

# **Exploring Electric Vehicle Adoption Trends in Washington State**

## **Literature Review**

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Github Repository: [https://github.com/acherungotTMU/TMU\\_Capstone](https://github.com/acherungotTMU/TMU_Capstone)

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## Introduction

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The rise in electric vehicle (EV) adoption is a key component in the transition to sustainable transportation. This literature review aims to provide a comprehensive analysis of the factors influencing EV adoption in Washington State, focusing on geographic and infrastructure related factors, and to evaluate the use of machine learning models in predicting future EV adoption trends.

### 1. What is already known about the topic?

Electric vehicle adoption is influenced by a variety of factors, including environmental concerns, government policies, financial incentives, and advancements in EV technology. Socio-economic factors such as income, education, and occupation also play significant roles. Apart from these factors, geographic location and infrastructure such as electric utility provider also plays an important role in deciding the adoption rates of electric vehicles.

### 2. Critical analysis of what is already known?

Existing studies have established that financial incentives and government policies significantly impact EV adoption. However, there is a gap in understanding the combined effect of geographic and infrastructure related factors, particularly in Washington State. Moreover, the application of machine learning models for predicting EV adoption trends based on these factors is relatively underexplored.

### 3. Has Anyone Else Ever Done Anything Exactly the Same?

No studies have been found that exactly replicate the current research focus on Washington State using the same dataset and machine learning approaches. However, similar studies have been conducted in other regions, focusing on different aspects of EV adoption.

### 4. Has Anyone Else Done Anything That is Related?

Although there are no articles or research papers that have conducted this specific type of research, there are related studies that emphasize similar techniques and methodologies. These studies include:

1. Bursac, Z., Gauss, C. H., Williams, D. K., & Hosmer, D. W. (2008). Purposeful selection of variables in logistic regression. *Source Code for Biology and Medicine*, 3(1), 17.

- Summary: This paper discusses strategies for selecting variables in logistic regression models.
- Relevance: It provides a methodology for variable selection that can be applied to our analysis.
- Link: [Read here](#)

2. Nowling, R. J. (2015). Categorical Variable Encoding and Feature Importance Bias with Random Forests.

- Summary: Examines how different categorical encoding methods, specifically one-hot and integer encoding, affect feature importance scores in Random Forest models.
- Relevance: The study validates the use of one-hot encoding for categorical variables, ensuring unbiased feature importance scores in Random Forest models, which aligns with and supports the methodological approach.
- Link: [Read here](#)

3. Alanazi, R., et al. (2022). Identification and prediction of chronic diseases using machine learning approaches. *Journal of Big Data*.

- Summary: This paper explores the use of machine learning for predicting chronic diseases.
- Relevance: The methodology for feature engineering and model evaluation can be adapted to our research.
- Link: [Read here](#)

4. Da Poian, V., Theiling, B., Clough, L., McKinney, B., Major, J., Chen, J., & Hörst, S. (2023). Exploratory data analysis (EDA) machine learning approaches for ocean world analog mass spectrometry. *Frontiers in Astronomy and Space Sciences*, 10. - Summary: This paper discusses the significance of outliers in boxplots.

- Relevance: Demonstrates how advanced data analysis techniques can be applied to scientific data to extract meaningful insights

- Link: [Read here](#)

5. Ruxton, G. D. (2006). The unequal variance t-test is an underused alternative to Student's t-test and the Mann–Whitney U test. *Behavioral Ecology*, 17(4), 688-690.

- Summary: This study highlights the use of the unequal variance t-test.

- Relevance: It offers alternative statistical methods for hypothesis testing.

- Link: [Read here](#)

6. Snijders, T. A., & Bosker, R. J. (1999). Multilevel analysis: An introduction to basic and advanced multilevel modeling. *Sociological Methods & Research*, 28(2), 201-223.

- Summary: This paper introduces multilevel modeling techniques.

- Relevance: Multilevel models can help analyze the hierarchical nature of our geographic data.

- Link: [Read here](#)

7. Montgomery, D. C., Peck, E. A., & Vining, G. G. (2012). *Introduction to linear regression analysis*. John Wiley & Sons.

- Summary: A comprehensive guide to linear regression analysis.

- Relevance: It provides foundational knowledge for applying linear regression in our study.

- Link: [Read here](#)

8. Liaw, A., & Wiener, M. (2002). Classification and regression by randomForest. *R news*, 2(3), 18-22.

- Summary: This article explains the use of Random Forest for classification and regression tasks.

- Relevance: It provides a practical approach to implementing Random Forest in our analysis.

- Link: [Read here](#)

9. Chai, T., & Draxler, R. R. (2014). Root mean square error (RMSE) or mean absolute error (MAE)?. *Geoscientific Model Development Discussions*, 7(1), 1525-1534.

- Summary: This paper compares RMSE and MAE as metrics for model evaluation.

- Relevance: It helps us decide on appropriate metrics for evaluating our predictive models.

- Link: [Read here](#)

10. Schneider, C., Dryhurst, S., Kerr, J., Freeman, A., Recchia, G., Spiegelhalter, D., & van der Linden, S. (2021). COVID-19 risk perception: A longitudinal analysis of its predictors and associations with health protective behaviours in the United Kingdom. *Journal of Risk Research*, 24.

- Summary: This study analyzes the predictors and associations of COVID-19 risk perception.

