

1 **Impact of grids and dynamical cores in CESM2.2 on**
2 **the meteorology and climate of the Arctic**

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7 **Key Points:**

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Abstract

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Plain Language Summary

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1 Introduction

General Circulation Models (GCMs) are powerful tools for understanding the meteorology and climate of the high-latitudes, which are among the most sensitive regions on Earth to global and environmental change. Despite their importance, the numerical treatment of polar regions in GCMs is handled in vastly-different ways due to the so-called *pole-problem* (D. Williamson, 2007), which refers to numerical instability arising from the convergence of meridians at the polar points on latitude-longitude grids (e.g., Figure 1a). Depending on the numerics, methods exist to suppress this instability, and latitude-longitude grids may be advantageous for polar processes as structures can be represented with more degrees of freedom than elsewhere in the computational domain. With the recent trend towards globally uniform unstructured grids, any potential benefits of latitude-longitude grids on polar regions may become a relic of the past. In this study, a spectrum of model grids and dynamical cores (hereafter referred to as *dycores*) available in the Community Earth System Model, version 2.2 (CESM; <http://www.cesm.ucar.edu/models/cesm2/>) are evaluated to understand their impacts on the simulated characteristics of the Arctic, with a special focus on the meteorology over the Greenland Ice Sheet.

In the 1970's the pole problem was largely defeated through wide-spread adoption of efficient spectral transform methods in GCMs. These methods transform grid point fields into a global, isotropic representation in wave space, where linear operators (e.g. horizontal derivatives) in the equation set can be solved for exactly. While spectral transform methods are still used in the 21st century, local numerical methods have become desirable for their ability to run efficiently on massively parallel systems. The pole-problem has thus re-emerged in contemporary climate models that use latitude-longitude grids, and some combination of reduced grids and polar filters are necessary to ameliorate this instability (Jablonowski & Williamson, 2011). Polar filters are akin to a band-aid; they subdue the growth of unstable modes by applying additional damping to the solution over polar regions. One might expect that this additional damping reduces the effective resolution such that the resolved scales are similar across the entire domain, but this seems unlikely (describe why) and is explored further in this study.

An alternative approach is to use unstructured grids, which allow for more flexible grid structures that permit quasi-uniform grid spacing globally and eliminates the pole-problem entirely (e.g., Figure 1c). This grid flexibility also allows for variable-resolution or regional grid refinement (e.g., Figure 2). Grids can be developed with refinement over polar regions that could in principle make up for any loss in polar resolution in transitioning away from latitude-longitude grids (e.g., Figure 2), although this comes at the cost of a smaller CFL-limiting time-step in the refined region (the CFL-condition — short for Courant–Friedrichs–Lowy condition — is a necessary condition for numerical stability when using discrete data in time and space). However, unstructured grids scale more efficiently on parallel systems than latitude-longitude grids, likely resulting in a greater prevalence of unstructured grids as computing power continued to increase over time.

The meteorology and climate of the Arctic is characterized by a range of processes and scales that are difficult to represent in GCMs (Bromwich et al., 2001; Smirnova & Golubkin, 2017; van Kampenhout et al., 2018). For example, while synoptic scale storms

are generally well represented at typical GCM resolutions of 1 to 2 degrees (Jablonowski & Williamson, 2006; Stocker, 2014), mesoscale Polar Lows are not well resolved at these resolutions. These mesoscale systems are prevalent during the cold season and produce gale-force winds that can induce large heat and moisture fluxes through the underlying sea-ice/ocean interface. The Arctic also contains the Greenland Ice Sheet (hereafter denoted as *GrIS*). While it blankets the largest island in the world (Greenland), many of the processes that control the *GrIS* annual surface mass balance (the integrated sum of precipitation and melting) are only partially resolved at typical GCM resolutions. For example, *GrIS* precipitation is typically confined to the ice-sheet margins (predominately the southeastern margin) where orographic precipitation is generated by steep topographic slopes. Moreover, *GrIS* ablation areas (marginal regions where seasonal melting exceeds the annual mass input from precipitation) are typically 10s to 100 km wide and confined to low-level areas or regions with limited precipitation. GCMs struggle to resolve the magnitude and extent of these features (van Kampenhout et al., 2018), which can lead to unrealistic ice sheet growth in models with an interactive ice sheet component (e.g., Lofverstrom et al., 2020).

The goal of this study is to characterize the representation of high-latitude regions using the spectral-element and finite-volume dycores in CESM2.2, as these models treat the high-latitudes, e.g., the pole-problem, in different ways. The manuscript is laid out as follows: Section 2 consists of documentation of the grids, dycores and physical parameterizations used in this study. The Arctic refined grids were developed by the authors, and this section serves as their official documentation in CESM2.2. Section 2 also contains a description of the experiments along with reanalysis datasets and post-processing software used for evaluating the model simulations. Section 3 contains the results of the experiments, followed by Section 4 that provides a general discussion and conclusions.

