# **CS4618: Artificial Intelligence I**

### **Genetic Algorithms**

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### **Initialization**

#### In [1]:

```
%reload_ext autoreload
%autoreload 2
%matplotlib inline
```

#### In [2]:

```
import pandas as pd
import numpy as np
import matplotlib.pyplot as plt
```

### **Evolving Table-Driven Agents**

- Previously, we programmed the agent: by filling in the table
- Today, we will **evolve** the agent
- · To make the problem harder
  - ...we will now allow all 8 sensors



- ...and 4 actions
  - MOVE
  - TURN(RIGHT, 2)
  - TURN(RIGHT, 4)
  - TURN(LEFT, 2)

### **Evolution by Natural Selection**

- · Successive generations differ from previous ones
  - Children inherit characteristics from their parents
  - But combining and mutating these characteristics introduces variations from generation to generation
- Less fit individuals are selectively eliminated ('survival of the fittest')

### **Genetic Algorithms (GAs)**

- · GAs emulate evolution
- They iteratively update a population of individuals
- Each new generation of the population is obtained by probabilistically selecting fitter individuals from the current generation
  - Some of these individuals are **copied** to the next generation unchanged
  - Some are subject to **crossover** to create new offspring
  - Some of the new generation undergo **mutation**
- GAs differ from real-world evolution, e.g. each generation is the same size as the previous one

### A genetic algorithm

- *n* is the number of individuals in the population
- $\chi$  is the proportion of the population to be replaced by crossover, e.g. 0.8
- $\mu$  is the mutation rate, e.g. 0.01

```
\mathsf{GA}(n,\chi,\mu)
 • // Initialise generation 0:
    k = 0:
    P_k = a population of n randomly-generated individuals;
 • // Evaluate P_k:
    Compute fitness(i) for each i \in P_k;
 do {
      • // Create generation k + 1:
         // 1. Copy:
         Select (1 - \chi) \times n members of P_k and insert into P_{k+1};
         // 2. Crossover:
         Select \chi \times n members of P_k;
         pair them up;
         produce offspring;
         insert the offspring into P_{k+1};
         // 3. Mutate:
         Select \mu \times n members of P_{k+1};
         invert a randomly-selected bit in each;
      • // Evaluate P_{k+1}:
         Compute fitness(i) for each i \in P_{k+1};
      // Increment:
         k = k + 1;
    } while fitness of fittest individual in P_k is not high enough;
 • return the fittest individual from P_k;
```

# Representation of individuals

- · Individuals are represented by bit strings
- · This requires a way of encoding and decoding

# **Encoding/decoding**

• Suppose this is the agent's table:

Percept	Action
00000000	MOVE
0000001	TURN(LEFT, 2)
0000010	TURN(RIGHT, 4)
:	:

• We can assign unique codes to the actions:

Action	Bt string		
MOVE	00		
TURN(RIGHT, 2)	01		
TURN(RIGHT, 4)	10		
TURN(LEFT, 2)	11		

• We can concatenate all entries in the table to form one long bit string:

000000000 0000000111 0000001010 ...

- · Class exercise: How long will this bit string be?
- In fact, we don't need to include the percepts:

00 11 10 ...

- Class exercise: How long will this bit string be now?
- Class exercise: How many different bit strings (or tables or agents) are there?

### **Fitness**

- The GA needs a (task-specific) fitness function
- E.g. place an individual into a room then, of all the cells that it visits, calculate the proportion that are adjacent to walls
- Typically, average performance over several tasks is computed

### Copy

- How do we select the  $(1 \chi) \times n$  individuals who will be copied over?
- · Obviously, influenced by their fitness, but we don't simply take the fittest
- Instead, it is probabilistic, e.g.:
  - Roulette wheel selection:
    - Probability of selection is equal to relative fitness

$$Prob(choice = i) = \frac{fitness(i)}{\sum_{j=1}^{n} fitness(j)}$$

- Rank selection:
  - Probability of selection is inversely proportional to position in the population after sorting by fitness
- Tournament selection:
  - Repeatedly, select a random subset of the population and chose the fittest in this subset
- Selection is usually done with replacement: an individual can be picked more than once

#### Crossover

- · In crossover:
  - Select  $\chi \times n$  individuals
    - How? By roulette wheel, rank or tournament selection
  - Pair them up, giving  $(\chi \times n)/2$  pairs
  - Swap a random portion of the father with a random portion of the mother, giving two new offspring
- The offspring may or may not be fitter than their parents:
  - We hope roulette wheel/rank/tournament seletion has chosen reasonably fit parents, and the offspring might have some fitness advantage by incorporating parts of these parents
  - On the other hand, no guarantees!
- · There are different kinds of crossover:
  - Single-point: choose a random position
  - Two-point: choose two positions and swap the segment between them
  - Uniform: individual bits are chosen at random for swapping

# **Single-point crossover**

<u>11101</u>001000 111010101

00001<u>010101</u> 00001001000

**Two-point crossover** 

11101001000 00101000101

<u>00</u>00101<u>0101</u> 11001011000

**Uniform crossover** 

<u>1110100</u>10<u>00</u> 10001000100

0<u>00</u>01<u>0</u>1<u>01</u>01 011011001

### **Efficient single-point crossover**

• Generate two masks, e.g.:

 $mask_1: 11111100000$  $mask_2: 00000011111$ 

Then

 $offspring_1 = (parent_1 \land mask_1) \lor (parent_2 \land mask_2)$  $offspring_2 = (parent_1 \land mask_2) \lor (parent_2 \land mask_1)$ 

### **Example of efficient single-point crossover**

 $parent_1$ : 11101001000  $parent_2$ : 00001010101

 $mask_1$ : 11111000000  $mask_2$ : 00000111111

Λ: 11101000000 Λ: 00000<mark>010101</mark>

V: 11101010101

#### **Mutate**

- Select  $\mu \times n$  individuals from the *new* generation
  - How? Random with uniform probability, not by fitness
- For each selected individual, a bit is chosen at random and this bit is inverted
- E.g.

111010<mark>0</mark>1000

111010<mark>1</mark>1000

### **Efficient mutation**

· Generate a mask, e.g.:

*mask* : 0000010000

Then

 $newindividual = individual \oplus mask$ 

where  $\bigoplus$  is exclusive-or

• E.g.

*individual*: 111010<u>0</u>1000

mask: 00000010000

**⊕:** 111010<u>1</u>1000

### **Discussion**

- · There's a risk of crowding:
  - This is where a fit individual reproduces a lot and it (or minor variants of it) dominate the population
  - It results in a lack of diversity and possible stagnation
- · How to overcome overcrowding
  - Mutation
  - Rank selection or tournament selection
  - **.** ...

## **Applications**

- · GAs have been used to evolve:
  - Digital circuits
  - Factory schedules
  - University timetables
  - Neural network architectures
  - Similarity measures
  - . . . . .
- Lecture discussion: How would we do university timetabling using a GA? Are there difficulties?

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