
Syntax-Based Decoding 2

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flashback: syntax-based models

Synchronous Context Free Grammar Rules



- Nonterminal rules

$$\text{NP} \rightarrow \text{DET}_1 \text{NN}_2 \text{JJ}_3 \mid \text{DET}_1 \text{JJ}_3 \text{NN}_2$$

- Terminal rules

$$\text{N} \rightarrow \text{maison} \mid \text{house}$$
$$\text{NP} \rightarrow \text{la maison bleue} \mid \text{the blue house}$$

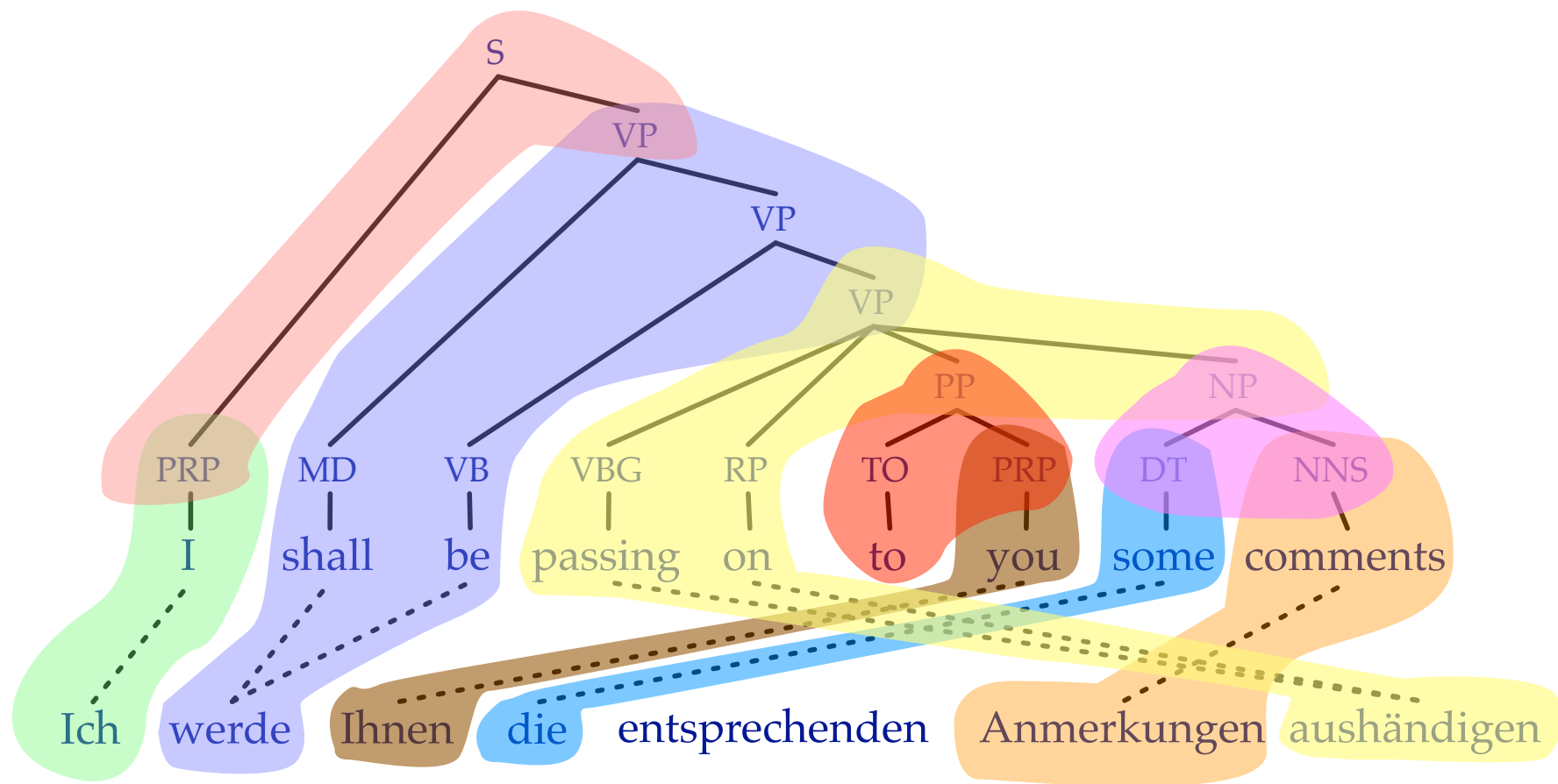
- Mixed rules

$$\text{NP} \rightarrow \text{la maison JJ}_1 \mid \text{the JJ}_1 \text{house}$$

Extracting Minimal Rules



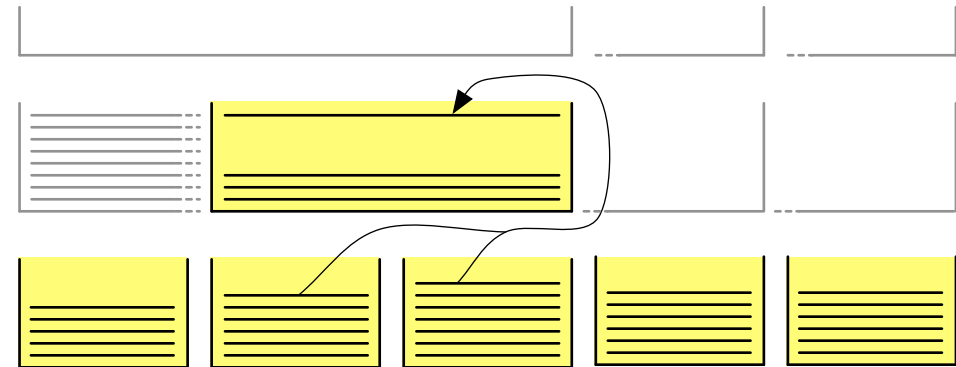
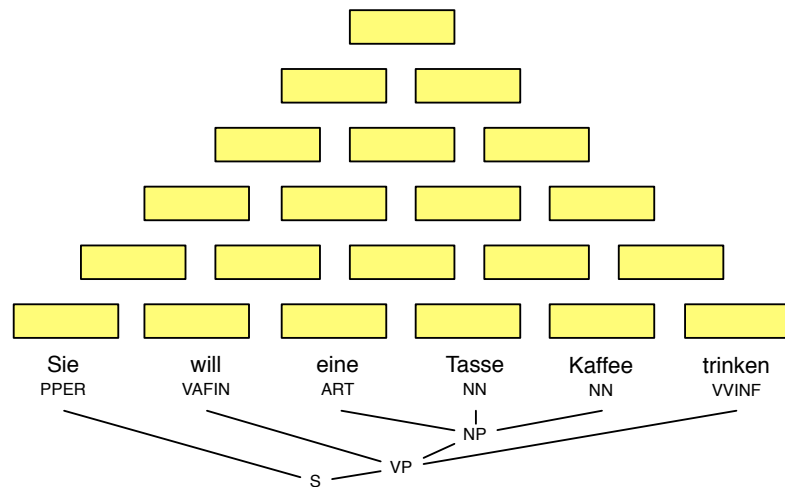
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Extracted rule: $S \rightarrow X_1 X_2 \mid \text{PRP}_1 \text{VP}_2$
DONE — note: one rule per alignable constituent

