

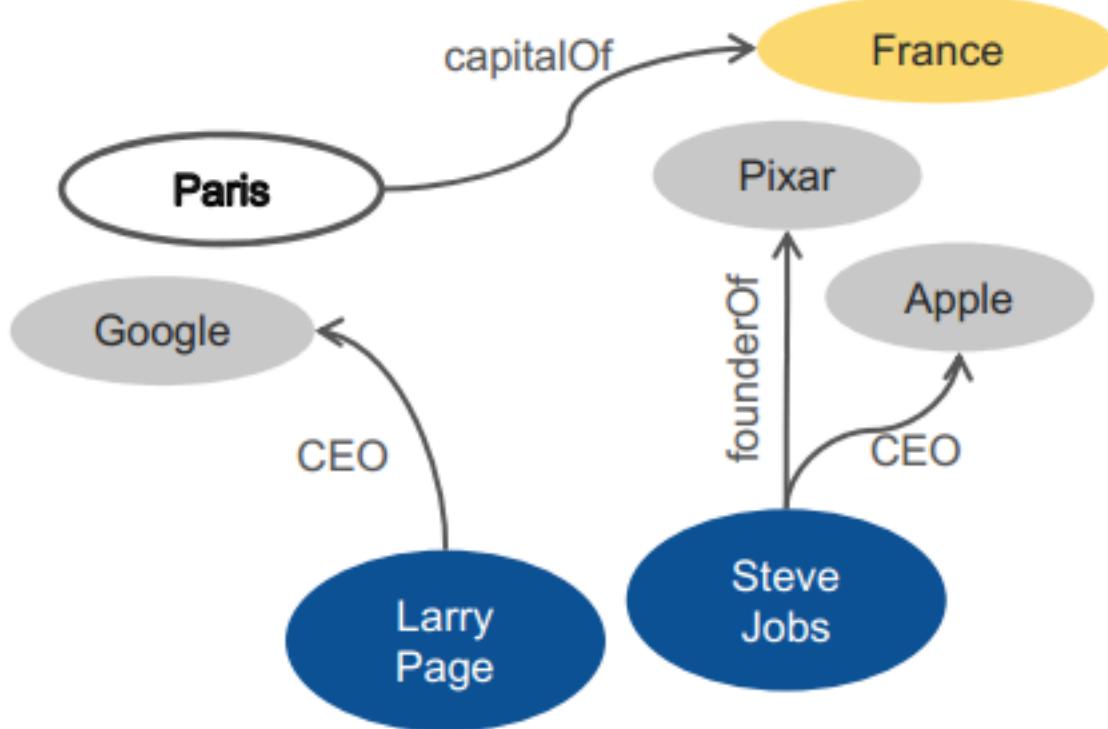
# Exploring the Efficiency of Batch Active Learning for Human-in-the-Loop Relation Extraction

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# Extract relations of interest from free text



Google CEO **Larry Page** announced that...

**Steve Jobs** has been **Apple** for a while...

**Pixar** lost its co-founder **Steve Jobs**...

I went to **Paris**, **France** for the summer...

Useful for:

- knowledge base completion
- social media analysis
- question answering
- ...

# Extract relations of interest from free text

**Task: binary (or multi-class) classification**

sentence  $S = w_1 w_2 \dots e_1 \dots w_j \dots e_2 \dots w_n$        $e_1$  and  $e_2$  entities

The new **iPhone 7 Plus** includes an improved **camera** to take amazing pictures

**Component-Whole( $e_1$  ,  $e_2$  ) ?**

**YES / NO**

It is also possible to include more than two entities as well:

“At codons 12, the occurrence of point mutations from G to T were observed” → point mutation(**codon**, **12**, **G**, **T**)

