

# Statistical Machine Translation

## LING-462/COSC-482

Week 5:

Decoding and tree-based models

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# Agenda

- Language in ten minutes: Derek Acosta
- Decoding
  - Break -
- Tree-based models
- HW3: Decoding
- Internships tips/inquiries

# Statistical Machine Translation

- What we have now: statistical models
  - Word-based translation models
  - Phrase-based translation models
  - N-gram language models
  - Noisy channel model
  - Log-linear model
- Next: decoding
  - How do we find the most-likely or top-n most likely translations?

**DECODING**

# Decoding

- We have a mathematical model for translation

$$p(\mathbf{e}|\mathbf{f})$$

- Task of decoding: find the translation  $\mathbf{e}_{\text{best}}$  with highest probability

$$\mathbf{e}_{\text{best}} = \operatorname{argmax}_{\mathbf{e}} p(\mathbf{e}|\mathbf{f})$$

- Two types of error
  - the most probable translation is bad  $\rightarrow$  fix the model
  - search does not find the most probable translation  $\rightarrow$  fix the search
- Decoding is evaluated by search error, not quality of translations (although these are often correlated)

# Translation Process

- Task: translate this sentence from German into English

**er       geht       ja       nicht       nach       hause**

# Translation Process

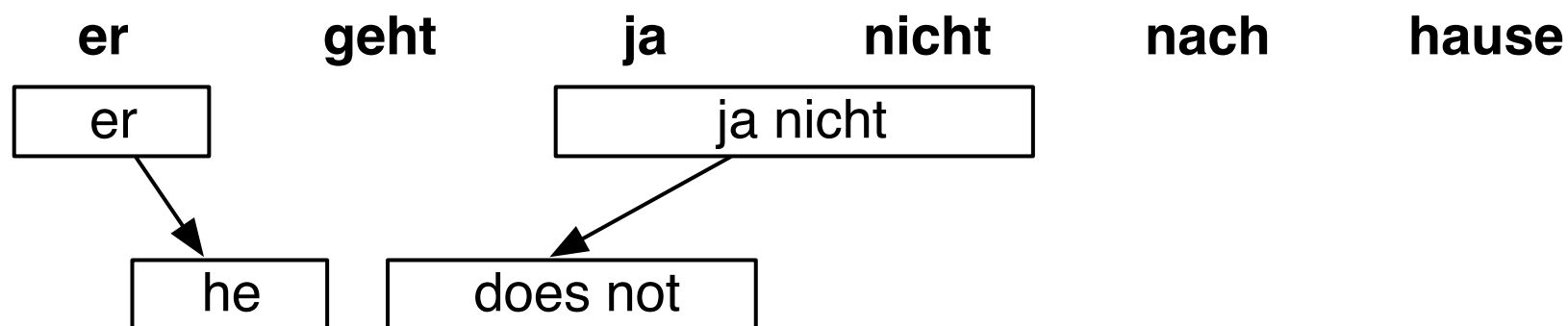
- Task: translate this sentence from German into English



- Pick phrase in input, translate

# Translation Process

- Task: translate this sentence from German into English

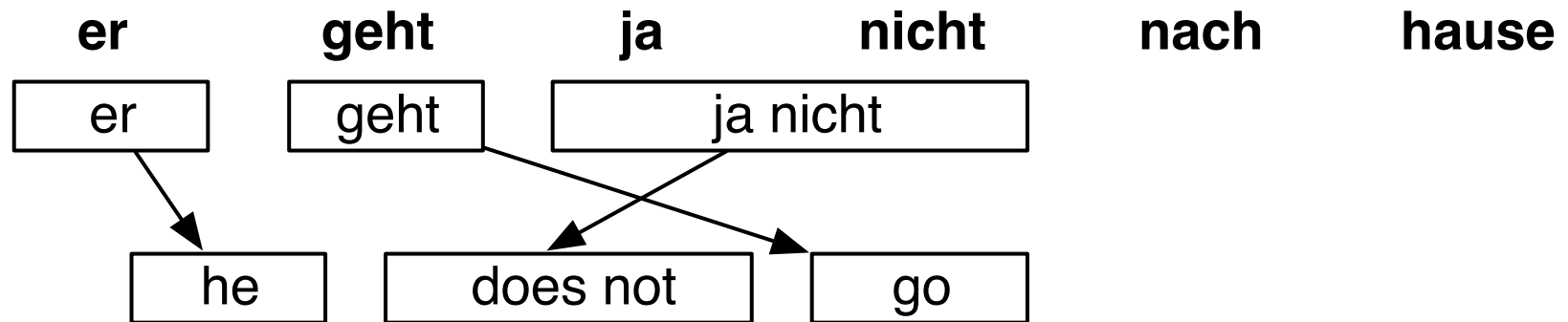


- Pick phrase in input, translate
  - it is allowed to pick words out of sequence reordering
  - phrases may have multiple words: many-to-many translation



# Translation Process

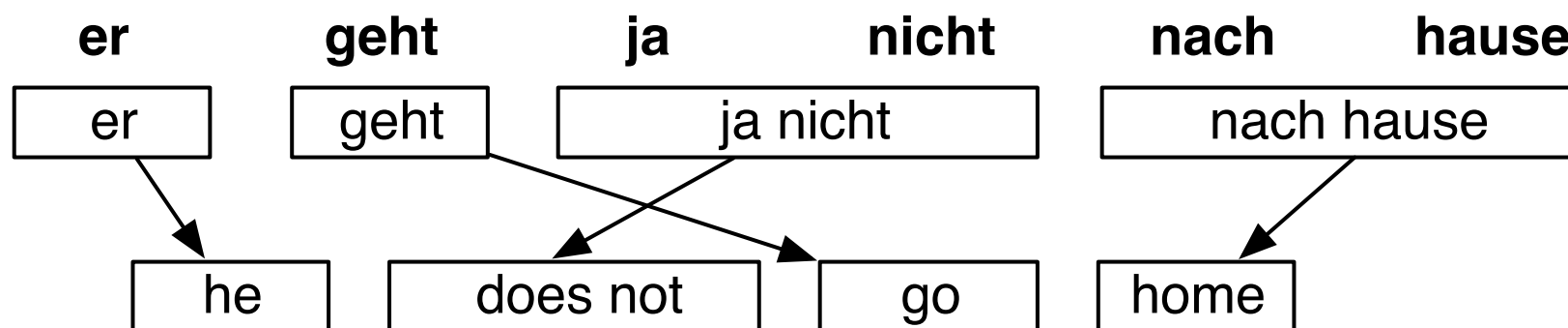
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# Translation Process

- Task: translate this sentence from German into English



- Pick phrase in input, translate

# Computing Translation Probability

- Probabilistic model for phrase-based translation:

$$\mathbf{e}_{\text{best}} = \operatorname{argmax}_{\mathbf{e}} \prod_{i=1}^I \phi(\bar{f}_i | \bar{e}_i) d(\text{start}_i - \text{end}_{i-1} - 1) p_{\text{LM}}(\mathbf{e})$$

- Score is computed incrementally for each partial hypothesis
- Components

**Phrase translation** Picking phrase  $\bar{f}_i$  to be translated as a phrase  $\bar{e}_i$

→ look up score  $\phi(\bar{f}_i | \bar{e}_i)$  from phrase translation table

**Reordering** Previous phrase ended in  $\text{end}_{i-1}$ , current phrase starts at  $\text{start}_i$

→ compute  $d(\text{start}_i - \text{end}_{i-1} - 1)$

**Language model** For  $n$ -gram model, need to keep track of last  $n - 1$  words

→ compute score  $p_{\text{LM}}(w_i | w_{i-(n-1)}, \dots, w_{i-1})$  for added words  $w_i$

# Translation Options

er	geht	ja	nicht	nach	hause
he	is	yes	not	after	house
it	are	is	do not	to	home
, it	goes	, of course	does not	according to	chamber
, he	go	,	is not	in	at home
it is		not		home	
he will be		is not		under house	
it goes		does not		return home	
he goes		do not		do not	
	is		to		
	are		following		
	is after all		not after		
	does		not to		
	not				
	is not				
	are not				
	is not a				

- Many translation options to choose from
  - in Europarl phrase table: 2727 matching phrase pairs for this sentence
  - by pruning to the top 20 per phrase, 202 translation options remain

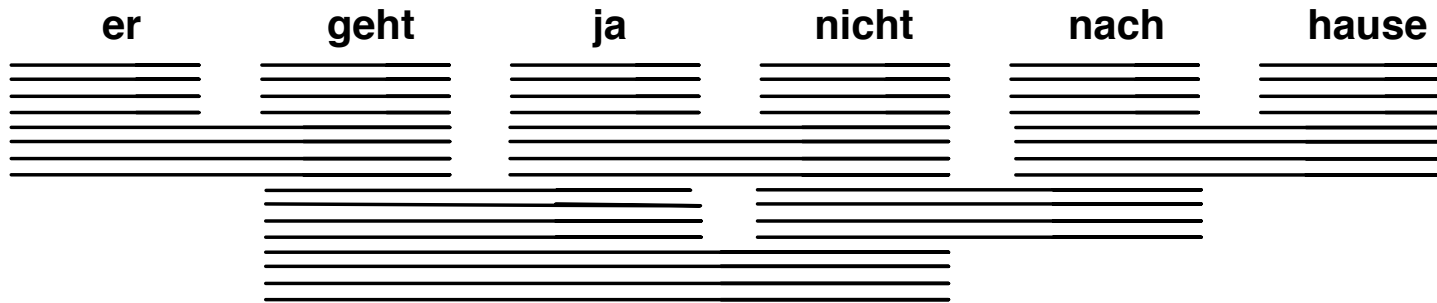
# Translation Options

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it is		not		home	
he will be		is not		under house	
it goes		does not		return home	
he goes		do not		do not	
	is		to		
	are		following		
	is after all		not after		
	does		not to		
	not				
	is not				
	are not				
	is not a				

- The machine translation decoder does not know the right answer
  - picking the right translation options
  - arranging them in the right order

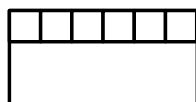
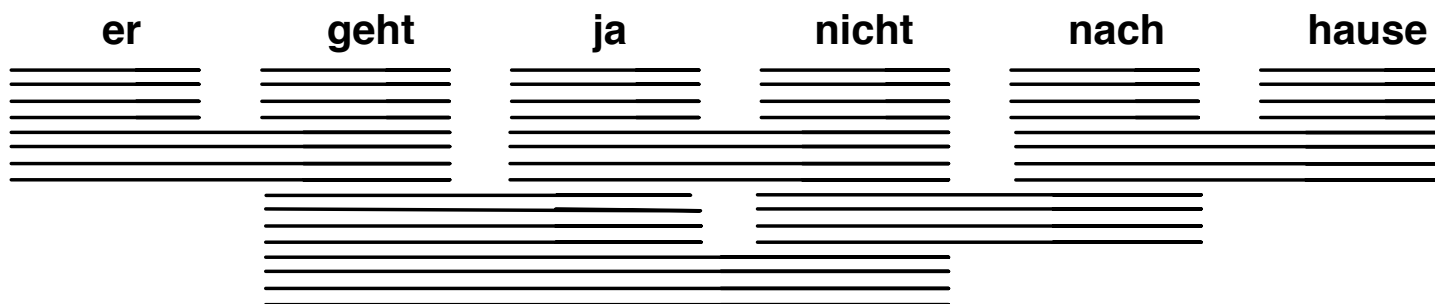
→ Search problem solved by heuristic beam search

# Decoding: Precompute Translation Options



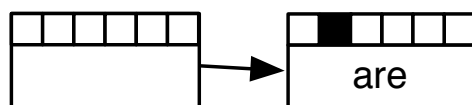
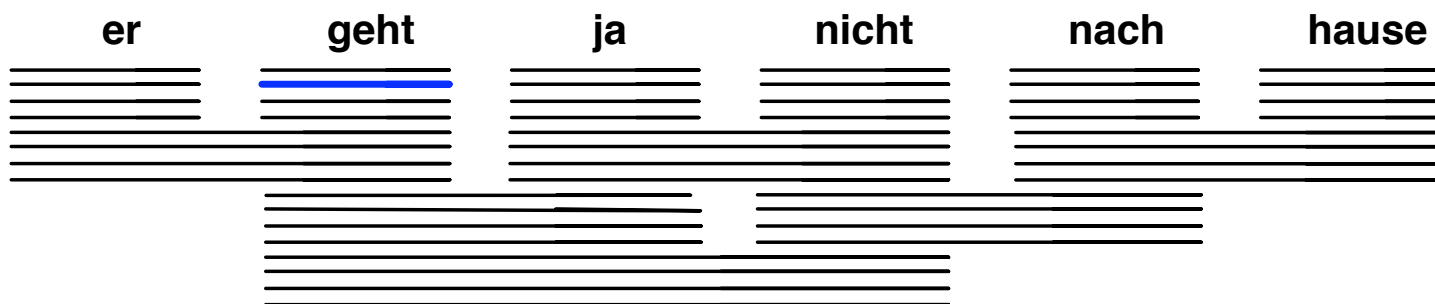
consult phrase translation table for all input phrases

# Decoding: Start with Initial Hypothesis



initial hypothesis: no input words covered, no output produced

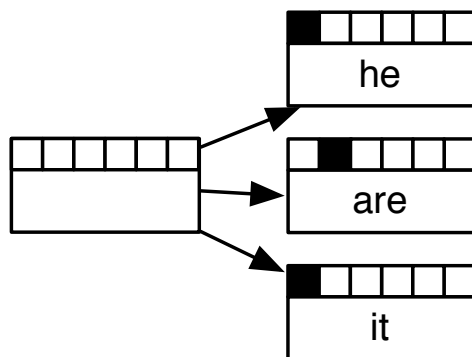
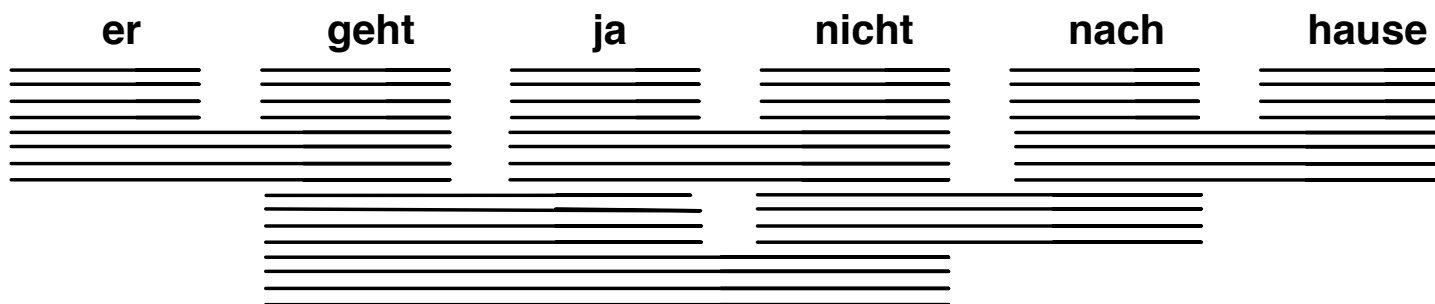
# Decoding: Hypothesis Expansion



