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UNIVERSITY OF NEW SOUTH WALES

SCHOOL OF MATHEMATICS AND STATISTICS

Assignment

Functional Analysis

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Declaration

I declare that this assessment item is my own work, except where acknowledged, and has not been submitted for academic credit elsewhere.

I certify that I have read and understood the University Rules in respect of Student Academic Misconduct.

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Question 1

Define a map $T : X/X_0 \rightarrow (X_0^\perp)^*$ by having $T(x + X_0)(f) = f(x)$.

T Well Defined:

If $x + X_0 = y + X_0$ then $f(x) = f(y)$ because $x - y \in X_0$ and $f \in X_0^\perp$, so $f(x) - f(y) = f(x - y) = 0$.

T Linear:

$$T(\alpha x + \beta y + X_0) = f(\alpha x + \beta y) = \alpha f(x) + \beta f(y) = \alpha T(x) + \beta T(y)$$

T Isometric:

Firstly prove $\|T(x + X_0)\|_{(X_0^\perp)^*} \leq \|x + X_0\|_{X/X_0}$:

$$\|T(x + X_0)\| = \sup_{\substack{\|f\| \leq 1 \\ f \in X_0^\perp}} |f(x)| \leq \inf_{x' \in X_0} \|x - x'\|$$

because for all $x' \in X_0$, $|f(x - x')| = |f(x)| = \|x - x'\|$.

Now prove $\|T(x + X_0)\|_{(X_0^\perp)^*} \geq \|x + X_0\|_{X/X_0}$. Fix $x^* \in X$ and let

$V = \text{span}\{x^*\}$. Define $\omega \in V^*$ by $\omega(\lambda x) = \lambda \|x^* + X_0\|_{X/X_0}$. This functional is clearly linear on V .

$$|\omega(x^*)| = \|x^* + X_0\|_{X/X_0} \leq \|x^*\|_X \text{ so}$$

$$\|\omega\|_{V^*} = \sup_{\substack{x \in X \\ x \neq 0}} \frac{|\omega(x)|}{\|x\|_X} \leq 1.$$

By Hahn-Banach $\exists \bar{\omega} \in X^*$ with $\bar{\omega}(x) = \omega(x) \quad \forall x \in V$ and $|\bar{\omega}(x)| \leq |\omega(x)| = \|x + X_0\|$.

Then for $z \in X_0$, $\bar{\omega}(z) = 0$ because $\|z + X_0\| = 0$ and so $\bar{\omega} \in X_0^\perp$.

$$|\bar{\omega}(z)| \leq \|z\|.$$

Now $\bar{\omega}(x^*) = \|x^* + X_0\|_{X/X_0}$ therefore taking the sup over all $f \in X_0^\perp$ we have

$$\sup_{\substack{f \in X_0^\perp \\ \|f\|_{X^*} \leq 1}} |f(x^*)| \geq \|x^* + X_0\|_{X/X_0}$$

and so $\|T(x^* + X_0)\|_{(X_0^\perp)^*} \geq \|x^* + X_0\|_{X/X_0}$ for all $x^* \in X$. \square

Question 2

Definition of $T \Leftrightarrow T$ continuous $\Leftrightarrow T$ bounded. (You probably didn't want that proof...)

Another method

T bounded $\Rightarrow T^{-1}(U)$ open:

For some open $U \in Y$ such that $T^{-1}(U) \neq \emptyset$, pick an $x_1 \in T^{-1}(U)$. Because U is open $\exists \varepsilon > 0$ such that $B_1 = \{y \in Y : \|Tx_1 - y\| < \varepsilon\} \subset U$. Let $\delta = \frac{\varepsilon}{\|T\|}$. Then any $x_2 \in B_2 = \{x \in X : \|x_1 - x_2\| < \delta\}$ is such that

$\|Tx_1 - Tx_2\| \leq \|T\| \cdot \|x_1 - x_2\| < \varepsilon$ because T is bounded. This means $Tx_2 \in U$ and importantly $x_2 \in T^{-1}(U)$. It follows that $B_2 \subset T^{-1}(U)$ because this holds for any $x_2 \in B_2$.

