

Stanford University

1. Problem description

Hubble Space Telescope has identified yet another new planet, NASA scientists has named it Luminary and they want to find out the nearest neighbors of Luminary in Solar System. There are different types of planets in planetary system, scientists measured different features of 196 planetary objects and labeled them into six categories(Label 1,Label 2, Label 3, Label 5, Label 6, Label 7). Here is a snippet of the data, where the last column shows the Label category. We need a simple algorithm to find out the K nearest neighbors

```
\begin{array}{c} 1,1.52101,13.64,4.49,1.10,71.78,0.06,8.75,0.00,0.00,1\\ 2,1.51761,13.89,3.60,1.36,72.73,0.48,7.83,0.00,0.00,1\\ 3,1.51618,13.53,3.55,1.54,72.99,0.39,7.78,0.00,0.00,1\\ 4,1.51766,13.21,3.69,1.29,72.61,0.57,8.22,0.00,0.00,1\\ 5,1.51742,13.7.3.62,1.24,73.08,0.55,8.07,0.00,0.00,0.61,1.51596,12.79,3.61,1.62,72.97,0.64,8.07,0.00,0.26,1\\ 7,1.51743,13.30,3.60,1.14,73.09,0.58,8.17,0.00,0.00,1\\ 8,1.51756,13.15,3.61,1.05,73.24,0.57,8.24,0.00,0.00,1\\ 9,1.51918,14.04,3.58,1.37,72.08,0.55,8.30,0.00,0.00,1\\ 10,1.51755,13.00,3.60,1.36,72.99,0.57,8.40,0.00,0.11,1\\ 11,1.51571,12.72,3.46,1.56,73.29,0.67,8.09,0.00,0.00,1\\ 13,1.51589,12.88,3.43,1.40,73.28,0.69,8.05,0.00,0.00,1\\ 13,1.51589,12.88,3.43,1.40,73.28,0.69,8.05,0.00,0.01,11,1\\ 14.1.51544.2.86,3.56,1.27,73.10,54.83,8.00,0.00,0.01,11,1\\ 14.1.51542,2.86,3.56,1.27,73.10,54.83,8.00,0.00,0.01,11,1\\ 14.1.51542,2.86,3.56,1.27,73.10,54.83,8.00,0.00,0.01,11,1\\ 15.15163,12.80,3.66,1.27,73.11,0.54.83,8.00,0.00,0.01,0.17,1\\ 14.1.51542,12.86,3.56,1.27,73.11,0.54.83,8.00,0.00,0.01,0.17,1\\ 14.1.51544,12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,0.17,1\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,11\\ 14.1.51744.12.86,3.56,1.27,73.11,0.54.83,8.00,0.01,11
```

of Luminary and which Label(out of the six labels), Luminary primarily belongs to. We can build the algorithm on the dataset of 196 planetary objects and verify our algorithm on another dataset with 14 planetary objects with known Labels, which is not used to train the algorithm. All the cool ADTs learned in CS106B will be very handy to solve this problem.

2. Solutions

2.1 Function: buildDataGrid

The function 'buildDataGrid' fulfills the following requirements:

- 1. If no valid file is available based on the input filename, it errors out.
- 2. It resizes the grid first based on the dimension from the input file to avoid index out of bound error.
- 3. It reads all the data from the input file and builds a multidimensional grid object.

2.2 Function: pointToDistance

The function 'pointToDistance' fulfills the following requirements:

- 1. It takes a grid object and a vector point and calls another helper function 'square2D' to calculate Euclidean distance.
- 2. Two variables are passed as reference to build a 'distanceMap' and to keep the distances in a vector 'allDistances'.

2.3 Function: kNearestClassify

The function 'kNearestClassify' fulfills the following requirements:

1. If the input value of K exceeds the number of rows in the training grid, it errors out.

```
Grid<double> buildDataGrid(string& filename){
     ifstream in;
     if (!openFile(in, filename))
         error("cannot open file named "+ filename);
     Vector<string> lines;
     Grid<double> resultGrid;
     readEntireFile(in, lines);
     int numRows = lines.size();
int numCols = stringSplit(lines[0], ",").size();
     // resize the grid with proper dimensions before populating it from the file
     resultGrid.resize(numRows, numCols);
     for ( int i = 0; i < lines.size() ; i++){
    string trainRow = lines[i];</pre>
         Vector<string> row = stringSplit(trainRow, ",");
for (int c = 0; c < row.size() ; c++){
    resultGrid[i][c] = stringToReal(row[c]);</pre>
     return resultGrid;
for (int r = 0; r < grid.numRows(); r++){
    double intermediate = 0.0;
    for (int c = 0; c < grid.numCols() - 1; c++) {</pre>
               // Calculate Euclidean distance between the test point and all
               // training data points
               intermediate += square2D(grid[r][c], point[c]);
           double finalDis = sqrt(intermediate);
           // Add the distances to a vector
          allDistances.add(finalDis);
         // Add the distances to a map with map key denoting label
distanceMap[grid[r][grid.numCols()-1]].add(finalDis);
}
```

