Deep Reinforcement Learning

Visual DRL Control of Robots

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Introduction





Contents

In this lecture we will look at a few State of the Art (SOTA) methods for controlling agents from pixel information.

- State of the Art
- Example One:
- Example Two:

NOTES

- The methods which will be introduced are dealing with 2D environments
- Non-dynamic environments
- real life problem with UAVs and robots will be much more complicated, specially in unknown generic environments, ad only using information available from the sensors mounted on-board (preferably from the camera)
- Training is a huge challenge, and realistic simulation environments could be a potential solution
 - ⋄ Examples: Gazebo, NVIDIA Isaac Sim, Unity3D(1)





Challenges

When using NN in RL Introduce instability

When using NN in RL Need a lot of data fro training, even so not guaranteed to converge on the optimal value function

There are many methods to solve these issues in the Deep Q-Network, in this lecture we focus on two:

- Experience Replay
- Target Network

Source: https://towardsdatascience.com/deep-q-network-dqn-ii-b6bf911b6b2c

TODO





SOTA





SOTA

This could be done in the previous lecture, going from DRL to pixel DRL $\ensuremath{\mathsf{TODO}}$





Method 1: DQN





Example of deep Q-network **DQN** ((2))

this first section should be revised using Lauras book section 4

- The first model to work with raw visual inputs was the DQN proposed by (2) (more than 15000 citations)
- They use a deep convolutional neural network to approximate the optimal action-value function (Q-function):

$$Q^*(s,a) = \max_{x} \mathbb{E}[r_t + \gamma r_{t+1} + \gamma^2 r_{t+2} + \dots | s_t = t, a_t = a, \pi]$$
 (1)

This is the maximum sum of the rewards r_t discounted by γ at each time-step t, achievable by a behavior policy $\pi = P(a|s)$, after making an observation s and taking an action a (2)

- \diamond A deep convolutional neural network (DCNN) is used to parametrize an approximate value function $Q(s,a;\theta)$.
- \diamond Where θ_i are the parameters (weights) of the Q-network at iteration i.





In this section we analyze the milestone work done by (2)

 deep Q-network (DQN) combines reinforcement learning with deep convolutional neural network (CNN)

A DCNN is used to approximate the optimal action-value function:

$$Q^{*}(s,a) = \max_{\pi} \mathbb{E}\left[r_{t} + \gamma r_{t+1} + \gamma^{2} r_{t+2} + \dots | s_{t} = s, a_{t} = a, \pi\right]$$
(2)

give detailed explanation by reading the methods section

$$Q(s, a, \theta_i) \tag{3}$$

An approximate value function is parametrized using a DCMM, with the weights θ_i of the Q-network at iteration i

- In the paper they use experience replay short introduction
 - \diamond This is done by storing the agents experiences $e_t=(s_t,a_t,_t,s_{t+1})$ at each time-step t in a data set $D_t=\{e_1,\ldots,e_t\}$





DQN

A sketch of this network was illustrated in (2), see below:

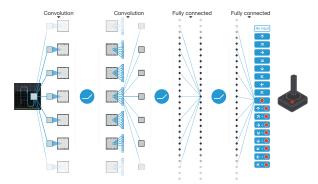


Figure: Schematic illustration of the convolutional neural network: The input to the neural network consists of an [84,84,4] image





DQN

Experience Replay

Introduction (TORRES)





Experience Replay is a replay memory technique used in reinforcement learning where we store the agent's experiences at each time-step in a buffer

$$e_t = (s_t, a_t, s_{t+1}, r_{t+1}) \tag{4}$$

in a data-set

$$D = e_1, \dots, e_N \tag{5}$$

- \circ During learning, the Q-learning updates are applied on samples (or minibatches) of experience (s, a, r, s') U(D), drawn uniformly at random from the pool of stored samples.
- This is different from the naive Q-learning algorithm which learns from the single most recent experience
- opractically we use Python's built-in collections library deque





DQN

Experience Replay

 Code example of Experience replay buffer from DWN Jordi

0

```
Experience = collections.namedtuple(Experience,
           field_names=[state, action, reward,
           done, new statel)
class ExperienceReplay:
 def __init__(self, capacity):
     self.buffer = collections.deque(maxlen=capacity)
 def len (self):
      return len(self.buffer)
 def append(self, experience):
     self.buffer.append(experience)
 def sample(self, batch_size):
     indices = np.random.choice(len(self.buffer),
       batch size.
                replace=False)
     states, actions, rewards, dones, next_states =
             zip([self.buffer[idx] for idx in indices
       1)
     return np.array(states), np.array(actions),
             np.array(rewards,dtype=np.float32),
             np.array(dones, dtype=np.uint8),
             np.arrav(next states)
```





