

tities that bear that constraint.³ Such constraints might represent broad generalizations that apply to many entities or relatively narrow, idiosyncratic properties that apply to only a few. By isolating any given constraint on one type (as opposed to repeating it in multiple places), we build grammars that are easier to update and adapt in light of new data that require refinements to constraints. Having a single locus for each constraint also makes the types a very useful target for documentation (Hashimoto et al. 2008) and grammar exploration (Letcher 2018).

2.2 Practical considerations

HPSG allows practical implementations because it uses a well-defined formalism. Furthermore, because HPSG is defined to be bi-directional, an implemented grammar can be used for both parsing and generation. In this section, we discuss how HPSG allows tractable algorithms, which enables linguists to empirically test hypotheses and which also enables HPSG grammars to be used in a range of applications, as we will see in Sections 4.1 and 4.2, respectively.

2.2.1 Computational complexity

One way to measure how easy or difficult it is to use a syntactic theory in practical computational applications is to consider the *computational complexity*⁴ of parsing and generation algorithms (Gazdar & Pullum 1985). Computational complexity includes both how much memory and how much computational time a parsing algorithm needs to process a particular sentence.⁵ Considering parsing time, longer sentences will take longer to process, but the more complex the algorithm is, the more quickly the amount of processing time increases. Parsing complexity can thus be measured by considering sentences containing n tokens, and then increasing n to see how the amount of time changes. This can be done

³Originally this only applied to lexical entries in Flickinger’s work. Now it also applies to phrase structure rules, lexical rules, and types below the level of the sign which are used in the definition of all of these. See Flickinger, Pollard & Wasow (2020), Chapter 2 of this volume for further discussion.

⁴Computational complexity is related to the complexity hierarchy of language classes in formal language theory. More complex language classes tend to require parsing and generation algorithms with higher computational complexity, but this relationship is not exact. For example, the class of strictly local languages is a proper subset of the class of regular languages, but both classes can be parsed in linear time. Müller (2019: Chapter 17) discusses HPSG from the point of view of formal language theory.

⁵In this section, we only consider parsing algorithms, but a similar analysis can be done for generation (e.g., Carroll et al. 1999).

based on the average amount of time for sentences in a corpus (average-case complexity), or based on the longest amount of time for all theoretically possible sentences (worst-case complexity).

At first sight, analyzing computational complexity would seem to paint HPSG in a bad light, because the formalism allows us to write grammars which can be arbitrarily complex; in technical terminology, the formalism is *Turing-complete* (Johnson 1988: Section 3.4). However, as discussed in the previous section, there is a clear distinction between theory and formalism. Although the HPSG formalism rules out the possibility of efficient algorithms that could cope with any possible feature-structure grammar, a particular theory (or a particular grammar) might well allow efficient algorithms.

Keeping processing complexity manageable is handled differently in other computationally-friendly frameworks, such as Combinatory Categorical Grammar (CCG),⁶ or Tree Adjoining Grammar (TAG; Joshi 1987; Schabes et al. 1988). The formalisms of CCG and TAG inherently limit computational complexity: for both of them, as the sentence length n increases, worst-case parsing time is proportional to n^6 (Kasami et al. 1989). This is a deliberate feature of these formalisms, which aim to be just expressive enough to capture human language, and not any more expressive. Building this kind of constraint into the formalism itself highlights a different school of thought from HPSG. Indeed, Müller (2015: 64) explicitly argues in favor of developing linguistic analyses first, and improving processing efficiency second. As discussed above in Section 2.1, separating the formalism from the theory means that the formalism is stable, even as the theory develops.

It would be beyond the scope of this chapter to give a full review of parsing algorithms, but it is instructive to give an example. For grammars that have a context-free backbone (every analysis can be expressed as a phrase-structure tree plus constraints between mother and daughter nodes), it is possible to adapt the standard *parsing* algorithm (Kay 1973) for context-free grammars. The basic idea is to parse “bottom-up”, starting by finding analyses for each token in the input, and then finding analyses for increasingly longer sequences of tokens (called *spans*), until the parser reaches the entire sentence.

For a context-free grammar, there is a finite number of nonterminal symbols, and each span is analyzed as a subset of the nonterminals. For a feature-structure grammar, each span must be analyzed as a set of feature structures,⁷ which makes

⁶For an introduction, see Steedman & Baldridge (2011). For a comparison with HPSG, see Kubota (2020), Chapter 30 of this volume.

⁷Much theoretical work in HPSG, including Pollard & Sag (1994), distinguishes between fully

the algorithm more complicated. In principle, a grammar may allow an infinite number of possible feature structures, for example if it includes recursive unary rules. However, if we can bound the number of possible feature structures as C , then the worst-case parsing time is proportional to $C^2 n^{\rho+1}$, where ρ is the maximum number of children in a phrase-structure rule (Carroll 1993: Section 3.2.3). This is less complex than for an arbitrary grammar (which means that this class of grammars is *not* Turing-complete), but C may nonetheless be very large.

But is the number of possible feature structures bounded in implemented HPSG grammars? For DELPH-IN grammars (see Section 3.2), the answer is yes. Assuming a system without relational constraints, the potential for unboundedness in the number of feature structures stems from the potential for recursion in feature paths: a list is a simple example,⁸ and as another example, the elements on a COMPS list also include the feature COMPS.

However, in practice, such recursive paths do not need to be considered by the parsing algorithm. For example, selecting heads might place constraints on their complements' subjects (e.g., in raising/control constructions), but no further than that (e.g., a complement's complement's subject). Similarly, while lists that are potentially unbounded in length are used in semantic representations, these are never involved in constraining grammaticality. The only lists that constrain grammaticality are valence lists, but in practical grammars these are never greater than length four or five.⁹

When parsing real corpora, it turns out that the average-case complexity is much better than might be expected (Carroll 1994). On the one hand, grammatical constructions do not generally combine in the worst-case way, and on the other, when a grammar writer is confronted with multiple possible analyses for a particular construction, they may opt for the analysis that is more efficient for

resolved feature structures and possibly underspecified feature structure descriptions. Much computational work, by contrast, operates entirely with partially specified feature structures, at both the level of grammar and the level of analyses licensed by the grammar. In keeping with this tradition, we use the term “feature structure” to refer to both fully specified and partially specified objects, and have no need for the term “feature structure description”.

⁸More precisely, in the standard implementation of a list as a feature structure, the type *list* has two subtypes *null* and *non-empty-list*, and *non-empty-list* has the features *FIRST* and *REST*, where the value of *REST* is of type *list*. The value of *REST* can itself have the feature *REST*.

⁹In part, this is because DELPH-IN does not adopt proposals like the DEPS list of Bouma, Malouf & Sag (2001). Furthermore, in many DELPH-IN grammars, including the English Resource Grammar (ERG), the SLASH list cannot have more than one element. If an unbounded SLASH list is required (such as to model cross-serial dependencies), the number of possible structures might still be bounded as a function of sentence length; this would allow us to bound worst-case parsing complexity, but it will be a higher bound.

a particular parsing algorithm (Flickinger 2000). To measure the efficiency of grammars and parsing algorithms in practice, it can be helpful to use a test suite composed of a representative sample of sentences (Oepen & Flickinger 1998).

