

Lecture 13

Ground state as the lowest energy state of a dynamical system.

Classical Mechanics

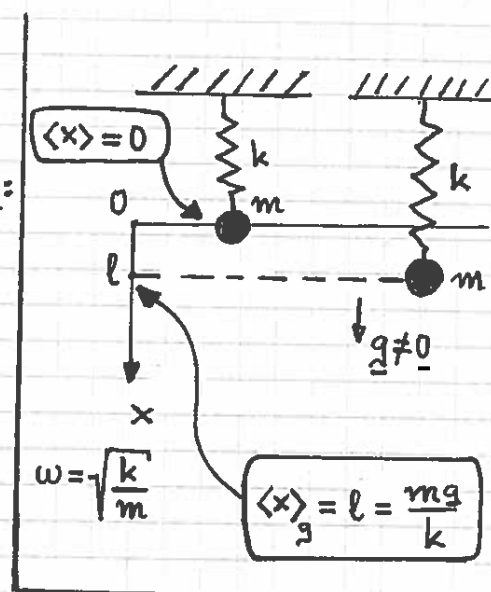
Spring in homogeneous gravitational field:

$$L = \frac{1}{2} m \dot{x}^2 - \frac{1}{2} k x^2 + \underline{m g x} ; \quad V = \frac{1}{2} k x^2 - \underline{m g x}$$

Look for $x = \text{const}$ solutions to the EoM:

$$\underbrace{\frac{d}{dt} \frac{\partial L}{\partial \dot{x}} - \frac{\partial L}{\partial x}}_{=0} = \frac{\partial V}{\partial x} = 0 \leadsto kx - mg = 0$$

$$\leadsto \langle x \rangle_g = \frac{mg}{k} \neq 0$$



Study of the dynamical system about the new equilibrium point or the new ground state $\langle x \rangle_g \neq \langle x \rangle_0 = 0$: $x = \langle x \rangle_g + y$.

This leads to an equivalent Lagrangian

$$L = \frac{1}{2} m \dot{y}^2 - \frac{1}{2} k y^2 + \frac{m^2 g^2}{2k}, \quad \text{with } \langle y \rangle_g = 0.$$

Quantum Mechanics

Harmonic charged oscillator in $E = \text{const}$ electric field.

1-dim model: $\hat{H} = \frac{\hat{p}^2}{2m} + \frac{1}{2} k \hat{x}^2 + e E \hat{x} = \hbar \omega \left[\hat{a}^\dagger \hat{a} + \frac{1}{2} + \gamma \right],$

with $\hat{p} = \frac{\hbar}{i} \frac{d}{dx}$, $\omega = \sqrt{\frac{k}{m}}$, $\hat{x} = \sqrt{\frac{\hbar}{2m\omega}} (\hat{a} + \hat{a}^\dagger)$, $\hat{p} = -i \sqrt{\frac{m\omega\hbar}{2}} (\hat{a} - \hat{a}^\dagger)$, $\gamma = \frac{e E}{\hbar \omega} \sqrt{\frac{\hbar}{2m\omega}} \neq 0$, and $[\hat{a}, \hat{a}^\dagger] = 1$, with $\hat{a}|0\rangle_{\gamma=0} = 0$.

Define $\hat{b} = \hat{a} + \gamma$ to eliminate the terms linear in \hat{a}, \hat{a}^\dagger from \hat{H} .

$$\hat{H} = \hbar \omega \left[\hat{b}^\dagger \hat{b} + \frac{1}{2} - \gamma^2 \right]. \quad \text{True ground state } |\varphi\rangle \hat{=} |0\rangle_{\gamma \neq 0}, \quad \text{with}$$

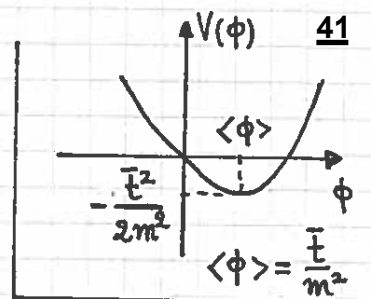
Energies: $E_n = \hbar \omega \left(n + \frac{1}{2} - \gamma^2 \right)$

$$\hat{b}|\varphi\rangle = 0 \leadsto \hat{a}|\varphi\rangle = -\gamma|\varphi\rangle.$$

True ground state: $|\varphi\rangle = N e^{-\gamma \hat{a}^\dagger} |0\rangle_{\gamma=0},$
with $[\hat{b}, \hat{b}^\dagger] = 1$

$|\varphi\rangle$ is a coherent state.
See WIKIPEDIA for animations!

Ground state in (Quantum) Field Theory



Simple model with a real scalar field ϕ :

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \phi)^2 - \frac{1}{2} m^2 \phi^2 + \bar{t} \phi \quad ; \quad V(\phi) = \frac{1}{2} m^2 \phi^2 - \bar{t} \phi.$$

Look for $\phi = \text{const}$ (t -independent and homogeneous) solutions to its EoM,

$$\partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu \phi)} - \frac{\partial \mathcal{L}}{\partial \phi} = \frac{\partial V}{\partial \phi} = 0 \quad \leadsto \quad \langle \phi \rangle = \frac{\bar{t}}{m^2}$$

Vacuum
expectation
value (VEV)
of ϕ

Expand ϕ about its VEV (= its ground state),

$$\phi(x) = \langle \phi \rangle + h(x), \quad \text{with } \langle h(x) \rangle = 0.$$

Then, \mathcal{L} becomes

$$\mathcal{L} = \frac{1}{2} (\partial_\mu h)^2 - \frac{1}{2} m^2 h^2 + \frac{\bar{t}^2}{2m^2}$$

Note that m_h does not depend on the tadpole parameter \bar{t} .

Mass spectrum:

$$m_h = \sqrt{|m^2|} = |m| > 0, \\ \text{one massive scalar field } h.$$

Spontaneous Symmetry Breaking (SSB)

Consider an $SO(2)$ model, with $\underline{\Phi} = \begin{pmatrix} \Phi_1(x) \\ \Phi_2(x) \end{pmatrix}$; $\Phi_1(x), \Phi_2(x) \in \mathbb{R}$:

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \underline{\Phi})^2 - V(\underline{\Phi}),$$

where

$$V(\underline{\Phi}) = \frac{m^2}{2} (\Phi_1^2 + \Phi_2^2) + \frac{\lambda}{4} (\Phi_1^2 + \Phi_2^2)^2.$$

To have a convex potential, such that $V(\underline{\Phi}) \mapsto +\infty$ as $|\underline{\Phi}| \mapsto +\infty$, one must have $\lambda > 0$.