- Remember that in Q-Learning, we update a guess with a guess, and this can potentially lead
 to harmful correlations. The Bellman equation provides us with the value of Q(s, a) via Q(s',
 a'). However, both the states s and s' have only one step between them. This makes them
 very similar, and it's very hard for a Neural Network to distinguish between them.
- To make training more stable, there is a trick, called target network, by which we keep a copy of our neural network and use it for the Q(s', a') value in the Bellman equation.
- That is, the predicted Q values of this second Q-network called the target network, are used to backpropagate through and train the main Q-network. It is important to highlight that the target network's parameters are not trained, but they are periodically synchronized with the parameters of the main Q-network. The idea is that using the target network's Q values to train the main Q-network will improve the stability of the training.

rewrite above to be more condense and also fit with the slides from MNIH paper i.e. θ_i^-





The Q-learning update at iteration i uses the following loss function:

$$L_i(\theta_i) = \mathbb{E}_{(s,a,r,s') \cup (D)} \left[\left(r + \gamma \max_{a'} Q(s',a';\theta_i^-) - Q(s,a;\theta_i) \right)^2 \right]$$
 (6)

where:

- \diamond γ the discount factor determining the agent's horizon
- \diamond θ_i the parameters of the Q-network at iteration i
- \diamond θ_i^- the network parameters used to compute the target at iteration i, only updated with the Q-network parameters (θ_i) every C steps and are held fixed between individual updates finish from methods section





Example of **DQN** ((2))

- ♦ In the paper the DQN agent is evaluated in a Atari 2600 environment
 - $\diamond~$ input is (210 $\times~160$ color video at $60~{\rm Hz})$

Finish





More Details on Minh's DQN: Target Network

 $Finish\ this\ *https://towardsdatascience.com/deep-q-network-dqn-ii-b6bf911b6b2c\\ Finish\ this\ *https://torres.ai/deep-reinforcement-learning-explained-series/$





NOTES

(2) learn to play games from raw pixel https://www.cs.ubc.ca/~van/papers/2016-T0G-deepRL/index.html https://www.bloomberg.com/features/2015-preschool-for-robots/ https://www.youtube.com/watch?v=oPGVsoBonLM https://www.davidsilver.uk/teaching/check this one out http://karpathy.github.io/2016/05/31/r1/





Example in Python





Introduction

- $\diamond~$ An example of DQN using OpenAI Gym and Pytorch, based on ((PyTorch))
- Example is based on work done by (2)
- In the example the CartPole-v0 task from OpenAI Gym is used to train a DQN policy from raw visual inputs



Figure: CartPole-v0

Description	Dimension	Value	Explanation
Action Space	Discrete(2)	[0, 1]	[push left, push right]
Observation Shape	(4,)	[0, 1, 2, 3]	[Cart Position, Cart Velocity, Pole
			Angle, Pole Angular Velocity]
Observation High		[4.8, inf, 0.42, inf]	
Observation Low		[-4.8-inf-0.42-	
		[inf]	

ii →



1https://www.gymlibrary.ml/environments/classic_control/cart_pole/

Rewards

 \diamond Since the goal is to keep the pole upright for as long as possible, a reward of +14 for every step taken, including the termination step, is allotted. The threshold for rewards is 475 for v1.

Starting State

All observations are assigned a uniformly random value in (-0.05, 0.05)

Episode Termination The episode terminates if any one of the following occurs:

- 1. Pole Angle is greater than $\pm 12^{\circ}$
- 2. Cart Position is greater than ± 2.4 (center of the cart reaches the edge of the display)
- 3. Episode length is greater than 500(200 for v0)

Arguments

gym.make('CartPole-v1')





- Instead of a tuple of systems states we use a frame from the scene
- The state is presented as the difference between current and previous frame
 - Thus, the agent will take the velocity of the pole into account from a single image.



