



# Upgrade Element software

## HCI

dbag-personal, Ann-Marie Grissino  
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# Upgrade Element software

To upgrade to NetApp Element software 12.0, you must use the `sfinstall` file included in the HealthTools suite of tools. Certain operations are suppressed during an Element software upgrade, such as adding and removing nodes, adding and removing drives, and commands associated with initiators, volume access groups, and virtual networks, among others.

Choose one of the following Element software upgrade options that use HealthTools for the procedure:

- [Upgrade Element software at connected sites](#)
- [Upgrade Element software at dark sites](#)



If you are upgrading an H610S series node to Element 12.0 or later, you will need to upgrade Element software (phase 1) and then perform additional upgrade steps (phase 2) for each storage node. See [Upgrading H610S storage nodes to Element 12.0 or later \(phase 2\)](#) after you complete the `sfinstall` procedure with HealthTools.

## *What you'll need*

- You have updated your management services bundle to the latest version.



You must upgrade to the latest management services bundle before upgrading your Element software to version 12.

- You have checked upgrade path information for the Element version you are upgrading to and verified that the upgrade path is valid.  
[NetApp KB 1088254: Upgrade matrix for storage clusters running NetApp Element Software](#)
- You have the latest version of HealthTools.
- You have verified that the cluster is ready to be upgraded. See [Run Element storage health checks prior to upgrading storage](#).
- You have ensured that the system time on all nodes is synced and that NTP is correctly configured for the storage cluster and nodes. Each node must be configured with a DNS nameserver in the per-node web UI ([https://\[IP address\]:442](https://[IP address]:442)) with no unresolved cluster faults related to time skew.
- The management node in your environment is running version 11.0, 11.1 or later.

## Upgrade Element software at connected sites

### *Steps*

1. For NetApp HCI systems, go to the NetApp HCI software [download page](#). For SolidFire storage systems, go to the Element software [download page](#).

2. Select the correct software release and download the latest storage node image to a computer that is not the management node.
3. Copy the ISO file to the management node in an accessible location like `/tmp`.



You can do this by using, for example, SCP.

When you upload the ISO file, make sure that the name of the file does not change, otherwise later steps will fail.

4. **Optional:** Download the ISO from the management node to the cluster nodes before the upgrade.

This step reduces the upgrade time by pre-staging the ISO on the storage nodes and running additional internal checks to ensure that the cluster is in a good state to be upgraded. Performing this operation will not put the cluster into "upgrade" mode or restrict any of the cluster operations.

```
sfinstall <MVIP> -u <cluster_username> <path-toinstall-file-ISO> --stage
```



Omit the password from the command line to allow `sfinstall` to prompt for the information. For passwords that contain special characters, add a backslash (\) before each special character. For example, `mypass!@1` should be entered as `mypass\!\@.`

### Example

See the following sample input:

```
sfinstall 10.117.0.244 -u admin /tmp/solidfire-rtfisodium-11.0.0.345.iso --stage
```

The output for the sample shows that `sfinstall` attempts to verify if a newer version of `sfinstall` is available:

```
sfinstall 10.117.0.244 -u admin
/tmp/solidfire-rtfisodium-11.0.0.345.iso 2018-10-01 16:52:15:
Newer version of sfinstall available.
This version: 2018.09.01.130, latest version: 2018.06.05.901.
The latest version of the HealthTools can be downloaded from:
https://mysupport.netapp.com/NOW/cgi-bin/software/
or rerun with --skip-version-check
```

See the following sample excerpt from a successful pre-stage operation:



When staging completes, the message will display **Storage Node Upgrade Staging Successful** after the upgrade event.

```

flabv0004 ~ # sfinstall -u admin
10.117.0.87 solidfire-rtfi-sodium-patch3-11.3.0.14171.iso --stage
2019-04-03 13:19:58: sfinstall Release Version: 2019.01.01.49 Management Node
Platform:
Ember Revision: 26b042c3e15a Build date: 2019-03-12 18:45
2019-04-03 13:19:58: Checking connectivity to MVIP 10.117.0.87
2019-04-03 13:19:58: Checking connectivity to node 10.117.0.86
2019-04-03 13:19:58: Checking connectivity to node 10.117.0.87
...
2019-04-03 13:19:58: Successfully connected to cluster and all nodes
...
2019-04-03 13:20:00: Do you want to continue? ['Yes', 'No']: Yes
...
2019-04-03 13:20:55: Staging install pack on cluster nodes
2019-04-03 13:20:55: newVersion: 11.3.0.14171
2019-04-03 13:21:01: nodeToStage: nlabp2814, nlabp2815, nlabp2816, nlabp2813
2019-04-03 13:21:02: Staging Node nlabp2815 mip=[10.117.0.87] nodeID=[2] (1 of 4
nodes)
2019-04-03 13:21:02: Node Upgrade serving image at
http://10.117.0.204/rtfi/solidfire-rtfisodium-
patch3-11.3.0.14171/filesystem.squashfs
...
2019-04-03 13:25:40: Staging finished. Repeat the upgrade command without the --stage
option to start the upgrade.