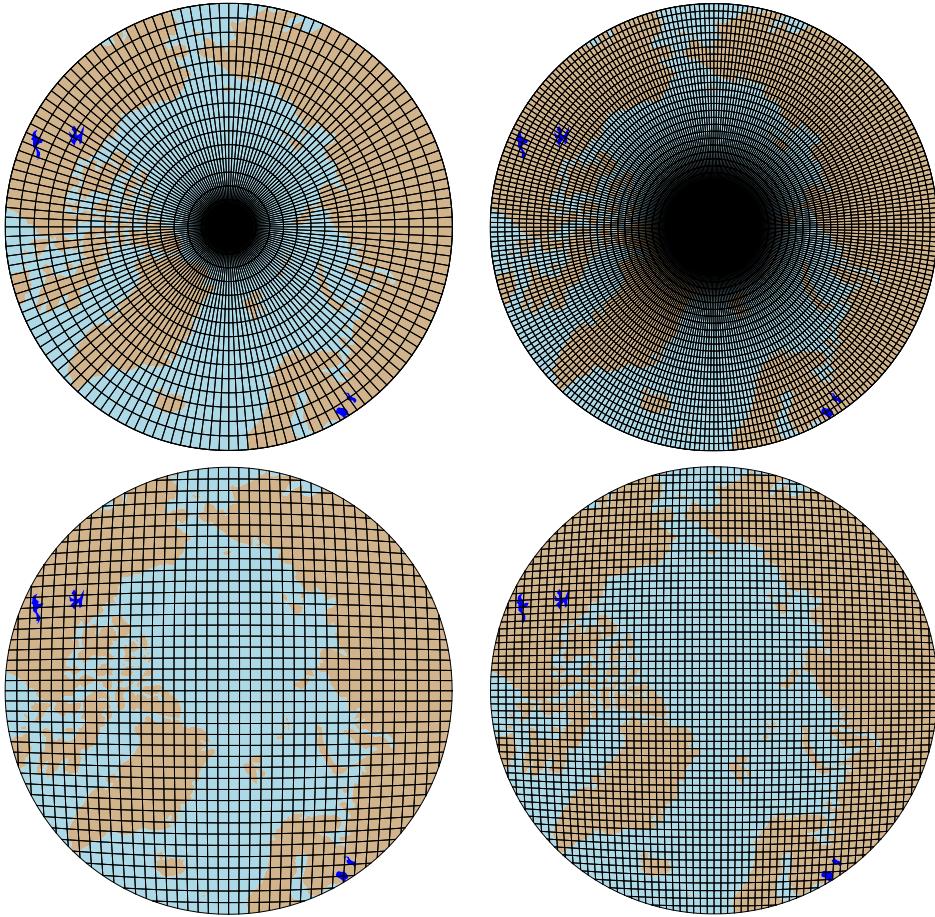
2 Methods

2.1 Dynamical cores

The atmospheric component of CESM2.2, the Community Atmosphere Model, version 6.3 (CAM; <https://ncar.github.io/CAM/doc/build/html/index.html>), supports a number of different atmospheric dynamical cores. These include dycores using latitude-longitude grids, such as finite-volume (FV; Lin, 2004) and eulerian spectral transform (EUL; Collins et al., 2006) models, and dycores built on unstructured grids, including spectral-element (SE; Lauritzen et al., 2018) and finite-volume 3 (FV3; Putman & Lin, 2007) models. The EUL dycore is the oldest dycore in CAM, and the least supported of all the dycores in the current model. FV3 is the newest dycore in CAM, but it was not fully incorporated at the time this work commenced; both the EUL and FV3 dycores are omitted from this study. As such, the results presented in this study are comparing the performance of the SE and FV dycores.

2.1.1 Finite-volume (FV) dynamical core

The FV dycore is a hydrostatic model that integrates the equations of motion using a finite-volume discretization on a spherical latitude-longitude grid (Lin & Rood, 1997). The 2D dynamics evolve in floating Lagrangian layers that are periodically mapped to Eulerian reference grid in the vertical (Lin, 2004), using a hybrid-pressure vertical coordinate. Hyperviscous damping is applied to the divergent modes while Laplacian damping is applied to momentum in the top few layers, referred to as a *sponge layer* (Lauritzen et al., 2011). A polar filter is used to avoid computational instability due to the convergence of the meridians, allowing for a more practical time-step. It takes the form of a Fourier filter in the zonal direction, with the damping coefficients increasing monotonically in the poleward direction (Suarez & Takacs, 1995).

**Figure 1.** .

108 **2.1.2 Spectral-element (SE) dynamical core**

109 The SE dycore is a hydrostatic model that integrates the equations of motion us-
 110 ing a high-order continuous Galerkin method (Taylor et al., 1997; Dennis et al., 2012).
 111 The computational domain is a cubed-sphere grid tiled with quadrilateral elements (e.g.,
 112 Figure 2). Each element contains a fourth order basis set in each horizontal direction,
 113 with the solution defined at the roots of the basis functions, the Gauss-Lobatto-Legendre
 114 (GLL) quadrature points. This results in 16 GLL nodal points within each element, with
 115 12 of the points lying on the (shared) element boundary. Communication between el-
 116 ements happens via the direct stiffness summation (Canuto et al., 2007), which applies
 117 a numerical flux to the element boundaries that reconciles overlapping nodal values and
 118 produces a continuous global basis set.

119 As with the FV dycore, the dynamics evolve in floating Lagrangian layers that are
 120 subsequently mapped to an Eulerian reference grid. A dry mass vertical coordinate was
 121 more recently implemented for thermodynamic consistency with condensates (Lauritzen
 122 et al., 2018). The 2D dynamics have no implicit dissipation and so hyperviscosity op-
 123 erators are applied to all prognostic variables to remove spurious numerical errors (Dennis
 124 et al., 2012). Laplacian damping is applied in the sponge layer.

125 The SE dycore supports regional grid refinement via its variable-resolution config-
 126 uration, requiring two enhancements over uniform resolution grids. (1) As the numer-

**Figure 2.**

ical viscosity increases with resolution, explicit hyperviscosity relaxes according to the local element size, reducing in strength by about an order of magnitude per halving of the grid spacing. A tensor-hyperviscosity formulation is used (Guba et al., 2014), which adjusts the coefficients in two orthogonal directions to more accurately target highly distorted quadrilateral elements. (2) The topography boundary conditions need to be smoothed in a way that does not excite grid scale modes, and so the NCAR topography software (Lauritzen et al., 2015) was modified to scale the smoothing radius by the local element size.