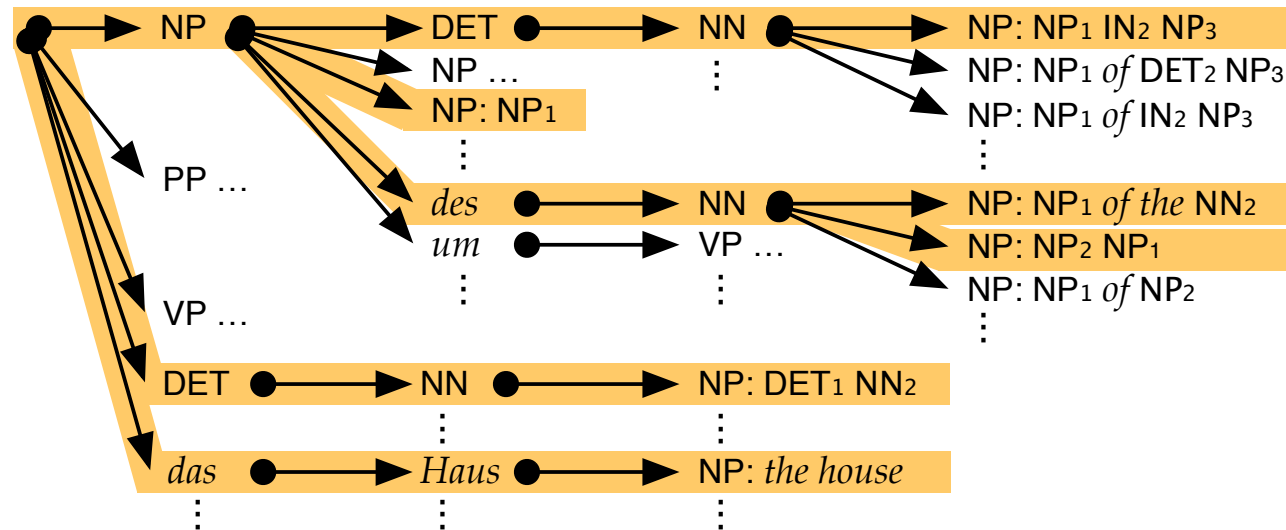
flashback: decoding

Chart Organization



- Chart consists of cells that cover contiguous spans over the input sentence
- For each span, a stack of (partial) translations is maintained
- Bottom-up: a higher stack is filled, once underlying stacks are complete

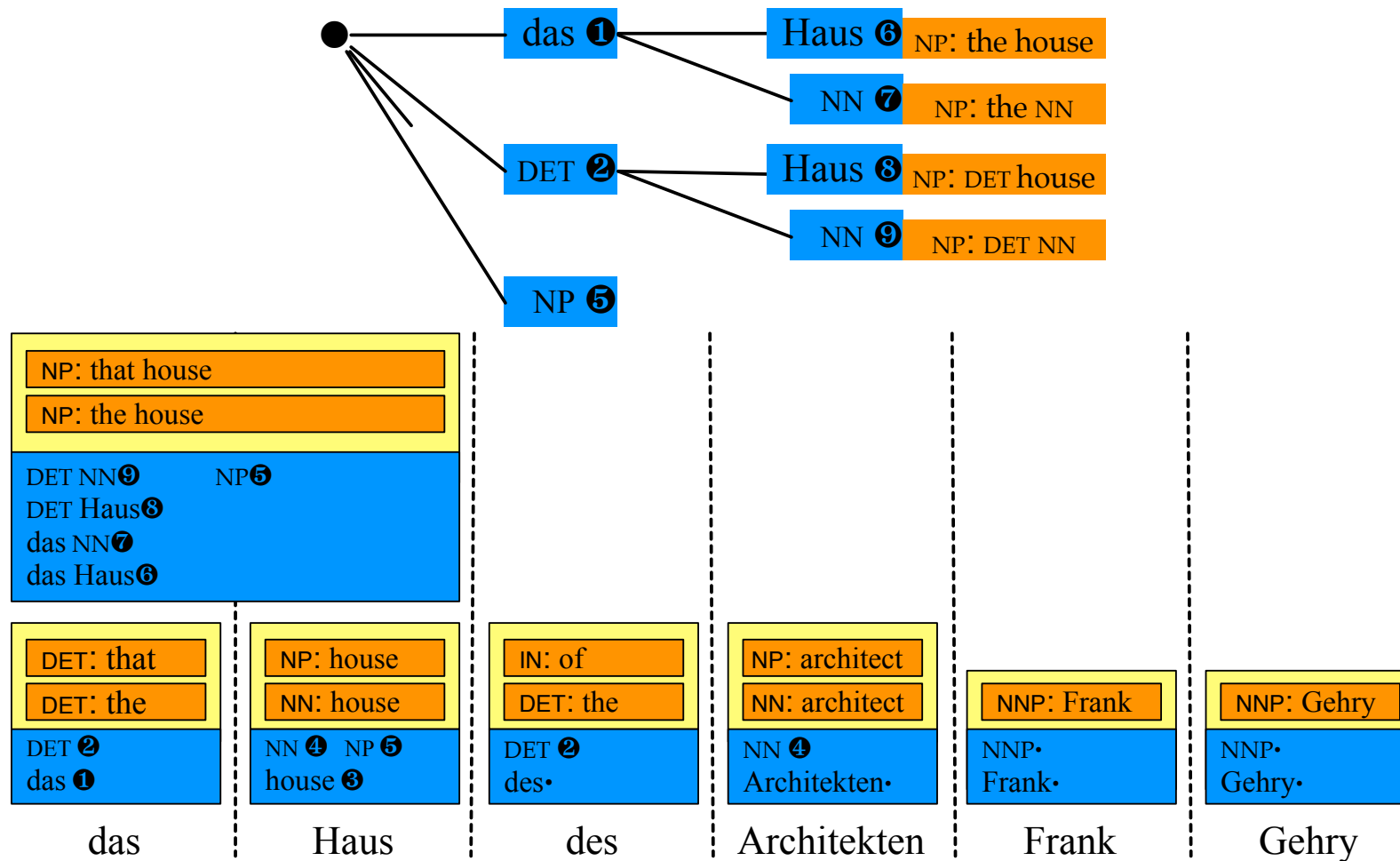
Prefix Tree for Rules



Highlighted Rules

$NP \rightarrow NP_1 \text{ DET}_2 \text{ NN}_3 \mid NP_1 \text{ IN}_2 \text{ NN}_3$
 $NP \rightarrow NP_1 \mid NP_1$
 $NP \rightarrow NP_1 \text{ des } \text{NN}_2 \mid NP_1 \text{ of the } \text{NN}_2$
 $NP \rightarrow NP_1 \text{ des } \text{NN}_2 \mid NP_2 \text{ NP}_1$
 $NP \rightarrow \text{DET}_1 \text{ NN}_2 \mid \text{DET}_1 \text{ NN}_2$
 $NP \rightarrow \text{das Haus} \mid \text{the house}$

