

**Corollary**

Let  $V(\mathbb{F})$  be a vector space where  $\dim(V) = n$ .

- (i) Any finite generating set for  $V$  contains at least  $n$  vectors, and any such set containing exactly  $n$  vectors is a basis for  $V$ .
- (ii) Any linearly independent subset of  $V$  consisting of exactly  $n$  vectors is a basis for  $V$ .
- (iii) Every linearly independent subset of  $V$  can be extended to a basis for  $V$ .

*Proof of (i):* Let  $S$  be a subset of  $V$  such that  $\text{span}(S) = V$ . By a previous result, some subset  $H \subseteq S$  is a basis for  $V$ .

Then  $|H| = n$  by definition. Since  $|H| \leq |S|$ , it follows that  $|S| \geq n$ .

Conversely, suppose  $|S| = n$ . By the same result mentioned prior, choose  $H = S$ . Then  $S$  is a basis for  $V$ . ■

*Proof of (ii):* Let  $L$  be a linearly independent subset of  $V$  for which  $|L| = n$ . Also, let  $\beta$  be a basis for  $V$ , meaning  $|\beta| = n$ .

By the Replacement Theorem, there is a subset  $H \subseteq \beta$ , where  $|H| = n - n = 0$ , such that  $\text{span}(L \cup H) = V$ . Then  $H$  must be the empty set, so  $L \cup H = L$ .

Therefore,  $\text{span}(L) = V$ , and  $L$  forms a basis for  $V$ . ■

*Proof of (iii):* Let  $L$  be a linearly independent subset of  $V$  where  $|L| = m$ .

By the Replacement Theorem, there is a subset  $H \subseteq S$ , where  $|H| = n - m$ , such that  $\text{span}(L \cup H) = V$ . Since  $L$  and  $H$  are disjoint sets,  $|L \cup H| = m + (n - m) = n$ .

Therefore, by part (i), the set  $L \cup H$  forms a basis for  $V$ . ■

**Example**

In a previous exercise, it was shown that

$$\gamma = \left\{ \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 1 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 1 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ 1 & 1 \end{bmatrix} \right\}$$

spans the set of real  $2 \times 2$  matrices. Since  $\dim(M_{2 \times 2}(\mathbb{R})) = 4$ , then  $\gamma$  must also be a basis for the set.

**Example**

The set  $\beta = \{(1, 1, 0), (0, 1, 1), (1, 0, 1)\}$  is a linearly independent subset of  $\mathbb{R}^3$ . We know the dimension of  $\mathbb{R}^3$  is 3, so  $\beta$  must also form a basis.

# Dimensions of Subspaces

## Theorem

If  $S$  is a subspace of a finite-dimensional vector space  $V(\mathbb{F})$ , then

- (i)  $S$  is finite-dimensional, and  $\dim(S) \leq \dim(V)$ .
- (ii) If  $\dim(S) = \dim(V)$ , then  $S = V$ .

*Proof of (i):* Let  $\dim(V) = n$ . If  $S$  is the zero subspace, then  $\dim(S) = 0 \leq n$ .

Now, let  $S \neq \{0\}$  be a subspace of  $V$ . Then there exists some nonzero  $v_1 \in S$ . It follows that  $\{v_1\}$  is a linearly independent subset of  $S$ .

Continue choosing vectors  $v_1, v_2, \dots, v_k$  from  $S$  such that  $\{v_1, v_2, \dots, v_k\}$  remains linearly independent. This process stops at some  $k \leq n$ , since the cardinality of linearly independent subset of  $V$  is at most  $\dim(V)$ .

It follows that adding more vectors from  $S$  to  $\{v_1, v_2, \dots, v_n\}$  would make the set linearly dependent. Therefore, this set generates  $S$ , meaning it is a basis for  $S$ .

Since there are  $k$  vectors in this basis,  $\dim(S) = k \leq n$ . ■

*Proof of (ii):* Suppose  $\dim(S) = \dim(V)$ . Then  $S$  has a basis  $\beta$  such that  $|\beta| = n$ . Hence,  $\beta$  is a linearly independent subset of  $V$  whose cardinality is exactly  $\dim(V)$ . By the corollary,  $\beta$  is a basis for  $V$ . Therefore,  $S = \text{span}(\beta) = V$ . ■

## Example

In a previous example, we showed that  $\beta$  is a basis for  $S$  where

$$S = \{(x, y, z) \in \mathbb{R}^3 : x + y + z = 0\}, \\ \beta = \{(1, -1, 0), (1, 0, -1)\}.$$

It follows that  $\dim(S) = 2$ , which is less than  $\dim(\mathbb{R}^3) = 3$ .