- Relevance: The statistical methods used can inform our approach to hypothesis testing.

- Link: [Read here](#)

11. Kanungo, T., Mount, D. M., Netanyahu, N. S., Piatko, C. D., Silverman, R., & Wu, A. Y. (2002). An efficient k-means clustering algorithm: Analysis and implementation. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 24(7), 881-892.

- Summary: This paper presents an efficient implementation of the k-means clustering algorithm.

- Relevance: It provides a basis for using k-means clustering to identify patterns in our data.

- Link: [Read here](#)

12. Xiaodong Wu, Y., & Zeng, Y. (2019). Using Apriori Algorithm on Students' Performance Data for Association Rules Mining. IEEE International Conference on Data Science and Advanced Analytics (DSAA).

- Summary: This study uses the Apriori algorithm to find associations in student performance data.
- Relevance: It guides the application of the Apriori algorithm for identifying patterns in our EV adoption data.
- Link: [Read here](#)

13. Heinze, G., Wallisch, C., & Dunkler, D. (2018). Variable selection—a review and recommendations for the practicing statistician. Biometrical Journal, 60(3), 431-449.

- Summary: This paper reviews various methods for variable selection in statistical modeling.
- Relevance: It offers insights on selecting the most relevant variables for our models.
- Link: [Read here](#)

14. Wood, J. D., Dykes, J., Slingsby, A., & Clarke, K. (2007). Interactive visual exploration of a large spatio-temporal dataset: Reflections on a geo visualization mashup. IEEE Transactions on Visualization and Computer Graphics, 13(6), 1176-1183.

- Summary: This paper explores methods for interactive visual exploration of large datasets.
- Relevance: It provides techniques for visualizing geographic patterns in our EV adoption data.
- Link: [Read here](#)

15. Qasim, H. M., Ata, O., Ansari, M. A., Alomary, M. N., Alghamdi, S., & Almeahmadi, M. (2021). Hybrid feature selection framework for the Parkinson imbalanced dataset prediction problem. *Medicina*, 57(11), 1217.

- Summary: Explores the use of SMOTE for balancing datasets and RFE for feature selection to improve the accuracy of Parkinson's disease predictions.

- Relevance: Demonstrates the effectiveness of combining SMOTE and RFE techniques for handling imbalanced datasets and selecting important features

- Link: [Read here](#)

16. Imani, M., & Arabnia, H. R. (2023). Hyperparameter optimization and combined data sampling techniques in machine learning for customer churn prediction: A comparative analysis. *Technologies*, 11(6), 167

- Summary: Explores the application of various machine learning techniques, including hyperparameter tuning and combined data sampling methods, for predicting customer churn in the telecommunications sector.

- Relevance: It improves the predictive accuracy of logistic regression and random forest models by employing SMOTE

- Link: [Read here](#)

These references offer valuable insights and methodologies that are relevant to our research on EV adoption, providing a strong foundation for our analysis.

## 6. **Where Does my Work Fit in With What Has Gone Before?**

This research builds on existing studies by focusing specifically on Washington State and using a comprehensive dataset from the Department of Licensing. The application of machine learning models to predict EV adoption based on demographic and socio-economic factors is a novel contribution.

## 7. **Why is this Research Worth Doing in the Light of What Has Already Been Done?**

Understanding the factors driving EV adoption in Washington State can help policymakers and businesses develop targeted strategies to promote EV adoption. Predictive models can provide valuable insights into future trends, aiding in infrastructure planning and policy formulation. The analysis will be grouped based on city, county and legislative districts as these variables will be necessary to give an insight to the respective policy makers of the state.

Based on my research, policy makers can have an insight on the growth rate of electric vehicles. From these insights, they can make important decisions related to changes in



electric vehicle registration policy, number of new charging stations to be installed, design new plans and policies to boost electric vehicle use in respective location and what changes electric utility companies can make in the future based on the growth rate of electric vehicles.

## **Descriptive Statistics and Exploratory Data Analysis (EDA)**

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The dataset includes over 181,000 observations with variables such as VIN, County, City, State, Postal Code, Model Year, Make, Model, Electric Vehicle Type, CAFV Eligibility, Electric Range, Base MSRP, Legislative District, DOL Vehicle ID, Vehicle Location, Electric Utility, and 2020 Census Tract.

### **Description of Variables:**

- VIN (1-10): The first ten characters of the Vehicle Identification Number, which uniquely identifies each vehicle.
- County: This is the geographic region of a state that a vehicle's owner is listed to reside within. Vehicles registered in Washington state may be located in other states.
- City: The city in which the registered owner resides.
- State: This is the geographic region of the country associated with the record. These addresses may be located in other states.
- Postal Code: The 5-digit zip code in which the registered owner resides.
- Model Year: The model year of the vehicle, determined by decoding the Vehicle Identification Number (VIN).
- Make: The manufacturer of the vehicle, determined by decoding the Vehicle Identification Number (VIN).
- Model: The model of the vehicle, determined by decoding the Vehicle Identification Number (VIN).
- Electric Vehicle Type: Indicates whether the vehicle is a Battery Electric Vehicle (BEV) or a Plug-in Hybrid Electric Vehicle (PHEV), distinguishing between different types of electric vehicles.

