

# Retrieval advances of BrO/SO<sub>2</sub> molar ratios from NOVAC

This Master thesis has been carried out by Elsa Wilken

at the

Institute for Environmental Physics, University of Heidelberg,

Germany

under the supervision of

Prof. Ulrich Platt

# Contents

<b>1</b>	<b>Introduction</b>	<b>6</b>
<b>I</b>	<b>Theoretical Background</b>	<b>9</b>
<b>2</b>	<b>Volcanism and volcanic chemistry</b>	<b>10</b>
2.1	Volcanism . . . . .	10
2.1.1	Volcanic degassing . . . . .	12
2.1.2	Volcanic gases and their impact on the climate . . . . .	12
2.1.3	Volcanic plume chemistry . . . . .	12
2.1.4	Sulphur species . . . . .	12
2.1.5	Bromine oxide . . . . .	13
2.1.6	Using volcanic gases to study volcanic activity . . . . .	13
<b>3</b>	<b>Remote sensing of volcanic gases</b>	<b>15</b>
3.1	Differential Optical Absorption Spectroscopy(DOAS) . . . . .	16
3.1.1	Technical Implementation of the DOAS Approach . . . . .	18
<b>II</b>	<b>Evaluation of the Data of Tungurahua and Nevado Del Ruiz</b>	<b>20</b>
<b>4</b>	<b>Network for Observation of Volcanic and Atmospheric Change</b>	<b>21</b>
4.1	Measurement Routine . . . . .	22
<b>5</b>	<b>Evaluation Routine</b>	<b>24</b>
5.1	NOVAC-Evaluation . . . . .	24
5.2	Contamination Problem . . . . .	28
<b>6</b>	<b>Limitations for the evaluation of BrO</b>	<b>31</b>
6.1	BrO Error dependence on external parameters . . . . .	31
6.1.1	Time . . . . .	31
6.1.2	Temperature . . . . .	33
6.1.3	Daytime . . . . .	35
6.1.4	Colorindex . . . . .	36
6.1.5	Elevation Angle . . . . .	38
6.1.6	Exposure Time . . . . .	39

<b>7</b>	<b>Method</b>	<b>44</b>
7.1	Fit data . . . . .	45
7.2	Other approaches . . . . .	49
7.2.1	Nearest neighbours . . . . .	49
7.2.2	Iterative . . . . .	50
<b>8</b>	<b>Comparison with NOVAC Evaluation</b>	<b>51</b>
<b>9</b>	<b>Results</b>	<b>56</b>
9.1	Tungurahua . . . . .	56
9.2	Nevado Del Ruiz . . . . .	56
<b>10</b>	<b>Issues of our method</b>	<b>59</b>
10.1	Contamination of the plume . . . . .	59
<b>11</b>	<b>Conclusion</b>	<b>60</b>
<b>III</b>	<b>Appendix</b>	<b>61</b>
<b>A</b>	<b>Lists</b>	<b>63</b>
A.1	List of Figures . . . . .	63
A.2	List of Tables . . . . .	65
<b>B</b>	<b>Bibliography</b>	<b>66</b>



### 3 Remote sensing of volcanic gases

In this thesis we are interested in the volcanic trace gases  $\text{SO}_2$  and  $\text{BrO}$ , both measured with the Differential Optical Absorption Spectroscopy (DOAS) a remote sensing technique proposed by Platt and Stutz [2008]

This chapter will give a short overview about the measuring technique.

#### Beer-Lambert Law

This section will give an overview about the reasons for decreasing light intensity when going through a medium.

The Lambert-Beer law describes the attenuation of light when traveling through a material.

Atoms and Molecules exist in several energy states, depending on the different electron configuration. Moreover Molecules have additionally rotation and vibration states, also enclosed to the energy states. If a photon matches the energy gap between two possible energy states, this includes, that the lower energy state is occupied and the selection rules are fulfilled, the molecule could absorb the photon, remaining in a higher energy state.

The additional photon energy could be released by collision with another molecule or by emission. But since the direction of the emitted photon is mostly not the same direction of the absorbed photon the intensity  $I_0$  of the light before passing the medium is higher than the intensity  $I$  after traveling the distance  $L$  through the medium.

This can be described as:

$$I(L, \lambda) = I_0(\lambda) \cdot \exp\left(-\int_0^L \sigma(\lambda, p, T) \cdot c(l) dl\right) \quad (3.1)$$

where  $c(l)$  is the location-dependent concentration of the trace gas of interest.  $\sigma(\lambda, p, T)$  is the absorption cross section,  $\sigma(\lambda, p, T)$  is unique for each molecule and depends on pressure  $p$  and on the temperature  $T$ .

