

# Efficiency Analysis of Non-Recursive Algorithms

## Teaching Talk

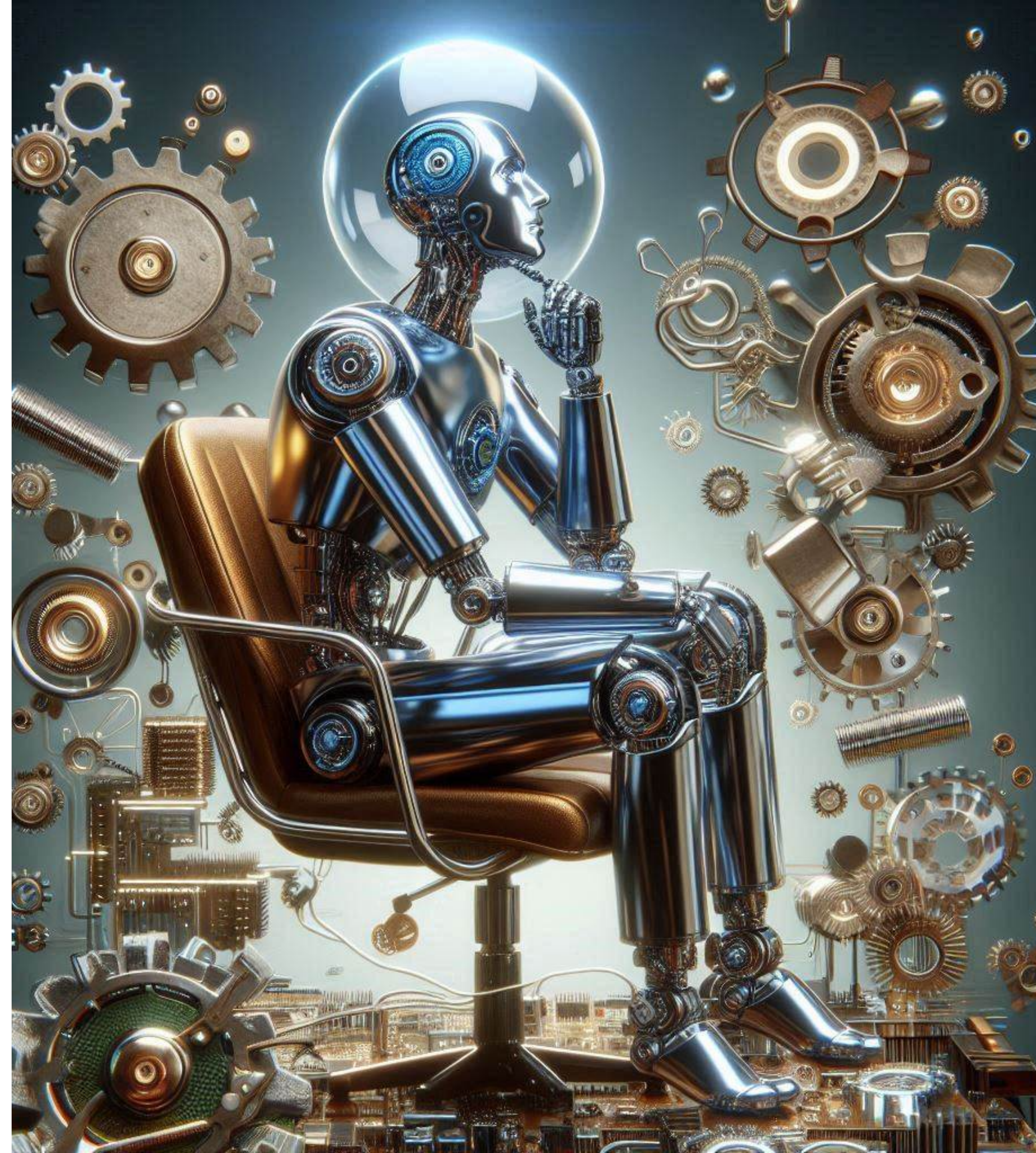
<https://shorturl.at/yWT7P>

Alberto Castro-Hernandez, 2024



# Outline

- Motivation
- Summation formulas
- Basic complexity classes
- General plan for efficiency analysis
- Algorithm: Maximum value
- Algorithm: Sequential search
  - Best, Average, and Worst case scenarios
- Review
- Additional exercise