For spectral-element grids with quasi-uniform grid spacing, a variant in which tracer advection is computed using the Conservative Semi-Lagrangian Multi-tracer transport scheme (CSLAM) is used instead (Lauritzen et al., 2017). CSLAM has improved tracer property preservation and accelerated multi-tracer transport. It uses a separate grid from the spectral-element dynamics, through dividing each element into 3×3 control volumes with quasi-equal area. The physical parameterizations are computed from the state on the CSLAM grid, which has clear advantages over the default SE dycore in which the physics are evaluated at the GLL nodal points (A. Herrington et al., 2018).

2.2 Grids

Six grid are evaluated in this study (Table X). The FV dycore is run with 1° and 2° grid spacing, referred to as *f09* and *f19*, respectively (Figure 1a,b). The 1° equivalent of the CAM-SE-CSLAM grid is also run, referred to as *ne30pg3* (Figure 1c), where *ne* refers to a grid with of $ne \times ne$ elements per cubed-sphere face, and *pg* denotes that there are $pg \times pg$ control volumes per element for computing the physics. An additional 1° CAM-SE-CSLAM grid is run, but with the physical parameterizations computed on a grid that contains 2×2 control volumes per element, *ne30pg2* (Figure 1d; A. R. Herrington et al., 2019).

Two variable resolution meshes were developed as part of the CESM2.2 release that contains grid refinement over the Arctic (Figure 2). The Arctic meshes were developed using the software package SQuadgen (<https://github.com/ClimateGlobalChange/squadgen>). The *ARCTIC* grid is a 1° grid with $\frac{1}{4}^\circ$ regional refinement over the broader Arctic region. The *ARCTICGRIS* grid is identical to the *ARCTIC* grid, but contains an additional patch covering the big island of Greenland with $\frac{1}{8}^\circ$ resolution.

158 **2.3 Physical parameterizations**

159 The CAM6 physical parameterization package (hereafter referred to as the *physics*;
 160 <https://ncar.github.io/CAM/doc/build/html/index.html>) is used for all simula-
 161 tions in this study. CAM6 physics is most noteably different from it's predecessors through
 162 the incorporation of high-order turbulence closure, Cloud Layers Unified by Binormals
 163 (CLUBB; Golaz et al., 2002; Bogenschutz et al., 2013), which jointly acts as a PBL, shal-
 164 low convection and cloud macrophysics scheme. CLUBB is coupled with the MG2 mi-
 165 crophysics scheme (Gettelman et al., 2015), with prognostic precipitation and classical
 166 nucleation theory in representing cloud ice for improved cloud-aerosol interactions. Deep
 167 convection is parameterized using a convective quasi-equilibrium mass flux scheme (Zhang
 168 & McFarlane, 1995; Neale et al., 2008) inclusing convective momentum transport (Richter
 169 et al., 2010). PBL form drag is modeled after (Beljaars et al., 2004) and orographic grav-
 170 ity wave drag is represented with an anisotropic method informed by the orientation of
 171 topographic ridges at the sub-grid scale.

172 The CAM convention is that the physics package determines the vertical resolu-
 173 tion. Since all runs use CAM6 physics, all grids and dycores use 32 levels in the verti-
 174 cal, with a model top of about 1 hPa or about 40 km. The physics time-step, in con-
 175 trast, is dependent on grid resolution. Increases in horizontal resolution permit faster
 176 vertical velocities that reduce characteristic time-scales, and so the physics time-step should
 177 be reduced to avoid large time truncation errors (A. Herrington & Reed, 2018). The *ARCTIC*
 178 and *ARCTICGRIS* grids are therefore run with a 4× and 8× reduction in physics time-
 179 step relative to the default 1800 s time-step used in coarser, uniform resolution grids.

180 **2.4 Experimental design**

181 All grids and dycores are run using an identical transient 1979-1998 AMIP-style
 182 configuration, with prescribed monthly SST/sea-ice after (Hurrell et al., 2008). This con-
 183 figuration refers to the *FHIST compset* and runs out of the box in CESM2.2. The Com-
 184 munity Land Model (CLM5; [https://escomp.github.io/ctsm-docs/versions/release](https://escomp.github.io/ctsm-docs/versions/release-clm5.0/html/users_guide/index.html)
 185 -clm5.0/html/users_guide/index.html), which uses the same grid as the atmosphere
 186 grid, calculates the surface energy balance at each land tile within a grid cell, which is
 187 used to compute snow and bare ice melting to inform the surface mass balance (SMB)
 188 of a glacier unit (van Kampenhout et al., 2020). For land ice tiles coinciding with the
 189 Antarctic and Greenland Ice Sheets, the surface energy and mass balance are evaluated
 190 at ten elevation classes, and integrated over sub-grid hypsometric area-elevation bins for
 191 more accurate estimation of the surface mass balance of the big ice sheets. Ice accumu-
 192 lation is modeled as a capping flux, or snow in excess of the assumed 10 m snow cap, and
 193 refreezing of liquid within the snowpack additionally acts as a source of mass in the SMB
 194 calculation. Since the 10 m snowcap needs to be reached in the accumulation zone to
 195 simulate the SMB, the snow depths in the variable-resolution grids were spun-up by forc-
 196 ing CLM5 standalone, cycling over 20 years of a fully coupled *ARCTIC* run for about
 197 500 years. The uniform resolution grids are all initialized with an SMB from an exist-
 198 ing f09 spun-up initial condition.

