

# 1 Category Partition Method

## 1.1 Parameter: List

**N.B.** All elements in the list must be an instance of a class that implements the Comparable interface and must be mutually comparable.

### Category L1 Empty list

**Input:** `list = []`

**Reason:** One of the edge cases of the List interface. Given this as the input, both `Collections.sort` and `Collections.rotate` should return an empty list as the output.

### Category L2 Single element in the list

**Input:** `list = [x]`

**Reason:** One of the edge cases of the List interface. The output returned by both `Collections.sort` and `Collections.rotate` should be the same as the input.

### Category L3 More than one element in the list

**Input:** `list = [x0, x1, ..., xn]` for  $n > 1$ , where  $n$  is the total number of elements in the list

**Reason:** This is the minimum viable case for testing the actual functionality of both `Collections.sort` and `Collections.rotate`. This is the base form that is used to define other variants of the input (**Category L4 - L7**).

### Category L4 Repeated elements in the list

**Input:** `list = [x0, x1, ..., xn]` for  $n > 1$ . In addition, there exists some  $a, b$  for all  $0 \leq a, b \leq n$ , the value of  $x_a$  is equal to  $x_b$ .

**Reason:**

1. This is to test the stability of the `Collections.sort` sorting algorithm. The sorting algorithm should sort the repeated elements in the same order that they appear in the input.
2. This is to test that `Collections.rotate` only modifies the position of the elements. The value of each element should not affect the final output.

### Category L5 List is partially sorted

**Input:** `list = [x0, x1, ..., xn]` for  $n > 1$ , there exists some  $i, j$  such that for all  $i \geq 0$ ,  $i < j$ ,  $j \leq n$ , and `[xi, ..., xj].length`  $\neq n$ , the sub-list `xi, ..., xj` is sorted.

**Reason:** A derivative of **Category L4** to test the stability of the `Collections.sort` sorting algorithm. The sorting algorithm should sort the partially sorted elements in the same order that they appear in the input.

### Category L6 List is sorted in ascending natural order of its elements

**Input:**  $\text{list} = [x_0, x_1, \dots, x_n]$  for  $n > 1$ , where the list is arranged according to the natural ordering [4] of its elements such that  $x_k \leq x_{k+1}$  for all  $0 \leq k \leq n$ . The list can contain repeated elements.

**Reason:** This is to test the sorting stability of `Collections.sort`. Since the input list is already sorted, then the elements in the output list should have the same order as the input list.

#### Category L7 List is sorted in descending natural order of its elements

**Input:**  $\text{list} = [x_0, x_1, \dots, x_n]$  for  $n > 1$ , where the list is arranged according to the reverse natural ordering [4] of its elements such that  $x_k \geq x_{k+1}$  for all  $0 \leq k < n$ .

**Reason:** This is the inverse case of **Category L6**, where the input list is sorted in reverse order. The aim is to test the sorting stability of `Collections.sort` and also ensure that it can handle the cases where certain parts of the list (i.e. sub-list) are inversely sorted.

#### Category L8 List size is greater than or equal to ROTATE\_THRESHOLD

**Input:**  $\text{list} = [x_0, x_1, \dots, x_n]$  for  $n \geq \text{ROTATE\_THRESHOLD}$ , in which the value of `ROTATE_THRESHOLD` is defined as 100 in `Collections.java` [9].

**Reason:** According to the source code of `Collections.java` [8], Java uses two different algorithm to rotate lists that are  $<$  or  $\geq$  than `ROTATE_THRESHOLD` respectively. The aim is to validate that both algorithms are able to correctly rotate the input list.

## 1.2 Parameter: distance

#### Category D1 Negative number

**Input:**  $\text{distance} < 0$

**Reason:** To ensure that the `Collections.rotate` method will work with negative distance input by covering the negative domain of `int` data type.

#### Category D2 Zero

**Input:**  $\text{distance} == 0$

**Reason:** 0 is the default value of `int` data type in Java, and also the starting index value of `List`. This is to ensure that the `Collections.rotate` method will work when the input distance is zero.

#### Category D3 Positive number

**Input:**  $\text{distance} > 0$

**Reason:** To ensure that the `Collections.rotate` method will work with positive distance input by covering the positive domain of `int` data type.

#### Category D4 Larger than list size

**Input:** `distance > list.size()`

**Reason:** A derivative of **Category D3**. Since the `rotate` method uses modulo operation on the input distance [2], this is to test whether the behaviour of inputting a distance larger than `list.size()` is the same as inputting the value of `distance % list.size()` [2].

