

# IMRaD report for Karl

Alexandra Stephenson

5/24/23

## Introduction

The data set consists of eight gene lines, each with one of two cell lines (wild-type or cell-type 101), one of two treatments (the placebo treatment or activating factor 42), and eleven different concentrations of growth factor (recorded in mg/ml). Thus, for each pair of cell line and treatment, there are two gene lines, each with eleven concentrations of growth factor (from 0 to 10). Only one data point is missing, that of concentration 5 mg/ml for gene line GL-fUg (with cell line wild-type and treatment activating factor 42).

This report investigates the impact of growth factor concentration, treatment, and cell line on gene expression, as well as the effect of gene line.

## Method

The data was cleaned and analysed using the R language (R Core Team 2022), and the packages `ggpubr` (Kassambara 2023a), `ggrepel` (Slowikowski 2023), `knitr` (Xie 2023), `lme4` (Bates et al. 2015), `lmerTest` (Kuznetsova, Brockhoff, and Christensen 2017), `patchwork` (Pedersen 2022), `performance` (Lüdtke et al. 2021), `readr` (Wickham, Hester, and Bryan 2023), `rstatix` (Kassambara 2023b), `showtext` (Qiu and software. 2022), `sjPlot` (Lüdtke 2023), and `tidyverse` (Wickham et al. 2019). Any data points recorded as `-99` were taken to indicate no data was recorded, or NA.

Exploratory data analysis was then conducted on the data, including plotting gene expression versus concentration, gene expression versus cell line, and gene expression versus treatment.

Several mixed effects models were then fit, and compared using Akaike's Information Criterion (AIC),  $R^2$  values and root mean squared error.

## Results

### ASSUMPTIONS CHECKING

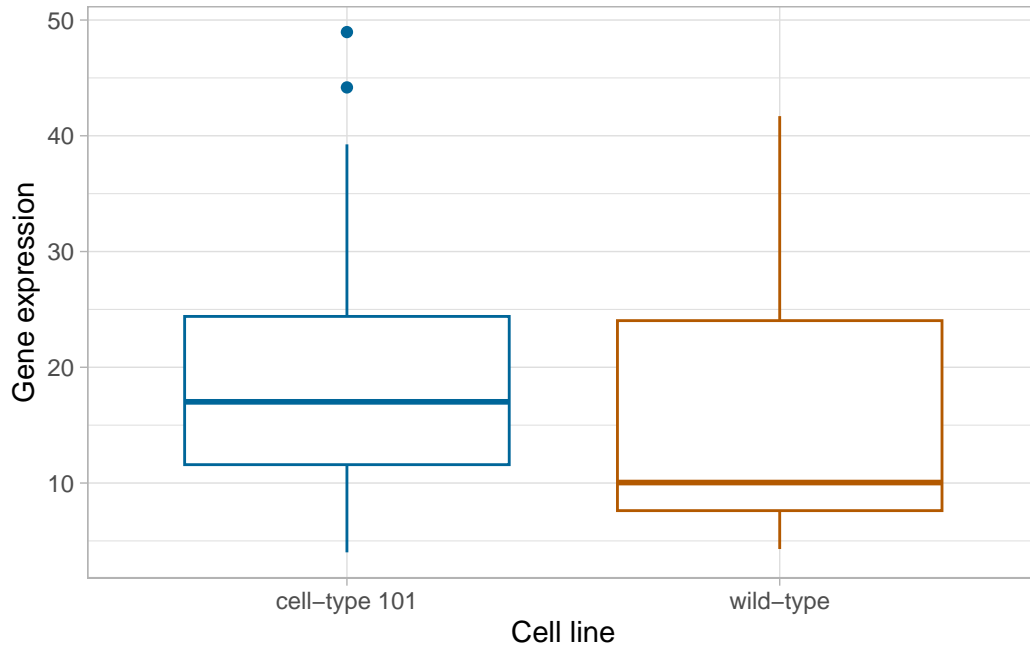


Figure 1: A boxplot of gene expression for each cell line (wild-type and cell-type 101).

A boxplot of gene expression, grouped by cell line, is shown in Figure 1. From this boxplot, it can be seen that there does not appear to be a significant difference between gene expression for wild-type and gene expression for cell-type 101. This suggests that cell line may not be a predictor of gene expression, or at least, not on its own.

Figure 2 shows a boxplot of gene expression for each treatment type (placebo or activating factor 42). From this boxplot, it can be seen that there does appear to be a difference between gene expression for placebo and gene expression for activating factor 42. This suggests that treatment is a predictor of gene expression.

