

CSL 101 DISCRETE MATHEMATICS

LECTURE 3-4

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SOME MORE FACT ON COUNTABILITY

Theorem 2: Let A be a countably infinite set, and B an infinite subset of A . Then B is countable.

Proof

- let $f : \mathbb{N} \rightarrow A$ be a bijection witnessing that A is countable. We want to construct a bijection $g : \mathbb{N} \rightarrow B$.
- Let $k_1 = \min \{k \in \mathbb{N} : f(k) \in B\}$. That is, k_1 is the smallest number that gets mapped into B by f .
- Define $g(1) := f(k_1)$. We proceed inductively from here.
- Assume we have defined $g(1), g(2), \dots, g(n)$.
- Let $k_{n+1} = \min \{k \in \mathbb{N} : f(k) \in B \setminus \{g(1); \dots; g(n)\}\}$
- Prove that $g: \mathbb{N} \rightarrow B$ is a bijection

UNCOUNTABLE SETS

The closed interval $[0,1]$ is uncountable

Proof:

Suppose that there exists a bijection from $r:\mathbb{N}\rightarrow[0,1]$

Then we will be able to list down all the real numbers in $[0,1]$ as follows

$$\begin{aligned} r_1 &= 0.d_{11}d_{12}d_{13}d_{14} \dots \\ r_2 &= 0.d_{21}d_{22}d_{23}d_{24} \dots \\ r_3 &= 0.d_{31}d_{32}d_{33}d_{34} \dots \\ r_4 &= 0.d_{41}d_{42}d_{43}d_{44} \dots \\ &\vdots \end{aligned}$$

where $d_{ij} \in \{0, 1, 2, 3, 4, 5, 6, 7, 8, 9\}$. (For example, if $r_1 = 0.23794102\dots$, we have $d_{11} = 2$, $d_{12} = 3$, $d_{13} = 7$, and so on.) Then, form a new real number with decimal expansion

$r = 0.d_1d_2d_3d_4\dots$, where the decimal digits are determined by the following rule:

$$\begin{aligned} d_i &= 1 \text{ if } d_{ii} \neq 1 \\ &= 2 \text{ if } d_{ii} = 0 \end{aligned}$$

Note that r is not same as any of r_i in the above list.

BASIC PROOF TECHNIQUES

This Lecture

We are going to apply the logical rules in proving mathematical theorems.

- Direct proof
- Contrapositive
- Proof by contradiction
- Proof by cases

Basic Definitions

An integer n is an **even** number
if there exists an integer k such that $n = 2k$.

An integer n is an **odd** number
if there exists an integer k such that $n = 2k+1$.

Direct Proofs

Goal: If P, then Q. (P implies Q)

Method 1: Write assume P, then show that Q logically follows.

Claim: If $0 \leq x \leq 2$, then $-x^3 + 4x + 1 > 0$

Reasoning: When $x=0$, it is true.

When x grows, $4x$ grows faster than x^3 in that range.

Proof: $-x^3 + 4x + 1 = x(2 - x)(2 + x) + 1$

When $0 \leq x \leq 2$, $x(2 - x)(2 + x) \geq 0$ \square

Direct Proofs

The sum of two even numbers is even.

Proof

$$\begin{aligned}x &= 2m, y = 2n \\x+y &= 2m+2n \\&= 2(m+n)\end{aligned}$$

The product of two odd numbers is odd.

Proof

$$\begin{aligned}x &= 2m+1, y = 2n+1 \\xy &= (2m+1)(2n+1) \\&= 4mn + 2m + 2n + 1 \\&= 2(2mn+m+n) + 1.\end{aligned}$$

This Lecture

- Direct proof
- **Contrapositive**
- Proof by contradiction
- Proof by cases

Proving an Implication

Goal: If P, then Q. (P implies Q)

Method 2: Prove the *contrapositive*, i.e. prove “not Q implies not P”.

Claim: If r is irrational, then \sqrt{r} is irrational.

