

# Process Assignment, Scheduling and Load Balancing

## Study-Ready Notes

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# 1 Introduction to Process Assignment and Scheduling

## 1.1 Basic Concepts

- **Process Assignment:** Mapping processes to processing elements (PEs)
  - Considers: Process characteristics, Hardware/Software characteristics
  - Answers the question: **Where?**
- **Scheduling:** Determining when to start executing each task
  - Types: Undirected, Directed
  - Answers the question: **When?**

[Summary] Process assignment determines where processes run, while scheduling determines when they execute. Both consider system and process characteristics for optimal performance.

## 1.2 Programming Models

- **Definition:** Abstractions of CPU hardware, memory, and communication architectures
- **Types:** SPMD (Single Program Multiple Data), MPMD (Multiple Program Multiple Data), Shared Memory, Message Passing
- **Selection Criteria:**
  - Application problem characteristics
  - Available parallel computer architecture
  - Knowledge of parallel algorithms and programming languages

[Summary] Programming models provide abstractions for parallel computing, with selection depending on application needs and available hardware.

# 2 Critical Factors in Parallel Computing

## 2.1 Granularity

- **Definition:** Ratio of computation to communication
- **Coarse vs Fine Granularity:**
  - Coarse: Large computational work between communication events
  - Fine: Frequent communication with smaller computation chunks
- **Impact:** Higher computation/communication ratio → better speedup and efficiency

## 2.2 Overheads

- **Types:**
  - Synchronization costs
  - Data communication costs
- **Significance:** Overheads reduce effective parallel speedup

## 2.3 Scalability

- **Definition:** Ability to maintain performance improvement with increasing processors
- **Influencing Factors:**
  - Memory-CPU bandwidth
  - Network communication capabilities
  - Application algorithm design
  - Programming language characteristics
  - Process assignment strategy

[Summary] Granularity, overheads, and scalability are critical factors affecting parallel performance, with granularity balancing computation and communication trade-offs.

# 3 Processor and System Characteristics

## 3.1 Homogeneous vs Heterogeneous Systems

- **Homogeneous Processors:**
  - All processors identical in type and capability
  - Uniform computing and communication costs
- **Heterogeneous Processors:**
  - Processors with varying capabilities (speed, resources, software)
  - Different computing and communication costs
  - May require specific processors for certain processes

## 3.2 Network Characteristics

- **Homogeneous/Heterogeneous Networks:**
  - Communication bandwidth may vary
  - Considerations: Mobility, disconnection issues

### 3.3 Cost Modeling

- **Total Cost Formula:**

$$\text{Total Cost} = \text{Computing Costs} + \text{Communication Costs}$$

- **Example Calculation:**

- Given process costs on different processors
- Communication cost between processors = 1 unit
- Assignment: (B,C,D) → P1; (A,E,F) → P2
- Total Cost = Sum of computation costs + communication costs

[Summary] System heterogeneity affects assignment decisions, with total cost being the sum of computation and communication expenses.

## 4 Problem and System Knowledge Requirements

### 4.1 Problem Analysis

- **Focus Areas:**

- Parallelize most time-consuming processes
- Avoid parallelizing trivial processes
- Identify bottlenecks: I/O, data dependencies

### 4.2 System Analysis

- **Processor Characteristics:**

- Speed, memory capacity

- **Topology Types:**

- Mesh, Tree, Hypercube, 3-D Mesh

- **Communication Patterns:**

- Processor-Processor
- Processor-Memory
- Memory-Memory

## 5 Decomposition Strategies

### 5.1 Domain Decomposition

- **Approach:** Divide data into discrete chunks
- **Applications:** Matrix operations, Image processing
- **Goal:** Maintain high  $\frac{\text{cost of computing (R)}}{\text{cost of communication (C)}}$  ratio
- **Considerations:** Match system (R,C) with application (r,c)

### 5.2 Functional Decomposition

- **Approach:** Assign different functions to different processors
- **Applications:** Signal processing (pipelined filter stages)
- **Key Principle:** Maintain matching R/C to r/c ratios for improved parallelism

[Summary] Domain decomposition partitions data, while functional decomposition partitions functions, both aiming to optimize computation-communication ratios.