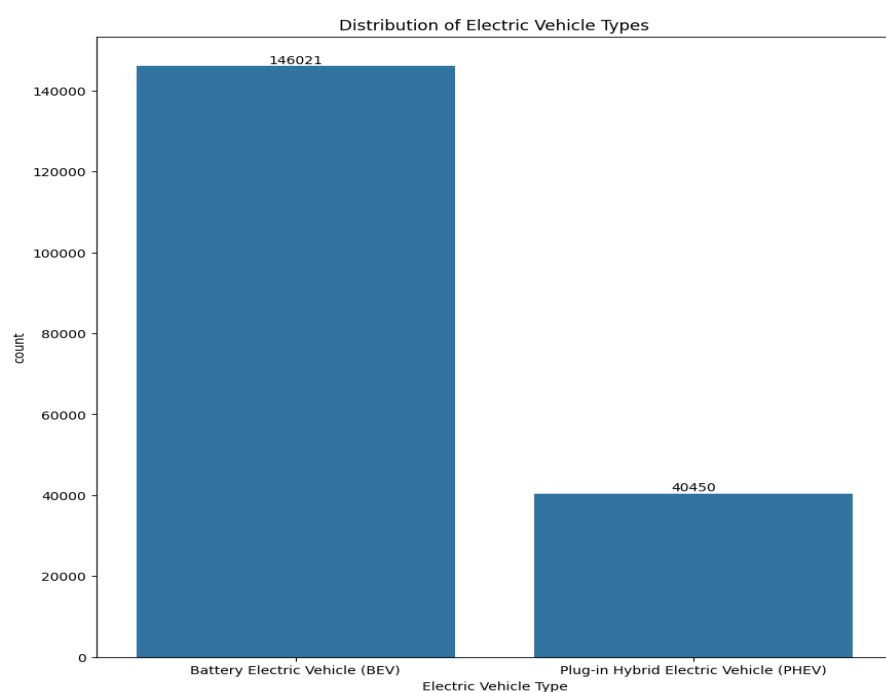
- Clean Alternative Fuel Vehicle (CAFV) Eligibility: This categorizes vehicle as Clean Alternative Fuel Vehicles (CAFVs) based on the fuel requirement and electric-only range requirement in House Bill 2042 as passed in the 2019 legislative session.
- Electric Range: Describes how far a vehicle can travel purely on its electric charge.
- Base MSRP: This is the lowest Manufacturer's Suggested Retail Price (MSRP) for any trim level of the model in question.
- Legislative District: The specific section of Washington State that the vehicle's owner resides in, as represented in the state legislature.
- DOL Vehicle ID: An identification number assigned by the Washington State Department of Licensing, serving as a unique identifier within the licensing system.
- Vehicle Location: The center of the ZIP Code for the registered vehicle.
- Electric Utility: This is the electric power retail service territories serving the address of the registered vehicle. All ownership types for areas in Washington are included: federal, investor owned, municipal, political subdivision, and cooperative. If the address for the registered vehicle falls into an area with overlapping electric power retail service territories, then a single pipe | delimits utilities of same TYPE and a double pipe || delimits utilities of different types.
- 2020 Census Tract: The census tract identifier is a combination of the state, county, and census tract codes as assigned by the United States Census Bureau in the 2020 census, also known as Geographic Identifier (GEOID).

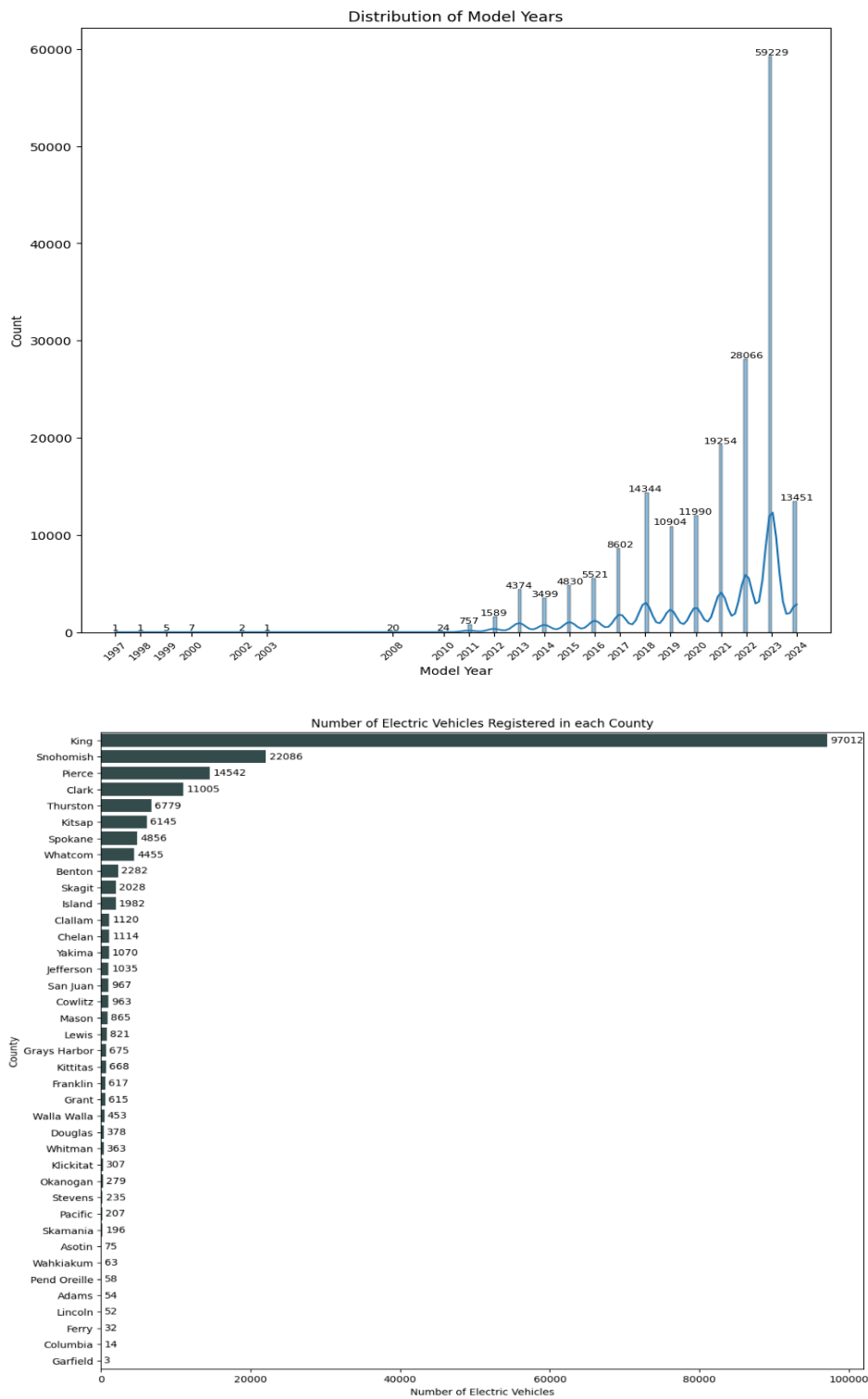
### **Univariate Analysis and Bivariate Analysis:**