Proof:

We shall prove the contrapositive –

“if \sqrt{r} is rational, then r is rational.”

Since \sqrt{r} is rational, $\sqrt{r} = a/b$ for some integers a, b .

So $r = a^2/b^2$. Since a, b are integers, a^2, b^2 are integers.

Therefore, r is rational. \square Q.E.D.

(Q.E.D.)

"which was to be demonstrated", or “quite easily done”. ☺

Proving an “if and only if”

Goal: Prove that two statements P and Q are “logically equivalent”, that is, one holds if and only if the other holds.

Example:

An integer is even if and only if its square is even.

Method 1: Prove P implies Q and Q implies P .

Method 1': Prove P implies Q and not P implies not Q .

Method 2: Construct a chain of if and only if statements.

Proof the Contrapositive

An integer is even if and only if its square is even.

Method 1: Prove P implies Q **and** Q implies P.

Statement: If m is even, then m^2 is even

Proof: $m = 2k$

$$m^2 = 4k^2$$

Statement: If m^2 is even, then m is even

Proof: $m^2 = 2k$

$$m = \sqrt{2k}$$

??

Proof the Contrapositive

An integer is even if and only if its square is even.

Method 1': Prove P implies Q **and** not P implies not Q .

Statement: If m^2 is even, then m is even

Contrapositive: If m is odd, then m^2 is odd.

Proof (the contrapositive):

Since m is an odd number, $m = 2k+1$ for some integer k .

$$\begin{aligned}\text{So } m^2 &= (2k+1)^2 \\ &= (2k)^2 + 2(2k) + 1\end{aligned}$$

So m^2 is an odd number.

This Lecture

- Direct proof
- Contrapositive
- Proof by contradiction
- Proof by cases

Proof by Contradiction

Theorem: $\sqrt{2}$ is irrational.

Proof (by contradiction):

- Suppose $\sqrt{2}$ was rational.
- Choose m, n integers **without common prime factors** (always possible)

such that
$$\sqrt{2} = \frac{m}{n}$$

- Show that m and n are both even, thus having a common factor 2, a **contradiction!**

Proof by Contradiction

Theorem: $\sqrt{2}$ irrational.

Proof (by contradiction):

Want to prove both m and n are even.

$$\sqrt{2} = \frac{m}{n}$$

$$\sqrt{2}n = m$$

$$2n^2 = m^2$$

so m is even.

so can assume $m = 2l$

$$m^2 = 4l^2$$

$$2n^2 = 4l^2$$

$$n^2 = 2l^2$$

so n is even.

Infinitude of the Primes

Theorem. There are infinitely many prime numbers.

Proof (by contradiction):

Assume there are only finitely many primes.

Let p_1, p_2, \dots, p_N be all the primes.

We will construct a number N so that N is not divisible by any p_i .

By our assumption, it means that N is not divisible by any prime number.

On the other hand, we show that any number must be divisible by *some* prime.

It leads to a contradiction, and therefore the assumption must be false.

So there must be infinitely many primes.

Infinitude of the Primes

Theorem. There are infinitely many prime numbers.

Proof (by contradiction):

Let p_1, p_2, \dots, p_N be all the primes.

Consider $p_1 p_2 \dots p_N + 1$.

Claim: if p divides a , then p does not divide $a+1$.

Proof (by contradiction):

$a = cp$ for some integer c

$a+1 = dp$ for some integer d

$\Rightarrow 1 = (d-c)p$, contradiction because $p \geq 2$.

So none of p_1, p_2, \dots, p_N can divide $p_1 p_2 \dots p_N + 1$, a contradiction.

This Lecture

- Direct proof
- Contrapositive
- Proof by contradiction
- Proof by cases

The Square of an Odd Integer

$$\forall \text{ odd } n, \exists m, n^2 = 8m + 1?$$

Idea 0: find counterexample.

$$3^2 = 9 = 8+1, \quad 5^2 = 25 = 3 \times 8 + 1 \quad \dots\dots \quad 131^2 = 17161 = 2145 \times 8 + 1, \dots\dots\dots$$

Idea 1: prove that $n^2 - 1$ is divisible by 8.

$$n^2 - 1 = (n-1)(n+1) = ??\dots$$

Idea 2: consider $(2k+1)^2$

$$(2k+1)^2 = 4k^2 + 4k + 1$$

If k is even, then both k^2 and k are even, and so we are done.

