

AI theory HW Week1

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Vanilla Accuracy

$$\frac{1}{n} \sum_{i=1}^n 1[f(x_i) == y_i]$$

Class-wise Averaged Accuracy

$$A = \frac{1}{C} \sum_{c=1}^C \frac{1}{\sum_{i=1}^n 1[y_i == c]} \sum_{i=1}^n 1[y_i == c] 1[f(x_i) == c]$$

labels	frequency
c_1	$p_1 \cdot n$
c_2	$p_2 \cdot n$
c_3	$p_3 \cdot n$

Predict constantly the most frequently class

$$\begin{aligned} f(x_i) &= \operatorname{argmax}_c p_c = c \uparrow \\ \max_c p_c &= p \uparrow \end{aligned}$$

$$\begin{aligned} \text{Vanilla Accuracy} &= \frac{1}{n} \sum_{i=1}^n 1[f(x_i) == y_i] \\ &= \frac{1}{n} \left(\sum_{i=1}^{p_1 \cdot n} 1[c \uparrow == c_1] + \sum_{i=1}^{p_2 \cdot n} 1[c \uparrow == c_2] + \sum_{i=1}^{p_3 \cdot n} 1[c \uparrow == c_3] \right) \\ &= \frac{1}{n} \left(\sum_{i=1}^{p \uparrow \cdot n} 1[c \uparrow == c \uparrow] + \sum_{i=p \uparrow \cdot n + 1}^n 1[c \uparrow == c_i] \right) \\ &= \frac{1}{n} \left(\sum_{i=1}^{p \uparrow \cdot n} 1 + \sum_{i=1}^{(1-p \uparrow) \cdot n} 0 \right) \\ &= \frac{1}{n} (p \uparrow \cdot n) \\ &= p \uparrow \end{aligned}$$

Let c_{rest} denotes values of class c that fulfill the condition $[c \neq c \uparrow]$

Class-wise Averaged Accuracy

$$\begin{aligned}
&= \frac{1}{C} \sum_{c=1}^C \frac{1}{\sum_{i=1}^n 1[y_i == c]} \sum_{i=1}^n 1[y_i == c] 1[f(x_i) == c] \\
&= \frac{1}{3} \sum_{c=1}^3 \frac{1}{\sum_{i=1}^n 1[y_i == c]} \sum_{i=1}^n 1[y_i == c] 1[c \uparrow == c] \\
&= \frac{1}{3} \left(\frac{1}{\sum_{i=1}^n 1[y_i == c_1]} \sum_{i=1}^n 1[y_i == c_1] 1[c \uparrow == c_1] + \frac{1}{\sum_{i=1}^n 1[y_i == c_2]} \sum_{i=1}^n 1[y_i == c_2] 1[c \uparrow == c_2] \right. \\
&\quad \left. + \frac{1}{\sum_{i=1}^n 1[y_i == c_3]} \sum_{i=1}^n 1[y_i == c_3] 1[c \uparrow == c_3] \right) \\
&= \frac{1}{3} \left(\frac{1}{\sum_{i=1}^{p \uparrow \cdot n} 1[c \uparrow == c \uparrow]} \sum_{i=1}^{p \uparrow \cdot n} 1[c \uparrow == c \uparrow] 1[c \uparrow == c \uparrow] \right. \\
&\quad \left. + \frac{1}{\sum_{i=p \uparrow \cdot n+1}^n 1[y_i == c_{rest}]} \sum_{i=p \uparrow \cdot n+1}^n 1[y_i == c_{rest}] 1[c \uparrow == c_{rest}] \right) \\
&= \frac{1}{3} \left(\frac{1}{\sum_{i=1}^{p \uparrow \cdot n} 1} \sum_{i=1}^{p \uparrow \cdot n} 1 \cdot 1 + \frac{1}{\sum_{i=1}^{(1-p \uparrow) \cdot n} 1} \sum_{i=1}^{(1-p \uparrow) \cdot n} 1 \cdot 0 \right) \\
&= \frac{1}{3} \left(\frac{1}{p \uparrow \cdot n} p \uparrow \cdot n + \frac{1}{(1-p \uparrow) \cdot n} (1-p \uparrow) \cdot n \cdot 1 \cdot 0 \right) \\
&= \frac{1}{3} (1 + 0) \\
&= \frac{1}{3}
\end{aligned}$$

Predict constantly the least frequent class

$$\begin{aligned}
f(x_i) &= \operatorname{argmin}_c p_c = c \downarrow \\
\min_c p_c &= p \downarrow
\end{aligned}$$

$$\begin{aligned}
\text{Vanilla Accuracy} &= \frac{1}{n} \sum_{i=1}^n 1[f(x_i) == y_i] \\
&= \frac{1}{n} \left(\sum_{i=1}^{p \downarrow \cdot n} 1[c \downarrow == c \downarrow] + \sum_{i=p \downarrow \cdot n+1}^n 1[c \downarrow == c_i] \right) \\
&= \frac{1}{n} \left(\sum_{i=1}^{p \downarrow \cdot n} 1 + \sum_{i=1}^{(1-p \downarrow) \cdot n} 0 \right) \\
&= \frac{1}{n} (p \downarrow \cdot n) \\
&= p \downarrow
\end{aligned}$$

