### CS-E4600 Algorithmic Methods of Data Mining Programming Project

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#### 1 Introduction

The tasks you have to complete for the programming project are the following:

- 1. Design and implement your own graph-partitioning method;
- 2. submit your solution, which should include the source code you developed and a report

We will use graphs from the Stanford Network Analysis Project (SNAP) http://snap.stanford.edu/data/index.html in particular, you can consider the following 5 collaboration networks:

ca-AstroPh, ca-CondMat, ca-GrQc, ca-HepPh, ca-HepTh

File formate first line:

# graphID numOfVertices numOfEdges k

Subsequent values:

vertex1ID vertex2ID

### 2 Graph-partitioning task:

Given an undirected graph G = (V, E) and an integer k > 1 we want to partition the set of vertices V into k communities  $V_1, \ldots, V_k$  so that  $\bigcap_{i=1}^k V_i = V$  We want our communities  $V_1, \ldots, V_k$  to be as much separate from each other as possible. We also want the communities to have roughly equal size. Thus,

we will evaluate the goodness of a partition  $V_1, \ldots, V_k$  by \*\*minimizing\*\* the objective function:

$$\phi(V_1, \dots, V_k) = \frac{E(V_1, \dots, V_k)}{\min_{1 \le i \le k} |V_i|}$$

where  $E(V_1, \ldots, V_k)$  is the set of edges of G that is cut by the k communities:

$$E(V_1, \dots, V_k) = \{(u, v) \in E \mid u \in V_i \text{ and } v \in V_j \text{ where } i \neq j\}$$

You should implement a program that reads a problem instance in the format specified above and produces a partition  $V_1, \ldots, V_k$  for which the objective function  $\phi(V_1, \ldots, V_k)$  is as small as possible

### 2.1 Algorithm 1: Unnormalized spectral clustering

**Input**: graph adjacency matrix A, number k

- 1. form diagonal matrix D
- 2. form unormalized Laplacian L = D A
- 3. compute the first k eigenvectors  $u_1, \ldots, u_k$  of L (unnormalized Laplacian)
- 4. form matrix  $U \in \mathbb{R}^{n \times k}$  with columns  $u_1, \dots, u_k$
- 5. consider the i-th row of U as point  $y_i \in \mathbb{R}^k$ ,  $i = 1, \ldots, n$
- 6. cluster (kmeans) the points  $\{y_i\}_{i=1,\dots,n}$  into clusters  $C_1,\dots,C_k$

**output** clusters  $A_1, \ldots A_k$ 

# 2.2 Algorithm 2: Normalized spectral clustering (generalized eigenproblem)

**Input**: graph adjacency matrix A, number k

- 1. form diagonal matrix D
- 2. form unormalized Laplacian L = DA
- 3. compute the first k eigenvectors  $u_1, \ldots, u_k$  of the generalized eigenproblem  $L\mathbf{u} = \lambda D\mathbf{u}$  (eigenvectors of  $L_{rw}$ )

- 4. form matrix  $U \in \mathbb{R}^{n \times k}$  with columns  $u_1, \dots, u_k$
- 5. consider the i-th row of U as point  $y_i \in \mathbb{R}^k$ ,  $i = 1, \dots, n$
- 6. cluster (kmeans) the points  $\{y_i\}_{i=1,\ldots,n}$  into clusters  $C_1,\ldots,C_k$

**output** clusters  $A_1, \ldots A_k$ 

This algorithm is similar to Algorithm 1. The difference is in - step 3 where we find the eigenvectors  $u_1, \ldots, u_k$  of the generalized eigenproblem instead of the unnormalized laplacian

$$L_{rw} := I - D^{-1}A$$

## 2.3 Algorithm 3: Normalized spectral clustering (generalized eigenproblem, normalized U)

This algorithm is the same as Algorithm 2 but we  $normalize\ U$  so that rows have norm 1

**Input**: graph adjacency matrix A, number k

- 1. form diagonal matrix D
- 2. form unormalized Laplacian L = DA
- 3. compute the first k eigenvectors  $u_1, \ldots, u_k$  of the generalized eigenproblem  $L\mathbf{u} = \lambda D\mathbf{u}$  (eigenvectors of  $L_{rw}$ )
- 4. form matrix  $U \in \mathbb{R}^{n \times k}$  with columns  $u_1, \dots, u_k$
- 5.  $normalize\ U$  so that rows have norm 1
- 6. consider the i-th row of U as point  $y_i \in \mathbb{R}^k$ ,  $i = 1, \ldots, n$
- 7. cluster (kmeans) the points  $\{y_i\}_{i=1,\dots,n}$  into clusters  $C_1,\dots,C_k$

**output** clusters  $A_1, \ldots A_k$ 

## 2.4 Algorithm 4: Normalized spectral clustering (normalize U)

**Input:** graph adjacency matrix A, number k

1. form diagonal matrix D

- 2. form normalized Laplacian  $L' = I D^{-1/2}AD^{-1/2}$
- 3. compute the first k eigenvectors  $u_1, \ldots, u_k$  of L'
- 4. form matrix  $U \in \mathbb{R}^{n \times k}$  with columns  $u_1, \dots, u_k$
- 5. normalize U so that rows have norm 1
- 6. consider the i-th row of U as point  $y_i \in \mathbb{R}^k$ ,  $i = 1, \ldots, n$
- 7. cluster (kmeans) the points  $\{y_i\}_{i=1,\dots,n}$  into clusters  $C_1,\dots,C_k$

#### Output: clusters $A_1, \ldots A_k$

This algorithm is similar to Algorithm 1. The difference is in

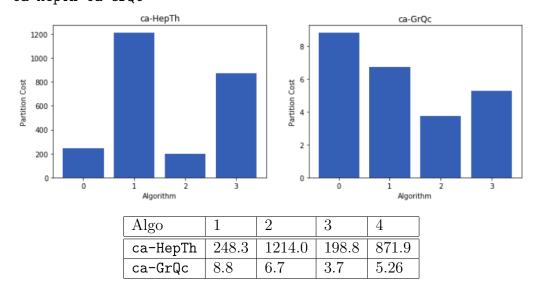
- step 2 we have normalized laplacian instead of the unnormalized laplacian

$$L' := I - D^{-1/2}AD^{-1/2}$$

- step 5 we normalize U

#### 3 Performance

We will use the following graphs to access the performance of the algorithms.  ${\tt ca-HepTh}\ {\tt ca-GrQc}$ 



For both graphs, algorithm 3 has the lowest partition cost hence has the most balanced parition. We will use Algorithm 3: normalized spectral clustering (generalized eigenproblem, normalized U) to cluster our graph and produce the results.