$T^{-1}(U)$ open $\Rightarrow T$ bounded:

Let $U = \{y \in Y : \|y\| < 1\}$ then we have that $T^{-1}(U)$ is open, which specifically means that there exists some ε such that $B = \{X \in X : \|x\| < \varepsilon\} \subset T^{-1}(U)$. The fact that this ball contains 0 is guaranteed by the linearity of T .

Then for $x \in B$, $T(x) \in U$ so

$$\sup_{x \in B} \|Tx\| \leq 1$$

and

$$\sup_{\|x\| \leq 1} \|Tx\| = \sup_{x \in B} \|T(\frac{x}{\varepsilon})\| \leq \frac{1}{\varepsilon}.$$

Question 3

Firstly show that $X_0^\perp = \text{span}\{x\}$ where $x = (1, 1, 1, \dots)$.

Note that $X^\perp = \ell^\infty$ from lectures.

Let $y = (\xi_k)_{k \in \mathbb{N}} \in \ell^1$ with $\xi_1 = 1$ and $\xi_n = 1$ but $\xi_k = 0$ otherwise and let $z = (\eta_k)_{k \in \mathbb{N}} \in X_0^\perp$. We require that for all $y \in X_0$

$$\sum_{k \in \mathbb{N}} \overline{\eta_k} \xi_k = 0 \quad \textcircled{*}$$

This therefore requires that $\eta_n = -\eta_1$, but as n was arbitrary and $\textcircled{*}$ must hold for all $y \in X_0$ so it follows that $X_0^\perp \subseteq \text{span}\{x\}$ where $x = (1, 1, 1, \dots)$.

It is clear that if $z = (\eta, \eta, \eta, \dots) \in \text{span}\{x\}$ then

$$\sum_{k \in \mathbb{N}} \overline{\eta} \xi_k = \overline{\eta} \sum_{k \in \mathbb{N}} \xi_k = 0$$

so $z \in X_0^\perp$ and $X_0^\perp = \text{span}\{x\}$.

Secondly show that there exists an isometrical isomorphism $T : X/X_0 \rightarrow \mathbb{C}$.

Define a mapping $T : X/X_0 \rightarrow \mathbb{C}$ by $T(x + X_0) = \sum_{k \in \mathbb{N}} \xi_k$ where $x = (\xi_k)_{k \in \mathbb{N}}$

T Well Defined:

Suppose $y = (\eta_k)_{k \in \mathbb{N}} \in X_0$ and $x = (\xi_k)_{k \in \mathbb{N}} \in X$ then

$$T(x + y) = \sum_{k \in \mathbb{N}} (\xi_k + \eta_k) = \sum_{k \in \mathbb{N}} \xi_k + \underbrace{\sum_{k \in \mathbb{N}} \eta_k}_{=0} = \sum_{k \in \mathbb{N}} \xi_k = T(x)$$

T Linear:

This follows directly from the linearity of the sum.

T Surjective:

This is clear, because for any $a \in \mathbb{C}$ we just notice that $x = (a, 0, 0, \dots)$ is such that $T(x + X_0) = a$.

T Injective:

Suppose $T(x + X_0) = T(y + X_0)$ then $T(x - y + X_0) = 0$ by linearity and by the definition of T and X_0 it follows that $x - y \in X_0$ so $x + X_0 = y + X_0$.

T injective and T surjective implies X/X_0 is isomorphic to \mathbb{C} and importantly 1 dimensional.

T Isometric:

Because we have shown that X/X_0 then we have that any $y + X_0 \in X/X_0$ is such that $y = \lambda x + X_0$. We choose $x = (\xi_k)_{k \in \mathbb{N}}$ with $\xi_k = (\frac{1}{2})^{k-1}$ so that $T(x + X_0) = 1$ and $\xi_k \geq 0$ for all $k \in \mathbb{N}$. Now $|T(y + X_0)| = |\lambda T(x + X_0)| = |\lambda|$ and $\|y + X_0\| = \inf_{x \in X_0} \|y - x\| = \|y\|$ by the definition of X_0 . So $|T(y + X_0)| = \|y + X_0\|$ and T is an isometry.

¹ $0 \notin \mathbb{N}$