# Motivation

- Why we analyze algorithms' efficiency?
  - To identify its complexity (order of growth of its number of operations)
  - To determine how good an algorithm is in comparison to others
- Efficiency types
  - Time efficiency: how fast the algorithm runs
  - Space efficiency: how much extra memory uses
- Approaches
  - Experimental studies (run programs)
  - Asymptotic Algorithm analysis

# Summation formulas

## (1)

- Summation

$$1 + 1 + 1 + 1 + 1 + 1 + 1 = 7$$

$$\sum_{i=1}^7 1 = 7$$

$$\sum_{i=0}^6 1 = 7$$

$$\sum_{i=13}^{19} 1 = 7$$

- Formula

$$\sum_{i=l}^u 1 = u - l + 1$$

- Application

$$\sum_{i=1}^7 1 = 7 - 1 + 1 = 7$$

$$\sum_{i=2}^{12} 1 = 12 - 2 + 1 = 11$$

# Summation formulas

## (2)

- Summation

$$1 + 2 + 3 + 4 + 5 + 6 = 21 \quad \sum_{i=1}^6 i = 21 \quad 1 + 2 + 3 + 4 = 10 \quad \sum_{i=1}^4 i = 10$$

- Formula

$$\sum_{i=1}^n i = \frac{n(n+1)}{2}$$

- Application

$$\sum_{i=1}^6 i = \frac{6(6+1)}{2} = 21 \quad \sum_{i=1}^8 i = \frac{8(8+1)}{2} = 36$$

# Basic Complexity Classes

## List

|   |                |              |   |
|---|----------------|--------------|---|
| <div>Lower</div> <div>Order of Growth</div> <div>Higher</div> | Class          | Name         | <div>Faster</div> <div>Algorithm Efficiency</div> <div>Slower</div> |
|   | 1              | Constant     |   |
|   | n              | Linear       |   |
|   | log n          | Logarithmic  |   |
|   | n log n        | Linearithmic |   |
|   | n <sup>2</sup> | Quadratic    |   |
|   | n <sup>3</sup> | Cubic        |   |
|   | 2 <sup>n</sup> | Exponential  |   |
|   | n!             | Factorial    |   |

# Basic Complexity Classes

## Asymptotic Order of Growth

- A way of comparing functions that ignores constant factors and small input sizes
- $\Theta(g(n))$ : Class of functions that grow at the same rate as  $g(n)$

$$4n^3$$

$$4n^3 \in \Theta(n^3)$$

$$11n^2 + 18$$

$$11n^2 + 18 \in \Theta(n^2)$$

$$2^n + 3n^3 - 5$$

$$2^n + 3n^3 - 5 \in \Theta(2^n)$$

# General Plan for Efficiency Analysis

- Decide on a parameter (or parameters) indicating an input's size.
- Identify the algorithm's basic operation (As a rule, it is indicated in the inner cycle).
- Check whether the number of times the basic operation is executed depends on the size of an input. If it also depends on some additional property, the worst-case, average-case, and, if necessary, best-case efficiencies have to be investigated separately.
- Set up a sum expressing the number of times the algorithm's basic operation is executed.
- Using standard formulas and rules of sum manipulation, either find a closed-form formula for the count or, at the very least, establish its order of growth.



# Algorithm: Maximum value

## Problem and algorithm

- Problem
  - Consider the problem of finding the value of the largest element in a list of  $n$  numbers. For simplicity, we assume the list is implemented as an array.