Let c_{rest} denotes values of class c that fulfill the condition $[c \neq c \downarrow]$

Class-wise Averaged Accuracy

$$\begin{aligned}
&= \frac{1}{3} \sum_{c=1}^3 \frac{1}{\sum_{i=1}^n 1[y_i == c]} \sum_{i=1}^n 1[y_i == c] 1[c \downarrow == c] \\
&= \frac{1}{3} \left(\frac{1}{\sum_{i=1}^{p \downarrow \cdot n} 1[c \downarrow == c \downarrow]} \sum_{i=1}^{p \downarrow \cdot n} 1[c \downarrow == c \downarrow] 1[c \downarrow == c \downarrow] + \frac{1}{\sum_{i=p \downarrow \cdot n+1}^n 1[y_i == c_{rest}]} \sum_{i=p \downarrow \cdot n+1}^n 1[y_i == c_{rest}] 1[c \downarrow == c_{rest}] \right) \\
&= \frac{1}{3} \left(\frac{1}{\sum_{i=1}^{p \downarrow \cdot n} 1} \sum_{i=1}^{p \downarrow \cdot n} 1 \cdot 1 + \frac{1}{\sum_{i=1}^{(1-p \downarrow) \cdot n} 1} \sum_{i=1}^{(1-p \downarrow) \cdot n} 1 \cdot 0 \right) \\
&= \frac{1}{3} \left(\frac{1}{p \downarrow \cdot n} p \downarrow \cdot n + \frac{1}{(1-p \downarrow) \cdot n} (1-p \downarrow) \cdot n \cdot 1 \cdot 0 \right) \\
&= \frac{1}{3} (1 + 0) \\
&= \frac{1}{3}
\end{aligned}$$

Expected values of the two accuracies when we predict each sample x with the class c with probability q_c

$$P(f(x_i) = c_1) = q_1$$

$$P(f(x_i) = c_2) = q_2$$

$$P(f(x_i) = c_3) = q_3$$

$$q_1 + q_2 + q_3 = 1$$

Given $f(x_i) = c_j$ where $j \in [1,2,3]$

$$\begin{aligned}
\text{Vanilla Accuracy given } [f(x_i) = c_j] &= \frac{1}{n} \sum_{i=1}^n 1[f(x_i) == y_i] \\
&= \frac{1}{n} \left(\sum_{i=1}^{p_j \cdot n} 1[c_j == y_i] + \sum_{i=p_j \cdot n+1}^n 1[c_j == y_i] \right) \\
&= \frac{1}{n} \left(\sum_{i=1}^{p_j \cdot n} 1[c_j == c_j] + \sum_{i=p_j \cdot n+1}^n 1[c_j == y_i] \right) \\
&= \frac{1}{n} \left(\sum_{i=1}^{p_j \cdot n} 1 + \sum_{i=1}^{(1-p_j) \cdot n} 0 \right) \\
&= \frac{1}{n} (p_j \cdot n) \\
&= p_j
\end{aligned}$$

Let c_{rest} denotes values of class c that fulfill the condition $[c \neq c_j]$

$$\begin{aligned}
& \text{Class-wise Averaged Accuracy given } [f(x_i) = c_j] \\
&= \frac{1}{C} \sum_{c=1}^C \frac{1}{\sum_{i=1}^n 1[y_i == c]} \sum_{i=1}^n 1[y_i == c] 1[f(x_i) == c] \\
&= \frac{1}{3} \sum_{c=1}^3 \frac{1}{\sum_{i=1}^n 1[y_i == c]} \sum_{i=1}^n 1[y_i == c] 1[c_j == c] \\
&= \frac{1}{3} \left(\frac{1}{\sum_{i=1}^{p_j \cdot n} 1[y_i == c_j]} \sum_{i=1}^{p_j \cdot n} 1[y_i == c_j] 1[c_j == c_j] \right. \\
&\quad \left. + \frac{1}{\sum_{i=p_j \cdot n+1}^n 1[y_i == c_{rest}]} \sum_{i=p_j \cdot n+1}^n 1[y_i == c_{rest}] 1[c_j == c_{rest}] \right) \\
&= \frac{1}{3} \left(\frac{1}{\sum_{i=1}^{p_j \cdot n} 1[c_j == c_j]} \sum_{i=1}^{p_j \cdot n} 1[c_j == c_j] 1[c_j == c_j] \right. \\
&\quad \left. + \frac{1}{\sum_{i=p_j \cdot n+1}^n 1[y_i == c_{rest}]} \sum_{i=p_j \cdot n+1}^n 1[y_i == c_{rest}] 1[c_j == c_{rest}] \right) \\
&= \frac{1}{3} \left(\frac{1}{\sum_{i=1}^{p_j \cdot n} 1} \sum_{i=1}^{p_j \cdot n} 1 \cdot 1 + \frac{1}{\sum_{i=1}^{(1-p_j) \cdot n} 1} \sum_{i=1}^{(1-p_j) \cdot n} 1 \cdot 0 \right) \\
&= \frac{1}{3} \left(\frac{1}{p_j \cdot n} p_j \cdot n + \frac{1}{(1-p_j) \cdot n} \cdot 0 \right) \\
&= \frac{1}{3} (1 + 0) \\
&= \frac{1}{3}
\end{aligned}$$