```

The staged ISOs will be automatically deleted after the upgrade completes. However, if the upgrade has not started and needs to be rescheduled, ISOs can be manually de-staged using the command:

```
sfinstall <MVIP> -u <cluster_username> --destage
```

After the upgrade has started, the de-stage option is no longer available.

5. Start the upgrade with the **sfinstall** command and the path to the ISO file:

```
sfinstall <MVIP> -u <cluster_username> <path-toinstall-file-ISO>
```

### Example

See the following sample input command:

```
sfinstall 10.117.0.244 -u admin /tmp/solidfire-rtfi-sodium-11.0.0.345.iso
```

The output for the sample shows that **sfinstall** attempts to verify if a newer version of **sfinstall** is available:

```
sfinstall 10.117.0.244 -u admin /tmp/solidfire-rtfi-sodium-11.0.0.345.iso
2018-10-01 16:52:15: Newer version of sfinstall available.
This version: 2018.09.01.130, latest version: 2018.06.05.901.
The latest version of the HealthTools can be downloaded from:
https://mysupport.netapp.com/NOW/cgi-bin/software/ or rerun with --skip-version-check
```

See the following sample excerpt from a successful upgrade. Upgrade events can be used to monitor the progress of the upgrade.

```
# sfinstall 10.117.0.161 -u admin solidfire-rtfi-sodium-11.0.0.761.iso
2018-10-11 18:28
Checking connectivity to MVIP 10.117.0.161
Checking connectivity to node 10.117.0.23
Checking connectivity to node 10.117.0.24
...
Successfully connected to cluster and all nodes
#####
You are about to start a new upgrade
10.117.0.161
10.3.0.161
solidfire-rtfi-sodium-11.0.0.761.iso
Nodes:
10.117.0.23 nlabp1023 SF3010 10.3.0.161
10.117.0.24 nlabp1025 SF3010 10.3.0.161
10.117.0.26 nlabp1027 SF3010 10.3.0.161
10.117.0.28 nlabp1028 SF3010 10.3.0.161
#####
Do you want to continue? ['Yes', 'No']: yes
...
Watching for new network faults. Existing fault IDs are set([]).
Checking for legacy network interface names that need renaming
Upgrading from 10.3.0.161 to 11.0.0.761 upgrade method=rtfi
Waiting 300 seconds for cluster faults to clear
Waiting for caches to fall below threshold
...
Installing mip=[10.117.0.23] nodeID=[1] (1 of 4 nodes)
Starting to move primaries.
Loading volume list
Moving primary slice=[7] away from mip[10.117.0.23] nodeID[1] ssid[11] to new ssid[15]
Moving primary slice=[12] away from mip[10.117.0.23] nodeID[1] ssid[11] to new
ssid[15]
...
Installing mip=[10.117.114.24] nodeID=[2] (2 of 4 nodes)
Starting to move primaries.
Loading volume list
Moving primary slice=[5] away from mip[10.117.114.24] nodeID[2] ssid[7] to new
ssid[11]
...
Install of solidfire-rtfi-sodium-11.0.0.761 complete.
Removing old software
No staged builds present on nodeID=[1]
No staged builds present on nodeID=[2]
...
Starting light cluster block service check
```



If you are upgrading an H610S series node to Element 12.0 or later, you will need to perform additional upgrade steps (phase 2) for each storage node. See [Upgrading H610S storage nodes to Element 12.0 or later \(phase 2\)](#).

## Upgrade Element software at dark sites

You must use the HealthTools suite of tools to update NetApp Element software at a dark site.

### *What you'll need*

1. For NetApp HCI systems, go to the NetApp HCI software [download page](#). For SolidFire storage systems, go to the Element software [download page](#).
2. Select the correct software release and download the latest storage node image to a computer that is not the management node.
3. Download this [JSON file](#) ([https://library.netapp.com/ecm/ecm\\_get\\_file/ECMLP2840740](https://library.netapp.com/ecm/ecm_get_file/ECMLP2840740)) from the NetApp Support Site on a computer that is not the management node and rename it to `metadata.json`.
4. Copy the ISO file to the management node in an accessible location like `/tmp`.