199 **2.5 SMB Analysis**

200 A common high resolution dataset is used to generate the GrIS boundary condi-
 201 tions using the CLM dataset creation tools. Since we are interested in the total ice sheet
 202 SMB, we seek to integrate various components of the SMB over a common ice mask to
 203 get the total mass change over the GrIS. Figure 7 shows the GrIS ice mask area across
 204 the different grids, as a function of the number of grid points. Due to the use conserva-
 205 tive regridding in the CLM tools, the interpolation errors are small and ice mask areas
 206 have less than 1.5% errors relative to the raw ice mask dataset. RACMO, however, uses
 207 a smaller ice mask, about 3% smaller than the raw CLM dataset. While Figure 7 sug-

208 gests integrating quantities over the native ice mask of the six grids would probably not
 209 suffer from large errors due to differing ice masks, we seek to compare these integrated
 210 quantities with RACMO. Therefore, we have taken the approach of mapping all model
 211 fields to the lowest resolution grids and integrating over the respective low resolution ice
 212 masks. Due to the sensitivity of mapping errors to grid coordinates (i.e., unstructured
 213 or structured), all quantities are evaluated on both the *f19* and *ne30pg2* low resolution
 214 grids. In addition, two remapping packages are used; ESMF conservative and a TempestRemap
 215 high-order, monotone algorithm. In all, each integrated quantity is evaluated (at most)
 216 four times to provide an estimate of uncertainty due to differences in grid coordinates
 217 and remapping algorithm.

218 3 Results

219 3.1 Tropospheric temperatures

220 Before delving into the simulated characteristics of the Arctic, the global mean dif-
 221 ferences between the grids and dycores are assessed, Figure 3 shows the climatological,
 222 zonal mean height plots expressed as differences between the uniform resolution grids
 223 and dycores. The *f09* grid is warmer than the *f19* grid, primarily in the mid-to-high lat-
 224 itudes and throughout the depth of the troposphere. This is a common response to in-
 225 creasing horizontal resolution in GCMs (Pope & Stratton, 2002; Roeckner et al., 2006),
 226 and (A. R. Herrington & Reed, 2020) has shown that this occurs in CAM due to greater
 227 resolved vertical velocities that in turn, facilitate greater condensational heating in the
 228 macrophysics routine in CLUBB. The right columns in Figure 3 supports this interpre-
 229 tation, which shows an increase in the climatological CLUBB heating in the mid-latitudes
 230 in the *f09* grid.

231 As the SE dycore is less diffusive than the FV dycore, the resolved vertical veloc-
 232 ities are larger in the SE dycore, and so a modest, resolution-like sensitivity occurs in
 233 which *ne30pg3* is warmer than *f09* (Figure 3). The stratosphere has a different response,
 234 in which *ne30pg3* is much cooler than *f09* in the mid-to-high latitudes. The differences
 235 in temperature between *ne30pg3* and *ne30pg2* are small, with a slight warming near the
 236 tropopause at high latitudes. This is consistent with the similar climates found between
 237 these grids in (A. R. Herrington et al., 2019).

238 Comparing the variable-resolution grids to the uniform resolution grids is compli-
 239 cated because we simultaneously increase the grid resolution and reduce the physics time-
 240 step, both of which noticeably impact the solution (D. L. Williamson, 2008). An additional
 241 *ne30pg3* simulations is run with the physics time-step used in the *ARCTIC* grid, referred
 242 to as *ne30pg3**. Figure 4 shows the change in climatological temperature in zonal-mean
 243 height space between *ne30pg3** and *ne30pg3*. A similar warming response to increasing
 244 resolution occurs when the time-step is reduced, and the mechanism is similar in that
 245 the shorter time-step facilitates greater condensational heating by CLUBB. Figure 4 shows
 246 the difference in climatological temperature between the *ARCTIC* grid and the *ne30pg3**
 247 grid. The greater condensational heating and warmer temperatures are confined to the
 248 regionally refined region when the impact of physics time-steps is removed from the anal-
 249 ysis.

250 3.2 Synoptic-scale storm characteristics

251 Synoptic storms are identified and analyzed using TempestExtremes (Ullrich et al.,
 252 2021). The new *ARCTIC* grid contains $\frac{1}{4}^\circ$ refinement north of about 45° latitude, and
 253 so the storm tracker is applied to this region for the *ARCTIC* and *ne30pg3* run to iden-
 254 tify differences in storm characteristics due to horizontal resolution. Figure 5 shows the
 255 mean precipitation rates averaged over all January storms identified by TempestExtremes.
 256 The iconic comma structure of the mid-latitude cyclones is simulated in *ne30pg3* and

257 *ARCTIC* grids, with the magnitudes about the same in these two grids, with perhaps
 258 a marginal increase in precipitation rates in the storm center of the *ARCTIC* grid. For
 259 good measure, the *ne30pg3** run is also plotted, and looks more-or-less identical to the
 260 *ne30pg3* run.

261 As has been previously reported, horizontal resolution can have large impacts on
 262 extreme precipitation events. Figure 6 is a PDF of the precipitation rates associated with
 263 synoptic storms, by month. The *ARCTIC* run has larger extreme precipitation rates
 264 compared to *ne30pg3* in every month, but the increase is greatest in the summer months,
 265 which coincides with the most extreme events of the year. This is primarily due to an
 266 increase in resolution; the *ne30pg3** only marginally increases the precipitation rates com-
 267 pared with *ne30pg3*. The *f09* and *f19* grids look similar to *ne30pg3*, but with *f09* hav-
 268 ing more frequent extreme precipitation events than *f19* (not shown). It should also be
 269 noted that the PDFs are evaluated on an identical composite grid for all runs, and so
 270 storm statistics are not impacted by differences in output resolution.

271 The extreme precipitation rates in the *ARCTIC* run are closer to the ERA5 re-
 272 analysis than in *ne30pg3* (Figure 6). It's difficult to know the extent that the extreme
 273 precipitation rates in ERA5 are constrained by data assimilation, or whether these pre-
 274 cipitation rates are due to using a similar $\frac{1}{4}^{\circ}$ model as the *ARCTIC* grid. However, pre-
 275 cipitation rates in $\frac{1}{4}^{\circ}$ models tend to produce more skillful extreme events (O'Brien et
 276 al., 2016).