#### Category D5 Equal to list size

**Input:** `distance == list.size()`

**Reason:** This is to validate that if the distance is equal to the `list.size()`, then `Collections.rotate` will return the the same output as the input.

#### Category D6 Smaller than list size

**Input:** `distance < list.size()`

**Reason:** A derivative of **Category D1 - D3** and the inverse case of **Category D4**. This is to validate the assumption that there exists some  $d$  for all  $d > \text{list.size}()$  and  $n$  for all  $n < \text{list.size}()$  such that `rotate(list, d) == rotate(list, n)`.

#### Category D7 Equal to minimum boundary value of int

**Input:** `distance ==  $-2^{31}$`

**Reason:** The minimum value an `int` can have in Java is  $-2^{31}$  [6]. The aim is to validate the assumption that if `Collections.rotate` works for the minimum value of `int`, then it should work correctly for any value larger than the minimum value.

#### Category D8 Equal to maximum boundary value of int

**Input:** `distance ==  $2^{31} - 1$`

**Reason:** The maximum value an `int` can have in Java is  $2^{31} - 1$  [5]. The aim is to validate the assumption that if `Collections.rotate` works for the maximum value of `int`, then it should work correctly for any value smaller than the maximum value.

### 1.3 Parameter: Collection

**N.B.** All elements in the collection must be an instance of a class that implements the `Comparable` interface and must be mutually comparable.

#### Category C1 Single element in collection

**Input:** `coll = { $x$ }`

**Reason:** Edge case of the `Collections.min` method. The method should only return the single element as the minimum element of the given collection.

#### Category C2 More than one element in collection

**Input:** `coll = { $x_0, x_1, \dots, x_n$ }` for  $n > 1$

**Reason:** To test whether the `Collections.min` method is able to find and return the minimum element of the given collection.

### **Category C3 Repeated elements in collection**

**Input:** `coll = { $x_0, x_1, \dots, x_n$ }` for  $n > 1$ . In addition, there exists some  $a, b$  for all  $0 \leq a, b \leq n$ ,  $a \neq b$ , the value of  $x_a$  is equal to  $x_b$ .

**Reason:** To test whether the `Collections.min` method is able to handle repeated elements and correctly return the minimum element of the given collection.

### **Category C4 Repeated minimum elements in collection**

**Input:** `coll = { $x_0, x_1, \dots, x_n$ }` for  $n > 1$ . In addition, there exists some  $a, b$  for all  $0 \leq a, b \leq n$ ,  $a \neq b$ , the value of  $x_a$  is equal to  $x_b$  and both  $x_a$  and  $x_b$  are the minimum elements in the collection.

**Reason:** A derivative of **Category C3** to test the stability of `Collections.min` method. It is expected that the method will treat the repeated minimum elements as the same element and return correctly.

### **Category C5 Collection contains the minimum possible value of the class**

**Input:** `coll = { $x_0, x_1, \dots, x_n$ }` for  $n > 1$ , and there exists a  $k$  where  $0 \leq k \leq n$  such that  $x_k$  is the minimum possible value of the element's class.

**Reason:** To cover the boundary case of `Collections.min`, and to test whether the method always return the minimum possible value of the class when the given collection contains it.

### **Category C6 Collection is in ascending natural order of its elements**

**Input:** `coll = { $x_0, x_1, \dots, x_n$ }` for  $n > 1$ , where the collection is arranged according to the natural ordering [4] of its elements such that  $x_k \leq x_{k+1}$  for all  $0 \leq k \leq n$ . The collection can contain repeated elements.

**Reason:** Since the given collection is already sorted, then the minimum element returned should have the same value as first element of the collection.

### **Category C7 Collection is in descending natural order of its elements**

**Input:** `coll = { $x_0, x_1, \dots, x_n$ }` for  $n > 1$ , where the collection is arranged according to the natural ordering [4] of its elements such that  $x_k \geq x_{k+1}$  for all  $0 \leq k < n$ . The collection can contain repeated elements.

**Reason:** The inverse case of **Category C6**. Since, the given collection is sorted in reverse order, then the minimum element returned should have the same value as the last element of the collection.

## 2 Test Cases

### 2.1 Collections.sort(List<T> list)

#### 1. Test Case 1

**Categories:** L2

**Input:** list =  $[x]$ , where  $x$  is any arbitrary element of a class that implements the Comparable interface.

#### 2. Test Case 2

**Categories:** L3  $\wedge$  L4  $\wedge$  L5

**Input:** list =  $[x_0, x_1, \dots, x_n]$  for  $n > 1$

- $x$  is any arbitrary element of a class that implements the Comparable interface.
- there exists some  $a, b$  such that for all  $0 \leq a, b \leq n$ , the value of  $x_a$  is equal to  $x_b$ .
- The list is partially sorted.