If k is odd, then both k^2 and k are odd, and so $k^2 + k$ even, also done.

This Lecture

Last time we have discussed different proof techniques.

This time we will focus on probably the most important one

– mathematical induction.

This lecture's plan is to go through the following:

- The idea of mathematical induction
- Basic induction proofs (e.g. equality, inequality, property, etc)
- An interesting example

Odd Powers Are Odd

Fact: If m is odd and n is odd, then nm is odd.

Proposition: for an odd number m , m^k is odd for all non-negative integer k .

$$\forall k \in \mathbb{N} \text{ odd}(m^k)$$

Let $P(i)$ be the proposition that m^i is odd.

$$\forall k \in \mathbb{N} P(k)$$

Idea of induction.

- $P(1)$ is true by definition.
- $P(2)$ is true by $P(1)$ and the fact.
- $P(3)$ is true by $P(2)$ and the fact.
- $P(i+1)$ is true by $P(i)$ and the fact.
- So $P(i)$ is true for all i .

Divisibility by a Prime

Theorem. Any integer $n > 1$ is divisible by a prime number.

- Let n be an integer.
- If n is a prime number, then we are done.
- Otherwise, $n = ab$, both are smaller than n .
- If a or b is a prime number, then we are done.
- Otherwise, $a = cd$, both are smaller than a .
- If c or d is a prime number, then we are done.
- Otherwise, repeat this argument, since the numbers are getting smaller and smaller, this will eventually stop and we have found a prime factor of n .

Idea of induction.

Idea of Induction

Objective: Prove $\forall n \geq 0 \ P(n)$

This is to prove

$$\underline{P(0)} \wedge \underline{P(1)} \wedge \underline{P(2)} \wedge \dots \wedge \underline{P(n)} \dots$$

The diagram shows the sequence of propositions $P(0) \wedge P(1) \wedge P(2) \wedge \dots \wedge P(n) \dots$. Each term $P(k)$ is underlined. Red curved arrows connect the underlined terms, starting from $P(0)$ and pointing to $P(1)$, then from $P(1)$ to $P(2)$, and so on, illustrating the inductive step where the truth of $P(k)$ is used to prove $P(k+1)$.

The idea of induction is to first prove $P(0)$ unconditionally,
then use $P(0)$ to prove $P(1)$
then use $P(1)$ to prove $P(2)$
and repeat this to infinity...

The Induction Rule

0 and (from n to $n+1$),
proves $0, 1, 2, 3, \dots$

Very easy
to prove

Much easier to
prove with $P(n)$ as
an assumption.

$$P(0), P(n) \Rightarrow P(n+1)$$

$$\forall m \in \mathbb{N}. P(m)$$

For any $n \geq 0$

Like domino effect...



This Lecture

- The idea of mathematical induction
- Basic induction proofs (e.g. equality, inequality, property, etc)
- An interesting example
- A paradox

Proof by Induction

Let's prove:

$$\forall r \neq 1. 1 + r + r^2 + \dots + r^n = \frac{r^{n+1} - 1}{r - 1}$$

Statements in **green** form a template for inductive proofs.

Proof: (by induction on n)

The induction hypothesis, $P(n)$, is:

$$\forall r \neq 1. 1 + r + r^2 + \dots + r^n = \frac{r^{n+1} - 1}{r - 1}$$

Proof by Induction

Induction Step: Assume $P(n)$ for some $n \geq 0$ and prove $P(n + 1)$:

$$\forall r \neq 1. \quad 1 + r + r^2 + \cdots + r^{n+1} = \frac{r^{(n+1)+1} - 1}{r - 1}$$

Have $P(n)$ by assumption:

So let r be any number $\neq 1$, then from $P(n)$ we have

$$1 + r + r^2 + \cdots + r^n = \frac{r^{n+1} - 1}{r - 1}$$

How do we proceed?