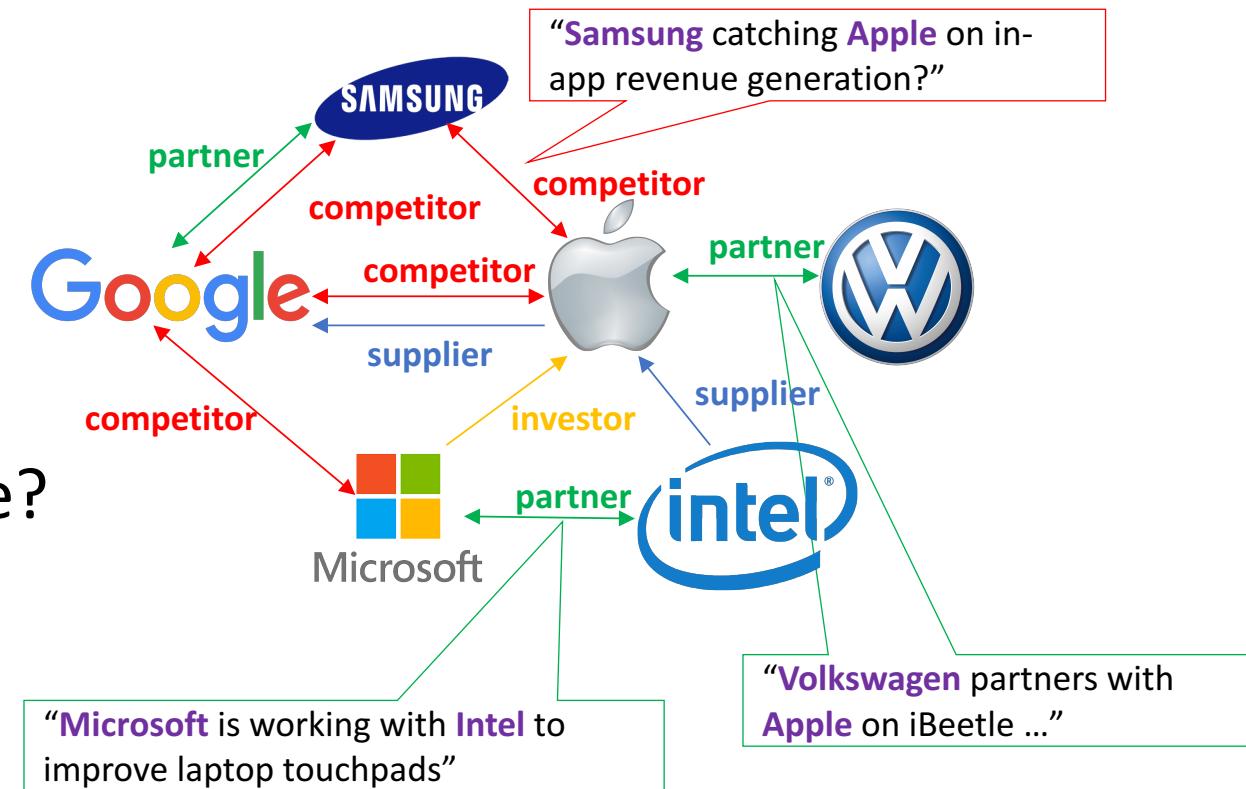
# Challenge: "On-demand" Relation Extraction

Most NLP applications require domain-specific knowledge

Assist in strategic company marketing

Which companies supply Google?

Who is the biggest competitor of Apple?



# Challenge: "On-demand" Relation Extraction

Most NLP applications require domain-specific knowledge

Ideally, we aim to achieve:

- ✓ fast training of any relation
- ✓ according to user-defined requirements
- ✓ under limited annotated data
- ✓ not relying on additional knowledge sources
  - linguistic structured or textual

# Recent state of the art on relation extraction has been focusing on ...

- Incorporating linguistic knowledge in (neural) architectures
- Maximizing performance by means of feature engineering

Requisite: availability of large datasets

**Unfeasible!**

expensive & challenging to acquire **large**  
amounts of **reliable** gold standard training data

the definition of a relation is highly dependent  
on the **task** at hand and on the **view of the user**



# Distant supervision

Exploit large knowledge bases to automatically label entities in text

Assumption: when two entities co-occur in a sentence, a certain relation is expressed

KB

Relation	Entity 1	Entity 2
place of birth	Michael Jackson	Gary
place of birth	Barack Obama	Hawaii
...	...	...



## ***False positives and low tail coverage!***

For many ambiguous relations, **co-occurrence** does not guarantee the existence of the relation

Multi-instance learning methods cannot handle **sentence-level prediction** or bags where all sentences do not describe a relation.

Frequent entities/relations will have good coverage, **tail** ones may not be well represented.