A descriptive statistic including data types of each variable, missing values, correlations and data distribution is done using the y-data profiling library in python. The output, in HTML format is uploaded in GitHub repository.

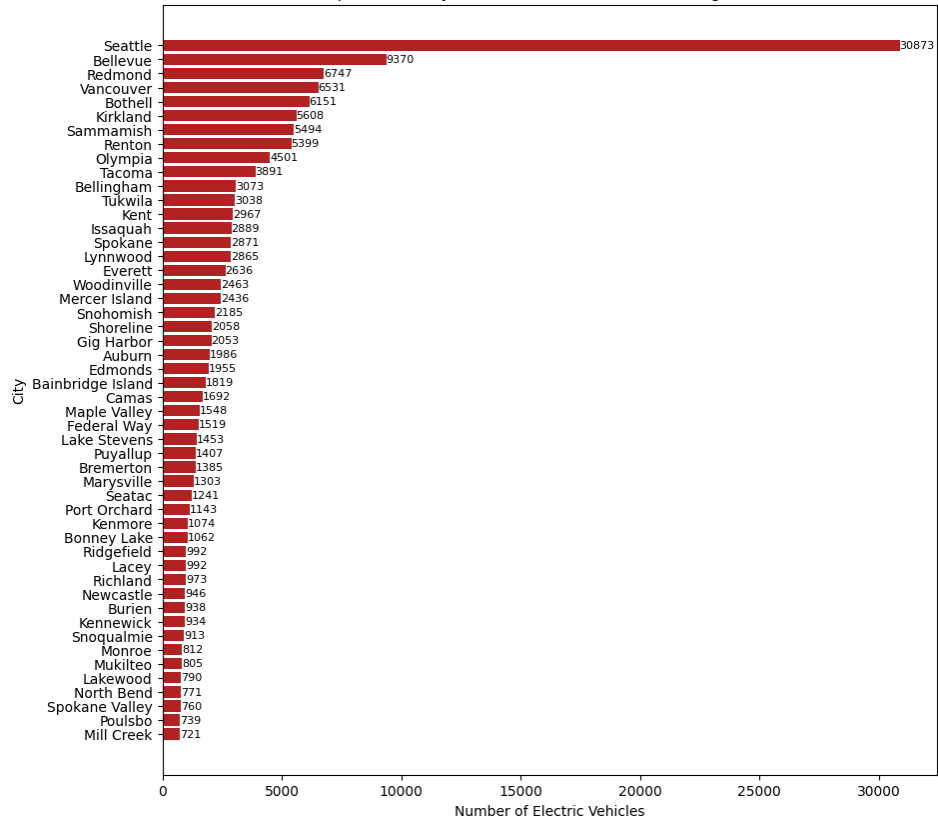
To draw a better picture, visualization tools of python have been used to depict the univariate and bivariate analysis of electric vehicle population data and the same are mentioned below. This will help in understanding the variable fluctuations and relationships:

### Univariate Analysis:

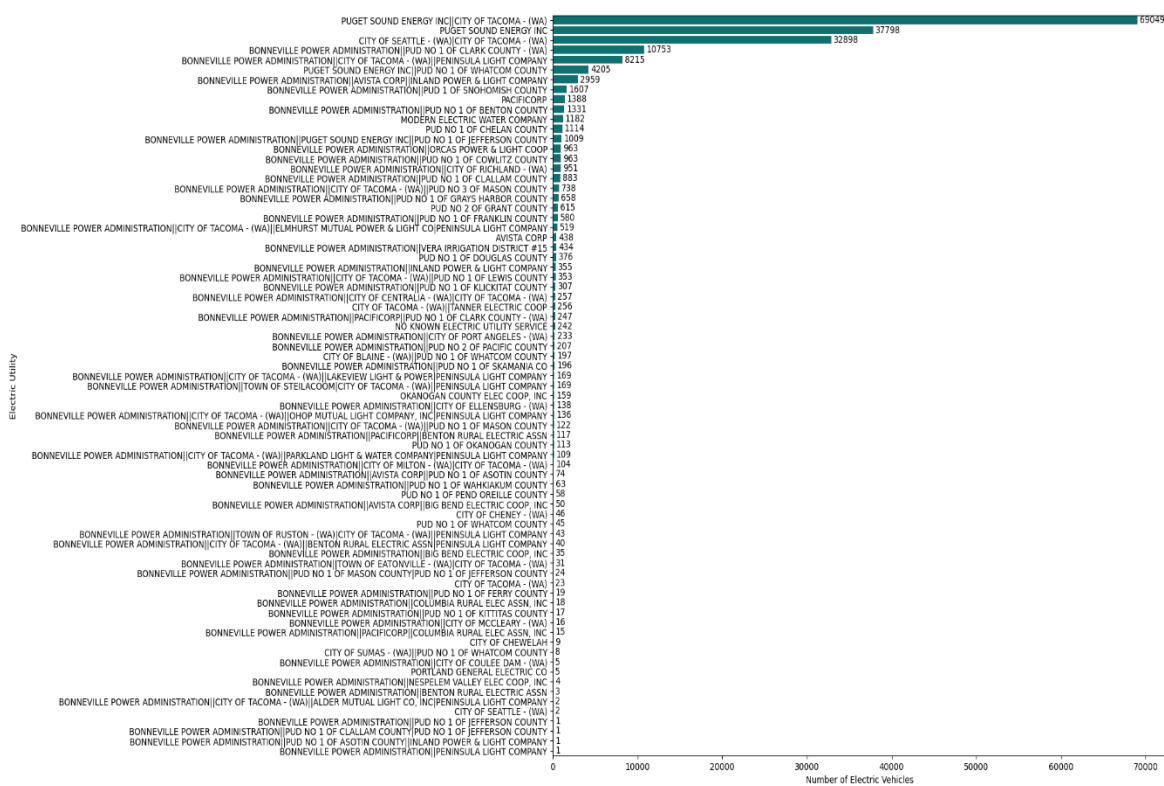


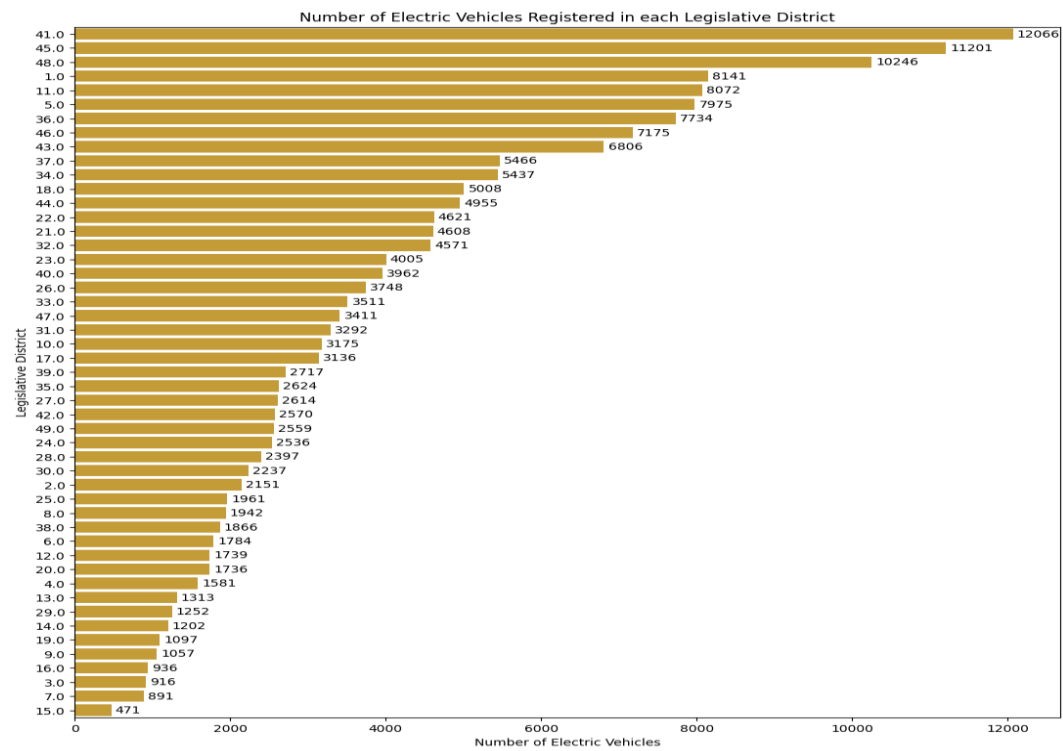


Top 50 Cities by Number of Electric Vehicles Registered

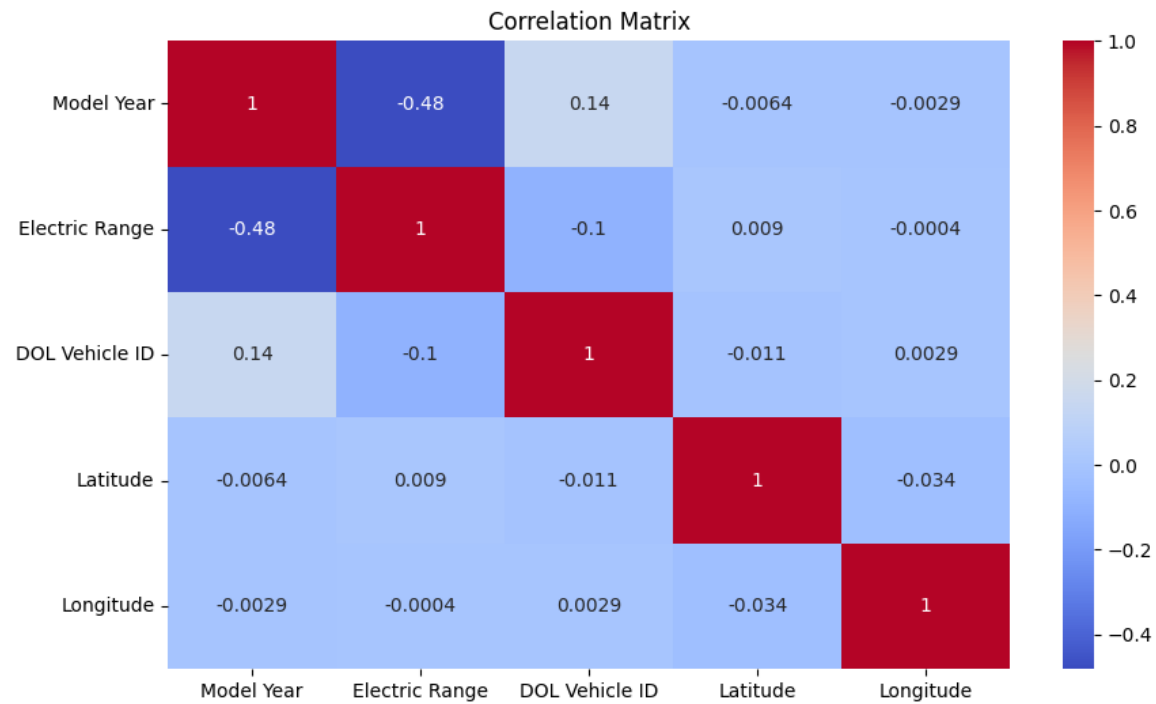


Number of Electric Vehicles Registered under Each Electric Utility Company





Bivariate Analysis:



## Approach

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The approach adopted for the three research questions are as follows:

### Cleaning of Data:

1. After carefully summarising the data, 398 observations having the registration details of states other than Washington were removed.'
2. The vehicle location variable having geographical coordinates of the registered vehicle location, has been cleaned and split into two named Latitude and Longitude.
3. As Postal Code, Legislative District and 2020 Census Tract are categorical variables, they have been converted to string data type.
4. Base MSRP variable was removed as 98% of its data have the value 0.
5. No duplicate values were found in the data.

### Research Question No.1

Research Question	Definition	Approach
Based on data collected from 2015 to 2023, which covers approximately 5% of Washington State's population, what are the primary factors influencing the decision to purchase BEVs and PHEVs in the state?	This question aims to identify the factors that influence the decision to purchase Battery Electric Vehicles (BEVs) and Plug-in Hybrid Electric Vehicles (PHEVs) in Washington State.	STEP I  Analyze and visualize the total count of Battery Electric Vehicles (BEVs) and Plug-in Hybrid Electric Vehicles (PHEVs) by their model year to provide an insight into the trend of electric vehicle adoption over time.
