

## Lecture 3

Definition of a Group  $(G, \cdot)$ . A set of elements  $\{a, b, c, \dots\} \hat{=} G$  endowed with a composition law  $\cdot$  that has the following properties:

- (i) Closure.  $\forall a, b \in G$ , the element  $c = a \cdot b \in G$ .
- (ii) Associativity.  $\forall a, b, c \in G \Rightarrow a \cdot (b \cdot c) = (a \cdot b) \cdot c$
- (iii) The identity element  $e$ .  $\exists e \in G : e \cdot a = a \cdot e = a, \forall a \in G$
- (iv) The inverse element.  $\forall a \in G, \exists a^{-1} \in G : a \cdot a^{-1} = a^{-1} \cdot a = e$

### Remarks

- The identity element  $e \in G$  is unique (Why?)
- The inverse element  $a^{-1}$  of  $a$  is unique (Why?)
- If  $a \cdot b = b \cdot a, \forall a, b \in G$ , the group  $G$  is called Abelian

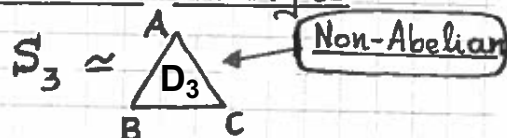
### EXAMPLES:

- $(\mathbb{R}, +)$  is a group, but not  $(\mathbb{R}, \cdot)$  (as there is no inverse for 0).

In fact,  $(\mathbb{R}, +, \cdot)$  is a field.\*

- Discrete Groups:  $S_n, \mathbb{Z}_n$  and  $C_n$

E.g.  $S_3$ : permutations of 3 objects which is equivalent to all possible symmetries of an equilateral triangle under rotations and reflections:



- All  $n \times n$  real matrices  $M$ , with  $\det M \neq 0$ , form the group  $GL(n, \mathbb{R})$  under multiplication of matrices.  
 ↑ General Linear

- \* There are 4 infinite fields:
- (i)  $(\mathbb{Q}, +, \cdot)$  rational numbers;
  - (ii)  $(\mathbb{R}, +, \cdot)$  real numbers;
  - (iii)  $(\mathbb{C}, +, \cdot)$  complex numbers;
  - (iv)  $(\mathbb{H}, +, \cdot)$  quaternions introduced by Hamilton (non-Abelian).

Cosets. Let  $H = \{h_1, h_2, \dots, h_r\}$  be a proper subgroup of group  $G$  i.e.  $H \subset G$ , with  $H \neq I = \{e\}$  and  $H \neq G$ .

For a given  $g \in G$ , the set  $gH = \{g \cdot h_1, g \cdot h_2, \dots, g \cdot h_r\}$  is called the left coset<sup>of H</sup> and the set  $Hg = \{h_1 \cdot g, h_2 \cdot g, \dots, h_r \cdot g\}$  is called the right coset of  $H$ .

Example:  $C_6 = \{e, a, \dots, a^5\}$ , with  $a = e^{2\pi i/6} = e^{i\pi/3}$  and  $a^6 = 1 = e$ .

Proper subgroups:  $C_2 = \{e, a^3\}$  and  $C_3 = \{e, a^2, a^4\}$ .

Lagrange's Theorem. For any two (left) cosets  $g_1H$  and  $g_2H$ , it holds:  $g_1H = g_2H \vee$  (or)  $g_1H \cap g_2H = \emptyset$  (the empty set).

Proof:

Assume  $g_1H \neq g_2H \wedge g_1H \cap g_2H \neq \emptyset$  (\*) is true.

$$\rightarrow \begin{cases} g_1 \neq g_2, \text{ otherwise } g_1H = g_2H & (a) \\ \exists g_3 \in g_1H \cap g_2H : g_3 = g_1 \cdot h_1 = g_2 \cdot h_2, \text{ with } h_1, h_2 \in H & (b) \end{cases}$$

$$(b) \rightarrow g_2 = g_1 \cdot \underbrace{h_1 \cdot h_2^{-1}}_{= h_3 \in H} = g_1 \cdot h_3 \rightarrow g_2 \in g_1H$$

$$\rightarrow g_2H = \{g_1 \cdot h_3 \cdot h_l / \forall h_l \in H\} = \{g_1 \cdot h_m / \forall h_m \in H\} = g_1H$$

$$\rightarrow g_2H = g_1H$$

in contradiction with (\*)