277 3.3 Orographic gravity waves emanating from Greenland

278 3.4 Katabatic winds emanating from Greenland

279 3.5 Greenland surface mass balance

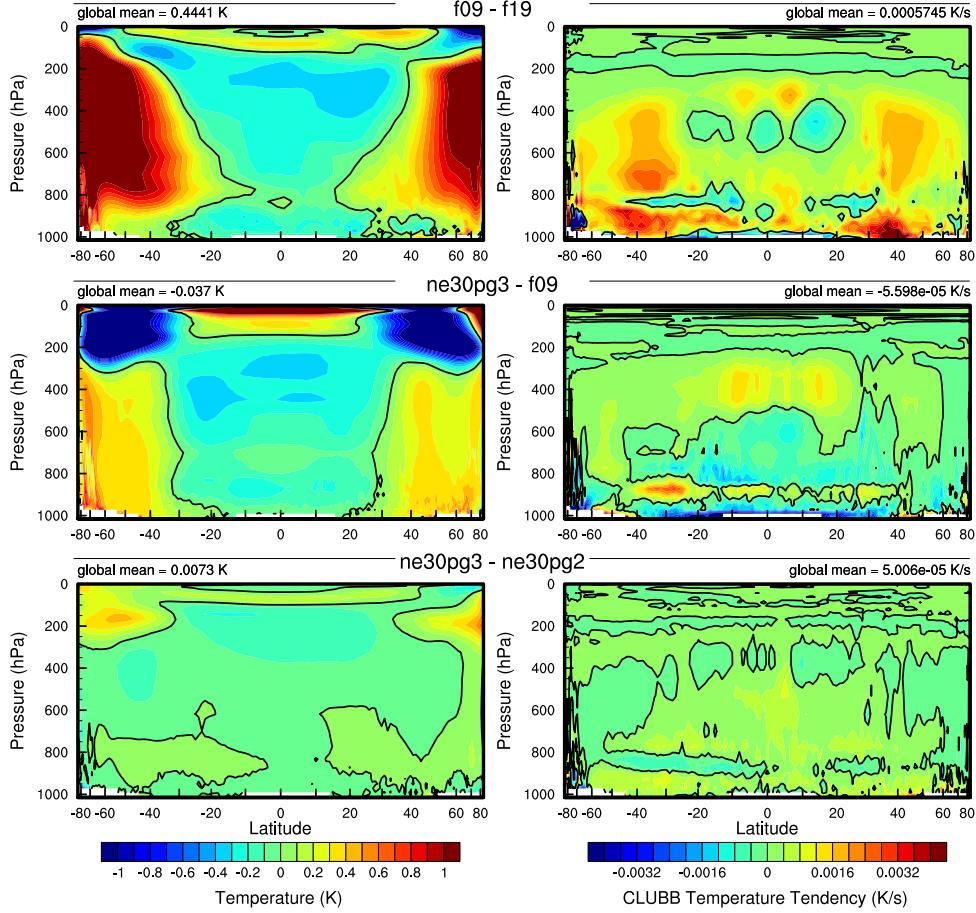
280 The surface mass balance (SMB) of the Greenland Ice Sheet (GrIS) is simulated
 281 in all grids and dycores in this study. As the accuracy of the computed SMB is sensi-
 282 tive to grid resolution, Figure 7 shows the average grid spacing for all six grids in this
 283 study. The *ne30pg2* grid has the coarsest representation with an average $\Delta x = 160\text{ km}$,
 284 and the *ARCTICGRIS* grid has the highest resolution with an average $\Delta x = 14.6\text{ km}$,
 285 similar to the grid spacing of the 11 km RACMO model. The *ne30pg3* grid has an av-
 286 erage $\Delta x = 111.2\text{ km}$, which is substantially more coarse than the *f09* grid, having an
 287 average $\Delta x = 60\text{ km}$. This is interesting because *ne30pg3* and *f09* have similar aver-
 288 age grid spacing over the entire globe, and comparable computational costs, but due to
 289 the convergence of meridians the finite-volume model has enhanced resolution over GrIS.
 290 The *ARCTIC* grid has an average grid spacing over GrIS of $\Delta x = 27.8\text{ km}$, and is about
 291 10 times more expensive than the 1° models. The *ARCTICGRIS* grid is about twice
 292 as expensive as the *ARCTIC* grid.

293 4 Conclusions

294 Acknowledgments

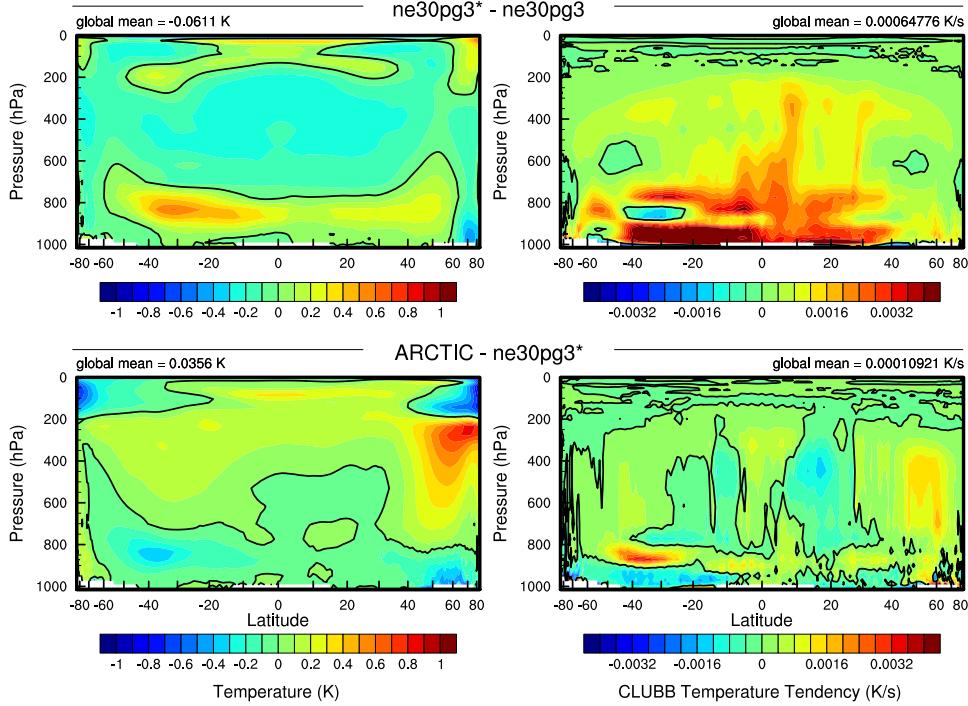
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 299 tion Systems Laboratory (CISL) at NCAR.