Figure: CartPole-v0





Environment

```
1 # Import packages
2 import gym
3 import math
4 import random
5 import numpy as np
6 import matplotlib
7 import matplotlib.pyplot as plt
8 from collections import namedtuple, deque
9 from itertools import count
10 from PIL import Image
11 import torch
12 import torch.nn as nn
13 import torch.optim as optim
import torch.nn.functional as F
  import torchvision.transforms as T
  # load the 'CartPole-v0' environment from GYM
  env = gym.make('CartPole-v0').unwrapped
20 # set up matplotlib
  is_ipython = 'inline' in matplotlib.get_backend()
  if is_ipython:
       from IPython import display
  # interactive mode will be on
26 # figures will automatically be shown
  plt.ion()
29 # if gpu is to be used
30 device = torch.device("cuda" if torch.cuda.is_available() else "cpu")
```





Deep Q-network (DQN) Replay Memory

- Store transition
- Sample randomly
- As mentioned earlier it: stabilizes and improves the DQN training procedure
- TWO CLASSES

Transition Maps (state, action) pairs to their (next_state, reward) result.

ReplayMemory cyclic buffer of bounded size that holds the transitions observed recently. It also implements a .sample() method for selecting a random batch of transitions for training.









Deterministic Version of the DQN Algortihm

Simplified to a deterministic case

$$R_{t_0} = \sum_{t=t_0}^{\infty} \gamma^{t-t_0} r_t \tag{7}$$

 \diamond Where R_{t_0} is the return and $\gamma=[0,1]$ is the discount factor (constant) prioritizing more recent rewards.

Main Idea

- \diamond Having a function $Q^*State \times Action \to \mathbb{R}$ that given an action in a given state could tell us what our return would be.
- Then a policy can be constructed to maximize our rewards

$$\pi^*(s) = \arg\max_{a} Q * (s, a) \tag{8}$$

Issue Q* is unknown as it would require knowledge of all the states in the world

Solution Train NNs (universal function approximators) to resemble Q^*





Deterministic Version of the DQN Algortihm

For our training update rule, we'll use a fact that every ${\cal Q}$ function for some policy obeys the Bellman equation:

$$Q^{\pi}(s, a) = r + \gamma Q^{\pi}(s', \pi(s')) \tag{7}$$

The difference between the two sides of the equality is known as the temporal difference (TD) error, δ :

$$\delta = Q(s, a) - (r + \gamma \max_{a} Q(s', s')) \tag{8}$$

Next we wish to minimize the error δ , using the **Huber** loss. **Calculate** over a **batch** of **transitions**, B, sampled from the replay memory:

$$\mathcal{L} = \frac{1}{|B|} \sum_{s,a,s',r \in B} \mathcal{L}(\delta) \tag{9}$$

Where the piecewise loss function is defined as:

$$\mathcal{L}(\delta) = \begin{cases} \frac{1}{2}\delta^2 & \text{for } |\delta| \le 1\\ |\delta| - \frac{1}{2} & \text{otherwise} \end{cases}$$
 (10)





Deterministic Version of the DQN Algortihm

Where the piecewise loss function is defined as:

$$\mathcal{L}(\delta) = \begin{cases} \frac{1}{2}\delta^2 & \text{for } |\delta| \le 1\\ |\delta| - \frac{1}{2} & \text{otherwise} \end{cases}$$
 (7)

For small values of $\delta,$ the function is ${\bf quadratic}$ and ${\bf linear} {\bf for\ large}.$





```
class DQN(nn.Module):
    def __init__(self, h, w, outputs):
        super(DQN, self).__init__()
        self.conv1 = nn.Conv2d(3, 16, kernel size=5, stride=2)
        self.bn1 = nn.Bat.chNorm2d(16)
        self.conv2 = nn.Conv2d(16, 32, kernel size=5, stride=2)
        self.bn2 = nn.BatchNorm2d(32)
        self.conv3 = nn.Conv2d(32, 32, kernel_size=5, stride=2)
        self.bn3 = nn.BatchNorm2d(32)
        # Number of Linear input connections depends on output of conv2d layers
        # and therefore the input image size, so compute it.
        def conv2d_size_out(size, kernel_size = 5, stride = 2):
            return (size - (kernel size - 1) - 1) // stride + 1
        convw = conv2d_size_out(conv2d_size_out(conv2d_size_out(w)))
        convh = conv2d size out(conv2d size out(conv2d size out(h)))
        linear input size = convw * convh * 32
        self.head = nn.Linear(linear input size, outputs)
    # Called with either one element to determine next action, or a batch
    # during optimization. Returns tensor([[left0exp.right0exp]...]).
    def forward(self, x):
        x = x.to(device)
        x = F.relu(self.bn1(self.conv1(x)))
        x = F.relu(self.bn2(self.conv2(x)))
        x = F.relu(self.bn3(self.conv3(x)))
        return self.head(x.view(x.size(0), -1))
```