		Reference: STEP II  Using correlation to understand the dataset's structure and relationships before building predictive models, ensuring that the most

relevant features are selected for further analysis.

Reference:

Da Poian, V., Theiling, B., Clough, L., McKinney, B., Major, J., Chen, J., & Hörst, S. (2023). Exploratory data analysis (EDA) machine learning approaches for ocean world analog mass spectrometry. *Frontiers in Astronomy and Space Sciences*, 10.

### STEP III

Prepare the data for machine learning, perform feature selection, and identify the most important features for predicting whether an electric vehicle is a Battery Electric Vehicle (BEV) or a Plug-in Hybrid Electric Vehicle (PHEV).

#### **Variables:**

Dependent Variable – Electric Vehicle Type (BEV or PHEV)

Independent Variable- County, City, Legislative District and Electric Utility company

#### **Testing:**

Split the data into 80% training and 20% testing sets



Reference: Alanazi, R., et al. (2022). Identification and prediction of chronic diseases using machine learning approaches. *Journal of Big Data*.

#### STEP IV

Perform feature selection, handle class imbalance, and prepare the data for machine learning model training

Reference:

Qasim, H. M., Ata, O., Ansari, M. A., Alomary, M. N., Alghamdi, S., & Almeahmadi, M. (2021). Hybrid feature selection framework for the Parkinson imbalanced dataset prediction problem. *Medicina*, 57(11), 1217.