pick any translation option, create new hypothesis

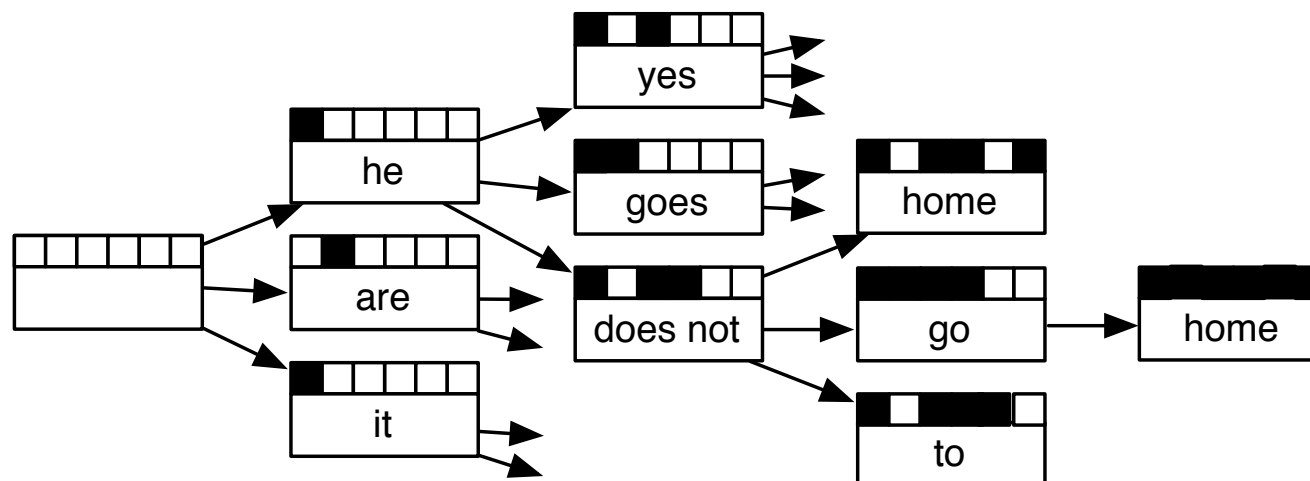
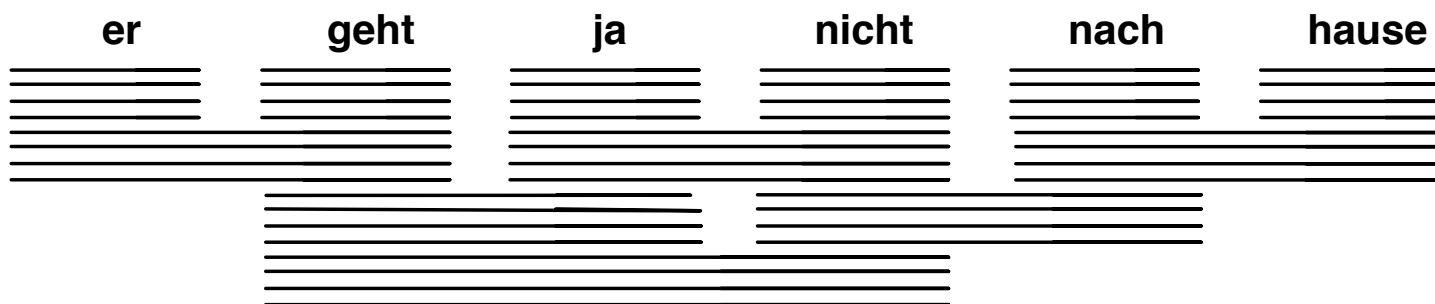


# Decoding: Hypothesis Expansion



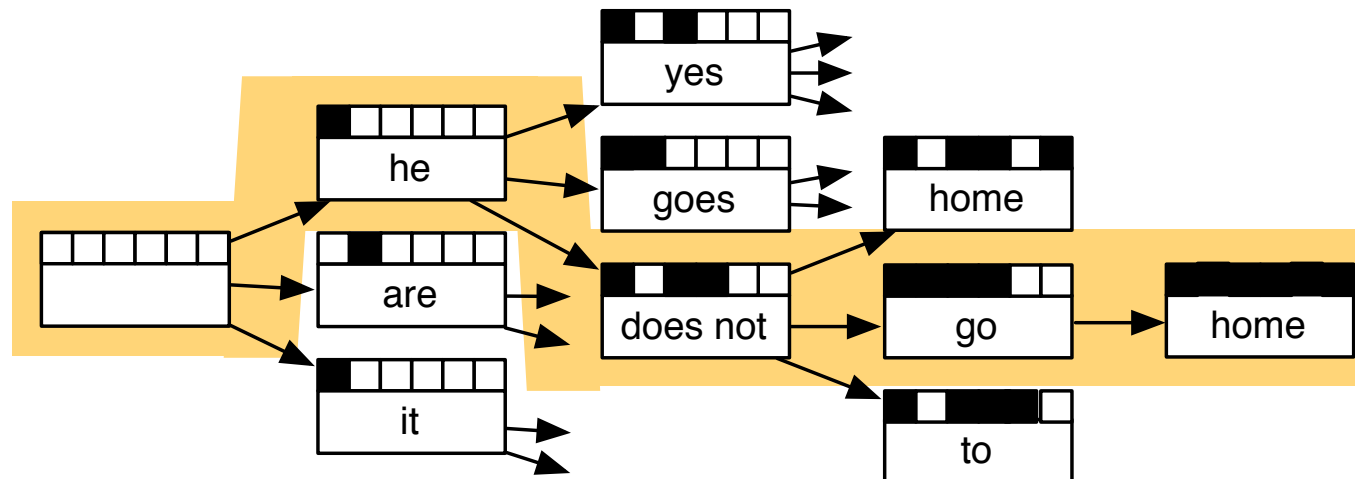
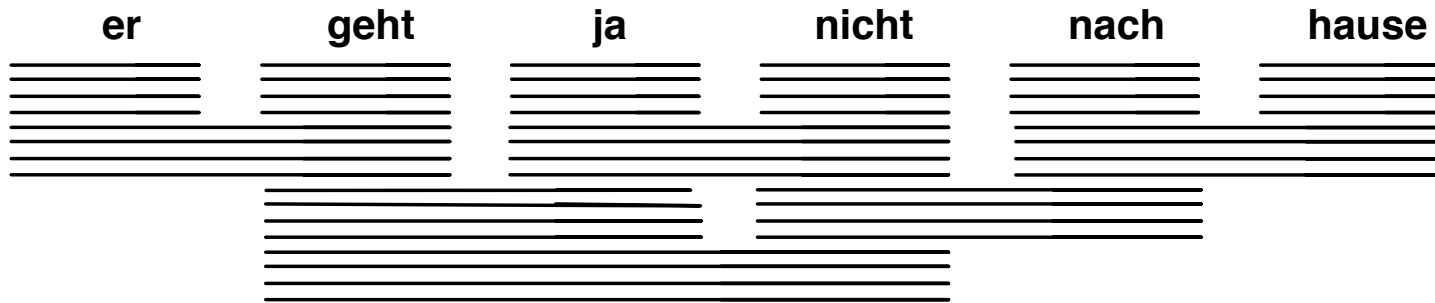
create hypotheses for all other translation options

# Decoding: Hypothesis Expansion



also create hypotheses from created partial hypothesis

# Decoding: Find Best Path



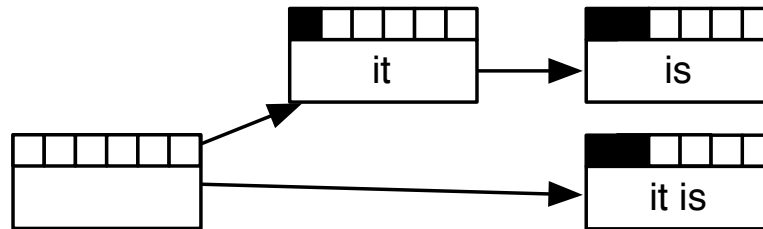
backtrack from highest scoring complete hypothesis

# Computational Complexity

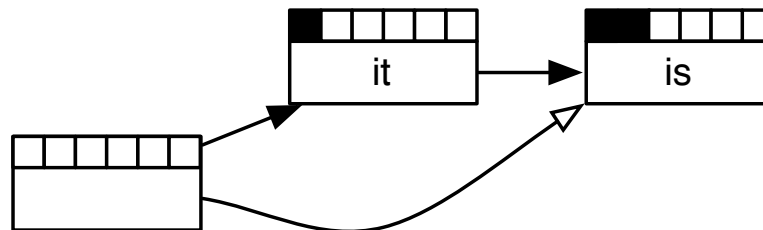
- The suggested process creates exponential number of hypothesis
- Machine translation decoding is NP-complete
- Reduction of search space:
  - recombination (risk-free)
  - pruning (risky)

# Recombination

- Two hypothesis paths lead to two matching hypotheses
  - same number of foreign words translated
  - same English words in the output
  - different scores

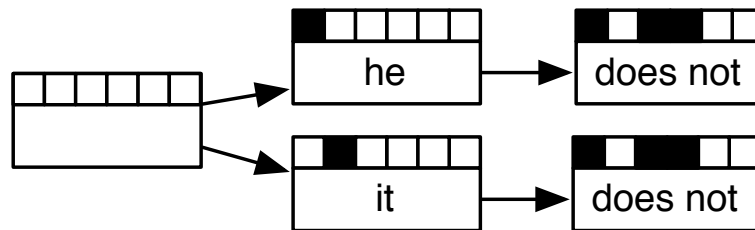


- Worse hypothesis is dropped

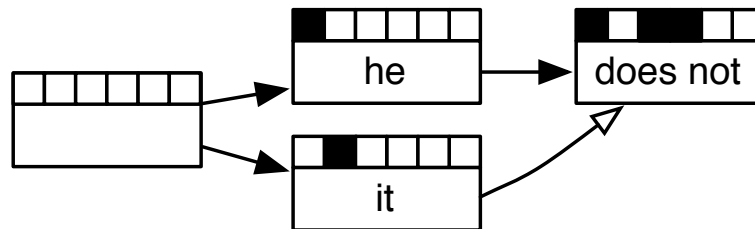


# Recombination

- Two hypothesis paths lead to hypotheses indistinguishable in subsequent search
  - same number of foreign words translated
  - same last two English words in output (assuming trigram language model)
  - same last foreign word translated
  - different scores



- Worse hypothesis is dropped



# Restrictions on Recombination

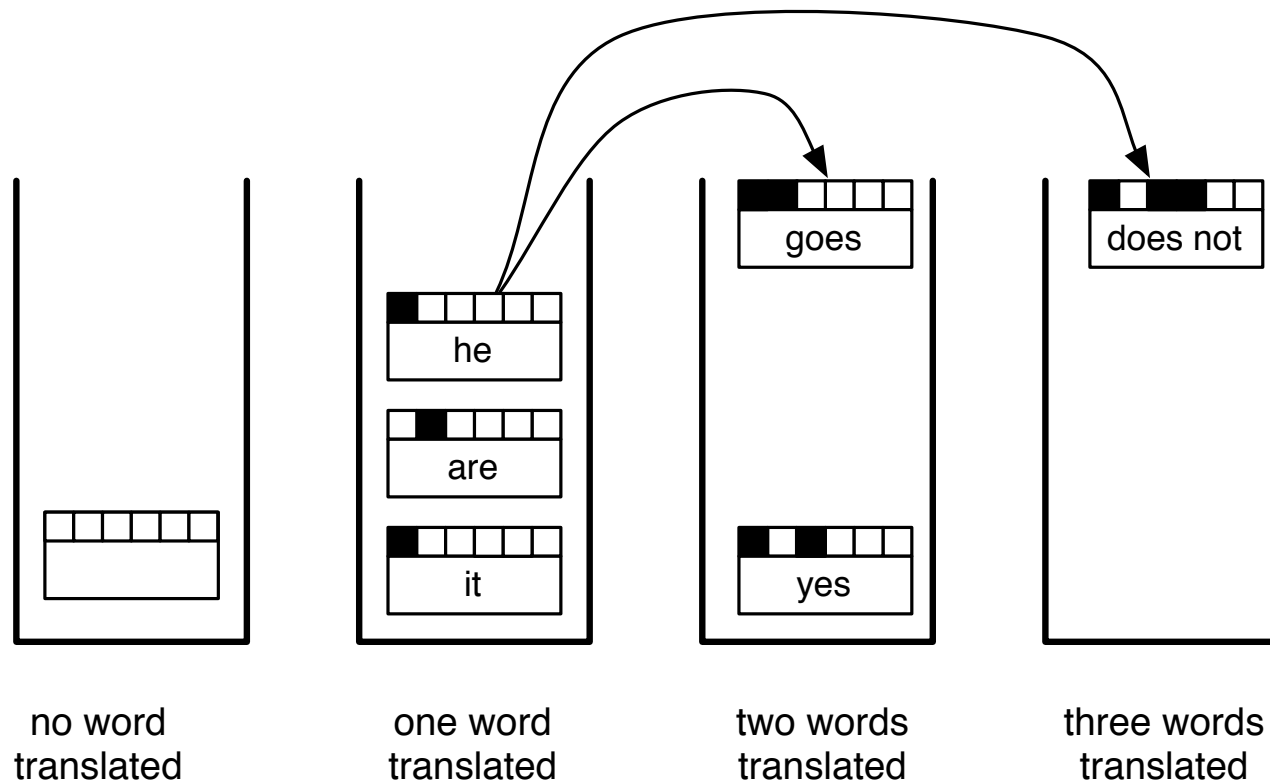
- **Translation model:** Phrase translation independent from each other  
→ no restriction to hypothesis recombination
- **Language model:** Last  $n - 1$  words used as history in  $n$ -gram language model  
→ recombined hypotheses must match in their last  $n - 1$  words
- **Reordering model:** Distance-based reordering model based on distance to end position of previous input phrase  
→ recombined hypotheses must have that same end position
- Other feature function may introduce additional restrictions

# Pruning

- Recombination reduces search space, but not enough  
(we still have a NP complete problem on our hands)
- Pruning: remove bad hypotheses early
  - put comparable hypothesis into stacks  
(hypotheses that have translated same number of input words)
  - limit number of hypotheses in each stack



# Stacks



- Hypothesis expansion in a stack decoder
  - translation option is applied to hypothesis
  - new hypothesis is dropped into a stack further down

# Stack Decoding Algorithm

```
1: place empty hypothesis into stack 0
2: for all stacks  $0 \dots n - 1$  do
3:   for all hypotheses in stack do
4:     for all translation options do
5:       if applicable then
6:         create new hypothesis
7:         place in stack
8:         recombine with existing hypothesis if possible
9:         prune stack if too big
10:      end if
11:    end for
12:  end for
13: end for
```

# Pruning

- Pruning strategies
  - histogram pruning: keep at most  $k$  hypotheses in each stack
  - stack pruning: keep hypothesis with score  $\alpha \times$  best score ( $\alpha < 1$ )
- Computational time complexity of decoding with histogram pruning

$$O(\text{max stack size} \times \text{translation options} \times \text{sentence length})$$