2.2.2 Parse ranking

Various kinds of ambiguity are well-known in linguistics (such as modifier attachment and part-of-speech assignment), to the point that examples like (1) are stock in trade:

- (1) a. I saw the kid with the telescope.
- b. Visiting relatives can be annoying.

A well-constructed grammar should be expected to return multiple parses for each ambiguous sentence.

However, people are naturally very good at resolving ambiguity, which means most ambiguity is not apparent, even to linguists. It is only with the development of large-scale grammars that the sheer scale of ambiguity has become clear. For example, (2) might seem unambiguous, but there is a second reading, where *my favorite* is the topicalized object of *speak*, which would mean that town criers generally speak the speaker’s favorite thing (perhaps a language) clearly. There is also a third, even more implausible reading, where *my favorite town* is the topicalized object. Such implausible readings don’t easily come to mind, and in fact, the 2018 version of the English Resource Grammar (ERG; Flickinger 2000; Flickinger 2011) gives a total of 21 readings for this sentence. With increasingly long sentences, such ambiguities stack up very quickly. For (3), the first line of a newspaper article,¹⁰ the ERG gives 35,094 readings.

- (2) My favorite town criers speak clearly.
- (3) A small piece of bone found in a cave in Siberia has been identified as the remnant of a child whose mother was a Neanderthal and father was a Denisovan, a mysterious human ancestor that lived in the region.

While exploring ambiguity can be interesting for a linguist, typical practical applications require just one parse per input sentence and specifically the parse that best reflects the intended meaning (or only the top few parses, in case the one put forward as “best” is wrong). Thus, what is required is a *ranking* of the

¹⁰<https://www.theguardian.com/science/2018/aug/22/offspring-of-neanderthal-and-denisovan-identified-for-first-time>, accessed 16 August 2019.

parses, so that the application can only use the most highly-ranked parse, or the top N parses.

Parse ranking is not usually determined by the grammar itself, because of the difficulty of manually writing disambiguation rules.¹¹ Typically, a statistical system is used (Toutanova et al. 2002; 2005). First, a corpus is *treebanked*: for each sentence in the corpus, an annotator (often the grammar writer) chooses the best parse, out of all parses produced by the grammar. The set of all parses for a sentence is often referred to as the *parse forest*, and the selected best parse is often referred to as the *gold standard* or *gold parse*. Given the gold parses for the whole corpus, a statistical system is trained to predict the gold parse from a parse forest, based on many features¹² of the parse. From the example in (2), a number of different features all influence the preferred interpretation: the likelihood of a construction (such as topicalization), the likelihood of a valence frame (such as transitive *speak*), the likelihood of a collocation (such as *town crier*), the likelihood of a semantic relation (such as speaking a town), and so on.

Because of the large number of possible parses, it can be helpful to *prune* the search space: rather than ranking the full set of parses, ranking is restricted to a smaller set of parses. Carefully choosing how to restrict the parser’s attention can drastically reduce processing time without hurting parsing accuracy, as long as the algorithm for selecting the subset includes the correct parse sufficiently frequently. One method, called *supertagging*,¹³ exploits the fact that HPSG is a lexicalized theory: choosing the correct lexical entry for each token brings in rich information that can be exploited to rule out many possible parses. Thus if the correct lexical entry can be chosen prior to parsing (e.g., on the basis of the preceding and following words), the range of possible analyses the parser must consider is drastically reduced. Although there is a chance that the supertagger will predict the wrong lexical entry, using a supertagger can often improve parsing accuracy by ruling out parses that the parse-ranking model might incorrectly rank too high. Supertagging was first applied to HPSG by Matsuzaki et al. (2007),

¹¹In fact, in earlier work, this task was undertaken by hand. One of the authors (Bender) had the job of maintaining rule weights in addition to developing the Jacy grammar (Siegel, Bender & Bond 2016) at YY Technologies in 2001–2002. No systematic methodology for determining appropriate weights was available and the system was both extremely brittle (sensitive to any changes in the grammar) and next to impossible to maintain.

¹²In the machine-learning sense of *feature*, not the feature-structure sense.

¹³The term *supertagging*, coined by Bangalore & Joshi (1999), refers to *part-of-speech tagging*, which predicts a part of speech for each input token, from a relatively small set of part-of-speech tags. Supertagging is “super” in that it predicts detailed lexical entries, rather than simple parts of speech.

building on previous work for TAG (Bangalore & Joshi 1999) and CCG (Clark & Curran 2004). To allow multi-word expressions (such as *by and large*), where the grammar assigns a single lexical entry to multiple tokens, Dridan (2013) proposes an extension of supertagging, called *ubertagging*, which jointly predicts both a segmentation of the input and supertags for those segments. Dridan manages to increase parsing speed by a factor of four, while also improving parsing accuracy.

Finally, in order to train these statistical systems, we need to first annotate a treebank. When there are many parses for a sentence, it can be time-consuming to select the best one. To efficiently use an annotator's time, it can be helpful to use *discriminants*: properties which hold for some parses but not for others (Carter 1997). For example, discriminants might include whether to analyze an ambiguous token as a noun or a verb, or where to attach a prepositional phrase. This approach to treebanking also means that annotations can be re-used when the grammar is updated (Open et al. 2004; Flickinger et al. 2017). For more on treebanking, see Section 4.1.4.

2.2.3 Semantic dependencies

In practical applications of HPSG grammars, the full phrase-structure trees and the full feature structures are often unwieldy, containing far more information than is necessary for the task at hand. It is therefore often desirable to extract a concise semantic representation.

In computational linguistics, a popular approach to semantics is to represent the meaning of a sentence as a *dependency graph*, as this enables the use of graph-based algorithms.¹⁴ Several types of dependency graph have been proposed based on Minimal Recursion Semantics (MRS; Copestake et al. 2005), with varying levels of simplification. Open & Lønning (2006) observe that if every predicate has a unique *intrinsic argument*, an MRS can be converted to a variable-free semantic representation by replacing each reference to a variable with a reference to the corresponding predicate. They present Elementary Dependency Structures (EDS): semantic graphs which maintain predicate-argument structure but discard some scope information. (For many applications, scope information is less important than predicate-argument structure.) Copestake (2009) builds on this idea to create a more expressive graph-based representation called Dependency Minimal Recursion Semantics (DMRS), which is fully interconvertible

¹⁴In this section, we are concerned with *semantic* dependencies. For *syntactic* dependencies, see Hudson (2020), Chapter 32 of this volume. Some practical applications of HPSG use syntactic dependencies (including many applications of the Alpino grammar, discussed in Section 3.3.1).

with MRS.¹⁵ This expressivity is achieved by adding annotations on the edges to indicate scope information. Finally, DELPH-IN MRS Dependencies (DM; [Ivanova et al. 2012](#)) express predicate-argument structure purely in terms of the surface tokens, without introducing any abstract predicates.

For example, the English Resource Grammar (ERG) produces the MRS representation in (4) for the sentence *The cherry tree blossomed*. For simplicity, we have omitted some details, including features such as number and tense, individual constraints (ICONS), and the use of difference lists. By convention, DELPH-IN predicates beginning with an underscore correspond to a lexical item, and have a three-part format, consisting of a lemma, a part-of-speech tag, and (optionally) a sense. Predicates without an initial underscore are abstract predicates. The *qeq* constraints (equality modulo quantifiers) are scopal relationships, where quantifiers may possibly intervene (for details, see [Copestake et al. 2005](#) or [Koenig & Richter 2020](#), Chapter 22 of this volume).