Proof by Induction

adding r^{n+1} to both sides,

$$\begin{aligned} 1 + \cdots + r^n + r^{n+1} &= \frac{r^{n+1} - 1}{r - 1} + r^{n+1} \\ &= \frac{r^{n+1} - 1 + r^{n+1}(r - 1)}{r - 1} \\ &= \frac{r^{(n+1)+1} - 1}{r - 1} \end{aligned}$$

But since $r \neq 1$ was arbitrary, we conclude (by UG), that

$$\forall r \neq 1. \quad 1 + r + r^2 + \cdots + r^{n+1} = \frac{r^{(n+1)+1} - 1}{r - 1}$$

which is $P(n+1)$. This completes the induction proof.

Proving an Equality

$$\forall n \geq 1 \quad 1^3 + 2^3 + \dots + n^3 = \left(\frac{n(n+1)}{2}\right)^2$$

Let $P(n)$ be the induction hypothesis that the statement is true for n .

Base case: $P(1)$ is true

Induction step: assume $P(n)$ is true, prove $P(n+1)$ is true.

$$\begin{aligned} & 1^3 + 2^3 + \dots + n^3 + (n+1)^3 \\ &= \left(\frac{n(n+1)}{2}\right)^2 + (n+1)^3 && \text{by induction} \\ &= (n+1)^2(n^2/4 + n + 1) \\ &= (n+1)^2\left(\frac{n^2 + 4n + 4}{4}\right) = \left(\frac{(n+1)(n+2)}{2}\right)^2 \end{aligned}$$

Proving a Property

$$\forall n \geq 1, \quad 2^{2n} - 1 \text{ is divisible by } 3$$

Base Case ($n = 1$): $2^{2n} - 1 = 2^2 - 1 = 3$

Induction Step: Assume $P(i)$ for some $i \geq 1$ and prove $P(i + 1)$:

Assume $2^{2i} - 1$ is divisible by 3, prove $2^{2(i+1)} - 1$ is divisible by 3.

$$\begin{aligned} 2^{2(i+1)} - 1 &= 2^{2i+2} - 1 \\ &= 4 \cdot 2^{2i} - 1 \\ &= \underbrace{3 \cdot 2^{2i}}_{\text{Divisible by 3}} + \underbrace{2^{2i} - 1}_{\text{Divisible by 3 by induction}} \end{aligned}$$

Divisible by 3

Divisible by 3 by induction

Proving a Property

$$\forall n \geq 2, \quad n^3 - n \text{ is divisible by } 6$$

Base Case ($n = 2$): $2^3 - 2 = 6$

Induction Step: Assume $P(i)$ for some $i \geq 2$ and prove $P(i + 1)$:

Assume $n^3 - n$ is divisible by 6

Prove $(n + 1)^3 - (n + 1)$ is divisible by 6.

$$\begin{aligned}(n + 1)^3 - (n + 1) &= (n^3 + 3n^2 + 3n + 1) - (n + 1) \\ &= (n^3 - n) + 3(n^2 + n)\end{aligned}$$

Divisible by 6
by induction

Divisible by 2
by case analysis

Proving an Inequality

$$\forall n \geq 3, \quad 2n + 1 < 2^n$$

Base Case ($n = 3$): $2n + 1 = 7 < 2^n = 2^3 = 8$

Induction Step: Assume $P(i)$ for some $i \geq 3$ and prove $P(i + 1)$:

Assume $2i + 1 < 2^i$, prove $2(i + 1) + 1 < 2^{(i+1)}$

$$2(i + 1) + 1 = 2i + 1 + 2$$

$$< 2^i + 2 \quad \text{by induction}$$

$$< 2^i + 2^i \quad \text{since } i \geq 3$$

$$= 2^{(i+1)}$$

Proving an Inequality

$$\forall n \geq 2, \quad \frac{1}{\sqrt{1}} + \frac{1}{\sqrt{2}} + \dots + \frac{1}{\sqrt{n}} > \sqrt{n}$$