Extrema of the potential $V(\underline{\Phi})$:

$$\left. \begin{aligned} \frac{\partial V}{\partial \Phi_1} &= \Phi_1 [m^2 + \lambda (\Phi_1^2 + \Phi_2^2)] = 0 \\ \frac{\partial V}{\partial \Phi_2} &= \Phi_2 [m^2 + \lambda (\Phi_1^2 + \Phi_2^2)] = 0 \end{aligned} \right\} : \begin{array}{l} \text{Minimization} \\ \text{or vacuum equations} \end{array}$$

For $\lambda > 0$, there are now two distinct cases:

(i) $m^2 > 0$.

Real solution: $\Phi_1^2 + \Phi_2^2 = 0 \leadsto \langle \Phi_1 \rangle = \langle \Phi_2 \rangle = 0$

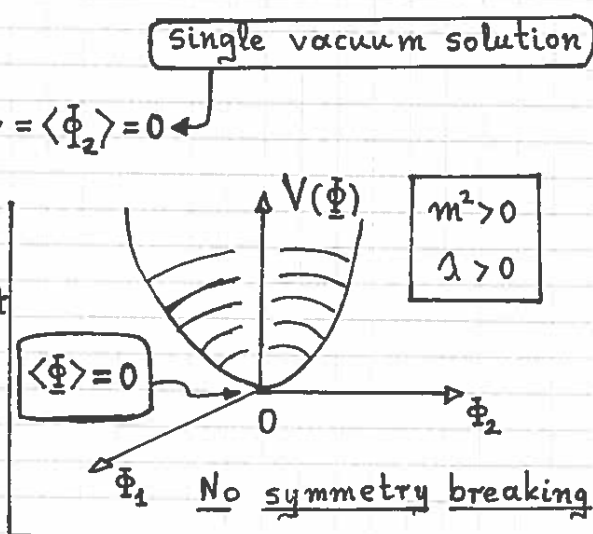
No breaking of $SO(2)$ symmetry.

Note $\langle \underline{\Phi} \rangle = \begin{pmatrix} \langle \Phi_1 \rangle \\ \langle \Phi_2 \rangle \end{pmatrix} = \begin{pmatrix} 0 \\ 0 \end{pmatrix}$ is invariant

under $SO(2)$ rotations.

$e^{i\theta\sigma_2} \langle \underline{\Phi} \rangle = \langle \underline{\Phi} \rangle$, since $\sigma_2 \langle \underline{\Phi} \rangle = \underline{0}$,

where $e^{i\theta\sigma_2} \in SO(2)$ and σ_2 is the $SO(2)$ generator.



(ii) $m^2 < 0$.

Infinitely many vacuum solutions:

$$\langle \Phi_1 \rangle^2 + \langle \Phi_2 \rangle^2 = v^2 = -\frac{m^2}{\lambda} > 0$$

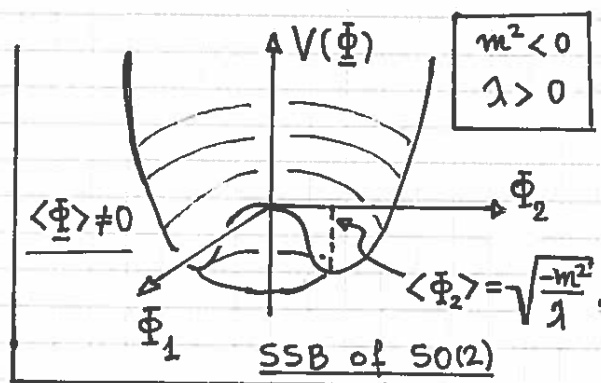
Spontaneous breaking of $SO(2)$ by

the ground state: $e^{i\theta\sigma_2} \langle \underline{\Phi} \rangle = \langle \underline{\Phi}' \rangle \neq \underline{0}$, with $\langle \underline{\Phi} \rangle \neq \langle \underline{\Phi}' \rangle$,

since $\sigma_2 \langle \underline{\Phi} \rangle \neq \underline{0}$. All vacuum solutions are degenerate in energy,

and they form a vacuum manifold \mathcal{M} in $\underline{\Phi}$ -space homeomorphic to S^1 :

$$SO(2) \xrightarrow{\langle \underline{\Phi} \rangle \neq 0} \mathbf{I} \leadsto \mathcal{M} = SO(2)/\mathbf{I} \cong SO(2) \sim S^1.$$



Physical mass spectra of the global $SO(2)$ model:

(i) $m^2 > 0$.

Two scalar fields, Φ_1 and $\Phi_2 \in \mathbb{R}$,
with equal masses: $M_{\Phi_1} = M_{\Phi_2} = m$.

(ii) $m^2 < 0$.

To determine the spectrum, we first pick one point from $\mathcal{M} \sim S^1$,
e.g. $\langle \Phi_1 \rangle = 0$ and $\langle \Phi_2 \rangle = v = \sqrt{-\frac{m^2}{\lambda}}$.

Then, we expand linearly about $\langle \Phi \rangle$:

$$\Phi_1(x) = \langle \Phi_1 \rangle + \pi(x) = \pi(x), \quad \Phi_2(x) = \langle \Phi_2 \rangle + \sigma(x) = v + \sigma(x),$$

where $\pi(x), \sigma(x) \in \mathbb{R}$ are the physical fields.

In terms of $\pi(x)$ and $\sigma(x)$, the Lagrangian \mathcal{L} reads

$$\begin{aligned} \mathcal{L} &= \frac{1}{2} (\partial_\mu \underline{\Phi})^2 - \frac{1}{2} m^2 \underline{\Phi} \cdot \underline{\Phi} - \frac{\lambda}{4} (\underline{\Phi} \cdot \underline{\Phi})^2 \quad ; \quad m^2 = -\lambda v^2 \\ &= \frac{1}{2} [(\partial_\mu \pi)^2 + (\partial_\mu \sigma)^2] + \frac{1}{2} \lambda v^2 [\pi^2 + (v + \sigma)^2] - \frac{\lambda}{4} [\pi^2 + (v + \sigma)^2]^2 \\ &= \frac{1}{2} [(\partial_\mu \pi)^2 + (\partial_\mu \sigma)^2] + \underbrace{\sigma \left(\frac{\lambda}{2} 2v^3 - \frac{\lambda}{4} 4v^3 \right)}_{=0} + \pi^2 \underbrace{\left(\frac{\lambda v^2}{2} - \frac{\lambda}{4} 2v^2 \right)}_{=0} \\ &\quad + \underbrace{\sigma^2 \left(\frac{\lambda v^2}{2} - \frac{\lambda}{4} 6v^2 \right)}_{=-\lambda v^2} - \lambda v \sigma (\pi^2 + \sigma^2) - \frac{\lambda}{4} (\pi^2 + \sigma^2)^2 \end{aligned}$$

$$\Rightarrow \mathcal{L} = \frac{1}{2} [(\partial_\mu \pi)^2 + (\partial_\mu \sigma)^2] - \lambda v^2 \sigma^2 - \lambda v \sigma (\pi^2 + \sigma^2) - \frac{\lambda}{4} (\pi^2 + \sigma^2)^2$$

