

# Thank you, next: partner turnover elevates benefits of mutualism for an ant-tended plant

Alexandra Campbell<sup>1,\*</sup>

Tom E.X. Miller<sup>1</sup>

1. Program in Ecology and Evolutionary Biology, Department of BioSciences, Rice University, Houston, Texas 77005;

\* Corresponding author; e-mail: amc49@rice.edu.

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## **Abstract**

## Introduction

3 Mutualisms are species interactions where all participants benefit, leading to higher individual fitness and increased population growth rates. They are among the most widespread species interactions (???), but can deteriorate into commensalism or parasitism (????). Mutualisms are  
6 considered more context dependent than other species interactions (??), meaning the magnitude and sign of interaction strength are often determined by environmental conditions and species' identities.

9 Mutualism is defined at the level of a species pair (+/+ ) but these interactions are embedded within multi-species communities, and growing evidence suggests that pairwise interactions are poor predictors of the net effects of multi-species mutualism (??). A focal mutualist may interact  
12 with multiple guilds of partner types (e.g., plants that interact with pollinators, seed dispersers, soil microbes, and ant defenders) or with multiple partner species within the same guild (e.g., plants visited by multiple pollinator species). Within a mutualist guild, partner species often  
15 differ in the amount or type of goods or services they provide, making partner identity an important source of contingency in mutualism (?). Whether and how partner diversity modifies the demographic effects of mutualistic interactions remain open questions within relevance in  
18 applied settings (?).

There are multiple mechanisms by which partner diversity can influence the net benefits accrued by a focal mutualist – mirroring the mechanisms by which, at a larger scale of organization,  
21 biodiversity can influence ecosystem function (cite BEF chapter). First, when there is a hierarchy of fitness effects – a consistent ranking of best to worst mutualists – a more diverse sample of the partner community may be more likely to include the best partner ?. This can lead to  
24 an apparent benefit of diversity driven by a sampling effect ?. However, if partner associations are mutually exclusive then partner diversity may impose opportunity costs, leading to negative effects of a diverse mutualist assemblage relative to exclusive association with the single best  
27 partner (?). Second, even within a single mutualist guild, the benefits conferred by alternative

partner species can vary in type, and not just degree ????. This can lead to a positive effect of partner diversity through complementarity of alternative functions ?. Interference or synergies  
30 between partners can make their combined effect different than the expected from the sum of complementary functions (cite). Third, partner species can have species-specific responses to the environment, either spatially (?) or temporally (?). Multiple partners can therefore act as a 'portfolio'  
33 folio' that stabilizes fitness benefits across spatial or temporal heterogeneity, leading to positive effects of partner diversity through the portfolio effect ??.

Partner diversity can have different effects depending on whether partners are present all at  
36 once or sequentially (partner turnover) (???). Sequential associations are likely when alternative partners engage in interference competition for access to a shared mutualist (cite examples, including non-ant-plant examples). Turnover can happen at different timescales, from minutes to  
39 years (??). The frequency of partner turnover can impact the level of benefits received by the focal mutualist, particularly if the benefits continue to accumulate (e.g., when sequential partners provide complementary functions) or if they saturate over time (?). Directionality of turnover  
42 can also influence diversity effects, particularly if partner identity changes consistently across ontogeny of a focal mutualist (?). For example, plant susceptibility to enemies can change across life stages (??), so the benefits of defensive mutualism with ants are greatest when more defensive  
45 partner species align with more vulnerable life stages (?).

Defensive ant-plant mutualisms – where plants provide food and/or housing to ants that in turn defend them from enemies – are widespread interactions that offer valuable model systems  
48 for the ecology and evolution of mutualism (??). Extrafloral nectar (EFN) bearing plants can serve as dietary resources that promote ant abundance and colony size (???). Presence of defensive ant partners is often linked to herbivory reduction (??) and demographic advantages for the plant  
51 partner (?). redThese interactions are almost entirely studied from the perspective of plant fitness (??), with little recorded about the impacts on ant fitness (?).<sup>1</sup> Defensive ant-plant mutualisms

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<sup>1</sup>redHere is a good example of something to avoid. You are highlighting a gap that your paper actually does not fill. Never do this in an Intro, sometimes it can be useful in a discussion as a future direction.