∴ Lagrange's theorem holds

: proof by contradiction  
↑  
reductio ad absurdum  
↑  
εἰς άτοπον ἀπαγωγή

Coset decomposition. If  $H$  is a proper subgroup of  $G$ ,

then  $G = H \cup g_1H \cup g_2H \dots \cup g_{v-1}H$  ;  $g_{1,2,\dots,v-1} \in G, g_1 \notin H, g_2 \notin H,$

$v$ : the index of  $H$  in  $G$

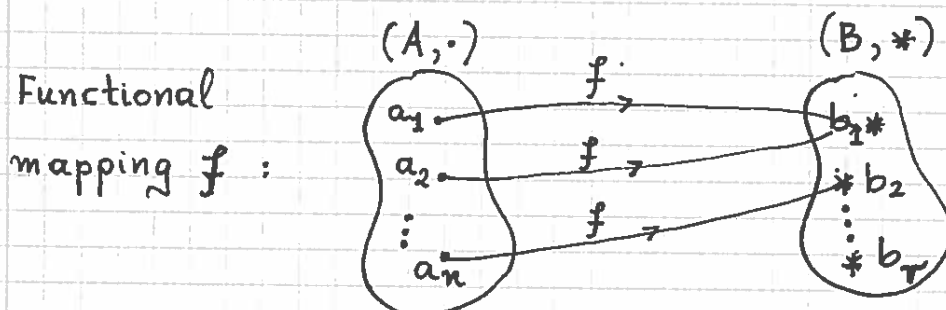
$g_2 \notin g_1H$  etc.

Coset space.  $G/H = \{H, g_1H, \dots, g_{v-1}H\}$

Examples:  $C_6/C_2 = \{C_2, aC_2, a^2C_2\}$  ;  $C_6/C_3 = \{C_3, aC_3\}$ .

## Morphisms between Groups: $(A, \cdot)$ and $(B, *)$

### Group Homomorphism

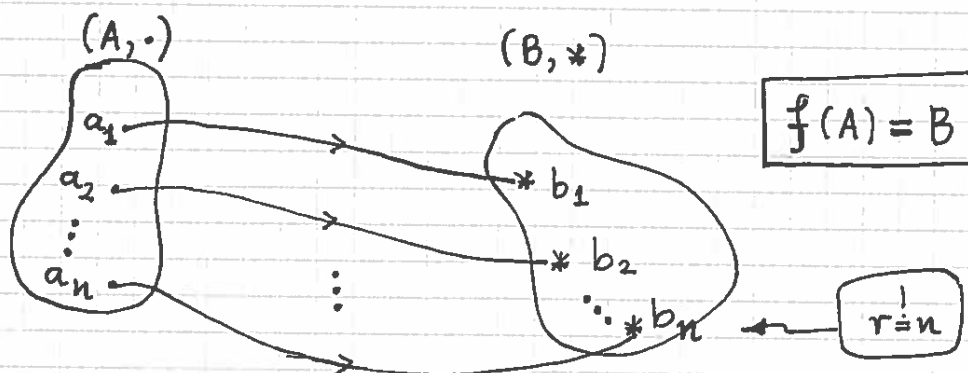


All elements  $a \in A$  mapped to a single element  $b = f(a) \in B$ , such that  $f(a_1 \cdot a_2) = f(a_1) * f(a_2)$ .

Note that  $f(A) \subset B$  and  $f(A) \neq B$  in general, e.g.  $r \neq n$

### Group Isomorphism

1:1 mapping (: bijective mapping) of  $(A, \cdot)$  onto  $(B, *)$ :



Composition law as above:  $f(a_1 \cdot a_2) = f(a_1) * f(a_2)$ .

Groups  $A$  and  $B$  are then said to be isomorphic:  $A \cong B$

Group homomorphism  $A \mapsto A$  is called endomorphism.

Group isomorphism  $A \leftrightarrow A$  is called automorphism.

