


# **CSC3113**

# **Theory Of Computation**

## **Pre-Requisite**

### **Basic Mathematical Concepts**

-  Sets, Graphs, Relations, and Languages
-  Definitions, Theorems, and Proofs

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# Assumed Background

- ✚ Sets / Sequences
- ✚ Functions / Relations
- ✚ Equivalence relations / Partitions
- ✚ Graphs
- ✚ Types of proof
  - ✚ Proof by construction
  - ✚ Proof by contradiction
  - ✚ Proof by induction
- ✚ Next we will go through the basic knowledge on the above topics.

# Sets

- ✚ The symbols  $\in$  and  $\notin$  denote set membership and non membership, respectively.  
example:  $7 \in \{7, 21, 57\}$  and  $8 \notin \{7, 21, 57\}$
- ✚ *Subset*:  $A \subseteq B$ , Every element of  $A$  is an element of  $B$ .
  - ✚ *Proper Subset*: If  $A$  is a subset of  $B$  and not equal to  $B$ .
- ✚ *Multiset*:  $\{7\}$  and  $\{7, 7\}$  are different as multisets but identical as sets.
- ✚ *Infinite set*: natural numbers  $N = \{1, 2, 3, \dots\}$  and integers  $Z = \{\dots, -2, -1, 0, 1, 2, \dots\}$ , contains infinitely many elements.
- ✚ *Empty set*: Set with 0 members, written as  $\emptyset$ .
- ✚  $\{n \mid \text{rule about } n\}$ : A set containing elements according to some rule.
  - ✚  $\{n \mid n = m^2 \text{ for some } m \in N\}$  means the set of perfect squares.
- ✚ *Cardinality* of a set: the number of elements in it.
- ✚ *Set Operations*:
  - ✚ *Compliment*:  $\bar{A}$ , is the set of all elements under consideration that are not in  $A$ .
  - ✚ *Union*:  $A \cup B = \{x : x \in A \text{ or } x \in B\}$ , the set we get by combining all the elements of in  $A$  and  $B$ . example:  $\{7, 21\} \cup \{9, 5, 7\} = \{7, 21, 9, 5\}$ .  
 $\bigcup S = \{x : x \in P \text{ for some set } P \in S\}$  is the set whose elements are the elements of all the sets in  $S$ . example,  $\bigcup S = \{a, b, c, d\}$  if  $S = \{\{a, b\}, \{b, c\}, \{c, d\}\}$ .

# Sets

- **Intersection:**  $A \cap B = \{x : x \in A \text{ and } x \in B\}$ , the set of elements that are in both  $A$  and  $B$ . example:  $\{7, 21\} \cap \{9, 5, 7\} = \{7\}$ .  
 $\bigcap S = \{x : x \in P \text{ for each set } P \in S\}$  is the set whose elements are the elements of all the sets in  $S$ . example,  $\bigcap S = \{c, d\}$  if  $S = \{\{a, c, d\}, \{c, d\}, \{b, c, d\}\}$ .
- Two sets  $A$  and  $B$  are equal, written as  $A = B$ , if  $A \subseteq B$  and  $B \subseteq A$ .
- **Difference** of two sets  $A$  and  $B$ , written  $A - B$ , is the set of all elements of  $A$  that are not elements of  $B$ . That is,  $A - B = \{x : x \in A \text{ and } x \notin B\}$ .
- Two sets are *disjoint* if they have no element in common. That is,  $A \cap B = \phi$ .
- **Power Set:** Power set of a set  $A$  is the set of all subsets of  $A$ .  
if  $A = \{0, 1\}$ , then the power set of  $A = \{\phi, \{0\}, \{1\}, \{0, 1\}\}$ .
- A partition of a nonempty set  $A$  is a subset  $\Pi$  of  $2^A$  such that,
  - Each element of  $\Pi$  is empty.
  - Distinct numbers of  $\Pi$  are disjoint.
  - $\bigcup \Pi = A$ .
  - Example,  $\{\{a, b\}, \{c\}, \{d\}\}$  is a partition of  $\{a, b, c, d\}$ .

# Sequences

✚ *Sequence*: a list of object in some order.

▣  $(7, 21, 57)$  is a sequence of 7, 21, and 57.

✚ Order matters, so  $(7, 21, 57)$  is not the same as  $(21, 7, 57)$ .

✚ Repetition is allowed, so  $(7, 21, 57)$  is not the same as  $(7, 21, 7, 57)$ .

✚ *Tuple*: Finite sequence.

✚ *K-Tuple*: A sequence with  $k$  elements.

✚ *Pair*: A 2-tuple is called a *pair*.

✚ *Cartesian product/cross product* of  $A$  and  $B$ , written  $A \times B$ , is the set of all pairs wherein the first element is a member of  $A$  and the second element is a member of  $B$ .