are commonly multi-species, where a guild of ant partner species share, and often compete for, a  
54 plant mutualist (????). Ant partners can vary in their ability to deter herbivores (?), and visitation  
by low quality ant partners can prevent visitation by higher quality partners, consequently caus-  
ing a reduction in fitness through missed opportunity costs (?). redAnother source of temporal  
57 variation is susceptibility to herbivory can also vary significantly throughout the life stages of the  
plant (?), suggesting that the order and timing of successive partners is important to the effective-  
ness of ant partners. Temporal dynamics of partner visitation therefore have important impacts  
60 on the fitness of the plant partners in these interactions (???).<sup>2</sup> redRecently many studies have  
investigated how partner diversity in these guilds has been shown to lead to either increased  
plant fitness (??) or decreased plant fitness (often in more highly specialized interactions) (??),  
63 stressing the importance of considering all ant partners as unique within these guilds. <sup>3</sup>

This study examined the consequences of partner diversity in a food-for-protection mutu-  
alism between the tree cholla cactus (*Cylindriopuntia imbricata*), a long-lived EFN-bearing plant,  
66 and multiple species of ant partners. Previous studies have shown that herbivory by specialized  
insect herbivores negatively affects plant fitness ?, and ant defense reduces herbivore damage ?.  
Tree cholla are tended by two common ant species (*Liometopum apiculatum* and *Crematogaster op-*  
69 *untiae*) and several infrequent species, all of which are ground-nesting. These ant species locally  
co-occur at the scale of meters, but individual plants are typically tended by only one species  
that patrols the plant around-the-clock and maintains control of the plant's nectar resources for  
72 an entire growing season (??). Switches between partner species, or between vacancy and ant oc-  
cupancy, commonly occur from one growing season to the next (?). Prior experiments suggested  
a hierarchy of mutualist quality, with *Liometopum apiculatum* providing strong fitness benefits  
75 and *Crematogaster opuntiae* having net negative fitness effects because herbivore deterrence is

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<sup>2</sup>redI think you are mixing two ideas here: ontogenetic variation in ant-plant associations (eg directional turnover) and  
temporal stochasticity that is independent of plant ontogeny. Your study considers both and I think this needs to be set up more  
clearly.

<sup>3</sup>redI think you need a more effective argument for why your study is necessary given that there are previous studies that have  
yielded results in both directions.

outweighed by deterrence of pollinators (??). However, those studies did not integrate the demographic effects of ant defense across the plant life cycle, nor did they account for inter-annual  
78 fluctuations in the benefits provided by alternative partners, and therefore may have missed important mechanisms through which different partner species, and their combination, may be beneficial.

81 We used a unique long-term data set that allows us to explore mutualistic associations with multiple partner species and how the demographic effects of alternative partner species varied across plant size structure and nearly 20 years of inter-annual fluctuations. We used this obser-  
84 vational data set, contextualized by previous experiments, to ask whether and through which mechanism(s) partner diversity affects the fitness benefits of ant visitation for the focal plant partner. Specifically, we asked:

- 87 1. What are the demographic effects of association with alternative partners and how do these effects fluctuate across years?
2. What are the frequency and direction of partner turnover across the plant life cycle?
- 90 3. What is the net effect of partner diversity on plant fitness, and what mechanism(s) explain(s) this effect?

We used a hierarchical Bayesian statistical approach to estimate demographic vital rates for hosts  
93 in different states of ant occupancy, and to quantify state-dependent partner turnover. We then used a stochastic, multi-state integral projection model (IPM) that combines diverse effects on vital rates and pathways of partner turnover to quantify effects of partner diversity on plant  
96 fitness.

## Methods

### *Study System*

99 This study was conducted in the Los Piños mountains, a small mountain chain located on the  
Sevilleta National Wildlife Refuge, a Long-term Ecological Research site (SEV-LTER) in central  
New Mexico, USA. This is an area characterized by steep, rocky slopes, and perennial vegetation  
102 including grasses (*Bouteloua eriopoda* and *B. gracilis*), yuccas, cacti, and junipers. Tree cholla cacti  
are common in high Chihuahuan desert habitats, with their native range spanning the south-  
western USA (?). These arborescent plants produce cylindrical segments with large spines. In  
105 the growing season (May to August in New Mexico), the plants initiate new vegetative segments  
and flower buds at the ends of existing segments. While most plants produce new segments  
every season, only those which are reproductively mature produce flower buds. Like other EFN-  
108 bearing cacti, tree cholla secrete nectar from specialized glands on young vegetative segments  
and flower buds (??). Flower buds produce more and higher-quality EFN than vegetative seg-  
ments, making reproductive cholla valuable mutualist partners.

