

[Date]

Corporate Account

Opening Form



ALHAMBRA BANK & TRUST LIMITED

64 Earth Close, 2A3 Landmark Square Seven Mile Beach,
Grand Cayman KY1-1202 Cayman Islands

**Form Submission Instructions:**

1. **Complete and sign** your application using **black ink**.
2. **Gather all specified requirements** listed in the form.
3. **Return your completed and signed application** along with the specified requirements via any of the following methods:

1. **Scan & Email (recommended)**

abt@abt.ky

Acceptable file formats include: JPG, JPEG, PNG, PDF

Include your Name in the Email Subject

750 B St. Suite 2850, Symphony Towers

San Diego 92101, California, United States

2. **Mail**

Corporate Details		
Name of the Company	Country of Incorporation	Registration Number
Website	Country of Birth:	
Type of Entity <input type="checkbox"/> LLC <input type="checkbox"/> Partnership	<input type="checkbox"/> Corporation <input type="checkbox"/> Trust	Others:
Registered Office Address		
Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country
Mailing Address		
Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country
Phone Number	Fax Number	Email
Primary Client Details		
First Name	Middle Name	Last Name
Date of Birth	Country of Birth	
Passport Number	Country of Issuance	

64 Earth Close, 2A3 Landmark
 Square Seven Mile Beach,
 Grand Cayman KY1-1202 Cayman Islands

Residence Address

Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country

Mailing Address:

Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country
Contact Number	Home Phone Number	Email

Secondary Beneficial Owner

First Name	Middle Name	Last Name
Date of Birth	Country of Birth	
Passport Number	Country of Issuance	

Residence Address

Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country

Mailing Address

Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country
Contact Number	Home Phone Number	Email

Non-Beneficiary Authorized Signatories

Management Company Name

Management Company Address		
Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country
Contact Number	Home Phone Number	Email
Primary Authorized Person		
First Name	Middle Name	Last Name
Date of Birth	Country of Birth:	
Passport Number:	Country of Issuance:	
Residence Address		
Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country
Mailing Address		
Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country
Contact Number	Home Phone Number	Email
Secondary Authorized Person		
First Name	Middle Name	Last Name
Date of Birth	Country of Birth	
Passport Number	Country of Issuance	
Residence Address		
Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country

Mailing Address

Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country
Contact Number	Home Phone Number	Email

Third Client Details

First Name	Middle Name	Last Name
Date of Birth	Country of Birth	
Passport Number	Country of Issuance	

Residence Address

Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country

Mailing Address

Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country
Contact Number:	Home Phone Number	Email

Employment Details**Primary Beneficial Owner Employment Details**

Occupation or Job Title		
Name of Company/Employer/Industry		
Address	Apt, Suite, Floor, etc.	
State/Province	Postal Code	Country
Phone Number	Email Address:	

Retired Yes___ No___
Others

Secondary Beneficial Owner Employment Details
If Employed

Occupation or Job Title _____

Name of Company/Employer/Industry _____

Address _____

Apt, Suite, Floor, etc. _____

State/Province _____

Postal Code _____

Country _____

Phone Number _____

Email Address _____

Retired: Yes___ No___

Others:

OECD CRS Status (Common Reporting Standard)

Tax residence country _____

Taxpayer identification number _____

Hereinafter, the tax residency is presumed to be the company's registration country. If your country is in the OECD CRS list, please specify the Tax ID number below. If the company is a tax resident in more than one country, please add other jurisdictions.

Please specify whether the company is

- ☐ Active Non-Financial Entity
- ☐ Passive Non-Financial Entity
- ☐ Managed Investment Company
- ☐ Specified Investment Company
- ☐ Other

Please specify Legal Entity Identifier: _____

Ownership and Management Details

Please provide details of all beneficial owners holding 10% or more beneficial interest in the company. If shares in the company are owned by the trustee of a trust, please list the settler and ALL the beneficiaries. Please continue another application if space is insufficient.