## 6 Process Assignment and Scheduling Types

### 6.1 Static Scheduling

- **Characteristics:**
  - Problem and process complexities known *a priori*
  - Fixed assignments determined before execution
- **Applications:** Traditional parallel systems with predictable workloads

### 6.2 Dynamic Scheduling

- **Characteristics:**
  - Processor availability changes over time
  - Adapts to system state changes
  - Combined with process migration and load balancing
- **Applications:**
  - Cloud systems (shared resources)
  - Mobile systems (mobility, battery constraints)

[Summary] Static scheduling works with known parameters, while dynamic scheduling adapts to changing system conditions.

## 7 Process Scheduling Approaches

### 7.1 Centralized vs Decentralized

- **Centralized Scheduling:**

- Single controller makes all decisions
- Pros: Consistent, global view
- Cons: Single point of failure, scalability issues

- **Decentralized Scheduling:**

- Distributed decision making
- Pros: Scalable, fault-tolerant
- Cons: Coordination overhead, potential inconsistencies

### 7.2 Primary Issues: Problem Perspective

- **Workload Distribution:**

- Distributing jobs and metadata
- Queue length management

- **Session State Management:**

- Node stickiness (affinity)
- Cost of task reallocation
- Session state distribution

### 7.3 Primary Issues: System Perspective

- **Node Selection Policies:**

- Random selection
- Round Robin
- Shortest queue
- Threshold-based (queue length  $\geq$  threshold)

- **Workload Metrics:**

- Queue Length
- CPU Utilization
- Response Time, Capacity, Network latency
- Probe limit

## 8 Optimal Scheduling Analysis

### 8.1 Mathematical Formulation

- System Model:

- N tasks:  $p_1, p_2, p_3, \dots, p_N$
- 2 processors: A and B
- Assignment: A:  $p_1, p_2, \dots, p_k$ ; B:  $p_{k+1}, p_{k+2}, \dots, p_N$

- Cost Components:

- Computation cost for process  $p_i = r_i$
- Communication cost between  $p_i$  and  $p_j = c_{i,j}$  if on different processors
- Communication cost = 0 if on same processor

### 8.2 Cost Analysis

- Total Cost Formula:

$$\text{Total Cost} = r \times \max(k, N - k) + c \times [k \times (N - k)]$$

- Special Cases:

- All processes on one processor ( $k = N$ ):

$$\text{Cost} = r \times N$$

- Equal division ( $k = N/2$ ):

$$\text{Cost} = \frac{r \times N}{2} + c \times \left( \frac{N}{2} \times \frac{N}{2} \right) = \frac{1}{2} \left( r \times N + \frac{c \times N^2}{2} \right)$$

### 8.3 Parallelization Decision

- Condition for Parallelization:

$$r \times N > \frac{1}{2} \left( r \times N + \frac{c \times N^2}{2} \right)$$

Simplifies to:

$$\frac{r}{c} > \frac{N}{2}$$

- Decision Rule:

- Equal distribution if  $\frac{r}{c} > \frac{N}{2}$
- Use single processor if  $\frac{r}{c} \leq \frac{N}{2}$
- Decision independent of number of processors

## 8.4 Assumptions and Limitations

- **Key Assumptions:**
  - Total communication among all processes
  - No overlap between computation and communication
  - All processes communicate with each other
- **Real-world Considerations:**
  - Subset of processes communicate
  - Computation and communication can often overlap

[Summary] Optimal scheduling depends on the computation-to-communication cost ratio, with parallelization beneficial only when  $r/c > N/2$ .