Examples of isomorphism:  $C_n \cong Z_n$ ,  $S_2 \cong C_2 \cong Z_2$

## Lecture 4

### Continuous Groups

$GL(N, \mathbb{C})$ ,  $SL(N, \mathbb{C})$ ,  $O(N, \mathbb{R})$ ,  $SO(N, \mathbb{R})$ ,  
 $SU(N, \mathbb{C}) \cong SU(N)$ ,  $SO(N, M)$ ,  $E_6, E_7$  etc

### Glossary:

G: General (with  $\det M \neq 0$ )

L: Linear

S: Special  $\rightarrow$  with  $\det M = 1$

O: Orthogonal

U: Unitary

E\*: Exceptional Groups\* relevant to Grand Unified Theories  
and String Theories

Group	no. of independent real parameters	Remarks
$GL(N, \mathbb{C})$	$2N^2$	$\det M \neq 0$
$SL(N, \mathbb{C})$	$2N^2 - 2$	$\det M = 1$
$SO(N, \mathbb{R})$	$\frac{1}{2} N(N-1)$	$\det O = 1$
$SU(N)$	$N^2 - 1$	$\det U = 1$
$SO(N, M)$	?	$\det \Lambda = 1$
$\vdots$		

E.g.,  $O(N, \mathbb{R}) \subset GL(N, \mathbb{R})$  has  $N^2$  parameters, but not all are free.

Constraints:  $O^T O = \mathbb{1}_N \leadsto O_{ab}^T O_{bc} = \delta_{ac}$

$\leadsto O_{ba} O_{bc} = \delta_{ac}$ . It should be  $c \geq a = 1, 2, \dots, N$  to singly count the constraints.

No. of constraints:  $R = \sum_{a=1}^N \underbrace{N-a+1}_{C_{\max}''(a)} = N(N+1) - \frac{N(N+1)}{2} = \frac{N(N+1)}{2}$

Indep. real parameters:  $N^2 - R = N^2 - \frac{N(N+1)}{2} = \frac{N(N-1)}{2}$ .

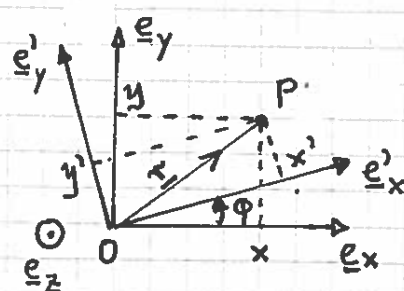
SO(2):

$$\begin{pmatrix} e'_x \\ e'_y \end{pmatrix} = \begin{pmatrix} c_\phi & s_\phi \\ -s_\phi & c_\phi \end{pmatrix} \begin{pmatrix} e_x \\ e_y \end{pmatrix}; \quad c_\phi \hat{=} \cos \phi \\ s_\phi \hat{=} \sin \phi$$

$$\underline{r} = \vec{OP} = x \underline{e}_x + y \underline{e}_y = x' \underline{e}'_x + y' \underline{e}'_y$$

$$\rightarrow \begin{pmatrix} x' \\ y' \end{pmatrix} = \underbrace{\begin{pmatrix} c_\phi & -s_\phi \\ s_\phi & c_\phi \end{pmatrix}}_{\hat{=} O(\phi) \in SO(2)} \begin{pmatrix} x \\ y \end{pmatrix}$$

$\hat{=} O(\phi) \in SO(2)$ , since  $\det O = 1$



Passive SO(2) rotation  
through  $\phi$  about  $\underline{e}_z$ .

Basic property of SO(2):

$$O^T(\phi) \mathbf{1}_2 O(\phi) = \mathbf{1}_2 \quad \leftrightarrow \quad x'^2 + y'^2 = x^2 + y^2$$