You can do this by using, for example, SCP. When you upload the ISO file, make sure that the name of the file does not change, otherwise later steps will fail.

### *Steps*

1. Run the `sfupdate-healthtools` command:

```
sfupdate-healthtools <path-to-healthtools-package>
```

2. Check the installed version:

```
sfupdate-healthtools -v
```

3. Check the latest version against the metadata JSON file:

```
sfupdate-healthtools -l --metadata=<path-to-metadata-json>
```

4. Ensure that the cluster is ready:

```
sudo sfupgradecheck -u <cluster_username> -p <cluster_password> MVIP --metadata=<path-to-metadata-json>
```



5. Run the `sfinstall` command with the path to the ISO file and the metadata JSON file:

```
sfinstall -u <cluster_username> <MVIP> <path-toinstall-file-ISO> --metadata=<path-to-metadata-json-file>
```

See the following sample input command:

```
sfinstall -u admin 10.117.78.244 /tmp/solidfire-rtfi-11.3.0.345.iso  
--metadata=/tmp/metadata.json
```

**Optional** You can add the `--stage` flag to the `sfinstall` command to pre-stage the upgrade in advance.



If you are upgrading an H610S series node to Element 12.0 or later, you will need to perform additional upgrade steps (phase 2) for each storage node. See [Upgrading H610S storage nodes to Element 12.0 or later \(phase 2\)](#).

## What happens if an upgrade fails

If the software upgrade fails, you can pause the upgrade.



You should pause an upgrade only with Ctrl-C. This enables the system to clean itself up.

When `sfinstall` waits for cluster faults to clear and if any failure causes the faults to remain, `sfinstall` will not proceed to the next node.

### Steps

1. You should stop `sfinstall` with Ctrl+C.
2. Contact NetApp Support to assist with the failure investigation.
3. Resume the upgrade with the same `sfinstall` command.
4. When an upgrade is paused by using Ctrl+C, if the upgrade is currently upgrading a node, choose one of these options:
  - **Wait:** Allow the currently upgrading node to finish before resetting the cluster constants.
  - **Continue:** Continue the upgrade, which cancels the pause.
  - **Abort:** Reset the cluster constants and abort the upgrade immediately.



Aborting the cluster upgrade while a node is being updated might result in the drives being ungracefully removed from the node. If the drives are ungracefully removed, adding the drives back during an upgrade will require manual intervention by NetApp Support. The node might be taking longer to do firmware updates or post update syncing activities. If the upgrade progress seems stalled, contact NetApp Support for assistance.

## Upgrading H610S storage nodes to Element 12.0 or later (phase 2)

If you are upgrading an H610S series node to Element 12.0 or later, the upgrade process involves two phases.

Phase 1, which is performed first, follows the same steps as the standard upgrade to Element 12.0 process. It installs Element Software and all 5 firmware updates in a rolling fashion across the cluster one node at a time. Due to the firmware payload, the process is estimated to take approximately 1.5 to 2 hours per H610S node, including a single cold-boot cycle at the end of the upgrade for each node.

Phase 2 involves completing steps to perform a complete node shutdown and power disconnect for each H610S node that are described in a required [KB](#). This phase is estimated to take approximately one hour per H610S node.



After you complete phase 1, four of the five firmware updates are activated during the cold boot on each H610S node; however, the Complex Programmable Logic Device (CPLD) firmware requires a complete power disconnect and reconnect to fully install. The CPLD firmware update protects against NVDIMM failures and metadata drive eviction during future reboots or power cycles. This power reset is estimated to take approximately one hour per H610S node. It requires shutting down the node, removing power cables or disconnecting power via a smart PDU, waiting approximately 3 minutes, and reconnecting power.

### *Before you begin*

- You have completed phase 1 of the H610S upgrade process and have upgraded your storage nodes using one the standard Element storage upgrade procedures:
  1. [Upgrade Element software at connected sites](#)
  2. [Upgrade Element software at dark sites](#)



Phase 2 requires on-site personnel.

### *Steps*

1. (Phase 2) Complete the power reset process required for each H610S node in the cluster:



If the cluster also has non-H610S nodes, these non-H610S nodes are exempt from phase 2 and do not need to be shut down or have their power disconnected.

- a. Contact NetApp Support for assistance and to schedule this upgrade.
- b. Follow the phase 2 upgrade procedure in this [KB](#) that is required to complete an upgrade for each H610S node.

## Find more information

- [NetApp HCI Documentation Center](#)
- [NetApp HCI Resources Page](#)

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