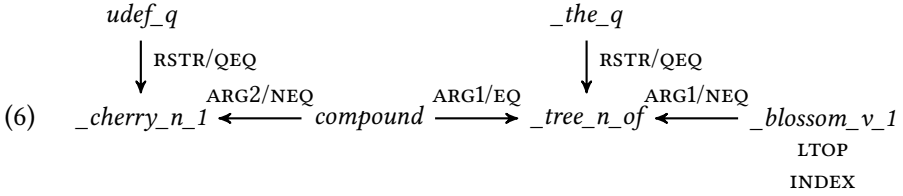
$$(4) \left[\begin{array}{l} \text{mrs} \\ \text{HOOK} \left[\begin{array}{l} \text{hook} \\ \text{LTOP} \boxed{1} \\ \text{INDEX} \boxed{2} \end{array} \right] \\ \text{RELS} \left\langle \begin{array}{l} \left[\begin{array}{l} \text{relation} \\ \text{PRED} \text{ _the_q} \\ \text{LBL} \boxed{3} \\ \text{ARG0} \boxed{4} \\ \text{RSTR} \boxed{5} \\ \text{BODY} \boxed{6} \end{array} \right], \left[\begin{array}{l} \text{relation} \\ \text{PRED} \text{ compound} \\ \text{LBL} \boxed{7} \\ \text{ARG0} \boxed{8} \\ \text{ARG1} \boxed{4} \\ \text{ARG2} \boxed{9} \end{array} \right], \left[\begin{array}{l} \text{relation} \\ \text{PRED} \text{ udef_q} \\ \text{LBL} \boxed{10} \\ \text{ARG0} \boxed{9} \\ \text{RSTR} \boxed{11} \\ \text{BODY} \boxed{12} \end{array} \right], \\ \left[\begin{array}{l} \text{relation} \\ \text{PRED} \text{ _cherry_n_1} \\ \text{LBL} \boxed{13} \\ \text{ARG0} \boxed{9} \end{array} \right], \left[\begin{array}{l} \text{relation} \\ \text{PRED} \text{ _tree_n_of} \\ \text{LBL} \boxed{7} \\ \text{ARG0} \boxed{4} \end{array} \right], \left[\begin{array}{l} \text{relation} \\ \text{PRED} \text{ _blossom_v_1} \\ \text{LBL} \boxed{1} \\ \text{ARG0} \boxed{2} \\ \text{ARG1} \boxed{4} \end{array} \right] \end{array} \right\rangle \\ \text{HCONS} \left\langle \left[\begin{array}{l} \text{qeq} \\ \text{HARG} \boxed{5} \\ \text{LARG} \boxed{7} \end{array} \right], \left[\begin{array}{l} \text{qeq} \\ \text{HARG} \boxed{11} \\ \text{LARG} \boxed{13} \end{array} \right] \right\rangle \end{array} \right]$$

For readability, it can be easier to express an MRS in a more abstract mathematical form, as shown in (5). This is equivalent to the feature structure in (4).

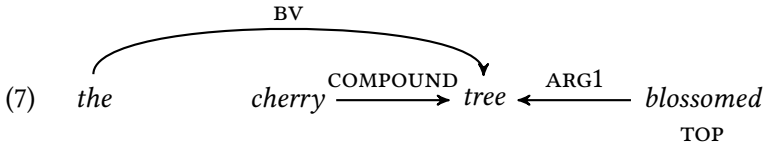
¹⁵More precisely, for DMRS and MRS to be fully interconvertible, every predicate (except for quantifiers) must have an intrinsic argument, and every variable must be the intrinsic argument of exactly one predicate.

$$\begin{aligned}
& \text{INDEX: } e_1 \\
& l_1: _the_q(x_1, h_1, h_2), h_1 \text{ QEQ } l_4 \\
& l_2: _undef_q(x_2, h_3, h_4), h_3 \text{ QEQ } l_3 \\
(5) \quad & l_3: _cherry_n_1(x_2) \\
& l_4: _tree_n_of(x_1), \text{compound}(e_2, x_1, x_2) \\
& \text{LTOP, } l_5: _blossom_v_1(e_1, x_1)
\end{aligned}$$

The corresponding DMRS representation is shown in (6). This captures all of the information in the MRS in (5). Predicates are represented as nodes, while semantic roles and scopal constraints are represented as directed edges, called *dependencies* or *links*. Each dependency has two labels. The first is an argument label, such as ARG1, ARG2, or RSTR (the restriction of a quantifier). The second is a scopal constraint, such as QEQ,¹⁶ EQ (the linked nodes share a label in the MRS, which is generally true for modifiers), or NEQ (the linked nodes don't share a label).



Finally, the corresponding DM representation is shown in (7). This is a simplified version of MRS, where all nodes are tokens in the sentence. Some abstract predicates are dropped (such as *undef_q*), while others are converted to dependencies (such as *compound*). Some scopal information is dropped (such as EQ vs NEQ). The label BV stands for the “bound variable” of a quantifier, equivalent to the RSTR/QEQ of DMRS.



The existence of such dependency graph formalisms, as well as software packages to manipulate such graphs (e.g., Ivanova et al. 2012, Copestake et al. 2016, Hershcovich et al. 2019, or PyDelphin¹⁷), has made it easier to use HPSG grammars in a number of practical tasks, as we will discuss in Section 4.2.

¹⁶ An alternative notation is to write /H instead of /QEQ.

¹⁷ <https://github.com/delph-in/pydelphin/>, accessed 16 August 2019.

3 Development of HPSG resources

In this section we describe various projects that have developed computational resources on the basis of or inspired by HPSG. As we'll discuss in Section 4 below, such resources can be used both in linguistic hypothesis testing as well as in various practical applications. The intended purpose of the resources influences the form that they take. The CoreGram Project (Section 3.1) and Babel (Section 3.3.3) primarily target linguistic hypothesis testing, the Alpino and Enju parsers (Section 3.3.1 and 3.3.2) primarily target practical applications, and the DELPH-IN Consortium (Section 3.2) attempts to balance these two goals.

3.1 CoreGram

The CoreGram¹⁸ Project aims to produce large-scale HPSG grammars, which share a common “core” grammar (Müller 2015). At the time of writing, large grammars have been produced for German (Müller 2007), Danish (Müller & Ørsnes 2013), Persian (Müller & Ghayoomi 2010), Maltese (Müller 2009), and Mandarin (Müller & Lipenkova 2013). Smaller grammars are also available for English, Yiddish, Spanish, French, and Hindi.

All grammars are implemented in the TRALE system (Meurers et al. 2002; Penn 2004), which accommodates a wide range of technical devices proposed in the literature, including phonologically empty elements, relational constraints, implications with complex antecedents, and cyclic feature structures. It also accommodates macros and an expressive morphological component. Melnik (2007) observes that, compared to other platforms like the LKB (see Section 3.2 below), this allows grammar engineers to directly implement a wider range of theoretical proposals.