SO(2) is Abelian:  $O(\phi) O(\phi') = O(\phi + \phi') = O(\phi') O(\phi)$

Taylor expansion of  $O(\phi)$  about  $\phi = 0$ :

$$O(\phi) = O(0) + \delta\phi O_\phi(0) + \mathcal{O}(\delta\phi^2) \quad ; \quad O_\phi(\phi) \hat{=} \frac{d}{d\phi} O(\phi)$$

$$= \mathbf{1}_2 + \delta\phi \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} + \mathcal{O}(\delta\phi^2)$$

$$= \begin{pmatrix} -s_\phi & -c_\phi \\ c_\phi & -s_\phi \end{pmatrix}$$

$$= \mathbf{1}_2 - i \delta\phi \underbrace{\begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}}_{\hat{=} \sigma_2} + \mathcal{O}(\delta\phi^2)$$

$$= \sigma_2 = i O_\phi(0)$$

2<sup>nd</sup> Pauli matrix

Exponential representation:

$$O(\phi) = \lim_{N \rightarrow \infty} \underbrace{\left[ O\left(\frac{\phi}{N}\right) \right]^N}_{= \delta\phi} = e^{-i\phi \sigma_2} \in SO(2)$$

$\sigma_2$ : Generator of SO(2)

$\phi$ : Group parameter,  $0 \leq \phi < 2\pi$ .

$U(1)$ :

$SO(2)$  in  $(V, \mathbb{R})$  is reducible in  $(V, \mathbb{C})$  by means of a similarity transf:

$$M^{-1} O(\phi) M = \hat{O}(\phi) = \begin{pmatrix} e^{i\phi} & 0 \\ 0 & e^{-i\phi} \end{pmatrix} = D^{(1)}(\phi) \oplus D^{(-1)}(\phi)$$

direct sum

with  $M = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & 1 \\ -i & i \end{pmatrix}$ ,  $M^{-1} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 & i \\ 1 & -i \end{pmatrix}$  independent of  $\phi$ .

$D^{(\pm 1)}(\phi) = e^{\pm i\phi}$  are faithful irreducible representations (irrep of  $U(1)$ )

In  $(V, \mathbb{C})$ , we have:  $SO(2) \cong U(1) \oplus \overline{U(1)}$

bar indicates complex conjugation

General irrep of  $U(1)$ :

$$D^{(m)}(\phi) = e^{-im\phi}$$

where  $m \in \mathbb{Z}$ , and  $0 \leq \phi < 2\pi$ .

The generators for these irreps of  $U(1)$  are:

$$G^{(m)} \equiv i \left. \frac{d}{d\phi} D^{(m)}(\phi) \right|_{\phi=0} = m \in \mathbb{Z} : \text{the integers}$$

SO(3):through  $\phi$ 

Proper rotations in 3D about a given unit vector

$$\underline{n} = n_x \underline{e}_x + n_y \underline{e}_y + n_z \underline{e}_z \hat{=} (n_x, n_y, n_z) \hat{=} (n_1, n_2, n_3) \quad (\text{with } \underline{n}^2 = 1)$$

Proper (passive) rotations about  $\underline{e}_x, \underline{e}_y, \underline{e}_z$  separately:(i)  $\underline{n} = \underline{e}_x = (1, 0, 0)$  counter-clockwise

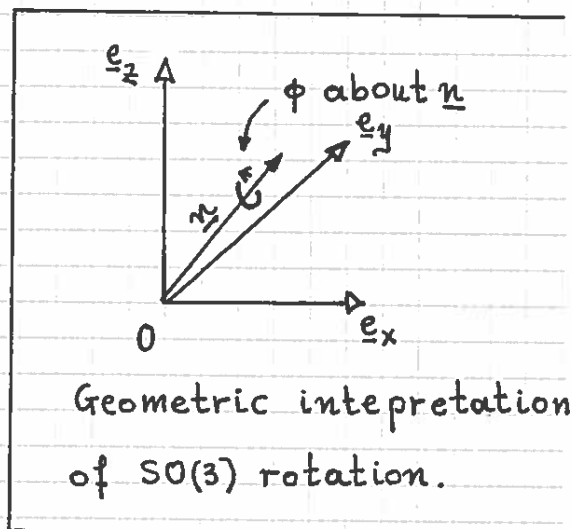
$$R_1(\phi) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & c_\phi & -s_\phi \\ 0 & s_\phi & c_\phi \end{pmatrix}$$

(ii)  $\underline{n} = \underline{e}_y = (0, 1, 0)$  counter-clockwise

$$R_2(\phi) = \begin{pmatrix} c_\phi & 0 & s_\phi \\ 0 & 1 & 0 \\ -s_\phi & 0 & c_\phi \end{pmatrix}$$