- Number of translation options is linear with sentence length, hence:

$$O(\text{max stack size} \times \text{sentence length}^2)$$

- Quadratic complexity

# Reordering Limits

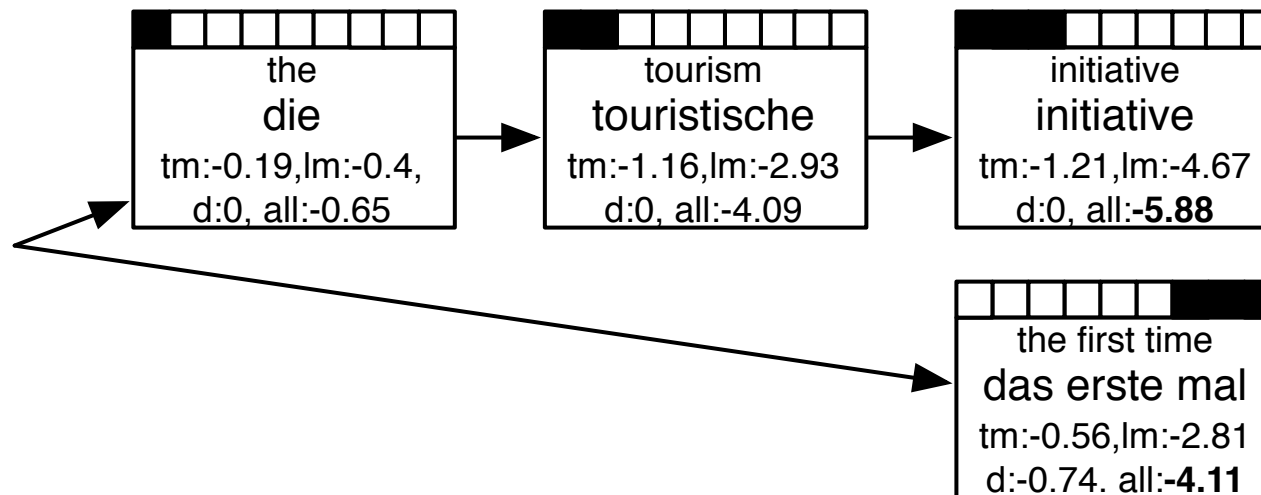
- Limiting reordering to maximum reordering distance
- Typical reordering distance 5–8 words
  - depending on language pair
  - larger reordering limit hurts translation quality
- Reduces complexity to linear

$$O(\text{max stack size} \times \text{sentence length})$$

- Speed / quality trade-off by setting maximum stack size

# Translating the Easy Part First?

the tourism initiative addresses this for the first time

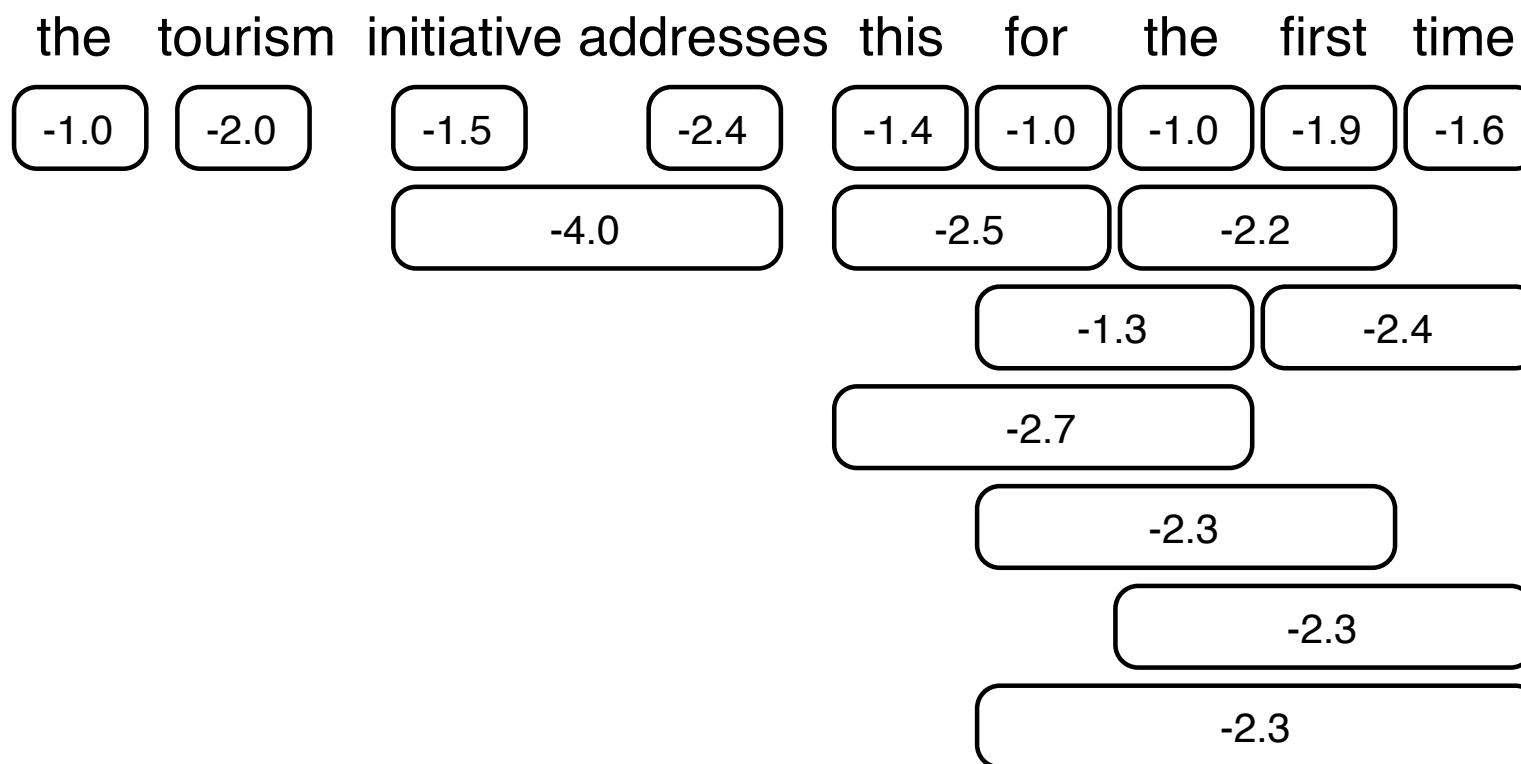


both hypotheses translate 3 words  
worse hypothesis has better score

# Estimating Future Cost

- Future cost estimate: how expensive is translation of rest of sentence?
- Optimistic: choose cheapest translation options
- Cost for each translation option
  - **translation model**: cost known
  - **language model**: output words known, but not context  
→ estimate without context
  - **reordering model**: unknown, ignored for future cost estimation

# Cost Estimates from Translation Options



cost of cheapest translation options for each input span (log-probabilities)

# Cost Estimates for all Spans

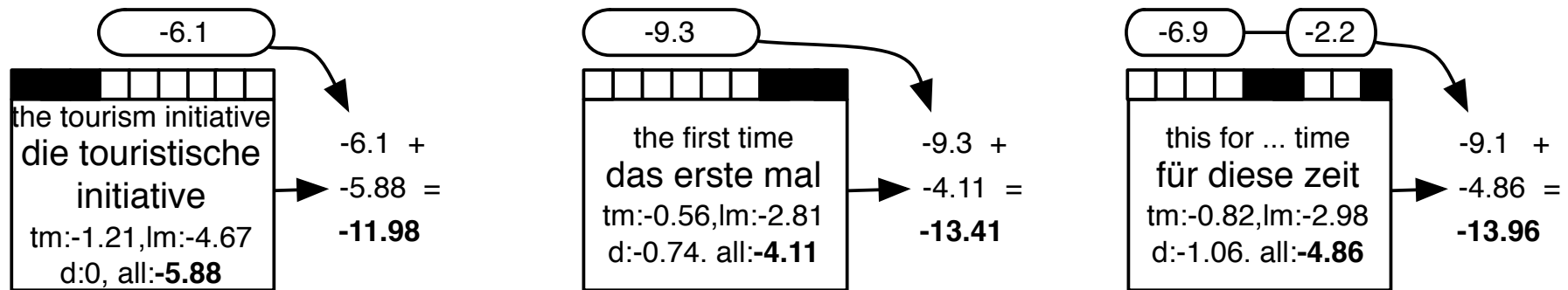
- Compute cost estimate for all contiguous spans by combining cheapest options

first word	future cost estimate for $n$ words (from first)								
	1	2	3	4	5	6	7	8	9
the	-1.0	-3.0	-4.5	-6.9	-8.3	-9.3	-9.6	-10.6	-10.6
tourism	-2.0	-3.5	-5.9	-7.3	-8.3	-8.6	-9.6	-9.6	
initiative	-1.5	-3.9	-5.3	-6.3	-6.6	-7.6	-7.6		
addresses	-2.4	-3.8	-4.8	-5.1	-6.1	-6.1			
this	-1.4	-2.4	-2.7	-3.7	-3.7				
for	-1.0	-1.3	-2.3	-2.3					
the	-1.0	-2.2	-2.3						
first	-1.9	-2.4							
time	-1.6								

- Function words cheaper (**the**: -1.0) than content words (**tourism** -2.0)
- Common phrases cheaper (**for the first time**: -2.3) than unusual ones (**tourism initiative addresses**: -5.9)



# Combining Score and Future Cost

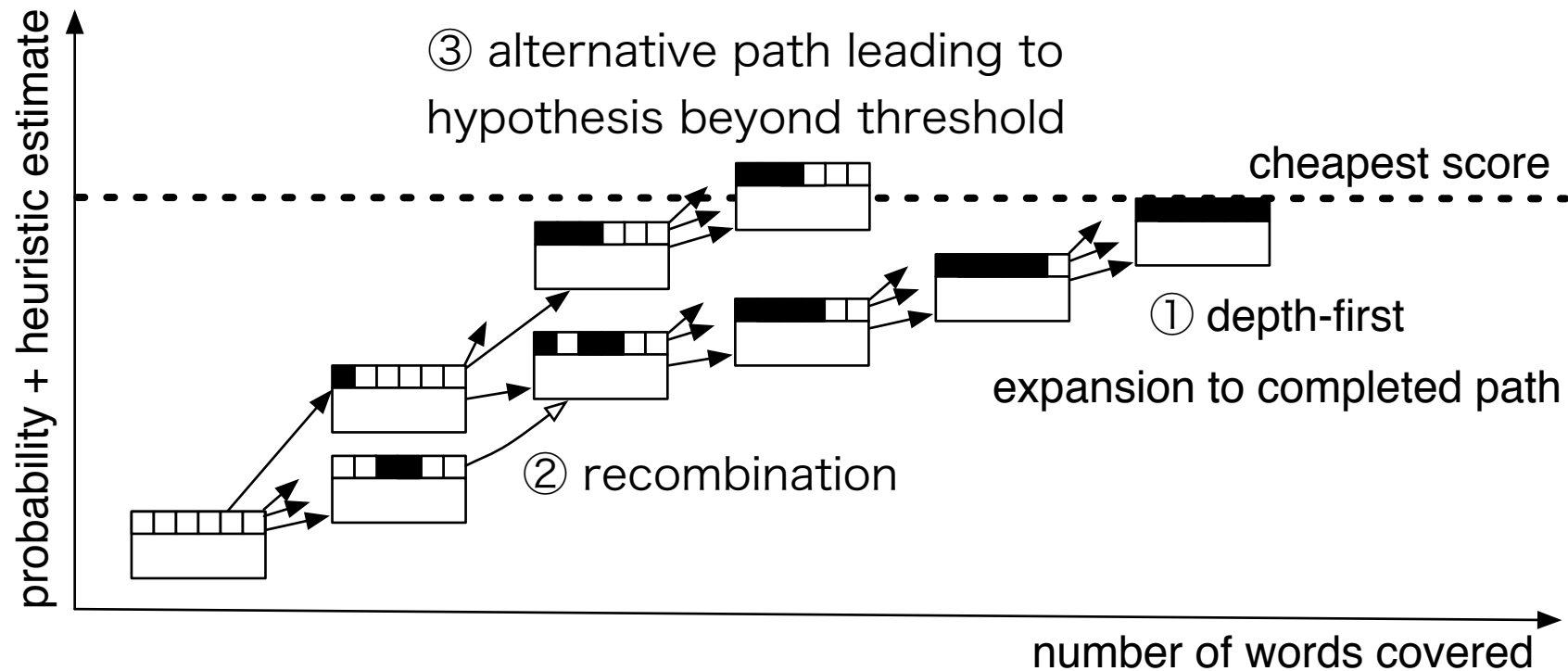


- Hypothesis score and future cost estimate are combined for pruning
  - left hypothesis starts with hard part: *the tourism initiative*  
score: -5.88, future cost: -6.1 → total cost -11.98
  - middle hypothesis starts with easiest part: *the first time*  
score: -4.11, future cost: -9.3 → total cost -13.41
  - right hypothesis picks easy parts: *this for ... time*  
score: -4.86, future cost: -9.1 → total cost -13.96

# Other Decoding Algorithms

- A\* search
- Greedy hill-climbing
- Using finite state transducers (standard toolkits)

# A\* Search



- Uses *admissible* future cost heuristic: never overestimates cost
- Translation agenda: create hypothesis with lowest score + heuristic cost
- Done, when complete hypothesis created

# Greedy Hill-Climbing

- Create one complete hypothesis with depth-first search (or other means)
- Search for better hypotheses by applying change operators
  - change the translation of a word or phrase
  - combine the translation of two words into a phrase
  - split up the translation of a phrase into two smaller phrase translations
  - move parts of the output into a different position
  - swap parts of the output with the output at a different part of the sentence
- Terminates if no operator application produces a better translation

# Summary

- Translation process: produce output left to right
- Translation options
- Decoding by hypothesis expansion
- Reducing search space
  - recombination
  - pruning (requires future cost estimate)
- Other decoding algorithms

# Decoding Demo

- <http://mt-class.org/jhu/stack-decoder/>
- Coded by Matt Post <http://cs.jhu.edu/~post/>
- Install from <https://github.com/mjpost/stack-decoder>

# **TREE-BASED MODELS**

# Tree-Based Models

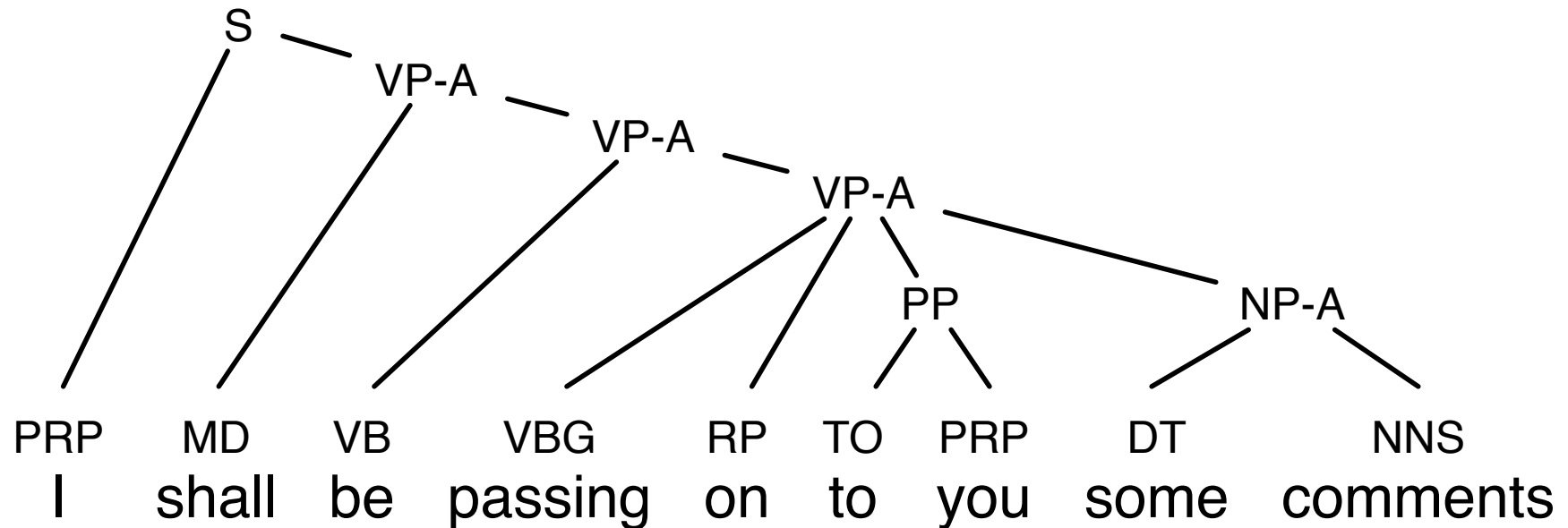
- Traditional statistical models operate on sequences of words
  - Many translation problems can be best explained by pointing to syntax
    - reordering, e.g., verb movement in German–English translation
    - long distance agreement (e.g., subject-verb) in output
- ⇒ Translation models based on tree representation of language
- significant ongoing research
  - state-of-the art for some language pairs