An important part of CoreGram is the sharing of grammatical constraints across grammars. Some general constraints hold for all grammars, while others hold for a subset of the grammars, and some only hold for a single grammar. Müller (2015) describes this as a “bottom-up approach with cheating” (p. 43): the aim is to analyze each language on its own terms (hence “bottom-up”), but to re-use analyses from existing grammars if possible (hence “with cheating”). The use of a core set of constraints is motivated not just for practical reasons, but also for theoretical ones. By developing multiple grammars in parallel, analyses can be improved by cross-linguistic comparison. The constraints encoded in the core grammar can be seen as a hypothesis about the structure of human language, as

¹⁸<https://hpsg.hu-berlin.de/Projects/CoreGram.html>

we will discuss in Section 4.1.1.

CoreGram grammar development aims to incrementally increase coverage of each language. To measure progress, grammars are evaluated against test suites: collections of sentences each annotated with a grammaticality judgment (Oepen et al. 1997; Müller 2004b). This allows a grammarian to check for unexpected side effects when modifying a grammar and to avoid situations when implementing an analysis of one phenomenon would break the analysis of another phenomenon. This is particularly important when modifying a constraint that is used by several grammars. To help achieve these aims, grammar development is supported by a range of software tools, including the test suite tool [incr tsdb()] (Oepen 2001; see also Section 3.2), and the graphical debugging tool Kahina (Dellert et al. 2010; 2013).

3.2 The DELPH-IN Consortium

The DELPH-IN¹⁹ Consortium was established in 2001 to facilitate the development of large-scale, linguistically motivated HPSG grammars for multiple languages, in tandem with the software required for developing them and deploying them in practical applications. At the time when DELPH-IN was founded, the ERG (Flickinger 2000; Flickinger 2011) had already been under development for 8 years, and the Verbmobil project (Wahlster 2000) had also spurred the development of grammars for German (GG; Müller & Kasper 2000; Crysmann 2003) and Japanese (Jacy; Siegel, Bender & Bond 2016). Project DeepThought (Callmeier, Eisele, Schäfer & Siegel 2004) was exploring methodologies for combining deep and shallow processing in practical applications across multiple languages. This inspired the development of the LinGO Grammar Matrix (Bender, Flickinger & Oepen 2002), which began as a core grammar, consisting of constraints hypothesized to be cross-linguistically useful, abstracted out of the ERG with reference to Jacy and GG. The goal of the Grammar Matrix is to serve as a starting point for the development of new grammars, making it easy to reuse what has been learned in the development of existing grammars. In the years since, it has been extended to include “libraries” of analyses of cross-linguistically variable phenomena (e.g., Drellishak 2009; Bender et al. 2010).

DELPH-IN provides infrastructure (version control repositories, mailing lists, annual meetings) and an emphasis on open-source distribution of resources, both of which support the collaboration of a global network of researchers working on

¹⁹DELPH-IN stands for DEep Linguistic Processing in HPSG INitiative; see <http://www.delph-in.net>, accessed 16 August 2019.

interoperable components. Such components include repositories of linguistic knowledge, that is, both grammars and meta-grammars (including the Matrix and CLIMB, Fokkens 2014); processing engines that apply that knowledge for parsing and generation (discussed further below); software for supporting the development of grammar documentation (e.g., Hashimoto et al. 2008), software for creating treebanks (Oepen et al. 2004; Packard 2015; see also Section 4.1.4 below), parse ranking models trained on these treebanks (Toutanova et al. 2005; see also Section 2.2.2 above), and software for robust processing, i.e. using the knowledge encoded in the grammars to return analyses for sentences even if the grammar deems them ungrammatical (Zhang & Krieger 2011; Buys & Blunsom 2017; Chen et al. 2018).

A key accomplishment of the DELPH-IN Consortium is the standardization of a formalism for the declaration of grammars (Copestake 2002a), a formalism for the semantic representations (Copestake et al. 2005), and file formats for the storage and interchange of grammar outputs (e.g., parse forests, as well as the results of treebanking; Oepen 2001; Oepen et al. 2004). These standards facilitate the development of multiple different parsing and generation engines which can all process the same grammars, including, so far, the LKB (Copestake 2002b), PET (Callmeier 2000), ACE,²⁰ and Agree (Slayden 2012); of multiple software systems for processing bulk grammar output, like [incr tsdb()] (Oepen 2001), art,²¹ and Py-Delphin²²; and of multilingual downstream systems which can be adapted to additional languages by plugging in different grammars. These tools and standards have in turn helped support a thriving community of users who furthermore accumulate and share information about best practices. Melnik (2007: 234) credits this community and the information it shares as a key factor that makes the grammar engineering with the DELPH-IN ecosystem more accessible to HPSG linguists, compared to other platforms like TRALE (see Section 3.1 above).

The DELPH-IN community maintains research interests in both linguistics and practical applications. The focus on linguistics means that DELPH-IN grammarians strive to create grammars which capture linguistic generalizations and model grammaticality. This, in turn, leads to grammars with lower ambiguity than one finds with treebank-trained grammars and, importantly, grammars which produce well-formed strings in generation. The focus on practical applications leads to several kinds of additional research goals. Practical applications require robust processing, which in turn requires methods for handling unknown words (e.g.,

²⁰<http://sweaglesw.org/linguistics/ace/>, accessed 16 August 2019.

²¹<http://sweaglesw.org/linguistics/libtsdb/art.html>, accessed 16 August 2019.

²²<https://github.com/delph-in/pydelphin/>, accessed 16 August 2019.

Adolphs et al. 2008), methods for managing extra-grammatical mark-up in text such as in Wikipedia pages (e.g., Flickinger et al. 2010b), and strategies for processing inputs that are ungrammatical, at least according to the grammar (e.g., Zhang & Krieger 2011; see also Section 4.2.3). Processing large quantities of text motivates performance innovations, such as supertagging or ubertagging (e.g., Matsuzaki et al. 2007; Dridan 2013; see also Section 2.2.2). to speed up processing times. Naturally occurring text can include very long sentences which can run up against processing limits. Supertagging helps here, too, but other strategies include *sentence chunking*, which is the task of breaking a long sentence into smaller ones without loss of meaning (Muszyńska 2016). Working with real-world text (rather than curated test suites designed for linguistic research only) requires the integration of external components such as morphological analyzers (e.g., Marimon 2013) and named entity recognizers (e.g., Waldron et al. 2006; Schäfer et al. 2008). As described in Section 2.2.2, working with real-world applications requires parse ranking (e.g., Toutanova et al. 2005), and similarly ranking of generator outputs (known as *realization ranking*; e.g., Vellidal 2009). Finally, research on embedding broad-coverage grammars in practical applications inspires work towards making sure that the semantic representations can serve as a suitable interface for external components (e.g., Flickinger et al. 2005). These efforts are also valuable from a strictly linguistic point of view, i.e. one not concerned with practical applications. First, the broader the coverage of a grammar, the more linguistic phenomena it can be used to explore. Second, external constraints on the form of semantic representations provide useful guide points in the development of semantic analyses.