$$\begin{aligned}
E(\text{Vanilla Accuracy}) &= P(f(x_i) = c_1) \cdot \text{Vanilla Accuracy given } [f(x_i) = c_1] \\
&\quad + P(f(x_i) = c_2) \cdot \text{Vanilla Accuracy given } [f(x_i) = c_2] \\
&\quad + P(f(x_i) = c_3) \cdot \text{Vanilla Accuracy given } [f(x_i) = c_3] \\
&= q_1 \cdot p_1 + q_2 \cdot p_2 + q_3 \cdot p_3
\end{aligned}$$

$$\begin{aligned}
E(\text{Class-wise Average Accuracy}) &= P(f(x_i) = c_1) \cdot \text{Class-wise Average Accuracy given } [f(x_i) = c_1] \\
&\quad + P(f(x_i) = c_2) \cdot \text{Class-wise Average Accuracy given } [f(x_i) = c_2] \\
&\quad + P(f(x_i) = c_3) \cdot \text{Class-wise Average Accuracy given } [f(x_i) = c_3] \\
&= q_1 \cdot \frac{1}{3} + q_2 \cdot \frac{1}{3} + q_3 \cdot \frac{1}{3} \\
&= (q_1 + q_2 + q_3) \cdot \frac{1}{3} \\
&= \frac{1}{3}
\end{aligned}$$

Expected values of the two accuracies when we predict each sample x with the class c with probability p_c (i.e. $q_c = p_c$)

When $q_c = p_c$

$$\begin{aligned} E(\text{Vanilla Accuracy}) &= q_1 \cdot p_1 + q_2 \cdot p_2 + q_3 \cdot p_3 \\ &= p_1 \cdot p_1 + p_2 \cdot p_2 + p_3 \cdot p_3 \\ &= (p_1)^2 + (p_2)^2 + (p_3)^2 \end{aligned}$$

$$\begin{aligned} E(\text{Class-wise averaged accuracy}) &= (q_1 + q_2 + q_3) \cdot \frac{1}{3} \\ &= (p_1 + p_2 + p_3) \cdot \frac{1}{3} \\ &= \frac{1}{3} \end{aligned}$$

Suppose you predict constantly the most frequent class $f(x_i) = \text{argmax}_c p_c$. Find the set of test set frequencies $p_1; p_2; p_3$ such that (A) the vanilla accuracy will be equal to the class-wise averaged accuracy.

When $f(x_i) = \text{argmax}_c p_c$: Vanilla Accuracy = $\max_c p_c$ and Class-wise averaged accuracy = $\frac{1}{3}$

for vanilla accuracy = class-wise averaged accuracy,

$$\begin{aligned} \max_c p_c &= \frac{1}{3} \\ \max(p_1, p_2, p_3) &= \frac{1}{3} \end{aligned}$$

Let $\max_c p_c = p_1$, for the above equation to be fulfilled, $p_1 = \frac{1}{3}$. Since $\sum_{i=1}^3 p_i = 1$,

$$\begin{aligned} p_1 + p_2 + p_3 &= 1 \\ \frac{1}{3} + p_2 + p_3 &= 1 \\ p_2 + p_3 &= \frac{2}{3} \end{aligned} \tag{1}$$

For $\max_c p_c = p_1 = \frac{1}{3}$,

$$p_2 \leq \frac{1}{3} \text{ and } p_3 \leq \frac{1}{3} \tag{2}$$

Satisfying equations 1 and 2 yields,

$$p_2 = p_3 = \frac{1}{3}$$

Therefore the test set required is ,

$$p_1 = p_2 = p_3 = \frac{1}{3}$$

Suppose you predict constantly the most frequent class $f(x_i) = \text{argmax}_c p_c$. Find the set of test set frequencies $p_1; p_2; p_3$ such that (B) the vanilla accuracy will be much higher than the class-wise averaged accuracy (for example, provide a $p_1; p_2; p_3$, such that the vanilla accuracy is 0.95, but the class-wise accuracy is $\frac{1}{3}$ only).

When $f(x_i) = \text{argmax}_c p_c$: Vanilla Accuracy = $\max_c p_c$ and Class-wise averaged accuracy = $\frac{1}{3}$

$$\max(p_1, p_2, p_3) >> \frac{1}{3}$$

From our calculations above, we can see that with $f(x_i) = \operatorname{argmax}_c p_c$ the class-wise accuracy is a constant independent of p_1, p_2 and p_3 .

Therefore to achieve the example stated in the question,

$$p_1 = 0.95$$

$$p_2 = 0.025$$

$$p_3 = 0.025$$