111 Tree cholla EFN is harvested by various ant species. At SEV-LTER, cholla are visited pri-  
marily by two species of formicoid, ground-nesting ants, *Crematogaster opuntiae* and *Liometopum*  
*apiculatum*, as well as other rarer species, including *Forelius pruinosus* and unidentified species of  
114 *Aphaenogaster*, and a *Camponotus*. *L. apiculatum* are the most frequent visitors with 25% – 60% of  
tree cholla tended by these ants, followed by *C. opuntiae* visiting between 0% – 20%<sup>4</sup> of cacti  
(?) depending on the year. Up to 80% of cacti remain vacant in any given year.<sup>5</sup> These  
117 ants rarely co-occur on a plant, likely due to interspecific competition (?): staged introductions  
of *C. opuntiae* to *L. apiculatum*-tended plants, and vice versa, provoke aggressive responses by  
resident ants (A. Campbell, *personal observation*). Each cholla is visited by a single ant species  
120 for the duration of a season, and the species of the visitors can change from one season to the

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<sup>4</sup>redAre there years with zero CREM?

<sup>5</sup>redThis is probably the extreme high end of vacancy. I would give the ranges as you did the others.

next. At the beginning of the growing season, when EFN production begins, the ground-nesting ants will begin visiting tree cholla. They will visit the cholla every day during the season around the clock, with the most activity around sunrise or sunset (?). Smaller cholla are less likely to be visited because they produce very little EFN, so larger cholla, especially flowering individuals, are generally more highly tended (?). In late August, the tree cholla stop producing EFN and the ants vacate until the next growing season.

There are a variety of insect herbivores and seed predators which attack the cholla (?). An unidentified weevil of the genus *Gerstaekeria* feeds on vegetative and reproductive structures and implants their larvae within the plant tissue for the winter. A cactus bug, *Narnia pallidicornis*, (Hemiptera: Coreidae) feeds on all cholla parts with a preference for the reproductive structures (?). A seed predator, *Cahela ponderosella*, (Lepidoptera: Pyralidae) attacks developing fruits pre-dispersal and oviposits in open flowers mid-growing season where larvae burrow into the ripening ovary. These predators can have significant negative impacts on the fitness of individual cholla and depress population growth (?). There is experimental evidence that tree cholla tended by *L. apiculatum* and *C. opuntiae* experience less herbivory than plants from which ants were excluded (?).

## *Data Collection*

We used a longterm dataset spanning 2004 to 2023 taken from natural plants (2004 – 2008) and 30 × 30 meter plots (established in 2009) at SEV-LTER. The data initially included 134 naturally occurring plants across 4 spatial blocks censused annually from 2004 to 2008. Six of the plots were established in 2009 by tagging all existing plants within a 30 × 30 meter area. The final two plots were added to this census from 2011 onwards. Annually, in May we surveyed all individuals in these plots, taking demographic and partner data. For each cholla, we recorded plant survival from the last survey to the current survey. For surviving plants, we recorded the height (cm), maximum crown width (cm), and crown width perpendicular to the maximum (cm), which are used to calculate plant volume ( $cm^3$ ) based on the elliptical volume of a cone with the



mean of maximum crown width and perpendicular crown width as the diameter. We recorded the total number of flower buds, including how many were aborted and how many were not. We recorded all ant species present and the number of ants we could count in 30 seconds. In the rare case that more than one species of ant was present, we recorded the ant state as the more prevalent of the species, and recorded the identity and number of the secondary species present in the comments. We also recorded the numbers and species of herbivores on each plant. We conducted recruit and new plant surveys by splitting up each plot and walking the entire area to search for untagged plants. Plants less than 1 cm tall were marked as recruits and larger plants as new plants, these were all tagged, GPS located, and recorded. <sup>6</sup>

## Statistical Modeling

With the data described above we fit a series of generalized linear mixed models (GLMMs) in a hierarchical Bayesian framework to serve as vital rate sub-models of the IPM. Many of the vital rates are estimated as a function of plant size, ant partner, or both. Ant partner type is included as a predictor only where there are biological pathways through which ants could impact the outcome of that process. <sup>7</sup> The biological sources of variance (including individual<sup>8</sup>, spatial, and annual variance) are accounted for by including year-to-year and plot-to-plot random effects in the models. Unless otherwise mentioned, all models use vague priors.