3.3 Other HPSG and HPSG-inspired broad-coverage grammars

3.3.1 Alpino

Alpino²³ is a broad-coverage grammar of Dutch (Bouma, van Noord & Malouf 2001; van Noord & Malouf 2005; van Noord 2006). The main motivation is practical: to provide coverage and accuracy comparable to state-of-the-art parsers for English. Nonetheless, it also includes theoretically interesting analyses, such as for cross-serial dependencies (Bouma & van Noord 1998). In addition to using hand-written rules, lexical information (such as subcategorization frames) has also been extracted from two existing lexicons, Celex (Baayen et al. 1995) and Parole (Kruyt & Dutilh 1997).

²³<http://www.let.rug.nl/vannoord/alp/Alpino/>, accessed 16 August 2019.

Alpino produces syntactic dependency graphs, following the annotation format of the Spoken Dutch Corpus (Oostdijk 2000). These dependencies are constructed directly in the feature-structure formalism, exploiting the fact that a feature structure can be formalized as a directed acyclic graph. Each lexical entry encodes a partial dependency graph, and these graphs are composed through phrase structure rules to give a dependency graph for a whole sentence.

Although these dependencies differ from the semantic dependencies discussed in Section 2.2.3, a common motivation is to make the representations easier to use in practical applications. To harmonize with other computational work on dependency parsing, Bouma & van Noord (2017) have also produced a mapping from this format to Universal Dependencies (UD; Nivre et al. 2016), as discussed in Section 4.1.4 below. Alpino uses a statistical model trained on a dependency treebank, and in fact the same statistical model can be used in both parsing and generation (de Kok et al. 2011).

3.3.2 Enju

Enju²⁴ (Miyao et al. 2005) is a broad-coverage grammar of English, semi-automatically acquired from the Penn Treebank (Marcus et al. 1993). This approach aims to reduce the cost of writing a grammar by leveraging existing resources. The basic idea is that, by viewing Penn Treebank trees as partial specifications of HPSG analyses, it is possible to infer lexical entries.

Miyao et al. converted the relatively flat trees in the Penn Treebank to binary-branching trees, and percolated head information through the trees. They also had to convert analyses for certain constructions, including subject-control verbs, auxiliary verbs, coordination, and extracted arguments. Each converted tree can then be combined with a small set of hand-written HPSG schemata, to induce a lexical entry for each word in the sentence.

Development of Enju has focused on performance in practical applications, and the grammar is supported by an efficient parser (Tsuruoka et al. 2004; Matsuzaki et al. 2007), using a probabilistic model for feature structures (Miyao & Tsujii 2008). Enju has been used in a variety of NLP tasks, as will be discussed in Section 4.2.2.

3.3.3 Babel

Babel is a broad-coverage grammar of German (Müller 1996; 1999). One interesting feature of this grammar is that it makes extensive use of discontinuous con-

²⁴<http://www.nactem.ac.uk/enju/>, accessed 29 August 2019.

stituents (Müller 2004a). Although this makes the worst-case parsing complexity much worse, parsing speed doesn't seem to suffer in practice. This mirrors the findings of Carroll (1994), discussed in Section 2.2.1 above.

4 Deployment of HPSG resources

There are several different ways in which computational resources based on HPSG are used. In Section 4.1, we first consider applications furthering linguistic research, including both language documentation and linguistic hypothesis testing. Then, in Section 4.2, we consider applications outside of linguistics.

4.1 Language documentation and linguistic hypothesis testing

As described by Müller (1999), Bender (2008), and Bender et al. (2011), grammar engineering — that is, the building of grammars in software — is an essential technique for testing linguistic hypotheses at scale. By “at scale”, we mean both against large quantities of data and as integrated models of language that handle multiple phenomena at once. In this section, we review how this is done in the CoreGram and Grammar Matrix projects for cross-linguistic hypothesis testing, and in the AGGREGATION project in the context of language documentation.²⁵

4.1.1 CoreGram

As described in Section 3.1, the CoreGram project develops grammars for a diverse set of languages, and shares constraints across grammars in a bottom-up fashion, so that more similar languages share more constraints. There are constraints shared across all of the grammars in the project which can be seen as a hypothesis about properties shared by all languages. Whenever the CoreGram project expands to cover a new language, it can be seen as a test of this hypothesis.

For example, the most general constraint set allows a language to have V2 word order (as exemplified by Germanic languages), but rules out verb-penultimate word order, as discussed by Müller (2015) (see also Müller 2020a, Chapter 10

²⁵Grammar engineering isn't specific to HPSG and in fact has a history going back to at least the early 1960s (Kay 1963; Zwicky et al. 1965; Petrick 1965; Friedman et al. 1971) and modern work in grammar engineering includes work in many different frameworks, such as Lexical Functional Grammar (Butt et al. 1999), Combinatory Categorical Grammar (Baldridge et al. 2007), Grammatical Framework (Ranta 2009), and others. For reflections on grammar engineering for linguistic hypothesis testing in LFG, see Butt et al. (1999) and King (2016).

of this volume). It also includes constraints for argument structure and linking (see [Wechsler, Koenig & Davis 2020](#), Chapter 9 of this volume), as well as for information structure (see [De Kuthy 2020](#), Chapter 23 of this volume).

4.1.2 Grammar Matrix

As noted in Section 3.2, the LinGO Grammar Matrix ([Bender et al. 2002; 2010](#)) was initially developed in the context of Project DeepThought with the goal of speeding up the development of DELPH-IN-style grammars for additional languages. It consists of a shared core grammar and a series of “libraries” of analyses for cross-linguistically variable phenomena. Both of these constitute linguistic hypotheses: the constraints are hypothesized to be cross-linguistically useful. However, in the course of developing grammars based on the Matrix for specific languages, it is not uncommon to find reasons to refine the core grammar. The libraries, in turn, are intended to cover the attested range of variation for the phenomena they model. Languages that are not covered by the analyses in the libraries provide evidence that the libraries need to be extended or refined.

Grammar Matrix grammar development is less tightly coordinated than that of CoreGram (see Section 3.1): in the typical use case, grammar developers start from the Grammar Matrix, but with their own independent copy of the Matrix core grammar. This impedes somewhat the ability of the Matrix to adapt to the needs of various languages (unless grammar developers report back to the Matrix developers). On the other hand, the Matrix libraries represent an additional kind of linguistic hypothesis testing: each library on its own represents one linguistic phenomenon, but the libraries must be interoperable with each other. This is the cross-linguistic analogue of how monolingual implemented grammars allow linguists to ensure that analyses of different phenomena are interoperable ([Müller 1999](#): 439–440; [Bender 2008](#)): the Grammar Matrix customization system allows its developers to test cross-linguistic libraries of analyses for interactions with other phenomena ([Bender et al. 2011; Bender 2016](#)). Without computational support (i.e. a computer keeping track of the constraints that make up each analysis, compiling them into specific grammars, and testing those grammars against test suites), this problem space would be too complex for exploration.

4.1.3 AGGREGATION

In many ways, the most urgent need for computational support for linguistic hypothesis testing is the description of endangered languages. Implemented grammars can be used to process transcribed but unglossed text in order to find rel-

evant examples more quickly, both of phenomena that have already been analyzed and of phenomena that are as yet not well-understood.²⁶ Furthermore, treebanks constructed from implemented grammars can be tremendously valuable additions to language documentation (see Section 4.1.4 below). However, the process of building an implemented grammar is time-consuming, even with the start provided by a multilingual grammar engineering project like CoreGram, ParGram (Butt et al. 2002; King et al. 2005), the GF Resource Grammar Library (Ranta 2009), or the Grammar Matrix.

