## IMRaD report for Karl

Alexandra Stephenson 5/24/23

#### Introduction

The data set consists of eight gene lines, each with one of two cell lines (wild-type or cell-type 101), one of two treatments (the placebo treatment or activating factor 42), and eleven different concentrations of growth factor (recorded in mg/ml). Thus, for each pair of cell line and treatment, there are two gene lines, each with eleven concentrations of growth factor (from 0 to 10). Only one data point is missing, that of concentration 5 mg/ml for gene line GL-fUg (with cell line wild-type and treatment activating factor 42).

This report investigates the impact of growth factor concentration, treatment, and cell line on gene expression, as well as the effect of gene line.

#### Method

The data was cleaned and analysed using the R language (R Core Team 2022), and the packages ggpubr (Kassambara 2023a), ggrepel (Slowikowski 2023), knitr (Xie 2023), lme4 (Bates et al. 2015), lmerTest (Kuznetsova, Brockhoff, and Christensen 2017), patchwork (Pedersen 2022), performance (Lüdecke et al. 2021), readr (Wickham, Hester, and Bryan 2023), rstatix (Kassambara 2023b), showtext (Qiu and software. 2022), sjPlot (Lüdecke 2023), and tidyverse (Wickham et al. 2019). Any data points recorded as -99 were taken to indicate no data was recorded, or NA.

Exploratory data analysis was then conducted on the data, including plotting gene expression versus concentration, gene expression versus cell line, and gene expression versus treatment.

Several mixed effects models were then fit, and compared using Akaike's Information Criterion (AIC),  $\mathbb{R}^2$  values and root mean squared error.

#### Results

#### ASSUMPTIONS CHECKING

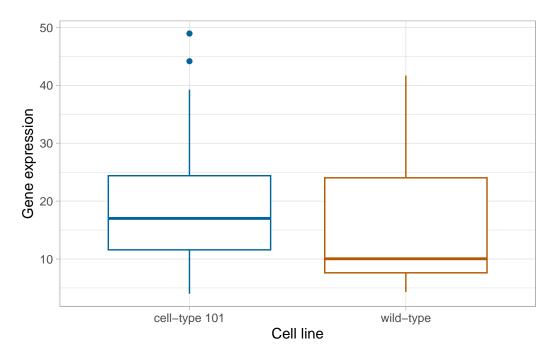


Figure 1: A boxplot of gene expression for each cell line (wild-type and cell-type 101).

A boxplot of gene expression, grouped by cell line, is shown in Figure 1. From this boxplot, it can be seen that there does not appear to be a significant difference between gene expression for wild-type and gene expression for cell-type 101. This suggests that cell line may not be a predictor of gene expression, or at least, not on its own.

Figure 2 shows a boxplot of gene expression for each treatment type (placebo or activating factor 42). From this boxplot, it can be seen that there does appear to be a difference between gene expression for placebo and gene expression for activating factor 42. This suggests that treatment is a predictor of gene expression.

The data is plotted in Figure 3, with gene expression on the y axis and concentration on the x axis, with the data points coloured by cell line and treatment. From this plot, it can be seen that there does appear to be a relationship between concentration and gene expression, which suggests that concentration is a predictor of gene expression. From Figure 3, it can be seen that there appear to be differences between the pairs (cell-type 101, placebo) and (wild-type, placebo) and the other two pairs of cell line and treatment. However, there does not appear to be a difference between (cell-type 101, activating factor 42) and (wild-type, activating factor 42). This suggests that for the placebo treatment, cell line has an impact on gene expression,



Figure 2: A boxplot of gene expression for each treatment (placebo and activating factor 42).



Figure 3: A plot of gene expression as a function of concentration, coloured by cell line (wild-type or cell-type 101) and treatment (placebo or activating factor 42).

but for the activating factor, cell line may not have an impact on gene expression. Thus, cell line may be a predictor for gene expression.

**REPEATED MEASURES HERE** Given that the gene expression for each cell line and treatment was measured for different concentrations of growth factor for the same gene line, then this must be taken into account in fitting models on the data.