- Use a CNN as the model structure
- Takes in the difference between the current and previous screen patches (s)
- Outputs

$$\diamond \ \ Q(s, \mathsf{left})$$
 $\diamond \ \ Q(s, \mathsf{right})$

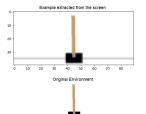




Utilities - Input Extraction

```
resize = T.Compose([T.ToPILImage(),
                    T.Resize(40, interpolation=Image, CUBIC).
                    T.ToTensor()1)
def get_cart_location(screen_width):
    world_width = env.x_threshold * 2
    scale = screen width / world width
    return int(env.state[0] * scale + screen_width / 2.0) # MIDDLE OF CART
def get_screen():
    # Returned screen requested by gym is 400x600x3, but is sometimes larger
    # such as 800x1200x3. Transpose it into torch order (CHW).
    screen = env.render(mode='rgb_array').transpose((2, 0, 1))
    # Cart is in the lower half, so strip off the top and bottom of the screen
    , screen height, screen width = screen.shape
    screen = screen[:, int(screen_height*0.4):int(screen_height * 0.8)]
    view width = int(screen width * 0.6)
    cart_location = get_cart_location(screen_width)
    if cart_location < view_width // 2:
        slice range = slice(view width)
    elif cart_location > (screen_width - view_width // 2):
        slice range = slice(-view width, None)
        slice range = slice(cart location - view width // 2.
                            cart_location + view_width // 2)
    # Strip off the edges, so that we have a square image centered on a cart
    screen = screen[:. :. slice range]
    # Convert to float, rescale, convert to torch tensor
    # (this doesn't require a copy)
    screen = np.ascontiguousarray(screen, dtype=np.float32) / 255
    screen = torch.from numpv(screen)
    # Resize, and add a batch dimension (BCHW)
    return resize(screen).unsqueeze(0)
env.reset()
plt.figure()
plt.imshow(get_screen().cpu().squeeze(0).permute(1, 2, 0).numpy(),
           interpolation='none')
plt.title('Example extracted screen')
```

- Extracting and processing rendered images from the environment.
- Torchvision makes it easy to compose image transforms.
- Example:







Training - 1

- Set up hyper-parameters
- Set-up the size of the network based on the screen size and action space
- Initialize the optimizer
- Set the size of the deque for the replay memory
- finish explaining

optim.RMSprop(policy_net.parameters())https://pytorch.org/docs/stable/generated/torch.optim.RMSprop.html

```
1 # Hyperparameters
  BATCH SIZE = 128
  GAMMA = 0.999
  EPS START = 0.9
  EPS_END = 0.05
  EPS_DECAY = 200
  TARGET_UPDATE = 10
  # Get screen size so that we can initialize layers correctly based on shape
  # returned from AI gym. Typical dimensions at this point are close to 3x40x90
  # which is the result of a clamped and down-scaled render buffer in get_screen()
   init_screen = get_screen()
  _, _, screen_height, screen_width = init_screen.shape
  # Get number of actions from gvm action space
  n_actions = env.action_space.n
  # setup network size (from screen size and input size)
   policy_net = DQN(screen_height, screen_width, n_actions).to(device)
  target_net = DQN(screen_height, screen_width, n_actions).to(device)
  # Load models parameter dictionary using a deserialized state_dict.
  target net.load state dict(policy net.state dict())
  # evaluate target net
23 target_net.eval()
24 # Initialize optimizer
  optimizer = optim.RMSprop(policy_net.parameters())
  memory = ReplayMemory(10000) # size of the deque
  steps_done = 0
```





Training - 1

Example of policy_net.state_dict()

```
# Print model's state_dict
print("Model's state_dict:")
for param_tensor in policy_net.state_dict():
print(param_tensor, "\t", policy_net.state_dict()[param_tensor].size())
```