Mass spectrum: One massless real scalar $\pi(x)$: $m_\pi = 0$;

One massive real scalar $\sigma(x)$: $m_\sigma = \sqrt{2\lambda} v$

The field $\pi(x)$ is called the Goldstone boson associated with the $SO(2)$ breaking. $= \sqrt{2} |m| > 0$.

The Goldstone theorem

If a theory described by a Lagrangian \mathcal{L} possesses a global symmetry group G which breaks spontaneously to a smaller symmetry group $H \subset G$, then there exists one massless Goldstone boson for each broken generator of G .

Note that theorem only holds for continuous global symmetries in theories with more than 1+1 dimensions

Proof:

Consider a theory with n real scalars $\underline{\Phi} = (\Phi_1, \Phi_2, \dots, \Phi_n)$. Note that a complex ^{field} ϕ can always be decomposed into two real scalar fields, e.g. $\phi = \frac{\hbar + i\alpha}{\sqrt{2}}$, with $\hbar, \alpha \in \mathbb{R}$.

The Lagrangian of the theory with n real scalars reads

$$\mathcal{L} = \frac{1}{2} (\partial_\mu \underline{\Phi})^2 - V(\underline{\Phi})$$

Now, \mathcal{L} is invariant under the symmetry group G , which acts on Φ_i as follows:

$$\Phi_i \mapsto \Phi'_i = \Phi_i + i\theta^a T^a_{ij} \Phi_j ; \quad T^a_{ij} \equiv T^{a,j}_i, \text{ e.g. for } G = SO(N).$$

Since $V(\underline{\Phi}) = V(\underline{\Phi}')$, we have

$$\Delta V = V(\underline{\Phi}) - V(\underline{\Phi}') = 0 \quad \leadsto \quad \frac{\partial V}{\partial \Phi_i} (-i\theta^a T^a_{ij}) \Phi_j = 0, \quad \forall \theta^a \in \mathbb{R}$$

$$\underline{\text{or}} \quad \underline{\frac{\partial V}{\partial \Phi_i} T^a_{ij} \Phi_j = 0} \quad (\underline{A})$$

We now expand $\underline{\Phi}$ about one vacuum solution, say $\langle \underline{\Phi} \rangle$, from the set of all vacuum solutions, \mathcal{M} , called the vacuum manifold

$$\underline{\Phi} = \underline{\phi} + \underline{v} \quad \Leftrightarrow \quad \Phi_i = \phi_i + v_i,$$

with $\langle \underline{\Phi} \rangle = \underline{v} = (v_1, v_2, \dots, v_n)$.

The kinetic part of \mathcal{L} remains invariant: $\frac{1}{2}(\partial_\mu \underline{\Phi})^2 = \frac{1}{2}(\partial_\mu \underline{\phi})^2$.

Instead, $V(\underline{\Phi})$ can be rewritten as

$$V(\underline{\Phi}) = V(\underline{v}) + \underline{\phi} \cdot \underline{\nabla}_{\underline{\Phi}} V(\underline{\Phi}) \Big|_{\underline{\Phi}=\underline{v}} + \frac{1}{2} \phi_i \phi_j \frac{\partial^2 V}{\partial \Phi_i \partial \Phi_j} \Big|_{\underline{\Phi}=\underline{v}} + \dots$$

But, $\underline{\nabla}_{\underline{\Phi}} V(\underline{\Phi}) \Big|_{\underline{\Phi}=\underline{v}} = 0 \leftarrow$ vacuum equations for $\underline{\Phi} = \underline{v}$,

and

$$\frac{\partial^2 V}{\partial \Phi_i \partial \Phi_j} \Big|_{\underline{\Phi}=\underline{v}} = M_{ij}^2 \leftarrow \text{Mass matrix (squared)} \\ \text{for the physical scalar} \\ \text{fields } \phi_i.$$

Differentiate (A) w.r.t. Φ_k and then setting $\underline{\Phi} = \underline{v}$ gives

$$\underbrace{\frac{\partial^2 V}{\partial \Phi_k \partial \Phi_i}}_{= M_{ki}^2} T_{ij}^a \phi_j \Big|_{\underline{\Phi}=\underline{v}} + \underbrace{\frac{\partial V}{\partial \Phi_i}}_{= 0, \text{ due to vacuum eqs.}} T_{ij}^a \delta_{jk} \Big|_{\underline{\Phi}=\underline{v}} = 0$$

$$\Rightarrow \underline{M_{ki}^2 T_{ij}^a v_j} = 0 \quad \underline{(B)}$$

Equation (B) implies two sets of generators $T^a = (X^b, Y^c)$ of the group G :

(i) The broken generators X^b of G , for which $X^b \underline{v} \neq \underline{0}$, with $\{X^b\} = (T^1, T^2, \dots, T^\nu)$ and $\nu \leq n_G$.

(ii) The unbroken generators Y^c of G , for which $Y^c \underline{v} = \underline{0}$, with $\{Y^c\} = (T^{\nu+1}, \dots, T^{n_G})$.

The $\{Y^c\}$ generators produce a little group or a subgroup H of G , i.e. $H \subseteq G$. Indeed, given that

$$[Y^a, Y^b] = i f^{abc} Y^c + i f'^{abc} X^c$$

in general, and $[Y^a, Y^b] \underline{v} = \underline{0}$, one must have

$$i(f^{abc} Y^c + f'^{abc} X^c) \underline{v} \stackrel{!}{=} \underline{0} \leadsto f'^{abc} X^c \underline{v} = 0 \leadsto \underline{f'^{abc} = 0},$$

since $X^c \underline{v} \neq \underline{0}$. Hence, $[Y^a, Y^b] = i f^{abc} Y^c$, $\forall Y^a \in H$, where f^{abc} are the structure constants of H .