The data is plotted in Figure 3, with gene expression on the y axis and concentration on the x axis, with the data points coloured by cell line and treatment. From this plot, it can be seen that there does appear to be a relationship between concentration and gene expression, which suggests that concentration is a predictor of gene expression. From Figure 3, it can be seen that there appear to be differences between the pairs (cell-type 101, placebo) and (wild-type, placebo) and the other two pairs of cell line and treatment. However, there does not appear to be a difference between (cell-type 101, activating factor 42) and (wild-type, activating factor 42). This suggests that for the placebo treatment, cell line has an impact on gene expression,



Figure 2: A boxplot of gene expression for each treatment (placebo and activating factor 42).



Figure 3: A plot of gene expression as a function of concentration, coloured by cell line (wild-type or cell-type 101) and treatment (placebo or activating factor 42).

but for the activating factor, cell line may not have an impact on gene expression. Thus, cell line may be a predictor for gene expression.

**REPEATED MEASURES HERE** Given that the gene expression for each cell line and treatment was measured for different concentrations of growth factor for the same gene line, then this must be taken into account in fitting models on the data.

A linear model can be fit using the **step** function to select the best model based on AIC, where the full scope is gene expression as a function of concentration, treatment, and cell line, with interaction terms between all three predictors. Using AIC, the function selects the full model as the best model, which is summarised in Table 1.

Table 1: **CAPTION**

term	estimate	std.error	statistic	p.value
(Intercept)	9.9175000	1.1713265	8.4668961	0.0000000
treatmentplacebo	-4.9215909	1.6565059	-2.9710676	0.0039305
concentration	3.0514091	0.1979903	15.4119106	0.0000000
CLwild-type	-0.3148918	1.6621307	-0.1894507	0.8502255
treatmentplacebo:concentration	-1.4055000	0.2800006	-5.0196322	0.0000031
treatmentplacebo:CLwild-type	0.0351190	2.3466338	0.0149657	0.9880973
concentration:CLwild-type	-0.1214545	0.2800006	-0.4337653	0.6656411
treatmentplacebo:concentration:CLwild-type	-0.9674091	0.3959806	-2.4430717	0.0167938

However, this does not consider the impact of gene line. A model can be fitted for gene expression as a function of concentration, with gene line as a random effect. The residuals plot for this model is shown in Figure 4 and a summary of the model is shown in Table 2. From this figure, it can be seen that there is still variance not explained by concentration alone, so this model will not be considered further.

The next model considered fits gene expression as a function of concentration and treatment (with interaction terms), as well as gene line as a random effect. The residuals plot for this model is shown in Figure 5 and a summary of the model is shown in Table 3.

Two models are fitted that include cell line as a predictor. One with interaction terms between concentration and treatment only, and one with interaction terms between concentration, treatment and cell line. The residuals plots for these models are shown in Figure 6 and Figure 7, respectively, along with summaries of the models shown in Table 4 and Table 5.

The residuals plots in Figure 5 and Figure 6 both appear to not have any residual variance, whilst the residuals plot for the model with interaction terms between all three predictors (in Figure 7) appears to possibly have some residual variance. **CHECK THIS**

Table 2: CAPTION

Predictors	GE		
	Estimates	CI	p
(Intercept)	7.29	1.29 – 13.30	0.018
concentration	2.05	1.79 – 2.30	<0.001
Random Effects			
s2	14.27		
t00GL	68.48		
ICC	0.83		
N GL	8		
Observations	87		
Marginal $R^2$ / Conditional $R^2$	0.341 / 0.886		