A linear model can be fit using the step function to select the best model based on AIC, where the full scope is gene expression as a function of concentration, treatment, and cell line, with interaction terms between all three predictors. Using AIC, the function selects the full model as the best model.

```
lm_null <- lm(GE ~ 1, data = data_long)
scope <- GE ~ concentration*treatment*CL
lm_step <- step(lm_null, scope = scope, direction = "both", trace = 0)
summary(lm_step)</pre>
```

However, this does not consider the impact of gene line. A model can be fitted for gene expression as a function of concentration, with gene line as a random effect. The residuals plot for this model is shown in Figure 4. From this figure, it can be seen that there is still variance not explained by concentration alone, so this model will not be considered further.

```
Linear mixed model fit by REML. t-tests use Satterthwaite's method [
lmerModLmerTestl
Formula: GE ~ concentration + (1 | GL)
   Data: data long
REML criterion at convergence: 506.2
Scaled residuals:
                    Median
                                  3Q
                                          Max
-2.03686 -0.51171 -0.05062 0.55788 2.46633
Random effects:
 Groups
          Name
                      Variance Std.Dev.
 GL
          (Intercept) 68.48
                                8.275
                      14.27
 Residual
                                3.777
Number of obs: 87, groups: GL, 8
Fixed effects:
              Estimate Std. Error
                                        df t value Pr(>|t|)
                7.2948
                           3.0215 7.6657
                                             2.414
                                                     0.0435 *
(Intercept)
```

0.1273 78.0015 16.069

<2e-16 \*\*\*

concentration

2.0461

---

Signif. codes: 0 '\*\*\*' 0.001 '\*\*' 0.01 '\*' 0.05 '.' 0.1 ' ' 1

Correlation of Fixed Effects:

(Intr)

concentratn -0.211

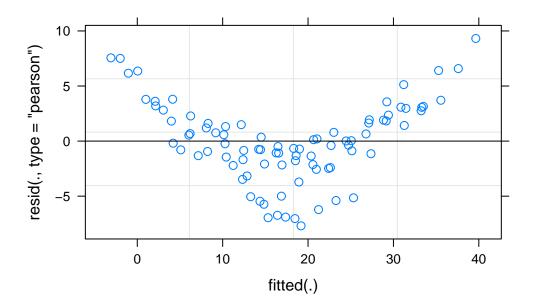


Figure 4: The residuals plot for the model of gene expression as a function of concentration, with gene line as a random effect.

The next model considered fits gene expression as a function of concentration and treatment (with interaction terms), as well as gene line as a random effect. The residuals plot for this model is shown in Figure 5.

Linear mixed model fit by REML. t-tests use Satterthwaite's method [

lmerModLmerTest]

Formula: GE ~ concentration \* treatment + (1 | GL)

Data: data\_long

REML criterion at convergence: 396.5

Scaled residuals:

Min 1Q Median 3Q Max

#### -1.84951 -0.73934 -0.04455 0.72880 2.38181

Random effects:

Groups Name Variance Std.Dev.
GL (Intercept) 12.609 3.551
Residual 4.255 2.063
Number of obs: 87, groups: GL, 8

#### Fixed effects:

concentration:treatmentplacebo \*\*\*

---

Signif. codes: 0 '\*\*\* 0.001 '\*\* 0.01 '\* 0.05 '.' 0.1 ' ' 1

Correlation of Fixed Effects:

(Intr) cncntr trtmnt

concentratn -0.263

tretmntplcb -0.707 0.186

cncntrtn:tr 0.186 -0.707 -0.263

Two models are fitted that include cell line as a predictor. One with interaction terms between concentration and treatment only, and one with interaction terms between concentration, treatment and cell line. The residuals plots for these models are shown in Figure 6 and Figure 7, respectively.