(iii)  $\underline{n} = \underline{e}_z = (0, 0, 1)$  counter-clockwise

$$R_3(\phi) = \begin{pmatrix} c_\phi & -s_\phi & 0 \\ s_\phi & c_\phi & 0 \\ 0 & 0 & 1 \end{pmatrix}$$

Generators of SO(3):  $X_i = i \frac{d}{d\phi} R_i(\phi) \Big|_{\phi=0}$ , with  $i=1,2,3$ .

$$X_1 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & -i \\ 0 & i & 0 \end{pmatrix}, \quad X_2 = \begin{pmatrix} 0 & 0 & i \\ 0 & 0 & 0 \\ -i & 0 & 0 \end{pmatrix}, \quad X_3 = \begin{pmatrix} 0 & -i & 0 \\ i & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}$$

$$(X_k)_{ij} = -i \epsilon_{ijk} ; \quad \epsilon_{ijk} = \begin{cases} 1 & \text{for } (i,j,k) = (1,2,3) \text{ and even perms} \\ -1 & \text{for odd perms} \\ 0 & \text{otherwise} \end{cases}$$

Levi-Civita tensor

General representation of a group element of SO(3):

$$R(\phi, \underline{n}) = e^{-i\phi \underline{n} \cdot \underline{X}}$$

with  $\underline{X} = (X_1, X_2, X_3)$  and  $0 \leq \phi < 2\pi$ 

Other reps possible, e.g.  
Euler rep and Euler angles  
 $\alpha, \beta, \gamma$ .





## Lecture 5

Important remark:

$$\boxed{\begin{array}{l} \text{No. of independent} \\ \text{real parameters,} \\ \text{or matrix elements in } \mathbb{R}. \end{array} = \begin{array}{l} \text{No. of Group} \\ \text{generators} \end{array} = \begin{array}{l} \text{No. of real} \\ \text{Group parameters} \\ \text{or angles} \end{array}}$$

Note that  $SO(3)$  and  $SU(2)$  have the same number 3 of generators (= group parameters):

$$SO(3) = \{ R(\phi, \underline{n}) = e^{-i\phi \underline{n} \cdot \underline{X}} \mid 0 \leq \phi < 2\pi \}^*$$

$$SU(2) = \{ U(\theta, \underline{n}) = e^{-i\theta \underline{n} \cdot \frac{\underline{\sigma}}{2}} \mid 0 \leq \theta < 4\pi \}$$

Also,  $R(0, \underline{n}) = R(2\pi, \underline{n}) = \mathbf{1}_3$ , whereas  $U(0, \underline{n}) = \mathbf{1}_2$  but  $U(2\pi, \underline{n}) = -\mathbf{1}_2$ .

∴ A faithful 1:1 isomorphism is given by

$$SO(3) \cong SU(2)/\mathbb{Z}_2 = \{ U(\theta, \underline{n}) \cdot \mathbb{Z}_2 \mid 0 \leq \theta < 2\pi \}$$

since  $\mathbb{Z}_2 = \{\mathbf{1}_2, -\mathbf{1}_2\}$  is a proper subgroup of  $SU(2)$ .

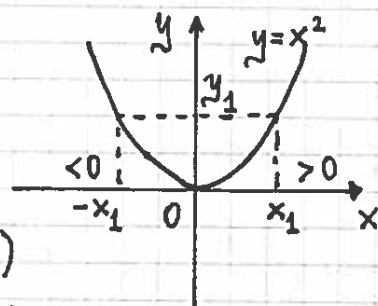
\* Note that the generators  $X_i$  of  $SO(3)$  satisfy the same commutation relation as the one by  $\frac{\sigma_i}{2} = T_i$  of  $SU(2)$ :  
 $[X_i, X_j] = i\epsilon_{ijk} X_k$

Compare with

$$y = x^2,$$

where  $y \in [0, +\infty)$

and  $x \in (-\infty, +\infty)$



1:1 mapping  $y \leftrightarrow x$ , only for  $(-\infty, +\infty)/\{1, -1\}$   
 $= [0, +\infty) \cdot \{1, -1\}$   
 $\cong [0, +\infty)$