300 The data presented in this manuscript is available at <https://github.com/adamrher/2020-arcticgrids>.

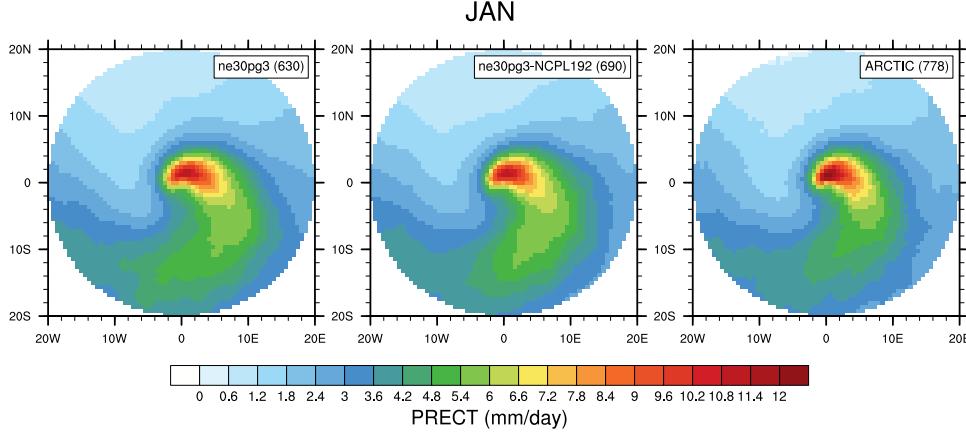
**Figure 3.**

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**Figure 4.**

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**Figure 5.** .

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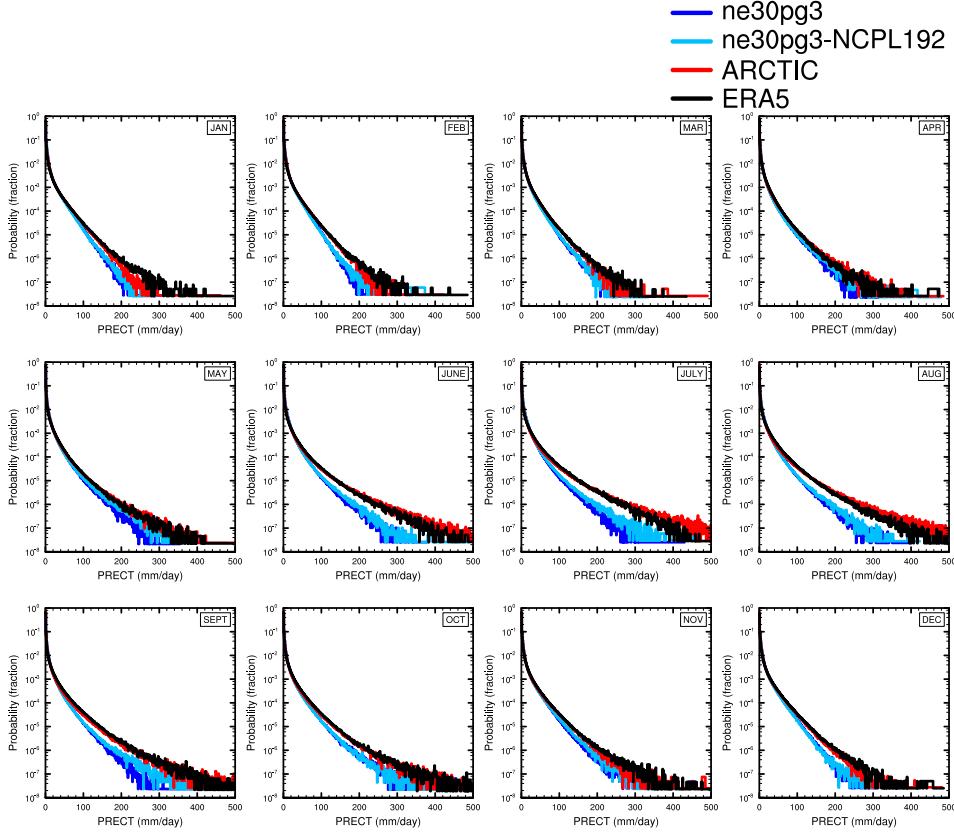
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**Figure 6.** .