Linear mixed model fit by REML. t-tests use Satterthwaite's method [ lmerModLmerTest]

Formula: GE ~ concentration \* treatment + CL + (1 | GL)

Data: data\_long

REML criterion at convergence: 391

Scaled residuals:

Min 1Q Median 3Q Max

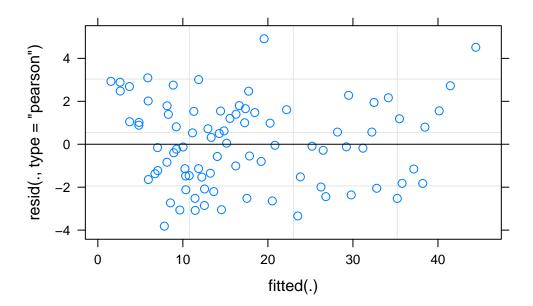


Figure 5: The residuals plot for the model of gene expression as a function of concentration and treatment (with interaction terms), with gene line as a random effect.

-1.87305 -0.77007 -0.03212 0.71414 2.36286

#### Random effects:

Groups Name Variance Std.Dev.
GL (Intercept) 10.729 3.275
Residual 4.255 2.063
Number of obs: 87, groups: GL, 8

#### Fixed effects:

	Estimate	Std. Error	df	t value	Pr(> t )
(Intercept)	11.40960	2.10015	5.59703	5.433	0.00201
concentration	2.99068	0.09834	77.00431	30.413	< 2e-16
treatmentplacebo	-4.88000	2.45837	5.90950	-1.985	0.09509
CLwild-type	-3.34716	2.35799	5.00431	-1.419	0.21493
concentration: treatmentplacebo	-1.88920	0.13907	77.00431	-13.585	< 2e-16

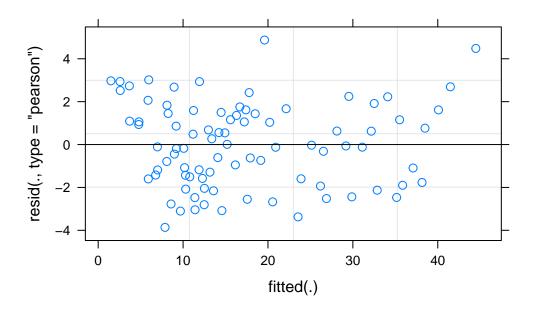


Figure 6: The residuals plot for the model of gene expression as a function of concentration and treatment (with interaction terms) and cell line (without any interaction terms), with gene line as a random effect.

```
Linear mixed model fit by REML. t-tests use Satterthwaite's method [ lmerModLmerTest] Formula: GE \sim concentration * treatment * CL + (1 | GL)
```

Data: data\_long

REML criterion at convergence: 349.1

```
Scaled residuals:
    Min 1Q Median
                                3Q
                                        Max
-2.02535 -0.53265 -0.04358 0.58637 2.57581
Random effects:
 Groups
                     Variance Std.Dev.
          (Intercept) 10.828
                              3.291
 Residual
                      2.608
                              1.615
Number of obs: 87, groups: GL, 8
Fixed effects:
                                          Estimate Std. Error
                                                                    df t value
(Intercept)
                                           9.91750
                                                      2.41428 4.43886
                                                                         4.108
                                                      0.10887 75.00175 28.027
concentration
                                           3.05141
treatmentplacebo
                                          -4.92159
                                                      3.41431 4.43886 -1.441
                                          -0.36156
                                                      3.41518 4.44335 -0.106
CLwild-type
concentration:treatmentplacebo
                                          -1.40550
                                                      0.15397 75.00175 -9.128
concentration: CLwild-type
                                          -0.12145
                                                      0.15397 75.00175 -0.789
treatmentplacebo:CLwild-type
                                           0.08179
                                                     4.82918 4.44111
                                                                         0.017
concentration:treatmentplacebo:CLwild-type -0.96741
                                                      0.21775 75.00175 -4.443
                                          Pr(>|t|)
(Intercept)
                                            0.0119 *
concentration
                                           < 2e-16 ***
treatmentplacebo
                                            0.2161
                                            0.9203
CLwild-type
concentration:treatmentplacebo
                                          8.48e-14 ***
                                            0.4327
concentration: CL wild-type
treatmentplacebo: CLwild-type
                                            0.9872
concentration:treatmentplacebo:CLwild-type 3.01e-05 ***
Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
Correlation of Fixed Effects:
            (Intr) cncntr trtmnt CLwld- cncnt: cn:CL- tr:CL-
concentratn -0.225
tretmntplcb -0.707 0.159
CLwild-type -0.707 0.159 0.500
cncntrtn:tr 0.159 -0.707 -0.225 -0.113
cncntrt:CL- 0.159 -0.707 -0.113 -0.225 0.500
trtmntp:CL- 0.500 -0.113 -0.707 -0.707 0.159 0.159
```