# 9 Clustering in Process Scheduling

## 9.1 Clustering Concept

- **Objective:** Group processes to minimize communication costs
- **Computation Cost:**  $\sum_{i=1}^n r_i$
- **Communication Cost:**  $\sum c_{in} + \sum c_{out}$

## 9.2 Clustering Strategies

- **Input/Output Focus:**
  - Consider incoming and outgoing communication costs
  - Balance computation and communication within clusters

# 10 Dynamic Load Balancing

## 10.1 Basic Concepts

- **Definition:** Equitable distribution of load among processors
- **Goal:** Minimize difference between most heavily and lightly loaded processors
- **Key Principle:** Adjust based on monitored system state

## 10.2 Dynamic Scheduling Algorithms

- **Sender-Initiated Algorithms:**
  - Transfer policy: When queue length exceeds threshold
  - Selection policy: Which process to transfer
  - Location policy: Cost and distance considerations
- **Receiver-Initiated Algorithms:**
  - Triggered when queue length falls below threshold

## 10.3 Dynamic System Challenges

- **Sources of Dynamism:**
  - Uncertainty in task execution times
  - Dynamic task arrival and departure
  - Changing processor availability
  - Network condition variations
  - Task priority changes
  - Processor and network faults
- **Exacerbating Factors:**
  - Cloud systems (resource sharing)
  - Mobile systems (mobility, energy constraints)

[Summary] Dynamic load balancing adapts to changing system conditions using sender or receiver initiated approaches to maintain equitable load distribution.

# 11 Load Balancing Methods and Challenges

## 11.1 Approaches to Load Balancing

- **Centralized vs Decentralized:**
  - Centralized: Single controller, less scalable
  - Decentralized: Distributed control, more scalable
- **Information Collection:**
  - How to obtain processor state information
  - Centralized vs decentralized collection
  - Periodic vs event-driven updates
  - Threshold-based monitoring

## 11.2 Key Questions in Load Balancing

- **Transfer Policy:** Whether to move processes
- **Location Policy:** Where to move processes
- **Process Selection:** Which processes to move
- **Decision Architecture:** Centralized or distributed control

## 11.3 Cost Considerations

- **Processing Overhead:**
  - Data collection for load monitoring
  - Decision making computations
- **Network Overhead:**
  - Distribution of load information
  - Process migration costs
  - Job redistribution

# 12 Static vs Dynamic Load Balancing

## 12.1 Static Load Sharing

- **Characteristics:**
  - Fixed policy, no adaptation to system state
  - Simple, low cost implementation
  - Handles session state easily
  - Cannot adjust to dynamic changes

## 12.2 Dynamic Load Balancing

- **Advantages:**
  - Adapts to changing system conditions
  - Better resource utilization
  - Handles unpredictable workloads
- **Disadvantages:**
  - Complex implementation
  - Significant overhead costs
  - Session state management challenges

## 13 Process Migration

### 13.1 Migration Process

- Steps:

- Migration request initiation
- Process suspension on source host
- State transfer to destination host
- Process resumption on destination
- File server coordination

### 13.2 Migration Scenarios

- Work Stealing:

- Idle processor seeks work from busy ones
- ”No more work → Find work elsewhere”

- Load Distribution:

- Balance load across multiple processors
- Handle processor saturation scenarios

## 14 Distributed Scheduling Framework

### 14.1 System Components

- Load Information Management:

- Collects local node load information
- Disseminates information to other nodes

- Distributed Scheduling:

- Makes migration decisions
- Determines when, where, and which processes to migrate

- Migration Mechanism:

- Executes the actual process transfer

## 14.2 Implementation Considerations

- **Local Information Collection:** Monitoring node status
- **Information Dissemination:** Sharing load data
- **Migration Directives:** Decision rules for process movement

## Study Aids

### Key Formulas

- Total Cost = Computing Costs + Communication Costs
- Optimal scheduling condition:  $\frac{r}{c} > \frac{N}{2}$
- Clustering costs: Computation =  $\sum r_i$ , Communication =  $\sum c_{in} + \sum c_{out}$

### Important Concepts

- Granularity: Computation-to-communication ratio
- Homogeneous vs Heterogeneous systems
- Static vs Dynamic scheduling
- Centralized vs Decentralized control
- Sender vs Receiver initiated load balancing

[Mnemonic] "GCD SL" - Granularity, Cost, Dynamism for Scheduling and Load balancing  
[Concept Map]

- Process Assignment → Where (Mapping)
- Scheduling → When (Timing)
- Load Balancing → How (Distribution)
- Static vs Dynamic approaches
- Centralized vs Decentralized control
- Homogeneous vs Heterogeneous systems