#### STEP V

Perform hyperparameter tuning, train, and evaluate Logistic Regression and Random Forest models on the balanced training set, and then visualize and interpret the results

		<p>Reference:</p> <p>Imani, M., &amp; Arabnia, H. R. (2023). Hyperparameter optimization and combined data sampling techniques in machine learning for customer churn prediction: A comparative analysis. <i>Technologies</i>, 11(6), 167</p>

### Research Question No.2

Research Question	Definition	Approach
Can we use machine learning to predict the number of new electric vehicle adopters over the next ten years in	This question explores the feasibility of using machine learning models to forecast the adoption of electric vehicles based on	<p><b>Feature Engineering:</b> Create features like the number of BEVs and PHEVs registered per year, city-wise, county-wise, legislative district-wise and electric utility-wise.</p> <p>Reference: Alanazi, R., et al. (2022). Identification and prediction of chronic</p>

<p>Washington State, based on detailed data about residents' geographic locations and the availability of infrastructural facilities, such as electric utility stations?</p>	<p>geographic and infrastructure related data.</p>	<p>diseases using machine learning approaches. Journal of Big Data.</p> <p><b>Variables:</b></p> <p>Dependent: Number of EVs registered (Future EV Adoption)</p> <p>Independent: County, City, Electric Vehicle Type, Model Year, Electric Utility Company, Legislative District</p> <p><b>Validating the variables:</b></p> <p>Descriptive Statistics and Visualization:</p> <p>Summary Statistics: Calculate descriptive statistics mean, median, standard deviation, min, max) for each new variable to ensure they are within expected ranges.</p> <p>Distribution Analysis: Use histograms or box plots to visualize the distribution of each variable and identify any outliers or unusual patterns.</p> <p>Reference: Dawson, R. J. (2011). How significant is a boxplot outlier? Journal of Statistics Education, 19(2).</p> <p>Statistical Validation:</p> <p>Hypothesis Testing: Conduct statistical tests (e.g., t-tests, ANOVA) to determine if there are significant differences in EV adoption rates across different geographic or infrastructural categories.</p> <p>Reference:</p>
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		<p>Ruxton, G. D. (2006). The unequal variance t-test is an underused alternative to Student's t-test and the Mann–Whitney U test. <i>Behavioral Ecology</i>, 17(4), 688-690.</p> <p>Variance Analysis: Analyze the variance explained by the new variables to ensure they add meaningful information to the model.</p> <p>Reference:</p> <p>Snijders, T. A., &amp; Bosker, R. J. (1999). Multilevel analysis: An introduction to basic and advanced multilevel modeling. <i>Sociological Methods &amp; Research</i>, 28(2), 201-223.</p>
		<p><b>Machine Learning:</b></p> <p>Linear Regression for trend analysis. Random forest for Prediction</p> <p>Reference:</p> <p>Montgomery, D. C., Peck, E. A., &amp; Vining, G. G. (2012). <i>Introduction to linear regression analysis</i>. John Wiley &amp; Sons.</p> <p>Liaw, A., &amp; Wiener, M. (2002). Classification and regression by randomForest. <i>R news</i>, 2(3), 18-22.</p>
		<p><b>Training and Testing:</b> Split the dataset into training (80%) and testing (20%).</p> <p>Reference: Alanazi, R., et al. (2022). Identification and prediction of chronic diseases using machine learning approaches. <i>Journal of Big Data</i>.</p>

		<p><b>Model Evaluation:</b> Use mean squared error (MSE) and R-squared values to evaluate regression models.</p> <p>Reference:</p> <p>Chai, T., &amp; Draxler, R. R. (2014). Root mean square error (RMSE) or mean absolute error (MAE)?. Geoscientific Model Development Discussions, 7(1), 1525-1534.</p>

### Research Question No.3

Research Question	Definition	Approach
How do geographic location and local infrastructure (electric utilities) impact EV adoption in Washington?	This question investigates the influence of geographic factors and the availability of local infrastructure, like electric utilities, on the adoption rates of electric vehicles.	<b>STEP I</b>  Creating New Features for EV Counts by Geographic and Infrastructure Categories
		<b>STEP II</b>  <b>Validating the variables:</b>  Descriptive Statistics and Visualization:  Summary Statistics: Calculate descriptive statistics mean, median, standard deviation, min, max) for

		<p>each new variable to ensure they are within expected ranges.</p> <p>Distribution Analysis: Use bar plots or box plots to visualize the distribution of each variable and identify any outliers or unusual patterns.</p> <p>Reference: Dawson, R. J. (2011). How significant is a boxplot outlier? Journal of Statistics Education, 19(2).</p>
		<hr/> <p><b>STEP III</b></p> <p>Geographic Validation:</p> <p>Mapping: Visualize the geographic distribution of the new variables using maps (e.g., heat maps or choropleth maps) to check for expected spatial patterns.</p> <p>Cross-sectional Consistency: Ensure consistency across different geographic levels (e.g., city-wise data should aggregate correctly to county-wise data).</p>
		<hr/> <p><b>STEP IV</b></p> <p><b>Machine Learning:</b></p> <p>Multiple Linear Regression to account for geographic dependencies.</p> <p>Reference:</p> <p>Montgomery, D. C., Peck, E. A., &amp; Vining, G. G. (2012). Introduction</p>