CYK+ Parsing for SCFG



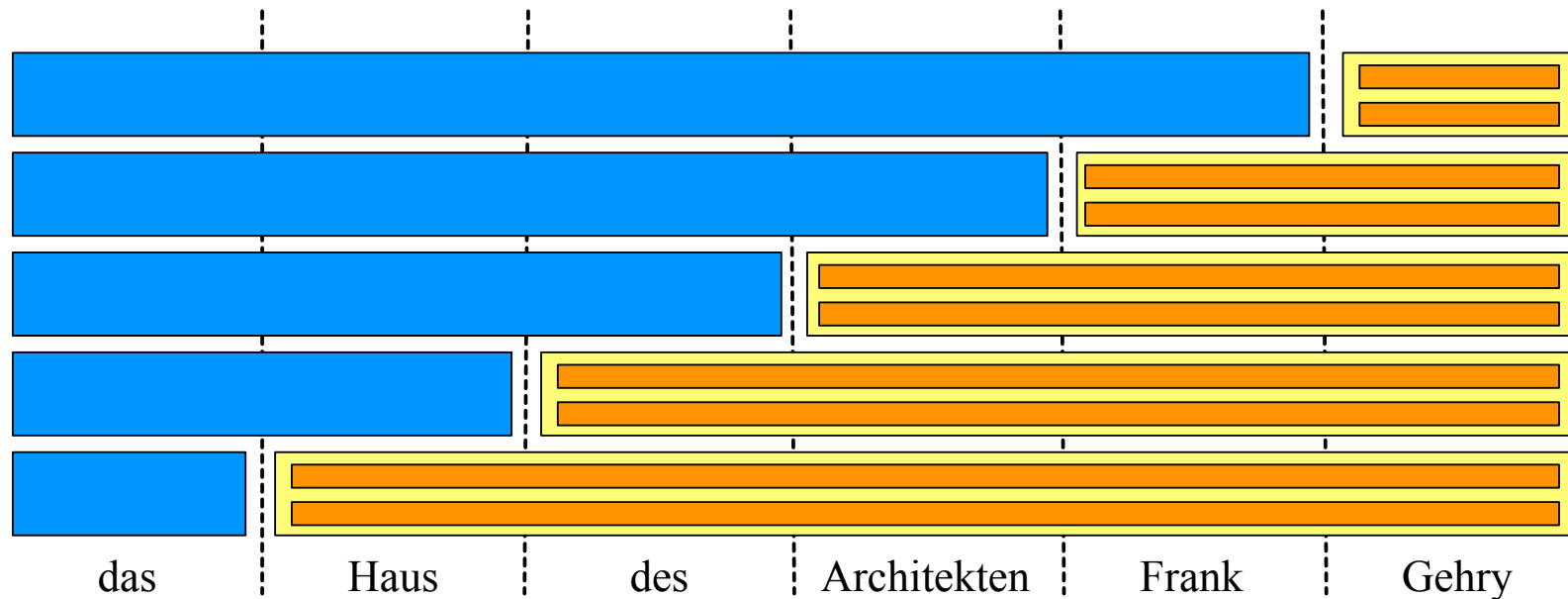
Processing One Span

Extend lists of dotted rules with cell constituent labels

span's dotted rule list (with same start)

plus neighboring

span's constituent labels of hypotheses (with same end)



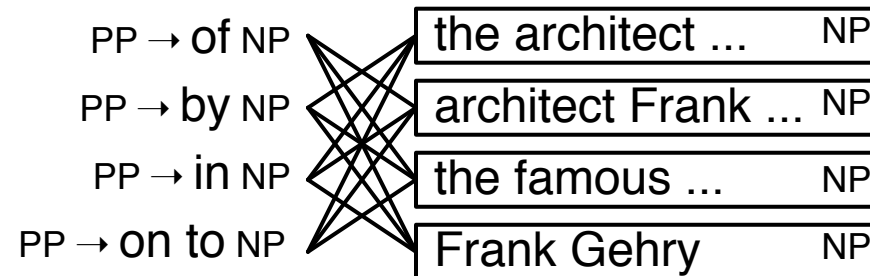
pruning

Where are we now?

- We know which rules apply
- We know where they apply (each non-terminal tied to a span)
- But there are still many choices
 - many possible translations
 - each non-terminal may match multiple hypotheses
 - number choices exponential with number of non-terminals

Rules with One Non-Terminal

Found applicable rules $PP \rightarrow \text{des } X \mid \dots NP \dots$



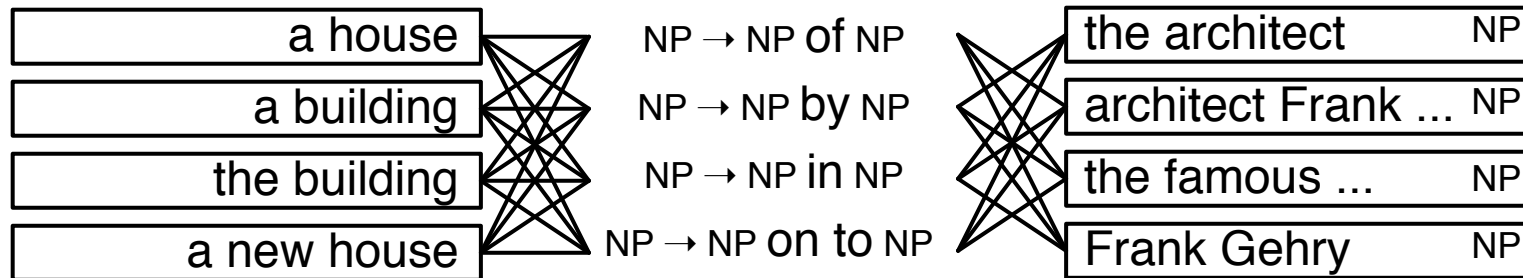
- Non-terminal will be filled any of h underlying matching hypotheses
- Choice of t lexical translations