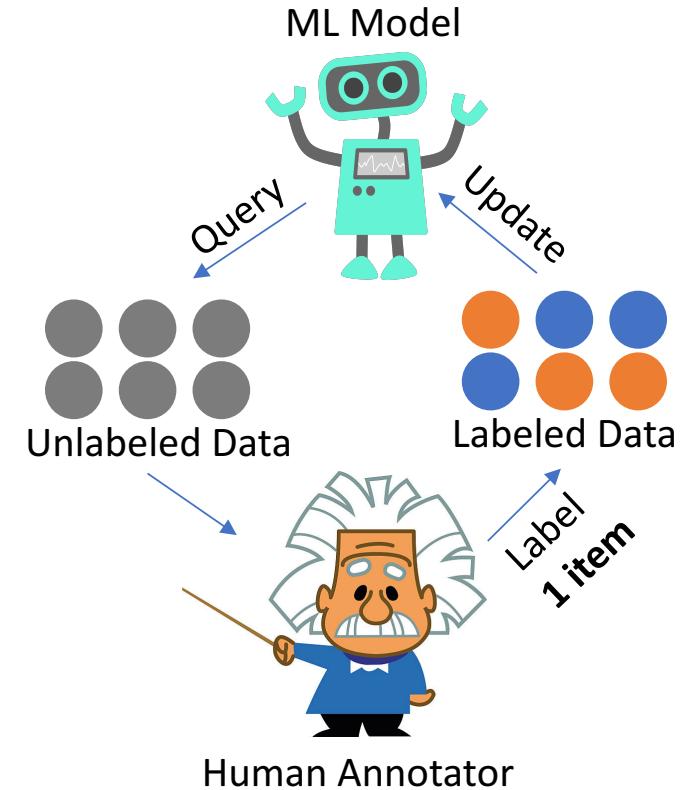
# Active Learning

Find the most **efficient** way to query unlabeled data and learn a classifier with the minimal amount of human supervision.

**Sequential active learning: single instance at each iteration**

When training takes a long time (e.g., **NNs**)

- updating the model after each label is **costly**
  - human annotation time: waiting for the next datum to tag
  - time to update the model and select the next example
  - computing resources
- When local optimization methods are used (e.g., **NNs**)
  - highly unlikely a **single point** to result in **significant impact** on the performance



# Batch Active Learning

**Batch active learning:** select a batch of instances at each iteration

Trade-off between efficiency and performance

- Large batches result in...
  - Less frequent model updates
  - **Increased prediction error**