		<p>to linear regression analysis. John Wiley &amp; Sons</p> <hr/> <p><b>STEP V</b></p> <p>K-means Clustering to identify patterns and group similar areas.</p> <p>Reference:</p> <p>Kanungo, T., Mount, D. M., Netanyahu, N. S., Piatko, C. D., Silverman, R., &amp; Wu, A. Y. (2002). An efficient k-means clustering algorithm: Analysis and implementation. <i>IEEE Transactions on Pattern Analysis and Machine Intelligence</i>, 24(7), 881-892.</p> <hr/> <p><b>STEP VI</b></p> <p>Apriori Algorithm to identify associations between geographic location, electric utilities, and EV adoption patterns.</p> <p>Reference:</p> <p>Xiaodong Wu, Y., &amp; Zeng, Y. (2019). Using Apriori Algorithm on Students' Performance Data for Association Rules Mining. <i>IEEE International Conference on Data</i></p>
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		<i>Science and Advanced Analytics (DSAA).</i>
		<b>STEP VII</b>  Perform ANOVA to compare means across different categories of geographic locations.

### Methodology for Research Question No.3

#### **K-means Clustering:**

- **Reason for Choosing K-means:** K-means is ideal for continuous data and efficiently handles large datasets. It helps us identify patterns and group similar areas based on EV adoption rates and other features.
- **Comparison with K-modes and K-medians:** While K-modes is better suited for categorical data, and K-medians is robust against outliers but computationally intensive, K-means fits best since our features (e.g., latitude, longitude) are mostly continuous.
  - **Reference:** Kanungo, T., Mount, D. M., Netanyahu, N. S., Piatko, C. D., Silverman, R., & Wu, A. Y. (2002). An efficient k-means clustering algorithm: Analysis and implementation. *IEEE Transactions on Pattern Analysis and Machine Intelligence*, 24(7), 881-892.

#### **Apriori Algorithm:**

- **Categorical Variable Handling:** The Apriori algorithm works with categorical data, so we'll convert continuous variables like, latitude, and longitude into categorical bins.
- **Compatibility with Multiple Linear Regression:** While Apriori helps find associations in categorical data, multiple linear regression will quantify relationships between dependent and independent variables. This mixed-method approach offers a comprehensive analysis.
  - **Reference:** Xiaodong Wu, Y., & Zeng, Y. (2019). Using Apriori Algorithm on Students' Performance Data for Association Rules Mining. *IEEE International Conference on Data Science and Advanced Analytics (DSAA)*.
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## Evaluating Association Rules:

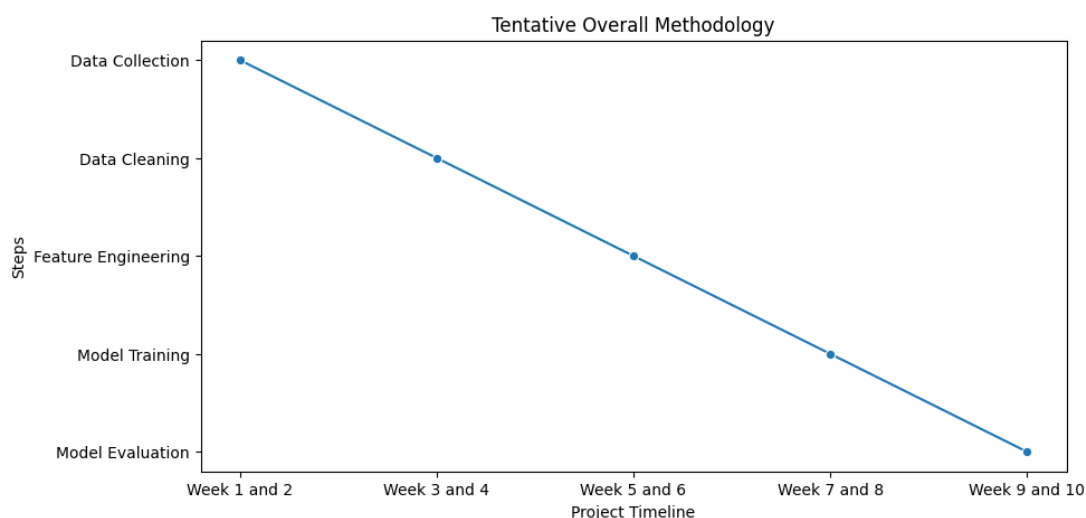
- **Metrics for Evaluation:** We'll use metrics like support, confidence, and lift to evaluate the strength of the association rules generated by the Apriori algorithm.
- **Interpretation:** High values for support, confidence, and lift indicate strong and meaningful associations. Visual tools like association rule graphs will help us interpret and validate these findings.
  - **Reference:** Hahsler, M., Grun, B., Hornik, K., & Buchta, C. (2005). Introduction to arules—a computational environment for mining association rules and frequent item sets. *Journal of Statistical Software*, 14(15), 1-25.

By using these methods, we can effectively analyze the data to uncover patterns and relationships that impact EV adoption, providing a comprehensive understanding of the factors at play.

## Tentative overall Methodology

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This structured timeline ensures a systematic approach to the project, covering all critical stages from data collection to model evaluation. Each phase is allocated sufficient time to thoroughly address its objectives, ensuring the final model is robust and reliable. This detailed approach will provide a comprehensive understanding of EV adoption trends in Washington State, supporting future strategic planning and policy-making efforts.



## References

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<https://rnowling.github.io/machine/learning/2015/08/10/random-forest-bias.html>
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