An important quantity used in many optical remote sensing techniques is the optical density  $\tau$ . The optical density is a measure for the weakening of radiation when going through a material.  $\tau$  can be calculated using the Lambert Beer law:

$$\tau = -\ln\left(\frac{I(\lambda)}{I_0(\lambda)}\right) = \sigma \cdot S \quad (3.2)$$

Hereby is  $S$  the column density. The column density is the concentration of the trace when integrating along the light path, the dimension of  $S$  is therefore the number of molecules divided by an area:  $\frac{molec}{cm^2}$ .

$$S = \int_0^L c(l) dl \quad (3.3)$$

When measuring at a volcano, that means measuring in the atmosphere the situations gets more complex, since we need to deal with several absorbers and scattering processes have to be taken into account. One possibility is to treat scattering effects as pseudo absorbers with the respective extinction coefficients for Rayleigh ( $\epsilon_R$ ) and Mie ( $\epsilon_M$ ) scattering

$$I(L, \lambda) = I_0(\lambda) \cdot \exp \left( - \int_0^L \sum_j \sigma_j(\lambda, p, T) \cdot c_j(l) + \epsilon_R(\lambda, l) + \epsilon_M(\lambda, l) dl \right) \quad (3.4)$$

The first term of eq. (3.4) in the exponential function, multiple absorbers  $j$  are considered, the corresponding concentration depends on the position  $l$  of the light path. The last two terms in describe the extinction due to Rayleigh and Mie scattering in the atmosphere.

Inelastic scattering (for example the Ring effect) and effects due to turbulences in the atmosphere, are neglected here.

### 3.1 Differential Optical Absorption Spectroscopy(DOAS)

It is impossible to distinguish between various broad-band effects, like scattering in the atmosphere or instrument effects which influence the measured spectra Lübcke [2014]. Therefore eq. (3.4) cannot be applied to real measurements.

Differential Optical Absorption Spectroscopy (DOAS) was invented in the late 1970s by Perner and Platt [1979]. This section will give an overview about the DOAS technique. More detailed information can be found in the work of Platt and Stutz [2008]

Differential Optical Absorption Spectroscopy uses the fact, that absorption can be divided into broad-band parts and narrow-band parts. Broad band parts are effects that only change weakly with the wavelength, i.e. scattering and instrument effects have a broad-band structure. The narrow band part includes effects that strongly depend on the wavelength. Within the DOAS-Method only narrow-band absorption features of molecules are used to obtain their column densities. The absorption cross section of trace gases  $j$  have broad-band ( $\sigma_b(\lambda)$ ) and narrow band ( $\sigma'(\lambda)$ ) features, only the narrow-band structures are used in DOAS.

$$\sigma(\lambda) = \sigma_b(\lambda) + \sigma'(\lambda) \quad (3.5)$$

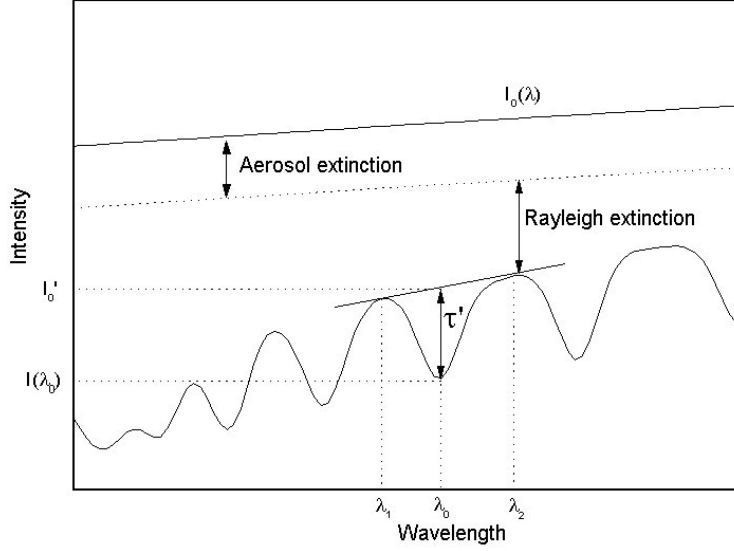


Figure 3.1: Basic idea of the DOAS principle: Light attenuate due to broad band and narrow band effects. The broad band extinction is caused by aerosols and Raylight scattering ( $I_0 \rightarrow I'$ ). The measured intensity  $I$  is formed by narrow band effects due to differential absorption structures by trace gases with the optical density  $\tau'$ . Adapted from Kern [2009]