**Let's explore this trade-off!**

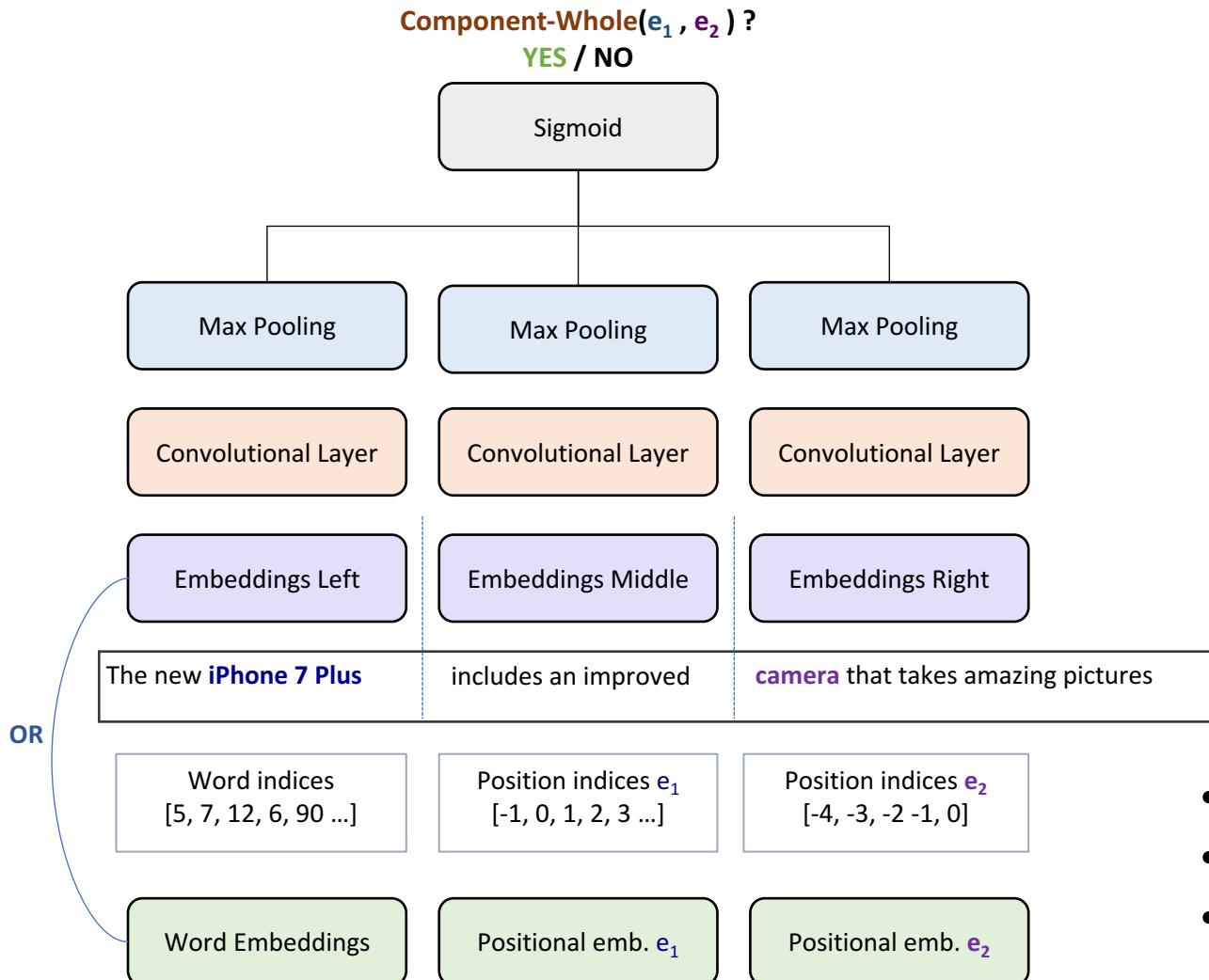
- Train neural models
- For extracting arbitrary user-defined relations
- From potentially infinite pool of unlabeled Web and social stream data



<http://fredgolfrange.com>

**Ultimate goal:** optimize batch size + satisfactory performance + reduce total training time

# Our models and AL methods



**Convolutional Neural Networks (CNNs)** because:

- ✓ highly expressive leading to low training error
- ✓ faster in training than recurrent architectures
- ✓ known to perform well in relation classification

1. **CNNpos**: word sequences and positional features
2. **CNNcontext**: context-wise split sentence

## Active Learning methods

- **us**: (uncertainty) ranking based on model confidence
- **quire**: informativeness + representativeness
- **bald**: Monte Carlo + Dropout for uncertainty

# Evaluation datasets

Dataset	#examples	Relations
Semeval10 Task 8	10,717	9 types: Entity-Origin, Message-Topic, etc.
CausalADEs	1,420	causal drug-ADE relations from medical forum posts

## Semeval10 Task 8

Cause-Effect, Component-Whole, Content-Container, Entity-Destination, Entity-Origin, Instrument-Agency, Member-Collection, Message-Topic, Product-Producer, “Other”