The residuals plots in Figure 5 and Figure 6 both appear to not have any residual variance,

cncntr::CL- -0.113 0.500 0.159 0.159 -0.707 -0.707 -0.225

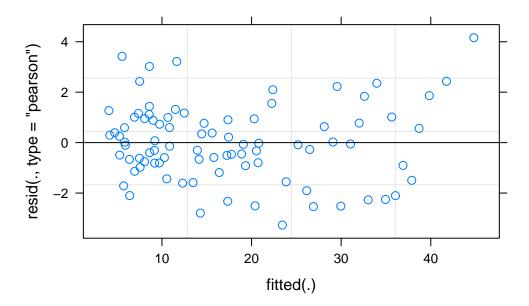


Figure 7: The residuals plot for the model of gene expression as a function of concentration, treatment and cell line (with interaction terms between all three predictors), with gene line as a random effect.

Table 1: The estimates and p-values for these estimates for the mixed effects model with concentration, treatment and cell line predictors, and an interaction term between concentration and treatment, as well as a gene line random effects.

		GE	
Predictors	Estimates	CI	p
(Intercept)	11.41	7.23 - 15.59	< 0.001
concentration	2.99	2.79 - 3.19	< 0.001
treatment [placebo]	-4.88	-9.77 - 0.01	0.051
CL [wild-type]	-3.35	-8.04 - 1.35	0.160
concentration * treatment[placebo]	-1.89	-2.171.61	< 0.001
Random Effects			
s2	4.25		
t00GL	10.73		
ICC	0.72		
N GL	8		
Observations	87		
Marginal \$R^2\$ / Conditional \$R^2\$	0.877 / 0.965		

whilst the residuals plot for the model with interaction terms between all three predictors (in Figure 7) appears to possibly have some residual variance. **CHECK THIS** 

Table 1 shows the estimates and p-values for these estimates for the mixed effects model with concentration and treatment (with the interaction term) and cell line (without interactions with this predictor), as well as the gene line random effects. From this table, it can be seen that the cell line predictor is not statistically significant, so this term should be removed from the model. Removing this term results in the mixed effects models with concentration and treatment predictors (with the interaction term) and the gene line random effects. The estimates for this model are shown in **?@tbl-sjPlot-m2**, along with the p-values for these estimates.

?@tbl-sjPlot-m4 shows the estimates and p-values for the model with concentration, treatment and cell line as predictors, along with interaction terms between all predictors, and gene line random effects. From this table, it can be seen that the interaction term between concentration, treatment and cell line is statistically significant, so this term should be kept. Because this term should be kept, then all of the other fixed effect terms should also be kept.

The estimates for two remaining mixed effects models being studied are shown in ?@tbl-sjPlot-step-m24, along with fixed effects model.

Table 5 and Table 6 show the results of applying the function ranova to the two mixed effects models considered. From these tables, it can be seen that the random effects are significant in both models. Thus, these random effect terms should not be removed.