\Rightarrow Complexity $O(ht)$

(note: we may not group rules by target constituent label,
so a rule $NP \rightarrow \text{des } X \mid \text{the } NP$ would also be considered here as well)

Rules with Two Non-Terminals

Found applicable rule $NP \rightarrow X_1 \text{ des } X_2 \mid NP_1 \dots NP_2$



- Two non-terminal will be filled any of h underlying matching hypotheses each
- Choice of t lexical translations

\Rightarrow Complexity $O(h^2t)$ — a three-dimensional "cube" of choices

(note: rules may also reorder differently)

Cube Pruning

		1.5 in the ...	1.7 by architect ...	2.6 by the ...	3.2 of the ...
a house	1.0				
a building	1.3				
the building	2.2				
a new house	2.6				

Arrange all the choices in a "cube"

(here: a square, generally a orthotope, also called a hyperrectangle)

Create the First Hypothesis

		1.5 in the ...	1.7 by architect ...	2.6 by the ...	3.2 of the ...
a house	1.0	2.1			
a building	1.3				
the building	2.2				
a new house	2.6				

- Hypotheses created in cube: (0,0)

Add ("Pop") Hypothesis to Chart Cell

		1.5 in the ...	1.7 by architect ...	2.6 by the ...	3.2 of the ...
a house	1.0	2.1			
a building	1.3				
the building	2.2				
a new house	2.6				

- Hypotheses created in cube: ϵ
- Hypotheses in chart cell stack: (0,0)

Create Neighboring Hypotheses

		1.5 in the ...	1.7 by architect ...	2.6 by the ...	3.2 of the ...
a house	1.0	2.1	2.5		
a building	1.3	2.7			
the building	2.2				
a new house	2.6				

- Hypotheses created in cube: (0,1), (1,0)
- Hypotheses in chart cell stack: (0,0)

Pop Best Hypothesis to Chart Cell

		1.5 in the ...	1.7 by architect ...	2.6 by the ...	3.2 of the ...
a house	1.0	2.1	2.5		
a building	1.3	2.7			
the building	2.2				
a new house	2.6				

- Hypotheses created in cube: (0,1)
- Hypotheses in chart cell stack: (0,0), (1,0)

Create Neighboring Hypotheses

		1.5 in the ...	1.7 by architect ...	2.6 by the ...	3.2 of the ...
a house	1.0	2.1	2.5	3.1	
a building	1.3	2.7	2.4		
the building	2.2				
a new house	2.6				

- Hypotheses created in cube: (0,1), (1,1), (2,0)
- Hypotheses in chart cell stack: (0,0), (1,0)

More of the Same

		1.5 in the ...	1.7 by architect ...	2.6 by the ...	3.2 of the ...
a house	1.0	2.1	2.5	3.1	
a building	1.3	2.7	2.4	3.0	
the building	2.2		3.8		
a new house	2.6				

- Hypotheses created in cube: (0,1), (1,2), (2,1), (2,0)
- Hypotheses in chart cell stack: (0,0), (1,0), (1,1)

- Several groups of rules will apply to a given span
- Each of them will have a cube
- We can create a queue of cubes

⇒ Always pop off the most promising hypothesis, regardless of cube

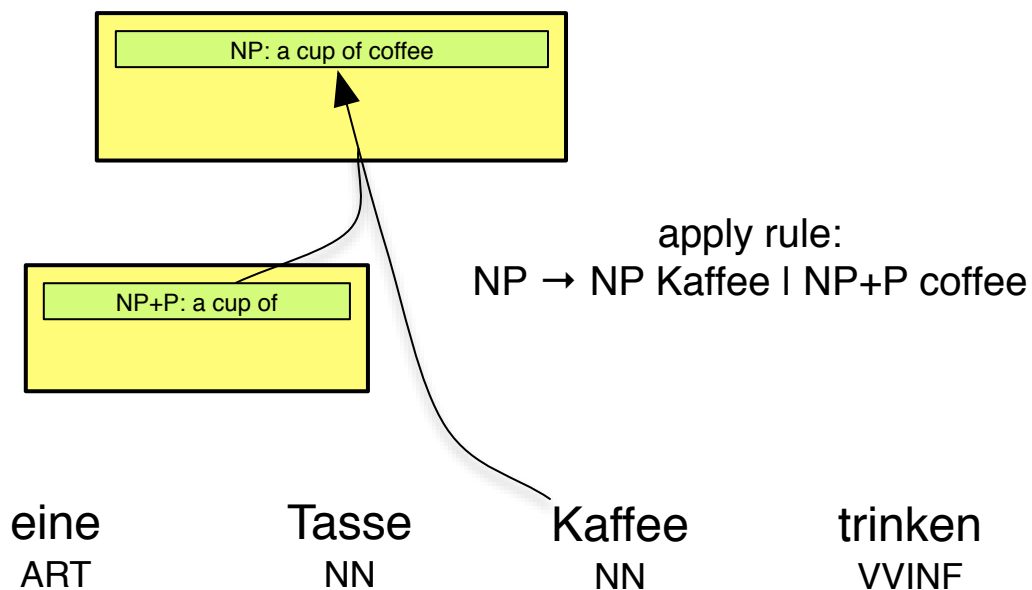
- May have separate queues for different target constituent labels