**ALGORITHM** *MaxElement*( $A[0..n - 1]$ )

*//Determines the value of the largest element in a given array*

*//Input: An array  $A[0..n - 1]$  of real numbers*

*//Output: The value of the largest element in  $A$*

*maxval*  $\leftarrow A[0]$

**for**  $i \leftarrow 1$  **to**  $n - 1$  **do**

**if**  $A[i] > \text{maxval}$

$\text{maxval} \leftarrow A[i]$

**return** *maxval*

# Algorithm: Maximum value

## Parameter

**ALGORITHM** *MaxElement*( $A[0..n - 1]$ )

//Determines the value of the largest element in a given array

//Input: An array  $A[0..n - 1]$  of real numbers

//Output: The value of the largest element in  $A$

$maxval \leftarrow A[0]$

**for**  $i \leftarrow 1$  **to**  $n - 1$  **do**

**if**  $A[i] > maxval$

$maxval \leftarrow A[i]$

**return**  $maxval$

$n$ : number of real numbers  
in the list

# Algorithm: Maximum value

## Basic operation

**ALGORITHM** *MaxElement*( $A[0..n - 1]$ )

//Determines the value of the largest element in a given array

//Input: An array  $A[0..n - 1]$  of real numbers

//Output: The value of the largest element in  $A$

$maxval \leftarrow A[0]$

**for**  $i \leftarrow 1$  **to**  $n - 1$  **do**

Inner loop

**if**  $A[i] > maxval$

Basic operation

$maxval \leftarrow A[i]$

**return**  $maxval$



# **Algorithm: Maximum value**

## **Worst, Average, and Best cases (if necessary)**

- The number of comparisons will be the same for all arrays of size  $n$
- Therefore, in terms of this metric, there is no need to distinguish among the worst, average, and best cases

# Algorithm: Maximum value Sum

**ALGORITHM** *MaxElement*( $A[0..n - 1]$ )

//Determines the value of the largest element in a given array

//Input: An array  $A[0..n - 1]$  of real numbers

//Output: The value of the largest element in  $A$

$maxval \leftarrow A[0]$

**for**  $i \leftarrow 1$  **to**  $n - 1$  **do**

**if**  $A[i] > maxval$

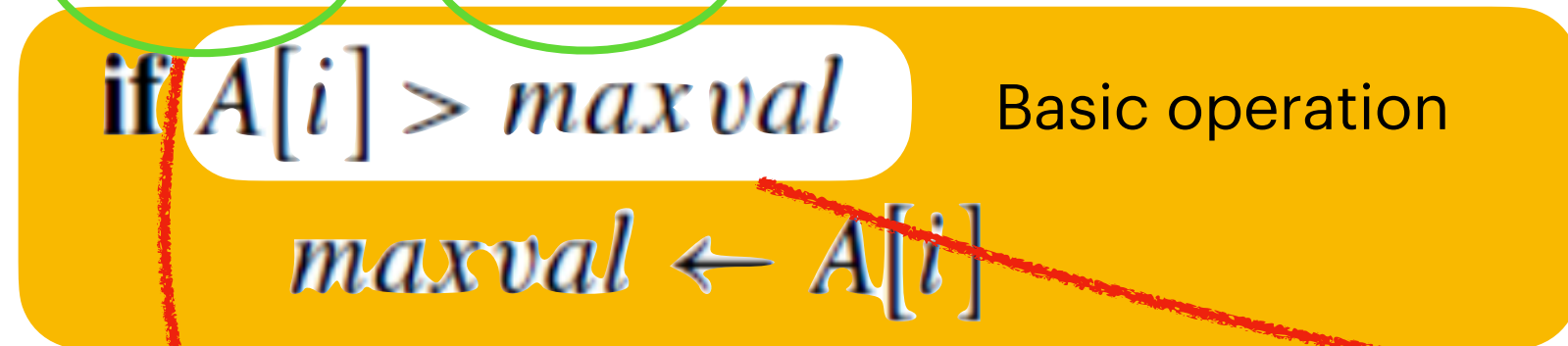
$maxval \leftarrow A[i]$

**return**  $maxval$

Inner loop

Basic operation

$$C(n) = \sum_{i=1}^{n-1} 1$$



# Algorithm: Maximum value

## Sum manipulation and classification

- Formula 
$$\sum_{i=l}^u 1 = u - l + 1$$

- Sum manipulation

$$C(n) = \sum_{i=1}^{n-1} 1 = (n - 1) - 1 + 1 = n - 1$$

$$C(n) = n - 1$$

$$n - 1 \in \Theta(n)$$


| Class          | Name         |
|----------------|--------------|
| 1              | Constant     |
| n              | Linear       |
| log n          | Logarithmic  |
| n log n        | Linearithmic |
| n <sup>2</sup> | Quadratic    |
| n <sup>3</sup> | Cubic        |
| 2 <sup>n</sup> | Exponential  |
| n!             | Factorial    |



# Algorithm: Sequential search

## Problem and algorithm

- Problem
  - Given a value  $K$ , search for it in a given array of  $n$  elements.
- Algorithm

