

# Lecture 2: SAT Solvers & the DPLL Algorithm

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## 1 Why SAT Matters for AI?

- SAT is NP-Complete; it is a hard problem.
- Many other problems can be converted to SAT.

## 2 Satisfying a Clause

A **literal** is a propositional symbol or the negation of one. For a clause to be true in a model it is enough for one of the literals to be true in that model.

## 3 Breaking Clauses

For a clause to be false in a model, all literals must be false in that model. We say the model *breaks* the clause.

## 4 When a Model Does Not Satisfy a CNF Formula

A CNF (conjunctive normal form) is a conjunction of clauses. In these notes often the phrase 'a CNF' will appear in the place of 'a formula in CNF' in the interests of brevity. Since it is a conjunction, if a model breaks even one clause it fails to satisfy the CNF. If all clauses are satisfied then so is the CNF.

## 5 Determining Satisfiability

With  $n$  propositional symbols there are  $2^n$  models. We can determine whether a CNF is satisfiable by enumerating all models. If we come across a satisfying model then the answer is YES, otherwise (after checking all  $2^n$  models), the answer is NO.

**Note:** We typically do not need a fully defined model to decide whether a clause is satisfied (nor if it is broken).

e.g. if  $A = \text{true}$ , then  $(A \vee \neg B \vee C)$  is satisfied regardless of the truth-values of  $B$  and  $C$ . or if  $A = \text{false}$ ,  $B = \text{true}$ ,  $C = \text{false}$  then  $(A \vee \neg B \vee C)$  is broken, regardless of the truth value of  $D$ .

## 6 SAT Solving As Search

One can view the SAT problem as a search for a satisfying model. The states are partially-defined models, i.e. truth assignments for some of the propositional symbols. We can move to a new state by assigning true/false to a variable. And can also backtrack to an earlier state. DPPL is depth-first search with simple, but effective heuristics.

## 7 Pure Symbols

Consider:

$$A \vee \neg B \tag{1}$$

$$\neg B \vee \neg C \tag{2}$$

$$A \vee C \tag{3}$$

Given these three statements, we can say that  $A$  and  $B$  are both **pure**, since they have the same 'sign' in all clauses.  $C$ , however, is impure.

**Note:** If a CNF has a model, then it has one with all pure symbols set to make their literals true.

All clauses containing a given pure symbol will be satisfied and other clauses won't depend on it. So **fix** the truth-values of pure symbols.

Given the same statements, if we have  $B = \text{false}$ , then  $(\neg B \vee \neg C)$  is already true and therefore  $C$  becomes pure. In general, when looking for pure symbols we can ignore clauses already known to be true.

## 8 Unit Clause Heuristic

A **unit clause** contains a single literal. If  $B = \text{true}$  then  $(\neg B \vee \neg C)$  simplifies to  $\neg C$  and so  $C$  must be set to *false*. In general, if all literals bar one are *false* in a (particularly-built) model, then **fix** the last one to satisfy the clause.

## 9 Unit Propagation

Forcing a variable to take a particular value may generate a *cascade* of forced assignments. For example, suppose  $C \vee A$  is one of our clauses. If  $C$  is set to false then  $A$  must be set to true. This is called **unit propagation**.

## 10 Component Analysis

As we assign variables, satisfied clauses can be removed and literals can be removed from yet-to-be-satisfied clauses. The resulting CNF may end up being representable as  $X \wedge Y$  where  $X$  and  $Y$  are both CNFs with no overlapping variables.  $X$  and  $Y$  are then components which can be worked on separately.

## 11 Variable & Value Ordering

Which variable to try next? Which variable to try first? *Degree heuristic* chooses the variable which appears most frequently over the remaining clauses.

## 12 Other Tricks

- Intelligent Backtracking (as opposed to chronological backtracking in standard depth-first search).
- Clause learning (very important in modern SAT solvers, it is where you write clauses which weren't given explicitly at the beginning).
- Random restarts
- Good programming!