Will print the model parameters

```
1 conv1.weight
                    torch.Size([16, 3, 5, 5])
                    torch.Size([16])
  conv1.bias
  bn1.weight
                    torch.Size([16])
  bn1.bias
                    torch.Size([16])
  bn1.running_mean
                            torch.Size([16])
  bn1.running var
                            torch.Size([16])
  bn1.num_batches_tracked
                                    torch.Size([])
  conv2.weight
                    torch.Size([32, 16, 5, 5])
o conv2.bias
                    torch.Size([32])
                    torch.Size([32])
  bn2.weight
11 bn2.bias
                    torch.Size([32])
  bn2.running_mean
                            torch.Size([32])
  bn2.running_var
                            torch.Size([32])
  bn2.num batches tracked
                                    torch.Size(□)
  conv3.weight
                    torch.Size([32, 32, 5, 5])
16 conv3.bias
                    torch.Size([32])
  bn3.weight
                    torch.Size([32])
  bn3.bias
                    torch.Size([32])
19 bn3.running mean
                            torch.Size([32])
  bn3.running_var
                            torch.Size([32])
  bn3.num_batches_tracked
                                    torch.Size([])
  head.weight
                    torch.Size([2, 512])
                    torch.Size([2])
  head, bias
```





Training - Select Action

- select_action select an action accordingly to an epsilon greedy policy.
- EPS_START The probability of choosing a random action will start at EPS_START and will decay exponentially towards EPS_END.
- ♦ EPS_DECAY controls the rate of the decay.

```
def select_action(state):
    global steps_done
    sample = random.random()
    eps_threshold = EFS_END + (EPS_START - EPS_END) * math.exp(-1. * steps_done / EPS_DECAY)
    steps_done += 1
    if sample > eps_threshold:
        with torch.no.grad():
        # t.max(1) will return largest column value of each row.
        # second column on max result is index of where max element was
        # found, so we pick action with the larger expected reward.
        return policy_net(state).max(1)[i].view(1, 1)
    else:
        return torch.tensor([[random.randrange(n_actions)]], device=device, dtype=torch.long)
    return torch.tensor([[random.randrange(n_actions)]], device=device, dtype=torch.long)
```

Disabling gradient calculation is useful for inference, when you are sure that you will not call Tensor.backward(). It will reduce memory consumption for computations that would otherwise have requires_grad=True. (Pytorch) would be nice to plot eps_threshold during training to show how it changes





Training - Plot Durations

 plot_durations a helper for plotting the durations of episodes, along with an average over the last 100 episodes (the measure used in the official evaluations). The plot will be underneath the cell containing the main training loop, and will update after every episode.

```
episode_durations = []
def plot durations():
    plt.figure(2)
    plt.clf()
    durations_t = torch.tensor(episode_durations, dtype=torch.float)
    plt.title('Training...')
    plt.xlabel('Episode')
    plt.ylabel('Duration')
    plt.plot(durations t.numpv())
    # Take 100 episode averages and plot them too
    if len(durations t) >= 100:
        means = durations_t.unfold(0, 100, 1).mean(1).view(-1)
        means = torch.cat((torch.zeros(99), means))
        plt.plot(means.numpy())
    plt.pause(0.001) # pause a bit so that plots are updated
    if is ipvthon:
        display.clear_output(wait=True)
        display.display(plt.gcf())
```





Training - Training loop - 1

- The optimize_model function performs a single step of the optimization.
 - It first samples a batch, concatenates all the tensors into a single one, computes:

optimize_model is continued on next page...





Training - Training loop - 2

Next the Q function is computed using the policy_net() method and then the values are gathered along an axis with dimension specified by action_batch using torch.gather (5).

```
# Compute Q(s_t, a) - the model computes Q(s_t), then we select the
```

columns of actions taken. These are the actions which would've been taken

for each batch state according to policy_net

state_action_values = policy_net(state_batch).gather(1, action_batch)

Where policy_net() is our policy network, defined earlier as:

policy_net = DQN(screen_height, screen_width, n_actions).to(device)





BELOW IS TEMP, it is text directly form the webpage

- $Q(s_t, a_t)$ and $V(s_{t+1}) = \max_a Q(s_{t+1}, a)$, and combines them into the loss.
- \diamond By definition we set V(s) = 0 is s is a terminal state.
- \diamond We also use a target network to compute $V(s_{t+1})$ for added stability.
- The target network has its weights kept frozen most of the time, but is updated with the
 policy network's weights every so often. This is usually a set number of steps but we shall
 use episodes for simplicity.





