

## Assignment 2: Retrieval Algorithm and Evaluation

Z534: Search, Fall 2017

### Task 1: Implement your first search algorithm

Based on the Lucene index, we can start to design and implement efficient retrieval algorithms. Let's start from the easy ones. Please implement the following ranking function using the Lucene index we provided through Canvas (*index.zip*):

$$F(q, doc) = \sum_{t \in q} \frac{c(t, doc)}{length(doc)} \cdot \log \left( 1 + \frac{N}{k(t)} \right)$$

, where  $q$  is the user query,  $doc$  is the target (candidate document in AP89),  $t$  is the query term,  $c(t, doc)$  is the count of term  $t$  in document  $doc$ ,  $N$  is total number of documents in AP89, and  $k(t)$  is the total number of documents that have the term  $t$ . Please use Lucene API to get the information. From retrieval viewpoint,  $\frac{c(t, doc)}{length(doc)}$  is called normalized TF (term frequency), while  $\log \left( 1 + \frac{N}{k(t)} \right)$  is IDF (inverse document frequency).

The following code (using Lucene API) can be useful to help you implement the ranking function:

```
// Get the preprocessed query terms
Analyzer analyzer = new StandardAnalyzer();
QueryParser parser = new QueryParser("TEXT", analyzer);
Query query = parser.parse(queryString);
Set<Term> queryTerms = new LinkedHashSet<Term>();
query.extractTerms(queryTerms);
for (Term t : queryTerms) {
    System.out.println(t.text());
}

IndexReader reader = DirectoryReader
    .open(FSDirectory
        .open(new File(pathToIndex)));

//Use DefaultSimilarity.decodeNormValue(...) to decode normalized document length
DefaultSimilarity dSimi=new DefaultSimilarity();

//Get the segments of the index
List<AtomicReaderContext> leafContexts = reader.getContext().reader()
    .leaves();
for (int i = 0; i < leafContexts.size(); i++) {
    AtomicReaderContext leafContext=leafContexts.get(i);
    int startDocNo=leafContext.docBase;
    int numberOfDoc=leafContext.reader().maxDoc();
    for (int docId = startDocNo; docId < startDocNo+numberOfDoc; docId++) {
        //Get normalized length for each document
        float normDocLeng=dSimi.decodeNormValue(leafContext.reader()
            .getNormValues("TEXT").get(docId-
startDocNo));
        System.out.println("Normalized length for doc("+docId+") is
"+normDocLeng);
    }
}
```

```

    }

    //Get the term frequency of "new" within each document containing it for
<field>TEXT</field>
    DocsEnum de = MultiFields.getTermDocsEnum(leafContext.reader(),
                                                MultiFields.getLiveDocs(leafContext.reader()),
"TEXT", new BytesRef("new"));
    int doc;
    while ((doc = de.nextDoc()) != DocsEnum.NO_MORE_DOCS) {
        System.out.println("\nnew\ occurs "+de.freq() + " times in doc(" +
(de.docID()+startDocNo)+") for the field TEXT");
    }
}

```

For each given query, your code should be able to 1. Parse the query using Standard Analyzer (Important: we need to use the SAME Analyzer that we used for indexing to parse the query), 2. Calculate the relevance score for each query term, and 3. Calculate the relevance score  $F(q, doc)$ .

The code for this task should be saved in a java class: easySearch.java

## Task 2: Test your search function with TREC topics

Next, we will need to test the search performance with the TREC standardized topic collections. You can download the query test topics from Canvas (*topics.51-100*).

In this collection, TREC provides a number of topics (total 50 topics), which can be employed as the candidate queries for search tasks. For example, one TREC topic is:

```

<top>
<head> Tipster Topic Description
<num> Number: 054
<dom> Domain: International Economics
<title> Topic: Satellite Launch Contracts
<desc> Description:
Document will cite the signing of a contract or preliminary agreement, or the
making of a tentative reservation, to launch a commercial satellite.
<smry> Summary:
Document will cite the signing of a contract or preliminary agreement, or the
making of a tentative reservation, to launch a commercial satellite.
<narr> Narrative:
A relevant document will mention the signing of a contract or preliminary
agreement , or the making of a tentative reservation, to launch a commercial
satellite.
<con> Concept(s):
1. contract, agreement
2. launch vehicle, rocket, payload, satellite
3. launch services, commercial space industry, commercial launch industry
4. Arianespace, Martin Marietta, General Dynamics, McDonnell Douglas
5. Titan, Delta II, Atlas, Ariane, Proton
<fac> Factor(s):
<def> Definition(s):
</top>

```

In this task, you will need to use two different fields as queries: <title> and <desc>. The former query is very short, while the latter one is much longer.

