

# Invariant Generation for Complexity Analysis of Python Programs

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## Project Description

The high level goal of this project is to implement several functions. Given a Python program  $P$ ,

- `ub_time_complexity(P)` returns a symbolic upper bound on the *run time* of  $P$ .
- `ub_output_complexity(P)` returns a symbolic upper bound on the *size* of the output of  $P$ .
- `lb_time_complexity(P)` returns a symbolic lower bound on the *run time* of  $P$ .
- `lb_output_complexity(P)` returns a symbolic lower bound on the *size* of the output of  $P$ .

For example, consider the following code:

```
1 def f(n):
2     out = 1
3     for i in range(n):
4         out = out * n
5     return out
```

We can see that `ub_time_complexity(f)` should return  $n \in \mathcal{O}(n)$ , because the loop runs  $n$  iterations. However,  $f$  *returns* out which is 1 initially and is multiplied by  $n$ ,  $n$  times. So, `ub_output_complexity(f)`  $= n^n \in \mathcal{O}(n^n)$ .

### 0.1 Restrictions

In order to keep the scope of this assignment reasonable, we will restrict input programs in the following ways:

- All functions will be of type `int, int, ..., int  $\rightarrow$  int`.
- We will only simplify recursive programs of several particular forms (discussed below).
- We will ignore certain dynamic features of Python.
- If termination/invariant detection is too difficult to infer, we will output `?`, meaning “we do not know”.

The difficulty arises in handling loops and recursion.

### 0.2 Loops

To effectively bound the runtime of a loop, we must bound the number of iterations. We propose doing this by using a variety of AI techniques and ideas from [8, 6, 5] to generate invariants on the values of variables after multiple iterations. In particular, we aim to be able to handle *binary search*-like functions, and *early terminations* of loops. We intend to use search techniques on the AST of the loop, planning

to prove the invariants we find correct, and possibly machine learning to classify programs as terminating or not.

### 0.3 Recursion

To effectively bound the runtime of a recursive function, we need to be able to bound the number of recursive calls. We only consider the class of programs where one of the following is true, for  $f(\mathbf{x})$ . We say  $f(a_1, a_2, \dots, a_n) \rightsquigarrow f(b_1, b_2, \dots, b_n)$ , when  $f(\mathbf{b})$  is called as part of executing  $f(\mathbf{a})$ :

- $\exists i, m. \forall \mathbf{y}. f(\mathbf{x}) \rightsquigarrow f(\mathbf{y}) \implies m \leq y_i < x_i$
- $\exists i, M. \forall \mathbf{y}. f(\mathbf{x}) \rightsquigarrow f(\mathbf{y}) \implies x_i < y_i \leq M$

As with loops, we will use planning and search to determine and prove whether programs are of this form or not.

## References

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