Base Case ($n = 2$): is true

Induction Step: Assume $P(i)$ for some $i \geq 2$ and prove $P(i + 1)$:

$$\begin{aligned} & \frac{1}{\sqrt{1}} + \frac{1}{\sqrt{2}} + \dots + \frac{1}{\sqrt{n}} + \frac{1}{\sqrt{n+1}} \\ & > \sqrt{n} + \frac{1}{\sqrt{n+1}} \end{aligned} \quad \text{by induction}$$

$$= \frac{\sqrt{n}\sqrt{n+1} + 1}{\sqrt{n+1}}$$

$$> \frac{\sqrt{n}\sqrt{n} + 1}{\sqrt{n+1}} = \frac{n+1}{\sqrt{n+1}}$$

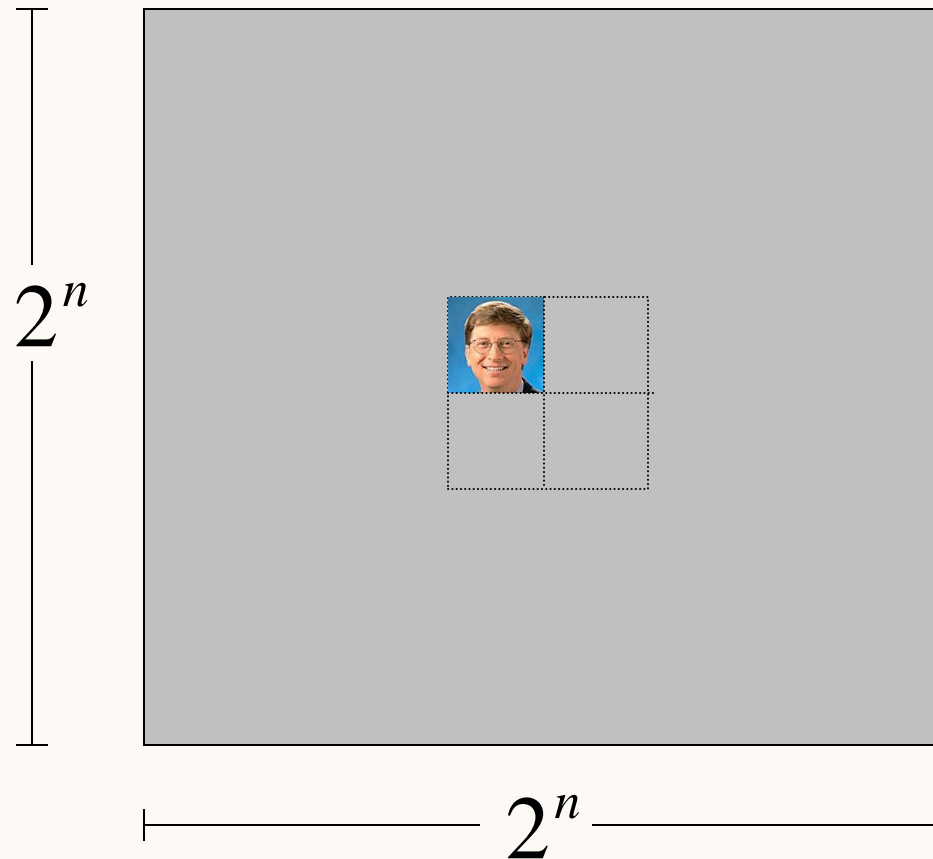
$$= \sqrt{n+1}$$

This Lecture

- The idea of mathematical induction
- Basic induction proofs (e.g. equality, inequality, property, etc)
- An interesting example
- A paradox

Puzzle

Goal: tile the squares, except one in the middle for Bill.