- 2. For each point in test grid, it calls the function 'pointToDistance' to build Map object 'distanceMap' and Vector 'vecDistance'.
- 3. It uses Vector sort to sort the array and takes the K smallest elements. 4. It calls the function getLabel to get the Label types(1,2,3,5,6,7).
- 5. It outputs the predicted label and the actual label for each point in test grid.

```
void kNearestClassify(Grid<double>& grid, Grid<double> testGrid, int k){
    if (k > grid.numRows()){
    error(" k is bigger than grid row size");
    for (int r = 0; r < testGrid.numRows(); r++){</pre>
       Vector<double> testRow;
       for (int c = 0; c < testGrid.numCols() - 1; c++){
           testRow.add(testGrid[r][c]);
       Vector<int> vecDistance;
       Vector<int> final;
       Map<int, Set<int>> distanceMap;
       pointToDistance(grid, testRow, distanceMap, vecDistance);
       // sort the array
vecDistance.sort();
        // Take top k nearest
       for (int i = 0; i < k; i++){
           final.add(vecDistance[i]);
       }
```

2.4 Function: kNearestClassifyPQ

This function is very similar to 'kNearestClassify', except it uses Priority Queue to enqueue and dequeue the K nearest neighbors. Here is a snippet of the function that is different from 'kNearestClassify' function.

```
Vector<int> vecDistance;
Vector<int> final;
Map<int, Set<int> distanceMap;
pointToDistance(grid, testRow, distanceMap, vecDistance);
PriorityQueue<int> pq;
// Once we enqueue priority queue is already sorted.
for (int i =0; i < vecDistance[i], vecDistance[i]);
}
// Take top k nearest
for (int i =0; ix k; i++){
    final.add(pq.dequeue());
}
cout << r << ":-> " << "predicted-> " << getLabel(distanceMap, final) << " Actual-> " << testGrid[r][testGrid.numCols() - 1] << endl;</pre>
```

2.5 Test Cases

Following test cases are used to test the individual functions.

```
STUDENT_TEST("pointToDistance for test data from all the points in training file") {

//Read the datafile and build the grid first
string simple_train.txt";
Grid
STUDENT_TEST(simple_train.txt";
Grid
STUDENT_TEST("pointToDistance for test data from all the points in training file") {

//Read the datafile and build the grid first
string simple_train.txt";
Grid
STUDENT_TEST(spun(square2D(0,0), 0);
EXPECT_EQUAL(square2D(3,-1), 1.69);
EXPECT_EQUAL(square2D(3,-1), 1.69);

STUDENT_TEST("buildDataGrid for small training file") {
//Read atafile and build a grid
string simple_train "res/simple_train.txt";
Grid
Grid
Grid
Grid
STUDENT_TEST("buildDataGrid(simple_train);

Grid
STUDENT_TEST("buildDataGrid(simple_test);

KNearestClassify(trainDataS, testDataS, 3);

STUDENT_TEST("buildDataGrid(simple_test);
Fried and build a grid
string simple_test = "res/simple_test.txt";
Grid
STUDENT_TEST("buildDataGrid(simple_train);

Grid
STUDENT_TEST("buildDataGrid(simple_test);

KNearestClassify(trainDataS, testDataS, 3);

STUDENT_TEST("buildDataGrid(simple_test);
KNearestClassifyPQ for small train and test file") {
//read a datafile and build a grid
string simple_test = "res/simple_test.txt";
Grid
STUDENT_TEST("buildDataGrid(simple_test);

KNearestClassifyPQ for small train and test file") {
//read a datafile and build a grid
string simple_test = "res/simple_test.txt";
String simple_test = "res/simple_train.txt";
String simple
```

2.6 End to end run on bigger files

This TEST shows the complete implementation of all the functions to solve the problem that NASA scientists wanted to get a rough idea on. It will iterate on all the multidimensional test points from a validation dataset with known labels and calculates the Euclidean distance of all those test points from the training grid. It then finds K nearest neighbors, label them and find the majority vote label; at the end it verifies the predicted label vs actual label to see how accurate this algorithm is. This algorithm will help to predict the label on the new planet Luminary.

```
STUDENT_TEST("kNearestClassifyPQ for a large file end to end") {
    string trainFilename = "res/knearest_train.txt";
    frid<br/>
    forid<br/>
    string testFilename = "res/knearest_test.txt";
    string testFilename = "res/knearest_test.txt";
    forid<br/>
    forid<br/>
    string testFilename = "res/knearest_test.txt";
    string testFilename = "res/knearest_test.txt";
    indidouble> testData = buildDataGrid(testFilename);
    vector<int> allDistances;
    Map<int, Set<int> distanceMap;
    string testFilename = "res/knearest_dest_test.txt";
    indidouble> testData = buildDataGrid(testFilename);
    indidouble> testData = lostDataGrid(testFilename);
    indidouble>    indidouble
    indidouble>    indidouble
    in
```

2.7 getlabel helper function for final labeling

The program uses the helper function 'getLabel' to put a label type on the distance values.

```
/**

* @brief getLabel helper function gets the label of the top K nearest distances

* @param It takes distanceMap and distance as inputs where distance Map is a map that

* contains the label as key with the set of distances as values.

* distance is a vector that just contains the sorted distances

* @return It returns the label index

*/
int getLabel(Map<int, Set<int>& distanceMap, Vector<int> distance){
```

2.8 Reflect on TEST cases

Each of the above TEST cases were extremely useful for unit testing.