***ALGORITHM*** *SequentialSearch*( $A[0..n-1]$ ,  $K$ )  
    *// Searches for a given value in a given array by sequential search*  
    *// Input: An array  $A[0..n-1]$  and a search key  $K$*   
    *// Output: The index of the first element in  $A$  that matches  $K$*   
    *//           or -1 if there are no matching elements*  
     $i \leftarrow 0$   
    ***while***  $i < n$  ***and***  $A[i] \neq K$  ***do***  
         $i \leftarrow i + 1$   
    ***if***  $i < n$  ***return***  $i$   
    ***else return***  $-1$

# Algorithm: Sequential search

## Parameter

**ALGORITHM** *SequentialSearch*( $A[0..n-1]$ ,  $K$ )

*// Searches for a given value in a given array by sequential search*

*// Input: An array  $A[0..n-1]$  and a search key  $K$*

*// Output: The index of the first element in  $A$  that matches  $K$*

*// or -1 if there are no matching elements*

$i \leftarrow 0$

**while**  $i < n$  **and**  $A[i] \neq K$  **do**

$i \leftarrow i + 1$

**if**  $i < n$  **return**  $i$

**else return**  $-1$

$n$ : number of real numbers  
in the list

# Algorithm: Sequential search

## Basic operation

**ALGORITHM** *SequentialSearch*( $A[0..n-1]$ ,  $K$ )

*// Searches for a given value in a given array by sequential search*