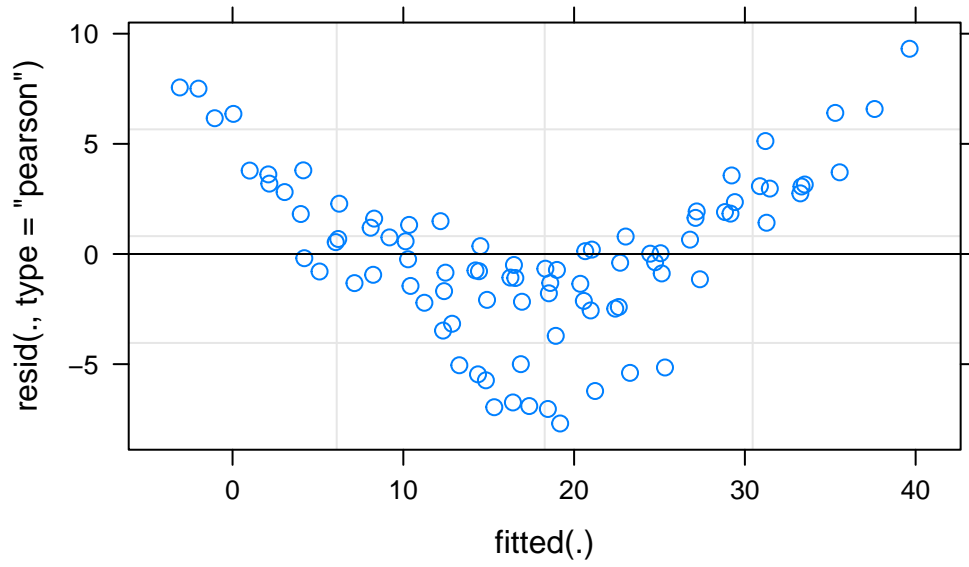


Figure 4: The residuals plot for the model of gene expression as a function of concentration, with gene line as a random effect.

Table 3: CAPTION

Predictors	GE		
	Estimates	CI	p
(Intercept)	9.74	6.02 – 13.46	<0.001
concentration	2.99	2.80 – 3.19	<0.001
treatment [placebo]	-4.88	-10.14 – 0.38	0.068
concentration * treatment[placebo]	-1.89	-2.17 – -1.61	<0.001
Random Effects			
s2	4.25		
t00GL	12.61		
ICC	0.75		
N GL	8		
Observations	87		
Marginal $R^2$ / Conditional $R^2$	0.860 / 0.965		

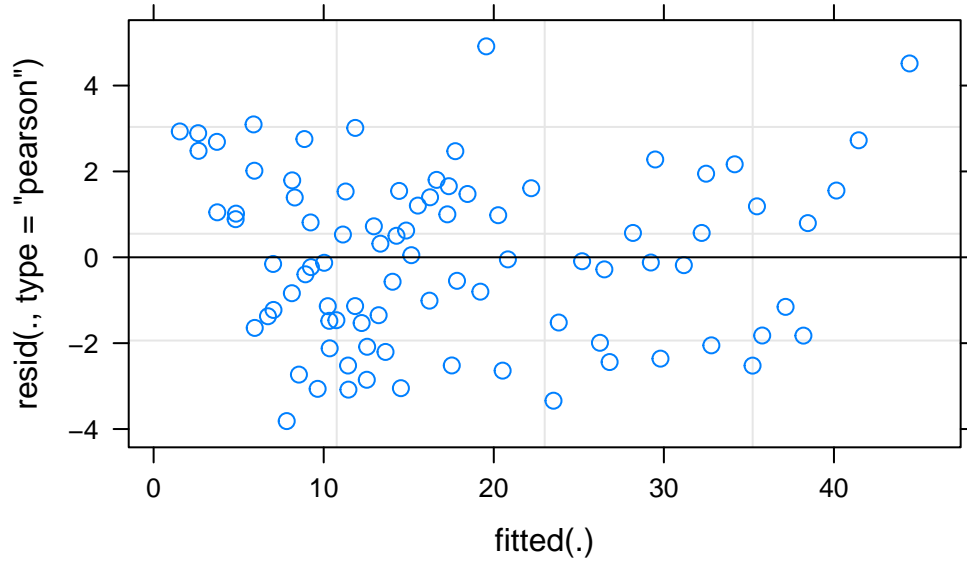


Figure 5: The residuals plot for the model of gene expression as a function of concentration and treatment (with interaction terms), with gene line as a random effect.

Table 4: CAPTION

Predictors	GE		
	Estimates	CI	p
(Intercept)	11.41	7.23 – 15.59	<0.001
concentration	2.99	2.79 – 3.19	<0.001
treatment [placebo]	-4.88	-9.77 – 0.01	0.051
CL [wild-type]	-3.35	-8.04 – 1.35	0.160
concentration * treatment[placebo]	-1.89	-2.17 – -1.61	<0.001
Random Effects			
s2	4.25		
t00GL	10.73		
ICC	0.72		
N GL	8		
Observations	87		
Marginal $R^2$ / Conditional $R^2$	0.877 / 0.965		