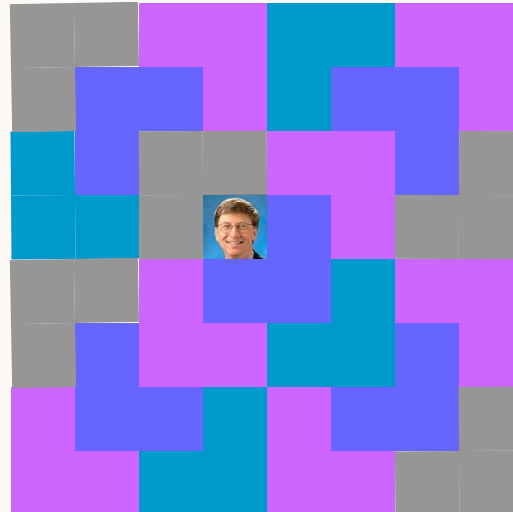


Puzzle

There are only L-shaped tiles covering three squares:



For example, for 8 x 8 puzzle might tile for Bill this way:



Puzzle

Theorem: For any $2^n \times 2^n$ puzzle, there is a tiling with Bill in the middle.

Did you remember that we proved $2^{2n} - 1$ is divisible by 3?

Proof: (by induction on n)

$P(n) ::=$ can tile $2^n \times 2^n$ with Bill in middle.

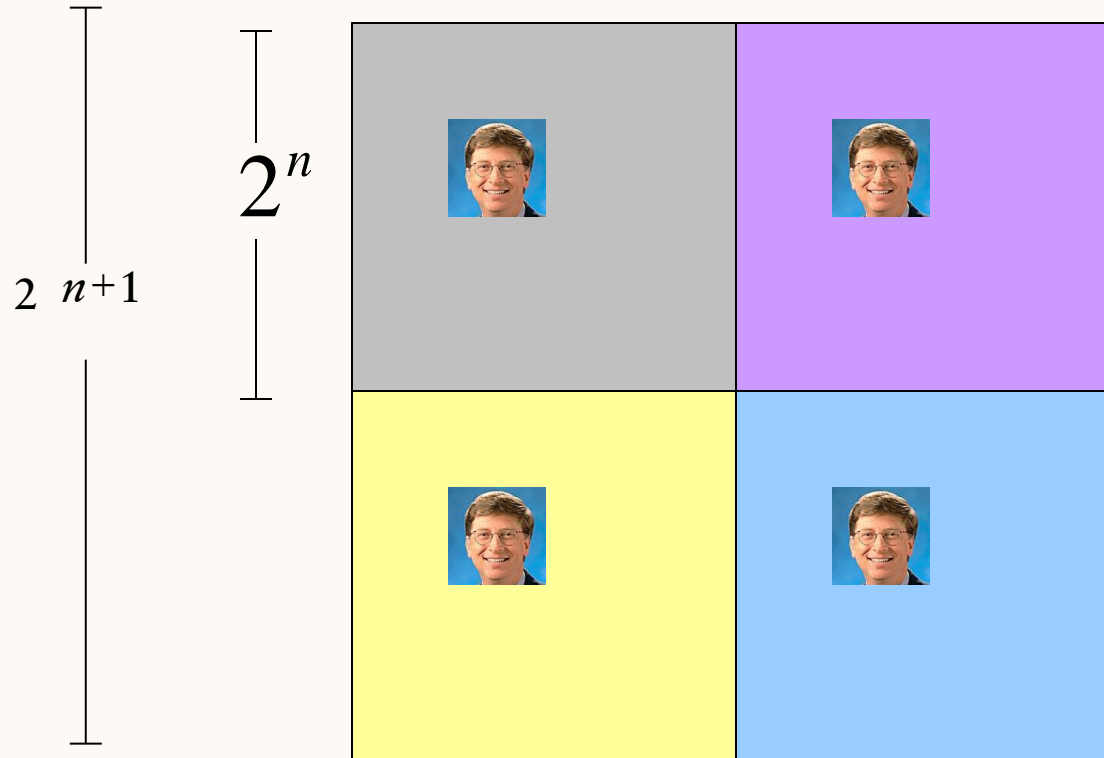
Base case: ($n=0$)



(no tiles needed)

Puzzle

Induction step: assume can tile $2^n \times 2^n$,
prove can handle $2^{n+1} \times 2^{n+1}$.