*// Input: An array  $A[0..n-1]$  and a search key  $K$*

*// Output: The index of the first element in  $A$  that matches  $K$*

*// or -1 if there are no matching elements*

$i \leftarrow 0$

Inner loop

**while**  $i < n$  **and**  $A[i] \neq K$  **do** Basic operation

$i \leftarrow i + 1$

**if**  $i < n$  **return**  $i$

**else return**  $-1$

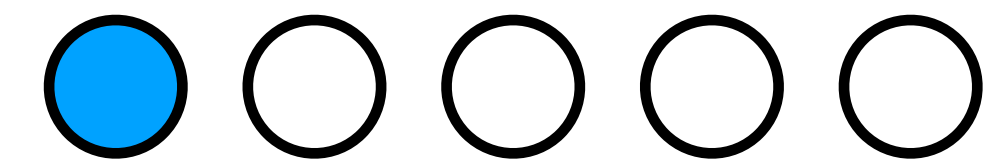


# Algorithm: Sequential search

## Scenarios

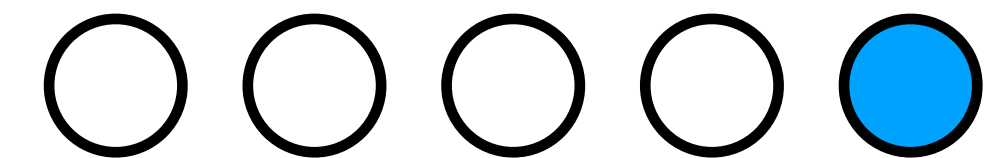
- Best case scenario

- K (blue circle) is in the first position in the array



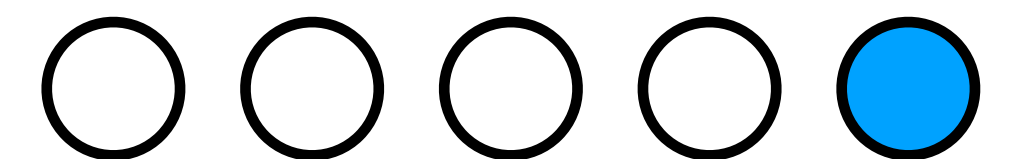
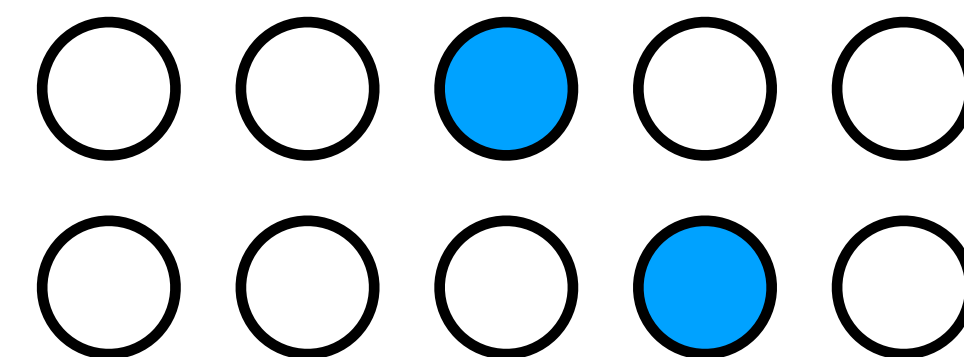
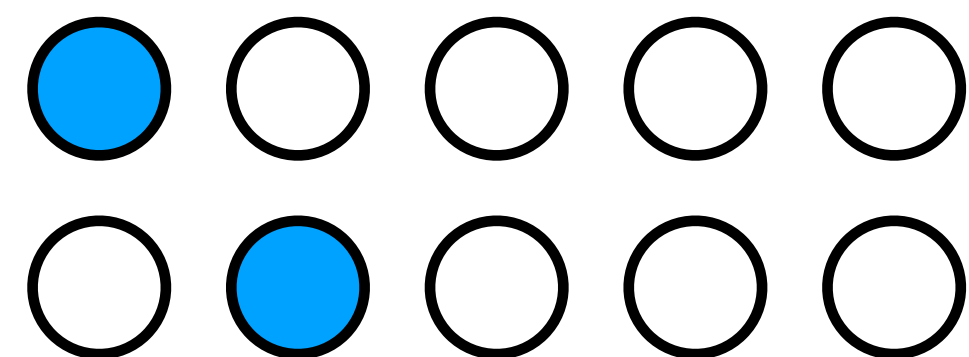
- Worst case scenario

- K (blue circle) is in the last position in the array



- Average case scenario

- The average of comparisons in all the possible cases

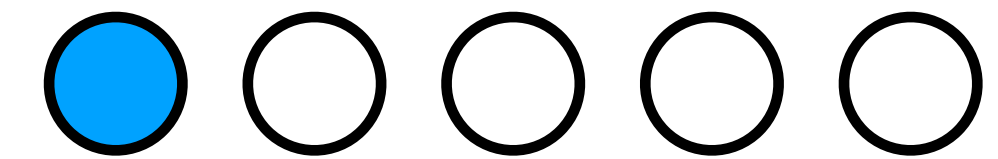


# Algorithm: Sequential search

## Best case's sum and manipulation

- Best case scenario

- K (blue circle) is in the first position in the array



- If K is in the first position, it only takes 1 comparison to find it.