The non-null eigenvectors derived from (B) are obtained from (B) correspond to the massless Goldstone bosons

$$G^b(x) = \frac{(iX^b \underline{v})_j}{\|X^b \underline{v}\|} \varphi_j, \text{ with } b = 1, 2, \dots, \nu.$$

Consequently, there are number ν of Goldstone bosons, each associated with a broken generator X^b . q.e.d.

SUMMARY from the lecture notes.

Lecture 15

The Higgs mechanism in an Abelian U(1) Model.

Consider a gauged U(1) model with a complex scalar field Φ . Its Lagrangian is given by

$$\mathcal{L}_\Phi = (D_\mu \Phi)^\dagger (D^\mu \Phi) - V(\Phi),$$

where $D_\mu \Phi = (\partial_\mu + \frac{i}{2} e A_\mu) \Phi$, A_μ is the U(1) gauge field and Φ has U(1) hyper-charge $Y(\Phi) = \frac{1}{2}$. Finally, the scalar potential is given by

$$V(\Phi) = -\mu^2 \Phi^\dagger \Phi + \lambda (\Phi^\dagger \Phi)^2; \text{ with } \underline{\mu^2 > 0} \text{ and } \underline{\lambda > 0}.$$

linearly Expand Φ about its physical vacuum $\langle \Phi \rangle = \frac{v}{\sqrt{2}}$ as

$$\Phi = \frac{1}{\sqrt{2}} (v + H + iG),$$

where $v^2 = \frac{\mu^2}{\lambda}$, derived from $\frac{\partial V}{\partial \Phi^\dagger} = \Phi (-\mu^2 + 2\lambda \Phi^\dagger \Phi) = 0$, i.e. from the vacuum equation.

and $G(x)$ is

a massless would-be Goldstone boson (in the absence of A_μ) and $H(x)$ is another real massive scalar field 'orthogonal' to $G(x)$.

An equivalent non-linear expansion of Φ is

$$\Phi = \frac{1}{\sqrt{2}} (v + H') e^{iG'/v} = \frac{1}{\sqrt{2}} \left[\underbrace{v + v \left(\cos \frac{G'}{v} - 1 \right) + H' \cos \frac{G'}{v}}_{\hat{= H}} + i \underbrace{\sin \frac{G'}{v} (v + H')}_{\hat{= G}} \right]$$

Unitary gauge. Under U(1), Φ transforms as

$$U(1): \Phi \mapsto \Phi' = e^{i\frac{1}{2}\theta} \underbrace{(v + H')}_{\text{transf.}} e^{iG'/v} = \frac{v + H}{\sqrt{2}}, \text{ for } \theta(x) = -2 \frac{G'(x)}{v}.$$

This specific gauge, for which G' (and G) gets eliminated, i.e. $G' \mapsto 0$, is called the unitary gauge.

The mass of A_μ in the unitary gauge

$$(\mathcal{D}_\mu \Phi)^\dagger (\mathcal{D}^\mu \Phi) = \left[\left(\partial_\mu - \frac{i}{2} e A_\mu \right) \left(\frac{v+H}{\sqrt{2}} \right) \right] \left[\left(\partial^\mu + \frac{i}{2} e A^\mu \right) \left(\frac{v+H}{\sqrt{2}} \right) \right]$$

symbol for
subset of
terms

$$\supset + \frac{1}{4} A_\mu A^\mu \left(e^2 \frac{v^2}{2} \right) = \frac{1}{2} \left(\frac{e^2 v^2}{4} \right) A_\mu A^\mu \triangleq \frac{1}{2} M_A^2 A_\mu A^\mu$$

The gauge field A_μ now becomes massive, with mass $M_A = \frac{ev}{2}$.

Hence, the would-be Goldstone boson has been absorbed by the longitudinal polarization of the massive A_μ in the unitary gauge. This mass generation for A_μ is called the Higgs-Englert-Brout mechanism, or in short the Higgs mechanism.

The Higgs mechanism predicts a massive scalar boson, the Higgs boson, with mass $M_H = \sqrt{2\lambda} v$.

Gauge independence of the mass of A_μ

Let us consider the R_ξ gauge (sometimes called the Fermi gauge):

$$\mathcal{L}_{GF} = -\frac{1}{2\xi} \left(\partial_\mu A^\mu - \xi \frac{ev}{2} G \right)^2$$

Our aim is to compute the gauge-boson propagator in the R_ξ gauge. After observing the absence of mixing terms, like $(\partial_\mu A^\mu) G$ in the R_ξ gauge (see ExIV.2 (iii), for example), The Lagrangian containing terms quadratic in A_μ is given by

$$\mathcal{L}_{A_\mu A_\nu} = -\frac{1}{4} F_{\mu\nu} F^{\mu\nu} + \frac{1}{2} M_A^2 A_\mu A^\mu - \frac{1}{2\xi} (\partial_\mu A^\mu)^2; \quad F_{\mu\nu} = \partial_\mu A_\nu - \partial_\nu A_\mu.$$

This is similar to QED with the addition of a mass term for the gauge boson $\propto M_A^2 = \frac{e^2 v^2}{4}$.

Hence, the E-L eqn of motion for A_ν is given by

$$\partial_\mu \frac{\partial \mathcal{L}}{\partial (\partial_\mu A_\nu)} - \frac{\partial \mathcal{L}}{\partial A_\nu} = 0 \rightarrow \left[-\eta_{\mu\nu} (\partial_\kappa \partial^\kappa + M_A^2) + \left(1 - \frac{1}{\xi}\right) \partial_\mu \partial_\nu \right] A^\nu = 0$$

The gauge-field propagator $\Delta_{\mu\nu}^{(\xi)}(x-y)$ is the Green's function of the above linear differential operator, i.e.

$$\left[-\eta^{\mu\nu} (\square + M_A^2) + \left(1 - \frac{1}{\xi}\right) \partial^\mu \partial^\nu \right] \Delta_{\nu\lambda}^{(\xi)}(x-y) = -\delta^\mu_\lambda \delta^{(4)}(x-y).$$

Following the same approach as in Lecture 9, we find

$$\begin{aligned} \tilde{\Delta}_{\mu\nu}^{(\xi)}(k) &= \left(-\eta_{\mu\nu} + \frac{k_\mu k_\nu}{M_A^2} \right) \frac{i}{k^2 - M_A^2 + i\epsilon} - \frac{k_\mu k_\nu}{M_A^2} \frac{i}{k^2 - \xi M_A^2 + i\epsilon} \\ &= \left[-\eta_{\mu\nu} + (1-\xi) \frac{k_\mu k_\nu}{k^2 - \xi M_A^2 + i\epsilon} \right] \frac{i}{k^2 - M_A^2 + i\epsilon} \end{aligned}$$

Observe that there are two poles at $k^2 = M_A^2$ independent of ξ and a second one at $k^2 = \xi M_A^2$ that depends on ξ . The first one is physical related to the mass of A_μ generated by the Higgs mechanism. The second one is unphysical and cancels against similar poles that occur in the would-be G-propagator when computing physical observables, such as S-matrix elements.