# Phrase Structure Grammar

- Phrase structure
  - noun phrases: the big man, a house, ...
  - prepositional phrases: at 5 o'clock, in Edinburgh, ...
  - verb phrases: going out of business, eat chicken, ...
  - adjective phrases, ...
- Context-free Grammars (CFG)
  - non-terminal symbols: phrase structure labels, part-of-speech tags
  - terminal symbols: words
  - production rules:  $NT \rightarrow [NT, T]^+$   
example:  $NP \rightarrow DET\ NN$

# Phrase Structure Grammar



Phrase structure grammar tree for an English sentence  
(as produced Collins' parser)

# Synchronous Phrase Structure Grammar

- English rule

$$\text{NP} \rightarrow \text{DET JJ NN}$$

- French rule

$$\text{NP} \rightarrow \text{DET NN JJ}$$

- Synchronous rule (indices indicate alignment):

$$\text{NP} \rightarrow \text{DET}_1 \text{ NN}_2 \text{ JJ}_3 \mid \text{DET}_1 \text{ JJ}_3 \text{ NN}_2$$

# Synchronous Grammar Rules

- Nonterminal rules

$$\text{NP} \rightarrow \text{DET}_1 \text{ NN}_2 \text{ JJ}_3 \mid \text{DET}_1 \text{ JJ}_3 \text{ NN}_2$$

- Terminal rules

$$\text{N} \rightarrow \text{maison} \mid \text{house}$$
$$\text{NP} \rightarrow \text{la maison bleue} \mid \text{the blue house}$$

- Mixed rules

$$\text{NP} \rightarrow \text{la maison JJ}_1 \mid \text{the JJ}_1 \text{ house}$$

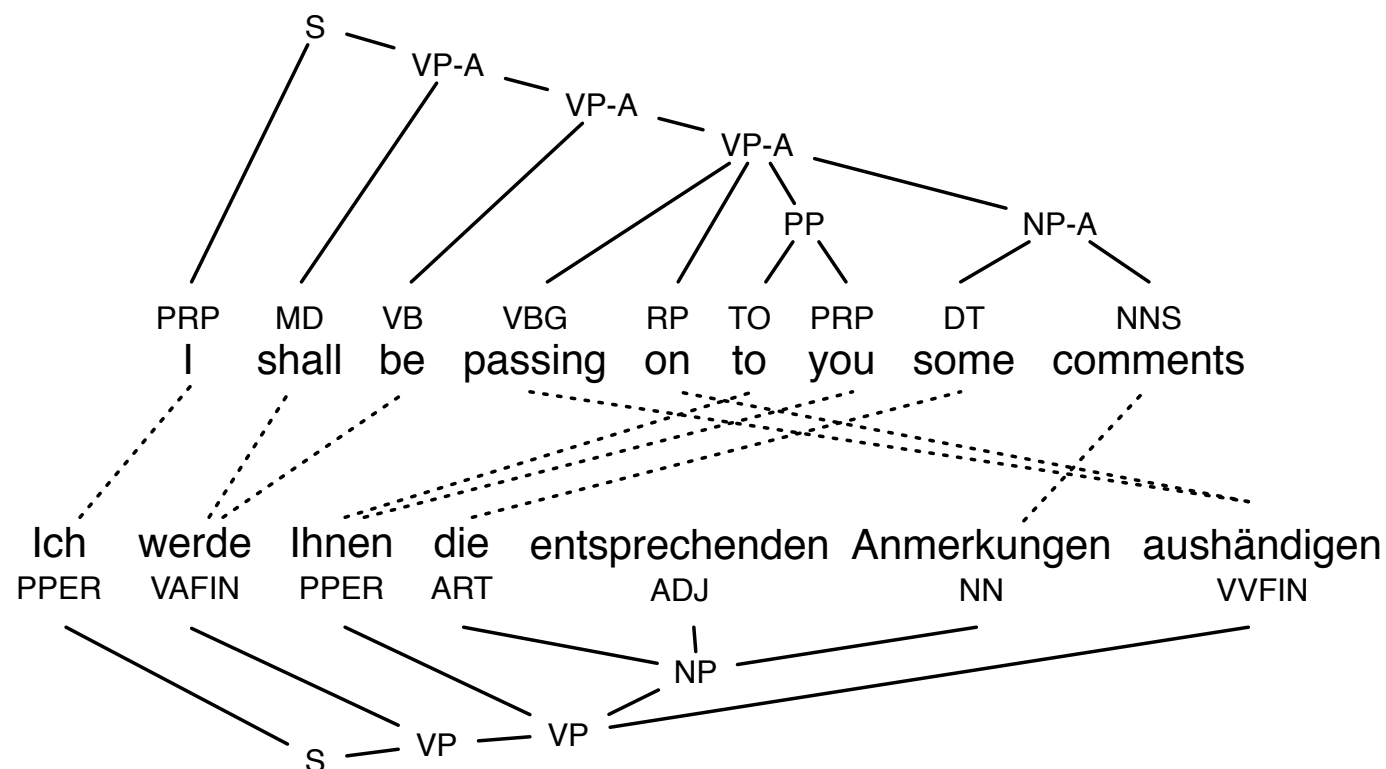
# Tree-Based Translation Model

- Translation by parsing
  - synchronous grammar has to parse entire input sentence
  - output tree is generated at the same time
  - process is broken up into a number of rule applications
- Translation probability

$$\text{SCORE}(\text{TREE}, E, F) = \prod_i \text{RULE}_i$$

- Many ways to assign probabilities to rules

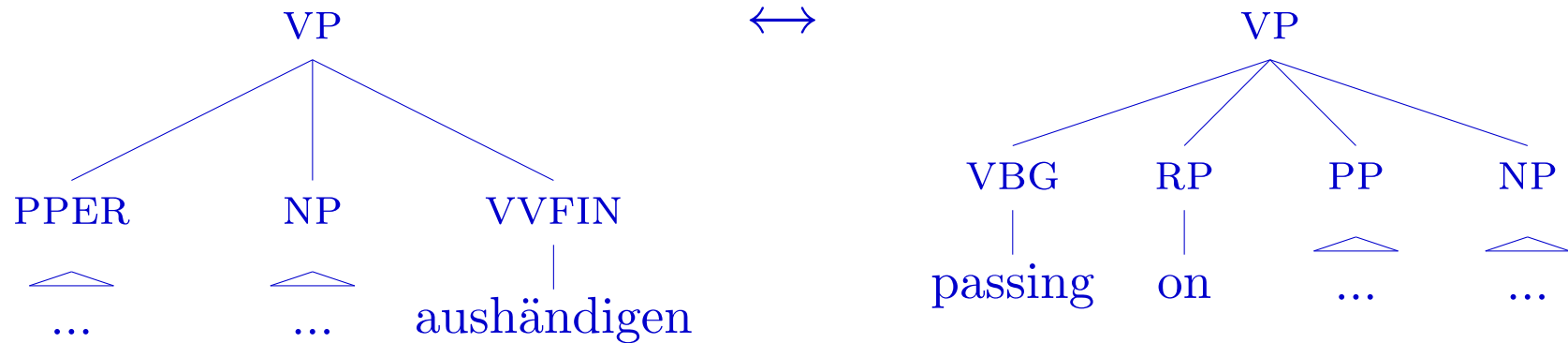
# Aligned Tree Pair



Phrase structure grammar trees with word alignment  
(German-English sentence pair.)

# Reordering Rule

- Subtree alignment



- Synchronous grammar rule

$$\text{VP} \rightarrow \text{PPER}_1 \text{ NP}_2 \text{ aushändigen} \mid \text{passing on PP}_1 \text{ NP}_2$$

- Note:

- one word **aushändigen** mapped to two words **passing on** ok
- but: fully non-terminal rule not possible  
(one-to-one mapping constraint for nonterminals)

# Another Rule

- Subtree alignment



- Synchronous grammar rule (stripping out English internal structure)

$\text{PRO/PP} \rightarrow \text{Ihnen} \mid \text{to you}$

- Rule with internal structure

$\text{PRO/PP} \rightarrow \text{Ihnen} \mid \begin{array}{cc} \text{TO} & \text{PRP} \\ | & | \\ \text{to} & \text{you} \end{array}$



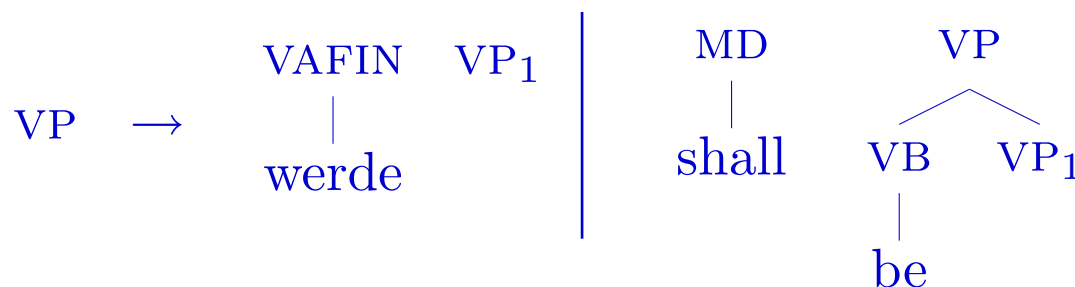
# Another Rule

- Translation of German *werde* to English *shall be*



- Translation rule needs to include mapping of *VP*

$\Rightarrow$  Complex rule



# Internal Structure

- Stripping out internal structure

VP  $\rightarrow$  werde VP<sub>1</sub>    |    shall be VP<sub>1</sub>

⇒ synchronous context free grammar

- Maintaining internal structure

VP → VAFIN VP<sub>1</sub> | MD VP  
 |  
 werde shall VB VP<sub>1</sub>  
 |  
 be

⇒ synchronous tree substitution grammar

# Learning Synchronous Grammars

- Extracting rules from a word-aligned parallel corpus
- First: Hierarchical phrase-based model
  - only one non-terminal symbol  $X$
  - no linguistic syntax, just a formally syntactic model
- Then: Synchronous phrase structure model
  - non-terminals for words and phrases:  $NP$ ,  $VP$ ,  $PP$ ,  $ADJ$ , ...
  - corpus must also be parsed with syntactic parser

# Extracting Phrase Translation Rules

	Ich	werde	Ihnen	die	entsprechenden	Anmerkungen	aushändigen
I							
shall							
be							
passing							
on							
to							
you							
some							
comments							

shall
be
werde

.....▶ shall be = werde

# Extracting Phrase Translation Rules

	Ich	werde	Ihnen	die	entsprechenden	Anmerkungen	aushändigen
I							
shall							
be							
passing							
on							
to							
you							
some							
comments							

some comments =  
die entsprechenden Anmerkungen

# Extracting Phrase Translation Rules

	Ich	werde	Ihnen	die	entsprechenden	Anmerkungen	aushändigen
I							
shall							
be							
passing							
on							
to							
you							
some							
comments							

.....▶ werde Ihnen die entsprechenden  
Anmerkungen aushändigen  
= shall be passing on to you  
some comments

# Extracting Hierarchical Phrase Translation Rules

	Ich	werde	Ihnen	die	entsprechenden	Anmerkungen	aushändigen
I							
shall							
be							
passing							
on							
to							
you							
some							
comments							

subtracting subphrase

.....▶ werde X aushändigen  
= shall be passing on X

# Formal Definition

- Recall: consistent phrase pairs

$(\bar{e}, \bar{f})$  consistent with  $A \Leftrightarrow$

$$\begin{aligned} & \forall e_i \in \bar{e} : (e_i, f_j) \in A \rightarrow f_j \in \bar{f} \\ \text{AND } & \forall f_j \in \bar{f} : (e_i, f_j) \in A \rightarrow e_i \in \bar{e} \\ \text{AND } & \exists e_i \in \bar{e}, f_j \in \bar{f} : (e_i, f_j) \in A \end{aligned}$$

- Let  $P$  be the set of all extracted phrase pairs  $(\bar{e}, \bar{f})$



# Formal Definition

- Extend recursively:

if  $(\bar{e}, \bar{f}) \in P$  AND  $(\bar{e}_{\text{SUB}}, \bar{f}_{\text{SUB}}) \in P$   
AND  $\bar{e} = \bar{e}_{\text{PRE}} + \bar{e}_{\text{SUB}} + \bar{e}_{\text{POST}}$   
AND  $\bar{f} = \bar{f}_{\text{PRE}} + \bar{f}_{\text{SUB}} + \bar{f}_{\text{POST}}$   
AND  $\bar{e} \neq \bar{e}_{\text{SUB}}$  AND  $\bar{f} \neq \bar{f}_{\text{SUB}}$   
add  $(e_{\text{PRE}} + X + e_{\text{POST}}, f_{\text{PRE}} + X + f_{\text{POST}})$  to  $P$

(note: any of  $e_{\text{PRE}}$ ,  $e_{\text{POST}}$ ,  $f_{\text{PRE}}$ , or  $f_{\text{POST}}$  may be empty)

- Set of hierarchical phrase pairs is the closure under this extension mechanism

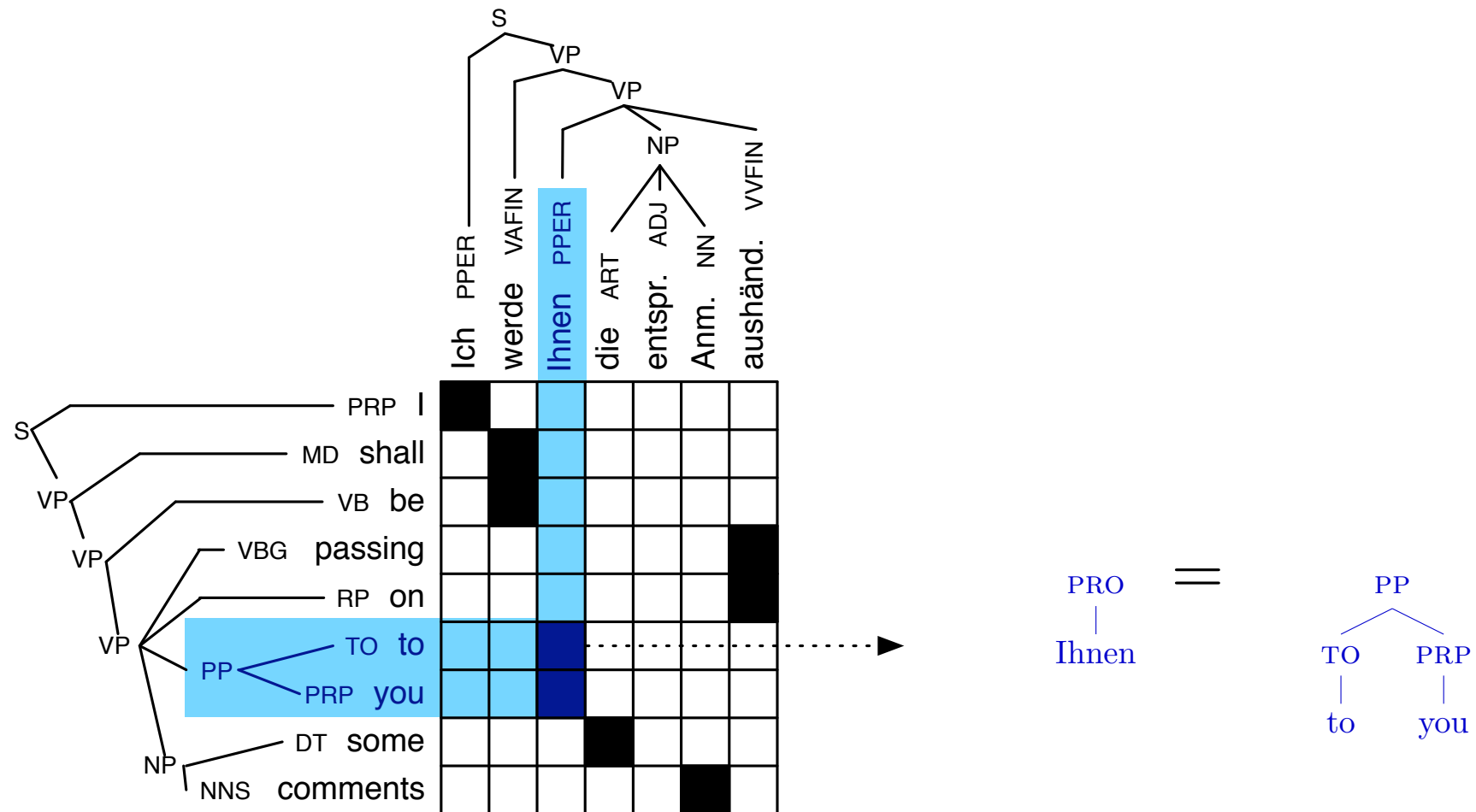
# Comments

- Removal of multiple sub-phrases leads to rules with multiple non-terminals, such as:

$$Y \rightarrow X_1 X_2 \mid X_2 \textit{ of } X_1$$

- Typical restrictions to limit complexity [Chiang, 2005]
  - at most 2 nonterminal symbols
  - at least 1 but at most 5 words per language
  - span at most 15 words (counting gaps)