The growth model ( $G_j(y, x)$ ) estimates the size of cholla, with fixed effects of the previous size and ant partner and random effects of plot and year, using a Skew Normal distribution, with  $\omega$  and  $\alpha$  varying with the previous size. <sup>9</sup> Ants are included as a predictor here because ant partners defend plants from herbivory, therefore decreasing the likelihood of segment loss. The survival model ( $S_j(x)$ ) estimates the probability of survival, with fixed effects of the previous size

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<sup>6</sup>Also need to describe recruit data, seed banks, seed counts per fruit.

<sup>7</sup>This needs to be explained.

<sup>8</sup>But you do not have an individual random effect.

<sup>9</sup>I think you need to explain this more thoroughly, and also explain why you used a Skewed Normal. Omega and alpha need to be defined and explained, and this is best done showing the full notation of the model.

of the cholla and ant partner and random effects of plot and year, using a Bernoulli distribution. Ants are included as predictors here because ant partners defend cholla from herbivores and predators, decreasing the likelihood of mortality due to either of these. The reproduction model ( $P(x)$ ) estimates the probability of reproducing each year, with fixed effects for the size and random effects of plot and year, using a Bernoulli distribution. The total flowers model ( $F(x)$ ) estimates the total flowers produced by a plant, with fixed effects of size and random effects of plot and year, using a Negative Binomial distribution. The viability model ( $V_i(x)$ ) estimates the proportion of flowers produced by a plant which are viable (not aborted), with fixed effects of the ant partner of the cactus and random effects of plot and year, using a Binomial distribution. Ants are included as predictors here because they defend the cacti from seed predation which can lead to floral abortion. The ant transition rates model ( $\tau_{ij}(x)$ ) estimates the probability of a cactus being visited by an ant partner, with fixed effects of the previous size of the cholla and the previous ant partner and random effects of plot and year, using a Multinomial distribution. Ant partners are included as predictors here because partners may choose to return to the same cholla repeatedly or choose new ones, therefore the previous partner may be a good indicator of the next partner. The recruit size model ( $n_j(x)$ ) estimates the size distribution of all recruits from a given year, with no fixed or random effects, using a Normal distribution. With germination data from Miller et al., 2009, we fit two Bayesian generalized linear models for the probability of germinating from a seed in the first year ( $\gamma_1$ ) or the second year ( $1 - \gamma_1$ ), with no fixed or random effects, using a Binomial distribution. With data collected in a 2005-2006 recruit census, we fit a Bayesian generalized linear model for the probability of a seedling surviving to May ( $\delta$ ) (accounting for missed mortality events), with fixed effects of the previous size and random effects of the transect, using a Bernoulli distribution. With data from Miller 2007, we fit a Bayesian generalized linear model for the number of seeds produced by every flower on a cholla ( $\kappa$ ) based on the ant partner, using a Negative Binomial distribution. Ant partners are included as predictors here because they reduce floral abortion rates and therefore may lead to

higher numbers of seeds. red<sup>10</sup>

To obtain posterior estimates of the demographic parameters, we fit models using Markov chain Monte Carlo (MCMC) simulations via STAN run through version 4.0.2 of R red<sup>11</sup> For each model, we obtained 3 chains of 10,000 iterations, each with randomly chosen initial conditions. The first 1,500 iterations were discarded as burn-in to eliminate transience associated with initial conditions. We did not thin the chains, thus all samples were retained. To assess the convergence of our models we assessed between and within chain convergence, the resulting figures are included in supplemental documents. To assess the overall model fit we carried out posterior predictive checks to examine how well the fitted model can generate simulated data similar to the real data. Large differences in the two indicate a poor model fit and can be assessed visually (figures included in supplemental documents). redAll estimated parameters are described in table 1.<sup>12</sup> Data and code for all vital rate models is included in the supplemental information.