## Table 2: ?(caption)

```
GE
          Predictors
          Estimates
              CI
               p
          (Intercept)
             9.74
         6.02 - 13.46
           < 0.001
         concentration
             2.99
          2.80 - 3.19
           < 0.001
     treatment [placebo]
             -4.88
         -10.14 - 0.38
             0.068
  concentration * treatment
           [placebo]
             -1.89
         -2.17 - -1.61
            < 0.001
       Random Effects
             4.25
             00 GL
             12.61
             ICC
             0.75
             N _{\rm GL}
               8
         Observations
              87
Marginal {\bf R}^2 / Conditional {\bf R}^2
         0.860 / 0.965
```

```
Table 3: ?(caption)
GE
```

Predictors Estimates CIр (Intercept) 9.92 5.11 - 14.72< 0.001 concentration 3.052.83 - 3.27< 0.001 treatment [placebo] -4.92-11.72 - 1.880.154CL [wild-type] -0.36-7.16 - 6.440.916concentration \* treatment [placebo] -1.41 -1.71 - -1.10< 0.001 concentration \* CL [wild-type] -0.12-0.43 - 0.190.433treatment [placebo] \* CL [wild-type] 0.08-9.53 - 9.700.987(concentration \*treatment [placebo]) \* CL [wild-type] -0.97-1.40 - -0.53< 0.001 Random Effects  $2_{1}61$  $00~\mathrm{GL}$ 10.83 ICC 0.81N  $_{\rm GL}$ 

Observations

```
Table 4: ?(caption)
```

GE

GE

GE

Predictors

Estimates

CI

р

Estimates

CI

p

Estimates

CI

p

(Intercept)

9.92

7.59 - 12.25

< 0.001

9.74

6.02 - 13.46

< 0.001

9.92

5.11 - 14.72

< 0.001

concentration

3.05

2.66 - 3.45

< 0.001

2.99

2.80 - 3.19

< 0.001

3.05

2.83 - 3.27

< 0.001

treatment [placebo]

-4.92

-8.22 - -1.62

0.004

-4.88

-10.14 - 0.38

0.068

-4.92

-11.72 - 1.88

0.154

CL [wild-type]

-0.31

-3.62 - 2.99

0.850

-0.36

-7.16 - 6.44

0.916

concentration \* treatment

Table 5: The results of the function ranova applied to the mixed effects model with concentration and treatment (and the interaction term between these predictors) as fixed effects. CHECK THIS

	npar	logLik	AIC	LRT	Df	Pr(>Chisq)
<none></none>	6	-198.2331	408.4662			
$(1 \mid GL)$	5	-237.8918	485.7837	79.31749	1	5.288934e-19

Table 6: The results of the function ranova applied to the mixed effects model with concentration, treatment and cell line (and the interaction terms between these predictors) as fixed effects. CHECK THIS

	npar	logLik	AIC	LRT	Df	Pr(>Chisq)
<none></none>	10	-174.5644	369.1289			
$(1 \mid GL)$	9	-214.1471	446.2942	79.16527	1	5.712558e-19

Table 7: The AIC,  $R^{2}$  values and root mean squared errors for each of the three fitted models.

Name	AIC	R2	R2_conditional	RMSE
$lm\_step$	443.9494	0.9327916		2.798396
$\overline{m2}$	408.8027		0.9647610	1.942813
$\overline{\mathrm{m4}}$	372.5428		0.9788391	1.500209

The fixed effects model and mixed effects models can be compared to each other using AIC values,  $R^2$  values and RMSE values (shown in Table 7). From these values, it can be seen that the model with interaction terms between concentration, treatment and cell line has the best AIC. The other two mixed effects models, where there is either no interaction with cell line or cell line is not a predictor, have very similar AIC values, whilst the model without random effects has the worst AIC. The conditional  $R^2$  values, which take into account both the fixed effects and the random effects, are very similar for all models, but the model with all interaction terms is still slightly better. The  $R^2$  value for the fixed effects model is worse than the conditional  $R^2$  values for the mixed effects models. The root mean square errors of the first two mixed effects model in Table 7 are very similar, whilst the root mean squared error for the fixed effects model is much greater than the other values. The lowest root mean squared error occurs for the mixed effects model with interaction terms between all three predictors, suggesting that this model is the best. Thus, the model with interaction terms between all three predictors, and with gene line as a random effect, appears to be the best model.