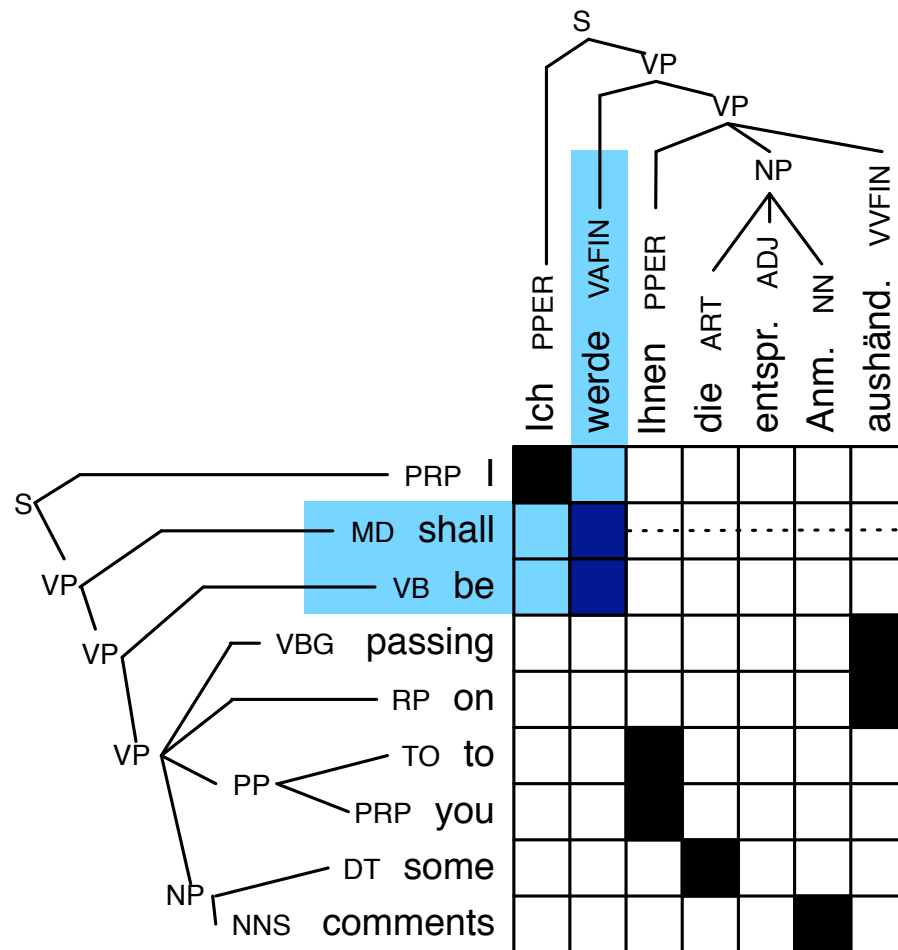
# Learning Syntactic Translation Rules



# Constraints on Syntactic Rules

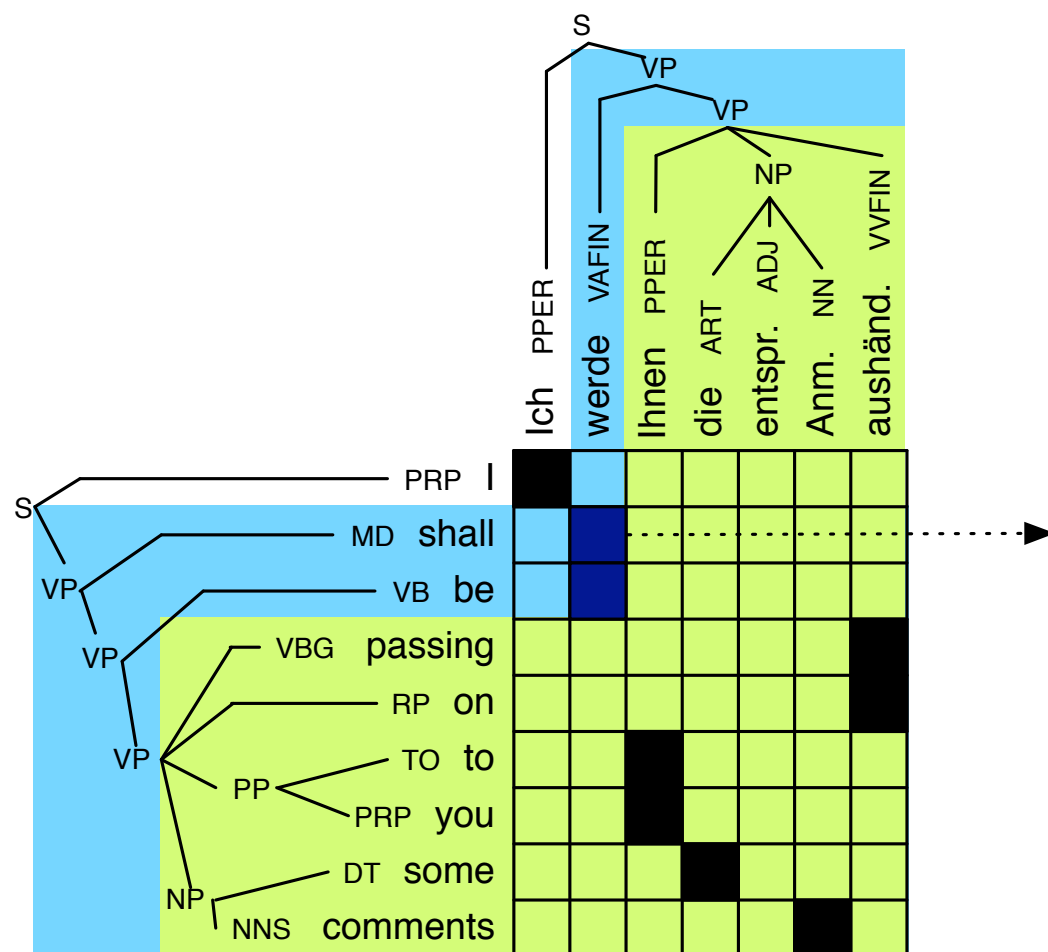
- Same word alignment constraints as hierarchical models
- Hierarchical: rule can cover any span  
     $\Leftrightarrow$  syntactic rules must cover constituents in the tree
- Hierarchical: gaps may cover any span  
     $\Leftrightarrow$  gaps must cover constituents in the tree
- Much less rules are extracted (all things being equal)

# Impossible Rules

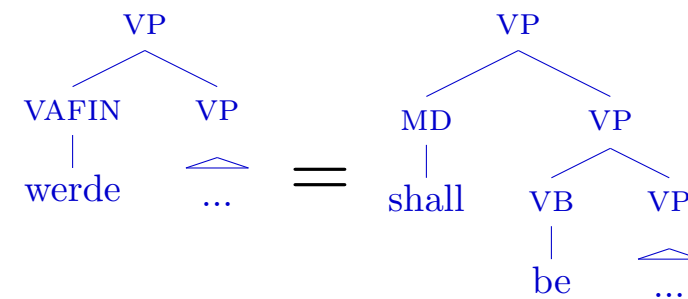


English span not a constituent  
no rule extracted

# Rules with Context



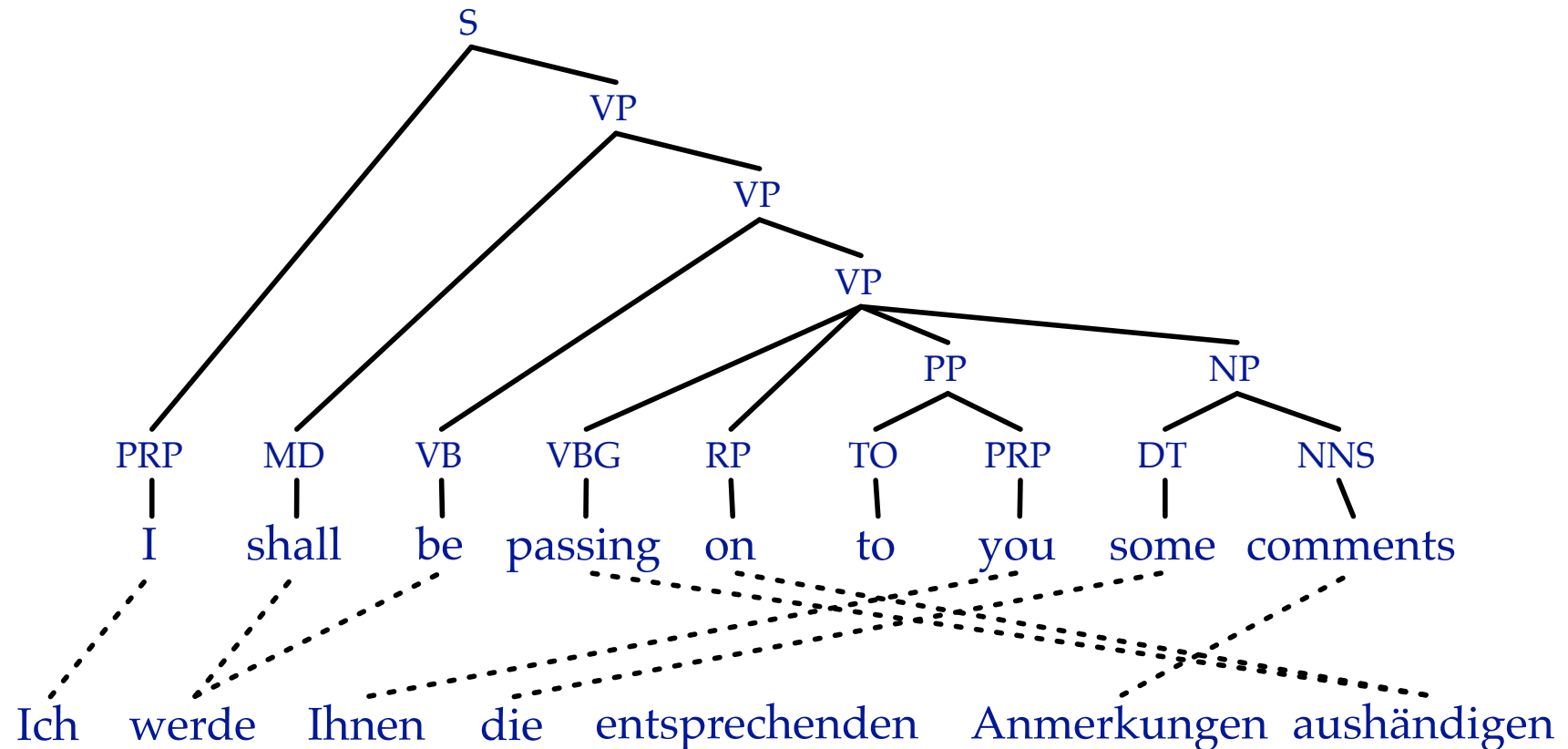
Rule with this phrase pair  
requires syntactic context



# Too Many Rules Extractable

- Huge number of rules can be extracted  
(every alignable node may or may not be part of a rule → exponential number of rules)
- Need to limit which rules to extract
- Option 1: similar restriction as for hierarchical model  
(maximum span size, maximum number of terminals and non-terminals, etc.)
- Option 2: only extract minimal rules ("GHKM" rules)