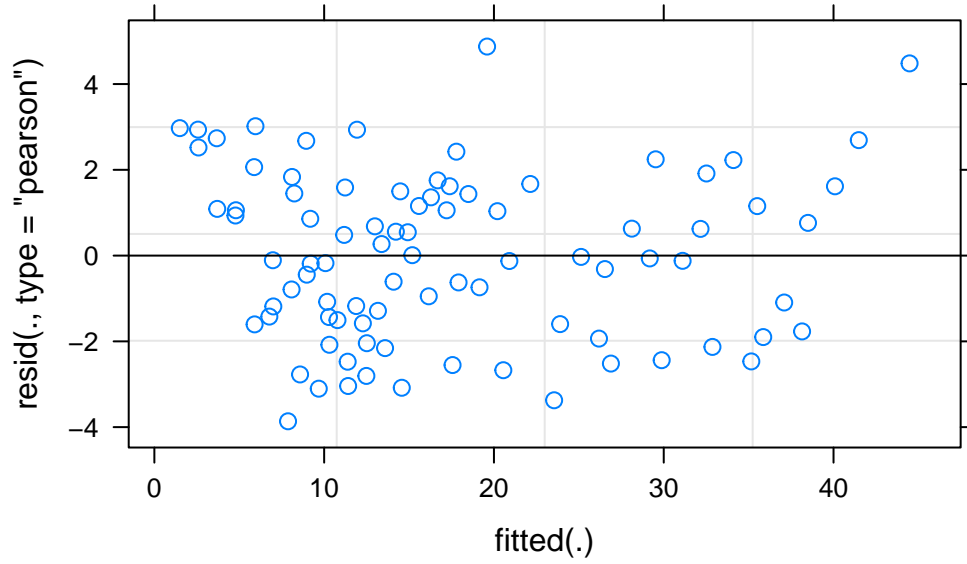


Figure 6: The residuals plot for the model of gene expression as a function of concentration and treatment (with interaction terms) and cell line (without any interaction terms), with gene line as a random effect.

Table 5: CAPTION

Predictors	GE		
	Estimates	CI	p
(Intercept)	9.92	5.11 – 14.72	<0.001
concentration	3.05	2.83 – 3.27	<0.001
treatment [placebo]	-4.92	-11.72 – 1.88	0.154
CL [wild-type]	-0.36	-7.16 – 6.44	0.916
concentration * treatment[placebo]	-1.41	-1.71 – -1.10	<0.001
concentration * CL[wild-type]	-0.12	-0.43 – 0.19	0.433
treatment [placebo] * CL[wild-type]	0.08	-9.53 – 9.70	0.987
(concentration * treatment [placebo]) * CL[wild-type]	-0.97	-1.40 – -0.53	<0.001
Random Effects			
s <sup>2</sup>	2.61		
t00GL	10.83		
ICC	0.81		
N GL	8		
Observations	87		
Marginal $R^2$ / Conditional $R^2$	0.891 / 0.979		

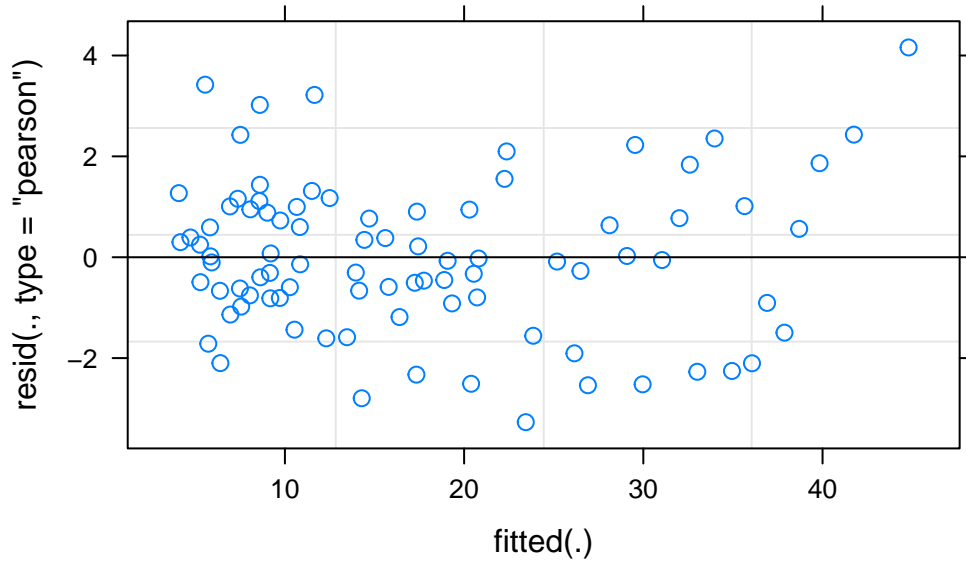


Figure 7: The residuals plot for the model of gene expression as a function of concentration, treatment and cell line (with interaction terms between all three predictors), with gene line as a random effect.



Table 6: The estimates and p-values for these estimates for the mixed effects model with concentration, treatment and cell line predictors, and an interaction term between concentration and treatment, as well as a gene line random effects.