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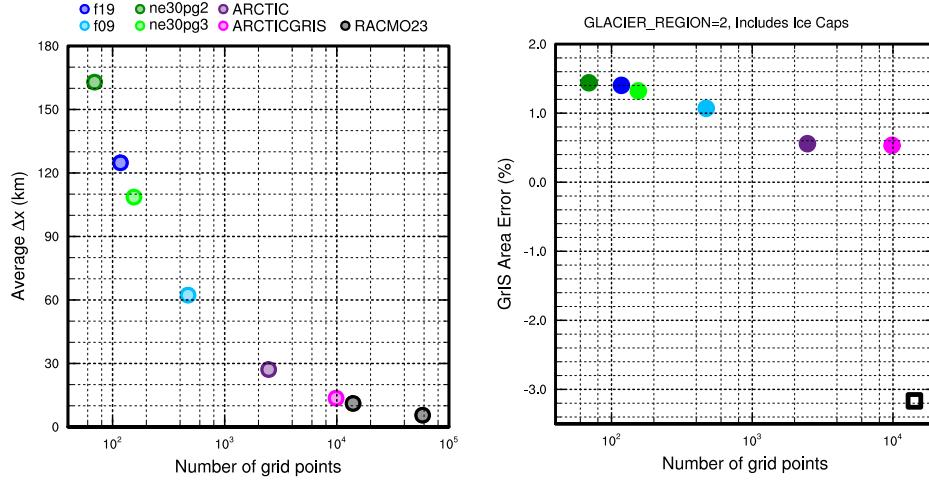
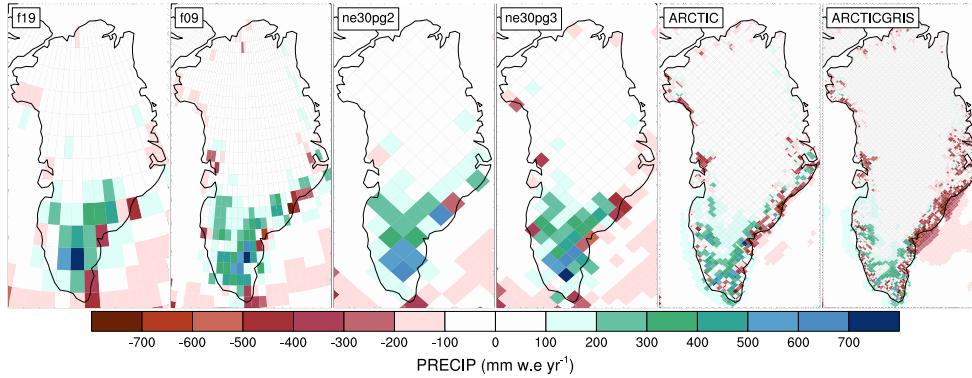
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**Figure 7.** .**Figure 8.** Climatological (1979–1998) annual precipitation rate bias relative to the RACMO2.3p2 5.5km resolution data product (Noël et al., 2019).

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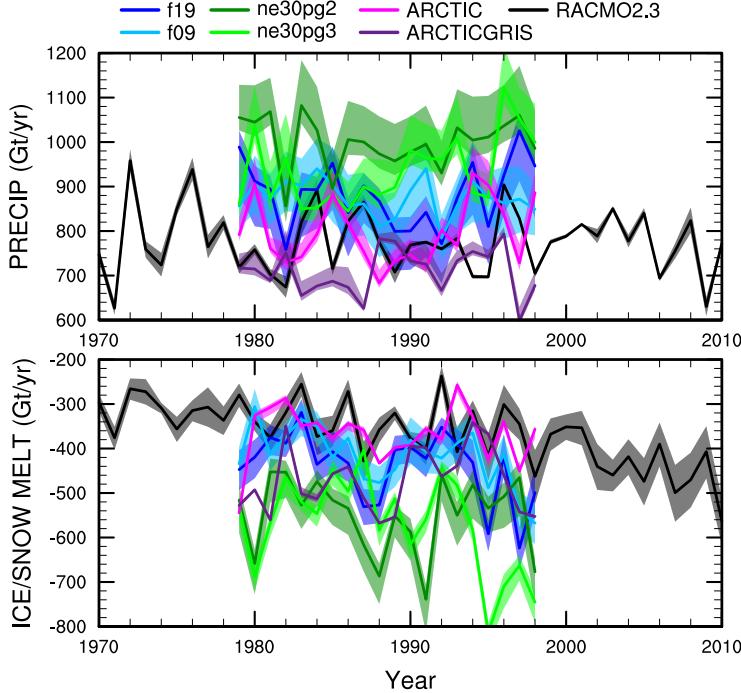


Figure 9. Time-series of annual (solid+liquid) precipitation (top) and annual runoff (bottom) integrated over the Greenland Ice Sheet for all six simulations and compared to RACMO3.2. The raw fields are mapped to two target low resolution grids, f19 & ne30pg2, and using two different remapping methods, conservative ESMF and high order TempestRemap. The remapped values are then integrated over the ice mask of the target grid. This gives four time-series for each simulation (three for f19 & ne30pg2), with the mean value given by the solid line and shading spanning the extent of the remapped solutions.

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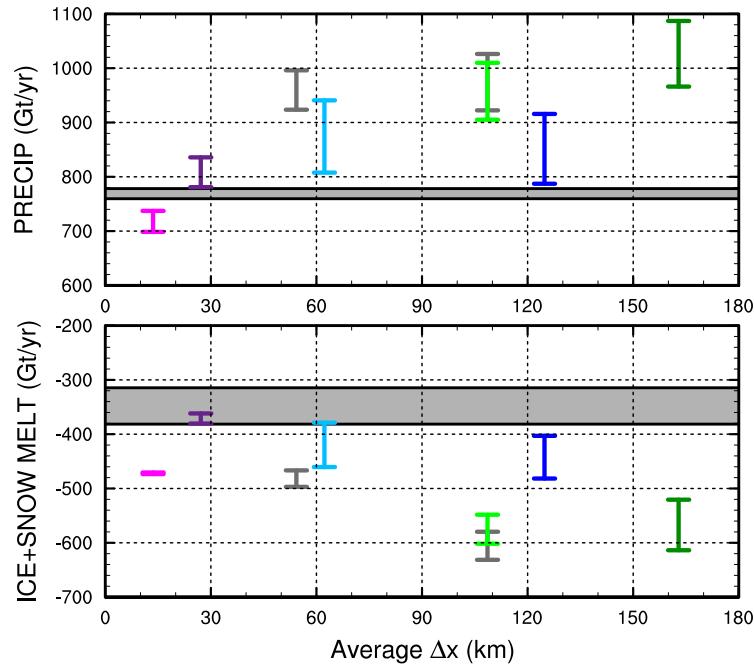


Figure 10. .