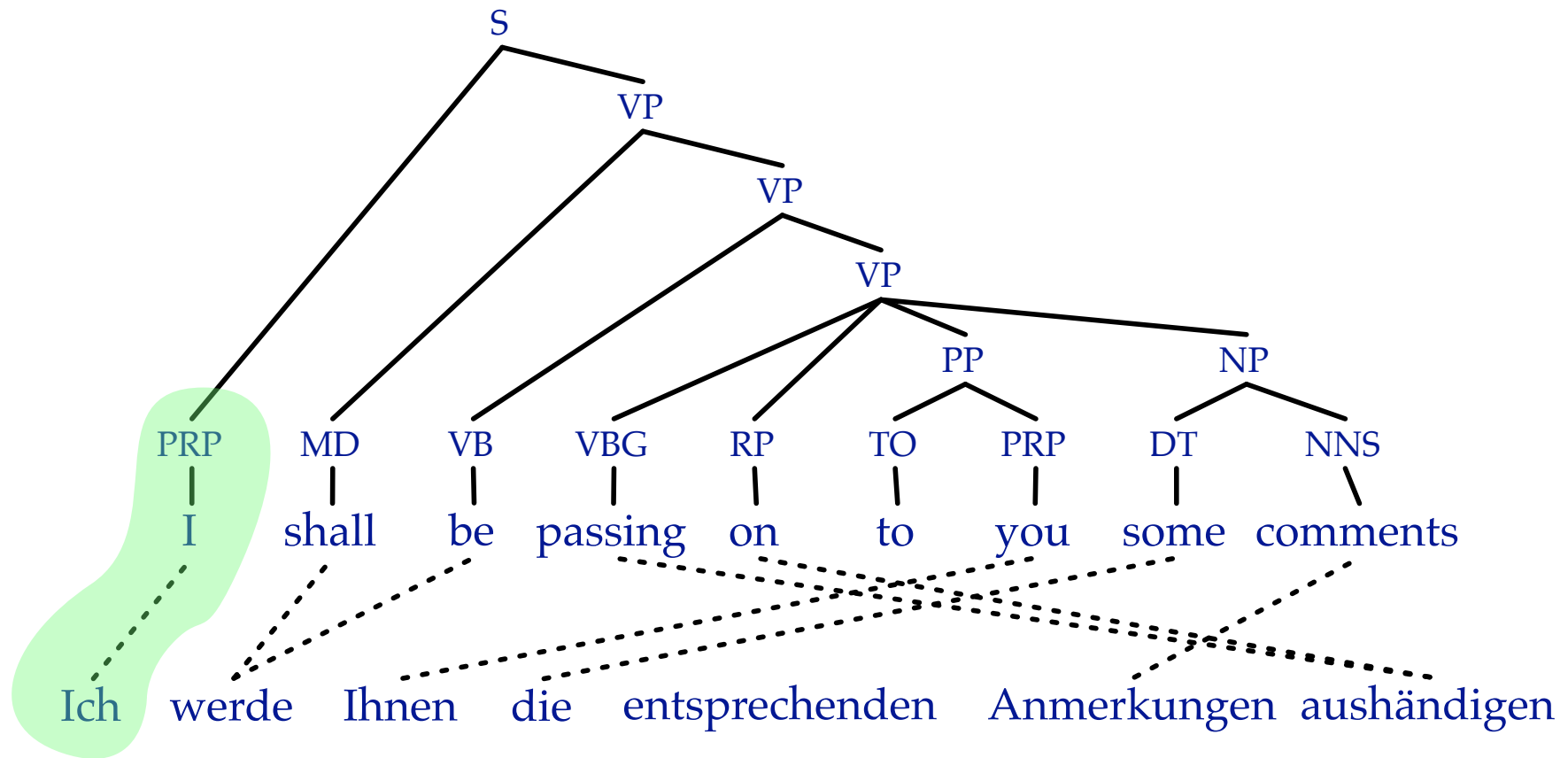
# Minimal Rules



Extract: set of smallest rules required to explain the sentence pair

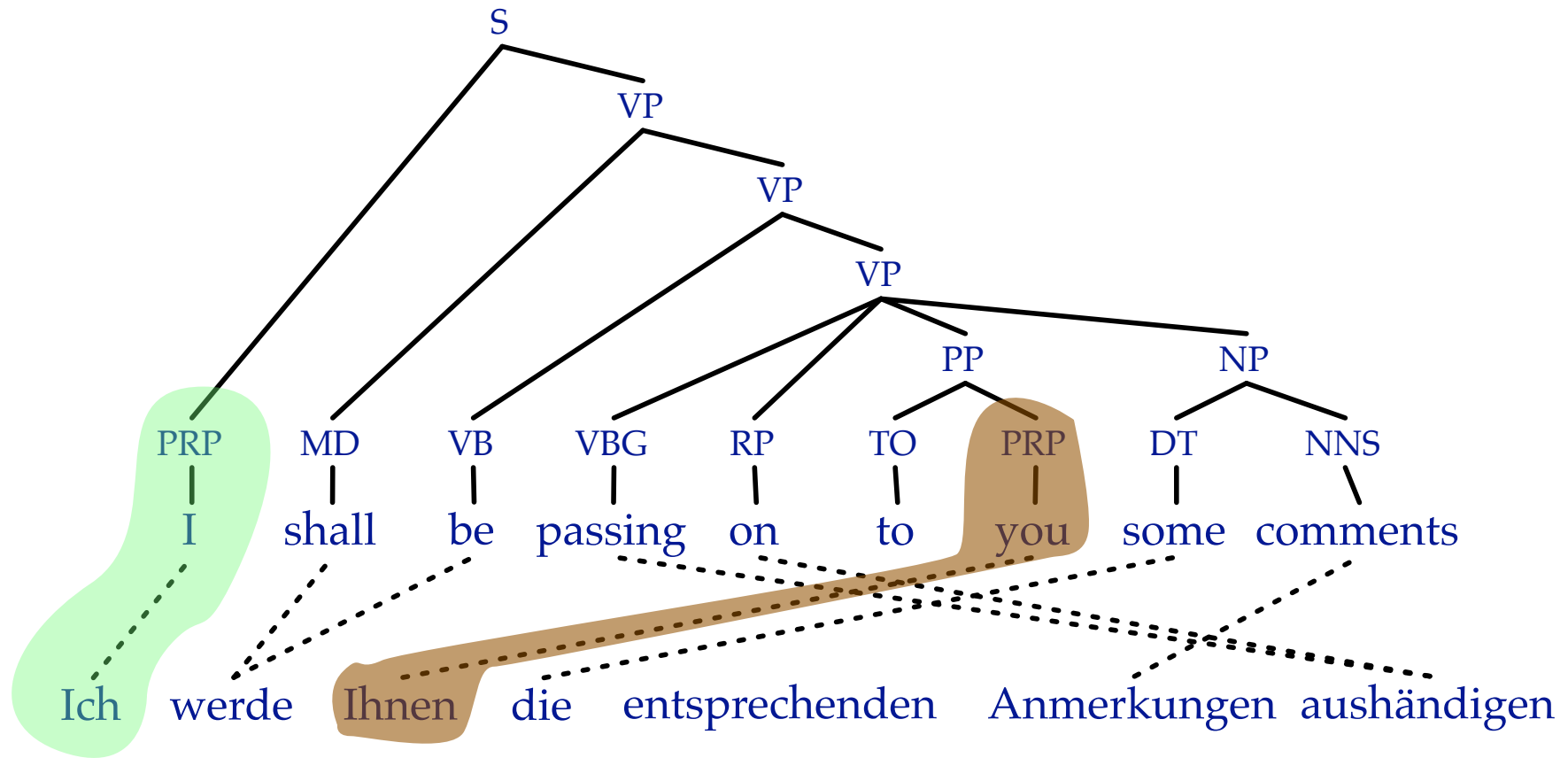


# Lexical Rule



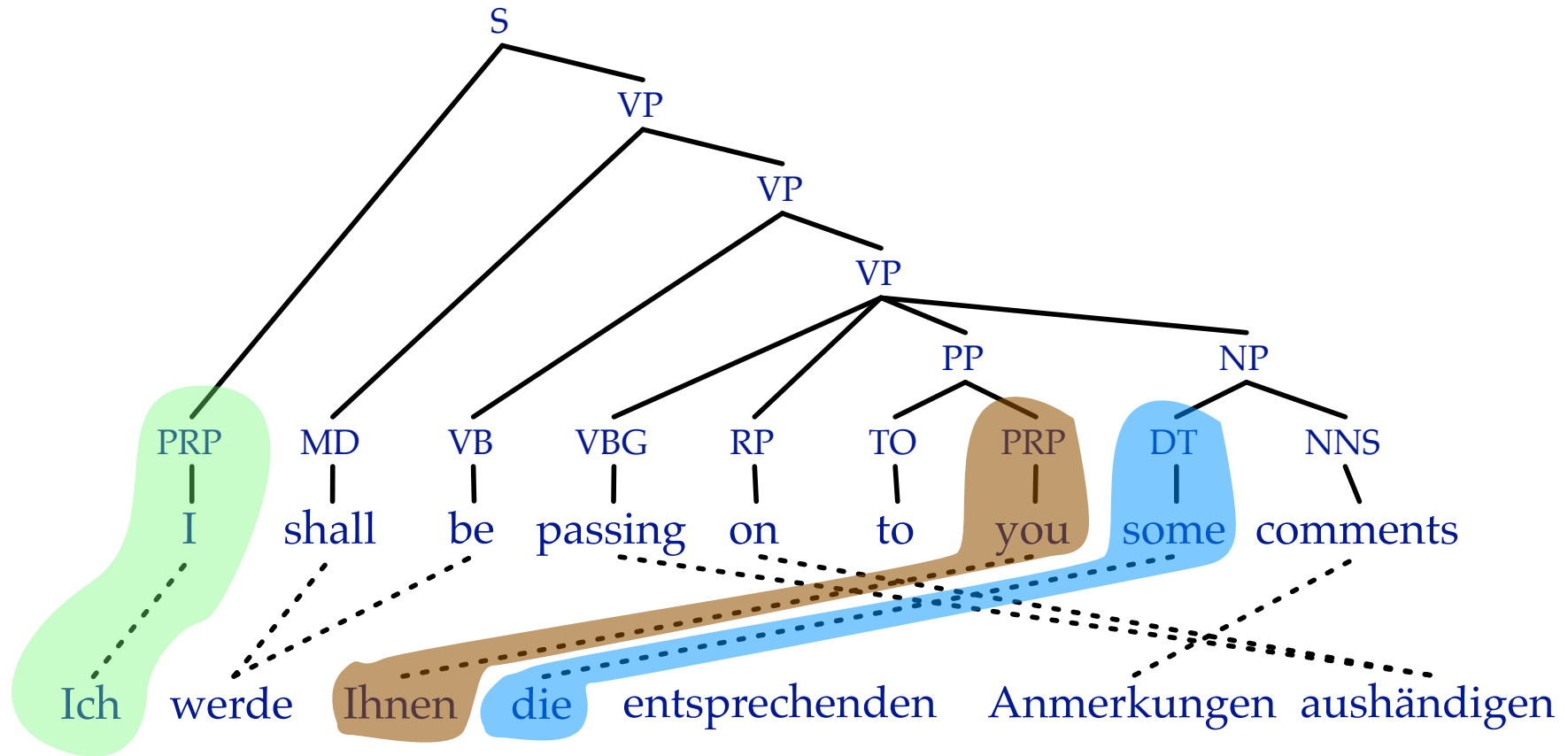
Extracted rule:  $\text{PRP} \rightarrow \text{Ich} \mid \text{I}$

# Lexical Rule



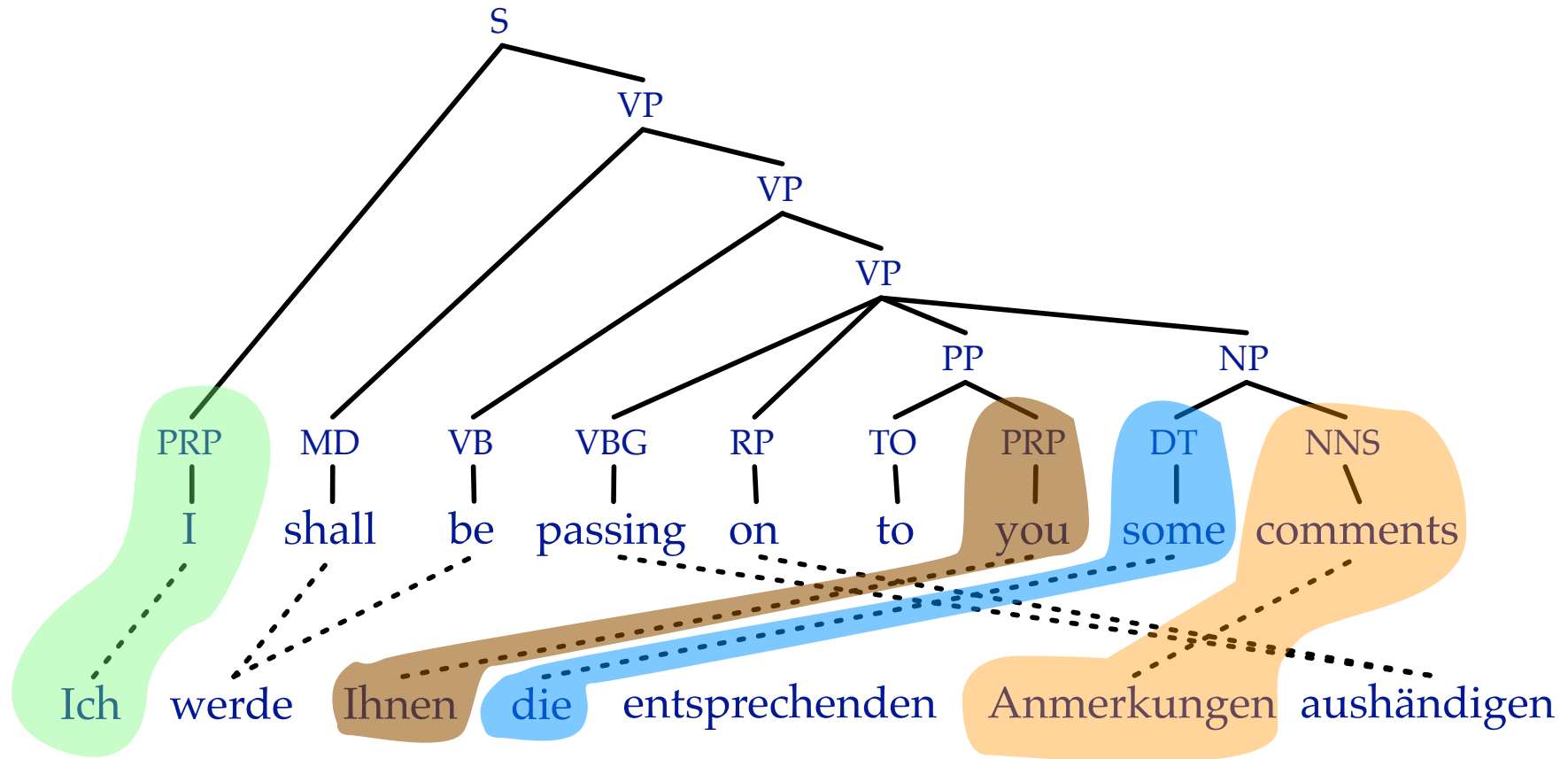
Extracted rule:  $\text{PRP} \rightarrow \text{Ihnen} \mid \text{you}$

# Lexical Rule



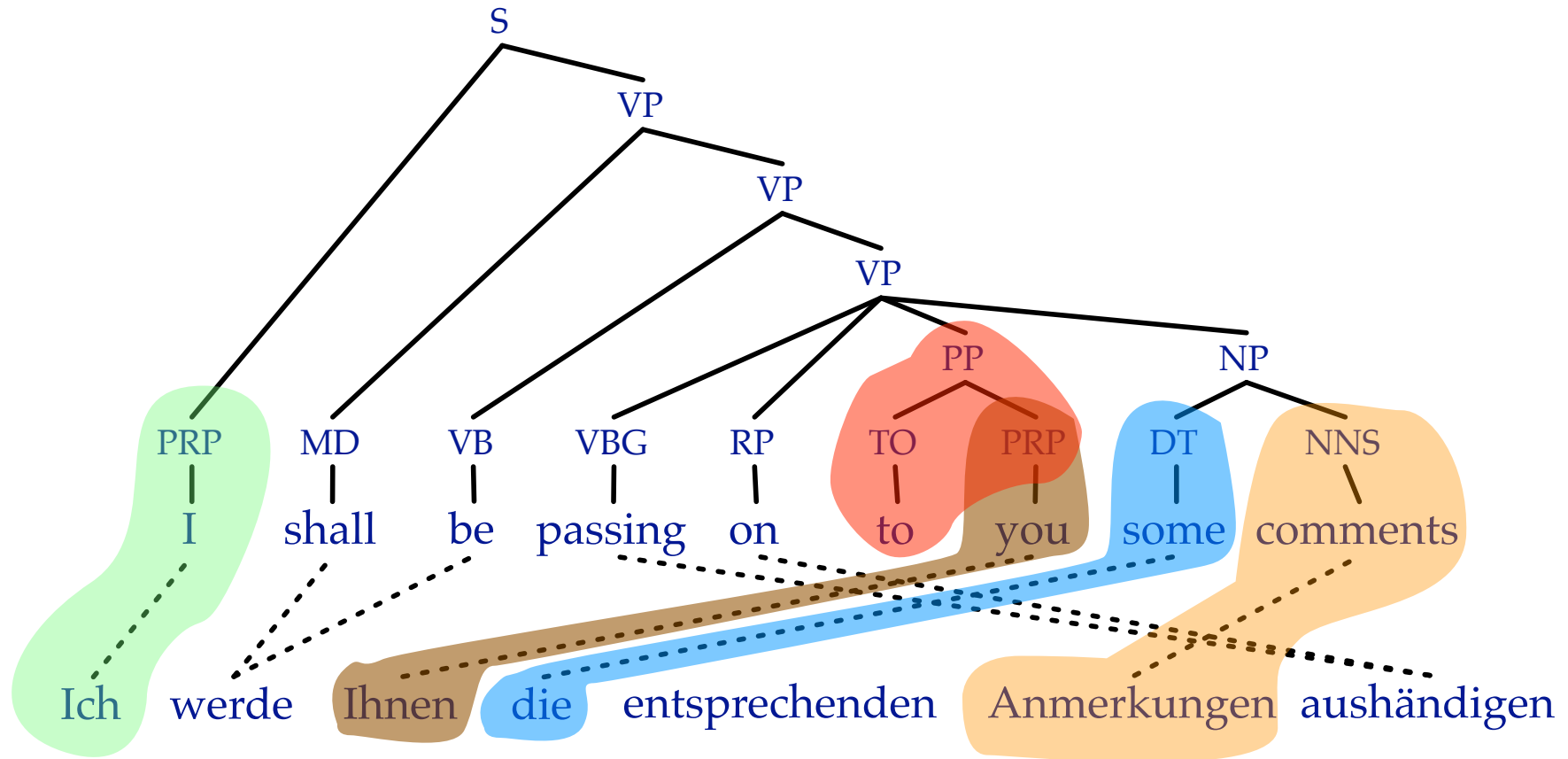
Extracted rule:  $DT \rightarrow \text{die} \mid \text{some}$