Predictors	GE		
	Estimates	CI	p
(Intercept)	11.41	7.23 – 15.59	<0.001
concentration	2.99	2.79 – 3.19	<0.001
treatment [placebo]	-4.88	-9.77 – 0.01	0.051
CL [wild-type]	-3.35	-8.04 – 1.35	0.160
concentration * treatment[placebo]	-1.89	-2.17 – -1.61	<0.001
Random Effects			
s2	4.25		
t00GL	10.73		
ICC	0.72		
N GL	8		
Observations	87		
Marginal $R^2$ / Conditional $R^2$	0.877 / 0.965		

Table 6 shows the estimates and p-values for these estimates for the mixed effects model with concentration and treatment (with the interaction term) and cell line (without interactions with this predictor), as well as the gene line random effects. From this table, it can be seen that the cell line predictor is not statistically significant, so this term should be removed from the model. Removing this term results in the mixed effects models with concentration and treatment predictors (with the interaction term) and the gene line random effects. The estimates for this model are shown in Table 7, along with the p-values for these estimates.

Table 8 shows the estimates and p-values for the model with concentration, treatment and cell line as predictors, along with interaction terms between all predictors, and gene line random effects. From this table, it can be seen that the interaction term between concentration, treatment and cell line is statistically significant, so this term should be kept. Because this term should be kept, then all of the other fixed effect terms should also be kept.

The estimates for two remaining mixed effects models being studied are shown in Table 7 and Table 8, whilst the fixed effects model is shown in Table 9.

Table 10 and Table 11 show the results of applying the function `ranova` to the two mixed effects models considered. From these tables, it can be seen that the random effects are significant in both models. Thus, these random effect terms should not be removed.

The fixed effects model and mixed effects models can be compared to each other using AIC values,  $R^2$  values and RMSE values (shown in Table 12). From these values, it can be seen that the model with interaction terms between concentration, treatment and cell line has the best AIC. The other two mixed effects models, where there is either no interaction with cell

Table 7: The estimates and p-values for these estimates for the mixed effects model with concentration and treatment predictors, and an interaction term, as well as a gene line random effects.

Predictors	GE		
	Estimates	CI	p
(Intercept)	9.74	6.02 – 13.46	<0.001
concentration	2.99	2.80 – 3.19	<0.001
treatment [placebo]	-4.88	-10.14 – 0.38	0.068
concentration * treatment[placebo]	-1.89	-2.17 – -1.61	<0.001
Random Effects			
s2	4.25		
t00GL	12.61		
ICC	0.75		
N GL	8		
Observations	87		
Marginal $R^2$ / Conditional $R^2$	0.860 / 0.965		

Table 8: The estimates and p-values for these estimates for the mixed effects model with concentration, treatment and cell line predictors, and interaction terms between all of the predictors, as well as a gene line random effects.

Predictors	GE		
	Estimates	CI	p
(Intercept)	9.92	5.11 – 14.72	<0.001
concentration	3.05	2.83 – 3.27	<0.001
treatment [placebo]	-4.92	-11.72 – 1.88	0.154
CL [wild-type]	-0.36	-7.16 – 6.44	0.916
concentration * treatment[placebo]	-1.41	-1.71 – -1.10	<0.001
concentration * CL[wild-type]	-0.12	-0.43 – 0.19	0.433
treatment [placebo] * CL[wild-type]	0.08	-9.53 – 9.70	0.987
(concentration * treatment [placebo]) * CL[wild-type]	-0.97	-1.40 – -0.53	<0.001
Random Effects			
s2	2.61		
t00GL	10.83		
ICC	0.81		
N GL	8		
Observations	87		
Marginal $R^2$ / Conditional $R^2$	0.891 / 0.979		

Table 9: The estimates and p-values for these estimates for the fixed effects model.

Predictors	GE		
	Estimates	CI	p
(Intercept)	9.92	7.59 – 12.25	<0.001
concentration	3.05	2.66 – 3.45	<0.001
treatment [placebo]	-4.92	-8.22 – -1.62	0.004
CL [wild-type]	-0.31	-3.62 – 2.99	0.850
concentration * treatment[placebo]	-1.41	-1.96 – -0.85	<0.001
concentration * CL[wild-type]	-0.12	-0.68 – 0.44	0.666
treatment [placebo] * CL[wild-type]	0.04	-4.64 – 4.71	0.988
(concentration * treatment [placebo]) * CL[wild-type]	-0.97	-1.76 – -0.18	0.017
Observations	87		
\$R^2\$ / \$R^2\$ adjusted	0.933 / 0.927		

