# Application Layer: Web and HTTP Study-Ready Notes

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# Keywords

#### **Keywords:**

- Application Layer
- Network Applications
- Client-Server Paradigm
- Peer-to-Peer (P2P) Architecture
- Processes and Sockets
- Port Numbers
- Application-Layer Protocols
- HTTP (Hypertext Transfer Protocol)
- SMTP (Simple Mail Transfer Protocol)
- IMAP (Internet Message Access Protocol)
- DNS (Domain Name System)
- Transport Layer Services
- TCP (Transmission Control Protocol)
- UDP (User Datagram Protocol)
- Data Integrity
- Throughput Requirements
- Timing Constraints
- TLS (Transport Layer Security)
- Socket Programming
- CDNs (Content Delivery Networks)
- Video Streaming
- Network Security
- Protocol Design

## 1 Introduction to Application Layer

- Principles of network applications
- Web and HTTP (part 1 and 2)
- E-mail, SMTP, IMAP
- The Domain Name System: DNS
- P2P applications
- Video streaming, CDNs
- Socket programming with UDP and TCP

[Summary: The application layer is the top layer in network protocols, handling user-facing services like web browsing, email, and file transfer. It defines how applications communicate over networks.]

#### 2 Web and HTTP Fundamentals

#### 2.1 Web Page Composition

- Web pages consist of multiple **objects**
- Each object can be stored on different web servers
- Objects can be: HTML files, JPEG images, Java applets, audio files, etc.
- Base HTML file includes references to other objects
- Each object is addressable by a **URL**

#### **URL Structure:**

#### 2.2 HTTP Overview

- HTTP: Hypertext Transfer Protocol
- Web's application-layer protocol
- Uses client/server model:
  - Client: Browser that requests, receives, and displays web objects

PC running  $\rightarrow$  Server running Firefox browser HTTP requests/responses Apache Web server iPhone running  $\rightarrow$  Safari browser HTTP requests/responses

Figure 1: HTTP Client-Server Architecture

- Server: Web server that sends objects in response to requests

[Summary: HTTP is the foundation of web communication, using a client-server model where browsers request resources and servers provide them.]

## 3 HTTP Connections

#### 3.1 Connection Types

- Non-persistent HTTP:
  - 1. TCP connection opened
  - 2. At most one object sent over TCP connection
  - 3. TCP connection closed
  - 4. Downloading multiple objects requires multiple connections

#### • Persistent HTTP:

- TCP connection opened to a server
- Multiple objects can be sent over *single* TCP connection
- TCP connection closed after all objects transferred

## 3.2 Non-persistent HTTP Example

Scenario: User enters URL: www.someSchool.edu/someDepartment/home.index (contains text + 10 JPEG references)

- 1. HTTP client initiates TCP connection to HTTP server on port 80
- 2. HTTP client sends HTTP request message with URL
- 3. HTTP server receives request, forms response with requested object
- 4. HTTP server closes TCP connection
- 5. HTTP client receives response, displays HTML, finds 10 referenced JPEGs
- 6. Steps 1-5 repeated for each of 10 JPEG objects

#### 3.3 Response Time Analysis

- RTT (Round Trip Time): Time for small packet to travel from client to server and back
- Non-persistent HTTP Response Time:

Response Time =  $2 \times RTT + File$  Transmission Time

- One RTT to initiate TCP connection
- One RTT for HTTP request and first bytes of response
- Object/file transmission time

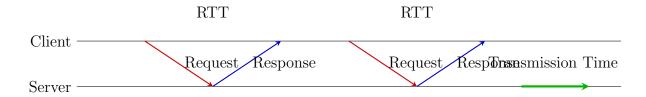


Figure 2: Non-persistent HTTP Response Time Components (colored by message type)

[Mnemonic: Non-persistent = "One and Done" - one object per connection] [Summary: Non-persistent HTTP requires separate connections for each object, leading to 2 RTTs per object, while persistent HTTP reuses connections for multiple objects.]

# 4 Persistent HTTP (HTTP 1.1)

## 4.1 Non-persistent HTTP Issues

- Requires 2 RTTs per object
- OS overhead for each TCP connection
- Browsers open multiple parallel TCP connections to fetch objects

## 4.2 Persistent HTTP Advantages

- Server leaves connection open after sending response
- Subsequent HTTP messages use same connection
- Client sends requests immediately upon encountering referenced objects
- As little as one RTT for all referenced objects
- Cuts response time in half compared to non-persistent

#### [Concept Map:

- HTTP 1.0  $\rightarrow$  Non-persistent  $\rightarrow$  Multiple connections  $\rightarrow$  High overhead
- HTTP  $1.1 \rightarrow \text{Persistent} \rightarrow \text{Single connection} \rightarrow \text{Lower overhead}$
- Performance improvement: 2RTT/object  $\rightarrow$  1RTT/multiple objects

]

## 5 HTTP Message Format

#### 5.1 HTTP Request Message

- ASCII (human-readable format)
- Two types: request and response messages

#### General Format:

```
| method | sp | URL | sp | version | cr | lf |
| header field name | : | value | cr | lf |
| ... |
| cr | lf |
| entity body |
```

## 5.2 HTTP Request Methods

- **GET:** Request object from server
  - Can include user data in URL after '?': www.sombsite.com/animalsearch?monkeys&banana
- POST: Send data to server
  - Used for form input
  - User input sent in entity body
- **HEAD:** Request headers only (no body)
- **PUT:** Upload new file to server
  - Completely replaces existing file

## 5.3 HTTP Response Message

- Status line: protocol + status code + status phrase
- Example: HTTP/1.1 200 OK

#### 5.4 HTTP Response Status Codes

- 200 OK: Request succeeded, object in message
- 301 Moved Permanently: Object moved, new location specified
- 400 Bad Request: Request not understood
- 404 Not Found: Requested document not found
- 505 HTTP Version Not Supported: Unsupported protocol version

[Summary: HTTP messages follow specific formats with request methods (GET, POST, HEAD, PUT) and response codes (200, 301, 404, etc.) that indicate request outcomes.]