# Lexical Rule



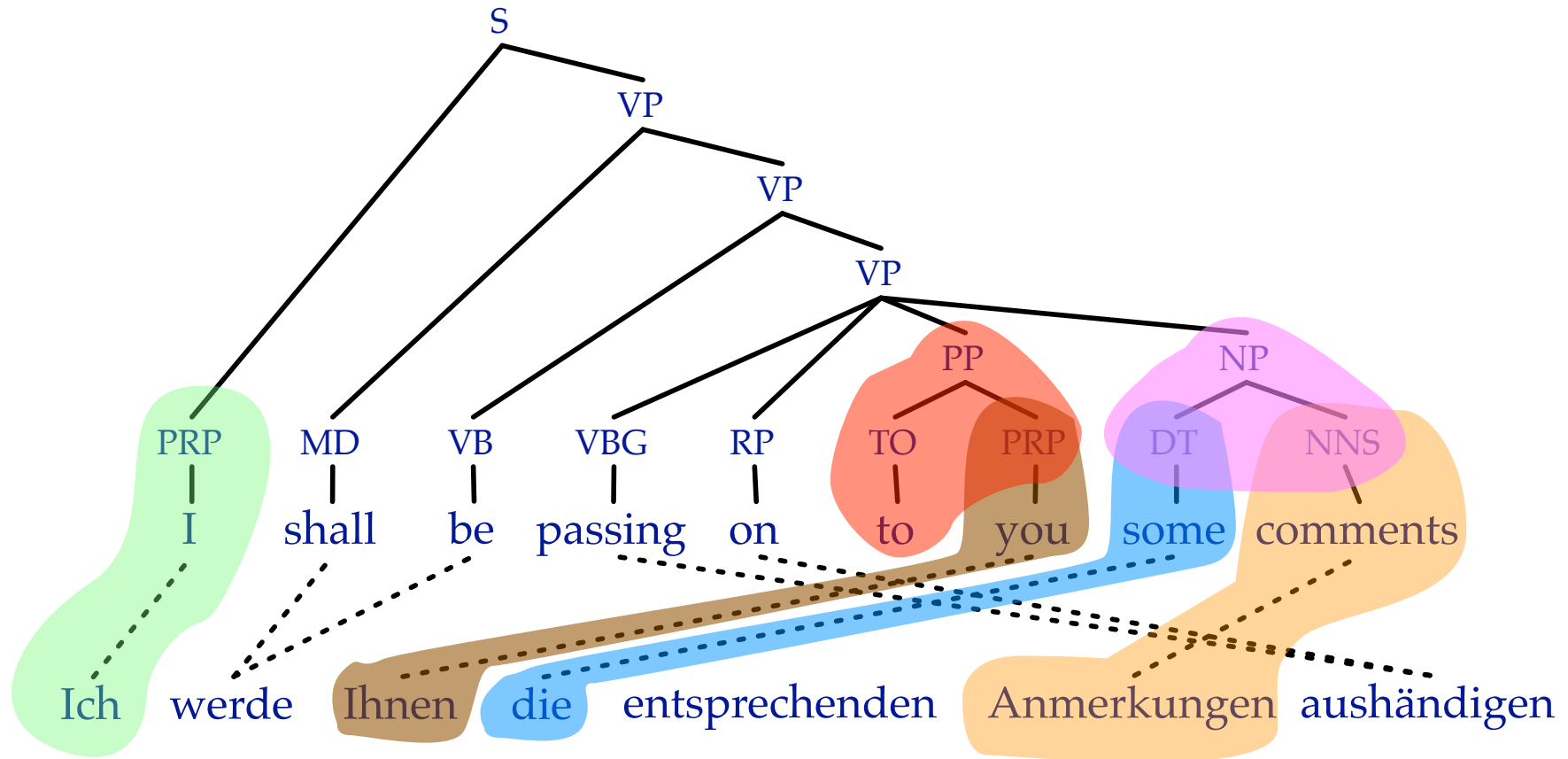
Extracted rule:  $\text{NNS} \rightarrow \text{Anmerkungen} \mid \text{comments}$

# Insertion Rule



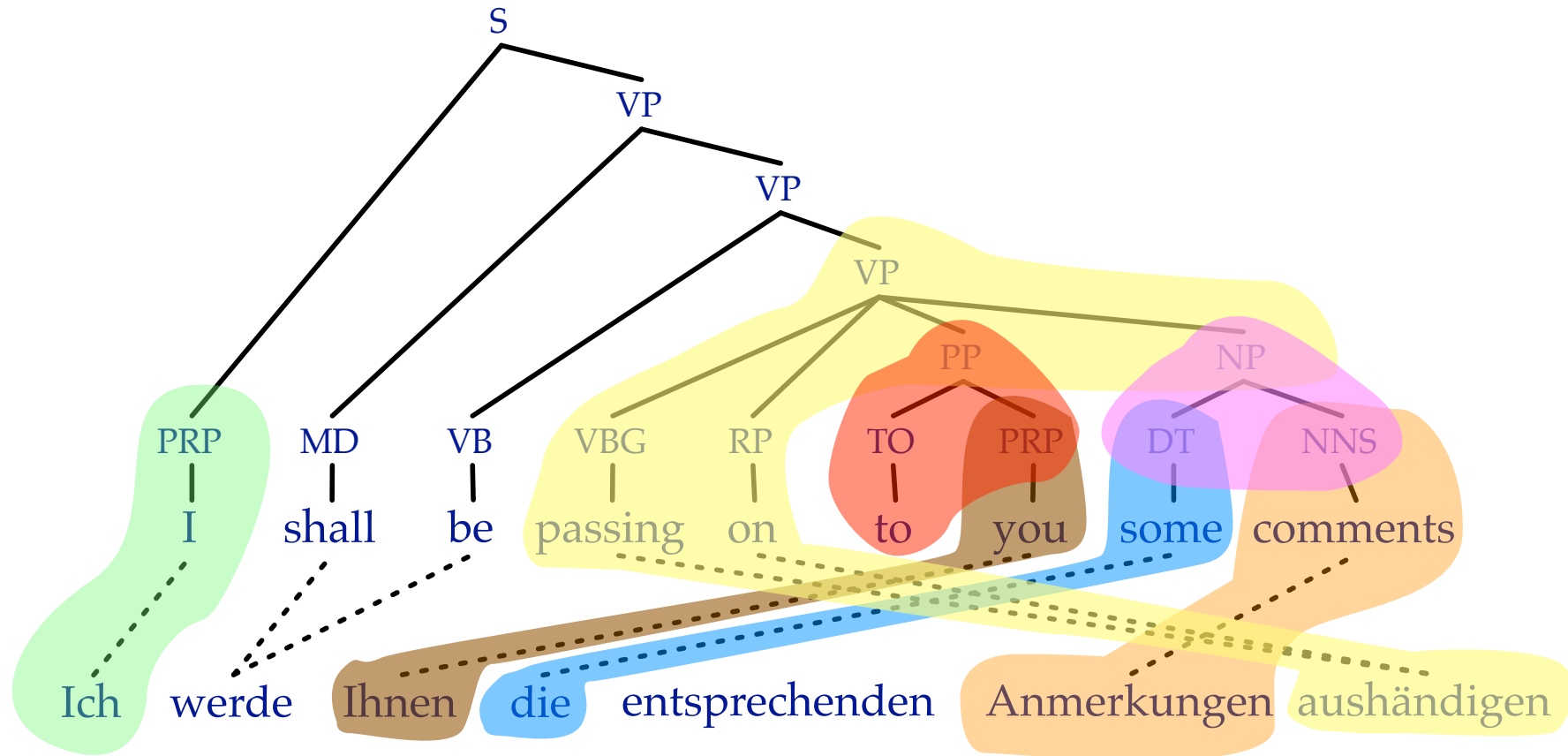
Extracted rule:  $PP \rightarrow X \mid \text{to PRP}$

# Non-Lexical Rule



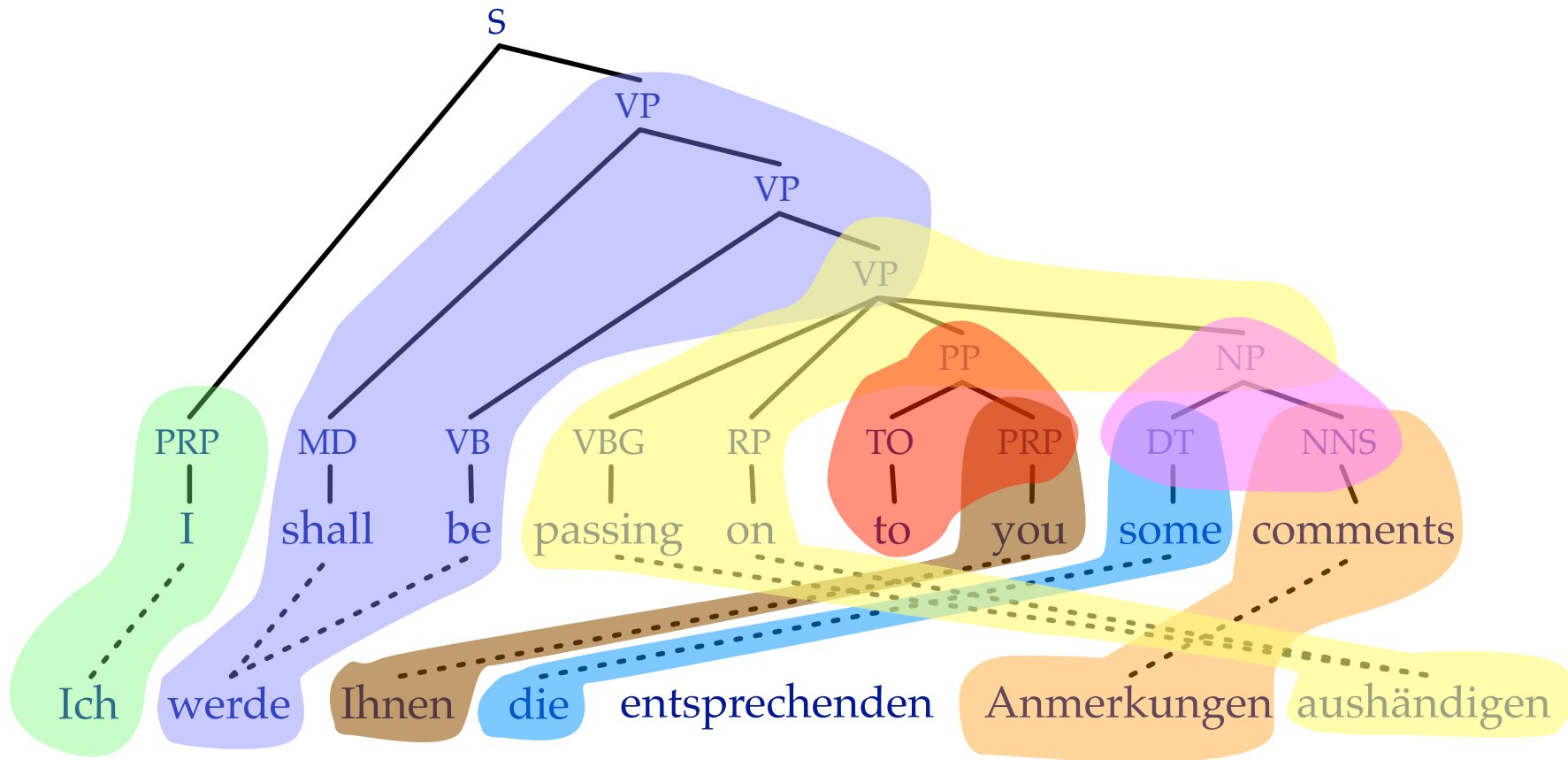
Extracted rule:  $NP \rightarrow X_1 X_2 \mid DT_1 NNS_2$

# Lexical Rule with Syntactic Context



Extracted rule:  $VP \rightarrow X_1 X_2 \text{ aushändigen} \mid \text{passing on } PP_1 NP_2$

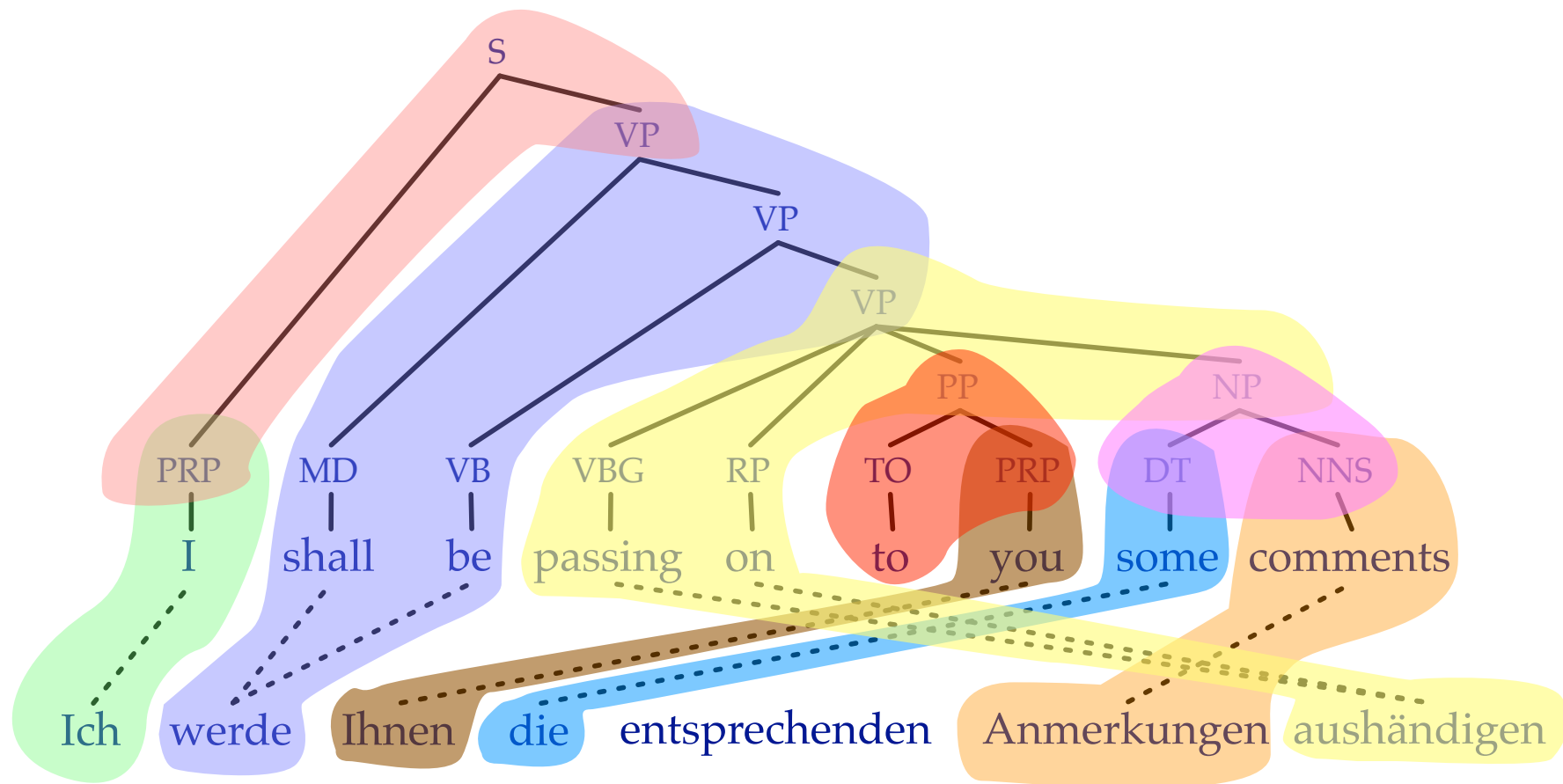
# Lexical Rule with Syntactic Context



Extracted rule:  $VP \rightarrow \text{werde } x \mid \text{shall be } VP$  (ignoring internal structure)