#### MORE HERE MAYBE

#### **Discussion**

# TALK ABOUT CHOSEN MODEL AND HOW IT PREDICTS GENE EXPRESSION

The chosen model is the mixed effects model with concentration, treatment and cell line as predictors, along with all interaction terms between the three predictors, and gene line as a random effect. This model is shown as the lines in Figure 8, where each line is the fitted model for a different gene line. This figure shows how the gene lines with the placebo treatment (in darker brown and lighter blue) have a flatter slope than the gene lines with the activating factor 42 treatment (in lighter brown, grey and darker blue). The slope of the fitted model for the wild-type cell lines with the placebo treatments (in darker brown) is also flatter than the slope of the fitted model for the cell-type 101 cell lines with the placebo treatments (in lighter blue).

The coefficients of the fitted model are shown in Table 8, and the random intercepts are shown in Table 9. The intercept for each gene line is found as the overall intercept (in Table 8) plus the gene line specific intercept in Table 9.

From these tables, it can be seen that as growth factor concentration increases, so does gene expression. It can also be seen that the placebo treatment has a smaller intercept and flatter slope than the activating factor 42 treatment does. Similarly, the wild-type cell line has a lower intercept and flatter slope than the cell-type 101 cell line does. Thus, gene expression is higher for higher concentrations of the growth factor, the activating factor 42 treatment and cell-type 101 cell line. Conversely, lower concentrations of the growth factor, the placebo treatment and wild-type cell line results in lower gene expression.

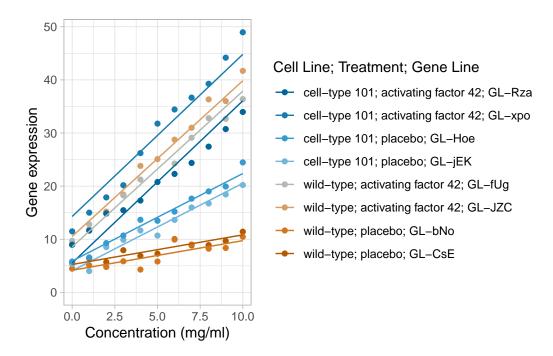


Figure 8: A plot of gene expression as a function of concentration, coloured by gene line (with cell line and treatment also indicated), and with the fitted model indicated by the lines.

Table 8: The coefficients of the chosen model. The value of the intercept is the overall intercept, which is added to the values in @tbl-random-coefs-m4 to find the intercept for each gene line. CHECK THIS

	value
(Intercept)	9.9175000
concentration	3.0514091
treatmentplacebo	-4.9215909
CLwild-type	-0.3615634
concentration:treatmentplacebo	-1.4055000
concentration:CLwild-type	-0.1214545
treatmentplacebo:CLwild-type	0.0817907
concentration: treatment place bo: CL wild-type	-0.9674091

Table 9: The difference from the overall intercept for each gene line. CHECK THIS

	value
GL-bNo	-0.5448884
GL-CsE	0.5448884
GL-fUg	-0.9801050
GL-Hoe	0.9171917
GL-jEK	-0.9171917
GL-JZC	0.9801050
GL-Rza	-4.3688926
GL-xpo	4.3688926