With this considerations the Lambert-Beer law eq. (3.4) can be rewritten dividing the exponential part into a narrow-band part and a broad-band part:

$$I(\lambda, L) = \overbrace{I_0(\lambda) \cdot \exp\left(-\int_0^L \sum_j \sigma_{b,j}(\lambda, p, T) \cdot c_j(l) + \epsilon_R(\lambda, l) + \epsilon_M(\lambda, l) dl\right)}^{=I'_0(\lambda)} \cdot \exp\left(-\int_0^L \sum_j \sigma'_j(\lambda, p, T) \cdot c_j(l) dl\right) \quad (3.6)$$

The so defined  $I'_0(\lambda)$  differs from  $I_0(\lambda)$  only by broad band effects. With  $I'_0(\lambda)$  a differential optical density  $\tau'$  can be defined:

$$\tau' = \ln\left(\frac{I'_0(\lambda)}{I(\lambda)}\right) = \int_0^L \sum_j \sigma'_j(\lambda) \cdot c_j(l) dl = \sum_j \sigma'_j(\lambda) \cdot S_j \quad (3.7)$$



The optical density can now be calculated by using the difference of the column density  $S_M$  in the measurement spectrum to the column density  $S_R$  of a reference spectrum. From Equation (3.6) we know:

$$I_{P,R} = I'_0 \cdot \exp(-S_{P,R} \cdot \sigma(\lambda)) \quad (3.8)$$

In general the obtained column density  $S_M$  is called differential slant column density: "dSCD". If the reference spectrum does not contain the trace gas of interest (is not contaminated with trace gases) that means  $S_R = 0$ ,  $S_M$  is called the slant column density (SCD). With Equation (3.8) the optical density can be derived by:

$$\tau(\lambda) = -\ln\left(\frac{I_M}{I_R}\right) = \sigma(\lambda) \cdot (S_M - S_R) \quad (3.9)$$



### 3.1.1 Technical Implementation of the DOAS Approach

The theory explained above only describes the ideally situation. In real measurements more problems occur due to instrument limitations inelastic scattering causing the Ring effect and due to impacts of external parameters like temperature.

In the following a short overview about these problems and their consequences for our retrieval is given. Further information can be found in [Lübcke \[2014\]](#).

#### Optical and spectral resolution of the spectrometer

The resolution of the spectrometer is finite, thus, the detector receives a spectrum  $I^*(\lambda)$  which can be retrieved with a convolution of the incident spectrum  $I(\lambda)$  with the instrument function  $H(\lambda)$ :

$$I^*(\lambda) = I(\lambda) * H(\lambda) = \int I(\lambda - \lambda') \cdot H(\lambda - \lambda') d\lambda' \quad (3.10)$$

For the evaluation all  $\sigma_j$  of the trace gases of interest need to have the same spectral resolution as the instrument used for recording the spectra. In this work we will use high resolution cross sections and convolute them with the instrument function  $H$ :

$$\sigma^*(\lambda) = \sigma(\lambda) * H(\lambda) \quad (3.11)$$

The instrument function  $H$  can be approximated by using a the spectral lines of an mercury lamp since the width of those lines is only a few pm, they could be treated as delta peaks when comparing it to the resolution of the spectrometers.

#### Effects of the detector

The detector only has discrete pixels, therefore a wavelength interval is mapped to a pixel  $i$ .

$$I'(i) = \int_{\lambda(i)}^{\lambda(i+1)} I^*(\lambda) d\lambda \quad (3.12)$$

For the retrieval the relationship between the detector channels and the wavelength of the spectrum need to be known. The wavelength to pixel mapping (WMP) for a detector with  $q$  channels can be calculated as:

$$\lambda(i) = \sum_{k=0}^{q-1} \gamma_k \cdot i^k \quad (3.13)$$

Hereby,  $\gamma_0$  is a shift of the spectrum and  $\gamma_1$  is a squeeze (respectively stretch) of the spectrum. The wavelength to pixel mapping can be discovered by using a mercury lamp again and compare pixel-position with the well known wavelength of the individual HG-lines of the mercury lamp.

The wavelength to pixel mapping depends on the instrument temperature as well as on the ambient pressure [Lübcke et al. \[2014\]](#).

### Ring effect

As mentioned above inelastic scattering causes the Ring effect (named after Grainger and Ring, 1962). The Ring effect is observable through a filling of the Fraunhofer lines in spectra of scattered solar radiation, (e.g. if the sunlight travels through the earth atmosphere). When compared to direct sunlight measurements (e.g. outside of the earth atmosphere). ([Bussemer \[1993\]](#), [Solomon et al. \[1987\]](#)) proposes that the Ring effect is a result of rotational Raman scattering mainly of  $O_2$  and  $N_2$  in the atmosphere. [Solomon et al. \[1987\]](#) suggested to treat the Ring effect as a pseudo-absorber.





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