Bottom-Up Chart Decoding Algorithm

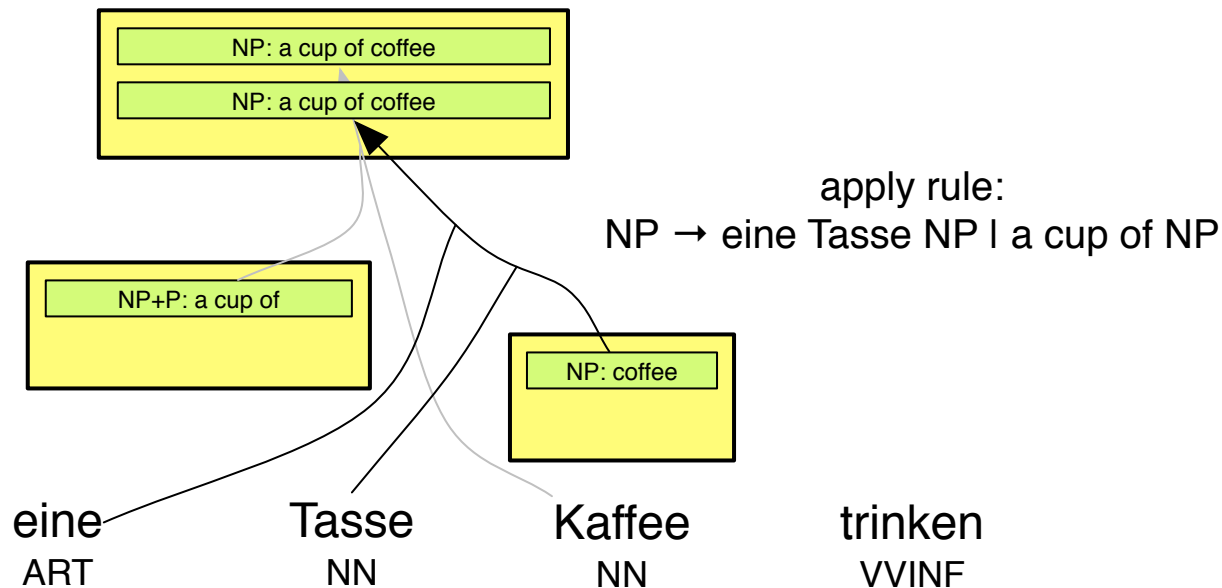
```
1: for all spans (bottom up) do
2:   extend dotted rules
3:   for all dotted rules do
4:     find group of applicable rules
5:     create a cube for it
6:     create first hypothesis in cube
7:     place cube in queue
8:   end for
9:   for specified number of pops do
10:    pop off best hypothesis of any cube in queue
11:    add it to the chart cell
12:    create its neighbors
13:   end for
14:   extend dotted rules over constituent labels
15: end for
```

recombination and pruning

Applying rule creates new hypothesis



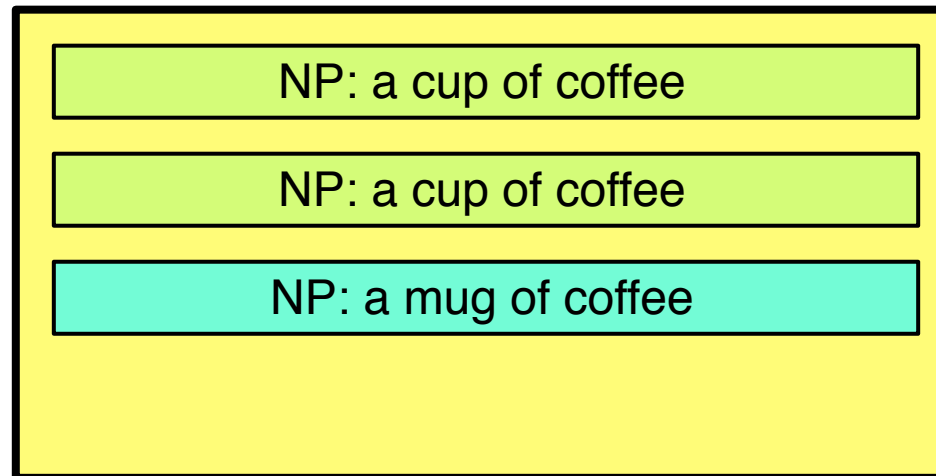
Another hypothesis



Both hypotheses are indistinguishable in future search
→ can be recombined

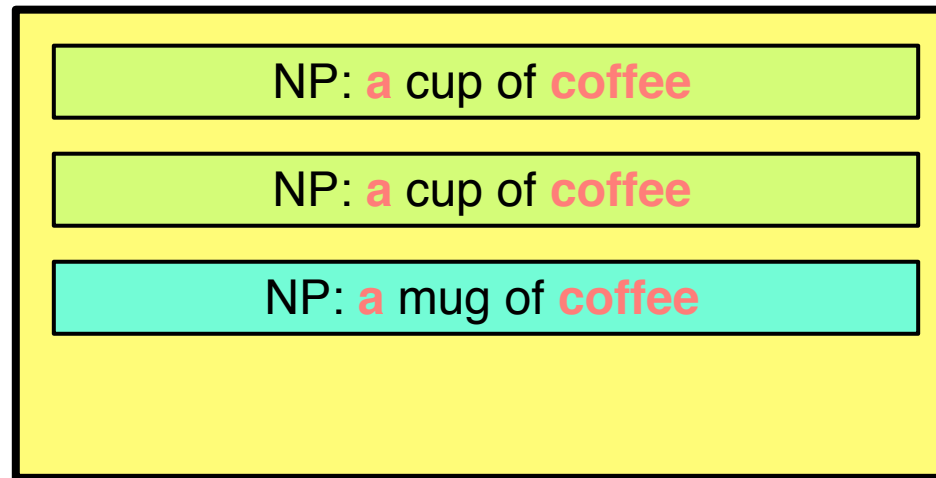
Recombinable States

Recombinable?



Recombinable States

Recombinable?



Yes, iff max. 2-gram language model is used

Hypotheses have to match in

- span of input words covered
- output constituent label
- first $n-1$ output words

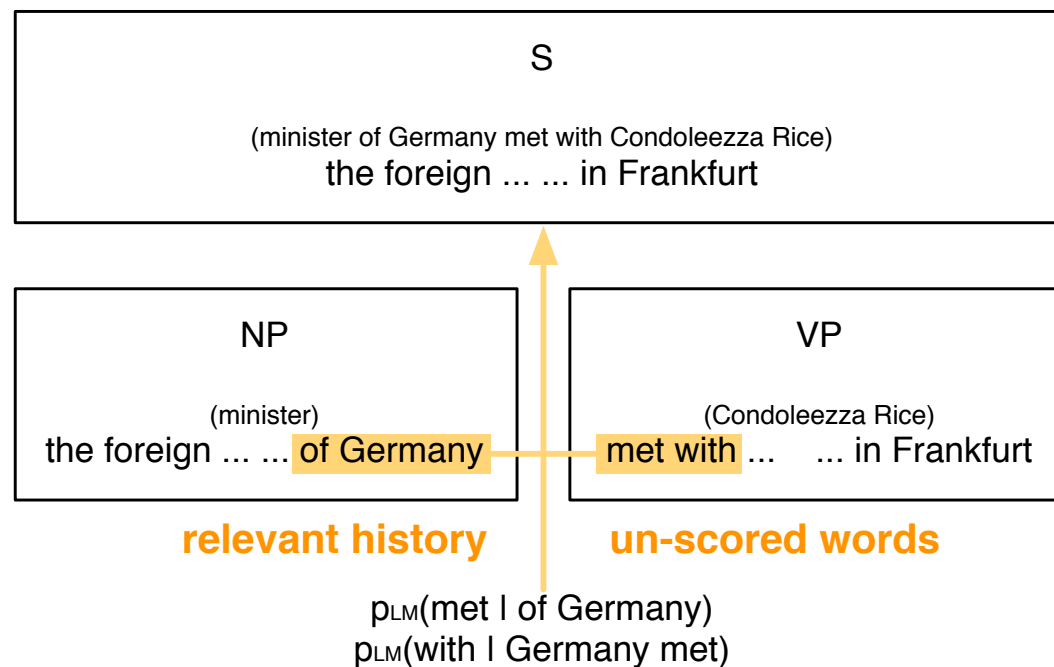
not properly scored, since they lack context