As a final remark, we note that the G-propagator is given by

$$\tilde{\Delta}_G^{(\xi)}(k) = \frac{i}{k^2 - \xi M_A^2 + i\epsilon} \quad (\text{Why?}) \quad \text{in the } R_\xi \text{ gauge.}$$

Observe that the unitary gauge corresponds to $\xi \rightarrow \infty$ in the R_ξ gauge, since $\tilde{\Delta}_G^{(\infty)}(k) \mapsto 0$, i.e. the would-be Goldstone boson decouples from the theory.

Lecture 16

Glashow '61, Salam & Weinberg '67

The Higgs Mechanism in the Standard Model (SM)

The SM is based on SSB pattern

For direct product of groups, see Lecture 8.

$$SU(3)_c \otimes SU(2)_L \otimes U(1)_Y \xrightarrow{\langle \Phi \rangle} SU(3)_c \otimes U(1)_{em},$$

where Φ is a scalar doublet in the fundamental rep of $SU(2)_L$.(subscript L stands for the weak isospin of Left-handed fermions)The SM Higgs potential is given by

$$V(\Phi) = -\mu^2 \Phi^\dagger \Phi + \lambda (\Phi^\dagger \Phi)^2,$$

and for $\mu^2 > 0$ ($\lambda > 0$), the ground state or VEV of Φ is

$$\langle \Phi \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix}, \quad \leftarrow \text{derived from the vacuum eqn: } \frac{\partial V}{\partial \Phi^\dagger} = 0.$$

$$\text{with } v = \sqrt{\frac{\mu^2}{\lambda}} \approx 245 \text{ GeV.}$$

(see also Lecture 15)The doublet Φ is singlet under $SU(3)_c$ (it has no colour),but it has hypercharge $y_\Phi = \frac{1}{2}$ under the hypercharge group $U(1)_Y$.The field Φ may be expanded about its VEV $\langle \Phi \rangle$ as follows:

$$\Phi = \begin{pmatrix} G^+ \\ \frac{1}{\sqrt{2}}(v+H+iG) \end{pmatrix} = e^{i \frac{G^i \sigma^i}{v}} \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ (v+H) \end{pmatrix}; \quad i=1,2,3.$$

linear expansionnon-linear expansionIn the unitary gauge, Φ takes on the form

$$\Phi = \begin{pmatrix} 0 \\ \frac{1}{\sqrt{2}}(v+H) \end{pmatrix},$$

obtained by a gauge transf. $\Phi \mapsto \Phi' = e^{i \theta^i \frac{\sigma^i}{2}} \Phi$, with $\theta^i(x) = -\frac{G^i(x)}{v}$.

Given that the generators of $SU(2)_L$ are $T^i = \frac{\sigma^i}{2}$ and of $U(1)_Y : Y = y_\Phi \mathbf{1}_2 = \frac{1}{2} \mathbf{1}_2$, it is not difficult to see that the linear combination

$$Q = T^3 + Y = \frac{\sigma^3}{2} + \frac{1}{2} \mathbf{1}_2 = \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix} + \frac{1}{2} \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$$

is not broken by $\langle \Phi \rangle$: $Q \langle \Phi \rangle = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix} \begin{pmatrix} 0 \\ 0 \end{pmatrix} = \underline{0}$.

The generator Q is identified with the generator of $U(1)_{em}$, since the electroweak vacuum $\langle \Phi \rangle$ has to be electrically neutral.

$$\therefore SU(2)_L \otimes U(1)_Y \xrightarrow{\langle \Phi \rangle} U(1)_{em}.$$



Gauge bosons in the SM (we ignore the gluons resulting from $SU(3)_c$)

$$\mathcal{L}_{YM} = -\frac{1}{4} W_{\mu\nu}^i W^{i,\mu\nu} - \frac{1}{4} B_{\mu\nu} B^{\mu\nu}, \quad \longleftrightarrow \text{gauge kinetic terms.}$$

$-g \epsilon^{ijk} W_\mu^j W_\nu^k$

where $W_{\mu\nu}^i \equiv \partial_\mu W_\nu^i - \partial_\nu W_\mu^i$ is the $SU(2)_L$ field strength tensor and $B_{\mu\nu} \equiv \partial_\mu B_\nu - \partial_\nu B_\mu$ is the $U(1)_Y$ field strength tensor.

The scalar-kinetic part of the SM Lagrangian is

$$\mathcal{L}_\Phi = (D_\mu \Phi)^\dagger (D^\mu \Phi) - V(\Phi),$$

$$\text{where } D_\mu \Phi = \left(\partial_\mu + i g \frac{\sigma^i}{2} W_\mu^i + i g' \frac{1}{2} B_\mu \right) \Phi.$$

From the first term of \mathcal{L}_Φ , we may evaluate the masses of the electroweak gauge bosons. In detail, we have

$$(D_\mu \Phi)^\dagger (D^\mu \Phi) \supset \langle \Phi | \left[-i g \frac{\sigma^i}{2} W_\mu^i - i g' \frac{1}{2} B_\mu \right] \left[i g \frac{\sigma^j}{2} W_\mu^{j,\mu} + i g' \frac{1}{2} B_\mu^\mu \right] | \Phi \rangle$$

We first consider the mass terms from the non-diagonal generators $\frac{\sigma^{1,2}}{2}$, i.e.

$$\begin{aligned} \langle \Phi^\dagger \rangle \frac{g^2}{4} (\sigma^1 W_\mu^1 + \sigma^2 W_\mu^2) (\sigma^1 W^{1,\mu} + \sigma^2 W^{2,\mu}) \langle \Phi \rangle \\ = \frac{g^2}{4} \left(0, \frac{v}{\sqrt{2}}\right) \underbrace{\begin{pmatrix} 0 & W_\mu^1 - iW_\mu^2 \\ W_\mu^1 + iW_\mu^2 & 0 \end{pmatrix} \begin{pmatrix} 0 & W^{1,\mu} - iW^{2,\mu} \\ W^{1,\mu} + iW^{2,\mu} & 0 \end{pmatrix}} \begin{pmatrix} 0 \\ \frac{v}{\sqrt{2}} \end{pmatrix} = \frac{g^2 v^2}{4} W_\mu^+ W^{-\mu} \\ = (W_\mu^1 - iW_\mu^2)(W^{1,\mu} + iW^{2,\mu}) \mathbf{1}_2 \end{aligned}$$

where $W_\mu^\pm = \frac{1}{\sqrt{2}} (W_\mu^1 \mp iW_\mu^2)$ are the charged W^\pm bosons, with mass $M_W = \frac{gv}{2} \simeq 80 \text{ GeV}$.