Name _____

Percentage _____

Name	Percentage
Name	Percentage
Please provide details of all directors and officers of the company	
Name	Percentage
Name	Percentage
Name	Percentage
Financial Details	
Type of Account:	
Private Banking:	
<input type="checkbox"/> CD	<input type="checkbox"/> Money Market
Investment:	
<input type="checkbox"/> Stocks	<input type="checkbox"/> Mutual Funds
<input type="checkbox"/> Bonds	<input type="checkbox"/> Real Estate
<input type="checkbox"/> ETF	<input type="checkbox"/> Others
Annual Income Range:	
<input type="checkbox"/> \$100,000- \$250,000 <input type="checkbox"/> \$250,001- \$500,000 <input type="checkbox"/> \$500,001- \$1,000,000 <input type="checkbox"/> Greater than \$1,000,00	
Source of Wealth:	
<input type="checkbox"/> Income from employment/ business activity <input type="checkbox"/> Income from dividends/ interests <input type="checkbox"/> Income from real estate/ rent <input type="checkbox"/> Donation/ inheritance/ divorce settlement <input type="checkbox"/> Income from sale of assets <input type="checkbox"/> Other source of income. Specify _____	

Investment Profile

What are the investment objectives/strategy?

- ☐ **Conservative:** Focus on low-risk investments
☐ **Conservative to Moderate:** Include a mix of bonds and stable stocks.
☐ **Moderate:** Balance between stocks and bonds.
☐ **Growth & Income:** Emphasize growth stocks with some income-generating assets.
☐ **Aggressive:** Prioritize high-risk, high-reward investments.

What is the time horizon of the investment objectives?

- ☐ Up to 1 year
☐ Between 1 and 5 years
☐ More than 5 years

Investment Experience

Level of Investment Experience:

- ☐ Little Knowledge (1-5 Years)
☐ Moderate Knowledge (5-10 Years)
☐ Very Knowledgeable (Over 10 Years)

Confirmation

Are you a U.S. resident or citizen, or do you have any other relationship with the U.S.?

- ☐ Yes ☐ No

If you selected **No**, please specify:

Name

Tax Identification Number

Address

Apt, Suite, Floor, etc.

State/Province

Postal Code

Country

U.S. person other than Specified U.S. person

Name

Tax Identification Number

Address

Apt, Suite, Floor, etc.

State/Province

Postal Code

Country

Financial Institution Holding GIIN

GIIN

Active Non- Financial Entity

Passive Non- Financial Entity



Are you, or any of the directors, shareholders, Ultimate Beneficial Owners (UBOs), or authorized representatives of the Company, Politically Exposed Persons (PEPs), or family members or close associates of PEPs?

☐ Yes

☐ No

*If you selected **No**, please specify:*

Name of the PEP

Their Position

Country

Thank you for completing this Account opening with Alhambra Bank and Trust Limited. By signing this application form, you acknowledge and confirm that:

I have previously received, read carefully and fully understand and agree to be bound by and comply with the provisions of the following documents of Alhambra Bank and Trust Limited, that shall govern my business relationship with Alhambra Bank and Trust Limited.

- ✓ General Disclosure Agreement
- ✓ Risk Warning Disclosure
- ✓ FATCA and CRS Form

Name	Signature	Date

Name	Signature	Date

Name	Signature	Date



DECLARATION

In the following paragraphs "Bank" shall mean Alhambra Bank & Trust Limited

1. I declare the information given in this application form, together with the documents detailed in the checklist, is complete and accurate.
2. I authorize the Bank to make any credit reference searches or other enquiries in connection with this application. The Bank may store, and process information obtained by the Bank from this application and from its dealings with me/us.
3. I declare the account will only be used for legal purposes.
4. I agree to inform the Bank immediately of any changes in our status that could affect the operation of the account. I undertake to supply additional information to the Bank when requested to do so either because of this application or from the subsequent conduct of the account.
5. I request and authorize the Bank to receive instructions and information via electronic or telephonic communication equipment ("Electronic Communication") which shall include but not be limited to facsimile and email, given from time to time by me and to act on such instructions and information. I agree that the Bank shall not be obliged to satisfy itself that such instructions are authentic or given in good faith. I agree that the Bank shall not be responsible for any errors or fraud arising from delivery, receipt, or transmission of any instructions via Electronic Communication and agree to assume full responsibility for all actions taken by the Bank or any of its correspondents or agents in accordance with instructions purporting or appearing on their face to have been received from me. Any action taken in good faith by the Bank or any of its correspondents or agents resulting from or in connection with any such Electronic Communication shall be binding on me. I agree to indemnify and hold harmless the Bank, its correspondents or agents, from and against any and all liabilities obligations, losses, damages, penalties, actions, judgements, suits, costs, expenses or disbursements of any kind or nature whatsoever which may be imposed upon incurred by, or served against the Bank or its correspondents or agents by reason of their actions taken in accordance with Electronic Communication.
6. I agree the Bank may, but shall not be obliged to, record all telephone calls, and may retain such recordings to be used, if necessary, to evidence the terms of the relevant telephone conversation providing all such recordings shall remain the property of the Bank.
7. I agree to pay the Bank's usual and customary fees for acting in accordance with any instructions received. The Bank may debit our account with such fees and charges.
8. I confirm and agree that I am responsible for reviewing upon receipt all account statements or other notifications relating to the account of if I fail to do so, the Bank will not be liable for any losses incurred after the time such information should have been discovered.