## CausalADEs

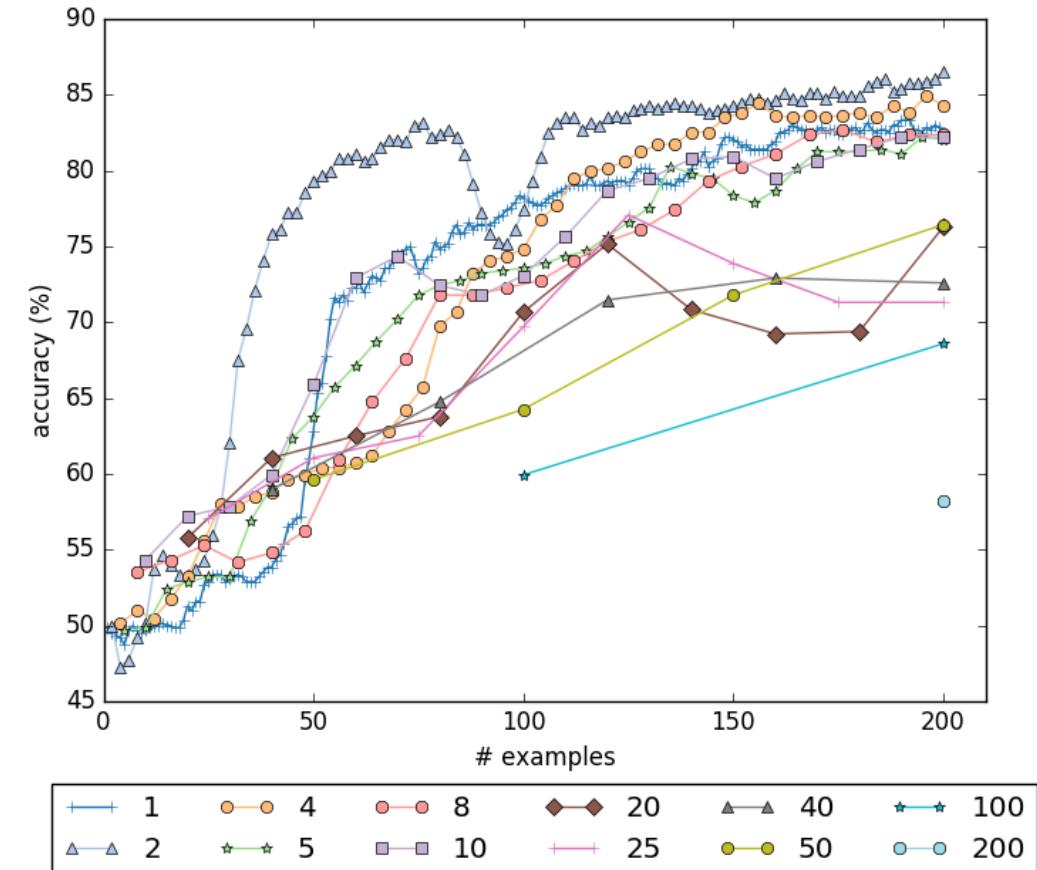
CSIRO Adverse Drug Event Corpus (Cadec)  
medical forum posts on patient reported Adverse Drug Events  
posts tagged based on mentions of certain drugs, ADRs, symptoms, findings etc.  
We annotate a corpus similar to CADEC for **causal relationships** between drugs and ADEs

# Varying the batch size in cold-start scenarios

No annotated data available

Start human annotation as quickly as possible

- Bigger batch → lower performance
- Small increase on the batch size is okay
  - By the time you've scored 200 examples, batches of 5 or 10 do nearly as well as anything else.
- High variance on the beginning
  - We need enough examples to “span the space” and to avoid overfitting



A look at the impact of batch size on training rate for one active learning strategy, one neural structure on one task.  
Note that the best strategy in this case is two at a time.

# ... But how to select the initial batch?



Rank data based on **unsupervised** text based criteria  
Select top ranked ones as initial training examples