Table 10: The results of the function ranova applied to the mixed effects model with concentration and treatment (and the interaction term between these predictors) as fixed effects. CHECK THIS

	npars	logLik	AIC	LRT	Df	Pr(>Chisq)
<none>	6	-198.2331	408.4662			
(1   GL)	5	-237.8918	485.7837	79.31749	1	5.288934e-19

Table 11: The results of the function ranova applied to the mixed effects model with concentration, treatment and cell line (and the interaction terms between these predictors) as fixed effects. CHECK THIS

	npars	logLik	AIC	LRT	Df	Pr(>Chisq)
<none>	10	-174.5644	369.1289			
(1   GL)	9	-214.1471	446.2942	79.16527	1	5.712558e-19

Table 12: The AIC,  $R^2$  values and root mean squared errors for each of the three fitted models.

Name	AIC	R2	R2_conditional	RMSE
lm_step	443.9494	0.9327916		2.798396
m2	408.8027		0.9647610	1.942813
m4	372.5428		0.9788391	1.500209

line or cell line is not a predictor, have very similar AIC values, whilst the model without random effects has the worst AIC. The conditional  $R^2$  values, which take into account both the fixed effects and the random effects, are very similar for all models, but the model with all interaction terms is still slightly better. The  $R^2$  value for the fixed effects model is worse than the conditional  $R^2$  values for the mixed effects models. The root mean square errors of the first two mixed effects models in Table 12 are very similar, whilst the root mean squared error for the fixed effects model is much greater than the other values. The lowest root mean squared error occurs for the mixed effects model with interaction terms between all three predictors, suggesting that this model is the best. Thus, the model with interaction terms between all three predictors, and with gene line as a random effect, appears to be the best model.

**MORE HERE MAYBE**

## Discussion

**TALK ABOUT CHOSEN MODEL AND HOW IT PREDICTS GENE EXPRESSION**

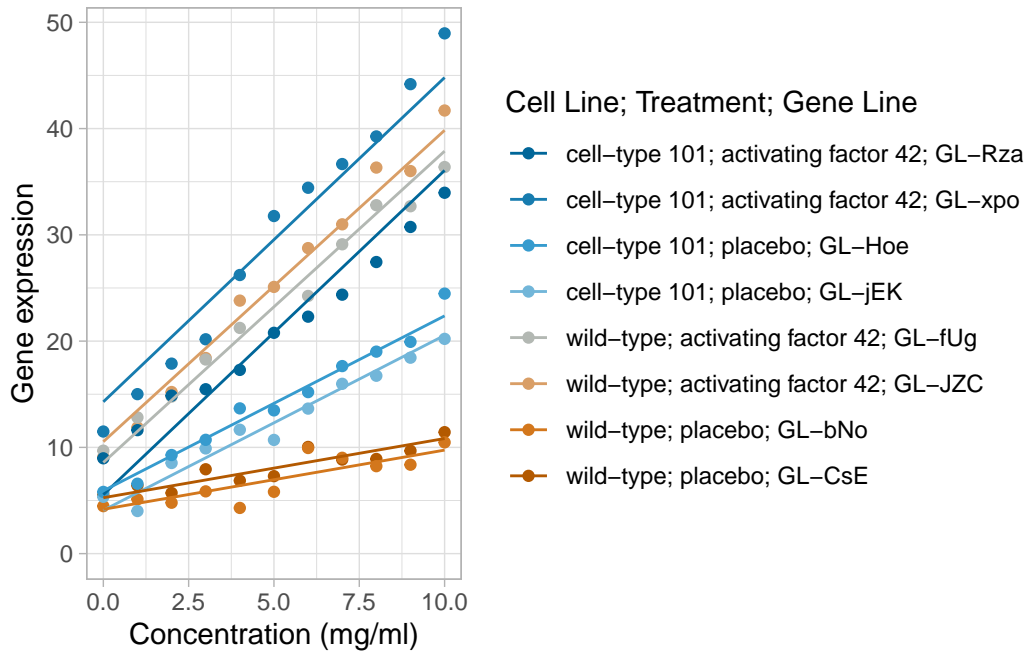


Figure 8: A plot of gene expression as a function of concentration, coloured by gene line (with cell line and treatment also indicated), and with the fitted model indicated by the lines.