Next, we consider the mass terms resulting from the diagonal generators $\frac{\sigma^3}{2}$ and $\frac{1}{2}\mathbf{1}_2$:

$$\begin{aligned} \left(0, \frac{v}{\sqrt{2}}\right) \left[\frac{g}{2} \sigma^3 W_\mu^3 + \frac{g'}{2} \mathbf{1}_2 B_\mu \right] \left[\frac{g}{2} \sigma^3 W^{3,\mu} + \frac{g'}{2} \mathbf{1}_2 B^\mu \right] \begin{pmatrix} 0 \\ \frac{v}{\sqrt{2}} \end{pmatrix} \\ = \frac{g^2 + g'^2}{8} (0, v) \begin{bmatrix} \frac{gW_\mu^3 + g'B_\mu}{\sqrt{g^2 + g'^2}} & 0 \\ 0 & -\frac{gW_\mu^3 + g'B_\mu}{\sqrt{g^2 + g'^2}} \end{bmatrix}^2 \begin{pmatrix} 0 \\ v \end{pmatrix} \\ = \frac{g^2 + g'^2}{8} v^2 Z_\mu Z^\mu \cong \frac{1}{2} M_Z^2 Z_\mu Z^\mu, \end{aligned}$$

where $Z_\mu \cong \frac{gW_\mu^3 - g'B_\mu}{\sqrt{g^2 + g'^2}}$ is the Z boson, with mass $M_Z = \frac{\sqrt{g^2 + g'^2}}{2} v \simeq 91 \text{ GeV}$

whilst $A_\mu \cong \frac{1}{\sqrt{g^2 + g'^2}} (g'W_\mu^3 + gB_\mu) \perp Z_\mu$ is the photon,

which is consistently predicted to be massless.

Alternatively, the Z_μ and A_μ gauge may be written down as

$$Z_\mu = c_w W_\mu^3 - s_w B_\mu, \quad A_\mu = s_w W_\mu^3 + c_w B_\mu, \quad \leftarrow \begin{array}{l} \text{unification of EM and} \\ \text{weak forces.} \end{array}$$

with $s_w = \sin \theta_w$, $c_w = \cos \theta_w$, and $t_w = \frac{s_w}{c_w} = \frac{g'}{g}$, $e = \frac{gg'}{\sqrt{g^2 + g'^2}} = g \sin \theta_w$, and θ_w is the weak mixing angle introduced by Glashow in 1961.

Fermions in the SM

$$L_{iL} = \begin{pmatrix} \nu_{iL} \\ l_{iL} \end{pmatrix}, \quad l_{iR} \quad (\text{possibly } \nu_{iR}) \quad ; \quad i = e, \mu, \tau = 1, 2, 3$$

$$Q_{iL}^\alpha = \begin{pmatrix} u_{iL}^\alpha \\ d_{iL}^\alpha \end{pmatrix}, \quad u_{iR}^\alpha, \quad d_{iR}^\alpha \quad ; \quad i = 1, 2, 3, \quad (u_1, u_2, u_3) = (u, c, t) \\ (d_1, d_2, d_3) = (d, s, b)$$

generations
or families

colour: $\alpha = r, g, b = 1, 2, 3$.

All fermions are chiral Weyl fermions, e.g. ξ_α or $\bar{\eta}^\alpha$.

Only L_{iL} and Q_{iL} carry weak isospin under the $SU(2)_L$ fundamental rep.

$$\text{from } \psi = \begin{pmatrix} \xi_\alpha \\ \bar{\eta}^\alpha \end{pmatrix} = \begin{pmatrix} \psi_L \\ \psi_R \end{pmatrix}.$$

The hypercharge quantum numbers for the SM fermions are

$$y_{L_L} = -1, \quad y_{Q_L} = \frac{1}{3}, \quad y_{\nu_R} = 0, \quad y_{l_R} = -2, \quad y_{u_R} = \frac{4}{3}, \quad y_{d_R} = -\frac{2}{3}.$$

The $U(1)_Y$ quantum numbers are independent of colour $\alpha = r, g, b$ and flavour $i = 1, 2, 3$ (lepton or quark).

Gauge-kinetic Lagrangian for a SM fermion f :

$$\mathcal{L}_f = \bar{f}_L i \gamma^\mu D_\mu^L f_L + \bar{f}_R i \gamma^\mu D_\mu^R f_R,$$

where

$$D_\mu^L f_L = \left(\partial_\mu + i g_s \frac{\lambda^a}{2} G_\mu^a + i g \frac{\sigma^i}{2} W_\mu^i + i g' \frac{y_L}{2} B_\mu \right) f_L$$

$$D_\mu^R f_R = \left(\partial_\mu + i g_s \frac{\lambda^a}{2} G_\mu^a + i g' \frac{y_R}{2} B_\mu \right) f_R.$$

The term $g_s \frac{\lambda^a}{2} G_\mu^a$ is only present for quarks, i.e. Q_L^α , u_R^α and d_R^α .
Likewise, $g \frac{\sigma^i}{2} W_\mu^i$ is only present for left-handed doublets, i.e. L_L and Q_L^α .