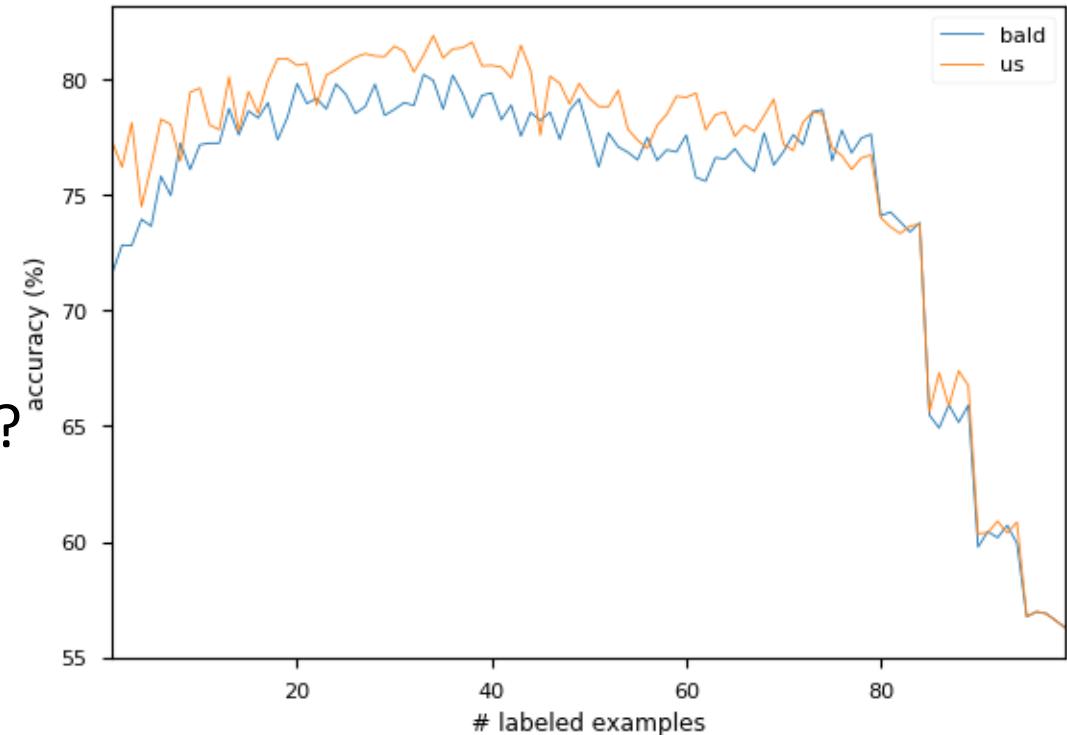
Maximize **linguistic dissimilarity (LD)** between sentences  
(by utilizing Glove embeddings)

How large initial batch should be for good results?

1. Vary the size of the initial batch generated via LD
2. Fixed batch size for subsequent iterations at 5
3. Continue the process until we hit our budget constraint

Optimal initial batch  $\sim$  30 labeled examples

- < 20: overfitting initial training batch
- > 40: AL unable to focus on the regions of confusion



An exploration of the impact of initial batch size.  
For our datasets an initial batch of 30 seems like a  
good place to start. This plot is the average of 10  
datasets with CNNcontext as our classification model

# And what about batch size for next runs?

## Strong preference for larger batches

Computing the next “batch” & loading it into the UI for the SME to score takes time

## Larger batch: negative impact on performance

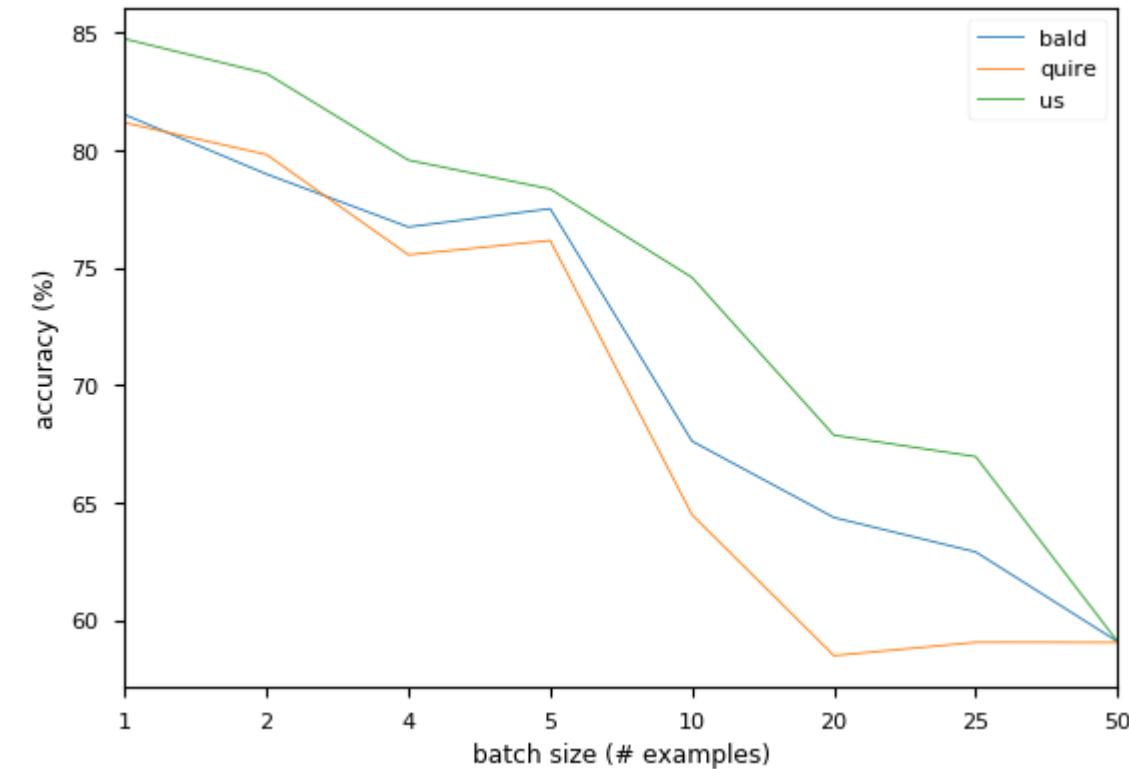
Best performance is when using batch size of 1