- last $n-1$ output words

still affect scoring of subsequently added words,
just like in phrase-based decoding

(n is the order of the n -gram language model)

When merging hypotheses, internal language model contexts are absorbed



- Number of hypotheses in each chart cell explodes

⇒ need to discard bad hypotheses
e.g., keep 100 best only

- Different stacks for different output constituent labels?
- Cost estimates
 - translation model cost known
 - language model cost for internal words known
→ estimates for initial words
 - outside cost estimate?
(how useful will be a NP covering input words 3–5 later on?)

scope 3 pruning

How Often Does a Rule Apply?

- Lexical rule \rightarrow only once in sentence

$NP \rightarrow$ la maison bleue | the blue house

- One non-terminal bounded by words \rightarrow only once in sentence

$NP \rightarrow$ la NN_1 bleue | the blue NN_1

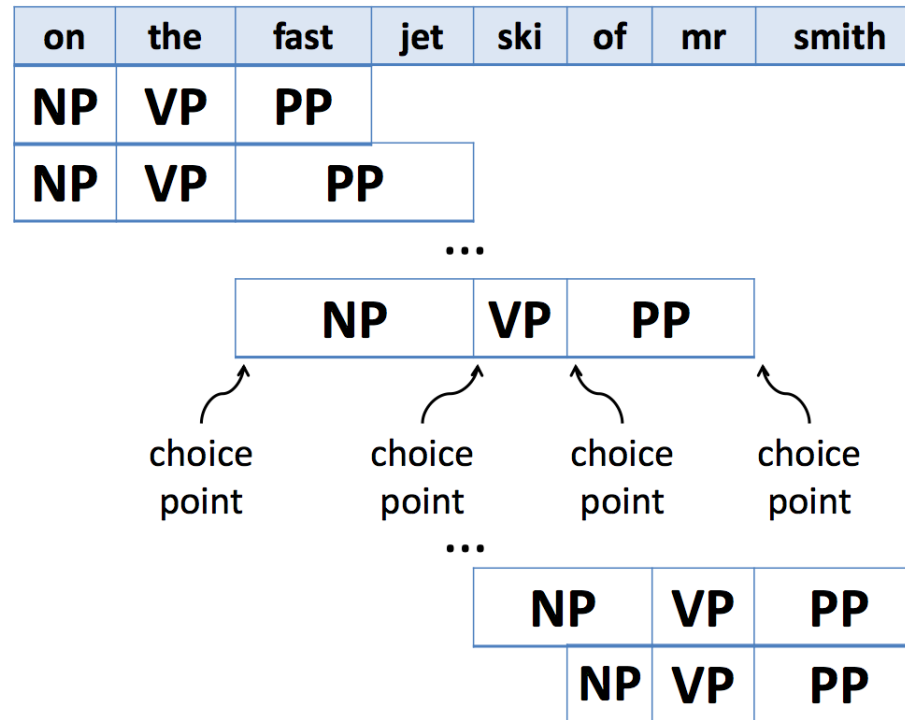
- One non-terminal at edge of rule \rightarrow non-terminal can cover $O(n)$ words

$NP \rightarrow$ la NN_1 | the NN_1

- Two non-terminals at edges \rightarrow combined choices for both non-terminals $O(n^2)$

$NP \rightarrow$ DET_1 maison JJ_2 | DET_1 JJ_2 house

Choice Points



- 4 choice points $\rightarrow O(n^4)$ application contexts
- Too many choice points \rightarrow rule applied to many times

Recall: Hierarchical Rule Extraction

- Having only one non-terminal symbol \times
- Restrictions to limit complexity
 - at most 2 nonterminal symbols
 - no neighboring non-terminals on the source side
 - span at most 15 words (counting gaps)

\Rightarrow At most 2 choice points ("scope 2")

Rule Binarization

- Convert grammar to Chomsky Normal Form (CNF) — scope 3
- Only allow two types of rules

$$A \rightarrow \text{word}$$

$$A \rightarrow B C$$

(Note: for our rules, we would allow additional terminals)

- Convert rules
with more non-terminals

$$A \rightarrow X Y Z$$

$$\Downarrow$$

$$A \rightarrow X Q$$

$$Q \rightarrow Y Z$$

(Q is a new non-terminal, specific to this rule)

- But:
 - increases the number of non-terminals ("grammar constant")
 - can be tricky for SCFG rules

Scope 3 Pruning

- Remove all rules with scope > 3

- Less restrictive than CNF
e.g., allows:

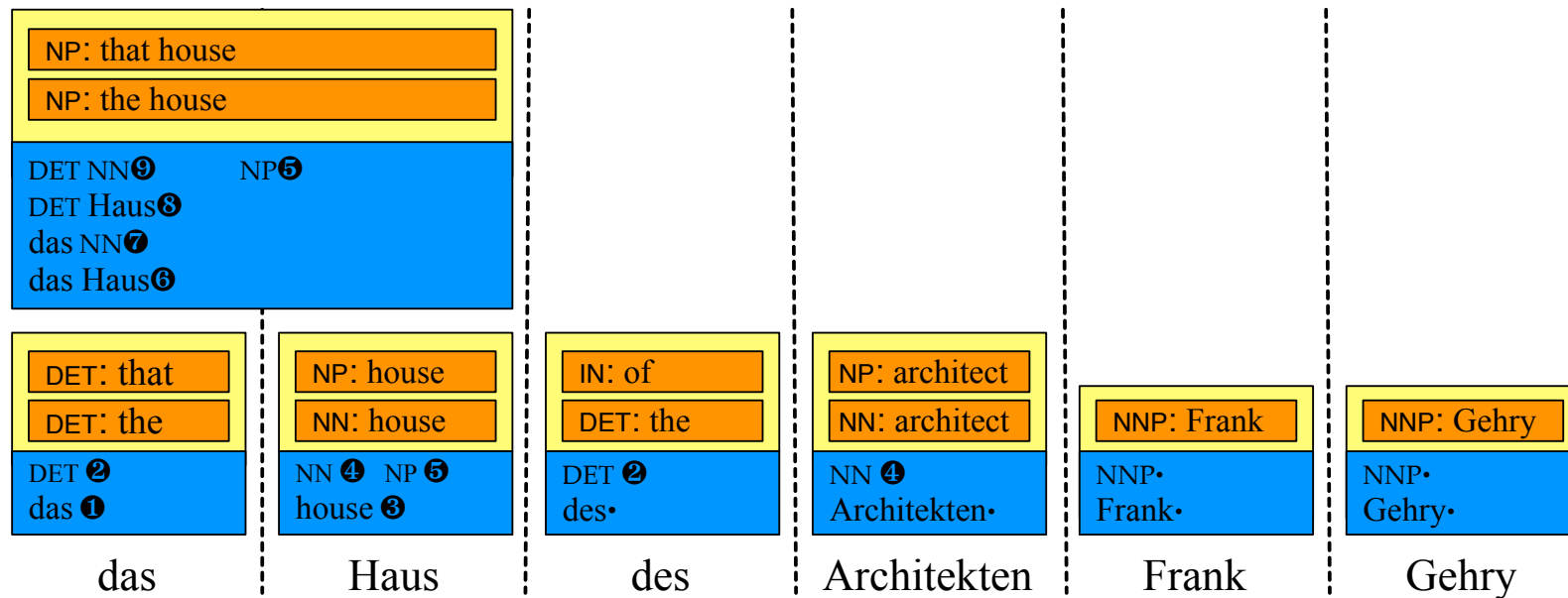
$A \rightarrow \text{DET}_1 \text{ maison JJ}_2 \text{ sur la NN}_3 \mid \text{DET}_1 \text{ JJ}_2 \text{ house on the NN}_3$

(2 choice points at edges)

- Better speed/quality trade-off than synchronous binarization

recursive cky+

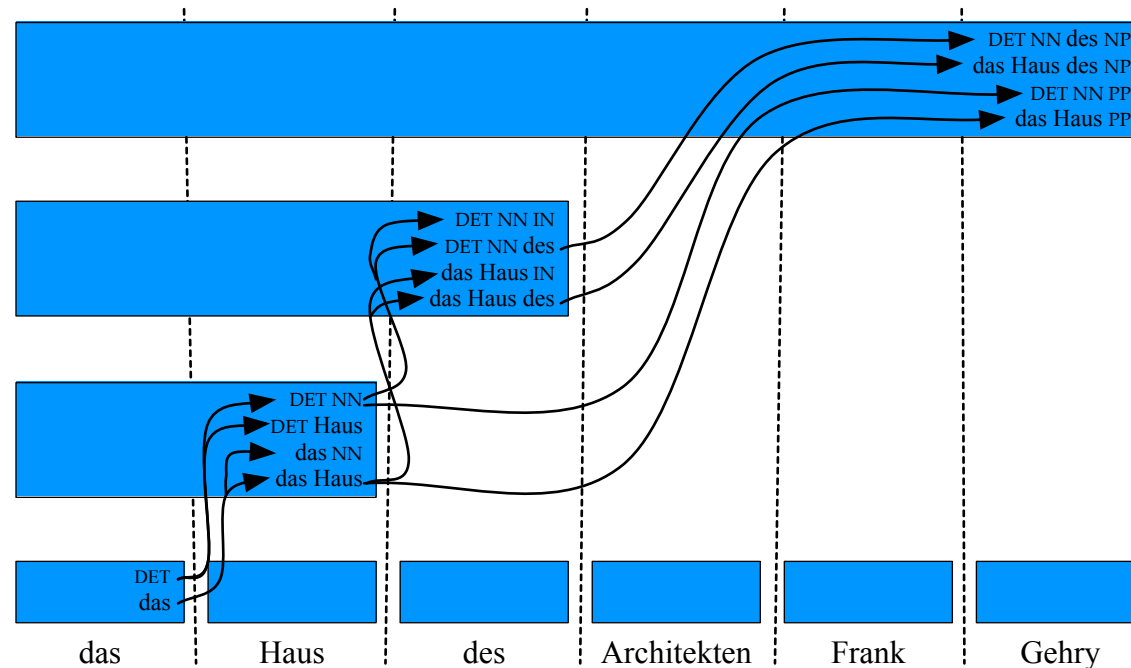
- Two charts: (1) hypothesis chart, (2) dotted rule chart



- Dotted rule chart allows dynamic programming of rules with same prefix

Expansion of Dotted Rules

- Dotted rules are expanded recursively

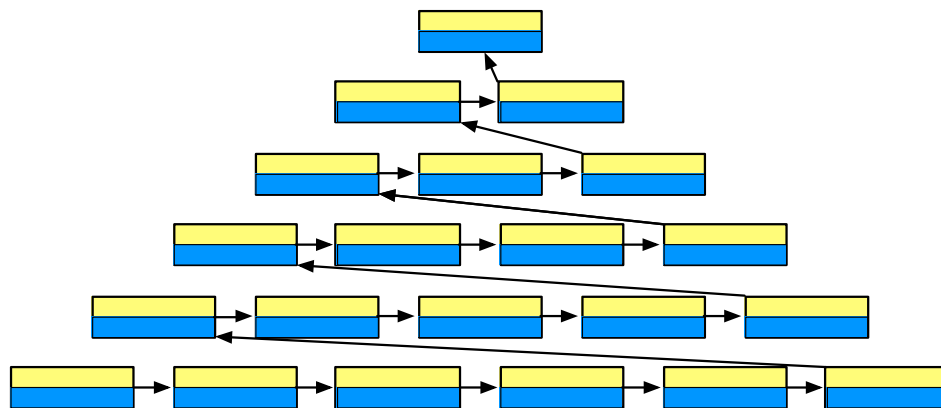


- Dotted rules are stored with each chart cell

- Recursive CKY+ (Sennrich, 2014) removes need for dotted rule chart
- Chart traversal is re-arranged