#### 6 HTTP in Practice

#### 6.1 Trying HTTP with Netcat

- 1. Open TCP connection: % nc -c -v cs.rit.edu 80
- 2. Send GET request:

```
GET interactive/index.php HTTP/1.1 Host: cs.rit.edu
```

3. Examine server response

## 6.2 HTTP State Management: Cookies

- HTTP is **stateless** no memory between requests
- Cookies maintain state between transactions

#### Four Cookie Components:

- 1. Cookie header line in HTTP response message
- 2. Cookie header line in HTTP request message
- 3. Cookie file on user's host (managed by browser)
- 4. Back-end database at website

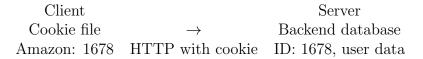


Figure 3: Cookie-based State Management

```
CHATP Request + Cookie heseler
(Provser)esponse + Set-Cookie Website
```



Figure 4: Cookie-based State Management: How client and server maintain user state using cookies.

#### 6.3 Cookie Applications and Privacy

- Uses: Authorization, shopping carts, recommendations, user sessions
- Privacy concerns:
  - Sites learn about user behavior
  - Third-party persistent cookies track across multiple sites
  - Common identity tracking

[Mnemonic: Cookies have 4 C's: Client, Cache, Communication, Control] [Summary: Cookies overcome HTTP's stateless nature by storing user-specific data, enabling personalized experiences but raising privacy concerns.]

## 7 Web Caching and Performance

## 7.1 Web Caches (Proxy Servers)

- Goal: Satisfy client requests without origin server involvement
- Browser configured to point to local web cache
- Cache acts as both client and server

#### Cache Operation:

- 1. Browser sends request to cache
- 2. If object in cache: return to client
- 3. Else: request from origin server, cache object, return to client

#### 7.2 Cache Control Headers

- Cache-Control: max-age=¡seconds¿: Object caching duration
- Cache-Control: no-cache: Do not cache object

## 7.3 Benefits of Web Caching

- Reduce client response time (cache closer to client)
- Reduce traffic on institution's access link
- Enable efficient content delivery for providers

## 8 Caching Performance Analysis

#### 8.1 Base Scenario

- Access link rate: 1.54 Mbps
- RTT to server: 2 seconds
- Web object size: 100K bits
- Request rate: 15 requests/second
- Data rate to browsers: 1.50 Mbps

#### Performance without Cache:

- Access link utilization: 0.97 (97%)
- LAN utilization: 0.0015
- End-end delay: 2 sec + minutes + microseconds

 $\operatorname{sdf}$ 

## 8.2 Option 1: Faster Access Link

- Upgrade to 154 Mbps access link
- Access link utilization: 0.0097 (0.97%)
- Cost: Expensive

#### 8.3 Option 2: Web Cache

- Install local web cache
- Cost: Cheap

#### Performance with Cache (40% hit rate):

- 40% requests served by cache (low msec delay)
- 60% requests served by origin
- Access link data rate:  $0.6 \times 1.50 \text{ Mbps} = 0.9 \text{ Mbps}$
- Access link utilization: 0.9/1.54 = 0.58
- Average end-end delay:

```
Delay = 0.6 \times (\text{delay from origin}) + 0.4 \times (\text{delay from cache})
= 0.6 \times 2.01 + 0.4 \times (\text{msecs}) \approx 1.2 \text{ seconds}
```

[Summary: Web caching dramatically improves performance by serving content locally, reducing both response time and network traffic compared to upgrading infrastructure.]

#### 9 Advanced HTTP Features

#### 9.1 Conditional GET

- Goal: Avoid sending object if cache has up-to-date version
- Client includes: If-modified-since: <date>
- Server responses:
  - **304 Not Modified:** Cached copy is current
  - 200 OK: Object modified, new version sent

## 9.2 HTTP/2

- **Key goal:** Decrease delay in multi-object requests
- Improvements over HTTP 1.1:
  - Object transmission based on client-specified priority (not FCFS)
  - Push unrequested objects to client
  - Divide objects into frames
  - Mitigate Head-of-Line (HOL) blocking

#### 9.2.1 HOL Blocking Mitigation

- HTTP 1.1: Objects delivered in request order
  - Small objects wait behind large objects
- HTTP/2: Objects divided into frames, transmission interleaved
  - Small objects delivered quickly
  - Large objects slightly delayed

## $9.3 \quad HTTP/3$

- Limitations of HTTP/2:
  - Single TCP connection means packet loss stalls all objects
  - Browsers open multiple parallel TCP connections
  - No security over vanilla TCP

#### • HTTP/3 improvements:

- Adds security
- Per-object error and congestion control over UDP
- More pipelining capabilities

#### [Concept Map:

- HTTP  $1.0 \rightarrow \text{Non-persistent} \rightarrow \text{High latency}$
- HTTP  $1.1 \rightarrow \text{Persistent} \rightarrow \text{Reduced connections}$
- HTTP/2  $\rightarrow$  Frame multiplexing  $\rightarrow$  HOL blocking mitigation
- HTTP/3  $\rightarrow$  UDP-based  $\rightarrow$  Per-object control + security