# Kai Sun

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# **EDUCATION**

Cornell University, USA

Aug. 2015 – Present

Ph.D., Computer Science
- Advisor: Claire Cardie

Shanghai Jiao Tong University, China

Sept. 2011 - June 2015

B.S., Computer Science (ACM Honored Class, Zhiyuan College)

- Rank  $\mathbf{1st}$  in the ACM Honored Class

#### **PUBLICATIONS**

- Kai Sun, Lu Chen, Su Zhu and Kai Yu. The SJTU System for Dialog State Tracking Challenge 2. 15th Annual SIGdial Meeting on Discourse and Dialogue (SIGDIAL). 2014.
- Kai Sun, Lu Chen, Su Zhu and Kai Yu. A Generalized Rule Based Tracker for Dialogue State Tracking. IEEE Spoken Language Technology Workshop (SLT). 2014.
- Su Zhu, Lu Chen, **Kai Sun**, Da Zheng and Kai Yu. Semantic Parser Enhancement for Dialogue Domain Extension with Little Data. IEEE Spoken Language Technology Workshop (SLT). 2014.
- Kai Yu, Lu Chen, Bo Chen, **Kai Sun** and Su Zhu. Cognitive Technology in Task-Oriented Dialogue Systems Concepts, Advances and Future. Chinese Journal of Computers. 2014. (**Invited paper**)
- Qizhe Xie, Kai Sun, Su Zhu, Lu Chen and Kai Yu. Recurrent Polynomial Network for Dialogue State
  Tracking with Mismatched Semantic Parsers. 16th Annual SIGdial Meeting on Discourse and Dialogue
  (SIGDIAL). 2015.
- Kai Yu, **Kai Sun**, Lu Chen and Su Zhu. Constrained Markov Bayesian Polynomial for Efficient Dialogue State Tracking. IEEE/ACM Transactions on Audio, Speech and Language Processing (TASLP). 2015.
- Kai Yu, Lu Chen, **Kai Sun**, Su Zhu and Qizhe Xie. Evolvable Dialogue State Tracking for Statistical Dialogue Management. Frontiers of Computer Science. 2015.
- Kai Sun, Qizhe Xie and Kai Yu. Recurrent Polynomial Network for Dialogue State Tracking. Dialogue and Discourse (D&D). 2016.

## **MANUSCRIPTS**

• Kai Sun, Su Zhu, Lu Chen, Siqiu Yao, Xueyang Wu, Kai Yu. Hybrid Dialogue State Tracking for Real World Human-to-Human Dialogues. Submitted to Interspeech. 2016.

## RESEARCH EXPERIENCE

#### Shanghai Jiao Tong University, Research Assistant

- Assessment of the Academic Impact of Different Countries and Institutions Dec. 2012 Jan. 2013
  - Mentor: John Edward Hopcroft (Cornell University)
  - Mined researchers' information from DBLP and search engines such as Google Scholar and Microsoft Academic Search.
  - Extracted useful information and assessed the academic impact of different countries and institutions.

• Research on Dialogue Management

June 2013 - Aug. 2015

- Mentor: Kai Yu (SJTU Speech Lab)
- Researched on improving the state of the art in tracking the state of spoken dialogue systems using both rule-based and statistical approaches.
- Proposed two novel frameworks, referred to as Constrained Markov Bayesian Polynomial (CMBP) and Recurrent Bayesian Polynomial (RPN) respectively for dialogue state tracking.
- Participated in the 2nd Dialog State Tracking Challenge (DSTC-2) and ranked the 3rd.
- Participated in the 3rd Dialog State Tracking Challenge (DSTC-3) where our method was found to
  be one of the top three tracking methods (ranked 1st/2nd/3rd in "requested slots"/"method"/"joint
  goals" respectively), and was one of the only two methods that outperformed all four baselines of
  the challenge.

#### Cornell University

• Research on Machine Learning

July 1, 2014 - July 31, 2014

- Specialty Practice organized by John Edward Hopcroft (Cornell University)
- Compared the framework with both traditional rule-based approaches and statistical approaches.
- Refined the theory of Constrained Markov Bayesian Polynomial (CMBP).

## Microsoft Research Asia, Full-time Intern

• Research on Speech Synthesis

Aug. 2014 – Feb. 2015

- Mentors: Frank Soong and Lijuan Wang (MSRA Speech Group)
- Built a Recurrent Neural Network (RNN)-based text-to-speech (TTS) synthesis system which supports multi-task learning (MTL).
- Investigated how to control the age dimension of RNN-based TTS models by applying MTL and matrix decomposition.
- Studied on handling head stabilization and registration problem of 3D talking head using some computational geometry algorithms and computer vision algorithms such as Iterative Closest Point (ICP).

# Self-motivated Research in My Spare Time

• Research on AI in Board Games

2006 - Present

- Researched on improving the state-of-the-art since the strength of the state-of-the-art professional go-moku/renju programs still cannot outperform the best human player (with modern opening rules).
- Analyzed the weakness of top go-moku and renju programs and proposed a set of solutions.
- Designed Yixin which became the winner of the 13th, 14th, 15th, 16th, 17th Gomocup, significantly outperforming the AI in the second position with a gap of about 300 elo, and has been the strongest go-moku/renju program in the world since 2012.
- Took over the organization of Gomocup from 2016, which is currently the most famous and largest gomoku AI tournament in the world.
- More about Yixin: http://www.aiexp.info/pages/yixin.html
- More about Gomocup: http://gomocup.org/

# HONORS AND AWARDS

- Winner of the 13th, 14th, 15th, 16th, 17th Gomocup, 2012-2016
- University Fellowship, Cornell University, 2015
- Excellent Bachelor Thesis (Top 1%), Shanghai Jiao Tong University, 2015
- Zhiyuan Excellent Student Scholarship, Shanghai Jiao Tong University, 2015 (highest honor in Zhiyuan College)

- Outstanding Graduate Award, Shanghai Jiao Tong University, 2015
- Award of Excellence of MSRA Star of Tomorrow Internship Program, 2015
- National Scholarship, 2014 (highest scholarship in China, awarded to top 1% students)
- Microsoft Young Fellow Scholarship Award, 2014 (39 undergraduate&graduate students in China)
- Google Excellence Scholarship, 2014 (58 undergraduate&graduate students in China)
- Shanghai Government Scholarship, 2013 (1 student in the ACM Honored Class)
- Chun-Tsung Scholarship, 2013 (1 student in the ACM Honored Class)
- Academic Excellence Scholarship (First-Class), Shanghai Jiao Tong University, 2012 (awarded to top 1% students)
- KoGuan Scholarship, 2012 (55 undergraduate students in SJTU)
- First Prize, National High School Math League, 2010
- Silver Medal, National Olympiad in Informatics (NOI), 2010

## TEACHING EXPERIENCE

- Fall 2012: Teaching Assistant in Introduction to Computer Science (Lecturer)
- Fall 2013: Teaching Fellow in Introduction to Computer Science (Lecturer)
- Spring 2015: Teaching Fellow in Compiler Design and Implementation (Lecturer)