## Lie Algebra and Lie Groups

A Lie algebra  $L$  over a number  $d(G)$  of generators  $T_a$  is defined by the following two properties:

(i)  $[T_a, T_b] = T_a \cdot T_b - T_b \cdot T_a = i f_{ab}^c T_c$ ;  $f_{ab}^c \in \mathbb{C}$  are the structure constants of  $L$

(ii) Jacobi identity:

$$[T_a, [T_b, T_c]] + [T_c, [T_a, T_b]] + [T_b, [T_c, T_a]] = 0.$$

The set  $T_a$  of generators defines a  $d(G)$ -dim vector space  $(V, \mathbb{C})$ .

Fundamental rep of  $T_a$  are  $d(F) \times d(F)$  matrices, where  $d(F)$  is the least number of dimensions needed for  $T_a$  to realize the Lie algebra  $L$  and the respective continuous group  $G$ .

Examples: (i)  $SO(3): T_a = X_a$ ; (ii)  $SU(2): T_a = \frac{1}{2} \sigma_a$ ; (iii)  $U(1): m \in \mathbb{Z}$

Group elements of  $G$ :

$$G(\theta, \underline{n}) = e^{-i\theta \underline{n} \cdot \underline{I}},$$

where  $\underline{n}^2 = 1$  and  $\underline{I} = (T_1, T_2, \dots, T_{d_G})$ , with  $d_G \hat{=} d(G)$ .

## Group Representations (reps)

The rep. of  $G$  depends on the rep. of  $T_a$ 's, which need to satisfy the same Lie algebra  $L$ .

Example: The  $3 \times 3$  matrices  $X_{i=1,2,3}$  of  $SO(3)$  form a higher rep of  $SU(2)$ , as both  $SU(2)$  and  $SO(3)$  groups satisfy the same Lie algebra.

The Lie-algebra commutator  $[T_c, \cdot]$  (for fixed  $T_c$ ) is a linear operator:

$$[T_c, \lambda_1 T_a + \lambda_2 T_b] = \lambda_1 [T_c, T_a] + \lambda_2 [T_c, T_b], \forall T_a, T_b \in L$$

The above linear homomorphic mapping from  $L \rightarrow L$  over  $\mathbb{C}$  may be represented by the structure constants themselves:

$$[T_a, T_b] = i f_{ab}^c T_c \rightarrow [D_A(T_a)]^c_b = i f_{ab}^c (= -i f_{ba}^c)$$

linear operator

basis vectors in  $L$

This rep of  $T_a$  is called the adjoint rep of  $L$ , denoted by  $A$ .

The adjoint rep  $D_A(T_a)$  satisfies the same Lie algebra  $L$  as the fundamental rep  $T_a \hat{=} D_F(T_a)$ :

See Ex II.2(e)

$$(i) \quad [D_A(T_a), D_A(T_b)] = i f_{ab}^c D_A(T_c)$$

$$(ii) \quad [D_A(T_a), [D_A(T_b), D_A(T_c)]] + [D_A(T_c), [D_A(T_a), D_A(T_b)]] + [D_A(T_b), [D_A(T_c), D_A(T_a)]] = 0$$

Jacobi

identity

## Lecture 6

The generators  $T_a^\lambda \triangleq D_\lambda(T_a)$  define a metric vector space, i.e. a manifold, with the metric of the space defined by the Killing product form (:inner multiplication for matrices):

$$g_{ab} \triangleq (T_a, T_b)_\lambda \triangleq \text{Tr}[T_a^\lambda T_b^\lambda] \triangleq \text{Tr}_\lambda(T_a T_b) = -f_{ac}^d f_{bd}^c,$$

where  $g_{ab}$  is called the Cartan metric.

The Cartan metric  $g_{ab}$  can be used to lower the index of  $f_{ab}^c$ :

$$f_{abc} = f_{ab}^d g_{dc}$$

$f_{abc}$  is fully antisymmetric in  $a, b, c$ .

Alternatively, one can show that  $f_{abc} = -i \text{Tr}([T_a^\lambda, T_b^\lambda] T_c^\lambda)$ .