Training - Training loop - 3

From earlier we found the value function Write the value function

This is the maximum sum of the rewards r_t discounted by γ at each time-step t, achievable by a behavior policy $\pi = P(a|s)$, after making an observation s and taking an action a (2)

- explain Huber loss in code shortly as I have the theory earlier. (7)
- where does param come from?, explain this

```
# Compute V(s_{t+1}) for all next states.
```

- # Expected values of actions for non_final_next_states are computed based
- # on the "older" target_net; selecting their best reward with max(1)[0].
- # This is merged based on the mask, such that we'll have either the expected
- # state value or 0 in case the state was final.
- next_state_values = torch.zeros(BATCH_SIZE, device=device)
- next_state_values[non_final_mask] = target_net(non_final_next_states).max(1)[0].detach()

We use the function torch.max(input, dim, keepdim=False, *, out=None) -> Tensor (6) the maximum value of all elements in the input tensor are found.

this explanation is not final, must wok more on the explanation





Training - Main Training Loop - 1

Reset the environment and initialize the state Tensor

```
in num_episodes = 1000
g for i_episode in range(num_episodes):
# Initialize the environment and state
env.reset()
last_screen = get_screen()
```

Sample an action, execute it, observe the next screen and the reward (always 1) and optimize our model once

```
current_screen = get_screen()
state = current_screen - last_screen

for t in count():

* Select and perform an action
action = select_action(state)
-, reward, done, = env.step(action.item())
reward = torch.tensor([reward], device=device)

# Observe new state
last_screen = current_screen
current_screen
current_screen
ent_state = current_screen
next_state = current_screen - last_screen
```

Continued on next page





Training - Main Training Loop - 2

When the episode ends (our model fails), we restart the loop.

```
# Store the transition in memory
memory.push(state, action, next_state, reward)

# Move to the next state
state = next_state

# Perform one step of the optimization (on the policy network)
optimize_model()
if done:
episode_durations.append(t + 1)
plot_durations()
break
# Update the target network, copying all weights and biases in DQN
if i_episode % TARGET_UPDATE == 0:
target_net.load_state_dict(policy_net.state_dict())
```

Render the environment

```
print('Complete')
env.render()
```

g env.close()

4 plt.ioff()

5 plt.show()





Actions are chosen either randomly or based on a policy, getting the next step sample from the gym environment. We record the results in the replay memory and also run optimization step on every iteration. Optimization picks a random batch from the replay memory to do training of the new policy. "Older" target_net is also used in optimization to compute the expected Q values; it is updated occasionally to keep it current.

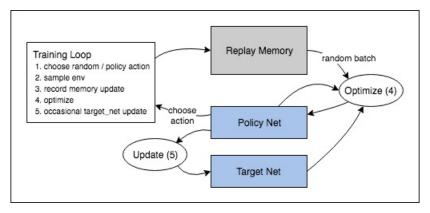


Figure: Todo





Pytorch Extras

Loading and Saving a Model

When it comes to saving and loading models, there are three core functions to be familiar with:

torch.save Saves a serialized object to disk. This function uses Python's pickle utility for serialization. Models, tensors, and dictionaries of all kinds of objects can be saved using this function.

torch.load Uses pickle's unpickling facilities to deserialize pickled object files to memory.

This function also facilitates the device to load the data into (see Saving & Loading Model Across Devices).

What is a state_dict?

In PyTorch, the learnable parameters (i.e. weights and biases) of an torch.nn.Module model are contained in the model's parameters (accessed with model.parameters()). A state_dict is simply a Python dictionary object that maps each layer to its parameter tensor. Note that only layers with learnable parameters (convolutional layers, linear layers, etc.) and registered buffers (batchnorm's running_mean) have entries in the model's state_dict. Optimizer objects (torch.optim) also have a state_dict, which contains information about the optimizer's state, as well as the hyperparameters used.

Because state_dict objects are Python dictionaries, they can be easily saved, updated, altered, and restored, adding a great deal of modularity to PyTorch models and optimizers.





Pytorch Extras

Loading and Saving a Model: Example

To save the model we then use the following code:

If we wish to load a model and use it to perform actions witouth leraning we can use the following modified main loop





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