Your software must output up to top 1000 search results to a result file in a format that enables the trec\_eval program to produce evaluation reports. trec\_eval expects its input to be in the format described below.

QueryID	Q0	DocID	Rank	Score	RunID
For example:					
10	Q0	DOC-NO1	1	0.23	run-1
10	Q0	DOC-NO2	2	0.53	run-1
10	Q0	DOC-NO3	3	0.15	run-1
:	:	:	:	:	:
11	Q0	DOC-NOk	1	0.042	run-1

The code for this task should be saved in a java class: searchTRECTopics.java

### Task 3: Test Other Search Algorithms

Next, we will test a number of other retrieval and ranking algorithms by using Lucene API and the index provided through Canvas (*index.zip*).

For instance, you can use the following code to search the target corpus via BM25 algorithm.

```
IndexReader reader = DirectoryReader
                        .open(FSDirectory
                            .open(new File(pathToIndex)));
IndexSearcher searcher = new IndexSearcher(reader);
searcher.setSimilarity(new BM25Similarity()); //You need to explicitly specify the
ranking algorithm using the respective Similarity class
Analyzer analyzer = new StandardAnalyzer();
QueryParser parser = new QueryParser("TEXT", analyzer);

Query query = parser.parse(queryString);
TopScoreDocCollector collector = TopScoreDocCollector.create(1000, true);
searcher.search(query, collector);

ScoreDoc[] docs = collector.topDocs().scoreDocs;
for (int i = 0; i < docs.length; i++) {
    Document doc = searcher.doc(docs[i].doc);
    System.out.println(doc.get("DOCNO")+" "+docs[i].score);
}

reader.close();
```

In this task, you will test the following algorithms

1. Vector Space Model (org.apache.lucene.search.similarities.DefaultSimilarity)
2. BM25 (org.apache.lucene.search.similarities.BM25Similarity)

3. Language Model with Dirichlet Smoothing  
(org.apache.lucene.search.similarities.LMDirichletSimilarity)
4. Language Model with Jelinek Mercer Smoothing  
(org.apache.lucene.search.similarities.LMJelinekMercerSimilarity, set  $\lambda$  to 0.7)

You will need to compare the performance of those algorithms (and your algorithm implemented in Task 1) with the TREC topics. For each topic, you will try two types of queries: short query (<title> field), and long query (<desc> field). So, for each search method, you will need to generate two separate result files, i.e., for **BM25**, you will need to generate **BM25longQuery.txt** and **BM25shortQuery.txt**

The code for this task should be saved in a java class: compareAlgorithms.java

#### Task 4: Algorithm Evaluation

In this task, you will need to compare different retrieval algorithms via various evaluation metrics, i.e., precision, recall, and MAP.

Please read this document about trec\_eval:

[http://faculty.washington.edu/levow/courses/ling573\\_SPR2011/hw/trec\\_eval\\_desc.htm](http://faculty.washington.edu/levow/courses/ling573_SPR2011/hw/trec_eval_desc.htm)

And, you can download the trec\_eval program from

[http://trec.nist.gov/trec\\_eval/trec\\_eval\\_latest.tar.gz](http://trec.nist.gov/trec_eval/trec_eval_latest.tar.gz)

We will use this code to evaluate the search result performance.

TrecEval can be used via the command line in the following way:

*trec\_eval -m all\_trec groundtruth.qrel results* (the first parameter is the ground truth file or to say judgment file, and the second parameter is the result file you just generated from the last task.  
*trec\_eval --help* should give you some ideas to choose the right parameters

You can download the ground truth file from Canvas (*qrels.51-100*).

Please compare the different search algorithms (files generated in task2 and task 3) and finish the following table:

Short query

Evaluation metric	Your algorithm	Vector Space Model	BM25	Language Model with Dirichlet Smoothing	Language Model with Jelinek Mercer Smoothing
P@5					
P@10					
P@20					
P@100					
Recall@5					
Recall@10					

Recall@20					
Recall@100					
MAP					
MRR					
NDCG@5					
NDCG@10					
NDCG@20					
NDCG@100					

Long query

Evaluation metric	Your algorithm	Vector Space Model	BM25	Language Model with Dirichlet Smoothing	Language Model with Jelinek Mercer Smoothing
P@5					
P@10					
P@20					
P@100					
Recall@5					
Recall@10					
Recall@20					
Recall@100					
MAP					
MRR					
NDCG@5					
NDCG@10					
NDCG@20					
NDCG@100					

Please summarize your findings of this task:

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Submission: Please submit the java codes and results via Canvas.