### Appendix: Code

```
pacman::p load(tidyverse, readr, lme4, knitr, performance, sjPlot, lmerTest, sjtable2df)
options(knitr.kable.NA = "")
theme_set(theme_light())
data <- read_csv("data/2023-03-01_gene-data.csv")</pre>
data_long <- data %>%
  mutate(CL = `cell line`,
         treat = treatment) %>%
  unite(`cell line`, `treat`, sep = "; ", col = "grouping") %>%
  pivot_longer(cols = 4:14, names_to = "concentration", values_to = "GE") %>%
  filter(GE \geq= 0) %>%
  mutate(concentration = as.integer(concentration),
         GL = as.factor(sheet names),
         CL = as.factor(CL),
         treatment = as.factor(treatment),
         grouping = as.factor(grouping))
data_long %>%
  ggplot(aes(x = CL, y = GE, col = CL)) +
  geom_boxplot() +
  theme(legend.position = 'none') +
  harrypotter::scale_color_hp_d("Ravenclaw") +
  labs(x = "Cell line",
       y = "Gene expression")
data long %>%
  ggplot(aes(x = treatment, y = GE, col = treatment)) +
  geom_boxplot() +
  theme(legend.position = 'none') +
```

```
harrypotter::scale_color_hp_d("Ravenclaw") +
  labs(x = "Treatment",
       y = "Gene expression")
data_long %>%
  ggplot(aes(x = concentration, y = GE, color = grouping)) +
  geom_point() +
  ylim(0, NA) +
  harrypotter::scale_color_hp_d("Ravenclaw") +
  labs(x = "Concentration (mg/ml)",
       y = "Gene expression",
       color = "Cell Line; Treatment")
m1 <- lmer(GE ~ concentration + (1|GL), data = data_long, na.action = na.omit)
summary(m1)
plot(m1)
m2 <- lmer(GE ~ concentration*treatment + (1|GL), data = data_long, na.action = na.omit)</pre>
summary(m2)
plot(m2)
m3 <- lmer(GE ~ concentration*treatment + CL + (1|GL), data = data_long, na.action = na.om
summary(m3)
plot(m3)
m4 <- lmer(GE ~ concentration*treatment*CL + (1|GL), data = data_long, na.action = na.omit
summary(m4)
plot(m4)
# tab_model(m3)
mtab2df(tab_model(m3), n_models=1, output = "kable")
tab_model(m2)
tab model(m4)
lm_step <- lm(GE ~ concentration*treatment*CL, data = data_long)</pre>
tab_model(lm_step, m2, m4)
ranova(m2) %>%
  kable(digits = c(0,4,4,5,4,25))
ranova(m4) %>%
  kable(digits = c(0,4,4,5,4,25))
compare_performance(lm_step, m2, m4) %>%
  select(c("Name", "AIC", "R2", "R2_conditional", "RMSE")) %>%
  kable(digits = c(0,4,7,7,6))
data_long %>%
  mutate(group = grouping,
         geneline = GL) %>%
  unite(group, geneline, sep = "; ", col = "grouping2") %>%
  ggplot(aes(x = concentration, y = GE, color = grouping2)) +
```

```
geom_point() +
  geom_line(aes(y = predict(m4))) +
  ylim(0, NA) +
  # scale_color_manual(values=c("#006699", "#006699", "#98C2D9", "#98C2D9", "#D9AC82", "#D
  harrypotter::scale_color_hp_d("Ravenclaw") +
  labs(x = "Concentration (mg/ml)",
       y = "Gene expression",
       color = "Cell Line; Treatment; Gene Line")
fixef(m4) %>%
  data.frame() %>%
  rename(value = ".") %>%
  kable()
random_effects <- ranef(m4)$GL
random_effects %>%
  rename(value = `(Intercept)`) %>%
  kable()
```

Bates, Douglas, Martin Mächler, Ben Bolker, and Steve Walker. 2015. "Fitting Linear Mixed-Effects Models Using lme4." *Journal of Statistical Software* 67 (1): 1–48. https://doi.org/10.18637/jss.v067.i01.

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Kuznetsova, Alexandra, Per B. Brockhoff, and Rune H. B. Christensen. 2017. "ImerTest Package: Tests in Linear Mixed Effects Models." *Journal of Statistical Software* 82 (13): 1–26. https://doi.org/10.18637/jss.v082.i13.

Lüdecke, Daniel. 2023. sjPlot: Data Visualization for Statistics in Social Science. https://CRAN.R-project.org/package=sjPlot.

Lüdecke, Daniel, Mattan S. Ben-Shachar, Indrajeet Patil, Philip Waggoner, and Dominique Makowski. 2021. "performance: An R Package for Assessment, Comparison and Testing of Statistical Models." *Journal of Open Source Software* 6 (60): 3139. https://doi.org/10.21105/joss.03139.

Pedersen, Thomas Lin. 2022. patchwork: The Composer of Plots. https://CRAN.R-project.org/package=patchwork.

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