### *Deterministic Integral Projection Model Construction and Analysis*

Integral Projection Models describe population dynamics in discrete time, with functions that relate vital rates to continuous state variables. While IPMs are a natural choice for populations with continuous size structure, they can also be modified to accommodate a combination of continuous and discrete state variables, as we do here. We constructed a composite IPM which allows us to analyze the long term population growth rate of cholla with ant transition dynamics explicitly included. This novel structure allows us to determine the individual effects of each ant species as well as the composite effects of several partners across the cholla population.

Following previous studies of this population, we modeled the life cycle of cholla using

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<sup>10</sup>redGeneral comment here is that each of these models needs to be described in greater detail and shown in reproducible notation. You also need to describe the idea of transitions in size  $x,y$  and ant state  $i,j$  since this sets the stage for the structure of the IPM.

<sup>11</sup>redYou need to cite R and RStan

<sup>12</sup>redI think I suggested this years ago but there are so many parameters in your model that I don't think it is particularly useful to report all of these in a table. Including publicly available data and code is good enough.

continuously size-structured plants,  $n_i(x)$ , where  $x$  is the size of the cholla and  $i$  is the ant partner, and two discrete seed banks ( $B_{1,t}$  and  $B_{2,t}$ ) corresponding to 1 and 2-year old seeds. The dynamics of these 1 and 2-year old seedbanks are given by the following equations: red<sup>13</sup>

$$B_{1,t+1} = \kappa \delta \sum_i^4 \int_L^U P(x) V_i(x) F(x) n_i(x) dx$$

$$B_{2,t+1} = (1 - \gamma_1) B_{1,t}$$

The functions  $P(x)$  and  $F(x)$  give the probability of flowering, the number of flowerbuds produced based on the plant size  $x$  and the year  $t$ . The proportion of flowerbuds which will produce seeds ( $V_i(x)$ ) is dependent on the plant size  $x$  and the ant species present on the plant  $i$  in year  $t$ . The integral is multiplied by the number of seeds per fruit ( $\kappa$ ) and the probability of seed dispersal/survival ( $\delta$ ) to give the number of seeds that enter the one-year old seed bank. Parameters  $U$  and  $L$  are the upper and lower bounds, respectively, of the plant size distribution. Plants can recruit out of the one-year seed bank with the probability of  $\gamma_1$  or transition to the two-year seed bank with a probability of  $1 - \gamma_1$ . Seeds in the two-year seed bank are assumed to either germinate with a probability of  $\gamma_2$  or die.

The redsize dynamics<sup>14</sup> of the cholla are given by:

$$n(y, i)_{t+1} = (\gamma_1 B_{1,t} + \gamma_2 B_{2,t}) \eta(y) \omega \beta_i + \sum_j^4 \int_L^U S_j(x) G_j(y, x) \tau_{ij}(x) n_j(x) dx$$

The first term gives the recruitment from one and two-year seed banks to a plant of size  $y$ , where  $\eta(y)$  gives the seedling size distribution and  $\omega$  gives the proportion of seedlings which survive from germination (late summer) to the census (May). The second term reflects the changes in the population of the cholla which are not recruits, where  $S_j(x)$  gives the probability of a plant of size  $x$  in year  $t$  surviving to year  $t + 1$  with partner  $j$ .  $G_j(y, x)$  gives the

<sup>13</sup>redRather than “hard-code” 4 in the summation, I would create a parameter for number of possible ant states, maybe  $n_A$ .

<sup>14</sup>redIt is not just size dynamics, it is size and ant dynamics. That needs to be communicated more clearly.

probability of growing from size  $x$  in year  $t$  to size  $y$  in year  $t + 1$ , respectively with partner  $j$ . Finally,  $\tau_{ij}$  is the probability of a cholla which is size  $x$  with ant partner  $i$  in year  $t$  being tended by ant partner  $j$  in year  $t + 1$ .<sup>15</sup>