This is the motivation for the AGGREGATION²⁷ project, which starts from two observations: (1) descriptive linguists produce extremely rich annotations on data in the form of interlinear glossed text (IGT); and (2) the Grammar Matrix’s libraries are accessed through a customization system which elicits a grammar specification in the form of a series of choices describing either high-level typological properties or specific constraints on lexical classes and lexical rules. The goal of AGGREGATION is to automatically produce such grammar specifications on the basis of information encoded in IGT, to be used by the Grammar Matrix customization system to produce language-particular grammars. AGGREGATION uses different approaches for different linguistic subsystems. For example, it learns morphotactics by observing morpheme order in the training data, and how to group affixes together into position classes based on measures of overlap of stems they attach to (Wax 2014; Zamaraeva et al. 2017). For many kinds of syntactic information, it leverages syntactic structure projected from the translation line (English, easily parsed with current tools) through the gloss line (which facilitates aligning the language and translation lines) to the language line (Xia & Lewis 2007; Georgi 2016). Using this projected information, the AGGREGATION system can detect case frames for verbs, word order patterns, etc. (Bender et al. 2013; Zamaraeva et al. 2019).²⁸

4.1.4 Treebanks and sembanks

Annotated corpora are a particularly valuable type of resource that can be derived from HPSG grammars. Two important kinds are treebanks and sembanks. A *treebank* is a collection of text where each sentence is associated with a syn-

²⁶This methodology of using an implemented grammar as a sieve to sift the interesting examples out of corpora is demonstrated for English by Baldwin et al. (2005).

²⁷<http://depts.washington.edu/uwcl/aggregation/>, accessed 16 August 2019.

²⁸The TypeGram project (Hellan & Beermann 2014) is in a similar spirit. TypeGram provides methods of creating HPSG grammars by encoding specifications of valence and inflection in particularly rich IGT and then creating grammars based on those specifications.

tactic representation. A *semlbank* has semantic representations (in some cases in addition to the syntactic ones). Treebanks and sembanks can be used for linguistic research, as the analyses allow for more detailed structure-based searches for phenomena of interest (Rohde 2005; Ghodke & Bird 2010; Kouylekov & Oepen 2014).²⁹ In the context of language documentation and description, searchable treebanks can also be a valuable addition, helping readers connect prose descriptions of linguistic phenomena to multiple examples in the corpus (Bender et al. 2012). In natural language processing, treebanks and sembanks are critical source material for training parsers (see Sections 2.2.2 and 4.2.3).

Traditional treebanks are created by doing a certain amount of automatic processing on corpus data, including possibly chunking or context-free grammar parsing, and then hand-correcting the result (Marcus et al. 1993; Banarescu et al. 2013). While this approach is a means to encode human insight about linguistic structure for later automatic processing, it is both inefficient and potentially error-prone. The Alpino project (van der Beek et al. 2002; see also Section 3.3.1 above) addresses this by first parsing the text with a broad-coverage HPSG-inspired grammar of Dutch and then having annotators select among the parses. The selection process is facilitated by allowing the annotators to mark constituent boundaries and to mark lexical entries as correct, possibly correct, or wrong. These constraints reduce the search space for the parser and consequently also the range of analyses the annotator has to consider before choosing the best one. A facility for adding one-off lexical entries to handle misspellings, for example, helps increase grammar coverage. Disambiguation is handled with the aid of discriminants, as discussed in Section 2.2.2 above. Finally, the annotators may further edit analyses deemed insufficient. Though the underlying grammar is based on HPSG, the treebank stores dependency graphs instead. The Alpino parser was similarly used to construct the Lassy Treebanks of written Dutch (van Noord et al. 2013). In more recent work, these dependency representations have been mapped to the Universal Dependencies (UD) annotation standards (Nivre et al. 2016) to produce a UD treebank for Dutch (Bouma & van Noord 2017).

The Redwoods project (Oepen et al. 2004) also produces grammar-driven treebanks, in this case for English and without any post-editing of analyses.³⁰ As with Alpino, this is done by first parsing the corpus with the grammar and calcu-

²⁹The WeSearch interface of Kouylekov & Oepen (2014) can be accessed at <http://wesearch.delphin.net/deepbank/search.jsp> (accessed 16 August 2019).

³⁰There are also Redwoods-style treebanks for other languages, including the Hinoki Treebank of Japanese (Bond et al. 2004) and the Tibidabo Treebank of Spanish (Marimon 2015).

ating the discriminants for each parse forest. After annotation, the treebanking software stores not only the final full HPSG analysis that was selected, but also the decisions the annotator made about each discriminant. Thus when the grammar is updated, for example to refine the semantic representations, the corpus can be reparsed and the decisions replayed, leaving only a small amount of further annotation work to be done to handle any additional ambiguity introduced by the grammar update. The activity of treebanking in turn provides useful insight into grammatical analyses, including sources of spurious ambiguity and phenomena that are not yet properly handled, and thus informs and spurs on further grammar development. A downside to strictly grammar-based treebanking is that only items for which the grammar finds a reasonable parse can be included in the treebank. For many applications, this is not a drawback, so long as there are sufficient and sufficiently varied sentences that do receive analyses.

Finally, there are also automatically annotated treebanks. These are not as reliable as manually annotated treebanks, but they can be considerably larger. WikiWoods³¹ covers 55 million sentences of English (900 million tokens). It was produced by Flickinger et al. (2010a) and Solberg (2012) from the July 2008 dump of the full English Wikipedia, using the ERG and PET, with parse ranking trained on the manually treebanked subcorpus WeScience (Ytrestøl et al. 2009). As with the Redwoods treebanks, WikiWoods is updated with each release of the ERG.

4.2 Downstream applications

In this section, we discuss the use of HPSG grammars for practical tasks. There is a large number of applications, and we focus on several important ones here. In Section 4.2.1, we cover educational applications where a grammar is used directly. In Section 4.2.2, we cover cases where a grammar is used to provide features to help solve tasks in Natural Language Processing (NLP). Finally, in Section 4.2.3, we cover situations where a grammar is used to provide data for machine learning systems.³²

4.2.1 Education

Precise syntactic analyses can be useful in language teaching, in order to automatically identify errors and give feedback to the student. In order to model

³¹<http://moin.delph-in.net/WikiWoods>, accessed 16 August 2019.

³²The DELPH-IN community maintains an updated list of applications of DELPH-IN software and resources at <http://moin.delph-in.net/DelphinApplications> (accessed 16 August 2019).

common mistakes, a grammar can be extended with so-called *mal-rules*. A mal-rule is like a normal rule, in that it licenses a construction, and can be treated the same during parsing. However, given a parse, the presence of a mal-rule indicates that the student needs to be given feedback (Bender et al. 2004; Flickinger & Yu 2013; Morgado da Costa et al. 2016). A large-scale system implementing this kind of computer-aided teaching has been developed by the Education Program for Gifted Youth at Stanford University, using the ERG (Suppes et al. 2014). This system has reached tens of thousands of elementary and middle school children, and has been found to improve the school results of underachieving children.