EM interactions to SM fermions

We know that

$$\begin{pmatrix} Z_\mu \\ A_\mu \end{pmatrix} = \begin{pmatrix} c_W & -s_W \\ s_W & c_W \end{pmatrix} \begin{pmatrix} W_\mu^3 \\ B_\mu \end{pmatrix} \rightsquigarrow \begin{pmatrix} W_\mu^3 \\ B_\mu \end{pmatrix} = \begin{pmatrix} c_W & s_W \\ -s_W & c_W \end{pmatrix} \begin{pmatrix} Z_\mu \\ A_\mu \end{pmatrix}.$$

From \mathcal{L}_f , we have

$$\left. \begin{aligned} & \bar{f}_L i \not{D}_L f_L \\ & + \bar{f}_R i \not{D}_R f_R \end{aligned} \right\} \supset \bar{f}_L \gamma^\mu \left(-g \frac{\sigma^3}{2} W_\mu^3 - g' \frac{y_{fL}}{2} B_\mu \mathbf{1}_2 \right) f_L + \bar{f}_R \gamma^\mu \left(-g' \frac{y_{fR}}{2} B_\mu \right) f_R$$

$$\supset -A_\mu \bar{f}_L \gamma^\mu \left(\underbrace{g s_W}_{=e} \frac{\sigma^3}{2} + \underbrace{g' c_W}_{=e} \frac{y_{fL}}{2} \right) f_L - A_\mu \bar{f}_R \gamma^\mu \underbrace{g' c_W}_{=e} \frac{y_{fR}}{2} f_R$$

$$\mathcal{L}_{A\mu \bar{f}f} = -e A_\mu (\bar{f}_L \gamma^\mu Q_{fL} f_L + \bar{f}_R \gamma^\mu Q_{fR} f_R)$$

$$\therefore \underline{\mathcal{L}_{A\mu \bar{f}f} = -e A_\mu \bar{f} \gamma^\mu Q_f f} \quad ; \quad \left\{ \begin{array}{l} Q_{fL} = \frac{\sigma^3}{2} + \frac{y_{fL}}{2} = T_f^3 + Y_f \\ Q_{fR} = \frac{y_{fR}}{2} \quad \text{and} \quad Q_f = Q_{fL} = Q_{fR} \end{array} \right.$$

with $Q_{eL,R} = -1$, $Q_{\nu_{L,R}} = 0$, $Q_{u_{L,R}} = \frac{2}{3}$, $Q_{d_{L,R}} = -\frac{1}{3}$.

Z-boson interactions to SM fermions

$$\mathcal{L}_f \supset Z_\mu \bar{f}_L \gamma^\mu \left(-g c_W T_f^3 + \underbrace{g' s_W}_{=g \frac{s_W^2}{c_W}} \frac{y_{fL}}{2} \right) f_L + Z_\mu \bar{f}_R \gamma^\mu \underbrace{g' s_W}_{=g \frac{s_W^2}{c_W}} \frac{y_{fR}}{2} f_R$$

$$= -\frac{g}{c_W} Z_\mu \left[\bar{f}_L \gamma^\mu (T_f^3 - s_W^2 Q_f) f_L + \bar{f}_R \gamma^\mu (-s_W^2 Q_f) f_R \right]$$

$$\therefore \underline{\mathcal{L}_{Z\mu \bar{f}f} = -\frac{g}{c_W} Z_\mu \bar{f} \gamma^\mu (T_f^3 P_L - s_W^2 Q_f) f}$$

where $P_L = \frac{1-\gamma_5}{2}$ and $P_R = \frac{1+\gamma_5}{2}$ are the chirality projection operators acting on a Dirac fermion ψ :

$$\psi_{L(R)} = P_{L(R)} \psi, \quad \text{with } \mathbf{1} \hat{=} \mathbf{1}_4 \quad \text{and} \quad \gamma_5 = \begin{pmatrix} -\mathbf{1}_2 & 0_2 \\ 0_2 & \mathbf{1}_2 \end{pmatrix}.$$

Lecture 18

Yukawa Interactions

Other possible gauge-invariant and renormalizable interactions are given by the Yukawa Lagrangian

$$-\mathcal{L}_Y = \bar{Q}_{iL} Y_{ij}^d \Phi d_{jR} + \bar{Q}_{iL} Y_{ij}^u \tilde{\Phi} u_{jR} + \bar{L}_{iL} Y_{ij}^l \Phi l_{jR} + \bar{L}_{iL} Y_{ij}^\nu \tilde{\Phi} \nu_{jR} + \text{H.c.},$$

with $\tilde{\Phi} \equiv i\sigma_2 \Phi^*$, and $Y^{u,d,l,\nu}$ are 3×3 Yukawa-(coupling) matrices

Gauge invariance of $\bar{Q}_L \Phi d_R$:

$$Y(Q_L) = \frac{y_{Q_L}}{2} = \frac{1}{6} = -Y(\bar{Q}_L), \quad Y(\Phi) = y_\Phi = \frac{1}{2}, \quad Y(d_R) = \frac{y_{d_R}}{2} = -\frac{1}{3}$$

$$U(1)_Y: \bar{Q}_L \Phi d_R \mapsto \bar{Q}'_L \Phi' d'_R = e^{i(Y(\bar{Q}_L) + Y(\Phi) + Y(d_R))\theta} \bar{Q}_L \Phi d_R = e^{-\frac{1}{6} + \frac{1}{2} - \frac{1}{3}} = 1$$

$$SU(2)_L: Q_L \mapsto Q'_L = e^{i\theta^a T^a} Q_L = U Q_L, \quad \text{with } U \in SU(2)_L$$

$$\bar{Q}_L \mapsto \bar{Q}'_L = \bar{Q}_L U^\dagger$$

$$\Phi \mapsto \Phi' = U \Phi$$

$$d_R \mapsto d'_R = d_R$$

$$\bar{Q}_L \Phi d_R \mapsto \bar{Q}'_L \Phi' d'_R = \bar{Q}_L \underbrace{U^\dagger U}_{=1_2} \Phi d_R = \bar{Q}_L \Phi d_R$$

By analogy, we can prove the gauge invariance of the other terms in $-\mathcal{L}_Y$. We only need to know that

$$Y(\tilde{\Phi}) = -Y(\Phi) = -\frac{1}{2}, \quad \text{and } SU(2)_L: \tilde{\Phi} \mapsto \tilde{\Phi}' = U \tilde{\Phi},$$

$$\text{since } U i\sigma_2 U^T = i\sigma_2 = \begin{pmatrix} 0 & 1 \\ -1 & 0 \end{pmatrix} = \epsilon_{ij} \text{ (Why?)},$$

$$\text{i.e. } U \in Sp(2) \cong SU(2)$$

2-dim. symplectic group

After SSB, $-\mathcal{L}_Y$ generates 3×3 mass matrices that describe the masses and the mixing between the 3 families of quarks and leptons. In detail, we have, e.g. for the quarks,

$$-\langle \mathcal{L}_Y^q \rangle = \bar{d}_L \underline{Y}^d \frac{v}{\sqrt{2}} d_R + \bar{u}_L \underline{Y}^u \frac{v}{\sqrt{2}} u_R + \text{H.c.},$$

where we used $\langle \Phi \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} 0 \\ v \end{pmatrix}$ and $\langle \tilde{\Phi} \rangle = \frac{1}{\sqrt{2}} \begin{pmatrix} v \\ 0 \end{pmatrix}$.