Analyzing an IPM requires discretizing the composite IPM into a matrix to calculate the dominant eigenvalue. Each component of the IPM (each set of ant partner specific vital rates)<sup>16</sup> can be discretized into its own  $200 \times 200$  matrix as shown in figure \*\*\*. The possibility of eviction, when individuals are predicted to grow outside of the possible size classes, was avoided by adding probabilities of growing smaller or larger than the existing boundaries, as done by many others\*\*\*. We used the composite IPM to quantify the effects of partner diversity on the intrinsic growth rate of cholla,  $r \ln(\lambda)$ . We calculated the  $r$  for each combination of ant partners: complete vacancy; *L. apiculatum* and vacancy; *C. opuntiae* and vacancy; other and vacancy; *L. apiculatum*, *C. opuntiae*, and vacancy; *L. apiculatum*, other, and vacancy; *C. opuntiae*, other, and vacancy; and all ant partners and vacancy. The relative distributions<sup>17</sup> allowed us to determine if either complementarity or sampling effect were at play in the tree cholla-ant defense system. Sampling Effect requires<sup>18</sup> that the  $r$  when all possible partners are present is reequal to<sup>19</sup> the  $r$  of the cholla population when only the best partner is present. Complementarity requires that the  $r$  when all possible partners are present is greater than the  $r$  of any other combination of partners or any single partner.<sup>20</sup>

## *Stochastic Integral Projection Model Construction and Analysis*

The third mechanism considered in this study requires annual variation to be explicitly considered in the IPM so we constructed a stochastic version of the IPM described above. The year of

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<sup>15</sup>This is a good start but I think you need a more thorough description, since this model will be unfamiliar to many readers.

<sup>16</sup>I don't think readers will understand what you are talking about here

<sup>17</sup>Unclear what this means

<sup>18</sup>I don't think this is the right grammar ("requires")

<sup>19</sup>What if it is less than?

<sup>20</sup>These last two sentences are very important – laying out predictions under alternative hypotheses – so it is worth making them laser sharp.

record was a random effect in all vital rate models meaning we were able to include an intercept for specific years. In order to include stochasticity in the analysis,red we randomly sampled from the year-random effect intercepts 1,000 times<sup>21</sup>. For each of these 1,000 iterations we calculated the  $r$  for every possible combination of ant partners. With these values we calculated  $\delta r$  between the scenario with all possible partners and the scenario with no partners. This  $\delta r$  measures the net benefits offered by partner diversity with annual variation. We also calculated the  $\delta r$  of the deterministic IPM to measure the net benefits offered by partner diversity without annual variation. These two relative differences allow us to determine if portfolio effect is at play. redPortfolio effect is demonstrated when the net benefit with annual variation is larger than the net benefit without annual variation.<sup>22</sup>

## Results

## Discussion

## Acknowledgments

## Data and Code Availability

## Appendix A: Additional Methods and Parameters

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<sup>21</sup>redI don't think you have communicated, in either words or notation, that the random intercepts are unique to ant state.

<sup>22</sup>redThis is good and would make a good figure (posteriors of delta  $r$  with and without annual variation.)

## Tables

Parameter	Median (95% CI)	Prior Distribution
growth xi intercept vacant $\xi_{01}$	-5.210899 (-5.686865, -5.491787)	
growth xi intercept other $\xi_{02}$	-5.8288 (-5.956217, 1.766021)	
growth xi intercept <i>C. opuntiae</i> $\xi_{03}$	-4.529523 (-6.0770390, 0.1222112)	
growth xi intercept <i>L. apiculatum</i> $\xi_{04}$	-5.106802 (-5.4499944, 0.5453901)	
growth xi size dependent vacant $\xi_{11}$		
growth xi size dependent other $\xi_{12}$		
growth xi size dependent <i>C. opuntiae</i> $\xi_{13}$		
growth xi size dependent <i>L. apiculatum</i> $\xi_{14}$		
growth omega intercept $\omega_0^g$		
growth omega size dependent $\omega_1^g$		
growth alpha intercept $\alpha_0^g$		
growth alpha size dependent $\alpha_1^g$		
1-year germination intercept $\alpha^{\gamma_1}$		
2-year germination intercept $\alpha^{\gamma_2}$		
survival intercept vacant $s_{01}$		
survival intercept other $s_{02}$		
survival intercept <i>C. opuntiae</i> $s_{03}$		
survival intercept <i>L. apiculatum</i> $s_{04}$		
survival size dependent vacant $s_{11}$		
survival size dependent other $s_{12}$		
survival size dependent <i>C. opuntiae</i> $s_{13}$		
survival size dependent <i>L. apiculatum</i> $s_{14}$		
flowering intercept $f_0$		
flowering size dependent $f_1$		
viability intercept vacant $01^v$		
viability intercept other $02^v$		
viability intercept <i>C. opuntiae</i> $03^v$		
viability intercept <i>L. apiculatum</i> $04^v$		



## Figure legends