It helped to achieve good decomposition and verification of Mathematical formulas.

It helped to test multiple solutions and come up with a neat and succinct strategy to move forward.

3. Two alternatives for sorting: Vector sort vs use of Priority Queue

- 1. Since, we are using integers, enqueue in priority queue is Big O(n) and dequeue is Big O(1), so sorting takes a constant time, this is faster for our algorithm as we need to provide K nearest neighbors for all the test points, so once, the distances are enqueued in a priority queue, dequeuing them in a sorted manner is O(1)
- 2. For vectors, insert is O(n), but sorting is O(nlogn).
- 3. Hence use of Priority Queue improvers performance for large dataset.

4. Problem Motivation

K nearest neighbor algorithm has a wide variety of real world applications, as this is a very simple and useful algorithm. In the past, I have used Python ML libraries to get the nearest neighbor and label classification, but I found that Python libraries run much slower as the value of K increases. I realized, it will be very fulfilling if I can implement a simple version of KNN using the knowledge learnt in CS106B.

4.1 Concept Coverage

Playing and iterating with different container and collection types(Vector, Grid, Map and Set)

Priority Queue, file read, complex nesting and manipulation through multiple data structure

Passing variables by reference

4.2 Personal Significance

I wanted to enrich my understanding in C++ by implementing an algorithm end-to-end where I apply good decomposition and appropriate ADT. I picked KNN algorithm as it is simple yet powerful. This project enhanced my programming skill and understanding as I progressed through writing multiple functions to solve a problem in an elegant manner.

In addition to that, I find my implementation to be much faster than Python and there is still a lot of scope for improvement which I will explore further in future.

4.3 TIME OPERATION using different values of K

It shows that as we increase the values of K, the timing does not increase, so the C++ implementation almost runs in linear time.

```
Line
         Time
              kNearestClassifyPQ(trainData,testData,
                                                            (size =
                                                                            completed
                                                                                             0.083 secs
Line 302 Time kNearestClassifyPQ(trainData,testData,
                                                       40)
                                                            (size =
                                                                        40) completed in
                                                                                              0.07 secs
                                                                                       in
                                                                                             0.079 secs
Line 303 Time kNearestClassifyPQ(trainData,testData,
                                                       60)
                                                            (size =
                                                                        60)
                                                                            completed
Line 304 Time kNearestClassifyPQ(trainData,testData,
                                                       80)
                                                           (size =
                                                                        80) completed in
                                                                                             0.071 secs
              kNearestClassifyPQ(trainData,testData,
                                                                                              0.073 secs
Line 305
         Time
                                                                        100) completed in
                                                       100)
                                                            (size
              kNearestClassifyPQ(trainData,testData,
                                                       120)
                                                                        120) completed
                                                                                              0.076 secs
Line 306
         Time
                                                             (size
                                                                                        in
              kNearestClassifyPQ(trainData,testData,
                                                                                              0.073 secs
Line 307
         Time
                                                             (size
                                                                        140) completed
Line
    308
         Time
              kNearestClassifyPQ(trainData,testData,
                                                                        160)
                                                                             completed
                                                                                              0.076 secs
Line 309 Time
              kNearestClassifyPQ(trainData,testData,
                                                       180)
                                                            (size
                                                                        180) completed
                                                                                              0.073 secs
                                                                                        in
                                                                      20) completed in 40) completed in
                                                                                           0.069 secs
0.068 secs
Line 311
         Time
              kNearestClassify(trainData,testData, 20)
                                                         (size =
              kNearestClassify(trainData,testData, 40)
Line 312
         Time
                                                         (size =
              kNearestClassify(trainData,testData,
Line 313 Time
                                                                      60) completed in
                                                                                            0.07 secs
                                                     60)
                                                         (size =
              kNearestClassify(trainData,testData,
                                                                      80) completed in
                                                                                            0.07 secs
Line 314 Time
                                                         (size =
              kNearestClassify(trainData,testData, 100)
                                                                                            0.076 secs
Line 315 Time
                                                                      100) completed in
              kNearestClassify(trainData,testData, 120)
Line 316
                                                                      120) completed in
                                                                                            0.076 secs
Line 317 Time kNearestClassify(trainData,testData, 140)
                                                                      140) completed in
                                                                                            0.072 secs
                                                           (size
Line 318 Time kNearestClassify(trainData,testData,
                                                     160)
                                                                      160)
                                                                           completed
                                                                                            0.072 secs
                                                           (size
Line 319 Time kNearestClassify(trainData,testData, 180)
                                                                      180) completed
                                                                                            0.069 secs
```