Real drop seems to be after 5

(which only loses 5% compared to the batch size of 1)

If your system has a finite cost associated with generating batches this may be good place to stop

A default batch size of 5 examples seems to be a good compromise between efficiency of example generation and speed of learning



CNNcontext model trained under different active learning methods. This is a look at the performance after 50 examples have been scored. Compared to the fully sequential approach of one example at a time, there is approximately only 5% decrease in the performance of using a slightly larger batch size of 5 examples.

# Interleaving to reduce waiting time

Computing the next “batch” & loading it into the UI for the SME to score takes time

Workflow for a single item batch:

- (1) User spends 5 seconds scoring a single example
- (2) System spends 25 seconds getting next examples
- (3) Repeat

**Over 80% of the time the user is waiting!**

Even with batch size of 5, 1/2 of the user time spend waiting

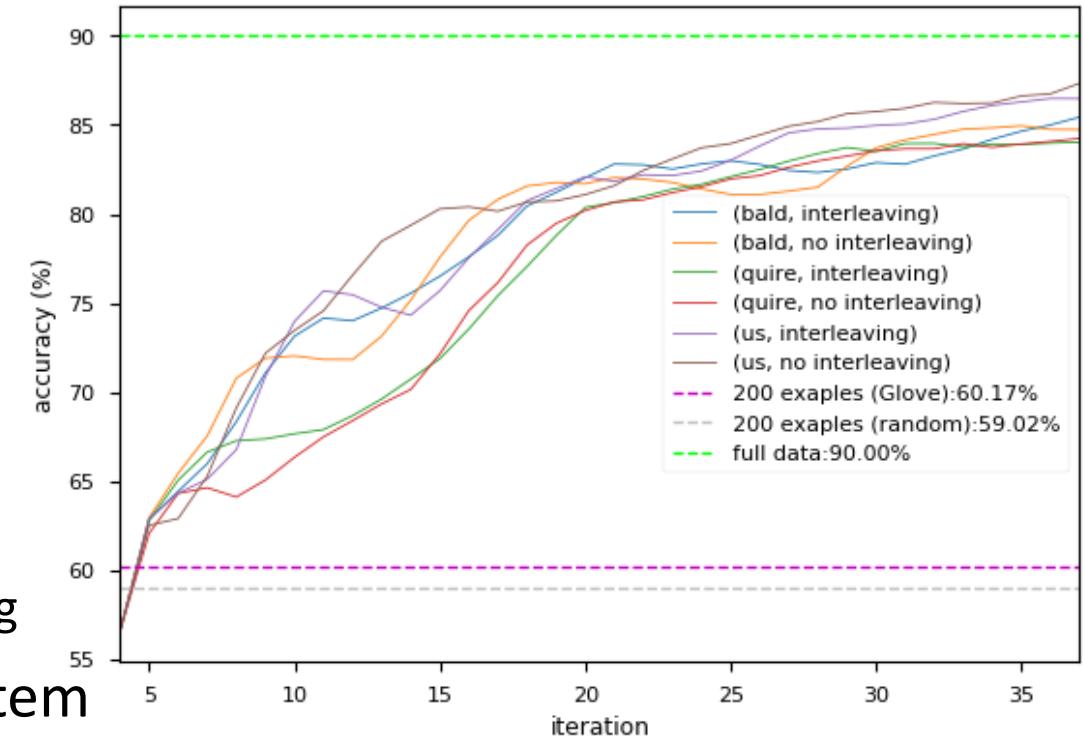
**Annotation time is the largest cost in a HuML system**

In an **ideal** world they would be **scoring constantly**.