Another way to use an implemented grammar is to automatically produce teaching materials. Given a semantic representation, a grammar can generate one or more sentences. Flickinger (2017) uses the ERG to produce practice exercises for a student learning first-order logic. For each exercise, the student is presented with an English sentence and is supposed to write down the corresponding first-order logical form. By using a grammar, the system can produce syntactically varied questions and automatically evaluate the student's answer.

4.2.2 NLP tasks

Much NLP work focuses on specific *tasks*, where a system is presented with some input and required to produce an output, with a clearly-defined metric to determine how well the system performs. HPSG grammars have been used in a range of such tasks, where the syntactic and semantic analyses provide useful features.

Information retrieval is the task of finding relevant documents for a given query. For example, Schäfer et al. (2011) present a tool for searching the ACL Anthology, using the ERG. *Information extraction* is the task of identifying useful facts in a collection of documents. For example, Reiplinger et al. (2012) aim to identify definitions of technical concepts from English text, in order to automatically construct a glossary. They find that using the ERG reduces noise in the candidate definitions. Miyao et al. (2008) aim to identify protein-protein interactions in the English biomedical literature, using Enju.

For these tasks, some linguistic phenomena are particularly important, such as negation and hedging (including adverbs like *possibly*, modals like *may*, and verbs of speculation like *suggest*). When it comes to identifying facts asserted in a document, a clause that has been negated or hedged should be treated with caution. MacKinlay et al. (2012) consider the biomedical domain, evaluating on the BioNLP 2009 Shared Task (Kim et al. 2009), where they outperform previous approaches for negation, but not for speculation. Veldal et al. (2012) consider negation and speculation in biomedical text, evaluating on the CoNLL 2010

Shared Task (Farkas et al. 2010), where they outperform previous approaches. Packard et al. (2014) propose a general-purpose method for finding the scope of negation in an MRS, evaluating on the *SEM 2012 Shared Task (Morante & Blanco 2012). They find that transforming the output of the ERG with a relatively simple set of rules achieves high performance on this English dataset, and combining this approach with a purely statistical system outperforms previous approaches. Zamaraeva et al. (2018) use the ERG for negation detection and then use that information to refine the (machine-learning) features in a system that classifies English pathology reports, thereby improving system performance. A common finding from these studies is that a system using the output of the ERG tends to have high precision (items identified by the system tend to be correct) but low recall (items are often overlooked by the system). One reason for low recall is that the grammar does not cover all sentences in natural text. As we will see in Section 4.2.3, recent work on robust parsing may help to close this coverage gap.

Negation resolution is also included in Open et al.’s (2017) Shared Task on Extrinsic Parser Evaluation. As mentioned in Section 2.2.3, dependency graphs can provide a useful tool in NLP tasks, and this shared task aims to evaluate the use of dependency graphs (both semantic and syntactic) for three downstream applications: biomedical information extraction, negation resolution, and fine-grained opinion analysis. Some participating teams use DM dependencies (Schuster et al. 2017; Chen et al. 2017). The results of this shared task suggest that, compared to other dependency representations, DM is particularly useful for negation resolution.

Another task where dependency graphs have been used is *summarization*. Most existing work on this task focuses on so-called *extractive summarization*: given an input document, a system forms a summary by extracting short sections of the input. This is in contrast to *abstractive summarization*, where a system generates new text based on the input document. Extractive summarization is limited, but widely used because it is easier to implement. However, Fang et al. (2016) show how a wide-coverage grammar like the ERG makes it possible to implement an abstractive summarizer with state-of-the-art performance. After parsing the input document into logical propositions, the summarizer prunes the set of propositions using a cognitively inspired model. A summary is then generated based on the pruned set of propositions. Because no text is directly extracted from the input document, it is possible to generate a more concise summary.

Finally, no discussion of NLP tasks would be complete without including *machine translation*. A traditional grammar-based approach uses three grammars: a grammar for the source language, a grammar for the target language, and a *trans-*

fer grammar, which converts semantic representations for the source language to semantic representations for the target language (Oepen et al. 2007; Bond et al. 2011). Translation proceeds in three steps: parse the source sentence, transfer the semantic representation, and generate a target sentence. The transfer grammar is needed both to find appropriate lexical items and also to convert semantic representations when languages differ in how an idea might be expressed. The difficulty in writing a transfer grammar that is robust enough to deal with arbitrary input text means that statistical systems might be preferred. Horvat (2017) explores the use of statistical techniques, skipping over the transfer stage: a target-language sentence is generated directly from a semantic representation for the source language. Goodman (2018) explores the use of statistical techniques within the paradigm of parsing, transferring, and generating.

4.2.3 Data for machine learning

In Section 4.2.2, we described how HPSG grammars can be directly incorporated into NLP systems. Another use of HPSG grammars in NLP is to generate data on which a statistical system can be trained.

For example, one limitation of using an HPSG grammar in an NLP system is that the grammar is unlikely to cover all sentences in the data (Flickinger et al. 2012). One way to overcome this coverage gap is to train a statistical system to produce the same output as the grammar. The idea is that the trained system will be able to generalize to sentences that the grammar does not cover. Oepen et al. (2014), Oepen et al. (2015), and Oepen et al. (2019) present shared tasks on semantic dependency parsing, including both DM dependencies and Enju predicate-argument structures. As of 2015, the best-performing systems in these shared tasks could already produce dependency graphs almost as accurately as grammar-based parsers (for sentences where the grammar has coverage). Similarly, Buys & Blunsom (2017) develop a parser for EDS and DMRS which performs almost as well as a grammar-based parser, but has full coverage, and can run 70 times faster.

In fact, in more recent work, the difference in performance has been effectively closed. Chen et al. (2018) consider parsing to EDS and DMRS graphs, and actually achieve slightly higher accuracy with their system, compared to a grammar-based parser. Unlike the previous statistical approaches, Chen et al. do not just train on the desired dependency graphs, but also use information in the phrase-structure trees. They suggest that using this information allows their system to learn compositional rules mirroring composition in the grammar, which thereby allows their system to generalize better.

Another application of HPSG-derived dependency graphs is for *distributional semantics*. Here, the aim is to learn the meanings of words from a corpus, exploiting the fact that the context of a word tells us something about its meaning. This is known as the *distributional hypothesis*, an idea with roots in American structuralism (Harris 1954) and British lexicology (Firth 1951; 1957). Most work on distributional semantics learns a *vector space model*, where the meaning of each word is represented as a point in a high-dimensional vector space (for an overview, see Erk 2012 and Clark 2015). However, Emerson (2018) argues that vector space models cannot capture various aspects of meaning, such as logical structure, and phenomena like polysemy. Instead, Emerson presents a distributional model which can learn truth-conditional semantics, using a parsed corpus like WikiWoods (see Section 4.1.4). This approach relies on the semantic analyses given by a grammar, as well as the infrastructure to parse a large amount of text.

Finally, there are also applications which use grammars not to parse, but to generate. Kuhnle & Copestake (2018) consider the task of *visual question answering*, where a system is presented with an image and a question about the image, and must answer the question. This task requires language understanding, reference resolution, and grounded reasoning, in a way that is relatively well-defined. However, for many existing datasets, there are biases in the questions which mean that high performance can be achieved without true language understanding. For this reason, there is increasing interest in artificial datasets, which are controlled to make sure that high performance requires true understanding. Kuhnle & Copestake present ShapeWorld, a configurable system for generating artificial data. The system generates an abstract representation of a scene (colored shapes in different configurations), and then generates an image and a caption based on this representation. The use of a broad-coverage grammar is crucial in allowing the system to be configurable and scale across a variety of syntactic constructions.