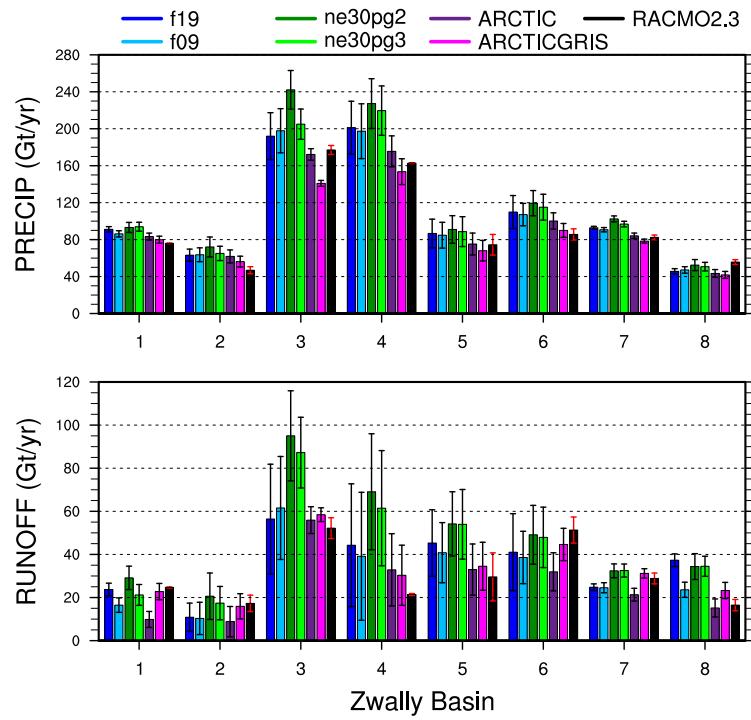


Figure 11. .

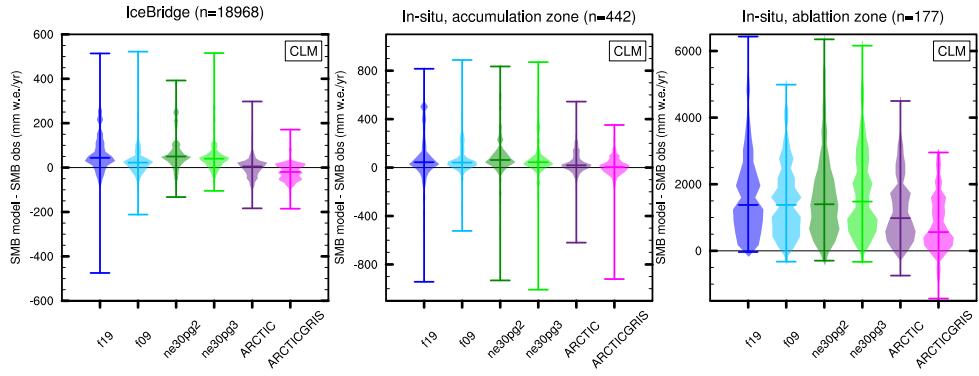


Figure 12.

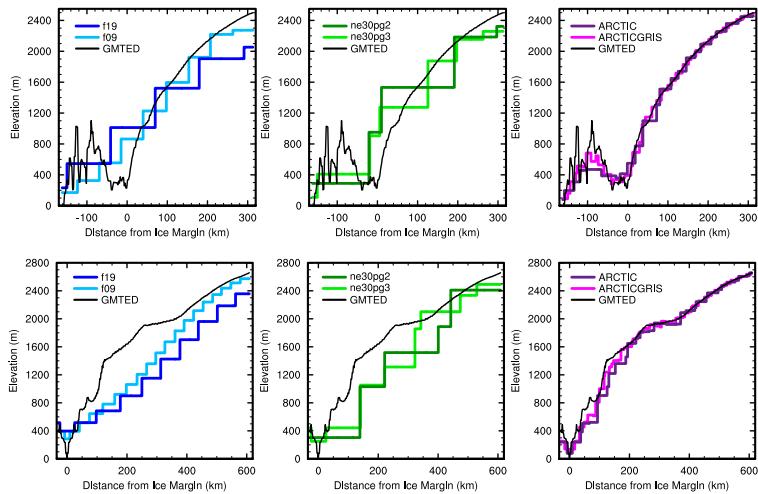


Figure 13.

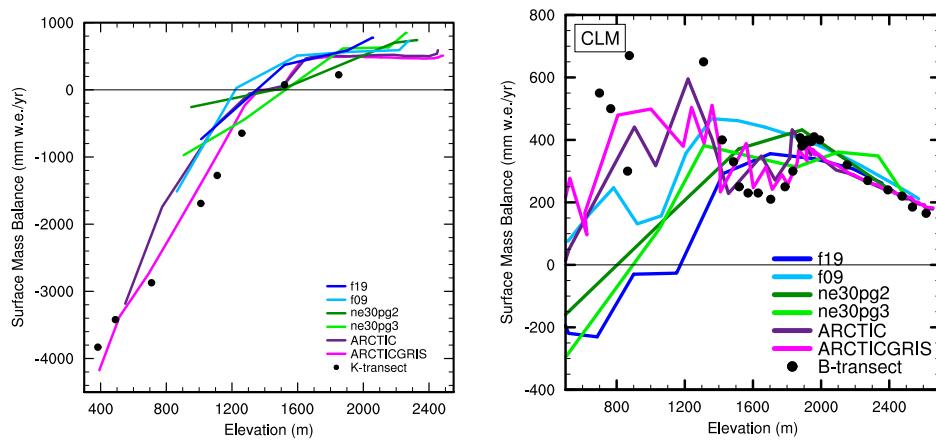


Figure 14.