CKY+

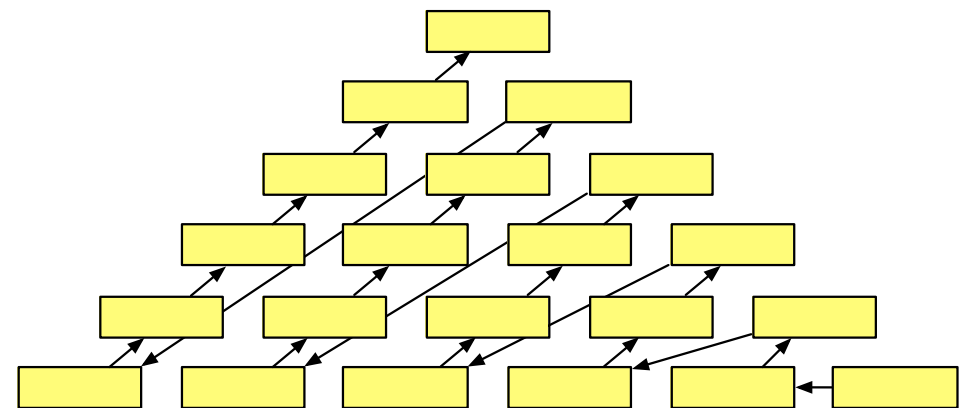
bottom-up, left-to-right



with dotted rule chart

recursive CKY+

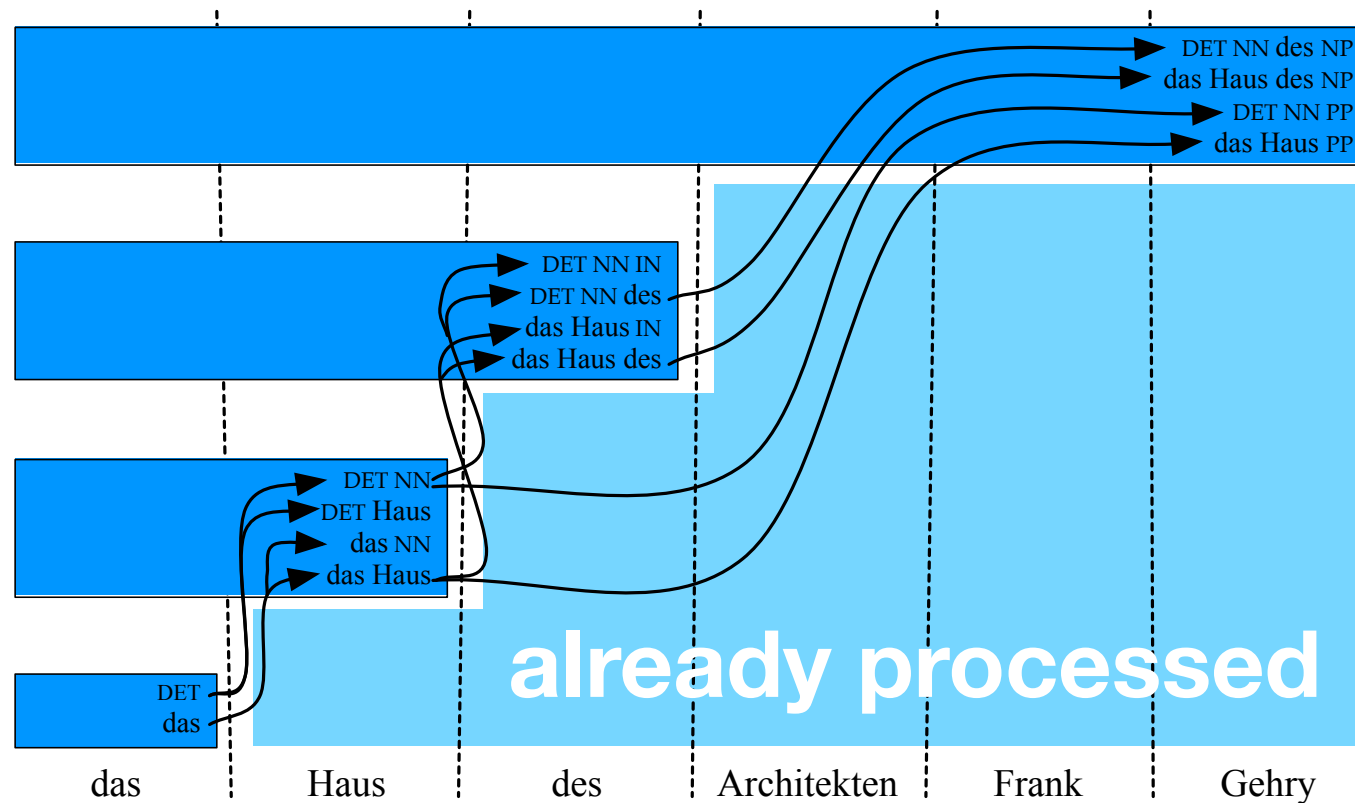
right-to-left, depth-first



without dotted rule chart

Recursive CKY+

- Rule expansion by recursive function calls
- Rules can be immediately expanded, because all needed cells already processed



search strategies

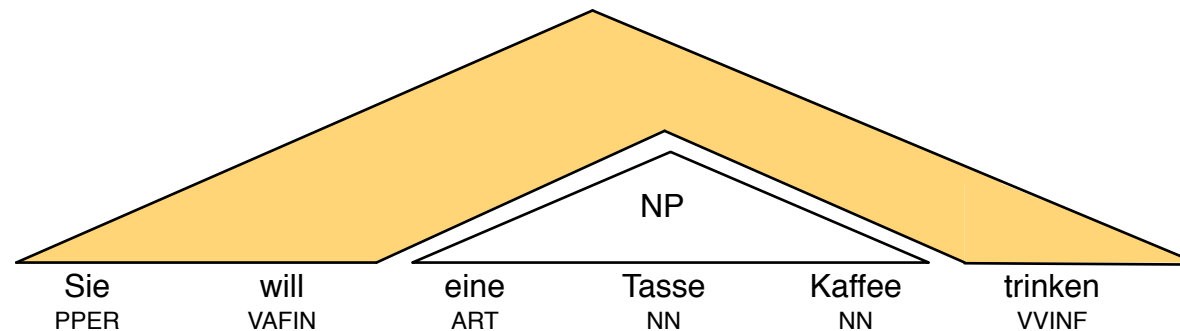
Two-Stage Decoding

- First stage: decoding without a language model (-LM decoding)
 - may be done exhaustively
 - eliminate dead ends
 - optionally prune out low scoring hypotheses
- Second stage: add language model
 - limited to packed chart obtained in first stage
- Note: essentially, we do two-stage decoding for each span at a time
 - stage 1: find applicable rules
 - stage 2: cube pruning

- Decode with increasingly complex model
- Examples
 - reduced language model [Zhang and Gildea, 2008]
 - reduced set of non-terminals [DeNero et al., 2009]
 - language model on clustered word classes [Petrov et al., 2008]

Outside Cost Estimation

- Which spans should be more emphasized in search?
- Initial decoding stage can provide outside cost estimates



- Use min/max language model costs to obtain admissible heuristic (or at least something that will guide search better)

Open Questions

- What causes the high search error rate?
- Where does the best translation fall out the beam?
- How accurate are LM estimates?
- Are particular types of rules too quickly discarded?
- Are there systemic problems with cube pruning?