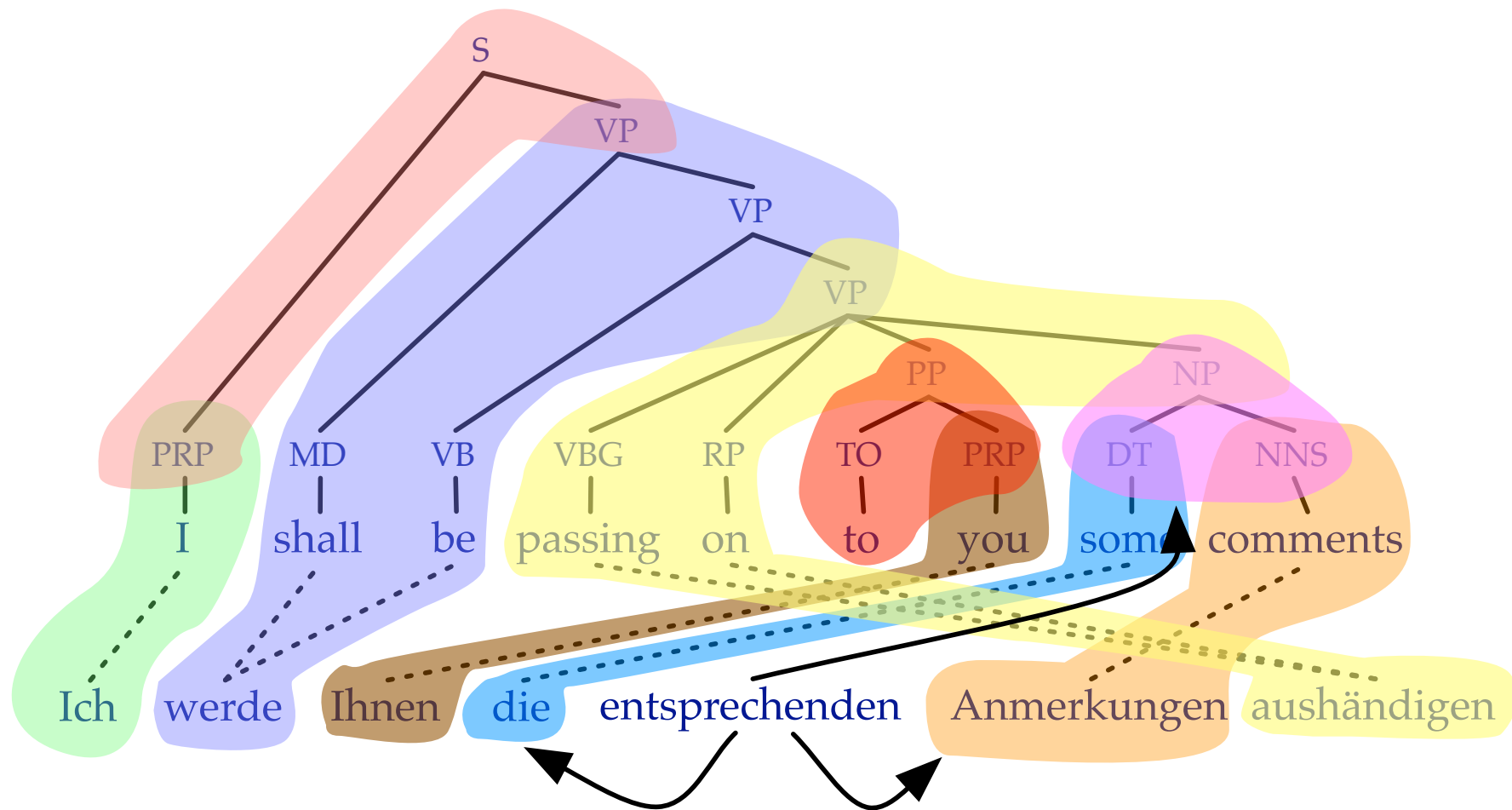
# Non-Lexical Rule



Extracted rule:  $S \rightarrow X_1 X_2 \mid \text{PRP}_1 \text{VP}_2$

DONE — note: one rule per alignable constituent

# Unaligned Source Words



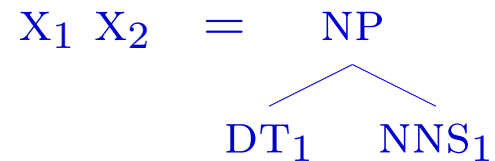
Attach to neighboring words or higher nodes → additional rules

# Too Few Phrasal Rules?

- Lexical rules will be 1-to-1 mappings (unless word alignment requires otherwise)
- But: phrasal rules very beneficial in phrase-based models
- Solutions
  - combine rules that contain a maximum number of symbols (as in hierarchical models, recall: "Option 1")
  - compose minimal rules to cover a maximum number of non-leaf nodes

# Composed Rules

- Current rules



die = DT  
|  
some

entsprechenden Anmerkungen = NNS  
|  
comments

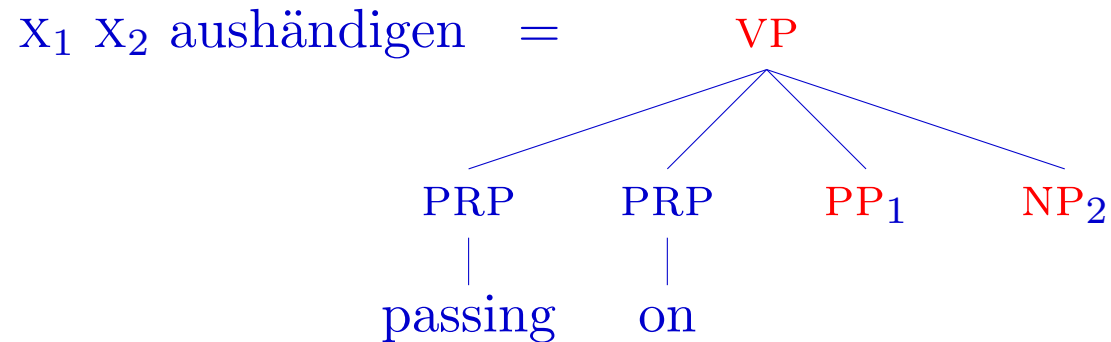
- Composed rule



(1 non-leaf node: NP)

# Composed Rules

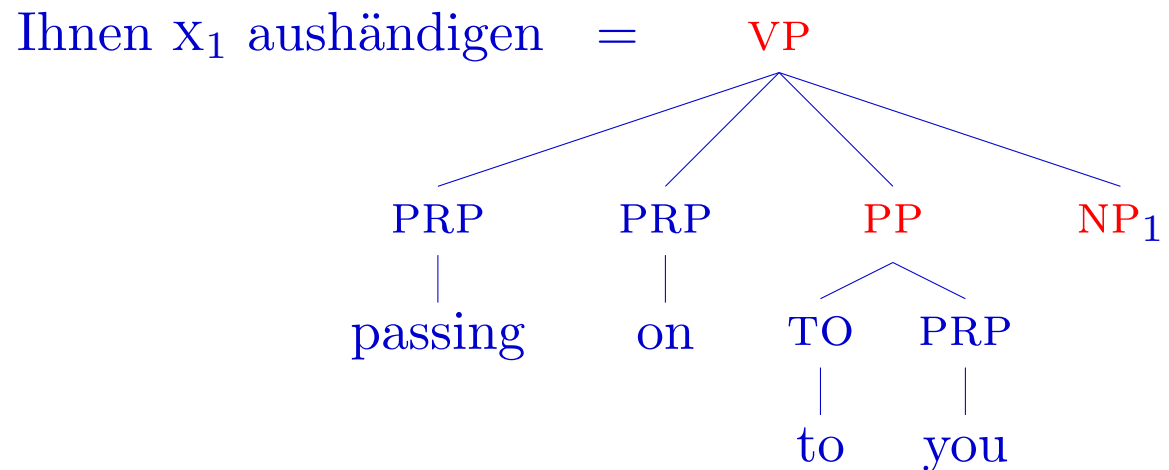
- Minimal rule:



3 non-leaf nodes:

VP, PP, NP

- Composed rule:

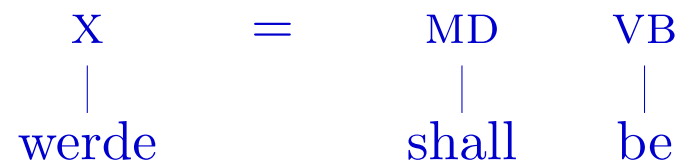


3 non-leaf nodes:

VP, PP and NP

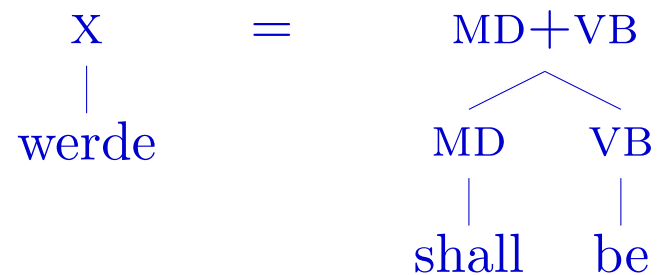
# Relaxing Tree Constraints

- Impossible rule



- Create new non-terminal label:  $MD+VB$

⇒ New rule



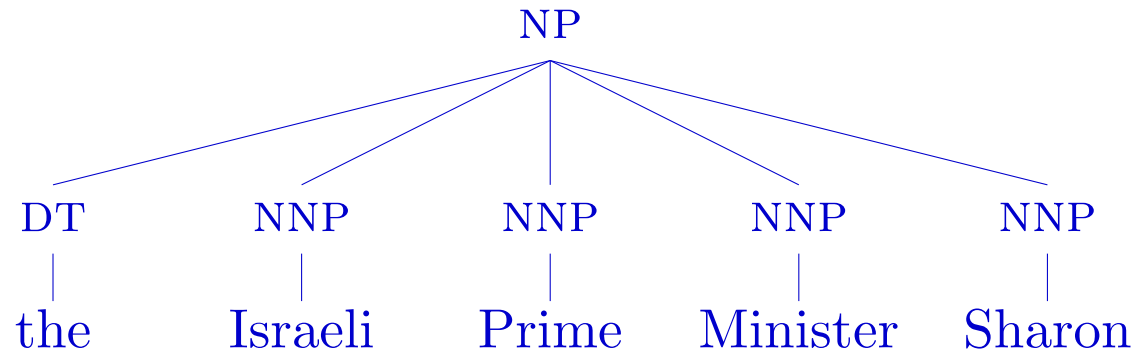
# Zollmann Venugopal Relaxation

- If span consists of two constituents , join them:  $X+Y$
- If span consists of three constituents, join them:  $X+Y+Z$
- If span covers constituents with the same parent  $x$  and include
  - every but the first child  $Y$ , label as  $X\backslash Y$
  - every but the last child  $Y$ , label as  $X/Y$
- For all other cases, label as **FAIL**

⇒ More rules can be extracted, but number of non-terminals blows up

# Special Problem: Flat Structures

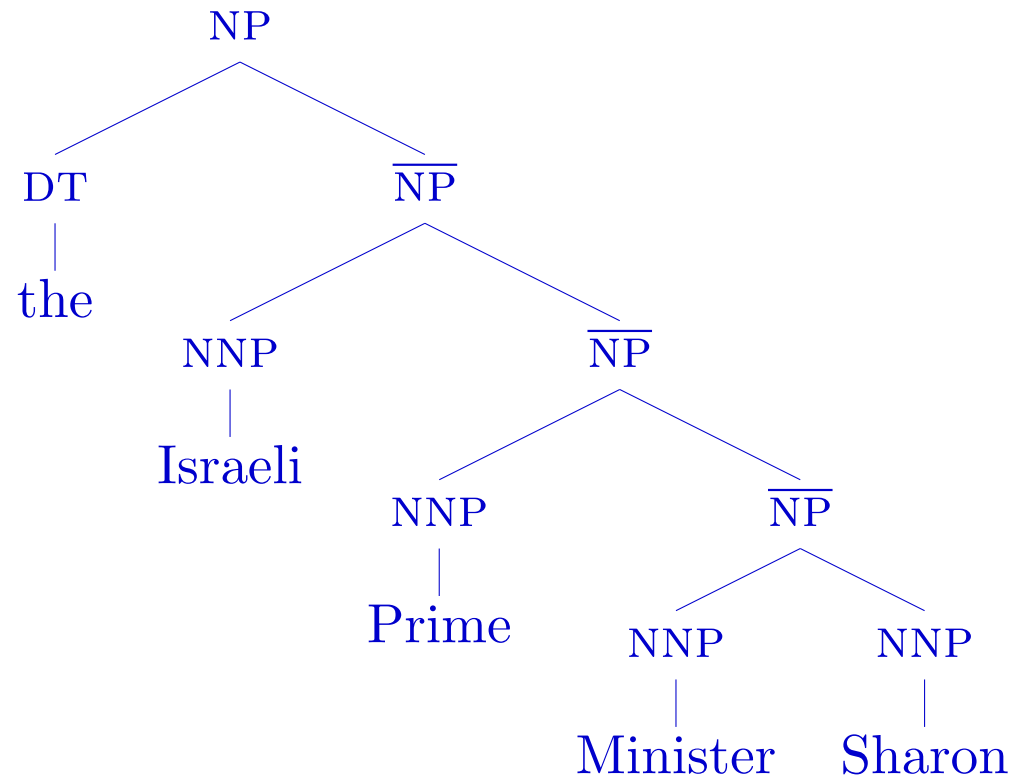
- Flat structures severely limit rule extraction



- Can only extract rules for individual words or entire phrase



# Relaxation by Tree Binarization



More rules can be extracted

Left-binarization or right-binarization?

# Scoring Translation Rules

- Extract all rules from corpus
- Score based on counts
  - joint rule probability:  $p(\text{LHS}, \text{RHS}_f, \text{RHS}_e)$
  - rule application probability:  $p(\text{RHS}_f, \text{RHS}_e | \text{LHS})$
  - direct translation probability:  $p(\text{RHS}_e | \text{RHS}_f, \text{LHS})$
  - noisy channel translation probability:  $p(\text{RHS}_f | \text{RHS}_e, \text{LHS})$
  - lexical translation probability:  $\prod_{e_i \in \text{RHS}_e} p(e_i | \text{RHS}_f, a)$