Defining $\underline{M}^u \hat{=} \frac{v}{\sqrt{2}} \underline{Y}^u$ and $\underline{M}^d \hat{=} \frac{v}{\sqrt{2}} \underline{Y}^d$, we may write $-\langle \mathcal{L}_Y^q \rangle$ as

$$-\langle \mathcal{L}_Y^q \rangle = \bar{d}_L \underline{M}^d d_R + \bar{u}_L \underline{M}^u u_R + \text{h.c.}$$

The matrices $\underline{M}^{u,d}$ are 3×3 non-Hermitian matrices and always can be diagonalized, with non-negative diagonal entries, by bi-unitary transformations:

$$U^d \underline{M}^d V^d = \hat{M}^d, \quad U^u \underline{M}^u V^u = \hat{M}^u, \quad \text{with } U^{u,d}, V^{u,d} \in U(3)$$

\hat{M}^u and \hat{M}^d are diagonal and contain the physical masses of the up-type and down-type quarks, respectively.

Employing these matrix relations, we find

$$-\langle \mathcal{L}_Y \rangle = \hat{d}_L \hat{M}^d \hat{d}_R + \hat{u}_L \hat{M}^u \hat{u}_R + \text{H.c.} = \hat{d} \hat{M}^d \hat{d} + \hat{u} \hat{M}^u \hat{u},$$

where $\hat{u}_{L,R}$ and $\hat{d}_{L,R}$ are the mass eigenstates related to flavour states $u_{L,R}$ and $d_{L,R}$ as follows:

$$\left. \begin{aligned} \hat{d}_L &= U^d d_L, & \hat{u}_L &= U^u u_L \\ \hat{d}_R &= V^{d\dagger} d_R, & \hat{u}_R &= V^{u\dagger} u_R \end{aligned} \right\} \iff \left\{ \begin{aligned} d_L &= U^{d\dagger} \hat{d}_L, & u_L &= U^{u\dagger} \hat{u}_L \\ d_R &= V^d \hat{d}_R, & u_R &= V^u \hat{u}_R \end{aligned} \right.$$

W[±]-boson interactions to quarks

$$\begin{aligned}\mathcal{L}_f &= \bar{Q}_L \gamma^\mu \left(-g \frac{\sigma^1}{2} W_\mu^1 - g \frac{\sigma^2}{2} W_\mu^2 \right) Q_L = -\frac{g}{\sqrt{2}} \bar{Q}_L \gamma^\mu \begin{pmatrix} 0 & W_\mu^+ \\ W_\mu^- & 0 \end{pmatrix} Q_L \\ &= -\frac{g}{\sqrt{2}} (\bar{u}_L, \bar{d}_L) \gamma^\mu \begin{pmatrix} 0 & W_\mu^+ \\ W_\mu^- & 0 \end{pmatrix} \begin{pmatrix} u_L \\ d_L \end{pmatrix} = -\frac{g}{\sqrt{2}} W_\mu^+ \bar{u}_{iL} \gamma^\mu d_{iL} + \text{H.c.},\end{aligned}$$

with $W_\mu^\pm = \frac{1}{\sqrt{2}} (W_\mu^1 \mp i W_\mu^2)$.

flavour index
 $i=1,2,3$ restored

Going from flavour states u_{iL} and d_{iL} to mass eigenstates \hat{u}_{iL} and \hat{d}_{iL} , we get

$$\begin{aligned}\mathcal{L}_{Wud} &= -\frac{g}{\sqrt{2}} W_\mu^+ \hat{u}_L^\dagger u^\mu \gamma^\mu u^{d\dagger} \hat{d}_L = -\frac{g}{\sqrt{2}} W_\mu^+ \hat{u}_i (u^\mu u^{d\dagger})_{ij} \gamma^\mu P_L \hat{d}_j + \text{H.c.} \\ \therefore \mathcal{L}_{W^\pm ud} &= -\frac{g}{\sqrt{2}} W_\mu^\pm \hat{u}_i V_{ij} \gamma^\mu P_L \hat{d}_j + \text{H.c.}\end{aligned}$$

where $V = u^\mu u^{d\dagger}$ is the so-called Cabibbo-Kobayashi-Maskawa (CKM) matrix describing quark mixing.

Analogous phenomena of mixing of states occur in the neutrino sector, and the respective 3×3 unitary matrix is called the Pontecorvo-Maki-Nakagawa-Sakata (PMNS) matrix

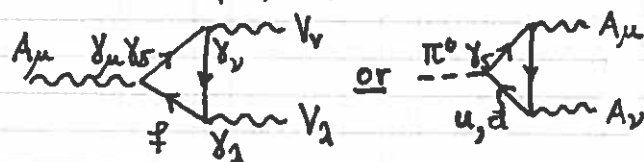
SM Feynman Rules

A complete list of Feynman rules in the R_ξ gauge is given in the textbook by S. Pokorski on "Gauge Field Theories," Appendix G.

The list includes Feynman rules for gauge and Higgs self-interactions, as well as interactions mediated by the would-be Goldstone bosons $G^{\pm,0}$ and by Faddeev-Popov ghosts.

FURTHER READING

- The concept of BRS symmetries can be extended to SSB theories, ensuring unitarity and renormalizability of the SM.
- The only unitary non-Abelian theories with massive gauge bosons, such as W^\pm and Z bosons, at high energies $\sqrt{s} \gg M_W, M_Z$, are SSB theories, as shown by Cornwall, Levin & Tiktopoulos '73.
- Chiral fermions may break the underlying gauge symmetries of the theory beyond the tree level, through the so-called chiral anomalies. A typical anomaly graph looks like



theoretically as first observed by Steinberger in 1949 in $\pi^0 \rightarrow \gamma\gamma$, and later properly understood by Adler, Bell & Jackiw in 1969 and by Fujikawa '80, in the context of path integrals. All local chiral anomalies vanish in the SM.

- Global chiral anomalies do exist in the SM, giving rise to the θ -term, strong instantons and sphalerons (topological solutions to EoMs), first studied by 't Hooft '76
- In addition to Dirac fermions and Dirac masses, the possible existence of right-handed neutrinos allows one to introduce Majorana fermions and Majorana masses in the SM Lagrangian. See Ex IV.3 (iv, v)
- Several phenomenological aspects of the SM are studied in Ex IV.4.