Puzzle

The new idea:

A stronger property

Prove that we can always find a tiling with Bill **anywhere**.

Theorem B: For any $2^n \times 2^n$ puzzle, there is a tiling with Bill **anywhere**.

Clearly Theorem B implies Theorem.

Theorem: For any $2^n \times 2^n$ puzzle, there is a tiling with Bill in the middle.

Puzzle

Theorem B: For any $2^n \times 2^n$ puzzle, there is a tiling with Bill anywhere.

Proof: (by induction on n)

$P(n) ::=$ can tile $2^n \times 2^n$ with Bill anywhere.

Base case: ($n=0$)



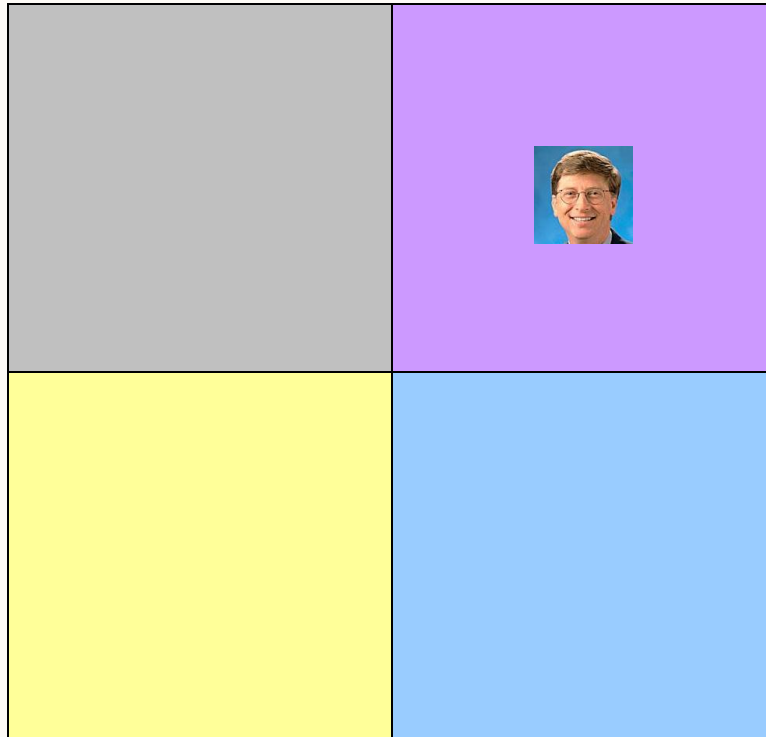
(no tiles needed)

Puzzle

Induction step:

Assume we can get Bill anywhere in $2^n \times 2^n$.

Prove we can get Bill anywhere in $2^{n+1} \times 2^{n+1}$.