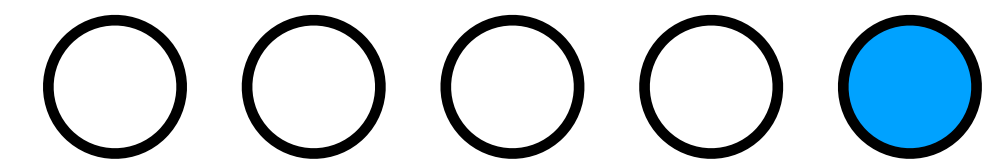
$$C(n)_{best} = 1$$

$$C(n)_{best} \in \Theta(1)$$

# Algorithm: Sequential search

## Worst case's sum

- Worst case scenario
  - K (blue circle) is in the last position in the array



**ALGORITHM** *SequentialSearch*( $A[0..n-1]$ ,  $K$ )

*// Searches for a given value in a given array by sequential search*

*// Input: An array  $A[0..n-1]$  and a search key  $K$*

*// Output: The index of the first element in  $A$  that matches  $K$*

*// or -1 if there are no matching elements*

$i \leftarrow 0$

Inner loop

**while**  $i < n$  **and**  $A[i] \neq K$  **do** Basic operation

$i \leftarrow i + 1$

**if**  $i < n$  **return**  $i$

**else return**  $-1$

$$\sum_{i=0}^{n-1} 1$$



# Algorithm: Sequential search

## Worst case's sum manipulation

- Formula 
$$\sum_{i=l}^u 1 = u - l + 1$$

- Sum manipulation

$$C(n)_{worst} = \sum_{i=0}^{n-1} 1 = (n - 1) - 0 + 1 = n$$

$$C(n)_{worst} = n$$

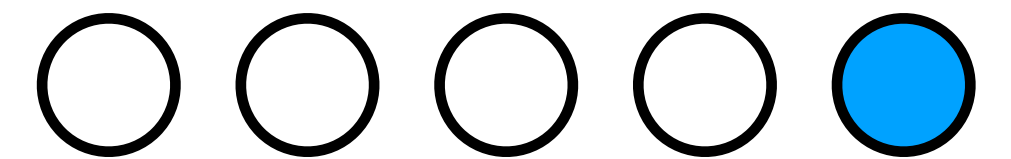
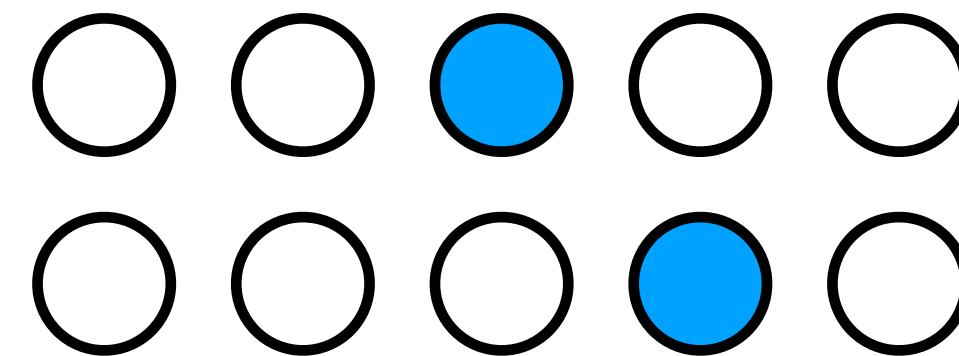
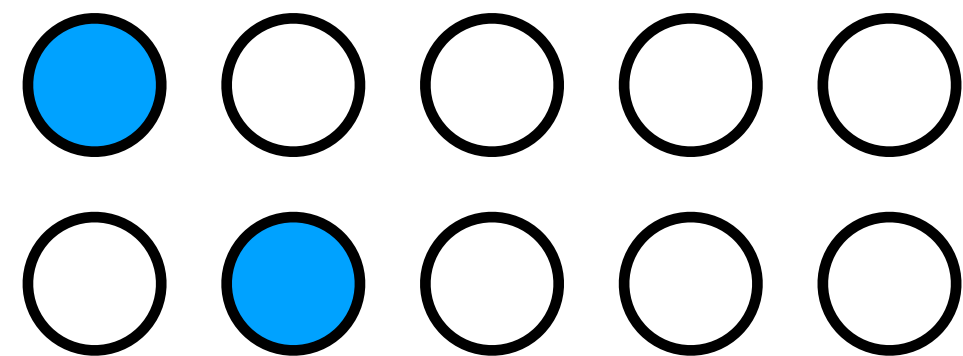
$$n \in \Theta(n)$$


| Class          | Name         |
|----------------|--------------|
| 1              | Constant     |
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| n!             | Factorial    |

# Algorithm: Sequential search

## Average case's sum

- Average case scenario
  - The average of comparisons in all the possible cases



In this example,

$$C(5)_{average} = \frac{1 + 2 + 3 + 4 + 5}{5}$$

In general,

$$C(n)_{average} = \frac{1 + 2 + 3 + \dots + n}{n}$$

# Algorithm: Sequential search

## Average case's sum and manipulation

- Formula 
$$\sum_{i=1}^n i = 1 + 2 + 3 + \dots + n = \frac{n(n+1)}{2}$$

- Sum manipulation

$$C(n)_{average} = \frac{1 + 2 + 3 + \dots + n}{n} = \frac{\sum_{i=1}^n i}{n}$$

$$C(n)_{average} = \frac{\frac{n(n+1)}{2}}{n} = \frac{n(n+1)}{2n} = \frac{n+1}{2} = \frac{1}{2}n + \frac{1}{2}$$

$$C(n)_{average} \in \Theta(n)$$

| Class          | Name         |
|----------------|--------------|
| 1              | Constant     |
| n              | Linear       |
| log n          | Logarithmic  |
| n log n        | Linearithmic |
| n <sup>2</sup> | Quadratic    |
| n <sup>3</sup> | Cubic        |
| 2 <sup>n</sup> | Exponential  |
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# Algorithm: Sequential search

