Topic 3, Asymptotic Analysis: Solutions

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1. We can extend asymptotic notation to the case of two parameters n and m that can go to infinity independently at different rates. For example, we denote by O(g(n,m)) the set of functions:

 $O(g(n,m)) = \{f(n,m) : \text{ there exists positive constants c, } n_0 \text{ and } m_0 \text{ such that } 0 \le f(n,m) \le cg(n,m) \text{ for all } n \ge n_0 \text{ or } m \ge m_0 \}$

Give a corresponding definition for $\Theta(g(n,m))$.

 $\Theta(g(n,m)) = \{f(n,m) : \text{there exists positive constants } \mathbf{c_1}, \mathbf{c_2}, \mathbf{n_0} \text{ and } \mathbf{m_0} \text{ such that } 0 \le \mathbf{c_1}g(\mathbf{n,m}) \le f(\mathbf{n,m}) \le \mathbf{c_2}g(\mathbf{n,m}) \text{ for all } \mathbf{n} \ge \mathbf{n_0} \text{ or } \mathbf{m} \ge \mathbf{m_0}\}$

2. Indicate, for each pair of expressions f(n) and g(n) in the table below, whether $f(n) = \underline{\hspace{0.5cm}} (g(n))$, where the $\underline{\hspace{0.5cm}}$ may be O, o, Ω , ω or Θ . Assume that $\underline{k \geq 1}$, $\underline{c > 1}$, and $\underline{d > 0}$ are constants and we are analyzing growth rates in terms of the variable \underline{n} . To respond, write "Yes" or "No" in each box. Justifications are also required so we can give better feedback and possibly partial credit in case of wrong answers. Justifications should give formulas used to transform the expressions and/or refer to statements proven in the text.

	f(n)	g(n)	0?	o?	Ω?	ω?	Θ?
a.	n ^{lg c}	C ^{lg n}	Υ	N	Υ	N	Υ
b.	lg ^k n	n ^d	Υ	Υ	N	N	N
C.	2 ⁿ	2 ^{n/2}	N	N	Υ	Υ	N
d.	lg(n!)	lg(n ⁿ)	Υ	N	Υ	N	Y

Justifications (required):

a. It was sufficient in class to refer to the equivalence 3.16 on page 56 of CLRS, but here is a formal proof:

Claim: $n^{\log c} = c^{\log n}$.

Proof: let $x = n^{\log c}$, then $\log x = \log (n^{\log c}) = (\log c) \cdot (\log n) = \log (c^{\log n})$.

Then
$$x = 2^{\log x} = 2^{\log (c^{\log n})} = c^{\log n}$$
 Q.E.D.

b. It was sufficient in class to refer to the result page 57 CLRS that any polynomial grows larger than any poly-logarithmic. Here is a formal proof:

Claim: $log^k n = o(n^d)$

Proof:

Definition of f(n) = o(g(n)) is if $\lim_{n \to \infty} \frac{f(n)}{g(n)} = 0$

$$f(n) = \log^k n = (\log n)^k$$

$$g(n) = n^d$$

$$\lim_{n\to\infty}\frac{f(n)}{g(n)}=\lim_{n\to\infty}\frac{\log^k n}{n^d}=\lim_{n\to\infty}\left(\frac{\log n}{n^{d/k}}\right)^k.$$

Let l = d/k. Obviously l is just another constant. We know that $log n = o(n^l)$, i.e. logarithms grow slower than any polynomial.

Thus,
$$\lim_{n\to\infty}\frac{\log n}{n^l}=0$$
. Then $\lim_{n\to\infty}\left(\frac{\log n}{n^{d/k}}\right)^k$ is also 0. Q.E.D.

c. Observe that $2^{n/2} = (2^{1/2})^n = (\sqrt{2})^n$ and $\sqrt{2} < 2$, or approximately 1.414. No constant c can make c1.414ⁿ keep up with 2ⁿ as n grows. More formally:

Claim: $a^n = \omega(b^n)$ for any constants a and b, such that 0 < b < a.

Proof: Definition of
$$f(n) = \omega(g(n))$$
 is if $\lim_{n \to \infty} \frac{f(n)}{g(n)} = \infty$.

$$\lim_{n\to\infty}\frac{f(n)}{g(n)}=\lim_{n\to\infty}\frac{a^n}{b^n}=\lim_{n\to\infty}\left(\frac{a}{b}\right)^n=\lim_{n\to\infty}c^n \text{ for some }c>1 \text{ because }b\leq a\,.$$

Thus,
$$\lim_{n\to\infty} \frac{a^n}{b^n} = \infty$$
.

d.
$$log(n!) = \Theta(n \ log \ n)$$
 (by Stirling's approximation, p. 57-58 CLRS) $log(n^n) = n \ log \ n$ Q.E.D.

Extra Credit:

	f(n)	g(n)	0?	0?	Ω?	ω?	Θ?
e.	4n²	4 ^{lg n}	Y	N	Y	N	Υ
f.	2 ^{lg n}	lg²n	N	N	Υ	Υ	N
g.	\sqrt{n}	n ^{sin n}	N	N	N	N	N

Justifications:

e.
$$4^{\lg n} = n^{\lg 4} = n^2 = \Theta(n^2)$$
, and clearly $4n^2 = \Theta(n^2)$

- f. $2^{\lg n} = n^{\lg 2} = n$ by definition, and n (a polynomial) grows larger than any poly-logarithmic
- g. sin n oscillates between -1 and 1, so $n^{\sin n}$ oscillates between 1/n and n, while for n > 1, \sqrt{n} is always greater than 1/n and less than n: they cannot be compared.