5 Linguistic insights

In Section 4.1 above, we described multiple ways in which computational methods can be used in the service of linguistic research, especially in testing linguistic hypotheses. Here, we highlight a few ways in which grammar engineering work in HPSG has turned up linguistic insights that had not previously been

discovered through non-computational means.³³

5.1 Ambiguity

As discussed in Section 2.2.2, the scale of ambiguity has become clear now that broad-coverage precision grammars are available. By taking both coverage and precision seriously, it is possible to investigate it on a large scale, quantifying the sources of ambiguity and the information needed to resolve it. For example, Toutanova et al. (2002; 2005) found that in the Redwoods treebank (3rd Growth), roughly half of the ambiguity was lexical, and half syntactic. They also showed how combining sources of information (such as both semantic and syntactic information) is important for resolving ambiguity, and argue that using multiple kinds of information in this way is consistent with probabilistic approaches in psycholinguistics.

5.2 Long-tail phenomena

One of the strengths of HPSG as a theoretical framework is that it allows for the analysis of both “core” and “peripheral” phenomena within a single, integrated model. Indeed, by implementing large-scale grammars across a range of languages, it becomes possible to investigate the extent to which a particular phenomenon should be considered “core”, “peripheral”, or something in between (Müller 2014).

In fact, when working with actual data and large-scale grammars, it quickly becomes apparent just how long the long-tail of “peripheral” phenomena is. Furthermore, the sustained development of broad-coverage linguistic resources makes it possible to bring into view more and more low-frequency phenomena (or low-frequency variations on relatively high-frequency phenomena). A case in point is the range of raising and control valence frames found in the ERG (Flickinger 2000; Flickinger 2011). As of the 2018 release, the ERG includes over 60 types for raising and control predicates, including verbs, adjectives, and nouns, many of which are not otherwise discussed in the syntactic literature. These include such low-frequency types as the one for *incumbent*, which requires an expletive *it* subject, an obligatory (*up*)*on* PP complement, and an infinitival VP complement, and which establishes a control relation between the object of *on* and the VP’s missing subject:³⁴

³³For similar reflections from the point of view of LFG, see King (2016).

³⁴Our thanks to Dan Flickinger for this example.

- (8) It is incumbent on you to speak plainly.

5.3 Analysis-order effects

Grammar engineering means making analyses specific and then being able to build on them. This has both benefits and drawbacks: on the one hand, it means that additional grammar engineering work can build directly on the results of previous work. It also means that any additional grammar engineering work is constrained by the work it is building on. Fokkens (2014) observes this phenomenon and notes that it introduces artifacts: the form an implemented grammar takes is partially the result of the order in which the grammar engineer considered phenomena to implement. This is probably also true for non-computational work, as theoretical ideas developed with particular phenomena (and, indeed, languages) in mind influence the questions with which researchers approach additional phenomena. Fokkens proposes that the methodology of *meta-grammar* engineering can be used to address this problem: using her CLIMB methodology, rather than deciding between analyses of a given phenomenon without input from later-studied phenomena, the grammar engineer can maintain multiple competing analyses through time and break free, at least partially, of the effects of the timeline of grammar development. The central idea is that the grammar writer develops a meta-grammar, like the Grammar Matrix customization system (see Section 4.1.2), but for a single language. This customization system maintains alternate analyses of particular phenomena which are invoked via grammar specifications so the different versions of the grammar can be compiled and tested.

6 Summary

In this chapter, we have attempted to illuminate the landscape of computational work in HPSG. We have discussed how HPSG as a theory supports computational work, described large-scale computational projects that use HPSG, highlighted some applications of implemented grammars in HPSG, and explored ways in which computational work can inform linguistic research. This field is very active and our overview is necessarily incomplete. Nonetheless, it is our hope that the pointers and overview provided in this chapter will serve to help interested readers connect with ongoing research in computational linguistics using HPSG.

Acknowledgements

We'd like to thank Stephan Oepen for helpful comments on an early draft of this chapter, Stefan Müller for detailed comments as volume editor and Elizabeth Pankratz for careful copy editing.

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Chapter 26

Grammar in dialogue

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This chapter portrays some phenomena, technical developments and discussions that are pertinent to analysing natural language use in face-to-face interaction from the perspective of HPSG and closely related frameworks. The use of the `CONTEXT` attribute in order to cover basic pragmatic meaning aspects is sketched. With regard to the notion of common ground, it is argued how to complement `CONTEXT` by a dynamic update semantics. Furthermore, this chapter discusses challenges posed by dialogue data such as clarification requests to constrained-based, model-theoretic grammars. Responses to these challenges in terms of a type-theoretical underpinning (TTR, a Type Theory with Records) of both the semantic theory and the grammar formalism are reviewed. Finally, the dialogue theory *KoS* that emerged in this way from work in HPSG is sketched.

1 Introduction

The archaeologists Ann Wesley and Ray Jones are working in an excavation hole, and Ray Jones is looking at the excavation map. Suddenly, Ray discovers a feature that catches his attention. He turns to his colleague Ann and initiates the following exchange (the example is slightly modified from [Goodwin 2003: 222](#); underlined text is used to indicate overlap, italic comments in double round brackets

are used to describe non-verbal actions, numbers in brackets quantify the duration of pauses):

- (1) 1. RAY: Doctor Wesley?
2. (0.7) ((*Ann turns and walks towards Ray*))
3. ANN: EHHH HEHH ((*Cough*))
4. Yes Mister Jones.
5. RAY: I was gonna see:
6. ANN: °Eh heh huh huh
7. °eh heh huh huh
8. RAY: Uh::m,
9. ANN: Ha huh HHHuh
10. RAY: ((*Points with trowel to an item on the map*))
I think I finally found **this** feature
((*looks away from map towards a location in the surrounding*))
11. (0.8) Cause I: hit the **nail**
12. ((*Ann looks at map, Ray looks at Ann, Ann looks at Ray*))

Contrast the archaeological dialogue from (1) with a third person perspective text on a related topic. In a recent archaeology paper, the excavation of gallery grave Falköping stad 5 is described, among others (Blank et al. 2018: 4):

During excavation the grave was divided in different sections and layers and the finds were documented in these units. The bone material lacking stratigraphic and spatial information derives from the top layer [...]. Both the antechamber and the chamber contained artefacts as well as human and animal skeletal remains, although most of the material was found in the chamber.

The differences between the archaeological dialogue and the paper are obvious and concern roughly the levels of *medium* (spoken vs. written), *situatedness* (degree of context dependence), *processing speed* (online vs. offline) and *standardisation* (compliance with standard language norms) (Klein 1985). Attributing differences between dialogue and text simply to the medium (i.e. spoken vs. written) is tempting but insufficient. The corresponding characterising features seem to form a continuum, as discussed under the terms *conceptual orality* and *conceptual literacy* in the (mainly German-speaking) literature for some time (Koch & Oesterreicher 1985). For example, much chat communication, although realised by written inscriptions, exhibits many traits of (conceptually)