#### 3. Test Case 3

**Categories:** L3  $\wedge$  L7

**Input:** list =  $[x_0, x_1, \dots, x_n]$  for  $n > 1$

- $x$  is any arbitrary element of a class that implements the Comparable
- the list must be arranged according to the **reverse** natural ordering [4] of its elements such that  $x_k \geq x_{k+1}$  for all  $0 \leq k < n$ .

### 2.2 Collections.rotate(List<?> list, int distance)

#### 1. Test Case 1

**Categories:** L1, D2  $\wedge$  D5

**Input:** list =  $[]$ , distance = 0

#### 2. Test Case 2

**Categories:** L3  $\wedge$  L4, D3  $\wedge$  D4  $\wedge$  D8

**Input:** list =  $[x_0, x_1, \dots, x_n]$  for  $n > 1$ , distance =  $2^{31} - 1$

- $x$  is any arbitrary element of a class that implements the Comparable interface.
- there exists some  $a, b$  such that for all  $0 \leq a, b \leq n$ , the value of  $x_a$  is equal to  $x_b$ .

#### 3. Test Case 3

**Categories:** L3  $\wedge$  L8, D1  $\wedge$  D6  $\wedge$  D7

**Input:** list =  $[x_0, x_1, \dots, x_n]$  for  $n \geq \text{ROTATE\_THRESHOLD}$ , distance =  $-2^{31}$

- $x$  is any arbitrary element of a class that implements the Comparable interface.

- `ROTATE_THRESHOLD = 100 [9]`

## 2.3 `Collections.min(Collection<? extends T> coll)`

### 1. Test Case 1

**Categories:** C1

**Input:** `coll = {x}`, where  $x$  is any arbitrary element of a class that implements the `Comparable` interface.

### 2. Test Case 2

**Categories:**  $C2 \wedge C3 \wedge C5$

**Input:** `coll = {x0, x1, ..., xn}` for  $n > 1$

- $x$  is any arbitrary element of a class that implements the `Comparable` interface.
- there exists some  $a, b$  for all  $0 \leq a, b \leq n$ ,  $a \neq b$ , the value of  $x_a$  is equal to  $x_b$ .
- there exists a  $k$  where  $0 \leq k \leq n$  such that  $x_k$  is the minimum possible value of the element's class.

### 3. Test Case 3

**Categories:**  $C2 \wedge C4 \wedge C7$

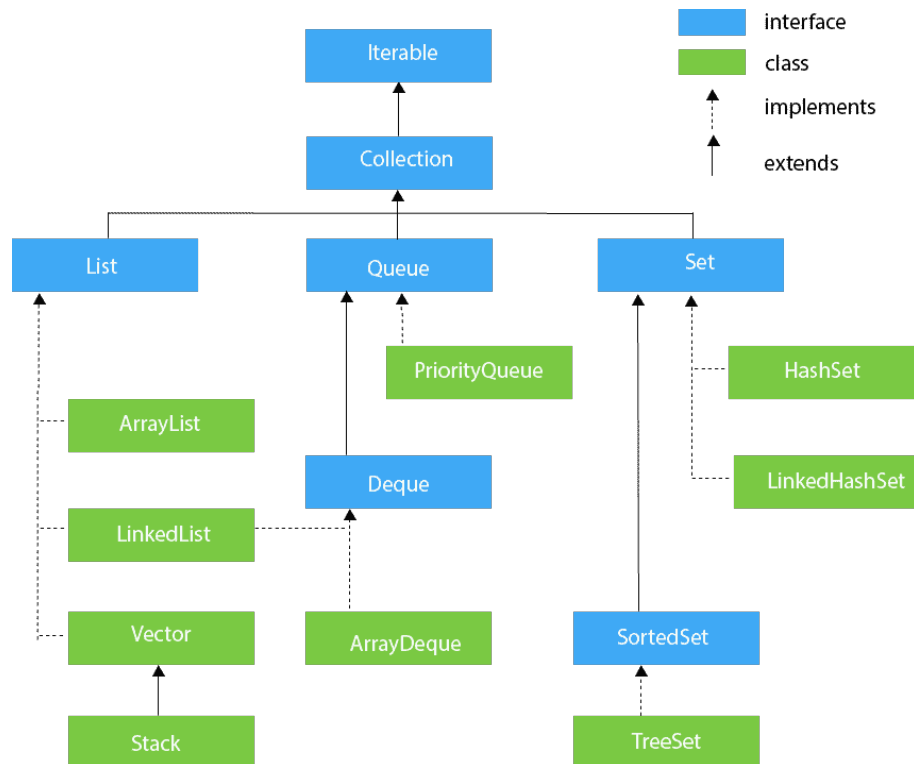
**Input:** `coll = {x0, x1, ..., xn}` for  $n > 1$