## Summary

$$C(n)_{best} \in \Theta(1)$$

$$C(n)_{worst} \in \Theta(n)$$

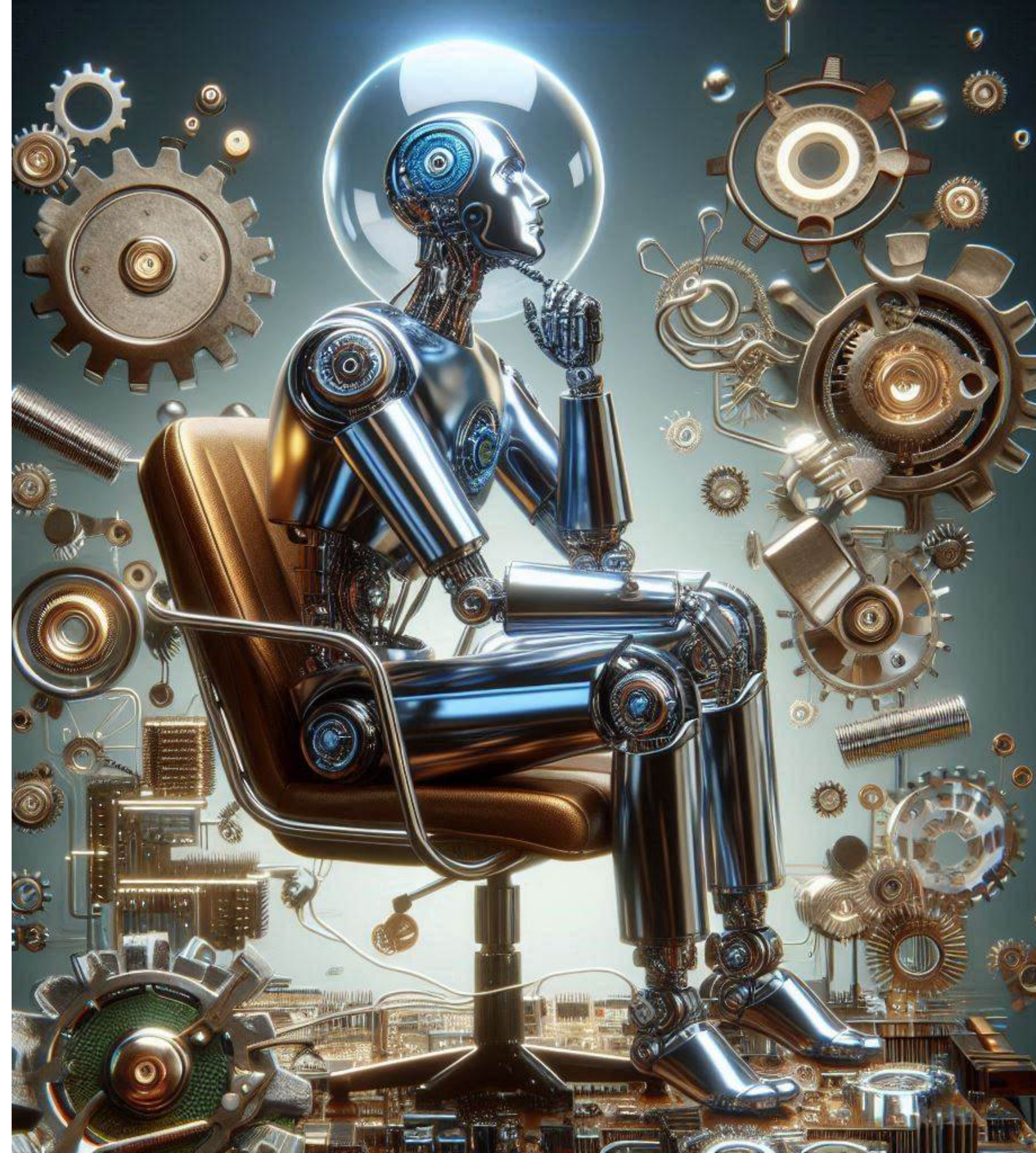
$$C(n)_{average} \in \Theta(n)$$



# Review

## General Plan for Efficiency Analysis

- Decide on a parameter indicating an input's size
- Identify the algorithm's basic operation
- Check whether the number of times the basic operation is executed depends also on some additional property. If so, worst-case, average-case, and best-case efficiencies have to be investigated
- Set up a sum expressing the number of times the algorithm's basic operation is executed
- Find a closed-form formula for the count or, at the very least, establish its order of growth





# **Additional exercise**

## **Matrix multiplication**

<https://shorturl.at/yWT7P>

# **Thank you!**