If  $A = \{1, 2\}$  and  $B = \{x, y, z\}$ ,

$$A \times B = \{(1, x), (1, y), (1, z), (2, x), (2, y), (2, z)\}$$

$$A \times A = A^2 = \{(1, 1), (1, 2), (2, 1), (2, 2)\}$$

# Functions

- ✚ A *function* maps an input to an output.
- ✚ Also called *mapping*, written as  $f(a) = b$ , meaning, if  $f$  is a function whose output value is  $b$  when the input value is  $a$ .
- ✚ *Domain*: the set of possible inputs.
- ✚ *Range*: the set of outputs.
- ✚ The notation for saying that  $f$  is a function with domain  $D$  and range  $R$  is  $f : D \rightarrow R$ .
- ✚ *k-ary function*: a function with  $k$  arguments (*arity* of a function).
  - ▣ Input:  $(a_1, a_2, \dots, a_k)$ , a  $k$ -tuple (*argument*).
  - ▣ unary function if  $k = 1$
  - ▣ binary function if  $k = 2$

# Relations

- ⌘ *Predicate (property)* : a function whose range is  $\{\text{TRUE}, \text{FALSE}\}$ .
- ⌘ *Relation*: a property whose domain is a set of  $k$  tuples,  $A^k$  for a set  $A$ .
- ⌘ Relation,  $k$ -ary relation or  $k$ -ary relation on  $A$  is written as  $R(a_1, a_2, \dots, a_k)$ .
- ⌘ *Binary relation*: 2-ary relation. Customary infix notation  $aRb$ , where  $R$  is the relation between the elements  $a$  and  $b$ .
- ⌘ *Inverse* of a binary relation  $R \subseteq A \times B$ , denoted  $R^{-1} \subseteq B \times A$  is simply the relation  $\{(b, a) : (a, b) \in R\}$ .
- ⌘ *Equivalence relation*: two objects being equal
  - ⌘ reflexive:  $\forall x, xRx$ .
  - ⌘ symmetric:  $\forall xy, xRy$  iff  $yRx$
  - ⌘ transitive:  $\forall xyz, xRy$  and  $yRz \Rightarrow xRz$

# Graphs

- A graph consists of a finite set of vertices (nodes) with lines connecting some of them (edges).  $G = (V, E)$ .
- *Undirected graph*:
  - *degree* of a node: the number of edges at a particular node.
  - *path*: a sequence of nodes connected by edges.
    - ◆ *simple path*: a path that doesn't repeat any nodes.
  - *cycle*: a path starts and ends in the same node
  - *tree*: no cycle
    - ◆ *leaves*: nodes of degree 1 in a tree.
    - ◆ *root*: special designated node.
- *Directed graph*:
  - *in-degree* and *out-degree*
  - *directed path*
  - *directed acyclic graph* (DAG)
- *Sub Graph*: Graph  $G$  is a subgraph of graph  $H$ , if the nodes of  $G$  are a subset of the nodes of  $H$  (i.e.  $G.V \subseteq H.V$ ).
- *connected*: every two nodes of a graph have a path between them.
  - *strongly connected*: every 2 nodes of a di-graph have a path between them.



# Strings

- ✚ Strings of characters.
- ✚ *Alphabet*: any finite set,  $\Sigma$  and  $\Gamma$  designate alphabets and a typewriter font for symbols from an alphabet. Example:  $\Sigma_1 = \{0,1\}$ ,  $\Sigma_2 = \{a, x, y, z\}$ ,  $\Gamma = \{0,1, x, z\}$ .
- ✚ A *string over an alphabet*: a finite sequence of symbols from the alphabet. If  $\Sigma_1 = \{0,1\}$ , then 01001 is a string over  $\Sigma_1$ .
- ✚ *Length* of a string  $w$ :  $|w|$ .
- ✚ *Empty* string:  $\varepsilon$ .
- ✚ String  $z$  is a *substring* of string  $w$  if  $z$  appears consecutively within  $w$ . Example:  $z=\text{cad}$ ,  $w=\text{abracadabra}$ .
- ✚ If  $w=xv$  for some  $x$ , then  $v$  is a *suffix* of  $w$ ; If  $w=vy$  for some  $y$ , then  $v$  is a *prefix* of  $w$ .
- ✚ If  $w$  has length  $n$ , we can write  $w = w_1w_2 \dots w_n$  where each  $w_i \in \Sigma$ . *Reverse* of  $w$ , written  $w^R$ , is the string obtained by writing  $w$  in the opposite order (i.e.  $w_nw_{n-1} \dots w_1$ ).
- ✚ *Concatenation* of two strings  $x$  and  $y$ , written  $xy$ , is the string obtained by appending  $y$  to the end of  $x$ , as in  $x_1 \dots x_n y_1 \dots y_n$ . To concatenate a string with itself many times we use the superscript notation  $\overbrace{xx \dots x}^k = x^k$ .

# Languages

- # A *language* is a set of strings.
- # The set of all strings of all lengths, including the empty string, over an alphabet  $\Sigma$  is denoted by  $\Sigma^*$ .
- # *Lexicographic ordering* of strings is the same as the familiar dictionary ordering, expect that shorter strings precede longer strings. Example: Lexicographic ordering of all strings over the alphabet  $\Sigma = \{0,1\}$  is  $(\varepsilon, 0, 1, 00, 01, 10, 11, 000, \dots)$ .
- # A language  $L$  over the alphabet  $A$  is a subset of  $A^*$ .  $L \subseteq A^*$ .

# Proofs

✚ Proof: a convincing logical argument that a statement is true.

✚ convincing in an absolute sense

✚ Methods of proof

✚ *The pigeonhole principle*: there are  $n$  pigeonholes,  $n + 1$  or more pigeons, and every pigeon occupies a hole, then some hole must have at least two pigeons.

✚ *Proof by construction*: Prove a particular type of objects exists by constructing the object.

✚ *Proof by contradiction*: Assume a theorem is false and then show that this assumption leads to a false consequence.

✚ *Proof by induction*: A proof by induction has –

◆ A predicate:  $P$ ,

◆ A basis:  $\exists k, P(k)$  is true,

◆ An induction hypothesis: for some  $n \geq k, P(k), P(k + 1), \dots, P(n)$  are true.

◆ An inductive step:  $P(n + 1)$  is true given the induction hypothesis.