- $x$  is any arbitrary element of a class that implements the `Comparable` interface.
- there exists some  $a, b$  for all  $0 \leq a, b \leq n$ ,  $a \neq b$ , the value of  $x_a$  is equal to  $x_b$  and both  $x_a$  and  $x_b$  are the minimum elements in the collection.
- the collection is arranged according to the natural ordering [4] of its elements such that  $x_k \geq x_{k+1}$  for all  $0 \leq k < n$ .

## 3 Metamorphic Relations

### 3.1 Prerequisites Consideration

As shown in **Figure 1**, both `Collection` and `List` are Java interfaces.



**Figure 1:** Java Collections Framework hierarchy [7].

### 3.2 `Collections.sort(List<T> list)`

The return type of `Collections.sort` is `void` [3], the input list is modified when the method is called.

```

1 List x = new ArrayList<>(Arrays.asList(x0, x1, ..., xn));
2 List xTransformed = new ArrayList<>(x); // defined as x' below
3
4 ... // see input transformation below
5
6 // x and xTransformed are modified directly after method call
7 Collections.sort(x);
8 Collections.sort(xTransformed);

```

Description	Input Transformation	Relation
Reverse the original input list	<code>Collections.reverse(x')</code>	<code>x'.equals(x)</code>
Double the size and content of original input by adding itself	<code>x'.addAll(x);</code>	<code>x'.size() == 2 * x.size,</code> <code>x'[2n] == x[n],</code> <code>x'[2n+1] == x[n]</code>

### 3.3 `Collections.rotate(List<?> list, int distance)`

The return type of `Collections.rotate` is `void` [2], the input list is modified when the method is called.

Input Transformation	Relation
$x_{Transformed} =$	

### 3.4 Collections.min(Collection<? extends T> coll)

#### Prerequisites

The return type of Collections.min is type of the elements in the list [1].

Input Transformation	Relation
$x_{Transformed} =$	

## 4 Remarks

### References

- [1] *Collections min (java platform se8)*, Oracle Corporation, 5th Dec. 2019. [Online]. Available: <https://docs.oracle.com/javase/8/docs/api/java/util/Collections.html#min-java.util.Collection->.
- [2] *Collections rotate (java platform se8)*, Oracle Corporation, 5th Dec. 2019. [Online]. Available: <https://docs.oracle.com/javase/8/docs/api/java/util/Collections.html#rotate-java.util.List-int->.
- [3] *Collections sort (java platform se8)*, Oracle Corporation, 5th Dec. 2019. [Online]. Available: <https://docs.oracle.com/javase/8/docs/api/java/util/Collections.html#sort-java.util.List->.
- [4] *Comparable (java platform se 8)*, Oracle Corporation, 5th Dec. 2019. [Online]. Available: <https://docs.oracle.com/javase/8/docs/api/java/lang/Comparable.html>.
- [5] *Integer max value*, Oracle Corporation, 5th Dec. 2019. [Online]. Available: [https://docs.oracle.com/javase/8/docs/api/java/lang/Integer.html#MAX\\_VALUE](https://docs.oracle.com/javase/8/docs/api/java/lang/Integer.html#MAX_VALUE).
- [6] *Integer min value*, Oracle Corporation, 5th Dec. 2019. [Online]. Available: [https://docs.oracle.com/javase/8/docs/api/java/lang/Integer.html#MIN\\_VALUE](https://docs.oracle.com/javase/8/docs/api/java/lang/Integer.html#MIN_VALUE).
- [7] *Java collection class and interface hierarchy*, JavaTpoint, 4th Dec. 2019. [Online]. Available: <https://www.javatpoint.com/collections-in-java>.
- [8] *Jdk/collections.java rotate\_threshold branch*, Oracle Corporation, 5th Dec. 2019. [Online]. Available: <https://github.com/openjdk/jdk/blob/81ec9e3087764708/src/java.base/share/classes/java/util/Collections.java#L779>.
- [9] *Jdk/collections.java rotate\_threshold variable*, Oracle Corporation, 5th Dec. 2019. [Online]. Available: <https://github.com/openjdk/jdk/blob/81ec9e3087764708/src/java.base/share/classes/java/util/Collections.java#L109>.