Table 13: The coefficients of the chosen model. The value of the intercept is the overall intercept, which is added to the values in @tbl-random-coefs-m4 to find the intercept for each gene line. CHECK THIS

	value
(Intercept)	9.9175000
concentration	3.0514091
treatmentplacebo	-4.9215909
CLwild-type	-0.3615634
concentration:treatmentplacebo	-1.4055000
concentration:CLwild-type	-0.1214545
treatmentplacebo:CLwild-type	0.0817907
concentration:treatmentplacebo:CLwild-type	-0.9674091

Table 14: The difference from the overall intercept for each gene line. CHECK THIS

	value
GL-bNo	-0.5448884
GL-CsE	0.5448884
GL-fUg	-0.9801050
GL-Hoe	0.9171917
GL-jEK	-0.9171917
GL-JZC	0.9801050
GL-Rza	-4.3688926
GL-xpo	4.3688926

The chosen model is the mixed effects model with concentration, treatment and cell line as predictors, along with all interaction terms between the three predictors, and gene line as a random effect. This model is shown as the lines in Figure 8, where each line is the fitted model for a different gene line. This figure shows how the gene lines with the placebo treatment (in darker brown and lighter blue) have a flatter slope than the gene lines with the activating factor 42 treatment (in lighter brown, grey and darker blue). The slope of the fitted model for the wild-type cell lines with the placebo treatments (in darker brown) is also flatter than the slope of the fitted model for the cell-type 101 cell lines with the placebo treatments (in lighter blue).

The coefficients of the fitted model are shown in Table 13, and the random intercepts are shown in Table 14. The intercept for each gene line is found as the overall intercept (in Table 13) plus the gene line specific intercept in Table 14.

From these tables, it can be seen that as growth factor concentration increases, so does gene expression. It can also be seen that the placebo treatment has a smaller intercept and flatter slope than the activating factor 42 treatment does. Similarly, the wild-type cell line has a

lower intercept and flatter slope than the cell-type 101 cell line does. Thus, gene expression is higher for higher concentrations of the growth factor, the activating factor 42 treatment and cell-type 101 cell line. Conversely, lower concentrations of the growth factor, the placebo treatment and wild-type cell line results in lower gene expression.

## Appendix: Code

```
pacman::p_load(tidyverse, readr, lme4, knitr, performance, sjPlot, lmerTest, sjtable2df, b
options(knitr.kable.NA = "")
theme_set(theme_light())
data <- read_csv("data/2023-03-01_gene-data.csv")
data_long <- data %>%
  mutate(CL = `cell line`,
         treat = treatment) %>%
  unite(`cell line`, `treat`, sep = "; ", col = "grouping") %>%
  pivot_longer(cols = 4:14, names_to = "concentration", values_to = "GE") %>%
  filter(GE >= 0) %>%
  mutate(concentration = as.integer(concentration),
         GL = as.factor(sheet_names),
         CL = as.factor(CL),
         treatment = as.factor(treatment),
         grouping = as.factor(grouping))
data_long %>%
  ggplot(aes(x = CL, y = GE, col = CL)) +
  geom_boxplot() +
  theme(legend.position = 'none') +
  harrypotter::scale_color_hp_d("Ravenclaw") +
  labs(x = "Cell line",
       y = "Gene expression")
data_long %>%
  ggplot(aes(x = treatment, y = GE, col = treatment)) +
  geom_boxplot() +
  theme(legend.position = 'none') +
  harrypotter::scale_color_hp_d("Ravenclaw") +
  labs(x = "Treatment",
       y = "Gene expression")
data_long %>%
  ggplot(aes(x = concentration, y = GE, color = grouping)) +
  geom_point() +
  ylim(0, NA) +
```

```

harrypotter::scale_color_hp_d("Ravenclaw") +
  labs(x = "Concentration (mg/ml)",
       y = "Gene expression",
       color = "Cell Line; Treatment")
lm_null <- lm(GE ~ 1, data = data_long)
scope <- GE ~ concentration*treatment*CL
lm_step <- step(lm_null, scope = scope, direction = "both", trace = 0)
# summary(lm_step)
lm_step %>% tidy() %>% kable()
m1 <- lmer(GE ~ concentration + (1|GL), data = data_long, na.action = na.omit)
# summary(m1)
mtab2df(tab_model(m1), n_models = 1, output = "kable")
plot(m1)
m2 <- lmer(GE ~ concentration*treatment + (1|GL), data = data_long, na.action = na.omit)
# summary(m2)
mtab2df(tab_model(m2), n_models = 1, output = "kable")
plot(m2)
m3 <- lmer(GE ~ concentration*treatment + CL + (1|GL), data = data_long, na.action = na.omit)
# summary(m3)
mtab2df(tab_model(m3), n_models = 1, output = "kable")
plot(m3)
m4 <- lmer(GE ~ concentration*treatment*CL + (1|GL), data = data_long, na.action = na.omit)
# summary(m4)
mtab2df(tab_model(m4), n_models = 1, output = "kable")
plot(m4)
# tab_model(m3)
mtab2df(tab_model(m3), n_models=1, output = "kable")
# tab_model(m2)
mtab2df(tab_model(m2), n_models=1, output = "kable")
# tab_model(m4)
mtab2df(tab_model(m4), n_models=1, output = "kable")
lm_step <- lm(GE ~ concentration*treatment*CL, data = data_long)
# tab_model(lm_step, m2, m4)
mtab2df(tab_model(lm_step), n_models=1, output = "kable")
anova(m2) %>%
  kable(digits = c(0,4,4,5,4,25))
anova(m4) %>%
  kable(digits = c(0,4,4,5,4,25))
compare_performance(lm_step, m2, m4) %>%
  select(c("Name", "AIC", "R2", "R2_conditional", "RMSE")) %>%
  kable(digits = c(0,4,7,7,6))