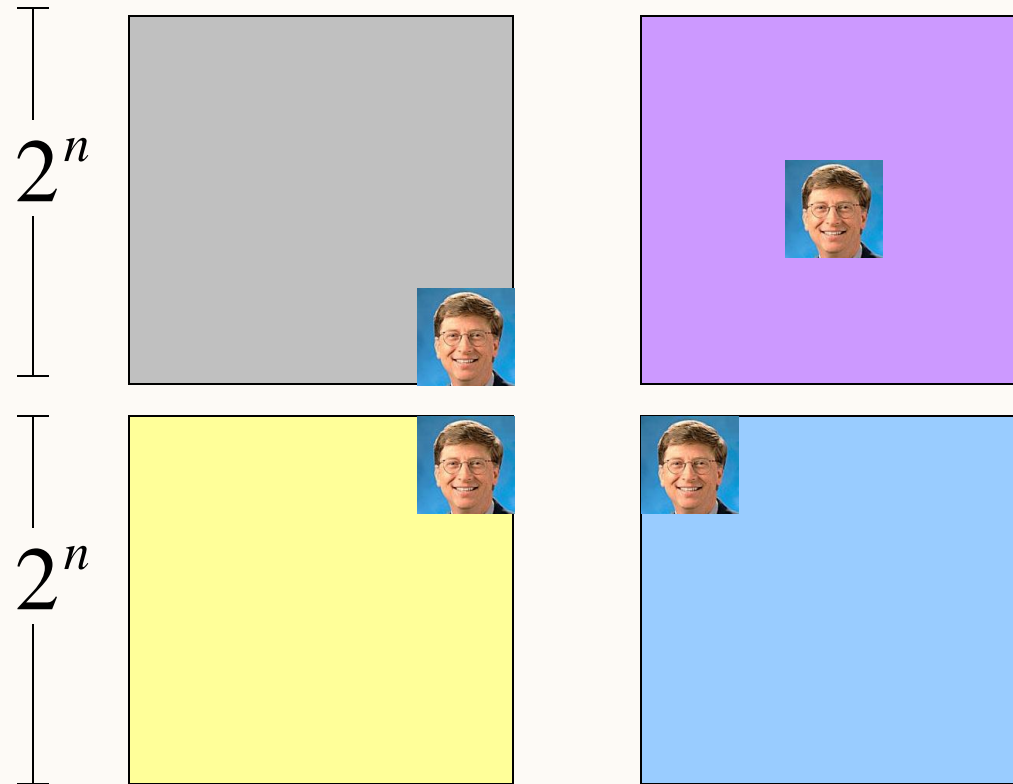


Puzzle

Induction step:

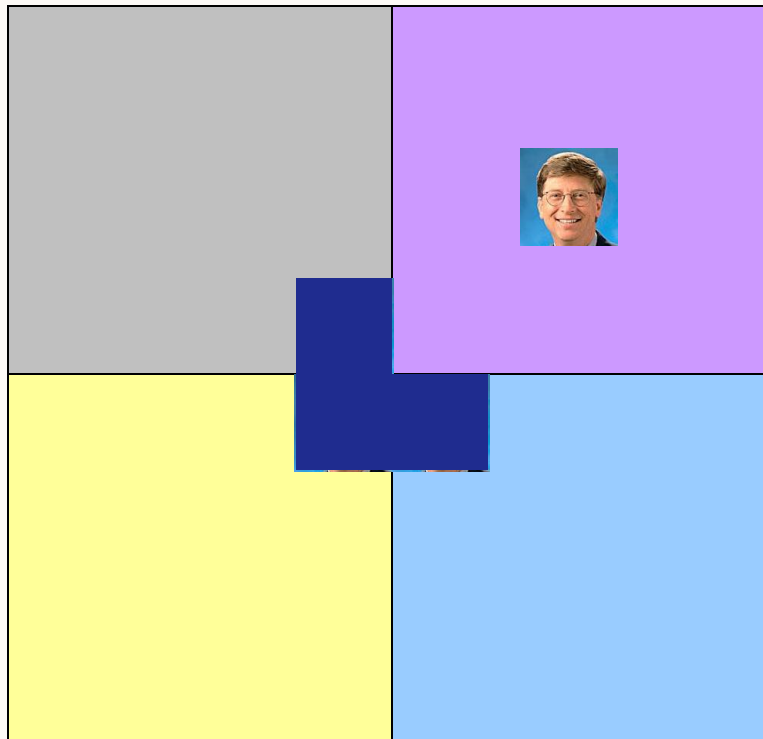
Assume we can get Bill **anywhere in** $2^n \times 2^n$.

Prove we can get Bill anywhere in $2^{n+1} \times 2^{n+1}$.



Puzzle

Method: Now group the squares together,
and fill the center with a tile.



Done!