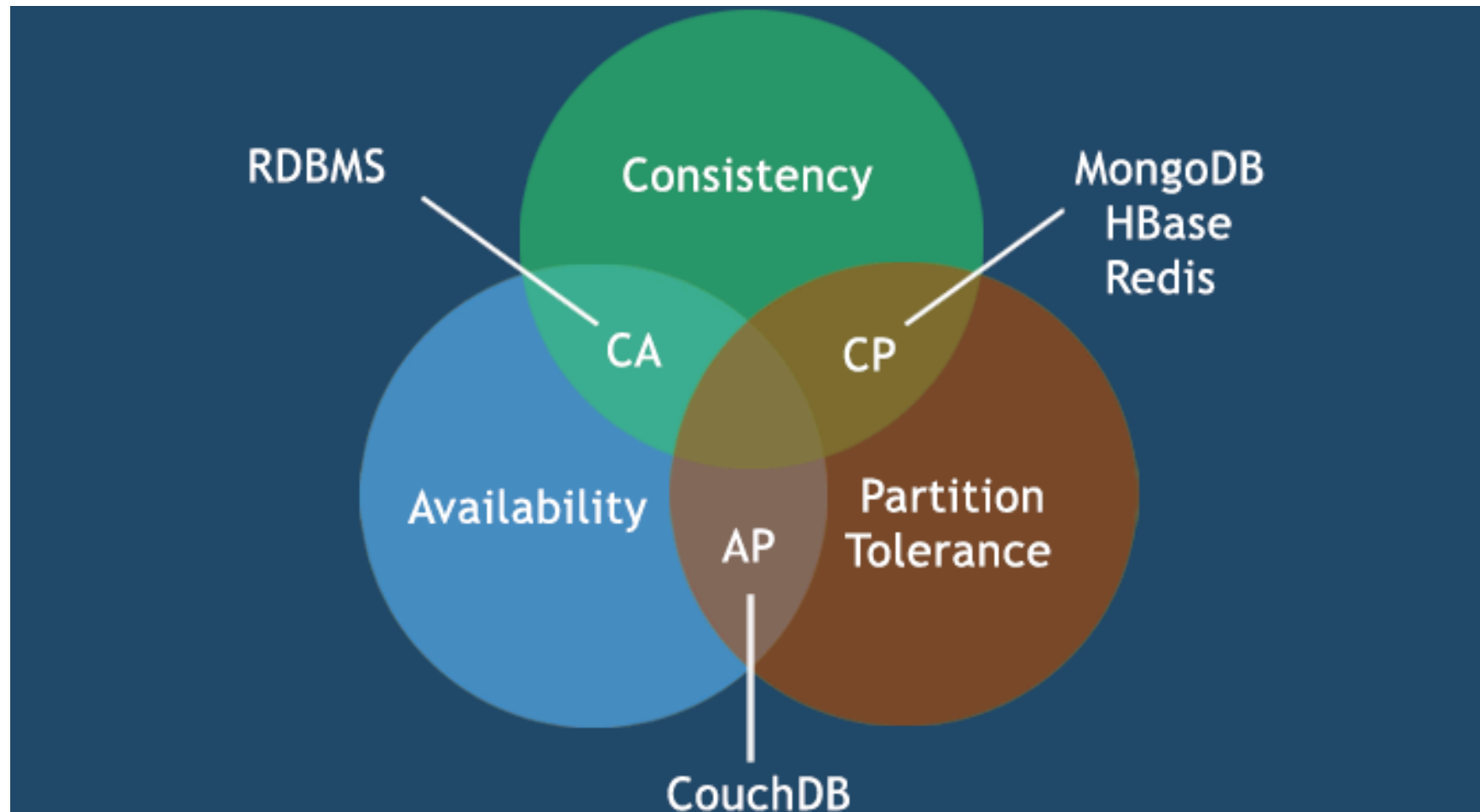


- **Partition tolerance:** we must have it (cannot block if one machine fails)
- Then one must *trade some consistency for availability*

## Eventual consistency model:

- The replication message is asynchronous (non-blocking)
- N1 keeps sending the message until acknowledge by N2 (*eventually* the replica and primary store are consistent)
- In the mean time, the client works on inconsistent data (« I had already removed this from the basket once! »)

# NoSQL systems vs. CAP theorem



**Modern systems (e.g. NoSQL) arose exactly because of the partition tolerance is a must in large-scale distributed systems**