```

```

data_long %>%
  mutate(group = grouping,
         geneline = GL) %>%
  unite(group, geneline, sep = "; ", col = "grouping2") %>%
  ggplot(aes(x = concentration, y = GE, color = grouping2)) +
  geom_point() +
  geom_line(aes(y = predict(m4))) +
  ylim(0, NA) +
  # scale_color_manual(values=c("#006699", "#006699", "#98C2D9", "#98C2D9", "#D9AC82", "#D9AC82")) +
  harrypotter::scale_color_hp_d("Ravenclaw") +
  labs(x = "Concentration (mg/ml)",
       y = "Gene expression",
       color = "Cell Line; Treatment; Gene Line")
fixef(m4) %>%
  data.frame() %>%
  rename(value = ".") %>%
  kable()
random_effects <- ranef(m4)$GL
random_effects %>%
  rename(value = `(Intercept)`) %>%
  kable()

```

- Bates, Douglas, Martin Mächler, Ben Bolker, and Steve Walker. 2015. “Fitting Linear Mixed-Effects Models Using lme4.” *Journal of Statistical Software* 67 (1): 1–48. <https://doi.org/10.18637/jss.v067.i01>.
- Kassambara, Alboukadel. 2023a. *ggpubr: 'ggplot2' Based Publication Ready Plots*. <https://CRAN.R-project.org/package=ggpubr>.
- . 2023b. *rstatix: Pipe-Friendly Framework for Basic Statistical Tests*. <https://CRAN.R-project.org/package=rstatix>.
- Kuznetsova, Alexandra, Per B. Brockhoff, and Rune H. B. Christensen. 2017. “lmerTest Package: Tests in Linear Mixed Effects Models.” *Journal of Statistical Software* 82 (13): 1–26. <https://doi.org/10.18637/jss.v082.i13>.
- Lüdtke, Daniel. 2023. *sjPlot: Data Visualization for Statistics in Social Science*. <https://CRAN.R-project.org/package=sjPlot>.
- Lüdtke, Daniel, Mattan S. Ben-Shachar, Indrajeet Patil, Philip Waggoner, and Dominique Makowski. 2021. “performance: An R Package for Assessment, Comparison and Testing of Statistical Models.” *Journal of Open Source Software* 6 (60): 3139. <https://doi.org/10.21105/joss.03139>.
- Pedersen, Thomas Lin. 2022. *patchwork: The Composer of Plots*. <https://CRAN.R-project.org/package=patchwork>.
- Qiu, Yixuan, and authors/contributors of the included software. 2022. *showtext: Using Fonts More Easily in r Graphs*. <https://CRAN.R-project.org/package=showtext>.
- R Core Team. 2022. *R: A Language and Environment for Statistical Computing*. Vienna,



- Austria: R Foundation for Statistical Computing. <https://www.R-project.org/>.
- Slowikowski, Kamil. 2023. *ggrepel: Automatically Position Non-Overlapping Text Labels with 'ggplot2'*. <https://CRAN.R-project.org/package=ggrepel>.
- Wickham, Hadley, Mara Averick, Jennifer Bryan, Winston Chang, Lucy D'Agostino McGowan, Romain François, Garrett Golemund, et al. 2019. "Welcome to the tidyverse." *Journal of Open Source Software* 4 (43): 1686. <https://doi.org/10.21105/joss.01686>.
- Wickham, Hadley, Jim Hester, and Jennifer Bryan. 2023. *readr: Read Rectangular Text Data*. <https://CRAN.R-project.org/package=readr>.
- Xie, Yihui. 2023. *knitr: A General-Purpose Package for Dynamic Report Generation in r*. <https://yihui.org/knitr/>.