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November 29, 2024



CONSENT RESOLUTION OF THE BOARD OF DIRECTORS OF

Insert name of company: _____

We, the Directors of _____ ("the Company") a company organized under the laws of _____ hereby consent to the adoption of the following resolutions taken with/without a meeting.

It was **RESOLVED** that;

1. An investment management account and any other accounts that may be required from time to time be opened with Alhambra Bank & Trust Limited ("the Bank") in the Cayman Islands.

2. The Directors of the Company are authorized to complete, sign, and execute all the forms and documents required by the Bank and to provide all supporting documents required by the Bank and disclose any information regarding the company as the Bank may require.

3. The signing powers shall be:

Any two of whom may sign jointly _____

or

any one of whom may sign alone. _____

Name:	Signature:	Legal Name of Entity:	Date:
Name:	Signature:	Legal Name of Entity:	Date:
Name:	Signature:	Legal Name of Entity:	Date:

Specimen Signature Card

Name:	Signature:	Date:
Name:	Signature:	Date:
Name:	Signature:	Date:

REQUIREMENTS

1. You must provide documentary evidence of your and your company's identity.
2. All Company corporate documents should be certified by a legal professional/ bank or signed with current date by Company's Director/ Secretary/ Authorized Person.
3. It is important that all submitted documentation is legible, without image modifications or irrelevant subjects in it. A full-page colored scan copy should be provided for ID documents (with clearly visible machine-readable zone codes if any).

A. Corporate documents -Certificate of Incorporation or Registration;

- ☐ Memorandum and Articles of Association/ Constitution/ By-Laws;
- ☐ Document confirming Director (s) of the company;
- ☐ Document confirming UBO or share allocations;
- ☐ Document confirming Registered Address of the Company
- ☐ Certificate of Good Standing or Incumbency not older than 6 months

B. Identification copy(ies) -Passport

- ☐ National or other government-issued identity card. The document confirming the identity of all Directors, Authorized Signatory and UBO, must contain a photo and a hand-written signature.

C. Proof of Residency -Passport, driving license, residence card or another government-issued ID featuring residential address other than one that has been uploaded in the Valid ID form.

- ☐ Utility bill;
- ☐ Account statement or reference letter issued by a recognized credit/ financial institution.

Source of Wealth

The Compliance team retains the authority to seek additional information and supporting documentation. This implies that documents not specified in the table may be requested to ensure the accurate sourcing of funds information.

Description of the source of funds	Documentary evidence required
1. Income from Business Activities	One of the following: Latest Audited Financial Statements Full set of documentary evidence for income-generating activities (agreements, invoices, transportation documents, account statements confirming transfers of funds, etc.). Documents shall confirm the full cycle of business activities and shall confirm the realized profit.
2. Investment / Trading	Account statement from a regulated Financial Institution clearly indicating realized profit from the investment/trading activities.
3. Real Estate Sale	Sale contract Extract from the official Property/Land register confirming the ownership and transfer of real estate (in case the Sale contract is not certified by the notary) Account statement from the Financial Institution confirming the transfer of funds
4. Company Sale	Full set of documents confirming the ownership and transfer of the ownership of the Company Sale contract Account statement from the Financial Institution confirming the transfer of funds. Documents confirming the value of the Company if it cannot be confirmed through public sources (e.g., Financial Statements)
5. Dividend Payment	Audited financial statements or board resolution confirming the details of dividend payment. Account statement from the Financial Institution confirming the transfer of funds. Full set of documents or public information confirming the ownership of the Company