Indeed, starting from the RHS, we have

$$-i \text{Tr}([T_a^\lambda, T_b^\lambda] T_c^\lambda) = -i \text{Tr}(i f_{ab}^x T_x^\lambda T_c^\lambda) = f_{ab}^x \underbrace{\text{Tr}(T_x^\lambda T_c^\lambda)}_{= g_{xc}} = f_{abc}.$$

### GENERAL REMARKS:

- If all  $f_{ab}^c \in \mathbb{R}$  for a Lie algebra  $L$ , then  $L$  is said to be a real Lie algebra.
- If the Cartan metric  $g_{ab}$  is positive definite for a real  $L$ , then  $L$  is an algebra for a compact group, e.g.  $SU(N)$  and  $SO(N)$ . In this case,  $g_{ab}$  can be diagonalized and rescaled to unit matrix, i.e.  $g_{ab} \mapsto \hat{g}_{ab} = \mathbf{1}_{ab} = \delta_{ab}$  ( $T_a = T^a$ ).
- There is no adjoint rep for an Abelian group, such as  $U(1)$  and  $SO(2)$ , since the structure constants  $f_{ab}^c$  vanish.

## Normalization of Generators

Fundamental rep:  $\text{Tr}[T_a \cdot T_b] = T_F \delta_{ab}$  ;  $T_F = \frac{1}{2}$  ← for  $SU(N)$

Adjoint rep:  $\text{Tr}[T_a^A \cdot T_b^A] = T_A \delta_{ab}$  ;  $T_A = N$  ←  
 $= g_{ab}$

Casimir operators  $\mathbf{T}_R^2$  of a Lie algebra  $L$  of a rep  $R$  are matrix reps that commute with all generators  $T_a^R$  of  $L$  in rep.  $R$ :  $[\mathbf{T}_R^2, T_a^R] = 0$

Explicit construction of  $\mathbf{T}_R^2$ :

$$(\mathbf{T}_R^2)_{ij} = T_A \sum_{a,b=1}^{d_G} \sum_{k=1}^{d_R} \underbrace{[D_R(T_a)]_{ik}}_{\cong (T_a^R)_{ik}} g^{ab} \underbrace{[D_R(T_b)]_{kj}}_{\cong (T_b^R)_{kj}}$$

$g^{ab} = T_A^{-1} \delta^{ab}$  ← for compact groups

$$= (T_a^R T_a^R)_{ij} = \delta_{ij} C_R \leadsto \boxed{\mathbf{T}_R^2 = T_a^R T_a^R = \mathbf{1}_{d_R} C_R}$$

Note that  $\text{Tr}(\mathbf{T}_R^2) = \text{Tr}(T_a^R T_a^R) = T_R d_G$

Also,  $\text{Tr}(T_a^R T_a^R) = C_R \text{Tr} \mathbf{1}_{d_R} = C_R d_R$

$$\left. \begin{array}{l} \text{Tr} d_G = C_R d_R \\ \text{Tr} d_R = C_G d_G \end{array} \right\} \Rightarrow T_R d_G = C_R d_R$$

$$\boxed{\begin{array}{l} d_G \cong d(G) \\ d_R \cong d(R) \end{array}}$$

Employing the last relation, we can derive for  $SU(N)$  theories:

$$C_F = \frac{N^2 - 1}{2N} \quad \text{and} \quad C_A = N$$

## FURTHER READING

- Our lectures have only covered basic elements of Group Theory. Further discussion is given by former lecture notes by A.P. on "Symmetries in Physics" and literature provided there.
- Other topics of interest are the concepts:  
 (i) Normal subgroups; (ii) Simple and semi-simple Lie algebras and ideals; (iii) Tensors in  $SU(N)$   
 and their decomposition with the use of Young Tableaux.
- Non-compact groups, such as <sup>the</sup> Lorentz and Poincaré groups. See exercise in Ex I.5 on the  $SL(2, \mathbb{C})$  and  $SO(1,3)$  Groups.
- The Cartan programme of construction and classification of infinite groups (covered in advanced texts, e.g. H.F. Jones, "Groups, Reps. and Physics")
- Graded Lie-algebras and Supersymmetry.  
 (lecture notes on "Supersymmetry" by A.P. also J. Wess and J. Bagger, "Supersymmetry and Supergravity")