## Example

The set of all  $n \times n$  real square matrices is

$$M_{n \times n}(\mathbb{R}) = \{E^{ij} : 1 \leq i, j \leq n\}.$$

The subset of  $n \times n$  symmetric matrices,  $S_{n \times n}$ , is a finite-dimensional subspace of the vector space  $M_{n \times n}(\mathbb{R})$ . Below are two bases for  $S_{2 \times 2}$  and  $S_{3 \times 3}$ .

$$\beta_{2 \times 2} = \left\{ \begin{bmatrix} 1 & 0 \\ 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 \\ 0 & 1 \end{bmatrix} \right\}$$
$$\beta_{3 \times 3} = \left\{ \begin{bmatrix} 1 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 1 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 1 & 0 \end{bmatrix}, \begin{bmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 1 \end{bmatrix} \right\}$$

We can also represent  $B_{3 \times 3}$  like so:

$$\beta_{3 \times 3} = \{E^{11}, E^{12} + E^{21}, E^{13} + E^{31}, E^{23} + E^{32}, E^{33}\}$$

The basis  $\beta_{3 \times 3}$  has a cardinality of six, hence  $\dim(S_{3 \times 3}) = 6$ .

To understand the dimension of a subspace of symmetric matrices, we proceed with a counting argument for the cardinality of  $\beta_{3 \times 3}$ . A symmetric  $3 \times 3$  matrix has three distinct entries in the first row, so we represent them with the basis matrices  $E^{11}$ ,  $E^{12} + E^{21}$ , and  $E^{13} + E^{31}$ . There are three more entries in the second row, but the first one is already covered by  $E^{12} + E^{21}$ , so we add two matrices instead of three. This pattern continues in the third row, for which we only add one basis matrix.

In general,

$$|\beta_{n \times n}| = n + (n - 1) + \dots + 1 = \frac{n(n + 1)}{2}.$$

### Exercise

Verify that  $\beta_{3 \times 3}$  is a basis by showing that it spans  $S_{3 \times 3}$  while also being linearly independent.

### Example

Let  $S$  be the set of  $n \times n$  real diagram matrices. That is,

$$S = \{A \in M_{n \times n}(\mathbb{R}) : A_{ij} = 0 \ \forall i \neq j\} \subseteq M_{n \times n}(\mathbb{R})$$

Below, we construct a basis for  $S$ :

$$\beta = \{E^{ii} : 1 \leq i \leq n\} = \{E^{11}, E^{22}, \dots, E^{nn}\}$$

It follows that  $\dim(S) = |\beta| = n$ .

### Exercise

- Prove  $S$  is a subspace of  $M_{n \times n}(\mathbb{R})$ .
- Show that  $\beta$  is a basis for  $S$ .

### Example

Let  $S$  be a subset of  $\mathbb{R}^5$  defined as

$$S = \{(x_1, x_2, x_3, x_4, x_5) \in \mathbb{R}^5 : x_1 + x_3 + x_5 = 0, x_2 = x_4\}.$$

We will construct a basis for  $S$ , prove it is indeed a basis, then determine  $\dim(S)$ .

First, we describe the basis:

$$\beta = \{(0, 1, 0, 1, 0), (1, 0, -1, 0, 0), (0, 0, -1, 0, 1)\}$$

To show  $\beta$  is linearly independent, consider the linear combination

$$a(0, 1, 0, 1, 0) + b(1, 0, -1, 0, 0) + c(0, 0, -1, 0, 1) = \mathbf{0}.$$

Simplifying, we get

$$(b, a, -b - c, a, c) = \mathbf{0} \iff a = b = c = 0$$

Therefore,  $\beta$  is linearly independent.

Next, we show  $\beta$  spans  $S$ . Take any vector  $(x_1, \dots, x_5) \in S$ . From the conditions, we know  $x_1 + x_3 + x_5 = 0$  and  $x_2 = x_4$ . We rewrite the first condition as  $x_3 = -x_1 - x_5$  to isolate  $x_3$ .

We claim that our chosen vector can be represented as

$$(x_1, \dots, x_5) = a(0, 1, 0, 1, 0) + b(1, 0, -1, 0, 0) + c(0, 0, -1, 0, 1)$$

where  $a = x_2 = x_4$ ,  $b = x_1$ ,  $c = x_5$ .

Simplifying the right side, we get

$$(x_1, \dots, x_5) = (x_1, x_2, -x_1 - x_5, x_2, x_5)$$

and this equality follows directly from the set conditions. Thus,  $\beta$  spans  $S$ , and therefore  $\beta$  is a basis for  $S$ .

We can now deduce that  $\dim(S) = |\beta| = 3$ .

### Exercise

Since  $S$  is a subspace of  $\mathbb{R}^5$ , we can extend  $\beta$  to a basis for  $\mathbb{R}^5$ . One such basis is

$$\gamma = \beta \cup \{(0, 0, 0, 1, 0), (0, 0, 1, 0, 0)\}.$$

Prove  $\gamma$  is a basis for  $\mathbb{R}^5$ .