**Interleaving: Keep last unlabeled batch for future scoring**

Use  $B_0 \dots B_{n-2}$  batches to produce next batch  $B_n$

User scores batch  $B_{n-1}$  while system ranks the next batch  $B_n$

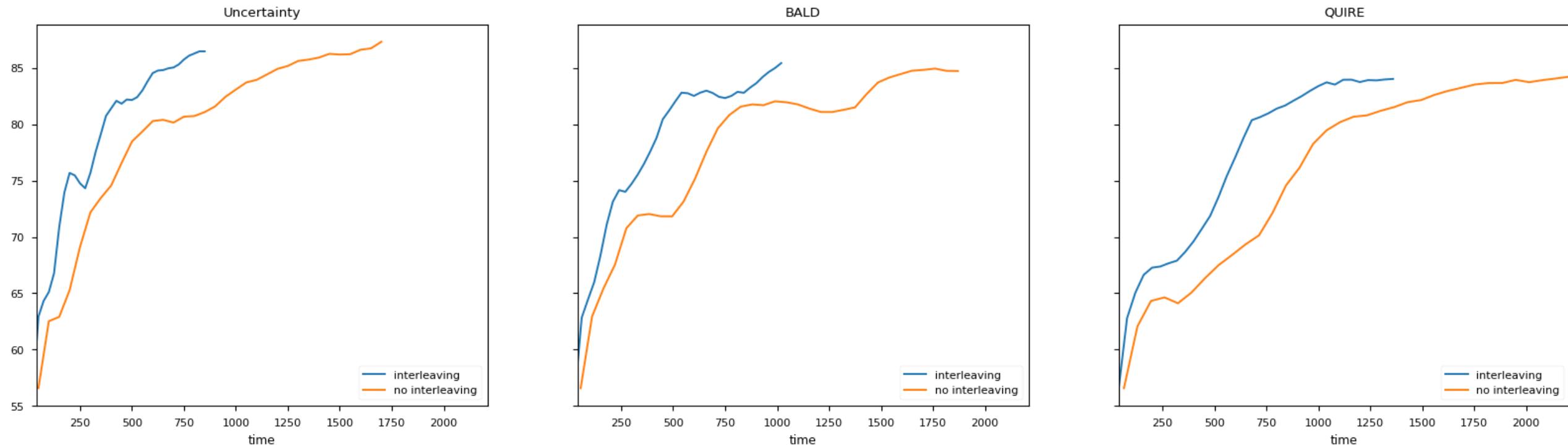


Comparison of interleaving and classic training sessions

Trained on only 20% of the data: 86% accuracy

Training with all data: 90% accuracy

# Interleaving to reduce waiting time (2)



- ✓ **Continuous** human work
- ✓ Comparable performance, in  $\approx 50\%$  less training time, irrespective of the AL method

# Conclusions & Future Work

**Ultimate goal:** optimize batch size + satisfactory performance + reduce total training time

- Analysis of batch AL vs. sequential AL
- Competitive performance for extracting relations with very little annotated data
  - Larger initial batch size, chosen with **unsupervised curriculum learning**
  - **Interleaving** to reduce human annotation waiting time

## Future work

- + Expand analysis to other tasks (we have focused on RE so far)
- + Adaptive batch size AL: dynamically update batch size between iterations
- + Non-perfect labelers: how the optimal batch size varies w.r.t. labeling noise?
- + Blending semi-supervised with batch AL
- + Meta-learning approaches, i.e. learning the best AL strategy

# References

- [1] I. Lourentzou, D. Gruhl, S. Welch, "Exploring the Eciency of Batch Active Learning for Human-in-the-Loop Relation Extraction", HuML@WebConf 2018 (*this work*)
- [2] I. Lourentzou, A. Gentile, D. Gruhl, A. Coden, A. Alba, S. Welch, "Mining Relations from Unstructured Content", PAKDD 2018
- [2] S. Burr, "Active learning" *Synthesis Lectures on Artificial Intelligence and Machine Learning* 2012
- [3] H. Adel, B. Roth, and H. Schütze, "Comparing convolutional neural networks to traditional models for slot filling" NAACL-HLT, 2016.



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